

Reward Poisoning Attacks on Offline Multi-Agent Reinforcement Learning Young Wu, Jeremy McMahan, Xiaojin Zhu, Qiaomin Xie

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How to Manipulate Competitive Agents Young Wu, Jeremy McMahan, Xiaojin Zhu, Qiaomin Xie

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Learning Goals



• Agents learn a joint policy $\pi: \mathcal{S} \to \Delta(\mathscr{A})$.



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- π is an "optimal" strategy.



• Offline dataset records the episodes of the interaction.

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- Agents use the shared data to compute a joint policy π .







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• Extension of MDPs to the multi-agent setting.

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Solution Concepts



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• Solution to a game takes form of an Equilibrium.



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- Solution to a game takes form of an Equilibrium.
- Examples: NE, DSE, CCE



• Simplest assumption on rationality: <u>no agent takes a strictly dominated action</u>, $Q_i(s, (a_i, a_{-i})) < Q_i(s, (a'_i, a_{-i})).$

- $Q_i(s, (a_i, a_{-i})) < Q_i(s, (a'_i, a_{-i})).$
- Strict Markov Perfect Dominant Strategy Equilibrium (MPDSE) is the corresponding equilibrium concept.

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- Strict Markov Perfect Dominant Strategy Equilibrium (MPDSE) is the corresponding equilibrium concept.

Key Fact: Rational agents always play the MPDSE if it exists.

Simplest assumption on rationality: <u>no agent takes a strictly dominated action</u>,

Robust Learners

• To deal with dataset uncertainty, robust learners create a set of plausible games, PG.

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- Agents believe the true Markov Game lies within PG w.h.p.
- Example: Confidence Bounded Learners (CBL) assume that $CI_i^R(s,a) = \left\{ R_i(s,a) \in [-b,b] \mid |R_i(s,a) \hat{R}_i(s,a)| \le \rho^R(s,a) \right\}.$





Robust Policies

Assumption: the policy π the agents learn is a solution to one of the games in PG.

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Poisoning













What the agent sees.















The Data is Corrupted!





























Attacker wants $\pi = \pi^{\dagger}$.







• Attacker can change the *rewards* appearing in the dataset at some cost.







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$$\pi = \pi^{\dagger}$$
.

The Attack Problem:

$$|r^{0} - r^{\dagger}||_{1}$$
earned from r^{\dagger}



• Rewards must lie in the natural range [-b, b].

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Can *never* be learned for certain learners!

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Algorithms

A bandit game is a single normal form game (S = H = 1).



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Strict DSE

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$R_1(1,1) > R_1(2,1)$

<u> </u> , I	Ι,Ο
<u>O,</u> I	0, 0

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$R_2(1,1) > R_2(1,2)$



Strict DSE

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Optimal Poisoning

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Can formulate an LP to compute optimal cost attacks:
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 $R_i(\pi_i^{\dagger}, a_{-i}) \ge R_i(a_i, a_{-i}) + \epsilon \qquad \forall i, a_i \neq \pi_i^{\dagger}, a_{-i}$

Optimal Poisoning

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Optimal Poisoning

$$(a_{-i}) + \epsilon \qquad \forall i, a_i \neq \pi_i^{\dagger}, a_{-i}$$

	, <i>-</i> E
I	<i>-</i> E, <i>-</i> E

 $Q_i^{\pi^{\dagger}}(s, (\pi_i^{\dagger}(s), a_{-i})) > Q_i^{\pi^{\dagger}}(s, (a_i', a_{-i})) \quad \forall s, i, a_{-i}, a_i'$

Dominance

The dominance equation ensures π is a strict MPDSE for any game with Q-function Q:

Dominance

 $Q_i^{\pi^{\dagger}}(s, (\pi_i^{\dagger}(s), a_{-i})) >$

• MPDSE is equivalent to forcing a DSE in each stage game.

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Dominance

 $Q_i^{\pi^{\dagger}}(s, (\pi_i^{\dagger}(s), a_{-i})) >$

MPDSE is equivalent to forcing a DSE in each stage game.

• Boils down to *Optimal Game Design*.

The dominance equation ensures π is a strict MPDSE for any game with Q-function Q:

$$Q_i^{\pi^{\dagger}}(s, (a'_i, a_{-i})) \quad \forall s, i, a_{-i}, a'_i$$

• Force π^{\dagger} to be a MPDSE in every plausible game.

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- Force π^{\dagger} to be a MPDSE in every plausible game.
 - Ensures robust rational agents learn π^{\dagger} by assumption.
- Let $PQ = \{Q \mid Q = Q_G^{\pi^{\dagger}}, G \in PG\}$ be the set of plausible Qs.
 - Attacker needs dominance to hold for all $Q \in PQ$.

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Sufficient condition: ensure domination between the extreme Q-functions,

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 $\underline{Q}_{i}^{\pi^{\dagger}}(s,(\pi_{i}^{\dagger}(s),a_{-i})) > \overline{Q}_{i}^{\pi^{\dagger}}(s,(a_{i}',a_{-i})) \quad \forall s,i,a_{-i},a_{i}'$

Sufficient condition: ensure domination between the extreme Q-functions,

 $\underline{Q}_{i}^{\pi^{\dagger}}(s,(\pi_{i}^{\dagger}(s),a_{-i}))$

Where, the Q's are the point-wise extremes:

 $\underline{Q}_{i}^{\pi^{\dagger}}(s, c)$



Extreme Dominance

$$> \overline{Q}_i^{\pi^\dagger}(s, (a'_i, a_{-i})) \quad \forall s, i, a_{-i}, a'_i$$

$$a) = \min_{G \in PG} Q_{G,i}^{\pi^{\dagger}}(s,a)$$

$$a) = \max_{G \in PG} Q_{G,i}^{\pi^{\dagger}}(s,a)$$

• The Extreme Dominance Constraint is linear.

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The attacker can efficiently compute minimum cost attacks using a Linear Program

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• This extends the previous ideas about games to datasets.

Solutions

Feasibility

Can the attacker make any π^{\dagger} a MPDSE?

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Theorem: Poisoning CBL is feasible if the following condition holds:

Feasibility

$i \le 2b - (H+1)\rho_h^R(s,a), \ \forall h \in [H], s \in S, a \in A$

Can the attacker make any π^{\dagger} a MPDSE?

Theorem: Poisoning CBL is feasible if the following condition holds:

$$\iota \le 2b - (H+1)\rho_h^R(s)$$

What does this mean?

Feasibility

$(s, a), \forall h \in [H], s \in S, a \in A$

Coverage Requirements

Feasibility through data coverage.

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Corollary: Poisoning CBL is feasible if the following condition holds:

 $4b^2(H+1)^2\log^2(H+1)^2)$

 $\frac{\forall v}{N_h(s,a)} \ge \frac{\sqrt{2}}{2!}$

Coverage Requirements

$$\frac{g\left(\left(H\left|S\right|\left|A\right|\right)/\delta\right)}{2b-\iota\right)^{2}} = \tilde{\Omega}(H^{2}).$$

 $4b^2(H+1)^2\log^2(H+1)^2(H+1)^2\log^2(H+1)^2)$





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Cost Analysis





VS





VS

+ Poison
$$\begin{pmatrix} -3, 5 & -2, 6 \\ 2, -2 & 2, -2 \end{pmatrix}$$
 + Poison $\begin{pmatrix} 1, -1 & 0, 8 \\ 8, 0 & 2, -2 \end{pmatrix}$



Poison
$$\left(\begin{bmatrix} -5, 5 & -2, 2 \\ 3, -3 & 1, -1 \end{bmatrix} \right)$$
 + Poison $\left(\begin{bmatrix} 2, -3 & -5, 9 \\ 8, 6 & 7, 7 \end{bmatrix} \right)$

*Poisoning is not separable over stage games.

VS

+ Poison
$$\left(\begin{bmatrix} -3, 5 & -2, 6 \\ 2, -2 & 2, -2 \end{bmatrix} \right)$$
 + Poison $\left(\begin{bmatrix} 1, -1 & 0, 8 \\ 8, 0 & 2, -2 \end{bmatrix} \right)$


$$\operatorname{Poison}\left(\left[\begin{smallmatrix} -5,5 & -2,2 \\ 3,-3 & 1,-1 \end{smallmatrix}\right) + \operatorname{Poison}\left(\left[\begin{smallmatrix} 2,-3 & -5,9 \\ 8,6 & 7,7 \end{smallmatrix}\right) + \operatorname{Poison}\left(\left[\begin{smallmatrix} -3,5 & -2,6 \\ 2,-2 & 2,-2 \end{smallmatrix}\right) + \operatorname{Poison}\left(\left[\begin{smallmatrix} 1,-1 & 0,8 \\ 8,0 & 2,-2 \end{smallmatrix}\right)\right)$$

$$\operatorname{Can exactly characte}$$

*Poisoning is not separable over stage games.

VS







Cost Bounds on Optimal Data Poisoning are derived through Bandit Data Poisoning.



Bound Reduction

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Bound Reduction



-5, 5	-2, 2
3, -3	1, -1
2, -3	-5, 9
8, 6	7, 7
-3, 5	-2, 6
2, -2	2, -2



2, 2 1, -1 -5, 9 7, 7 -2, 6 2, -2 0, 8 2, -2	8, 0	,-	2, -2	-3, 5	8, 6	2, -3	3, -3	-5, 5
	2, -2	0, 8	2, -2	-2, 6	7,7	-5, 9	,-	-2, 2



Cost Bounds on Optimal Data Poisoning are derived through Bandit Data Poisoning.



Bound Reduction







$\mathcal{A}_1/\mathcal{A}_2$	1	2	 $ \mathcal{A}_2 $
1	-b, -b	-b,b	 -b,b
2	b, -b	b, b	 b, b
$ \mathcal{A}_1 $	b, -b	b, b	 b, b

Before Attack

$\mathcal{A}_1/\mathcal{A}_2$	1	2	 $ \mathcal{A}_2 $
1	-b, -b	-b, b	 -b,b
2	b, -b	b, b	 b, b
$ \mathcal{A}_1 $	b, -b	b, b	 b, b

Before Attack

$\mathcal{A}_1/\mathcal{A}_2$	1	2	 $ \mathcal{A}_2 $
1	-b, -b	-b, b	 -b,b
2	b, -b	b, b	 b, b
$ \mathcal{A}_1 $	b, -b	b, b	 b, b

Before Attack



$\mathcal{A}_1/\mathcal{A}_2$	1	2
1	b, b	$b, b-2 ho-\iota$
2	$b-2 ho-\iota,b$	$b-2 ho-\iota,b-2 ho-\iota$
$ \mathcal{A}_1 $	$b-2 ho-\iota,b$	$b-2 ho-\iota,b-2 ho-\iota$

After Attack

$ \mathcal{A}_1/\mathcal{A}_2 $	1	2	 $ \mathcal{A}_2 $
1	-b, -b	-b, b	 -b,b
2	b, -b	b, b	 b, b
$ \mathcal{A}_1 $	b, -b	b, b	 b, b

Before Attack

 $H|S|\min_{h,s,a}N_h(s,a)$



After Attack

Optimal Attack Cost:

$$|A|^{n-1}(2b + 2\rho + \iota)$$

$ \mathcal{A}_1/\mathcal{A}_2 $	1	2	 $ \mathcal{A}_2 $
1	-b, -b	-b, b	 -b, b
2	b, -b	b, b	 b, b
$ \mathcal{A}_1 $	b, -b	b, b	 b, b

Before Attack

 $H|S|\min N_h(s,a)|A|^{n-1}(2b+2\rho+\iota)$ h,s,a





After Attack

Optimal Attack Cost:

The Roles of ρ

ρ^P

The Roles of ρ

If the uncertainty in transition is high,





The Roles of ρ

If the uncertainty in transition is high,



The optimal cost could potentially be greater than optimally poisoning each subdataset!

The Roles of ρ

If the uncertainty in transition is high,

$$\geq \sum_{i=1}^{H} C(D_h)$$

If the uncertainty in reward is low,

$$\leq \sum_{i=1}^{H} C(D_h)$$



Conclusion

Summary

- In large datasets, poisoning is always feasible, though costly.

• Thus, we illustrate the need for provable defenses against offline reward poisoning.