



# CS 540 Introduction to Artificial Intelligence

## **Unsupervised Learning I**

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Based on slides by Fred Sala

# Recap of Supervised/Unsupervised

## Supervised learning:

- Make predictions, classify data, perform regression

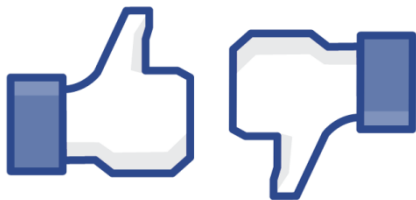
- Dataset:  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_n, y_n)$



Features / Covariates / Input

Labels / Outputs

- Goal: find function  $f : X \rightarrow Y$  to predict label on **new** data



indoor

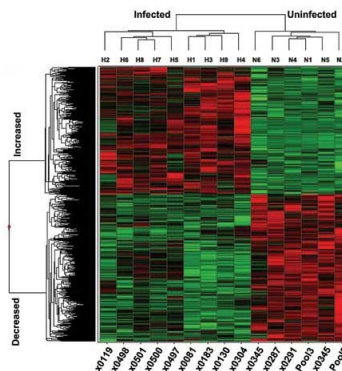
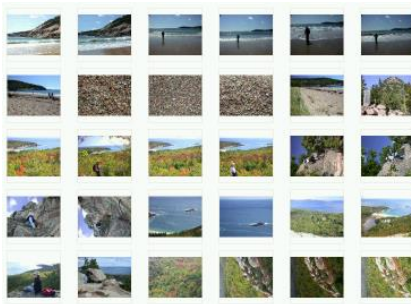
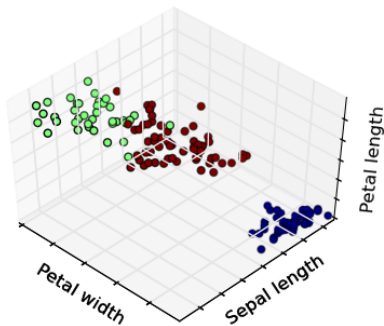


outdoor

# Recap of Supervised/Unsupervised

## Unsupervised learning:

- No labels; generally won't be making predictions
- Dataset:  $x_1, x_2, \dots, x_n$
- Goal: find patterns & structures that help better understand data.



Mulvey and Gingold

# Recap of Supervised/Unsupervised

Note that there are **other kinds** of ML:

- Mixtures: semi-supervised learning, self-supervised
  - Idea: different types of “signal”
- Reinforcement learning
  - Learn how to act in order to maximize rewards
  - Later on in course...



# Outline

- Intro to Clustering
  - Clustering Types, Centroid-based, k-means review
- Hierarchical Clustering
  - Divisive, agglomerative, linkage strategies

# Unsupervised Learning & Clustering

- Note that clustering is just one type of unsupervised learning (**UL**)
  - PCA is another unsupervised algorithm
- Estimating probability distributions also UL (GANs)
- Clustering is popular & useful!



StyleGAN2 (Karras et al '20)

# Clustering Types

- Several types of clustering

## Partitional

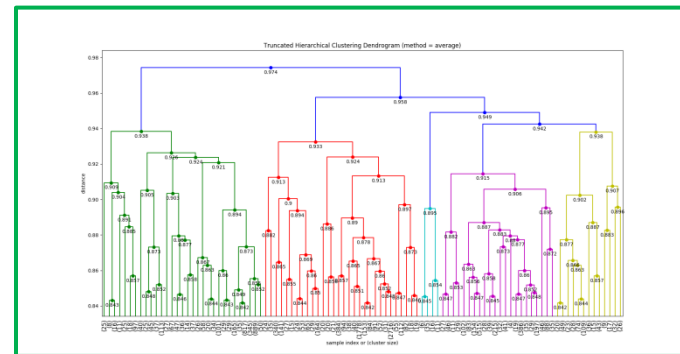
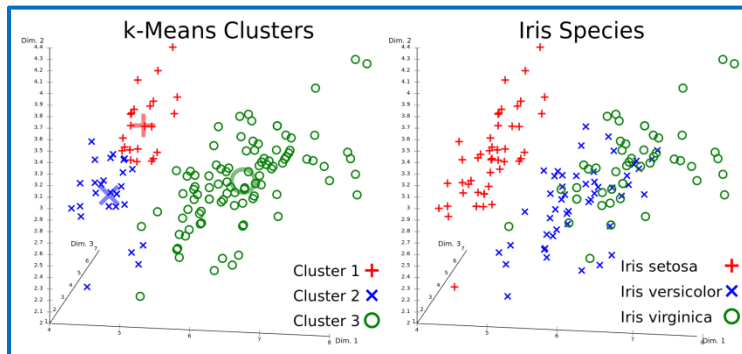
- Centroid
- Graph-theoretic
- Spectral

## Hierarchical

- Agglomerative
- Divisive

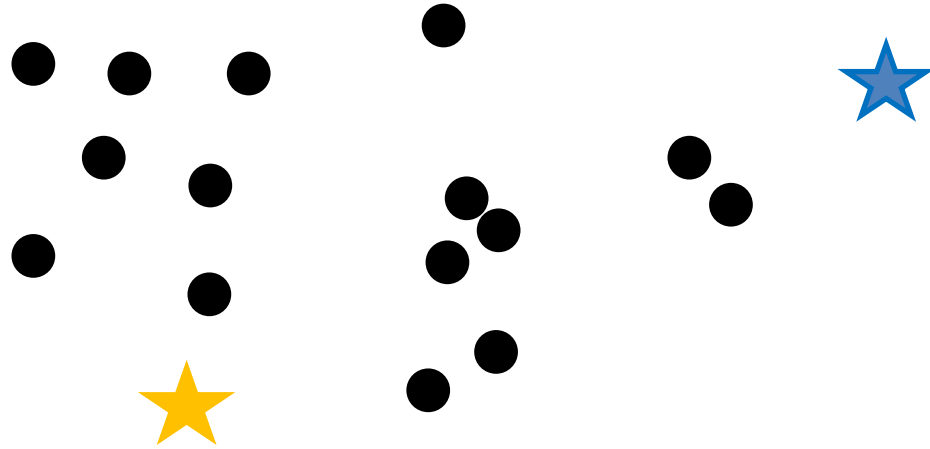
## Bayesian

- Decision-based
- Nonparametric



# Center-based Clustering

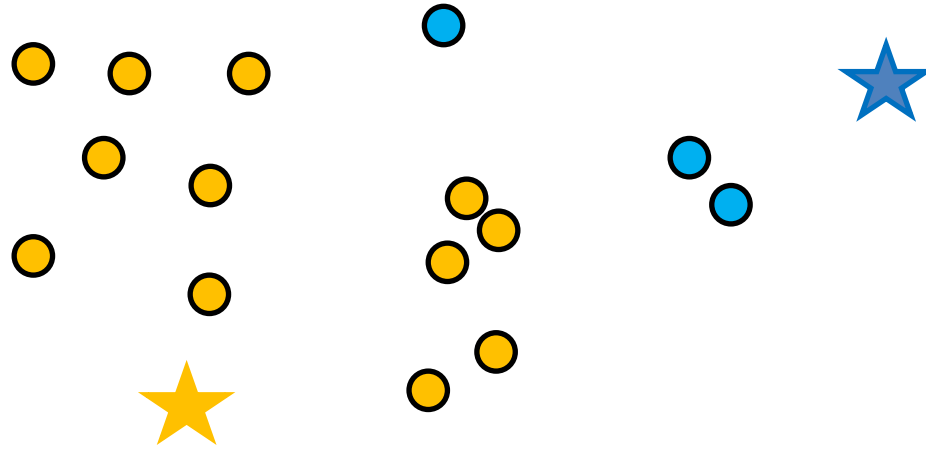
- k-means is an example of partitional **center-based**
- Recall steps: **1.** Randomly pick k cluster centers





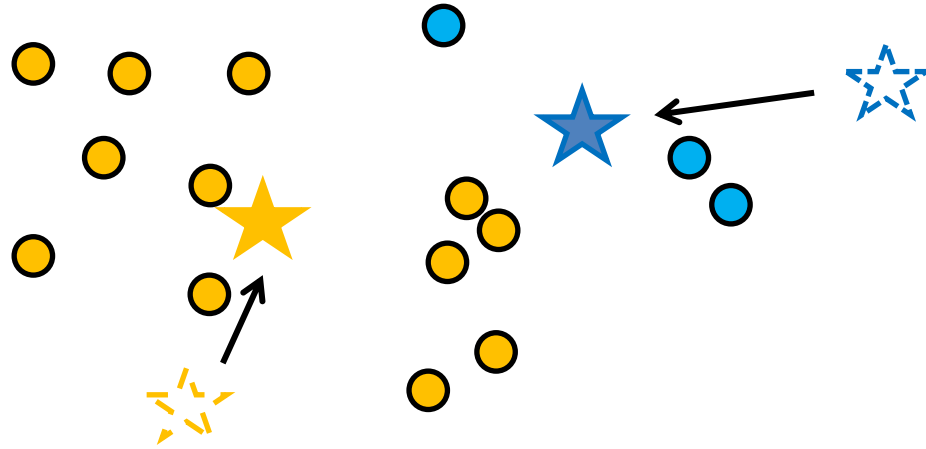
# Center-based Clustering

- **2.** Find closest center for each point



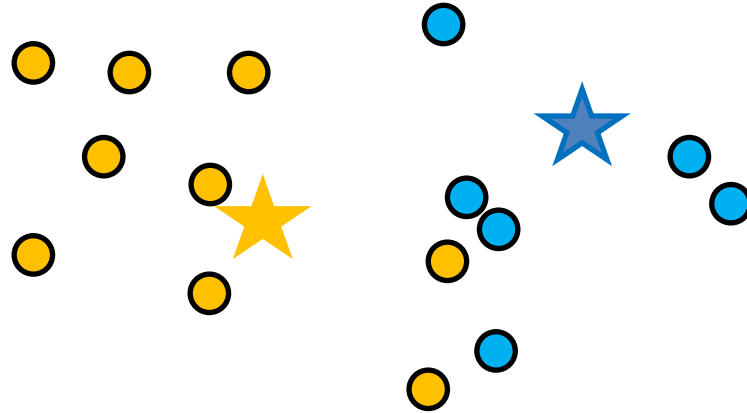
# Center-based Clustering

- **3.** Update cluster centers by computing centroids



# Center-based Clustering

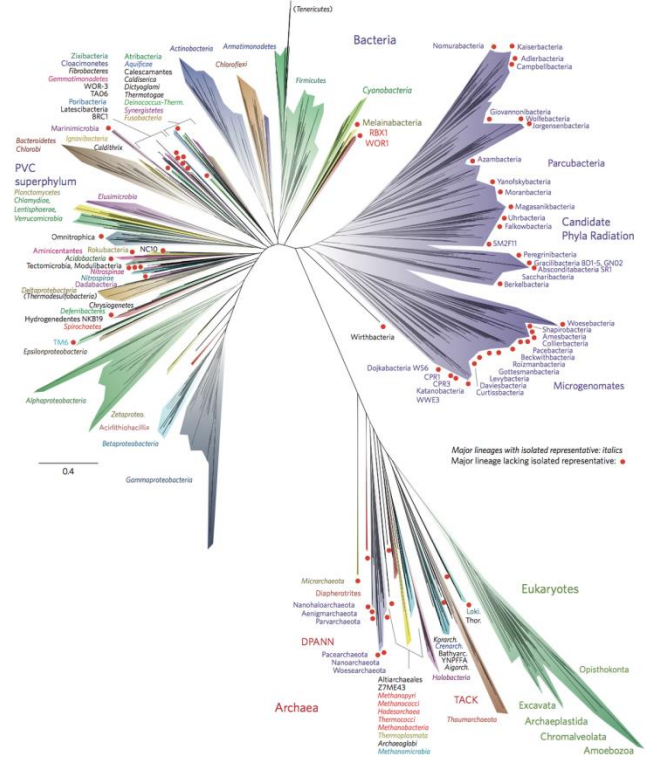
- Repeat Steps 2 & 3 until convergence



# Hierarchical Clustering

Basic idea: build a “hierarchy”

- Want: arrangements from specific to general
- One advantage: no need for k, number of clusters.
- **Input:** points. **Output:** a hierarchy
  - A binary tree

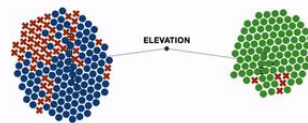


Credit: Wikipedia

# Agglomerative vs Divisive

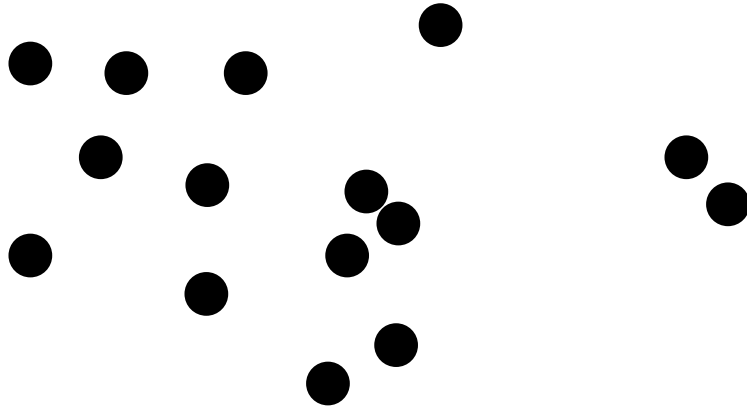
Two ways to go:

- **Agglomerative:** bottom up.
  - Start: each point a cluster. Progressively merge clusters
- **Divisive:** top down
  - Start: all points in one cluster. Progressively split clusters



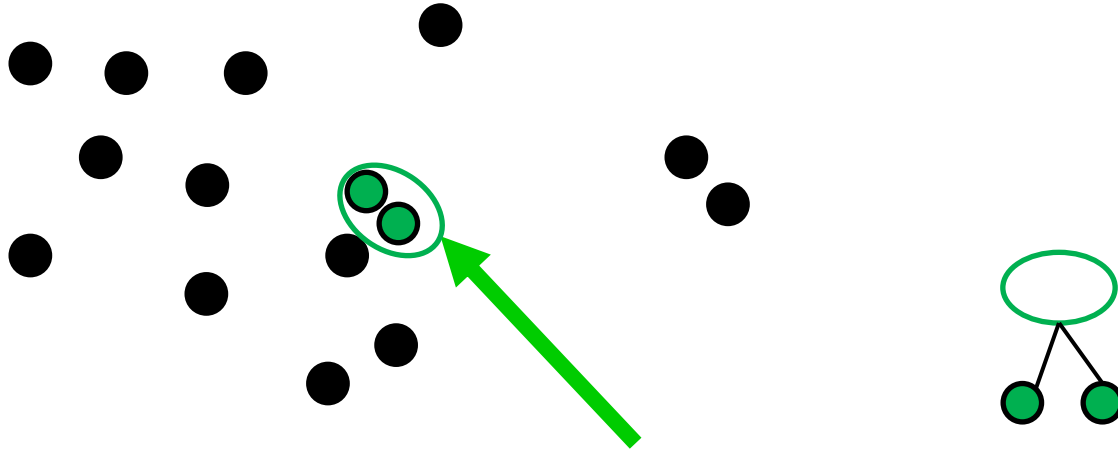
# Agglomerative Clustering Example

**Agglomerative.** Start: every point is its own cluster



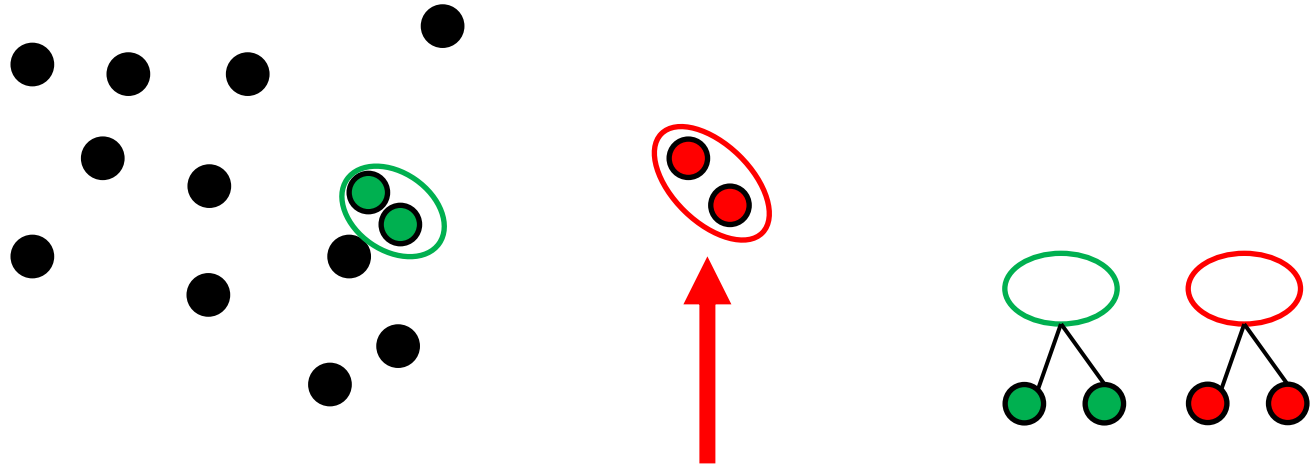
# Agglomerative Clustering Example

**Get** pair of clusters that are closest and merge



# Agglomerative Clustering Example

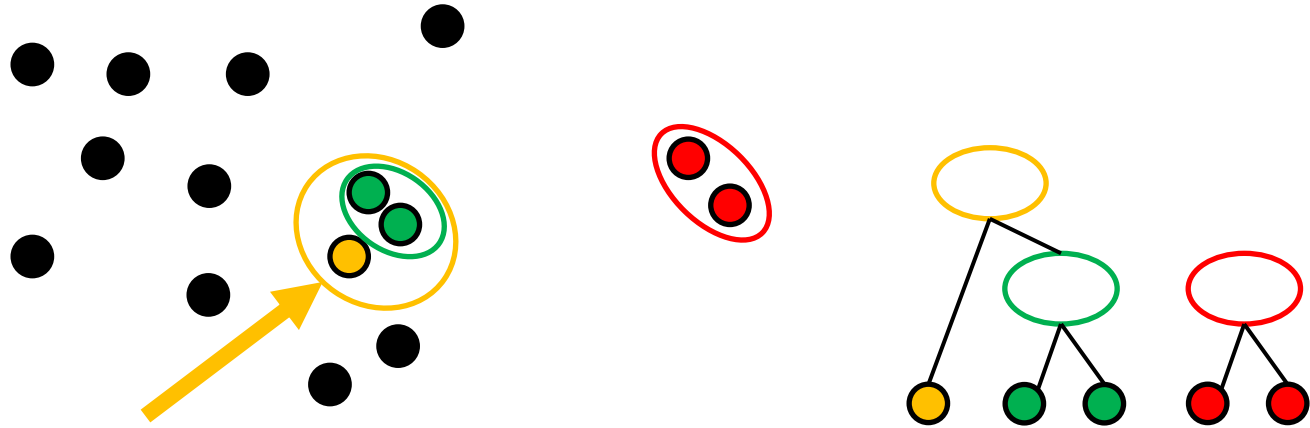
**Repeat:** Get pair of clusters that are closest and merge





# Agglomerative Clustering Example

**Repeat:** Get pair of clusters that are closest and merge



# Merging Criteria

Merge: use closest clusters. Define closest?

- Single-linkage

$$d(A, B) = \min_{x_1 \in A, x_2 \in B} d(x_1, x_2)$$

- Complete-linkage

$$d(A, B) = \max_{x_1 \in A, x_2 \in B} d(x_1, x_2)$$

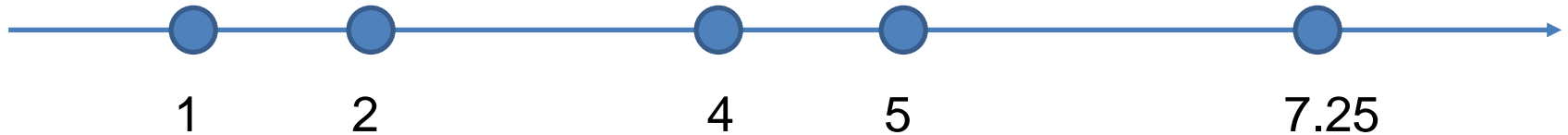
- Average-linkage

$$d(A, B) = \frac{1}{|A||B|} \sum_{x_1 \in A, x_2 \in B} d(x_1, x_2)$$

# Single-linkage Example

We'll merge using single-linkage

- 1-dimensional vectors.
- Initial: all points are clusters

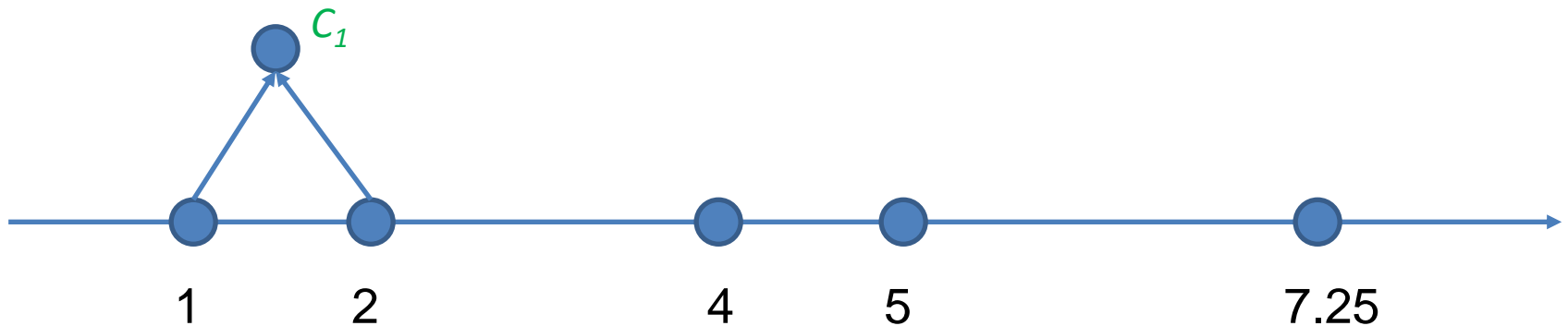


# Single-linkage Example

We'll merge using single-linkage

$$d(C_1, \{4\}) = d(2, 4) = 2$$

$$d(\{4\}, \{5\}) = d(4, 5) = 1$$

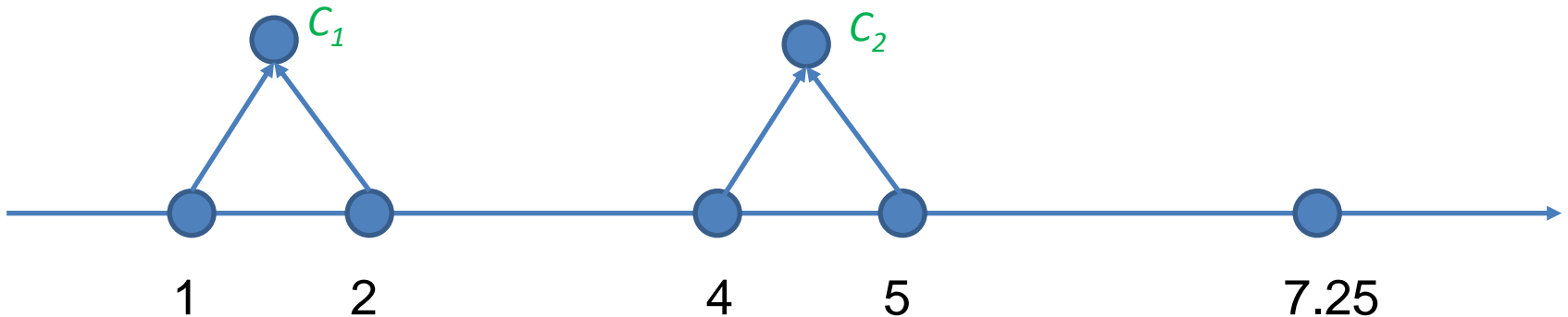


# Single-linkage Example

Continue...

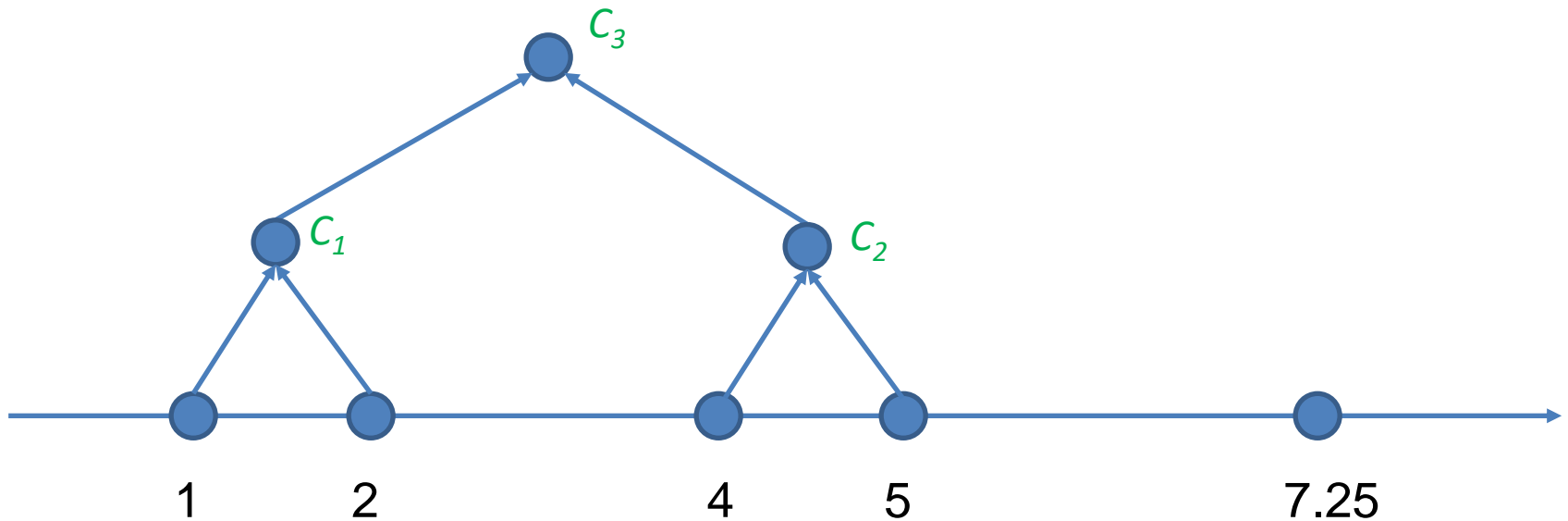
$$d(C_1, C_2) = d(2, 4) = 2$$

$$d(C_2, \{7.25\}) = d(5, 7.25) = 2.25$$

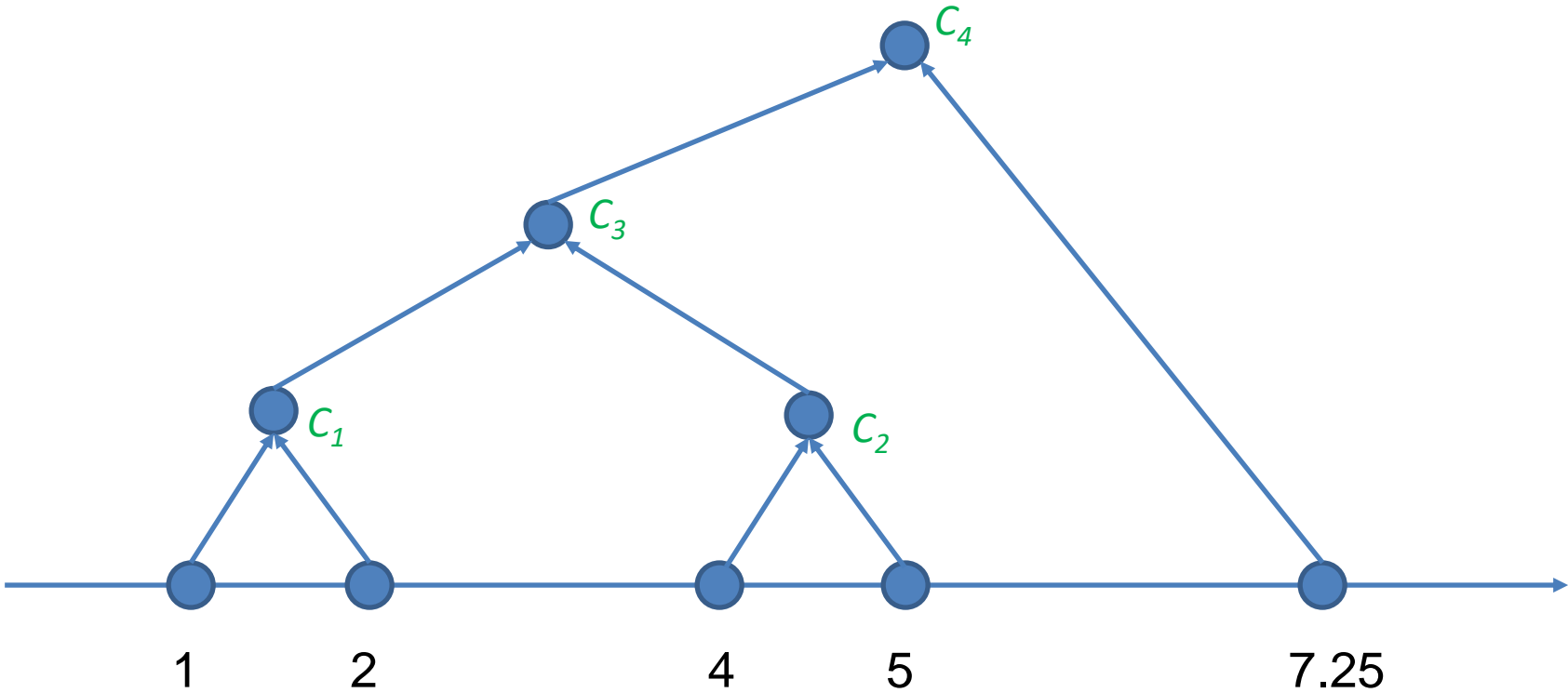


# Single-linkage Example

Continue...



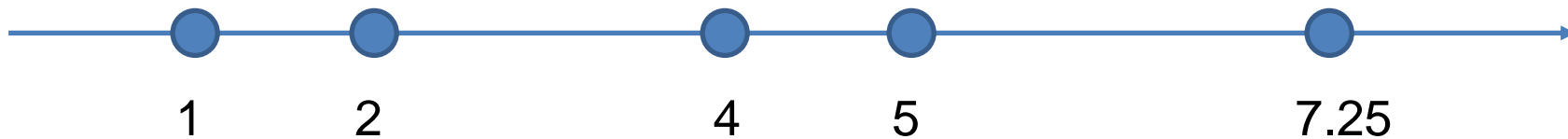
# Single-linkage Example



# Complete-linkage Example

We'll merge using complete-linkage

- 1-dimensional vectors.
- Initial: all points are clusters



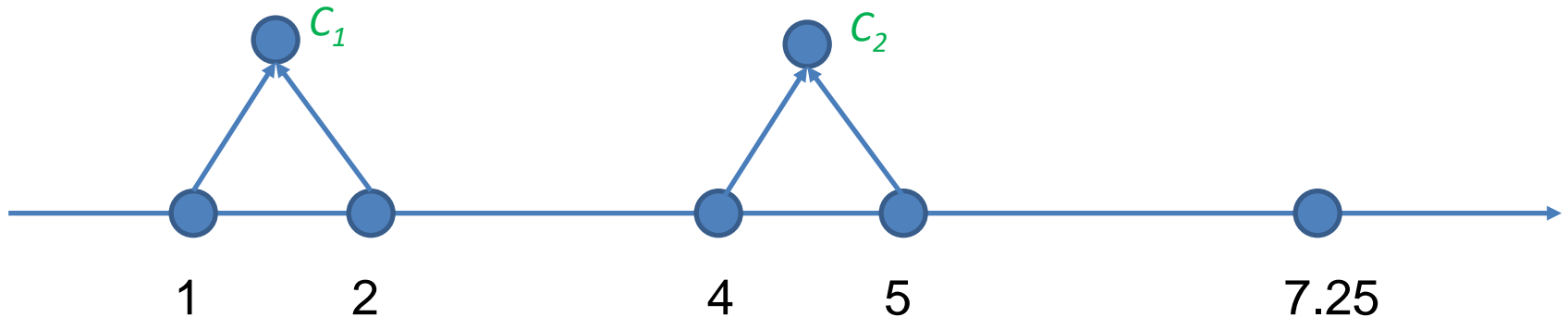


# Complete-linkage Example

Beginning is the same...

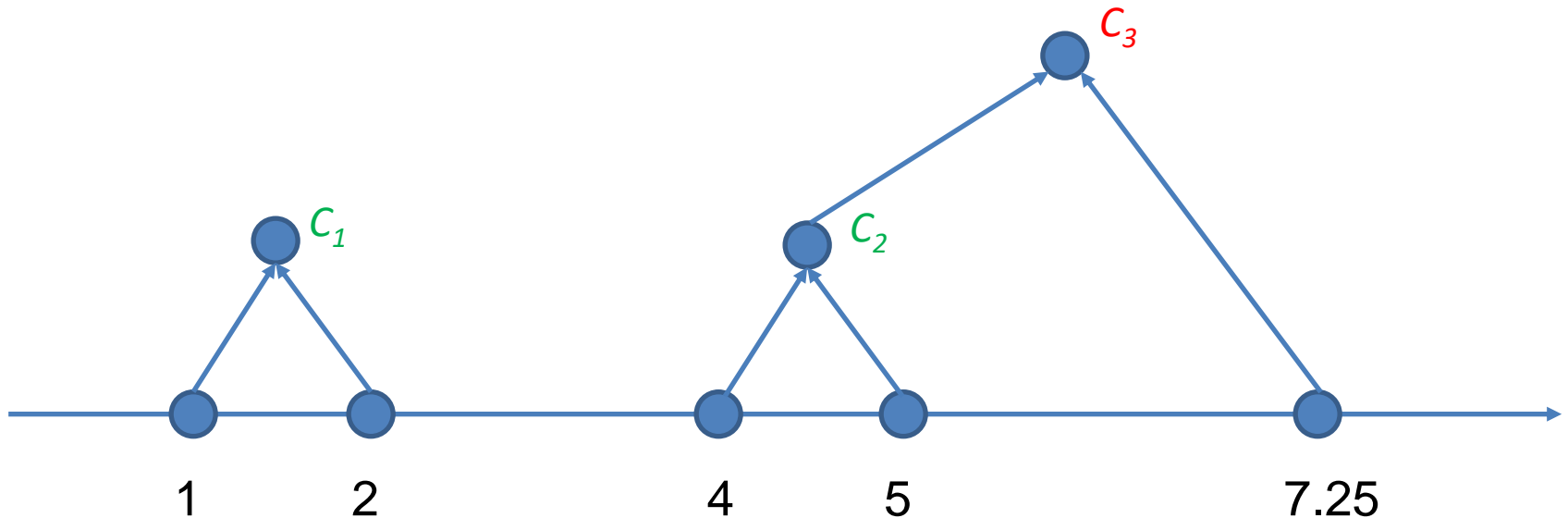
$$d(C_1, C_2) = d(1, 5) = 4$$

$$d(C_2, \{7.25\}) = d(4, 7.25) = 3.25$$

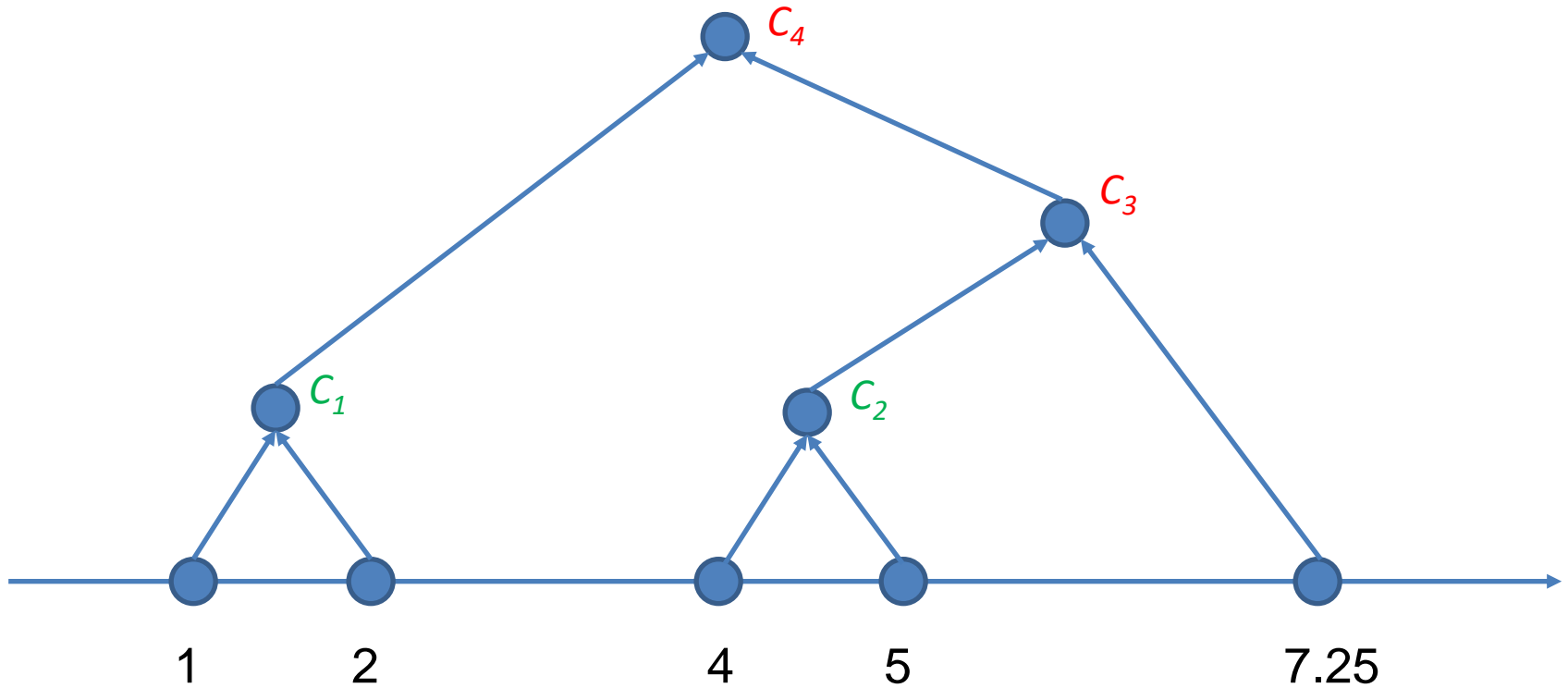


# Complete-linkage Example

Now we diverge:



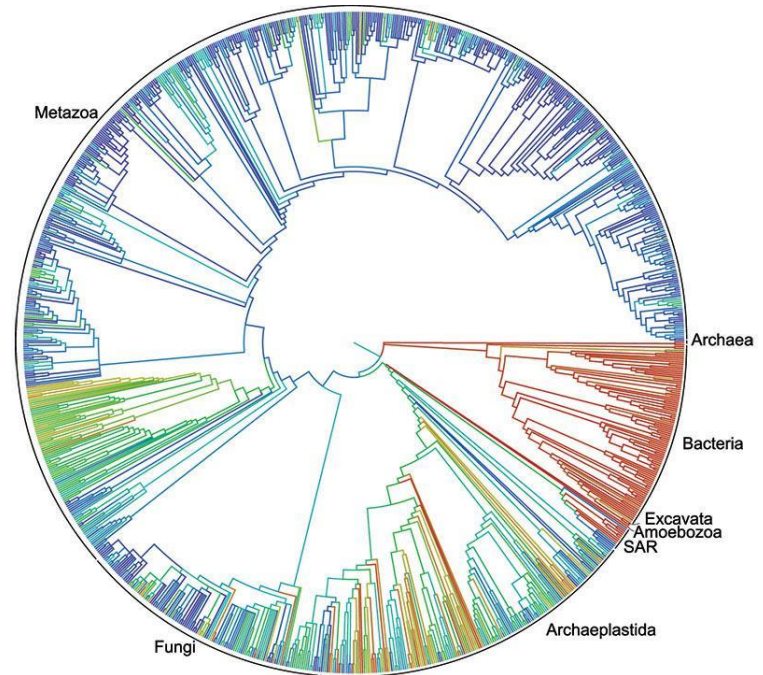
# Complete-linkage Example



# When to Stop?

No simple answer:

- Use the binary tree (a **dendrogram**)
- Cut at different levels (g different heights/depth.



<http://opentreeoflife.org/>