

# CS640: Introduction to Computer Networks

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Lecture 15  
TCP - II -  
Connection Set-up and Congestion Control

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## TCP Packet

Reliable, Connection oriented, In-order, Byte stream abstraction

Flags from MSB  
to LSB: URG  
ACK  
PSH  
RST  
SYN  
FIN

Source port		Destination port	
Sequence number			
Acknowledgement			
HdrLen	0	Flags	Advertised window
Checksum		Urgent pointer	
Options (variable)			
Data			

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## Sequence and Acknowledge Numbers

- Sequence number → byte num of first byte in payload
- Acknowledgement number
  - TCP is full duplex
  - Sequence number of next byte expected in reverse direction

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## Advertised Window

- Used for "flow control"
  - Different from "congestion control", which we will see in second half of today's lecture
- Both sender and receiver advertise window
  - Sender action:  
 $\text{lastSent} - \text{lastACK} \leq \text{Receiver's advertised window}$

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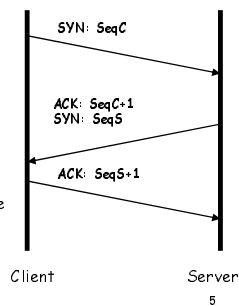
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## Establishing Connection: Three-Way handshake

- Each side notifies other of starting sequence number it will use for sending
  - Why not simply chose 0?
    - Must avoid overlap with earlier incarnation
    - Security issues
- Each side acknowledges other's sequence number
  - SYN-ACK: Acknowledge sequence number + 1
- Can combine second SYN with first ACK



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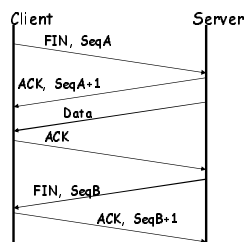
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## Tearing Down Connection

- Either side can initiate tear down
  - Send FIN signal
  - "I'm not going to send any more data"
- Other side can continue sending data
  - Half open connection
  - Must continue to acknowledge
- Acknowledging FIN
  - Acknowledge last sequence number + 1



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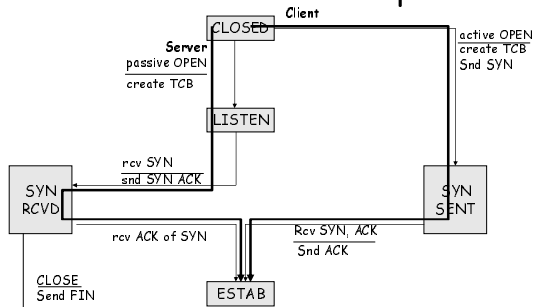
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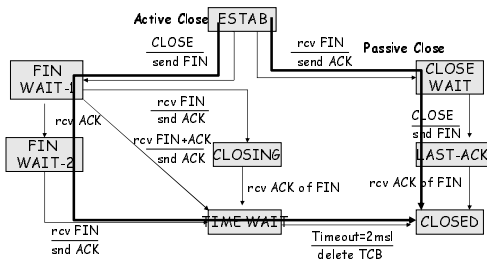
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## TCP State Diagram: Connection Setup



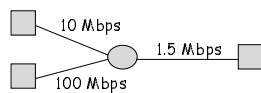
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## State Diagram: Connection Tear-down



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## Congestion

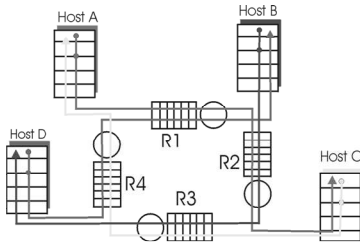


- Different sources compete for resources inside network
- Why is it a problem?
  - Sources are unaware of current state of resource
  - Sources are unaware of each other
- Manifestations:
  - Lost packets (buffer overflow at routers)
  - Long delays (queuing in router buffers)
  - Can result in effective throughput less than bottleneck link (1.5Mbps for the above topology) → a.k.a. congestion collapse

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## Causes & Costs of Congestion

- Four senders - multihop paths
  - Timeout/retransmit
- Q: What happens as rate increases?



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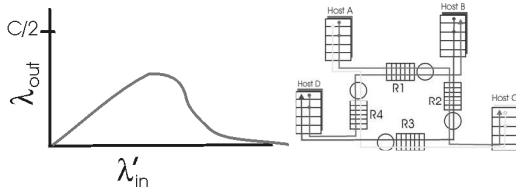
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## Causes & Costs of Congestion



- When packet dropped, any upstream transmission capacity used for that packet was wasted!

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## Congestion "Collapse"

- Definition: *Unchecked Increase in network load results in decrease of useful work done*
  - Fewer and fewer useful packets carried in network
- Many possible causes
  - Spurious retransmissions of packets still in flight
    - Classical congestion collapse
  - Undelivered packets
    - Packets consume resources and are dropped elsewhere in network

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## Congestion Control and Avoidance

- A mechanism which:
  - Uses network resources efficiently
  - Preserves fair network resource allocation
  - Controls or Avoids congestion

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## Approaches Towards Congestion Control

- Two broad approaches towards congestion control:
- End-end congestion control:
  - No explicit feedback from network
  - Congestion inferred from end-system observed loss, delay
  - Approach taken by TCP
  - Problem: approximate, possibly inaccurate
- Network-assisted congestion control:
  - Routers provide feedback to end systems
    - Single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
    - Explicit rate sender should send at
  - Problem: makes routers complicated

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## End-End Congestion Control

- So far: TCP sender limited by available buffer size at receiver
  - Receiver flow control
  - "receive window" or "advertised window"
- To accommodate network constraints, sender maintains a "congestion window"
  - Reflects *dynamic* state of the network
  - *Max outstanding packets*  $\leq \min(\text{congestion window, advertised window})$
- When receiver window is very large, congestion window determines how fast sender can send
  - *Speed* =  $CWND/RTT$  (roughly)

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## TCP Congestion Control

- Very simple mechanisms in network
  - FIFO scheduling with shared buffer pool
  - Feedback through packet drops
- End-host TCP interprets drops as signs of congestion and slows down → reduces size of congestion window
- But then, periodically probes - or increases congestion window
  - To check whether more bandwidth has become available

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## Congestion Control Objectives

- Simple router behavior
- Distributed-ness
- Efficiency:  $\sum x_i(t)$  close to system capacity
- Fairness: equal (or proportional) allocation
  - Metric =  $(\sum x_i)^2 / n(\sum x_i^2)$
- Convergence: control system must be stable

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## Linear Control

- Many different possibilities for reaction to congestion and probing
  - Examine simple linear controls
    - $\text{Window}(t+1) = a + b \text{Window}(t)$
    - Different  $a/b_i$  for increase and  $a/b_d$  for decrease
- Various reaction to signals possible
  - Increase/decrease additively
  - Increased/decrease multiplicatively
  - Which of the four combinations is optimal?
    - Consider two end hosts vying for network bandwidth

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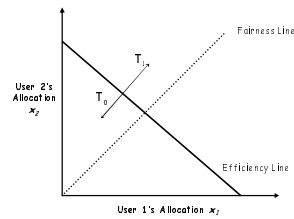
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## Additive Increase/Decrease

- Both  $X_1$  and  $X_2$  increase/decrease by the same amount over time
  - Additive increase improves fairness and additive decrease reduces fairness



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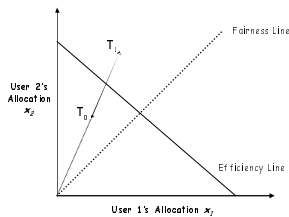
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## Multiplicative Increase/Decrease

- Both  $X_1$  and  $X_2$  increase by the same factor over time
  - Extension from origin - constant fairness



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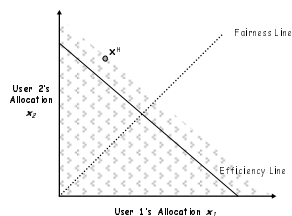
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## Convergence to Efficiency



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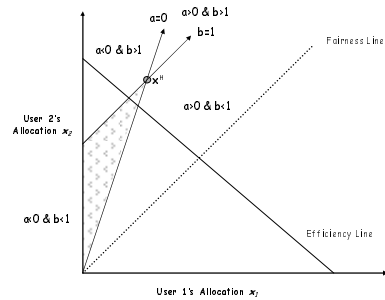
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## Distributed Convergence to Efficiency



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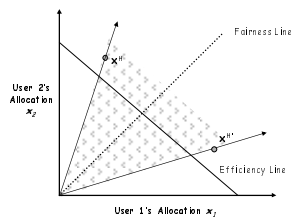
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## Convergence to Fairness



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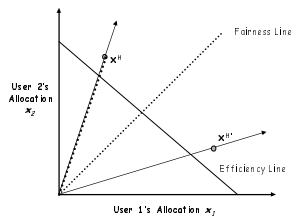
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## Convergence to Efficiency & Fairness

- Intersection of valid regions
- For decrease:  $a=0$  &  $b < 1$



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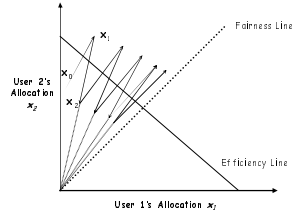
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## What is the Right Choice?

- Constraints limit us to AIMD
  - Can have multiplicative term in increase (MAIMD)
  - AIMD moves towards optimal point



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## Summary

- Significance of fields in TCP packet
  - TCP is full duplex
  - Data can go in either direction
- TCP connection set-up - three-way hand-shake
  - Also, teardown
- Costs of congestion
  - Delay, loss, useless work...
  - Cure: congestion control
- TCP uses AIMD congestion control

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