

## 04/04 Non-Uniform ACC circuit lower bounds

### Introduction

We know what non-uniform circuit is. So we wonder are there interesting uniform computations such that can't be simulated by non-uniform circuit families?

ACC: constant-depth circuit families over the basis AND, OR, NOT and MOD<sub>m</sub> gates.

THM 1.1 NTIME[2<sup>n</sup>] doesn't have non-uniform ACC circuits of polynomial size

THM 2.2 (Exponential Size-Depth Tradeoff) For every d, there is a  $\delta > 0$  and a language in E<sup>NP</sup> that fails to have non-uniform ACC circuits of depth d and size  $2^{\frac{n^\delta}{d}} - 2^{n^\delta}$

### An overview of proof

[Will10] For many circuit classes  $\mathcal{C}$ , sufficiently faster satisfiability algorithms for  $\mathcal{C}$ -circuits would entail non-uniform lower bounds for  $\mathcal{C}$ -circuit.

(D): Satisfiability Satisfiability algorithms for subexponential size n-input ACC circuits with running time  $\tilde{O}(2^n/n^k)$  imply exponential size ACC lower bounds for E<sup>NP</sup> (THM 3.3), where k is sufficiently large.

If there is a faster algorithm for ACC circuit satisfiability, and there are subexponential ( $2^{n^{\delta/d}}$ ) size ACC circuits for E<sup>NP</sup>, then every  $L \in \text{NTIME}[2^n]$  can be accepted by nondeterministic algorithm in  $\tilde{O}(2^n n^{10}/n^k)$  time. For large enough k,  $\text{NTIME}_{\text{TM}}[2^n] \subseteq \text{NTIME}_{\text{RAM}}[2^n/n^k]$

[FACT 3.1] & [FACT 3.2]

(D): THM 4.1 For every d > 1 there is an  $\epsilon \in (0, 1)$  such that satisfiability of depth-d ACC circuits with n inputs and  $2^{n^\epsilon}$  size can be determined in  $2^{n-\Omega(n^\delta)}$  time for some  $\delta > \epsilon$  that depends only on d.

THM 1.3 There is a  $k > 0$  such that, if satisfiability of  $G$ -circuits with  $n$  variables and  $n^c$  size can be solved in  $O(2^n/n^k)$  time for every  $c$ , then  $\text{NTIME}[2^n]$  doesn't have non-uniform polysize  $G$ -circuit.

## 2 Preliminaries

THM 2.1  $\bigcup_{c>0} \text{NTIME}_{\text{TM}}[\log^c n] = \bigcup_{c>0} \text{NTIME}_{\text{TM}}[n/\log^c n]$   
 $\Rightarrow \text{NTIME}_{\text{TM}}[2^n] \subseteq \text{NTIME}_{\text{TM}}[2^n/n^k]$  for sufficiently large  $k \Rightarrow$  Contradiction

An unrestricted circuit has gate types AND/OR/NOT, and each gate has fan-in two.

Circuit class  $G$  is a collection of circuit families that  
 (a) contains  $\text{AC}^0$  (for every circuit family in  $\text{AC}^0$ , there is an equivalent circuit family in  $G$ )  
 (b) is closed under composition.

## 3 A Strengthened Connection Between SAT Algorithms and Lower Bounds.

Define the ACC Circuit SAT problem to be:

given an ACC circuit  $C$ , is there an assignment of its inputs that makes  $C$  evaluate to 1?

THM 3.1 ([Wig10]) Let  $s(n) = \omega(n^k)$  for every  $k$ . If ACC CIRCUIT SAT instances with  $n$  variables and  $n^c$  size can be solved in  $O(2^{n/3}/s(n))$  time for every  $c$ , then  $\text{EXP}$  doesn't have non-uniform ACC circuits of poly size.

(- Circuit problem: CG can be ACC, TC<sup>0</sup>, NC<sup>1</sup>, P/poly, ...).

$S: \mathbb{N} \rightarrow \mathbb{N}$  monotone nondecreasing function,  $S(n) \geq n$

THM 3.2 Let  $S(n) \leq 2^{n/4}$ , there is a  $c > 0$  such that, if  $C$ -CIRCUIT SAT

instances with at most  $n + \log n + c \log n$  variables, depth  $2d + O(1)$ , and  $O(nS(2n) + S(3n))$  size can be solved in  $O(2^n/n^c)$  time, then  $\mathsf{E}^{\text{NP}}$  does not have non-uniform  $C$  circuits of depth  $d$  and  $S(n)$  size.

$\Rightarrow$  Succinct 3SAT: given a circuit  $C$  on  $n$  inputs, let  $F_C$  be the  $2^n$ -bit instance of 3-SAT obtained by evaluating  $C$  on all of its possible in lexicographical order. Is  $F_C$  satisfiable?

NEXP-Complete Call  $F_C$  the decompression of  $C$ , and call  $C$  the compression of  $F_C$ .

Fact 3.1 There is a constant  $c > 0$  such that for every  $L \in \text{NTIME}[2^n]$ , there is a reduction from  $L$  to succinct 3SAT which on input  $x$  of length  $n$  runs in  $\text{poly}(n)$  time and produces a circuit  $C_x$  with a circuit  $(C_x$  with at most  $n + \log n + c \log n$  inputs and  $O(n^c)$  size, such that  $x \in L$  iff decompressed formula  $F_{C_x}$  of  $2^n \cdot \text{poly}(n)$  size is satisfiable.

[Proof by THM 3.3]

Fact 3.2 If  $\mathsf{E}^{\text{NP}}$  has ACC circuits of size  $S(n)$ , then there is a fixed constant  $c$  such that for every language  $L \in \text{NTIME}[2^n]$  and every  $x \in L$  of length  $n$ , there is a circuit  $W_x$  of size at most  $S(3n)$  with  $k \leq n + c \log n$  inputs such that the variable assignment  $z_i = W(i)$  for all  $i = 1, \dots, 2^n$  is a satisfying assignment for the formula  $F_{C_x}$ , where  $C_x$  is the circuit obtained by the reduction in Fact 3.1

Based on two facts above, one can recognize any  $L \in NTIME[2^n]$  with a  $O(2^n)$  non-det. algo. (contradiction!)

**Lemma 3.1** There is a fixed  $d > 0$  with the following property. Assume  $P$  has ACC circuits of depth  $d'$  and size at most  $S(n)$ . Further assume ACC CIRCUIT SAT on circuits with  $n + \log n$  inputs, depth  $\geq d' + \Omega(1)$ , and at most  $\mathcal{O}(S(3n) + S(2n)n)$  size can be solved in  $\mathcal{O}(2^n/n^c)$  time, for sufficiently large  $c > 2d$ .

Then for every  $L \in NTIME[2^n]$ , there is a nondeterministic algorithm  $A$  such that:

- $A$  runs in  $\mathcal{O}(\frac{2^n}{n^c} + S(3n) \cdot \text{poly}(n))$  time
- for every  $x$  of length  $n$ ,  $A(x)$  either prints reject or it prints an ACC circuit  $C'_x$  with  $n + \log n$  inputs, depth  $d'$ , and  $S(n+d\log n)$  size, such that  $x \in L$  iff  $C'_x$  is the compression of a satisfiable 3-CNF formula of  $2^n \cdot \text{poly}(n)$  size.
- there is always at least one computation path of  $A(x)$  that prints the circuit  $C'_x$ .

With Lemma 3.1, we can prove Thm 3.2

**Proof:** Suppose  $O(ACC \text{ Circuit SAT})$  instances with  $n + \log n$  variables, depth  $\geq d + \Omega(1)$  and  $\mathcal{O}(n(S(2n) + S(3n)))$  size can be solved in  $\mathcal{O}(2^n/n^c)$  time for a sufficiently large  $c$ .  $\mathbb{E}^{NP}$  has non-uniform ACC circuits of depth  $d$  and  $S(n)$  size.

Let  $L \in NTIME[2^n]$ , by Lemma 2.1,  $L$  has a multitape TM in  $\mathcal{O}(2^n)$  time.  $B$  a non-det. algo. for  $L$

Then by combining all the things we discussed in this section, we arrived a contradiction. Contradiction.

#### 4. A Satisfiability Algorithm for ACC circuits.

Lemma 4.1 There is an algorithm and function  $f: \mathbb{N} \rightarrow \mathbb{N}$  such that given an ACC circuit of depth  $d$  and size  $s$ , the algorithm outputs an equivalent  $\text{SYM}^+$  circuit of  $s^{O(\log^{f(d)} s)}$  size. The algorithm takes at most  $s^{O(\log^{f(d)} s)}$  time.

Furthermore, given the number of ANDs in the circuit that evaluate to 1, the symmetric function itself can be evaluated in  $s^{O(\log^{f(d)} s)}$  time.

Lemma 4.2 There is an algorithm that, given a  $\text{SYM}^+$  circuit of size  $s \leq 2^n$  and  $n$  inputs with a symmetric function that can be evaluated in  $\text{poly}(s)$  time, runs in  $(2^n + \text{poly}(s)) \cdot \text{poly}(n)$  time and prints a  $2^n$ -bit vector  $V$  which is the truth table of the function represented by the given circuit. That is,  $V[i] = 1$  iff the  $\text{SYM}^+$  circuit outputs 1 on the  $i$ th variable assignment.

THM 4.1 For every  $d > 1$  there is an  $\epsilon \in (0, 1)$  such that satisfiability of depth- $d$  ACC circuits with  $n$  inputs and  $2^{n^\epsilon}$  size can be determined in  $2^{n - \Omega(n^\delta)}$  time for some  $\delta > \epsilon$  that depends only on  $d$ .

## ACC Lower Bounds

~~THM 1.2 proof:~~

THM 5.1 [Wil10] Suppose NEXP has polynomial size circuits. Then ~~see~~ SUCCINCT 3SAT has succinct satisfying assignments.

THM 5.2 If NEXP ⊆ P/poly then every language in NEXP has universal witness circuits of polynomial size

Lemma 5.1 Let  $C$  be any circuit class. If  $P$  has non-uniform  $C$  circuits of  $S(n) \cdot O(1)$  size, then there is a  $c > 0$  such that every  $T(n)$ -size circuit family has an equivalent  $S(\text{nt}) \cdot O(T(n) \log T(n))) \subseteq$  size circuit family  $C$ .

Proof of THM 1.1:

① Claim:  $\text{NTIME}[2^n]$  has poly-size ACC circuits, then every  $L \in \text{NEXP}$  has poly-size ACC.

② By Lemma 5.1 & THM 5.1  $\Rightarrow$  SUCCINCT 3SAT has succinct satisfying assignments which are ACC circuits.