

## Solutions to Homework 2

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**Problem 1**

- (a) First consider the case where the sets  $A$  and  $B$  are disjoint. In that case the number of elements in the union  $A \cup B$  is simply the sum of the number of elements in  $A$  and the number of elements in  $B$ :  $|A \cup B| = |A| + |B|$ . If  $A$  and  $B$  overlap, then the latter formula does not hold because we are counting the elements in the intersection  $A \cap B$  twice. Compensating for that leads to the given formula:  $|A \cup B| = |A| + |B| - |A \cap B|$ .

Let's now prove that formula rigorously. In order to do so, we break up  $A \cup B$  into several disjoint parts. Once we've done that, we can apply our simple rule that the cardinality of a disjoint union is the sum of the cardinalities. As can be seen in figure 1,  $A \cup B$  can be expressed

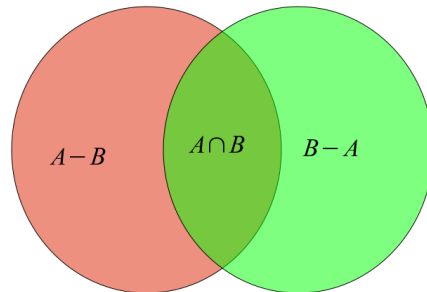


Figure 1: Union of Sets

as union of three disjoint sets:

- the set of elements present only in  $A$ . This set can be expressed as the set difference  $A - B$ .
- the set of elements present only in  $B$ . This set can be expressed as the set difference  $B - A$ .
- the set of elements present in both  $A$  and  $B$ . This is the set  $A \cap B$ .

Therefore, we have

$$A \cup B = (A - B) \cup (B - A) \cup (A \cap B)$$

Equating the cardinalities of the two sides of the above equation, and using the simple rule for the cardinality of a disjoint union, we have

$$|A \cup B| = |A - B| + |B - A| + |A \cap B| \tag{1}$$

Since  $A$  is the disjoint union of  $A - B$  and  $A \cap B$ , we also have that  $|A| = |A - B| + |A \cap B|$ , so  $|A - B| = |A| - |A \cap B|$ . Similarly, we have that  $|B - A| = |B| - |A \cap B|$ . Plugging these equations into the right-hand side of (1), we obtain

$$\begin{aligned} |A \cup B| &= |A| - |A \cap B| + |B| - |A \cap B| + |A \cap B| \\ &= |A| + |B| - |A \cap B| \end{aligned}$$

□

- (b) We will use the equation from 1(a) to derive the equation for cardinality of union of three sets. We can view  $A \cup B \cup C$  as  $A \cup (B \cup C)$ . Now we can use the equation derived in 1(a) on sets  $A$  and  $B \cup C$ . We get the following.

$$\begin{aligned} |A \cup B \cup C| &= |A \cup (B \cup C)| \\ &= |A| + |B \cup C| - |A \cap (B \cup C)| \end{aligned}$$

The term  $|B \cup C|$  can be further broken down using 1(a), namely  $|B \cup C| = |B| + |C| - |B \cap C|$ . Combined we get

$$|A \cup B \cup C| = |A| + |B| + |C| - |B \cap C| - |A \cap (B \cup C)| \quad (2)$$

Now, we are left with the term  $|A \cap (B \cup C)|$  that needs to be simplified. To do that, recall one of the propositions proved in Lecture 4.

**Proposition.** *Let  $A$ ,  $B$ , and  $C$  be sets. Then*

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$$

The proposition implies that  $|A \cap (B \cup C)| = |(A \cap B) \cup (A \cap C)|$ . By applying 1(a) to the right-hand side and using the fact that  $(A \cap B) \cap (A \cap C) = A \cap B \cap C$ , we get that

$$|A \cap (B \cup C)| = |A \cap B| + |A \cap C| - |A \cap B \cap C|$$

Plugging the last equation into (2) gives

$$|A \cup B \cup C| = |A| + |B| + |C| - |B \cap C| - |A \cap B| - |A \cap C| + |A \cap B \cap C|.$$

## Problem 2

In order to prove the equality of two sets, we will show that they are subsets of each other;  $[(A \subseteq B) \wedge (B \subseteq A)] \Leftrightarrow (A = B)$ . To show that two sets are not equal, it is sufficient to show a member of one set that does not belong to the other.

- (a) The two sets are equal for all choices of  $a, b$ . To show this, we will take the steps described above.

- $M_a \cap M_b \subseteq M_{lcm(a,b)}$

The smallest member of  $M_a \cap M_b$  is by definition  $lcm(a, b)$ . Imagine a member  $C \in M_a \cap M_b$  that is not a multiple of  $lcm(a, b)$ . Then there must a factor of  $lcm(a, b)$  that is not a factor of  $C$ . However, every factor of  $lcm(a, b)$  is composed entirely either of factors of  $a$ , factors of  $b$ , or both [otherwise, it would not be as small as possible]. So there is a factor of either  $a$  or  $b$  that is not a factor of  $C$ ; so  $C$  cannot be in  $M_a \cap M_b$ , a contradiction. So all members of  $M_a \cap M_b \subseteq M_{lcm(a,b)}$ .

An alternate way to come to this contradiction is to decompose  $C$  as  $k \cdot lcm(a, b) + r$  where  $k$  is some positive integer and  $r$  is a remainder  $0 < r < lcm(a, b)$  [ $r \neq 0$  follows from  $C$  not being a multiple of  $lcm(a, b)$ ]. Since both  $C$  and  $k \cdot lcm(a, b)$  are common multiples of  $a$  and  $b$ ,  $r$  must be as well; but then  $r$  is a common multiple less than the least common multiple. This is a contradiction. Hence, there can be no  $C \in M_a \cap M_b$  that is not a multiple of  $lcm(a, b)$ .

- $M_{lcm(a,b)} \subseteq M_a \cap M_b$

By definition,  $lcm(a, b)$  is a common multiple of  $a$  and  $b$ . Any multiple of this number will clearly be a common multiple of  $a$  and  $b$  as well. So having proved both directions, our proof is complete.

- (b) The two sets are not equal; a satisfactory counterexample is  $a = 2, b = 3$ .  $gcd(2, 3) = 1$ , which cannot be a multiple of either 2 or 3. So  $M_{gcd(2,3)} \not\subseteq M_2 \cup M_3$ .

### Problem 3

We start by proving a lemma which we use throughout the solution to this problem.

**Lemma 1.** *If  $S$  and  $T$  are countable sets, then so is  $S \cup T$ .*

*Proof.* We prove this lemma directly.

Let  $s_1, s_2, s_3, \dots$  and  $t_1, t_2, t_3, \dots$  be enumerations of the sets  $S$  and  $T$ , respectively. Then consider the list formed by interleaving the enumerations of  $S$  and  $T$  above, that is, the list  $s_1, t_1, s_2, t_2, s_3, t_3, \dots$

We claim that this is a list of all elements of  $S \cup T$ . We give a proof by cases.

Suppose  $x \in S \cup T$ . Then either  $x \in S$  or  $x \in T$ .

Case 1: If  $x \in S$ , there is some integer  $i$  such that  $x = s_i$  in our enumeration of  $S$ . Then  $x$  is at position  $2i - 1$  of the list we constructed.

Case 2: Similarly, if  $x \in T$ , there is some integer  $j$  such that  $x = t_j$  in our enumeration of  $T$ . In that case,  $x$  is at position  $2j$  in the list we constructed.

Since  $x$  falls in one of our two cases, we have shown that all elements of  $S \cup T$  appear somewhere in our list. Moreover, since we constructed our list only from elements of  $S$  and elements of  $T$ , there are no elements from outside of  $S \cup T$  in our list, which means that we indeed have a list of all elements of  $S \cup T$ .

To get an enumeration of all elements of  $S \cup T$  from our list, it suffices to remove all duplicates from our list.

It follows that  $S \cup T$  is countable.  $\square$

We will also make use of the next result that follows from Lemma 1

**Corollary 1.** *Suppose  $U$  is an uncountable set. Also assume  $S$  and  $T$  are subsets of  $U$  where  $S$  is countable and such that  $S \cup T = U$ . Then  $T$  is uncountable.*

*Proof.* We argue by contradiction.

Assume that  $T$  is countable. Since  $S$  is also countable, it follows by Lemma 1 that  $S \cup T$  is countable. But  $S \cup T = U$ , so  $U$  is countable. This contradicts the fact that  $U$  is uncountable, so the assumption that  $T$  is countable is incorrect.

Therefore,  $T$  is uncountable.  $\square$

Also recall that the set  $\mathbb{R}$  of all real numbers is uncountable. As we develop the solution to this problem, we will also show that all of the following subsets of  $\mathbb{R}$  are uncountable. Notice the zero subscript in the names of the first two sets.

$$\mathbb{R}_0^+ = \{x \in \mathbb{R} \mid x \geq 0\}$$

$$\mathbb{R}_0^- = \{x \in \mathbb{R} \mid x \leq 0\}$$

$$\mathbb{R}^+ = \{x \in \mathbb{R} \mid x > 0\}$$

$$\mathbb{R}^- = \{x \in \mathbb{R} \mid x < 0\}$$

## Part a

We now use Lemma 1 to show that there are no uncountable sets  $A$  and  $B$  such that both  $A - B$  and  $A \cap B$  are countable. That is, we show that if  $A$  and  $B$  are not countable, then  $A - B$  is not countable or  $A \cap B$  is not countable.

We prove the contrapositive. We show that if  $A - B$  and  $A \cap B$  are both countable, then  $A$  is countable or  $B$  is countable.

Suppose that  $A - B$  and  $A \cap B$  are both countable. Notice that  $A = (A - B) \cup (A \cap B)$ . Then  $A$  is countable by Lemma 1 with  $S = A - B$  and  $T = A \cap B$ . A fortiori,  $A$  or  $B$  are countable.

## Part b

We first show that the sets  $\mathbb{R}_0^+ = \{x \in \mathbb{R} \mid x \geq 0\}$  and  $\mathbb{R}_0^- = \{x \in \mathbb{R} \mid x \leq 0\}$  are both uncountable.

From a purported enumeration of  $\mathbb{R}_0^+$  we can obtain an enumeration of  $\mathbb{R}_0^-$  by negating every element in the enumeration. The converse also holds. So, either both  $\mathbb{R}_0^+$  and  $\mathbb{R}_0^-$  are countable, or they are both uncountable. Notice that  $\mathbb{R} = \mathbb{R}_0^+ \cup \mathbb{R}_0^-$ , so if  $\mathbb{R}_0^+$  and  $\mathbb{R}_0^-$  were both countable,  $\mathbb{R}$  would be countable too by Lemma 1, which is a contradiction. Thus, both  $\mathbb{R}_0^+$  and  $\mathbb{R}_0^-$  are uncountable.

Let  $A = \mathbb{R}_0^+$  and  $B = \mathbb{R}_0^-$ . The intersection of  $A$  and  $B$  is the set  $\{0\}$ , which is finite, and, therefore, countable. It follows by Corollary 1 with  $U = A$ ,  $S = \{0\}$ , and  $T = A - B = \{x \in \mathbb{R} \mid x > 0\}$  that  $A - B$  is uncountable. This also makes sense intuitively because taking away one element from an uncountable set should not suddenly make it countable.

Also notice that this shows that  $\mathbb{R}^+$  is uncountable because  $\mathbb{R}^+ = A - B$ . By the above negation argument, this means that the set  $\mathbb{R}^-$  is uncountable, too.

### Part c

Let  $A = \mathbb{R}_0^+$  and  $B = \mathbb{R}^+$ . We showed in part (b) that both of these sets are uncountable.

Notice that the set  $A - B = \{0\}$ , which is finite, and, therefore, countable. Since  $A \cap B = B$  and  $B$  is uncountable, we have found two uncountable sets  $A$  and  $B$  such that  $A - B$  is countable and  $A \cap B$  is uncountable.

### Part d

Now let  $A = \mathbb{R}$  and  $B = \mathbb{R}_0^+$ . Observe that  $A - B = \mathbb{R}^-$  and  $A \cap B = B$ . We saw earlier that the sets  $\mathbb{R}$ ,  $\mathbb{R}_0^+$ , and  $\mathbb{R}^-$  are all uncountable, which means that we have found uncountable sets  $A$  and  $B$  for which  $A - B$  and  $A \cap B$  are both uncountable.

### Part e

We show that for any mapping  $S$  from a countable set  $A$  to countable sets, the union  $\bigcup_{x \in A} S(x)$  is countable. To clarify, if the map  $S$  gets an element  $x \in A$  as input, it outputs the countable set  $S(x)$ .

We define some notation. Define  $Y = \bigcup_{x \in A} S(x)$ . Let  $x_0, x_1, x_2, x_3, \dots$  be an enumeration of  $A$ . Then let  $Y_i = S(x_i)$  for  $i \in \mathbb{N}$ . Since  $S$  maps into countable sets,  $Y_i$  is countable, so there is an enumeration  $y_{i1}, y_{i2}, y_{i3}, \dots$  of  $Y_i$ . Finally, notice that  $Y = \bigcup_{k \in \mathbb{N}} Y_k$ .

We modify the proof we used in class to show that  $\mathbb{Q}$  is countable to prove that  $Y$  is countable. Consider a table whose entry in the  $i$ -th row and  $j$ -th column is  $y_{ij}$ . We show that every element of  $Y$  is somewhere in this table. Let  $y \in Y$ . Since  $Y = \bigcup_{k \in \mathbb{N}} Y_k$ , there is some integer  $i$  such that  $y \in Y_i$ . Furthermore, since  $Y_i$  is countable, there is an integer  $j$  such that  $y = y_{ij}$ . Then  $y$  is in row  $i$  and column  $j$  of our table.

It is also easy to see that our table doesn't contain any elements outside of  $Y$  by construction.

Now we traverse our table diagonal by diagonal, and form a list of elements of  $Y$  the same way we did in order to enumerate all rational numbers. As a reminder, this means that the first few terms of our list are  $y_{01}, y_{02}, y_{11}, y_{03}, y_{12}, y_{21}, y_{04}, \dots$ . Eliminating duplicates gives an enumeration of  $Y$ .

### Part f

Now we drop the requirement from part (e) that  $A$  is countable, and describe a mapping  $S$  from an uncountable set  $A$  to countable sets such that  $\bigcup_{x \in A} S(x)$  is not countable.

Consider the mapping  $S$  that takes elements of  $A$  to singleton subsets of  $A$ , i.e.,  $S(x) = \{x\}$ . Since singleton sets are finite, they are countable, so  $S$  is indeed a mapping from  $A$  to countable sets. Now  $\bigcup_{x \in A} S(x) = \bigcup_{x \in A} \{x\} = A$ . Thus, if  $A$  is not countable, neither is  $\bigcup_{x \in A} S(x)$ .

Thus, if  $A$  is uncountable, there is a mapping  $S$  of  $A$  to countable sets, for which  $\bigcup_{x \in A} S(x)$  is uncountable, which implies that the given statement does not hold in general.

## Problem 4

### Part a

Our goal is to show that  $\sqrt[3]{2}$  is not root-rational. We argue the same way we did in class to show that  $\sqrt{2}$  is irrational. The proof goes by contradiction.

Suppose that  $\sqrt[3]{2}$  is root-rational. Then there exist positive integers  $a$  and  $b$  such that

$$\sqrt[3]{2} = \sqrt{a/b}. \quad (3)$$

In (3), we can assume without loss of generality that  $\gcd(a, b) = 1$ .

Now raise both sides of (3) to the sixth power to get  $4 = a^3/b^3$ , and rearrange to obtain

$$4b^3 = a^3. \quad (4)$$

It follows that  $a^3$  is even. Therefore,  $a$  is even as well, and we can write  $a = 2c$  for some integer  $c$ . Substituting into (4) then yields  $4b^3 = (2c)^3 = 8c^3$ , so  $4b^3 = 8c^3$ , and  $b^3 = 2c^3$ . This means that  $b^3$  is even, so  $b$  is even too. But now  $a$  and  $b$  are both even, which contradicts the assumption that  $\gcd(a, b) = 1$ . Thus, the assumption that  $\sqrt[3]{2}$  is root-rational is wrong, and it follows that  $\sqrt[3]{2}$  is not root-rational.

### Part b

**Proposition 1.** *For every positive integer  $n$ ,  $\sqrt[3]{n}$  is root-rational if and only if  $n$  is a perfect cube, i.e., there exists an integer  $m$  such that  $n = m^3$ .*

*Proof.* Let  $n$  be a positive integer. We prove two implications. First, we show that if  $n$  is a perfect cube, then  $\sqrt[3]{n}$  is root-rational. Second, we show that if  $\sqrt[3]{n}$  is root-rational, then  $n$  is a perfect cube.

Let's first assume that  $n$  is a perfect cube. Then there is a positive integer  $m$  such that  $n = m^3$ . Thus,  $\sqrt[3]{n} = \sqrt[3]{m^3} = m = \sqrt{m^2/1}$ . Since  $m^2$  and 1 are both positive integers, this shows that  $\sqrt[3]{n}$  is root-rational.

Now assume that  $\sqrt[3]{n}$  is root-rational. Then there exist positive integers  $a$  and  $b$  such that  $\sqrt[3]{n} = \sqrt{a/b}$ . Taking the sixth power of the last equality yields

$$n^2 = \frac{a^3}{b^3}. \quad (5)$$

We can assume without loss of generality that  $\gcd(a, b) = 1$ . This means that  $\gcd(a^3, b^3) = 1$  as well, so the fraction  $a^3/b^3$  is in reduced form. Notice that a fraction in reduced form is a natural number only if the denominator is 1. Since  $n^2$  is a positive integer, (5) implies that  $a^3/b^3$  is also a natural number, so  $b^3 = 1$ , and, therefore,  $b = 1$ . Then we can rewrite (5) as  $n^2 = a^3$ .

Now consider the prime factorizations of  $a$  and  $n$ . Let  $p_1, p_2, \dots, p_r$  be distinct primes and  $e_i, f_i \in \mathbb{N}$  for  $i \in \{1, \dots, r\}$  such that

$$\begin{aligned} a &= p_1^{e_1} p_2^{e_2} \cdots p_r^{e_r} \\ n &= p_1^{f_1} p_2^{f_2} \cdots p_r^{f_r} \end{aligned}$$

Since  $n^2 = a^3$ , we have

$$p_1^{2f_1} p_2^{2f_2} \cdots p_r^{2f_r} = p_1^{3e_1} p_2^{3e_2} \cdots p_r^{3e_r} \quad (6)$$

Note that two integers are equal if and only if they have the same prime factorizations, which means that the left-hand side and the right-hand side of (6) are equal if and only if  $2f_i = 3e_i$  for all  $i \in \{1, \dots, r\}$ . This means that 3 divides  $2f_i$ . But then 3 divides  $f_i$ . This means that there exist integers  $d_1, d_2, \dots, d_r$  such that  $f_i = 3d_i$  for all  $i \in \{1, \dots, r\}$ , and we can rewrite the prime factorization of  $n$  as

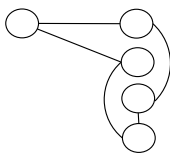
$$n = p_1^{3d_1} p_2^{3d_2} \cdots p_r^{3d_r} = \left( p_1^{d_1} p_2^{d_2} \cdots p_r^{d_r} \right)^3.$$

We see that  $n$  is a perfect cube because it's the cube of  $p_1^{d_1} p_2^{d_2} \cdots p_r^{d_r}$ , which is an integer.

It follows that  $\sqrt[3]{n}$  is root-rational if and only if  $n$  is a perfect cube.  $\square$

## Problem 5

- (a) There exist configurations where the above property does not hold; this is when each person knows exactly two people [and does not know two others by definition]. An example is shown below.



It is not possible for there to be a group of 3 mutual friends if all people know exactly two people; assuming the existence of this group, there will be two people A and B not belonging to it. A and B each need to know exactly two others; but if they know a person C in the friends group, then C will know three people, a contradiction of our assumption. So A and B can at most know one other person; but this also contradicts our assumption.

A similar argument can be made for why it is not possible to have a group of 3 mutual strangers if all people know exactly two people. If such a situation occurred, these three strangers A, B, and C would each have to know D and E [since they cannot know each other]. But then D and E know three people, a contradiction of our assumption.

Note that when interpreted as a graph, the above situation can be more succinctly described as a cycle of size 5. In such a cycle, each node (person) has edges to (knows) exactly two other nodes by definition.

- (b) It can be argued similarly to the case with 6 people that if a single person A knows 3 or more people, the property will hold; if these 3+ people do not know each other at all, they are a group of mutual strangers, and if any of them know each other, those two and A are mutual friends. Likewise, if a single person A knows 1 or less people, then there is a group of 3+

people who A does not know. If any two of them do not know each other, they and A are mutual strangers; but if they all know each other, they are mutual friends.

The only remaining case is when all people know exactly two people. This is the case described in (a), a cycle of size 5. So the property holds for all cases other than a 5-cycle.

### **Extra Credit Problem**

The solution will be described on the next assignment.