

## Lecture 24: Hardness Amplification

Instructors: Holger Dell and Dieter van Melkebeek

Scribe: Xi Wu

## DRAFT

In the last two lectures we show how to translate average-case hardness and further worst-case hardness for *circuits*, via Nisan-Wigderson generator, to pseudorandomness. Let's first review the parameters involved. In a PRG  $G : \{0, 1\}^d \mapsto \{0, 1\}^r$ ,  $d$  is a function of  $r$  and error  $\varepsilon$ . Assume a function  $g : \{0, 1\}^m \mapsto \{0, 1\}$  in E of average hardness  $H_g(m) = s$ , and an  $(r, a)$ -design  $S_1, \dots, S_r$  ( $|S_i| = m$  and  $|S_i \cap S_j| \leq a$ ). Given a seed  $\sigma \in \{0, 1\}^d$ , Nisan-Wigderson generator outputs

$$g(\sigma|_{S_1}) \circ g(\sigma|_{S_2}) \circ \dots \circ g(\sigma|_{S_r})$$

By an unpredictability argument, we show that this generator fools circuits of size  $s - r2^a$  with error  $\varepsilon$  satisfying  $\varepsilon/r = 1/s$ . Now, with a design where  $a = O(\log r)$  and  $d = O(m^2/a)$ , we can bound seed length  $d$  using  $r, \varepsilon$  as follows,

$$\begin{aligned} m &= H_g^{-1}(s) = H_g^{-1}(r/\varepsilon) && \text{by average hardness} \\ d &= O\left(\frac{H_g^{-1}(r/\varepsilon)^2}{\log r}\right) && \text{by combinatorial design} \end{aligned}$$

Let's consider a simple instantiation: suppose  $H_g(m) = 2^m$  and  $\varepsilon = 1/r$ , then  $H_g^{-1}(r/\varepsilon) = \log r/\varepsilon = O(\log r)$ , and the seed length  $d = O(\log r)$ , which is optimal in  $r$  up to constant.

For worst-case hardness, we start with  $f : \{0, 1\}^n \mapsto \{0, 1\}$  of *worst-case hardness*  $C_f(n) = s$ . The idea is to encode  $\chi_f$  using a binary code  $\text{Enc} : \{0, 1\}^N \mapsto \{0, 1\}^{M-1}$  so that the resulting binary sequence gives the characteristic sequence of a function  $g$  that is *average-case hard*, and then plug  $g$  into Nisan-Wigderson generator. The intuition here is that an efficient decoding algorithm, with oracle access to a small circuit  $h : \{0, 1\}^m \mapsto \{0, 1\}$  that computes  $\text{Enc}(\chi_f)$  somewhat well on average, enables reconstructing  $f$  correctly everywhere.

As discussed in the last lecture, the notion of decoding we need is *locally list-decoding*: given decoding radius  $1/2 - \delta$  (note that this can be very close to the limit of decoding radius of binary code). we need that for every  $n$ , there is a list of circuits  $D_1, D_2, \dots$  of size  $s'$  so that for any  $f : \{0, 1\}^n \mapsto \{0, 1\}$ , if  $\text{agr}(\text{Enc}(\chi_f), \chi_h) \geq 1/2 + \delta$ , then there is a circuit  $D_i$  so that  $D_i^h$  computes  $f$  correctly everywhere, and this is a contradiction if the size of  $D_i^h$  is less than  $s$ . Note that  $|D_i^h| = s' \cdot |h|$  where  $|h|$  denotes the size of  $h$ . Therefore, this argument translates worst-case hardness of size  $s$  to  $(s/s', \delta)$ -average-case hardness (generally, a function  $f$  at length  $n$  is  $(s, \varepsilon)$ -average-case hard if any circuit of size  $s$  can correctly compute at most  $1/2 + \varepsilon$ . In the argument above, we are interested in  $(s, 1/s)$ -average-case hard).

This procedure of amplifying worst-case hardness to average-case hardness is known as *hardness amplification* in literature. In our setting, we are amplifying the hardness against non-uniform complexity class: namely circuits. In this lecture we elaborate the details of this amplification with a focus on how the local list-decoding works.

<sup>1</sup>As usual, capital letter denotes powering:  $N = 2^n$ , etc.

# 1 Hardness Amplification

In this section, we prove the following quantitative version of the above discussion

**Theorem 1.** *There exists  $\text{Enc}_{n,s} : \{0,1\}^N \mapsto \{0,1\}^M$  where  $m$  is a function of  $n$  and  $s$  such that*

- (1)  $\text{Enc}_{n,s}$  is computable in time  $\text{poly}(N, s)$ .
- (2) For every  $n, s$  there exists a list of circuits  $D_1, D_2, \dots$  of size  $\text{poly}(n, s)$  such that for any  $h : \{0,1\}^m \mapsto \{0,1\}$  and  $f : \{0,1\}^n \mapsto \{0,1\}$  such that if

$$\delta_H\left(\text{Enc}(\chi_f), \chi_h\right) \leq \frac{1}{2} - \frac{1}{s}$$

then there exists  $i$  such that  $D_i^h$  computes  $f$  correctly everywhere.

## 1.1 High Level Ideas

Our main construction is to concatenate an outer code with distance  $1 - O(1/s)$  and is locally list decodable up to distance  $1 - O(1/s)$  with Hadamard code as inner code. Let's focus on the outer code. A natural first try is Reed-Solomon code. Consider Reed-Solomon code of degree  $d$  over  $\mathbb{F}_q$ . We identify every information word in  $\mathbb{F}_q^{d+1}$  as the values of univariate polynomial  $P$  of degree at most  $d$  in  $d+1$  distinct positions. The encoding of  $P$  is its values at all positions. However, Reed-Solomon is not locally list decodable. The rough reason is that, given a received word of size  $2^{O(n)}$ , we can only afford to look at  $\text{poly-log}(n)$  positions, however these few positions give little information for local decoding. For example, suppose that we want to decode at  $x \in \{0,1\}^n$ , looking at any  $d$  positions leave  $x$  to be equally likely to be any symbol in  $\mathbb{F}_q$ , which is useless.

Instead we use *low-degree extension* and Reed-Muller codes (how it overcomes the local list decoding issue will come out later). Precisely we identify a  $d$ -variate polynomial  $P$  of degree  $\ell - 1$  in each variable by its evaluation at each point of a  $\ell^d$  (which we set to be the length of the information word, that is  $N = \ell^d$ ) cube. We claim that the values on this cube uniquely determines the polynomial **give the inductive proof**. Note that first we extend an information word of length  $\ell^d$  to  $q^d$ , and second, the total degree is bounded by  $d(\ell - 1)$  so the relative minimum distance, by Schwartz-Zippel Lemma is at least  $1 - \frac{d\ell}{q}$ , and we set  $d\ell/q = \Theta(1/s)$ .

The way we decode is where the improvement comes. Precisely, in order to decode at  $x$ , we randomly restrict the received word to a line  $L$  that passes through  $x$ . We do this by uniformly pick a point  $y$ , form line  $L(t) : \mathbb{F}_q \mapsto \mathbb{F}_q^d$  by  $x + ty$ . It is important to observe that restricting to  $L$  gives a univariate polynomial in  $t$  of degree at most  $d\ell$ .

Now consider decoding at radius  $1 - O(d\ell/q)$ . Because each point on the line is uniformly distributed in the cube  $\mathbb{F}_q^d$ , the expected fraction of points that agree with  $P|_L$  is  $\Omega(d\ell/q)$ . Because points on a line are pairwise-independent **explain this**, so we can use Chebyshev bound to show that actually this holds with high probability. Therefore decoding restricting to this line suffices! But now this is exactly list decoding Reed-Solomon of degree at most  $\ell d$ , and we only need to query about  $d\ell$  position, which could be much smaller than  $\ell^d$ .

## 1.2 List-Decoding of Reed-Solomon Code

In this section we give a list-decoding algorithm for Reed-Solomon code which is first discovered by Guruswami and Sudan. We identify each information word  $f \in \mathbb{F}_q^{d+1}$  as evaluations of a polynomial

of degree at most  $d$  at  $d + 1$  points. The encoding is the evaluation of  $f$  at every point. On input  $r \in \mathbb{F}_q$ , we first find a nonzero bivariate polynomial  $Q(Y, X)$  of degree  $d_Y$  in  $Y$  and degree  $d_X$  in  $X$ , so that for every  $y \in \mathbb{F}_q$  (so we have in total  $q$  equations)

$$Q(y, r(y)) = 0$$

There are  $(d_Y + 1)(d_X + 1)$  coefficients in  $Q$ , so nonzero  $Q$  exists provided that

$$(d_Y + 1)(d_X + 1) > q \quad (1)$$

Now we argue that for any polynomial  $g$  of degree at most  $d$ , if  $\text{agr}(\text{Enc}(f), g) \geq \varepsilon$ , then  $Q(Y, g(Y)) \equiv 0$ . This is true provided that the number of inputs we vanish ( $\varepsilon q$ ) is larger than the degree of  $Q(Y, g(Y))$ , so gives condition

$$\varepsilon q > d_Y + d \cdot d_X \quad (2)$$

Therefore now if we consider univariate polynomial  $Q^*(X)$  by viewing  $Q$  as polynomial in  $(\mathbb{F}_q[Y])[X]$ , then the  $g$ 's we are seeking for are all in the factorization of  $Q^*$ . The size of the list is bounded by the degree of  $Q^*$ , which is at most  $d_X$

Now we set parameters, we are interested in maximizing the decoding radius  $1 - \varepsilon$ , while minimizing the list size  $d_X$ . This gives that  $\varepsilon, d_Y, d_X$  as function of  $d, q$ . Set  $d_Y = \lceil \sqrt{dq} \rceil$  and  $d_X = \lceil \sqrt{q/d} \rceil$ , we have that (1) is satisfied, and to satisfy (2),

$$\varepsilon q > 2\sqrt{qd}$$

Therefore set  $\varepsilon \approx 2\sqrt{d/q}$ . Note that the minimum distance of Reed-Solomon code is  $\delta = d/q$ , where  $R = d/q$  is the rate of the code. therefore our decoding radius is  $1 - O(\sqrt{R})$ . Going beyond  $1 - \sqrt{R}$  for  $R < 1/16$  is a long-standing open problem (which is known as the Guruswami-Sudan radius), which is finally resolved by Parvaresh-Vardy codes (as we saw in previous lectures).

### 1.3 Local List-Decoding of Reed-MüDer codes

Let's delve into the parameters. **elaborate the details.**

Setting parameters we have

$$\begin{aligned} d &= \Theta\left(\frac{\log N}{\log \log N}\right) \\ \ell &= \Theta(d \cdot s) \\ q &= \Theta((ds)^2) \end{aligned}$$

## 2 Generalization to Other Models

Our argument for general circuits (both average-case hardness and worst-case hardness arguments) carry through for branching programs. We leave this as an exercise to read to verify. One immediate instantiation of this hardness-randomness tradeoff is that if there is a function  $f_m \in \text{DSPACE}(O(m))$  requires branching programs of linear exponential size, then  $\text{BPL} = \text{L}$ . In fact we get that  $\text{BPL}$  with two-way access to the random tape equals  $L$ .

### 3 On Fooling Constant-depth Circuits

Our argument for general circuits also applies to constant-depth circuits. However, in this case, we only know that the average-case hardness argument could carry through because we do not know whether the list decoding algorithm is computable by small depth circuits. Fortunately, average-case hardness for these circuits are known, and so we have unconditional pseudorandom generator for constant-depth circuits.

**Theorem 2.** *If  $f$  can be computed by a circuit of depth  $d$  and size  $s$ , for every  $\Delta > 0$ , there exists a multivariate polynomial  $P : \{0, 1\}^m \mapsto \mathbb{R}$  of total degree at most  $\Delta$  such that*

$$\mathbb{E}_{x \leftarrow U_n} \left[ \left| f(x) - P(x) \right|^2 \right] \leq 2 \cdot s \cdot 2^{-\Delta^{1/2d}/20}$$

**Theorem 3.** *Any  $k$ -wise uniform distribution with  $k = (\log s / \varepsilon)^{O(d^2)}$  is  $\varepsilon$ -pseudorandom for circuit of depth  $d$  and size  $s$ .*

The first important observation towards proving this result is the following, for any polynomial  $P : \{0, 1\}^n \mapsto \mathbb{R}$  of degree at most  $k$ ,

$$\mathbb{E}[P(D)] = \mathbb{E}[P(U)]$$

if  $D$  is poly-logarithmic-wise independent.