

**Elementary Topology**  
**Problem Textbook**

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*Dedicated to the memory of Vladimir Abramovich Rokhlin (1919–1984)  
– our teacher*

## Introduction

### The subject of the book, Elementary Topology

Elementary means close to elements, basics. It is impossible to determine precisely, once and for all, which topology is elementary, and which is not. The elementary part of a subject is the part with which an expert starts to teach a novice.

We suppose that our student is ready to study topology. So, we do not try to win her or his attention and benevolence by hasty and obscure stories about mysterious and attractive things such as the Klein bottle.<sup>1</sup> All in good time: the Klein bottle will appear in its turn. However, we start with what a topological space is. That is, we start with general topology.

General topology became a part of the general mathematical language long ago. It teaches one to speak clearly and precisely about things related to the idea of continuity. It is needed not only in order to explain what, finally, the Klein bottle is. This is also a way to introduce geometrical images into any area of mathematics, no matter how far from geometry the area may be at first glance.

As an active research area, general topology is practically completed. A permanent usage in the capacity of a general mathematical language has polished its system of definitions and theorems. Nowadays, study of general topology indeed resembles rather a study of a language than a study of mathematics: one has to learn many new words, while the proofs of the majority of theorems are extremely simple. But the quantity of the theorems is huge. This comes as no surprise because they play the role of rules that regulate usage of words.

The book consists of two parts. General topology is the subject of the first one. The second part is an introduction to algebraic topology via its most classical and elementary segment which emerges from the notions of fundamental group and covering space.

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<sup>1</sup>A person who is looking for such elementary topology will easily find it in one of the numerous books with beautiful pictures on visual topology.

In our opinion, elementary topology also includes basic topology of manifolds, i.e., spaces that look locally as the Euclidean space. One- and two-dimensional manifolds, i.e., curves and surfaces, are especially elementary. But a book should not be too thick, and so we had to stop.

Chapter 5 keeps somewhat aloof. Its material is intimately related to a number of different areas of Mathematics. Although it plays a profound role in these areas, it is not that important in the initial study of general topology. Therefore mastering of this material may be postponed until it appears in a substantial way in other mathematical courses (which will concern the Lie groups, functional analysis, etc.). The main reason why we included this material is that it provides a great variety of examples and exercises.

### Organization of the text

Even a cursory overview detects unusual features in organization of this book. We dared to come up with several innovations and hope that the reader will quickly get used to them and even find them useful.

We know that needs and interests of our readers vary, and realize that it is very difficult to make a book interesting and useful for *each* reader. To solve this problem, we decorated the text in such a way that the reader could easily determine what (s)he can expect from each piece of the text. We hope that this will allow the reader to organize studying the material of the book in accordance with his or her tastes and abilities. To achieve this goal, we use several tricks.

First of all, we distinguished the basic, so to speak, lecture line. This is the material which we consider basic. It constitutes a minor part of the text.

The basic material is often interrupted by specific examples, illustrative and training problems, and discussion of the notions that are related to these examples and problems, but are not used in what follows. Some of the notions play a fundamental role in other areas of mathematics, but here they are of minor importance.

In a word, the basic line is interrupted by *variations* wherever possible. The variations are clearly separated from the *basic theme* by graphical means.

The second feature distinguishing the present book from the majority of other textbooks is that proofs are separated from formulations. The book looks nearly as a problem book. It would be easy to make the book looking like hundreds of other mathematical textbooks. For this purpose, it suffices to move all variations to the ends of their sections so that they would look exercises to the basic text, and put the proofs of theorems immediately after their formulations.

**For whom is this book?**

A reader who has safely reached the university level in her/his education may bravely approach this book. Super brave daredevils may try it even earlier. However, we cannot say that no preliminary knowledge is required. We suppose that the reader is familiar with real numbers. And, surely, with natural, integer, and rational numbers, too. Complex numbers will also do no harm, although one can manage without them in the first part of the book.

We assume that the reader is acquainted with naive set theory, but admit that this acquaintance may be superficial. For this reason, we make special set-theoretical digressions where the possession of set theory is particularly desirable.

We do not seriously rely on calculus, but since the majority of our readers are already familiar with it, at least slightly, anyway, we do not hesitate to resort to notation and notions from calculus.

In the second part, an experience in the group theory will be useful, although we give all necessary information about groups.

One of the most valuable acquisitions that the reader can make by mastering of the present book is new elements of mathematical culture and an ability to understand and appreciate an abstract axiomatic theory. The higher the degree in which the reader already possesses this ability, the easier it will be for her or him to master the material of the book.

If you want to study topology on your own, do try to work with the book. It may turn out to be precisely what you need. However, you should attentively read this Introduction again in order to understand how the material is organized and how you can use it.

**The basic theme**

The core of the book is the material of the course of topology for students major in Mathematics at the Saint Petersburg (Leningrad) State University. The material is relatively small and involves nearly no complicated arguments.

The reader should not think that by selecting the basic theme the authors just try to impose their tastes on her or him. We do not hesitate to do this occasionally, but here our prime goal is to organize study of the subject.

The basic theme forms a complete entity. The reader who has mastered the basic theme has mastered the subject. Whether the reader had looked in the variations or not is her or his business. However, the variations have been included in order to help the reader with mastering the basic material. They are not exiled to final pages of sections in order to have them at hand

precisely when they are most needed. By the way, the variations can tell you about many interesting things. However, following the variations too literally and carefully may take far too long.

We believe that the material presented in the basic theme is the minimal amount of topology that must be mastered by every student who decided to become a professional mathematician.

Certainly, a student whose interests will be related to topology and other geometrical disciplines will have to learn by far more than the basic theme includes. In this case the material can serve a good starting point.

For a student who is not going to become a professional mathematician, even a selective acquaintance with the basic theme might be useful. It may be useful for preparation to an exam or just for catching of a glimpse and feeling of abstract mathematics, with its emphasized value of definitions and precise formulations.

### **Where are the proofs?**

The book is tailored for a reader who is determined to work actively.

*The proofs of theorems are separated from their formulations and placed in the end of the current chapter.*

We believe that the first reaction to the formulation of any assertion (coming immediately after the feeling that the formulation has been understood) must be an attempt to prove the assertion. Or to disprove it, if you do not manage to prove. An attempt to disprove an assertion may be useful both for achieving a better understanding of the formulation, and for looking for a proof.

By keeping the proofs away from the formulations, we want to encourage the reader to think through each formulation, and, on the other hand, to make the book inconvenient for careless skimming. However, a reader who prefers a more traditional style and does not wish to work too actively for some reasons can either find the proof in the end of the current chapter, or skip it at all. (Certainly, in the latter case there is a high danger of misunderstanding.)

This style can also please an expert, who prefers formulations not gloomed by proofs. Most of the proofs are simple. They are easy and pleasant to invent.

### **Structure of the book**

Basic structural units of the book are sections. They are divided into numbered and titled subsections. Each subsection is devoted to a single

topic and consists of definitions, comments, theorems, exercises, problems, and riddles.

By a *riddle* we mean a problem whose solution (and often also the meaning) should be rather guessed than calculated or deduced from the formulation.

Theorems, exercises, problems, and riddles belonging to the basic material are numbered by pairs consisting of the number of the current section and a letter, separated by a dot.

**2.B. Riddle.** Taking into account the number of the riddle, determine in which section it must be contained. By the way, is this really a riddle?

The letters are assigned in the alphabetical order. They number the assertions inside a section.

Often a difficult problem (or theorem) is followed by a sequence of assertions that are lemmas to the problem. Such a chain often ends with a problem in which we suggest the reader armed with the lemmas just proven to return to the initial problem (respectively, theorem).

## Variations

The basic material is surrounded by numerous training problems and additional definitions, theorems, and assertions. In spite of their relations to the basic material, they usually are left outside of the standard lecture course.

Such additional material is easy to recognize in the book by the smaller print and wide margins, as here. Exercises, problems, and riddles that are not included into the basic material, but are closely related to it are numbered by pairs consisting of the number of a section and the number of the assertion in the limits of the section.

**2.5.** Find a problem with the same number *2.5* in the main body of the book.

*All solutions of problems are put in the end of the book.*

As is common, the problems that have seemed to be most difficult to the authors are marked by an asterisk. They are included with different purposes: to outline relations to other areas of mathematics, to indicate possible directions of development of the subject, or just to please an ambitious reader.

## Additional themes

We decided to make accessible for interested students certain theoretical topics complementing the basic material. It would be natural to include them into lecture courses designed for senior (or graduate) students. However, this does not happen usually, because the topics do not fit well into

traditional graduate courses. Furthermore, studying them seems to be more natural during the very first contacts with topology.

In the book, such topics are separated into individual subsections, whose numbers contain the symbol  $x$ , which means *extra*. (Sometimes, a whole section is marked in this way, and, in one case, even a whole chapter.)

Certainly, regarding this material as additional is a matter of taste and viewpoint. Qualifying a topic as additional, we follow our own ideas about what must be contained in the initial study of topology. We realize that some (if not most) of our colleagues may disagree with our choice, but we hope that our decorations will not hinder them from using the book.

### **Advices to the reader**

You can use the present book when preparing to an exam in topology (especially so if the exam consists in solving problems). However, if you attend lectures in topology, then it is reasonable to read the book before lectures, and try to prove the assertions in it on your own before the lecturer will prove them.

The reader who can prove assertions of the basic theme on his or her own, needn't solve *all* problems suggested in the variations, and can resort to a brief acquaintance with their formulations and solving the most difficult of them. On the other hand, the more difficult it is for you to prove assertions of the basic theme, the more attention you must pay to illustrative problems, and the less attention to problems with an asterisk.

Many of our illustrative problems are easy to invent. Moreover, when seriously studying a subject, one should permanently invent questions of this kind.

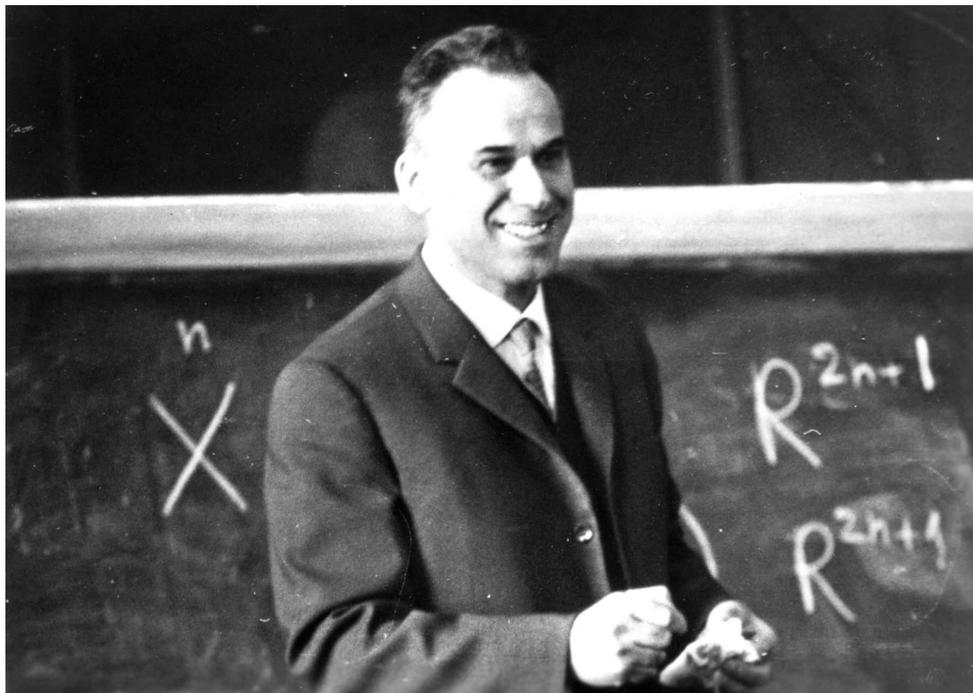
On the other hand, some problems presented in the book are not easy to invent at all. We have widely used all kinds of sources, including both literature and teachers' folklore.

### **How this book was created**

The basic theme follows the course of lectures composed by Vladimir Abramovich Rokhlin at the Faculty of Mathematics and Mechanics of the Leningrad State University in the 1960s. It seems appropriate to begin with circumstances of creating the course, although we started to write this book after Vladimir Abramovich's death (he died in 1984).

In the 1960s, mathematics was one of the most attractive areas of science for young people in the Soviet Union, being second maybe only to physics among the natural sciences. Every year more than a hundred students were enrolled in the mathematical subdivision of the Faculty.

Several dozens of them were alumnae and alumni of mathematical schools. The system and contents of lecture courses at the Faculty were seriously updated.



Vladimir Abramovich Rokhlin gives a lecture, 1960s.

Until Rokhlin created his course, topology was taught in the Faculty only in the framework of special courses. Rokhlin succeeded in including a one-semester course of topology into the system of general mandatory courses. The course consisted of three chapters devoted to general topology, fundamental group and coverings, and manifolds, respectively. The contents of the first two chapters only slightly differed from the basic material of the book. The last chapter started with a general definition of a topological manifold, included a topological classification of one-dimensional manifolds, and ended either with a topological classification of triangulated two-dimensional manifolds, or with elements of differential topology, up to embedding of a smooth manifold in the Euclidean space.

Three of the four authors belong to the first generations of students who attended Rokhlin's lecture course. This was a one-semester course, three hours a week in the first semester of the second year. At most two two-hour lessons during the whole semester were devoted to solving problems. It was not Rokhlin, but his graduate students who conducted these lessons. For instance, in 1966–68 they were conducted by Misha Gromov – an outstanding geometer, at present time a professor of the Paris Institute des Hautes Etudes Scientifiques and the New York Courant Institute. Rokhlin regarded the course as a theoretical one and did not wish to spend the lecture time to solving problems. And, indeed, in the framework of the course one did not have to teach students how to solve series of routine problems,

like problems in techniques of differentiation and integration, that are traditional for calculus.

In spite of the fact that we built our book by starting from Rokhlin's lectures, the book will give you no idea about Rokhlin's style. The lectures were brilliant. Rokhlin wrote very little on the blackboard. Nevertheless, it was very easy to take notes after him. He spoke without haste, with maximally simple and ideally correct sentences.

For the last time, Rokhlin gave his mandatory topology course in 1973. In August of 1974, because of his serious illness, the administration of the Faculty had to look for a person who would substitute Rokhlin as a lecturer. The problem was complicated by the fact that the results of the exams in the preceding year were terrible. In 1973, the time allotted for the course was increased up to four hours a week, while the number of students had grown, and, respectively, the level of their training had decreased. As a result, the grades for exams "crashed down".

It was decided that the whole class, which consisted of about 175 students, should be split into two classes. Professor Viktor A. Zalgaller was appointed to give lectures to the students who were going to specialize in applied mathematics, while Assistant Professor Oleg Ya. Viro would give the lectures to students-mathematicians. Zalgaller suggested to introduce exercise lessons – one hour a week. As a result, the time allotted for the lectures decreased, and de facto the volume of the material was also reduced along with the time.

It remained to understand *what* to do in the exercise lessons. One had to develop a system of problems and exercises that would give an opportunity to revise the definitions given in the lectures, and would allow one to develop skills in proving easy theorems from general topology in the framework of a simple axiomatic theory.

Problems of the first part of the book are a result of our efforts in this direction. Gradually, exercise lessons and problems were becoming more and more useful as long as we had to teach students with lower level of preliminary training. In 1988, the Publishing House of the Leningrad State University published the problems in a small book "Problems in Topology".

Students found the book useful. One of them, Alekseĭ Solov'ev, even translated it into English on his own initiative, when he became a graduate student at the University of California. The translation opened a new stage of the work on the book. We started developing the Russian and English versions in parallel and practically covered the entire material of Rokhlin's course. In 2000, the Publishing House of the Saint Petersburg State University published the second Russian edition of the book, which already included a chapter on the fundamental group and coverings.

The English version was used by O. Viro for his lecture course in the USA (University of California) and Sweden (Uppsala University). The Russian version was used by V. Kharlamov for his lecture courses in France (Strasbourg University). The lectures have been given for quite different audiences: both for undergraduate and graduate students. Furthermore, few professors (some of whom the authors have known personally, while the others have not) asked authors' permission to use the English version in their lectures, both in the countries mentioned above and

other ones. New demands upon the text had arisen. For instance, we were asked to include solutions of problems and proofs of theorems in the book, in order to make it meet the Western standards and transform it from a problem book into a self-sufficient textbook. After some hesitations, we fulfilled those requests, the more so that they were joined by the Publishing House of the American Mathematical Society.

### Acknowledgments

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Each of us has been lucky to be a student of Vladimir Abramovich Rokhlin, to whose memory we dedicate this book.



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*Part 1*

# General Topology

The goal of this part of the book is to teach the language of mathematics. More specifically, one of its most important components: the language of set-theoretic topology, which treats the basic notions related to continuity. The term *general topology* means: this is the topology that is needed and used by most mathematicians. A permanent usage in the capacity of a common mathematical language has polished its system of definitions and theorems. Nowadays, studying general topology really more resembles studying a language rather than mathematics: one needs to learn a lot of new words, while proofs of most theorems are extremely simple. On the other hand, the theorems are numerous because they play the role of rules regulating usage of words.

We have to warn the students for whom this is one of the first mathematical subjects. Do not hurry to fall in love with it, do not let an imprinting happen. This field may seem to be charming, but it is not very active. It hardly provides as much room for exciting new research as many other fields.

# Structures and Spaces

## 1. Digression on Sets

We begin with a digression, which we would like to consider unnecessary. Its subject is the first basic notions of the naive set theory. This is a part of the common mathematical language, too, but even more profound than general topology. We would not be able to say anything about topology without this part (look through the next section to see that this is not an exaggeration). Naturally, it may be expected that the naive set theory becomes familiar to a student when she or he studies Calculus or Algebra, two subjects usually preceding topology. If this is what really happened to you, then, please, glance through this section and move to the next one.

### 1°1. Sets and Elements

In any intellectual activity, one of the most profound actions is gathering objects into groups. The gathering is performed in mind and is not accompanied with any action in the physical world. As soon as the group has been created and assigned a name, it can be a subject of thoughts and arguments and, in particular, can be included into other groups. Mathematics has an elaborated system of notions, which organizes and regulates creating those groups and manipulating them. This system is the *naive set theory*, which is a slightly misleading name because this is rather a language than a theory.

The first words in this language are *set* and *element*. By a set we understand an arbitrary collection of various objects. An object included into the collection is an *element* of the set. A set *consists* of its elements. It

is also *formed* by them. To diversify wording, the word *set* is replaced by the word *collection*. Sometimes other words, such as *class*, *family*, and *group*, are used in the same sense, but this is not quite safe because each of these words is associated in modern mathematics with a more special meaning, and hence should be used instead of the word *set* with caution.

If  $x$  is an element of a set  $A$ , then we write  $x \in A$  and say that  $x$  *belongs to*  $A$  and  $A$  *contains*  $x$ . The sign  $\in$  is a variant of the Greek letter epsilon, which is the first letter of the Latin word *element*. To make notation more flexible, the formula  $x \in A$  is also allowed to be written in the form  $A \ni x$ . So, the origin of notation is sort of ignored, but a more meaningful similarity to the inequality symbols  $<$  and  $>$  is emphasized. To state that  $x$  is not an element of  $A$ , we write  $x \notin A$  or  $A \not\ni x$ .

## 1°2. Equality of Sets

A set is determined by its elements. It is nothing but a collection of its elements. This manifests most sharply in the following principle: *two sets are considered equal if and only if they have the same elements*. In this sense, the word *set* has slightly disparaging meaning. When something is called a set, this shows, maybe unintentionally, a lack of interest to whatever organization of the elements of this set.

For example, when we say that a line is a set of points, we assume that two lines coincide if and only if they consist of the same points. On the other hand, we commit ourselves to consider all relations between points on a line (e.g., the distance between points, the order of points on the line, etc.) separately from the notion of line.

We may think of sets as boxes that can be built effortlessly around elements, just to distinguish them from the rest of the world. The cost of this lightness is that such a box is not more than the collection of elements placed inside. It is a little more than just a name: it is a declaration of our wish to think about this collection of things as of entity and not to go into details about the nature of its members-elements. Elements, in turn, may also be sets, but as long as we consider them elements, they play the role of atoms, with their own original nature ignored.

In modern Mathematics, the words *set* and *element* are very common and appear in most texts. They are even overused. There are instances when it is not appropriate to use them. For example, it is not good to use the word *element* as a replacement for other, more meaningful words. When you call something an *element*, then the *set* whose element is this one should be clear. The word *element* makes sense only in combination with the word *set*, unless we deal with a nonmathematical term (like *chemical element*), or a rare old-fashioned exception from the common mathematical terminology

(sometimes the expression under the sign of integral is called an *infinitesimal element*; in old texts lines, planes, and other geometric images are also called elements). Euclid's famous book on Geometry is called *Elements*, too.

### 1°3. The Empty Set

Thus, an element may not be without a set. However, a set may have no elements. Actually, there is a such set. This set is unique because a set is completely determined by its elements. It is the *empty set* denoted<sup>1</sup> by  $\emptyset$ .

### 1°4. Basic Sets of Numbers

Besides  $\emptyset$ , there are few other sets so important that they have their own unique names and notation. The set of all positive integers, i.e., 1, 2, 3, 4, 5, ..., etc., is denoted by  $\mathbb{N}$ . The set of all integers, both positive, negative, and the zero, is denoted by  $\mathbb{Z}$ . The set of all rational numbers (add to the integers those numbers which can be presented by fractions, like  $\frac{2}{3}$  and  $-\frac{7}{5}$ ) is denoted by  $\mathbb{Q}$ . The set of all real numbers (obtained by adjoining to rational numbers the numbers like  $\sqrt{2}$  and  $\pi = 3.14\dots$ ) is denoted by  $\mathbb{R}$ . The set of complex numbers is denoted by  $\mathbb{C}$ .

### 1°5. Describing a Set by Listing Its Elements

A set presented by a list  $a, b, \dots, x$  of its elements is denoted by the symbol  $\{a, b, \dots, x\}$ . In other words, the list of objects enclosed in curly brackets denotes the set whose elements are listed. For example,  $\{1, 2, 123\}$  denotes the set consisting of the numbers 1, 2, and 123. The symbol  $\{a, x, A\}$  denotes the set consisting of three elements:  $a$ ,  $x$ , and  $A$ , whatever objects these three letters are.

1.1. What is  $\{\emptyset\}$ ? How many elements does it contain?

1.2. Which of the following formulas are correct:

1)  $\emptyset \in \{\emptyset, \{\emptyset\}\}$ ; 2)  $\{\emptyset\} \in \{\{\emptyset\}\}$ ; 3)  $\emptyset \in \{\{\emptyset\}\}$ ?

A set consisting of a single element is a *singleton*. This is any set which can be presented as  $\{a\}$  for some  $a$ .

1.3. Is  $\{\{\emptyset\}\}$  a singleton?

Notice that sets  $\{1, 2, 3\}$  and  $\{3, 2, 1, 2\}$  are equal since they consist of the same elements. At first glance, lists with repetitions of elements are never needed. There arises even a temptation to prohibit usage of lists with repetitions in such a notation. However, as it often happens to temptations to prohibit something, this would not be wise. In fact, quite often one cannot say a priori whether there are repetitions or not. For example, the

<sup>1</sup>Other notation, like  $\Lambda$ , is also in use, but  $\emptyset$  has become common one.

elements in the list may depend on a parameter, and under certain values of the parameter some entries of the list coincide, while for other values they don't.

**1.4.** How many elements do the following sets contain?

- 1)  $\{1, 2, 1\}$ ;      2)  $\{1, 2, \{1, 2\}\}$ ;      3)  $\{\{2\}\}$ ;  
 4)  $\{\{1\}, 1\}$ ;      5)  $\{1, \emptyset\}$ ;      6)  $\{\{\emptyset\}, \emptyset\}$ ;  
 7)  $\{\{\emptyset\}, \{\emptyset\}\}$ ;      8)  $\{x, 3x - 1\}$  for  $x \in \mathbb{R}$ .

## 1°6. Subsets

If  $A$  and  $B$  are sets and every element of  $A$  also belongs to  $B$ , then we say that  $A$  is a *subset* of  $B$ , or  $B$  *includes*  $A$ , and write  $A \subset B$  or  $B \supset A$ .

The inclusion signs  $\subset$  and  $\supset$  resemble the inequality signs  $<$  and  $>$  for a good reason: in the world of sets, the inclusion signs are obvious counterparts for the signs of inequalities.

**1.A.** Let a set  $A$  consist of  $a$  elements, and a set  $B$  of  $b$  elements. Prove that if  $A \subset B$ , then  $a \leq b$ .

## 1°7. Properties of Inclusion

**1.B Reflexivity of Inclusion.** Any set includes itself:  $A \subset A$  holds true for any  $A$ .

Thus, the inclusion signs are not completely true counterparts of the inequality signs  $<$  and  $>$ . They are closer to  $\leq$  and  $\geq$ . Notice that no number  $a$  satisfies the inequality  $a < a$ .

**1.C The Empty Set Is Everywhere.**  $\emptyset \subset A$  for any set  $A$ . In other words, the empty set is present in each set as a subset.

Thus, each set  $A$  has two obvious subsets: the empty set  $\emptyset$  and  $A$  itself. A subset of  $A$  different from  $\emptyset$  and  $A$  is a *proper* subset of  $A$ . This word is used when we do not want to consider the obvious subsets (which are *improper*).

**1.D Transitivity of Inclusion.** If  $A$ ,  $B$ , and  $C$  are sets,  $A \subset B$ , and  $B \subset C$ , then  $A \subset C$ .

## 1°8. To Prove Equality of Sets, Prove Two Inclusions

Working with sets, we need from time to time to prove that two sets, say  $A$  and  $B$ , which may have emerged in quite different ways, are equal. The most common way to do this is provided by the following theorem.

**1.E Criterion of Equality for Sets.**

$$A = B \text{ if and only if } A \subset B \text{ and } B \subset A.$$

**1°9. Inclusion Versus Belonging**

**1.F.**  $x \in A$  if and only if  $\{x\} \subset A$ .

Despite this obvious relation between the notions of belonging  $\in$  and inclusion  $\subset$  and similarity of the symbols  $\in$  and  $\subset$ , the concepts are quite different. Indeed,  $A \in B$  means that  $A$  is an element in  $B$  (i.e., one of the indivisible pieces comprising  $B$ ), while  $A \subset B$  means that  $A$  is made of some of the elements of  $B$ .

In particular,  $A \subset A$ , while  $A \notin A$  for any reasonable  $A$ . Thus, *belonging is not reflexive*. One more difference: *belonging is not transitive*, while inclusion is.

**1.G Nonreflexivity of Belonging.** Construct a set  $A$  such that  $A \notin A$ . Cf. 1.B.

**1.H Non-Transitivity of Belonging.** Construct sets  $A$ ,  $B$ , and  $C$  such that  $A \in B$  and  $B \in C$ , but  $A \notin C$ . Cf. 1.D.

**1°10. Defining a Set by a Condition**

As we know (see 1°5), a set can be described by presenting a list of its elements. This simplest way may be not available or, at least, be not the easiest one. For example, it is easy to say: “the set of all solutions of the following equation” and write down the equation. This is a reasonable description of the set. At least, it is unambiguous. Having accepted it, we may start speaking on the set, studying its properties, and eventually may be lucky to solve the equation and obtain the list of its solutions. However, the latter may be difficult and should not prevent us from discussing the set.

Thus, we see another way for description of a set: to formulate properties that distinguish the elements of the set among elements of some wider and already known set. Here is the corresponding notation: the subset of a set  $A$  consisting of the elements  $x$  that satisfy a condition  $P(x)$  is denoted by  $\{x \in A \mid P(x)\}$ .

**1.5.** Present the following sets by lists of their elements (i.e., in the form  $\{a, b, \dots\}$ )

(a)  $\{x \in \mathbb{N} \mid x < 5\}$ , (b)  $\{x \in \mathbb{N} \mid x < 0\}$ , (c)  $\{x \in \mathbb{Z} \mid x < 0\}$ .

**1°11. Intersection and Union**

The *intersection* of sets  $A$  and  $B$  is the set consisting of their common elements, i.e., elements belonging both to  $A$  and  $B$ . It is denoted by  $A \cap B$  and can be described by the formula

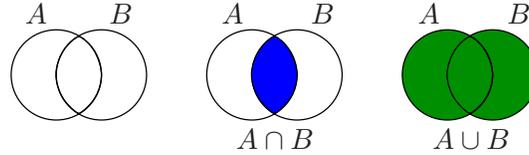
$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}.$$

Two sets  $A$  and  $B$  are *disjoint* if their intersection is empty, i.e.,  $A \cap B = \emptyset$ .

The *union* of two sets  $A$  and  $B$  is the set consisting of all elements that belong to at least one of these sets. The union of  $A$  and  $B$  is denoted by  $A \cup B$ . It can be described by the formula

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}.$$

Here the conjunction *or* should be understood in the inclusive way: the statement “ $x \in A$  or  $x \in B$ ” means that  $x$  belongs to *at least one* of the sets  $A$  and  $B$ , but, maybe, to both of them.



**Figure 1.** The sets  $A$  and  $B$ , their intersection  $A \cap B$ , and their union  $A \cup B$ .

**1.I Commutativity of  $\cap$  and  $\cup$ .** For any two sets  $A$  and  $B$ , we have

$$A \cap B = B \cap A \quad \text{and} \quad A \cup B = B \cup A.$$

**1.6.** Prove that for any set  $A$  we have

$$A \cap A = A, \quad A \cup A = A, \quad A \cup \emptyset = A, \quad \text{and} \quad A \cap \emptyset = \emptyset.$$

**1.7.** Prove that for any sets  $A$  and  $B$  we have

$$A \subset B, \quad \text{iff} \quad A \cap B = A, \quad \text{iff} \quad A \cup B = B.$$

**1.J Associativity of  $\cap$  and  $\cup$ .** For any sets  $A$ ,  $B$ , and  $C$ , we have

$$(A \cap B) \cap C = A \cap (B \cap C) \quad \text{and} \quad (A \cup B) \cup C = A \cup (B \cup C).$$

Associativity allows us not to care about brackets and sometimes even omit them. We define  $A \cap B \cap C = (A \cap B) \cap C = A \cap (B \cap C)$  and  $A \cup B \cup C = (A \cup B) \cup C = A \cup (B \cup C)$ . However, intersection and union of an arbitrarily large (in particular, infinite) collection of sets can be defined directly, without reference to intersection or union of two sets. Indeed, let  $\Gamma$  be a collection of sets. The *intersection* of the sets in  $\Gamma$  is the set formed by the elements that belong to *every* set in  $\Gamma$ . This set is denoted by  $\bigcap_{A \in \Gamma} A$ . Similarly, the *union* of the sets in  $\Gamma$  is the set formed by elements that belong to *at least one* of the sets in  $\Gamma$ . This set is denoted by  $\bigcup_{A \in \Gamma} A$ .

**1.K.** The notions of intersection and union of an arbitrary collection of sets generalize the notions of intersection and union of two sets: for  $\Gamma = \{A, B\}$ , we have

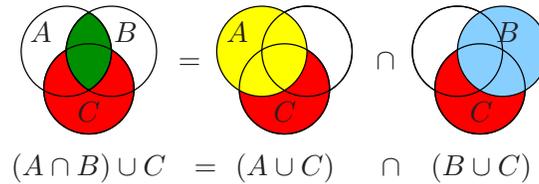
$$\bigcap_{C \in \Gamma} C = A \cap B \quad \text{and} \quad \bigcup_{C \in \Gamma} C = A \cup B.$$

**1.8. Riddle.** How do the notions of system of equations and intersection of sets related to each other?

**1.L Two Distributivities.** For any sets  $A$ ,  $B$ , and  $C$ , we have

$$(A \cap B) \cup C = (A \cup C) \cap (B \cup C), \quad (1)$$

$$(A \cup B) \cap C = (A \cap C) \cup (B \cap C). \quad (2)$$



**Figure 2.** The left-hand side  $(A \cap B) \cup C$  of equality (1) and the sets  $A \cup C$  and  $B \cup C$ , whose intersection is the right-hand side of the equality (1).

In Figure 2, the first equality of Theorem 1.L is illustrated by a sort of comics. Such comics are called *Venn diagrams* or *Euler circles*. They are quite useful and we strongly recommend to try to draw them for each formula about sets (at least, for formulas involving at most three sets).

**1.M.** Draw a Venn diagram illustrating (2). Prove (1) and (2) by tracing all details of the proofs in the Venn diagrams. Draw Venn diagrams illustrating all formulas below in this section.

**1.9. Riddle.** Generalize Theorem 1.L to the case of arbitrary collections of sets.

**1.N Yet Another Pair of Distributivities.** Let  $A$  be a set and  $\Gamma$  be a set consisting of sets. Then we have

$$A \cap \bigcup_{B \in \Gamma} B = \bigcup_{B \in \Gamma} (A \cap B) \quad \text{and} \quad A \cup \bigcap_{B \in \Gamma} B = \bigcap_{B \in \Gamma} (A \cup B).$$

### 1°12. Different Differences

The *difference*  $A \setminus B$  of two sets  $A$  and  $B$  is the set of those elements of  $A$  which do not belong to  $B$ . Here we do not assume that  $A \supset B$ .

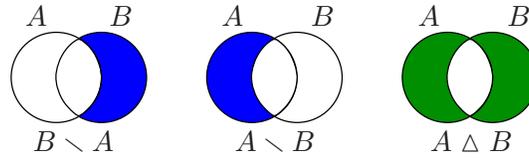
If  $A \supset B$ , then the set  $A \setminus B$  is also called the *complement* of  $B$  in  $A$ .

**1.10.** Prove that for any sets  $A$  and  $B$  their union  $A \cup B$  is the union of the following three sets:  $A \setminus B$ ,  $B \setminus A$ , and  $A \cap B$ , which are pairwise disjoint.

**1.11.** Prove that  $A \setminus (A \setminus B) = A \cap B$  for any sets  $A$  and  $B$ .

**1.12.** Prove that  $A \subset B$  if and only if  $A \setminus B = \emptyset$ .

**1.13.** Prove that  $A \cap (B \setminus C) = (A \cap B) \setminus (A \cap C)$  for any sets  $A$ ,  $B$ , and  $C$ .



**Figure 3.** Differences of the sets  $A$  and  $B$ .

The set  $(A \setminus B) \cup (B \setminus A)$  is the *symmetric difference* of the sets  $A$  and  $B$ . It is denoted by  $A \Delta B$ .

**1.14.** Prove that for any sets  $A$  and  $B$

$$A \Delta B = (A \cup B) \setminus (A \cap B)$$

**1.15 Associativity of Symmetric Difference.** Prove that for any sets  $A$ ,  $B$ , and  $C$  we have

$$(A \Delta B) \Delta C = A \Delta (B \Delta C).$$

**1.16. Riddle.** Find a symmetric definition of the symmetric difference  $(A \Delta B) \Delta C$  of three sets and generalize it to arbitrary finite collections of sets.

**1.17 Distributivity.** Prove that  $(A \Delta B) \cap C = (A \cap C) \Delta (B \cap C)$  for any sets  $A$ ,  $B$ , and  $C$ .

**1.18.** Does the following equality hold true for any sets  $A$ ,  $B$ , and  $C$ :

$$(A \Delta B) \cup C = (A \cup C) \Delta (B \cup C)?$$

## 2. Topology in a Set

### 2°1. Definition of Topological Space

Let  $X$  be a set. Let  $\Omega$  be a collection of its subsets such that:

- (1) the union of any collection of sets that are elements of  $\Omega$  belongs to  $\Omega$ ;
- (2) the intersection of any finite collection of sets that are elements of  $\Omega$  belongs to  $\Omega$ ;
- (3) the empty set  $\emptyset$  and the whole  $X$  belong to  $\Omega$ .

Then

- $\Omega$  is a *topological structure* or just a *topology*<sup>2</sup> in  $X$ ;
- the pair  $(X, \Omega)$  is a *topological space*;
- elements of  $X$  are *points* of this topological space;
- elements of  $\Omega$  are *open sets* of the topological space  $(X, \Omega)$ .

The conditions in the definition above are the *axioms of topological structure*.

### 2°2. Simplest Examples

A *discrete topological space* is a set with the topological structure consisting of all subsets.

**2.A.** Check that this is a topological space, i.e., all axioms of topological structure hold true.

An *indiscrete topological space* is the opposite example, in which the topological structure is the most meager. It consists only of  $X$  and  $\emptyset$ .

**2.B.** This is a topological structure, is it not?

Here are slightly less trivial examples.

**2.1.** Let  $X$  be the ray  $[0, +\infty)$ , and let  $\Omega$  consist of  $\emptyset$ ,  $X$ , and all rays  $(a, +\infty)$  with  $a \geq 0$ . Prove that  $\Omega$  is a topological structure.

**2.2.** Let  $X$  be a plane. Let  $\Sigma$  consist of  $\emptyset$ ,  $X$ , and all open disks with center at the origin. Is this a topological structure?

**2.3.** Let  $X$  consist of four elements:  $X = \{a, b, c, d\}$ . Which of the following collections of its subsets are topological structures in  $X$ , i.e., satisfy the axioms of topological structure:

---

<sup>2</sup>Thus  $\Omega$  is important: it is called by the same word as the whole branch of mathematics. Certainly, this does not mean that  $\Omega$  coincides with the subject of topology, but nearly everything in this subject is related to  $\Omega$ .

- (1)  $\emptyset, X, \{a\}, \{b\}, \{a, c\}, \{a, b, c\}, \{a, b\}$ ;
- (2)  $\emptyset, X, \{a\}, \{b\}, \{a, b\}, \{b, d\}$ ;
- (3)  $\emptyset, X, \{a, c, d\}, \{b, c, d\}$ ?

The space of 2.1 is the *arrow*. We denote the space of 2.3 (1) by  $\mathbb{V}$ . It is a sort of toy space made of 4 points. Both spaces, as well as the space of 2.2, are not too important, but they provide good simple examples.

### 2°3. The Most Important Example: Real Line

Let  $X$  be the set  $\mathbb{R}$  of all real numbers,  $\Omega$  the set of unions of all intervals  $(a, b)$  with  $a, b \in \mathbb{R}$ .

**2.C.** Check whether  $\Omega$  satisfies the axioms of topological structure.

This is the topological structure which is always meant when  $\mathbb{R}$  is considered as a topological space (unless another topological structure is explicitly specified). This space is usually called the *real line*, and the structure is referred to as the *canonical* or *standard* topology in  $\mathbb{R}$ .

### 2°4. Additional Examples

**2.4.** Let  $X$  be  $\mathbb{R}$ , and let  $\Omega$  consist of the empty set and all infinite subsets of  $\mathbb{R}$ . Is  $\Omega$  a topological structure?

**2.5.** Let  $X$  be  $\mathbb{R}$ , and let  $\Omega$  consists of the empty set and complements of all finite subsets of  $\mathbb{R}$ . Is  $\Omega$  a topological structure?

The space of 2.5 is denoted by  $\mathbb{R}_{T_1}$  and called the *line with  $T_1$ -topology*.

**2.6.** Let  $(X, \Omega)$  be a topological space,  $Y$  the set obtained from  $X$  by adding a single element  $a$ . Is

$$\{\{a\} \cup U \mid U \in \Omega\} \cup \{\emptyset\}$$

a topological structure in  $Y$ ?

**2.7.** Is the set  $\{\emptyset, \{0\}, \{0, 1\}\}$  a topological structure in  $\{0, 1\}$ ?

If the topology  $\Omega$  in Problem 2.6 is discrete, then the topology in  $Y$  is called a *particular point topology* or *topology of everywhere dense point*. The topology in Problem 2.7 is a particular point topology; it is also called the *topology of connected pair of points* or *Sierpiński topology*.

**2.8.** List all topological structures in a two-element set, say, in  $\{0, 1\}$ .

### 2°5. Using New Words: Points, Open Sets, Closed Sets

We recall that, for a topological space  $(X, \Omega)$ , elements of  $X$  are *points*, and elements of  $\Omega$  are *open sets*.<sup>3</sup>

**2.D.** Reformulate the axioms of topological structure using the words *open set* wherever possible.

<sup>3</sup>The letter  $\Omega$  stands for the letter  $O$  which is the initial of the words with the same meaning: *Open* in English, *Otkrytyj* in Russian, *Offen* in German, *Ouvert* in French.

A set  $F \subset X$  is *closed* in the space  $(X, \Omega)$  if its complement  $X \setminus F$  is open (i.e.,  $X \setminus F \in \Omega$ ).

### 2°6. Set-Theoretic Digression: De Morgan Formulas

**2.E.** Let  $\Gamma$  be an arbitrary collection of subsets of a set  $X$ . Then

$$X \setminus \bigcup_{A \in \Gamma} A = \bigcap_{A \in \Gamma} (X \setminus A), \quad (3)$$

$$X \setminus \bigcap_{A \in \Gamma} A = \bigcup_{A \in \Gamma} (X \setminus A). \quad (4)$$

Formula (4) is deduced from (3) in one step, is it not? These formulas are nonsymmetric cases of a single formulation, which contains in a symmetric way sets and their complements, unions, and intersections.

**2.9. Riddle.** Find such a formulation.

### 2°7. Properties of Closed Sets

**2.F.** Prove that:

- (1) the intersection of any collection of closed sets is closed;
- (2) the union of any finite number of closed sets is closed;
- (3) the empty set and the whole space (i.e., the underlying set of the topological structure) are closed.

### 2°8. Being Open or Closed

Notice that the property of being closed is not the negation of the property of being open. (They are not exact antonyms in everyday usage, too.)

**2.G.** Find examples of sets that are

- (1) both open and closed simultaneously (open-closed);
- (2) neither open, nor closed.

**2.10.** Give an explicit description of closed sets in

- (a) a discrete space; (b) an indiscrete space;
- (c) the arrow; (d)  $\mathbb{V}$ ;
- (e)  $\mathbb{R}_{T_1}$ .

**2.H.** Is a closed segment  $[a, b]$  closed in  $\mathbb{R}$ ?

The concepts of closed and open sets are similar in a number of ways. The main difference is that the intersection of an infinite collection of open sets is not necessarily open, while the intersection of any collection of closed sets is closed. Along the same lines, the union of an infinite collection of closed sets is not necessarily closed, while the union of any collection of open sets is open.

**2.11.** Prove that the half-open interval  $[0, 1)$  is neither open nor closed in  $\mathbb{R}$ , but is both a union of closed sets and an intersection of open sets.

**2.12.** Prove that the set  $A = \{0\} \cup \{\frac{1}{n} \mid n \in \mathbb{N}\}$  is closed in  $\mathbb{R}$ .

## 2°9. Characterization of Topology in Terms of Closed Sets

**2.13.** Suppose a collection  $\mathcal{F}$  of subsets of  $X$  satisfies the following conditions:

- (1) the intersection of any family of sets from  $\mathcal{F}$  belongs to  $\mathcal{F}$ ;
- (2) the union of any finite number sets from  $\mathcal{F}$  belongs to  $\mathcal{F}$ ;
- (3)  $\emptyset$  and  $X$  belong to  $\mathcal{F}$ .

Prove that then  $\mathcal{F}$  is the set of all closed sets of a topological structure (which one?).

**2.14.** List all collections of subsets of a three-element set such that there exist topologies where these collections are complete sets of closed sets.

## 2°10. Neighborhoods

A *neighborhood* of a point is any open set containing this point. Analysts and French mathematicians (following N. Bourbaki) prefer a wider notion of neighborhood: they use this word for any set containing a neighborhood in the above sense.

- 2.15.** Give an explicit description of all neighborhoods of a point in
- |                               |                                |
|-------------------------------|--------------------------------|
| (a) a discrete space;         | (b) an indiscrete space;       |
| (c) the arrow;                | (d) $\mathfrak{V}$ ;           |
| (e) connected pair of points; | (f) particular point topology. |

## 2°11x. Open Sets on Line

**2.Ax.** Prove that every open subset of the real line is a union of disjoint open intervals.

At first glance, Theorem 2.Ax suggests that open sets on the line are simple. However, an open set may lie on the line in a quite complicated manner. Its complement can be not that simple. The complement of an open set is a closed set. One can naively expect that a closed set on  $\mathbb{R}$  is a union of closed intervals. The next important example shows that this is far from being true.

## 2°12x. Cantor Set

Let  $K$  be the set of real numbers that are sums of series of the form  $\sum_{k=1}^{\infty} \frac{a_k}{3^k}$  with  $a_k = 0$  or  $2$ . In other words,  $K$  is the set of real numbers that are presented as  $0.a_1a_2 \dots a_k \dots$  without the digit 1 in the positional system with base 3.

**2.Bx.** Find a geometric description of  $K$ .

**2.Bx.1.** Prove that

- (1)  $K$  is contained in  $[0, 1]$ ,
- (2)  $K$  does not intersect  $(\frac{1}{3}, \frac{2}{3})$ ,
- (3)  $K$  does not intersect  $(\frac{3s+1}{3^k}, \frac{3s+2}{3^k})$  for any integers  $k$  and  $s$ .

**2.Bx.2.** Present  $K$  as  $[0, 1]$  with an infinite family of open intervals removed.

**2.Bx.3.** Try to sketch  $K$ .

The set  $K$  is the *Cantor set*. It has a lot of remarkable properties and is involved in numerous problems below.

**2.Cx.** Prove that  $K$  is a closed set in the real line.

### 2°13x. Topology and Arithmetic Progressions

**2.Dx\*.** Consider the following property of a subset  $F$  of the set  $\mathbb{N}$  of positive integers: there exists  $N \in \mathbb{N}$  such that  $F$  contains no arithmetic progressions of length greater than  $N$ . Prove that subsets with this property together with the whole  $\mathbb{N}$  form a collection of closed subsets in some topology in  $\mathbb{N}$ .

When solving this problem, you probably will need the following combinatorial theorem.

**2.Ex Van der Waerden's Theorem\*.** For every  $n \in \mathbb{N}$ , there exists  $N \in \mathbb{N}$  such that for any subset  $A \subset \{1, 2, \dots, N\}$ , either  $A$  or  $\{1, 2, \dots, N\} \setminus A$  contains an arithmetic progression of length  $n$ .

See [2].

### 3. Bases

#### 3°1. Definition of Base

The topological structure is usually presented by describing its part which is sufficient to recover the whole structure. A collection  $\Sigma$  of open sets is a *base* for a topology if each nonempty open set is a union of sets belonging to  $\Sigma$ . For instance, all intervals form a base for the real line.

**3.1.** Can two distinct topological structures have the same base?

**3.2.** Find some bases of topology of

- (a) a discrete space;                      (b)  $\mathbb{V}$ ;  
 (c) an indiscrete space;                    (d) the arrow.

Try to choose the smallest possible bases.

**3.3.** Prove that any base of the canonical topology in  $\mathbb{R}$  can be decreased.

**3.4. Riddle.** What topological structures have exactly one base?

#### 3°2. When a Collection of Sets is a Base

**3.A.** A collection  $\Sigma$  of open sets is a base for the topology iff for every open set  $U$  and every point  $x \in U$  there is a set  $V \in \Sigma$  such that  $x \in V \subset U$ .

**3.B.** A collection  $\Sigma$  of subsets of a set  $X$  is a base for a certain topology in  $X$  iff  $X$  is a union of sets in  $\Sigma$  and the intersection of any two sets in  $\Sigma$  is a union of sets in  $\Sigma$ .

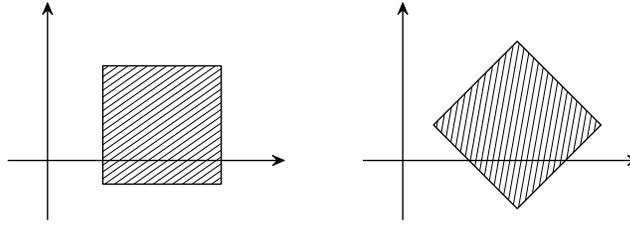
**3.C.** Show that the second condition in 3.B (on the intersection) is equivalent to the following: the intersection of any two sets in  $\Sigma$  contains, together with any of its points, some set in  $\Sigma$  containing this point (cf. 3.A).

#### 3°3. Bases for Plane

Consider the following three collections of subsets of  $\mathbb{R}^2$ :

- $\Sigma^2$ , which consists of all possible open disks (i.e., disks without their boundary circles);
- $\Sigma^\infty$ , which consists of all possible open squares (i.e., squares without their sides and vertices) with sides parallel to the coordinate axis;
- $\Sigma^1$ , which consists of all possible open squares with sides parallel to the bisectors of the coordinate angles.

(The squares in  $\Sigma^\infty$  and  $\Sigma^1$  are determined by the inequalities  $\max\{|x - a|, |y - b|\} < \rho$  and  $|x - a| + |y - b| < \rho$ , respectively.)



**3.5.** Prove that every element of  $\Sigma^2$  is a union of elements of  $\Sigma^\infty$ .

**3.6.** Prove that the intersection of any two elements of  $\Sigma^1$  is a union of elements of  $\Sigma^1$ .

**3.7.** Prove that each of the collections  $\Sigma^2$ ,  $\Sigma^\infty$ , and  $\Sigma^1$  is a base for some topological structure in  $\mathbb{R}^2$ , and that the structures determined by these collections coincide.

### 3°4. Subbases

Let  $(X, \Omega)$  be a topological space. A collection  $\Delta$  of its open subsets is a *subbase* for  $\Omega$  provided that the collection

$$\Sigma = \{V \mid V = \bigcap_{i=1}^k W_i, k \in \mathbb{N}, W_i \in \Delta\}$$

of all finite intersections of sets in  $\Delta$  is a base for  $\Omega$ .

**3.8.** Let for any set  $X$   $\Delta$  be a collection of its subsets. Prove that  $\Delta$  is a subbase for a topology in  $X$  iff  $X = \bigcup_{W \in \Delta} W$ .

### 3°5. Infiniteness of the Set of Prime Numbers

**3.9.** Prove that all infinite arithmetic progressions consisting of positive integers form a base for some topology in  $\mathbb{N}$ .

**3.10.** Using this topology, prove that the set of all prime numbers is infinite.

### 3°6. Hierarchy of Topologies

If  $\Omega_1$  and  $\Omega_2$  are topological structures in a set  $X$  such that  $\Omega_1 \subset \Omega_2$ , then  $\Omega_2$  is *finer* than  $\Omega_1$ , and  $\Omega_1$  is *coarser* than  $\Omega_2$ . For instance, the indiscrete topology is the coarsest topology among all topological structures in the same set, while the discrete topology is the finest one, is it not?

**3.11.** Show that the  $T_1$ -topology in the real line (see 2°4) is coarser than the canonical topology.

Two bases determining the same topological structure are *equivalent*.

**3.D. Riddle.** Formulate a necessary and sufficient condition for two bases to be equivalent without explicitly mentioning the topological structures determined by the bases. (Cf. 3.7: the bases  $\Sigma^2$ ,  $\Sigma^\infty$ , and  $\Sigma^1$  must satisfy the condition you are looking for.)

## 4. Metric Spaces

### 4°1. Definition and First Examples

A function  $\rho : X \times X \rightarrow \mathbb{R}_+ = \{x \in \mathbb{R} \mid x \geq 0\}$  is a *metric* (or *distance function*) in  $X$  if

- (1)  $\rho(x, y) = 0$  iff  $x = y$ ;
- (2)  $\rho(x, y) = \rho(y, x)$  for any  $x, y \in X$ ;
- (3)  $\rho(x, y) \leq \rho(x, z) + \rho(z, y)$  for any  $x, y, z \in X$ .

The pair  $(X, \rho)$ , where  $\rho$  is a metric in  $X$ , is a *metric space*. Condition (3) is the *triangle inequality*.

**4.A.** Prove that the function

$$\rho : X \times X \rightarrow \mathbb{R}_+ : (x, y) \mapsto \begin{cases} 0 & \text{if } x = y, \\ 1 & \text{if } x \neq y \end{cases}$$

is a metric for any set  $X$ .

**4.B.** Prove that  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}_+ : (x, y) \mapsto |x - y|$  is a metric.

**4.C.** Prove that  $\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}_+ : (x, y) \mapsto \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$  is a metric.

The metrics of 4.B and 4.C are always meant when  $\mathbb{R}$  and  $\mathbb{R}^n$  are considered as metric spaces unless another metric is specified explicitly. The metric of 4.B is a special case of the metric of 4.C. All these metrics are called *Euclidean*.

### 4°2. Further Examples

**4.1.** Prove that  $\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}_+ : (x, y) \mapsto \max_{i=1, \dots, n} |x_i - y_i|$  is a metric.

**4.2.** Prove that  $\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}_+ : (x, y) \mapsto \sum_{i=1}^n |x_i - y_i|$  is a metric.

The metrics in  $\mathbb{R}^n$  introduced in 4.C–4.2 are members of an infinite series of the metrics:

$$\rho^{(p)} : (x, y) \mapsto \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}}, \quad p \geq 1.$$

**4.3.** Prove that  $\rho^{(p)}$  is a metric for any  $p \geq 1$ .

**4.3.1 Hölder Inequality.** Prove that

$$\sum_{i=1}^n x_i y_i \leq \left( \sum_{i=1}^n x_i^p \right)^{1/p} \left( \sum_{i=1}^n y_i^q \right)^{1/q}$$

if  $x_i, y_i \geq 0$ ,  $p, q > 0$ , and  $\frac{1}{p} + \frac{1}{q} = 1$ .

The metric of 4.C is  $\rho^{(2)}$ , that of 4.2 is  $\rho^{(1)}$ , and that of 4.1 can be denoted by  $\rho^{(\infty)}$  and appended to the series since

$$\lim_{p \rightarrow +\infty} \left( \sum_{i=1}^n a_i^p \right)^{1/p} = \max a_i,$$

for any positive  $a_1, a_2, \dots, a_n$ .

**4.4. Riddle.** How is this related to  $\Sigma^2$ ,  $\Sigma^\infty$ , and  $\Sigma^1$  from Section 3?

For a number  $p \geq 1$  denote by  $l^{(p)}$  the set of sequences  $x = \{x_i\}_{i=1,2,\dots}$  such that the series  $\sum_{i=1}^\infty |x_i|^p$  converges.

**4.5.** Prove that for any two sequences  $x, y \in l^{(p)}$  the series  $\sum_{i=1}^\infty |x_i - y_i|^p$  converges and that

$$(x, y) \mapsto \left( \sum_{i=1}^\infty |x_i - y_i|^p \right)^{1/p}, \quad p \geq 1$$

is a metric in  $l^{(p)}$ .

### 4°3. Balls and Spheres

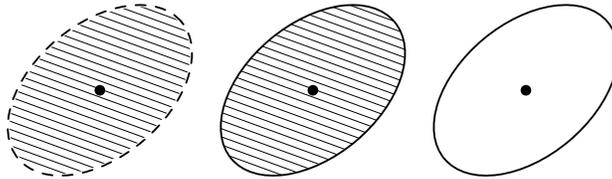
Let  $(X, \rho)$  be a metric space,  $a \in X$  a point,  $r$  a positive real number. Then the sets

$$B_r(a) = \{x \in X \mid \rho(a, x) < r\}, \quad (5)$$

$$D_r(a) = \{x \in X \mid \rho(a, x) \leq r\}, \quad (6)$$

$$S_r(a) = \{x \in X \mid \rho(a, x) = r\} \quad (7)$$

are, respectively, the *open ball*, *closed ball*, and *sphere* of the space  $(X, \rho)$  with center  $a$  and radius  $r$ .



### 4°4. Subspaces of a Metric Space

If  $(X, \rho)$  is a metric space and  $A \subset X$ , then the restriction of the metric  $\rho$  to  $A \times A$  is a metric in  $A$ , and so  $(A, \rho|_{A \times A})$  is a metric space. It is called a *subspace* of  $(X, \rho)$ .

The disk  $D_1(0)$  and the sphere  $S_1(0)$  in  $\mathbb{R}^n$  (with Euclidean metric, see 4.C) are denoted by  $D^n$  and  $S^{n-1}$  and called the (*unit*)  $n$ -*disk* and  $(n-1)$ -*sphere*. They are regarded as metric spaces (with the metric induced from  $\mathbb{R}^n$ ).

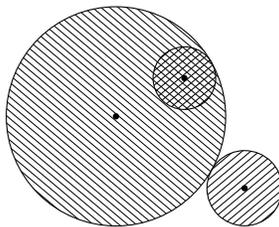
**4.D.** Check that  $D^1$  is the segment  $[-1, 1]$ ,  $D^2$  is a plane disk,  $S^0$  is the pair of points  $\{-1, 1\}$ ,  $S^1$  is a circle,  $S^2$  is a sphere, and  $D^3$  is a ball.

The last two assertions clarify the origin of the terms *sphere* and *ball* (in the context of metric spaces).

Some properties of balls and spheres in an arbitrary metric space resemble familiar properties of planar disks and circles and spatial balls and spheres.

**4.E.** Prove that for any points  $x$  and  $a$  of any metric space and any  $r > \rho(a, x)$  we have

$$B_{r-\rho(a,x)}(x) \subset B_r(a) \text{ and } D_{r-\rho(a,x)}(x) \subset D_r(a).$$



**4.6. Riddle.** What if  $r < \rho(x, a)$ ? What is an analog for the statement of Problem 4.E in this case?

#### 4°5. Surprising Balls

However, balls and spheres in other metric spaces may have rather surprising properties.

**4.7.** What are balls and spheres in  $\mathbb{R}^2$  equipped with the metrics of 4.1 and 4.2? (Cf. 4.4.)

**4.8.** Find  $D_1(a)$ ,  $D_{\frac{1}{2}}(a)$ , and  $S_{\frac{1}{2}}(a)$  in the space of 4.A.

**4.9.** Find a metric space and two balls in it such that the ball with the smaller radius contains the ball with the bigger one and does not coincide with it.

**4.10.** What is the minimal number of points in the space which is required to be constructed in 4.9?

**4.11.** Prove that in 4.9 the largest radius does not exceed double the smaller radius.

#### 4°6. Segments (What Is Between)

**4.12.** Prove that the segment with endpoints  $a, b \in \mathbb{R}^n$  can be described as

$$\{x \in \mathbb{R}^n \mid \rho(a, x) + \rho(x, b) = \rho(a, b)\},$$

where  $\rho$  is the Euclidean metric.

**4.13.** How does the set defined as in 4.12 look like if  $\rho$  is the metric defined in 4.1 or 4.2? (Consider the case, where  $n = 2$  if it seems to be easier.)

### 4°7. Bounded Sets and Balls

A subset  $A$  of a metric space  $(X, \rho)$  is *bounded* if there is a number  $d > 0$  such that  $\rho(x, y) < d$  for any  $x, y \in A$ . The greatest lower bound for such  $d$  is the *diameter* of  $A$ , it is denoted by  $\text{diam}(A)$ .

**4.F.** Prove that a set  $A$  is bounded iff  $A$  is contained in a ball.

**4.14.** What is the relation between the minimal radius of such a ball and  $\text{diam}(A)$ ?

### 4°8. Norms and Normed Spaces

Let  $X$  be a vector space (over  $\mathbb{R}$ ). A function  $X \rightarrow \mathbb{R}_+ : x \mapsto \|x\|$  is a *norm* if

- (1)  $\|x\| = 0$  iff  $x = 0$ ;
- (2)  $\|\lambda x\| = |\lambda| \|x\|$  for any  $\lambda \in \mathbb{R}$  and  $x \in X$ ;
- (3)  $\|x + y\| \leq \|x\| + \|y\|$  for any  $x, y \in X$ .

**4.15.** Prove that if  $x \mapsto \|x\|$  is a norm, then

$$\rho : X \times X \rightarrow \mathbb{R}_+ : (x, y) \mapsto \|x - y\|$$

is a metric.

A vector space equipped with a norm is a *normed space*. The metric determined by the norm as in 4.15 transforms the normed space into a metric space in a canonical way.

**4.16.** Look through the problems of this section and figure out which of the metric spaces involved are, in fact, normed vector spaces.

**4.17.** Prove that every ball in a normed space is a convex<sup>4</sup> set symmetric with respect to the center of the ball.

**4.18\*.** Prove that every convex closed bounded set in  $\mathbb{R}^n$  that has a center of symmetry and is not contained in any affine space except  $\mathbb{R}^n$  itself is a unit ball with respect to a certain norm, which is uniquely determined by this ball.

### 4°9. Metric Topology

**4.G.** *The collection of all open balls in the metric space is a base for some topology*

This topology is the *metric topology*. This topological structure is always meant whenever the metric space is regarded as a topological space (for instance, when we speak about open and closed sets, neighborhoods, etc. in this space).

**4.H.** Prove that the standard topological structure in  $\mathbb{R}$  introduced in Section 2 is generated by the metric  $(x, y) \mapsto |x - y|$ .

---

<sup>4</sup>Recall that a set  $A$  is *convex* if for any  $x, y \in A$  the segment connecting  $x$  and  $y$  is contained in  $A$ . Certainly, this definition involves the notion of *segment*, so it makes sense only for subsets of those spaces where the notion of segment connecting two points makes sense. This is the case in vector and affine spaces over  $\mathbb{R}$ .

4.19. What topological structure is generated by the metric of 4.A?

4.I. A set  $A$  is open in a metric space iff, together with each of its points,  $A$  contains a ball centered at this point.

#### 4°10. Openness and Closedness of Balls and Spheres

4.20. Prove that a closed ball is closed (with respect to the metric topology).

4.21. Find a closed ball that is open (with respect to the metric topology).

4.22. Find an open ball that is closed (with respect to the metric topology).

4.23. Prove that a sphere is closed.

4.24. Find a sphere that is open.

#### 4°11. Metrizable Topological Spaces

A topological space is *metrizable* if its topological structure is generated by a certain metric.

4.J. An indiscrete space is not metrizable unless it is one-point (it has too few open sets).

4.K. A finite space  $X$  is metrizable iff it is discrete.

4.25. Which of the topological spaces described in Section 2 are metrizable?

#### 4°12. Equivalent Metrics

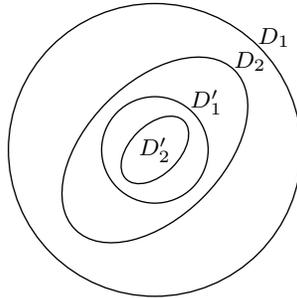
Two metrics in the same set are *equivalent* if they generate the same topology.

4.26. Are the metrics of 4.C, 4.1, and 4.2 equivalent?

4.27. Prove that two metrics  $\rho_1$  and  $\rho_2$  in  $X$  are equivalent if there are numbers  $c, C > 0$  such that

$$c\rho_1(x, y) \leq \rho_2(x, y) \leq C\rho_1(x, y)$$

for any  $x, y \in X$ .



4.28. Generally speaking, the converse is not true.

**4.29. Riddle.** Hence, the condition of equivalence of metrics formulated in 4.27 can be weakened. How?

**4.30.** The metrics  $\rho^{(p)}$  in  $\mathbb{R}^n$  defined right before Problem 4.3 are equivalent.

**4.31\*.** Prove that the following two metrics  $\rho_1$  and  $\rho_C$  in the set of all continuous functions  $[0, 1] \rightarrow \mathbb{R}$  are not equivalent:

$$\rho_1(f, g) = \int_0^1 |f(x) - g(x)| dx, \quad \rho_C(f, g) = \max_{x \in [0, 1]} |f(x) - g(x)|.$$

Is it true that one of the topological structures generated by them is finer than another?

#### 4°13. Operations With Metrics

**4.32.** 1) Prove that if  $\rho_1$  and  $\rho_2$  are two metrics in  $X$ , then  $\rho_1 + \rho_2$  and  $\max\{\rho_1, \rho_2\}$  also are metrics. 2) Are the functions  $\min\{\rho_1, \rho_2\}$ ,  $\frac{\rho_1}{\rho_2}$ , and  $\rho_1\rho_2$  metrics? By definition, for  $\rho = \frac{\rho_1}{\rho_2}$  we put  $\rho(x, x) = 0$ .

**4.33.** Prove that if  $\rho : X \times X \rightarrow \mathbb{R}_+$  is a metric, then

(1) the function

$$(x, y) \mapsto \frac{\rho(x, y)}{1 + \rho(x, y)}$$

is a metric;

(2) the function

$$(x, y) \mapsto \min\{\rho(x, y), 1\}$$

is a metric;

(3) the function

$$(x, y) \mapsto f(\rho(x, y))$$

is a metric if  $f$  satisfies the following conditions:

- (a)  $f(0) = 0$ ,
- (b)  $f$  is a monotone increasing function, and
- (c)  $f(x + y) \leq f(x) + f(y)$  for any  $x, y \in \mathbb{R}$ .

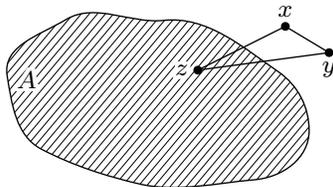
**4.34.** Prove that the metrics  $\rho$  and  $\frac{\rho}{1 + \rho}$  are equivalent.

#### 4°14. Distances Between Points and Sets

Let  $(X, \rho)$  be a metric space,  $A \subset X$ ,  $b \in X$ . The number  $\rho(b, A) = \inf\{\rho(b, a) \mid a \in A\}$  is the *distance from the point  $b$  to the set  $A$* .

**4.L.** Let  $A$  be a closed set. Prove that  $\rho(b, A) = 0$  iff  $b \in A$ .

**4.35.** Prove that  $|\rho(x, A) - \rho(y, A)| \leq \rho(x, y)$  for any set  $A$  and any points  $x$  and  $y$  in a metric space.



$$\rho(x, A) \leq \rho(x, z) \leq \rho(x, y) + \rho(y, z)$$

#### 4°15x. Distance Between Sets

Let  $A$  and  $B$  be two bounded subsets in a metric space  $(X, \rho)$ . Put

$$d_\rho(A, B) = \max \left\{ \sup_{a \in A} \rho(a, B), \sup_{b \in B} \rho(b, A) \right\}.$$

This number is the *Hausdorff distance* between  $A$  and  $B$ .

**4.Ax.** Prove that the Hausdorff distance between bounded subsets of a metric space satisfies conditions (2) and (3) in the definition of a metric.

**4.Bx.** Prove that for every metric space the Hausdorff distance is a metric in the set of its closed bounded subsets.

Let  $A$  and  $B$  be two bounded polygons in the plane.<sup>5</sup> We define

$$d_\Delta(A, B) = S(A) + S(B) - 2S(A \cap B),$$

where  $S(C)$  is the area of the polygon  $C$ .

**4.Cx.** Prove that  $d_\Delta$  is a metric in the set of all bounded plane polygons.

We will call  $d_\Delta$  the *area metric*.

**4.Dx.** Prove that the area metric is *not* equivalent to the Hausdorff metric in the set of all bounded plane polygons.

**4.Ex.** Prove that the area metric is equivalent to the Hausdorff metric in the set of convex bounded plane polygons.

#### 4°16x. Ultrametrics and $p$ -Adic Numbers

A metric  $\rho$  is an *ultrametric* if it satisfies the *ultrametric triangle inequality*:

$$\rho(x, y) \leq \max\{\rho(x, z), \rho(z, y)\}$$

for any  $x$ ,  $y$ , and  $z$ .

A metric space  $(X, \rho)$ , where  $\rho$  is an ultrametric, is an *ultrametric space*.

<sup>5</sup>Although we assume that the notion of bounded polygon is well known from elementary geometry, nevertheless, we recall the definition. A *bounded plane polygon* is the set of the points of a simple closed polygonal line  $\gamma$  and the points surrounded by  $\gamma$ . A simple closed polygonal line is a cyclic sequence of segments each of which starts at the point where the previous one ends and these are the only pairwise intersections of the segments.

**4.Fx.** Check that only one metric in 4.A–4.2 is an ultrametric. Which one?

**4.Gx.** Prove that all triangles in an ultrametric space are isosceles (i.e., for any three points  $a, b,$  and  $c$  two of the three distances  $\rho(a, b), \rho(b, c),$  and  $\rho(a, c)$  are equal).

**4.Hx.** Prove that spheres in an ultrametric space are not only closed (see 4.23), but also open.

The most important example of an ultrametric is the *p-adic metric* in the set  $\mathbb{Q}$  of rational numbers. Let  $p$  be a prime number. For  $x, y \in \mathbb{Q}$ , present the difference  $x - y$  as  $\frac{r}{s}p^\alpha$ , where  $r, s,$  and  $\alpha$  are integers, and  $r$  and  $s$  are co-prime with  $p$ . Put  $\rho(x, y) = p^{-\alpha}$ .

**4.Ix.** Prove that this is an ultrametric.

#### 4°17x. Asymmetrics

A function  $\rho : X \times X \rightarrow \mathbb{R}_+$  is an *asymmetric* in a set  $X$  if

- (1)  $\rho(x, y) = 0$  and  $\rho(y, x) = 0$ , iff  $x = y$ ;
- (2)  $\rho(x, y) \leq \rho(x, z) + \rho(z, y)$  for any  $x, y, z \in X$ .

Thus, an asymmetric satisfies conditions 1 and 3 of the definition of a metric, but, maybe, does not satisfy condition 2.

Here is example of an asymmetric taken from “the real life”: the shortest length of path from one point to another by car in a city where there exist one-way streets.

**4.Jx.** Prove that if  $\rho : X \times X \rightarrow \mathbb{R}_+$  is an asymmetric, then the function

$$(x, y) \mapsto \rho(x, y) + \rho(y, x)$$

is a metric in  $X$ .

Let  $A$  and  $B$  be two bounded subsets of a metric space  $(X, \rho)$ . The number  $a_\rho(A, B) = \sup_{b \in B} \rho(b, A)$  is the *asymmetric distance from A to B*.

**4.Kx.** The function  $a_\rho$  on the set of bounded subsets of a metric space satisfies the triangle inequality in the definition of an asymmetric.

**4.Lx.** Let  $(X, \rho)$  be a metric space. A set  $B \subset X$  is contained in all closed sets containing  $A \subset X$  iff  $a_\rho(A, B) = 0$ .

**4.Mx.** Prove that  $a_\rho$  is an asymmetric in the set of all bounded closed subsets of a metric space  $(X, \rho)$ .

Let  $A$  and  $B$  be two polygons on the plane. Put

$$a_\Delta(A, B) = S(B) - S(A \cap B) = S(B \setminus A),$$

where  $S(C)$  is the area of polygon  $C$ .

**4.1x.** Prove that  $a_{\Delta}$  is an asymmetric in the set of all planar polygons.

A pair  $(X, \rho)$ , where  $\rho$  is an asymmetric in  $X$ , is an *asymmetric space*. Of course, any metric space is an asymmetric space, too. In an asymmetric space, balls (open and closed) and spheres are defined like in a metric space, see 4°3.

**4.Nx.** *The set of all open balls of an asymmetric space is a base of a certain topology.*

This topology is *generated* by the asymmetric.

**4.2x.** Prove that the formula  $a(x, y) = \max\{x - y, 0\}$  determines an asymmetric in  $[0, \infty)$ , and the topology generated by this asymmetric is the arrow topology, see 2°2.

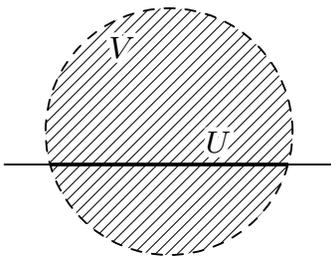
## 5. Subspaces

### 5°1. Topology for a Subset of a Space

Let  $(X, \Omega)$  be a topological space,  $A \subset X$ . Denote by  $\Omega_A$  the collection of sets  $A \cap V$ , where  $V \in \Omega$ :  $\Omega_A = \{A \cap V \mid V \in \Omega\}$ .

**5.A.**  $\Omega_A$  is a topological structure in  $A$ .

The pair  $(A, \Omega_A)$  is a *subspace* of the space  $(X, \Omega)$ . The collection  $\Omega_A$  is the *subspace topology*, the *relative topology*, or the topology *induced* on  $A$  by  $\Omega$ , and its elements are said to be sets *open* in  $A$ .



**5.B.** The canonical topology in  $\mathbb{R}^1$  coincides with the topology induced on  $\mathbb{R}^1$  as on a subspace of  $\mathbb{R}^2$ .

**5.1. Riddle.** How to construct a base for the topology induced on  $A$  by using a base for the topology in  $X$ ?

**5.2.** Describe the topological structures induced

- (1) on the set  $\mathbb{N}$  of positive integers by the topology of the real line;
- (2) on  $\mathbb{N}$  by the topology of the arrow;
- (3) on the two-point set  $\{1, 2\}$  by the topology of  $\mathbb{R}_{T_1}$ ;
- (4) on the same set by the topology of the arrow.

**5.3.** Is the half-open interval  $[0, 1)$  open in the segment  $[0, 2]$  regarded as a subspace of the real line?

**5.C.** A set  $F$  is closed in a subspace  $A \subset X$  iff  $F$  is the intersection of  $A$  and a closed subset of  $X$ .

**5.4.** If a subset of a subspace is open (respectively, closed) in the ambient space, then it is also open (respectively, closed) in the subspace.

### 5°2. Relativity of Openness and Closedness

Sets that are open in a subspace are not necessarily open in the ambient space.

**5.D.** The unique open set in  $\mathbb{R}^1$  which is also open in  $\mathbb{R}^2$  is  $\emptyset$ .

However, the following is true.

**5.E.** An open set of an open subspace is open in the ambient space, i.e., if  $A \in \Omega$ , then  $\Omega_A \subset \Omega$ .

The same relation holds true for closed sets. Sets that are closed in the subspace are not necessarily closed in the ambient space. However, the following is true.

**5.F.** Closed sets of a closed subspace are closed in the ambient space.

**5.5.** Prove that a set  $U$  is open in  $X$  iff each point in  $U$  has a neighborhood  $V$  in  $X$  such that  $U \cap V$  is open in  $V$ .

This allows us to say that the property of being open is local. Indeed, we can reformulate 5.5 as follows: a set is open iff it is open in a neighborhood of each of its points.

**5.6.** Show that the property of being closed is not local.

**5.G Transitivity of Induced Topology.** Let  $(X, \Omega)$  be a topological space,  $X \supset A \supset B$ . Then  $(\Omega_A)_B = \Omega_B$ , i.e., the topology induced on  $B$  by the relative topology of  $A$  coincides with the topology induced on  $B$  directly from  $X$ .

**5.7.** Let  $(X, \rho)$  be a metric space,  $A \subset X$ . Then the topology in  $A$  generated by the metric  $\rho|_{A \times A}$  coincides with the relative topology on  $A$  by the topology in  $X$  generated by the metric  $\rho$ .

**5.8. Riddle.** The statement 5.7 is equivalent to a pair of inclusions. Which of them is less obvious?

### 5°3. Agreement on Notation of Topological Spaces

Different topological structures in the same set are not considered simultaneously very often. That is why a topological space is usually denoted by the same symbol as the set of its points, i.e., instead of  $(X, \Omega)$  we write just  $X$ . The same applies to metric spaces: instead of  $(X, \rho)$  we write just  $X$ .

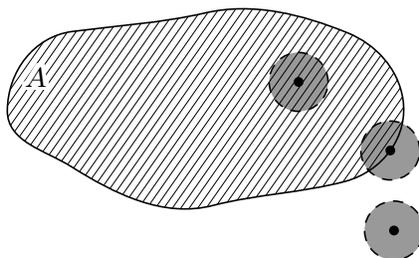
## 6. Position of a Point with Respect to a Set

This section is devoted to further expanding the vocabulary needed when we speak about phenomena in a topological space.

### 6°1. Interior, Exterior, and Boundary Points

Let  $X$  be a topological space,  $A \subset X$  a subset, and  $b \in X$  a point. The point  $b$  is

- an *interior* point of  $A$  if  $b$  has a neighborhood contained in  $A$ ;
- an *exterior* point of  $A$  if  $b$  has a neighborhood disjoint with  $A$ ;
- a *boundary* point of  $A$  if each neighborhood of  $b$  intersects both  $A$  and the complement of  $A$ .



### 6°2. Interior and Exterior

The *interior* of a set  $A$  in a topological space  $X$  is the greatest (with respect to inclusion) open set in  $X$  contained in  $A$ , i.e., an open set that contains any other open subset of  $A$ . It is denoted by  $\text{Int } A$  or, in more detail, by  $\text{Int}_X A$ .

**6.A.** *Every subset of a topological space has interior. It is the union of all open sets contained in this set.*

**6.B.** *The interior of a set  $A$  is the set of interior points of  $A$ .*

**6.C.** *A set is open iff it coincides with its interior.*

**6.D.** Prove that in  $\mathbb{R}$ :

- (1)  $\text{Int}[0, 1) = (0, 1)$ ,
- (2)  $\text{Int } \mathbb{Q} = \emptyset$  and
- (3)  $\text{Int}(\mathbb{R} \setminus \mathbb{Q}) = \emptyset$ .

**6.1.** Find the interior of  $\{a, b, d\}$  in the space  $\mathfrak{Y}$ .

**6.2.** Find the interior of the interval  $(0, 1)$  on the line with the Zariski topology.

The *exterior* of a set is the greatest open set disjoint with  $A$ . It is obvious that the exterior of  $A$  is  $\text{Int}(X \setminus A)$ .

### 6°3. Closure

The *closure* of a set  $A$  is the smallest closed set containing  $A$ . It is denoted  $\text{Cl} A$  or, more specifically,  $\text{Cl}_X A$ .

**6.E.** Every subset of topological space has closure. It is the intersection of all closed sets containing this set.

**6.3.** Prove that if  $A$  is a subspace of  $X$  and  $B \subset A$ , then  $\text{Cl}_A B = (\text{Cl}_X B) \cap A$ . Is it true that  $\text{Int}_A B = (\text{Int}_X B) \cap A$ ?

A point  $b$  is an *adherent* point for a set  $A$  if all neighborhoods of  $b$  intersect  $A$ .

**6.F.** The closure of a set  $A$  is the set of the adherent points of  $A$ .

**6.G.** A set  $A$  is closed iff  $A = \text{Cl} A$ .

**6.H.** The closure of a set  $A$  is the complement of the exterior of  $A$ . In formulas:  $\text{Cl} A = X \setminus \text{Int}(X \setminus A)$ , where  $X$  is the space and  $A \subset X$ .

**6.I.** Prove that in  $\mathbb{R}$  we have:

$$(1) \text{Cl}[0, 1) = [0, 1],$$

$$(2) \text{Cl}\mathbb{Q} = \mathbb{R},$$

$$(3) \text{Cl}(\mathbb{R} \setminus \mathbb{Q}) = \mathbb{R}.$$

**6.4.** Find the closure of  $\{a\}$  in  $\mathfrak{Y}$ .

### 6°4. Closure in Metric Space

Let  $A$  be a subset and  $b$  a point of a metric space  $(X, \rho)$ . Recall that the distance  $\rho(b, A)$  from  $b$  to  $A$  is  $\inf\{\rho(b, a) \mid a \in A\}$  (see 4°14).

**6.J.** Prove that  $b \in \text{Cl} A$  iff  $\rho(b, A) = 0$ .

### 6°5. Boundary

The *boundary* of a set  $A$  is the set  $\text{Cl} A \setminus \text{Int} A$ . It is denoted by  $\text{Fr} A$  or, in more detail,  $\text{Fr}_X A$ .

**6.5.** Find the boundary of  $\{a\}$  in  $\mathfrak{Y}$ .

**6.K.** The boundary of a set is the set of its boundary points.

**6.L.** Prove that a set  $A$  is closed iff  $\text{Fr} A \subset A$ .

**6.6.** 1) Prove that  $\text{Fr } A = \text{Fr}(X \setminus A)$ . 2) Find a formula for  $\text{Fr } A$  which is symmetric with respect to  $A$  and  $X \setminus A$ .

**6.7.** The boundary of a set  $A$  equals the intersection of the closure of  $A$  and the closure of the complement of  $A$ :

$$\text{Fr } A = \text{Cl } A \cap \text{Cl}(X \setminus A).$$

### 6°6. Closure and Interior with Respect to a Finer Topology

**6.8.** Let  $\Omega_1$  and  $\Omega_2$  be two topological structures in  $X$ , and  $\Omega_1 \subset \Omega_2$ . Let  $\text{Cl}_i$  denote the closure with respect to  $\Omega_i$ . Prove that  $\text{Cl}_1 A \supset \text{Cl}_2 A$  for any  $A \subset X$ .

**6.9.** Formulate and prove an analogous statement about interior.

### 6°7. Properties of Interior and Closure

**6.10.** Prove that if  $A \subset B$ , then  $\text{Int } A \subset \text{Int } B$ .

**6.11.** Prove that  $\text{Int } \text{Int } A = \text{Int } A$ .

**6.12.** Do the following equalities hold true that for any sets  $A$  and  $B$ :

$$\text{Int}(A \cap B) = \text{Int } A \cap \text{Int } B, \quad (8)$$

$$\text{Int}(A \cup B) = \text{Int } A \cup \text{Int } B? \quad (9)$$

**6.13.** Give an example in where one of equalities (8) and (9) is wrong.

**6.14.** In the example that you found when solving Problem 6.12, an inclusion of one side into another one holds true. Does this inclusion hold true for any  $A$  and  $B$ ?

**6.15.** Study the operator  $\text{Cl}$  in a way suggested by the investigation of  $\text{Int}$  undertaken in 6.10–6.14.

**6.16.** Find  $\text{Cl}\{1\}$ ,  $\text{Int}[0, 1]$ , and  $\text{Fr}(2, +\infty)$  in the arrow.

**6.17.** Find  $\text{Int}((0, 1] \cup \{2\})$ ,  $\text{Cl}\{\frac{1}{n} \mid n \in \mathbb{N}\}$ , and  $\text{Fr } \mathbb{Q}$  in  $\mathbb{R}$ .

**6.18.** Find  $\text{Cl } \mathbb{N}$ ,  $\text{Int}(0, 1)$ , and  $\text{Fr}[0, 1]$  in  $\mathbb{R}_{T_1}$ . How to find the closure and interior of a set in this space?

**6.19.** Does a sphere contain the boundary of the open ball with the same center and radius?

**6.20.** Does a sphere contain the boundary of the closed ball with the same center and radius?

**6.21.** Find an example in which a sphere is disjoint with the closure of the open ball with the same center and radius.

### 6°8. Compositions of Closure and Interior

**6.22 The Kuratowski Problem.** How many pairwise distinct sets can one obtain from of a single set by using the operators  $\text{Cl}$  and  $\text{Int}$ ?

The following problems will help you to solve problem 6.22.

**6.22.1.** Find a set  $A \subset \mathbb{R}$  such that the sets  $A$ ,  $\text{Cl } A$ , and  $\text{Int } A$  would be pairwise distinct.

**6.22.2.** Is there a set  $A \subset \mathbb{R}$  such that

- (1)  $A$ ,  $\text{Cl} A$ ,  $\text{Int} A$ , and  $\text{Cl Int} A$  are pairwise distinct;
- (2)  $A$ ,  $\text{Cl} A$ ,  $\text{Int} A$ , and  $\text{Int Cl} A$  are pairwise distinct;
- (3)  $A$ ,  $\text{Cl} A$ ,  $\text{Int} A$ ,  $\text{Cl Int} A$ , and  $\text{Int Cl} A$  are pairwise distinct?

If you find such sets, keep on going in the same way, and when you fail to proceed, try to formulate a theorem explaining the failure.

**6.22.3.** Prove that  $\text{Cl Int Cl Int} A = \text{Cl Int} A$ .

## 6°9. Sets with Common Boundary

**6.23\*.** Find three open sets in the real line that have the same boundary. Is it possible to increase the number of such sets?

## 6°10. Convexity and Int, Cl, Fr

Recall that a set  $A \subset \mathbb{R}^n$  is *convex* if together with any two points it contains the entire segment connecting them (i.e., for any  $x, y \in A$  every point  $z$  belonging to the segment  $[x, y]$  belongs to  $A$ ).

Let  $A$  be a convex set in  $\mathbb{R}^n$ .

**6.24.** Prove that  $\text{Cl} A$  and  $\text{Int} A$  are convex.

**6.25.** Prove that  $A$  contains a ball, unless  $A$  is contained in an  $(n-1)$ -dimensional affine subspace of  $\mathbb{R}^n$ .

**6.26.** When is  $\text{Fr} A$  convex?

## 6°11. Characterization of Topology by Closure and Interior Operations

**6.27\*.** Suppose that  $\text{Cl}_*$  is an operator in the set of all subsets of a set  $X$ , which has the following properties:

- (1)  $\text{Cl}_* \emptyset = \emptyset$ ,
- (2)  $\text{Cl}_* A \supset A$ ,
- (3)  $\text{Cl}_*(A \cup B) = \text{Cl}_* A \cup \text{Cl}_* B$ ,
- (4)  $\text{Cl}_* \text{Cl}_* A = \text{Cl}_* A$ .

Prove that  $\Omega = \{U \subset X \mid \text{Cl}_*(X \setminus U) = X \setminus U\}$  is a topological structure and  $\text{Cl}_* A$  is the closure of a set  $A$  in the space  $(X, \Omega)$ .

**6.28.** Find an analogous system of axioms for  $\text{Int}$ .

## 6°12. Dense Sets

Let  $A$  and  $B$  be two sets in a topological space  $X$ .  $A$  is *dense in*  $B$  if  $\text{Cl} A \supset B$ , and  $A$  is *everywhere dense* if  $\text{Cl} A = X$ .

**6.M.** A set is everywhere dense iff it intersects any nonempty open set.

**6.N.** The set  $\mathbb{Q}$  is everywhere dense in  $\mathbb{R}$ .

**6.29.** Give a characterization of everywhere dense sets 1) in an indiscrete space, 2) in the arrow, and 3) in  $\mathbb{R}_{T_1}$ .

**6.30.** Prove that a topological space is discrete iff it has a unique everywhere dense set. (By the way, which one?)

**6.31.** Formulate a necessary and sufficient condition on the topology of a space which has an everywhere-dense point. Find spaces satisfying this condition in 2.

**6.32.** 1) Is it true that the union of everywhere dense sets is everywhere dense? 2) Is it true that the intersection of two everywhere-dense sets is everywhere dense?

**6.33.** Prove that the intersection of two open everywhere-dense sets is everywhere dense.

**6.34.** Which condition in the Problem 6.33 is redundant?

**6.35\*.** 1) Prove that a countable intersection of open everywhere-dense sets in  $\mathbb{R}$  is everywhere dense. 2) Is it possible to replace  $\mathbb{R}$  here by an arbitrary topological space?

**6.36\*.** Prove that  $\mathbb{Q}$  is not an intersection of a countable collection of open sets in  $\mathbb{R}$ .

### 6°13. Nowhere Dense Sets

A set is *nowhere dense* if its exterior is everywhere dense.

**6.37.** Can a set be everywhere dense and nowhere dense simultaneously?

**6.O.** A set  $A$  is nowhere dense in  $X$  iff each neighborhood of each point  $x \in X$  contains a point  $y$  such that the complement of  $A$  contains  $y$  together with a neighborhood of  $y$ .

**6.38. Riddle.** What can you say about the interior of a nowhere dense set?

**6.39.** Is  $\mathbb{R}$  nowhere dense in  $\mathbb{R}^2$ ?

**6.40.** Prove that if  $A$  is nowhere dense, then  $\text{Int Cl } A = \emptyset$ .

**6.41.** 1) Prove that the boundary of a closed set is nowhere dense. 2) Is this true for the boundary of an open set? 3) Is this true for the boundary of an arbitrary set?

**6.42.** Prove that a finite union of nowhere dense sets is nowhere dense.

**6.43.** Prove that for every set  $A$  there exists a greatest open set  $B$  in which  $A$  is dense. The extreme cases  $B = X$  and  $B = \emptyset$  mean that  $A$  is either everywhere dense or nowhere dense respectively.

**6.44\*.** Prove that  $\mathbb{R}$  is not a union of a countable collection of nowhere-dense sets in  $\mathbb{R}$ .

### 6°14. Limit Points and Isolated Points

A point  $b$  is a *limit point* of a set  $A$ , if each neighborhood of  $b$  intersects  $A \setminus b$ .

**6.P.** Every limit point of a set is its adherent point.

**6.45.** Give an example where an adherent point is not a limit one.

A point  $b$  is an *isolated point* of a set  $A$  if  $b \in A$  and  $b$  has a neighborhood disjoint with  $A \setminus b$ .

**6.Q.** A set  $A$  is closed iff  $A$  contains all of its limit points.

**6.46.** Find limit and isolated points of the sets  $(0, 1] \cup \{2\}$ ,  $\{\frac{1}{n} \mid n \in \mathbb{N}\}$  in  $\mathbb{Q}$  and in  $\mathbb{R}$ .

**6.47.** Find limit and isolated points of the set  $\mathbb{N}$  in  $\mathbb{R}_{T_1}$ .

### 6°15. Locally Closed Sets

A subset  $A$  of a topological space  $X$  is *locally closed* if each point of  $A$  has a neighborhood  $U$  such that  $A \cap U$  is closed in  $U$  (cf. 5.5–5.6).

**6.48.** Prove that the following conditions are equivalent:

- (1)  $A$  is locally closed in  $X$ ;
- (2)  $A$  is an open subset of its closure  $\text{Cl} A$ ;
- (3)  $A$  is the intersection of open and closed subsets of  $X$ .

## 7. Ordered Sets

This section is devoted to orders. They are structures in sets and occupy in Mathematics a position almost as profound as topological structures. After a short general introduction, we will focus on relations between structures of these two types. Like metric spaces, partially ordered sets possess natural topological structures. This is a source of interesting and important examples of topological spaces. As we will see later (in Section 20), practically all finite topological spaces appear in this way.

### 7°1. Strict Orders

A binary relation in a set  $X$  is a set of ordered pairs of elements of  $X$ , i.e., a subset  $R \subset X \times X$ . Many relations are denoted by special symbols, like  $\prec$ ,  $\vdash$ ,  $\equiv$ , or  $\sim$ . In the case where such a notation is used, there is a tradition to write  $xRy$  instead of writing  $(x, y) \in R$ . So, we write  $x \vdash y$ , or  $x \sim y$ , or  $x \prec y$ , etc. This generalizes the usual notation for the classical binary relations  $=$ ,  $<$ ,  $>$ ,  $\leq$ ,  $\subset$ , etc.

A binary relation  $\prec$  in a set  $X$  is a *strict partial order*, or just a *strict order* if it satisfies the following two conditions:

- *Irreflexivity*: There is no  $a \in X$  such that  $a \prec a$ .
- *Transitivity*:  $a \prec b$  and  $b \prec c$  imply  $a \prec c$  for any  $a, b, c \in X$ .

**7.A Antisymmetry.** Let  $\prec$  be a strict partial order in a set  $X$ . There are no  $x, y \in X$  such that  $x \prec y$  and  $y \prec x$  simultaneously.

**7.B.** Relation  $<$  in the set  $\mathbb{R}$  of real numbers is a strict order.

Formula  $a \prec b$  is read sometimes as “ $a$  is less than  $b$ ” or “ $b$  is greater than  $a$ ”, but it is often read as “ $b$  follows  $a$ ” or “ $a$  precedes  $b$ ”. The advantage of the latter two ways of reading is that then the relation  $\prec$  is not associated too closely with the inequality between real numbers.

### 7°2. Nonstrict Orders

A binary relation  $\preceq$  in a set  $X$  is a *nonstrict partial order*, or just *nonstrict order*, if it satisfies the following three conditions:

- *Transitivity*: If  $a \preceq b$  and  $b \preceq c$ , then  $a \preceq c$  for any  $a, b, c \in X$ .
- *Antisymmetry*: If  $a \preceq b$  and  $b \preceq a$ , then  $a = b$  for any  $a, b \in X$ .
- *Reflexivity*:  $a \preceq a$  for any  $a \in X$ .

**7.C.** Relation  $\leq$  in  $\mathbb{R}$  is a nonstrict order.

**7.D.** In the set  $\mathbb{N}$  of positive integers, the relation  $a|b$  ( $a$  divides  $b$ ) is a nonstrict partial order.

**7.1.** Is the relation  $a|b$  a nonstrict partial order in the set  $\mathbb{Z}$  of integers?

**7.E.** In the set of subsets of a set  $X$ , inclusion is a nonstrict partial order.

### 7°3. Relation between Strict and Nonstrict Orders

**7.F.** For each strict order  $\prec$ , there is a relation  $\preceq$  defined in the same set as follows:  $a \preceq b$  if either  $a \prec b$ , or  $a = b$ . This relation is a nonstrict order.

The nonstrict order  $\preceq$  of **7.F** is *associated* with the original strict order  $\prec$ .

**7.G.** For each nonstrict order  $\preceq$ , there is a relation  $\prec$  defined in the same set as follows:  $a \prec b$  if  $a \preceq b$  and  $a \neq b$ . This relation is a strict order.

The strict order  $\prec$  of **7.G** is *associated* with the original nonstrict order  $\preceq$ .

**7.H.** The constructions of Problems **7.F** and **7.G** are mutually inverse: applied one after another in any order, they give the initial relation.

Thus, strict and nonstrict orders determine each other. They are just different incarnations of the same structure of order. We have already met a similar phenomenon in topology: open and closed sets in a topological space determine each other and provide different ways for describing a topological structure.

A set equipped with a partial order (either strict or nonstrict) is a *partially ordered set* or *poset*. More formally speaking, a partially ordered set is a pair  $(X, \prec)$  formed by a set  $X$  and a strict partial order  $\prec$  in  $X$ . Certainly, instead of a strict partial order  $\prec$  we can use the corresponding nonstrict order  $\preceq$ .

Which of the orders, strict or nonstrict, prevails in each specific case is a matter of convenience, taste, and tradition. Although it would be handy to keep both of them available, nonstrict orders conquer situation by situation. For instance, nobody introduces notation for strict divisibility. Another example: the symbol  $\subseteq$ , which is used to denote nonstrict inclusion, is replaced by the symbol  $\subset$ , which is almost never understood as notation solely for strict inclusion.

In abstract considerations, we will use both kinds of orders: strict partial order are denoted by symbol  $\prec$ , nonstrict ones by symbol  $\preceq$ .

### 7°4. Cones

Let  $(X, \prec)$  be a poset and let  $a \in X$ . The set  $\{x \in X \mid a \prec x\}$  is the *upper cone* of  $a$ , and the set  $\{x \in X \mid x \prec a\}$  the *lower cone* of  $a$ .

The element  $a$  does not belong to its cones. Adding  $a$  to them, we obtain *completed* cones: the *upper completed cone* or *star*  $C_X^+(a) = \{x \in X \mid a \preceq x\}$  and the *lower completed cone*  $C_X^-(a) = \{x \in X \mid x \preceq a\}$ .

**7.I Properties of Cones.** Let  $(X, \prec)$  be a poset.

- (1)  $C_X^+(b) \subset C_X^+(a)$ , provided that  $b \in C_X^+(a)$ ;
- (2)  $a \in C_X^+(a)$  for each  $a \in X$ .
- (3)  $C_X^+(a) = C_X^+(b)$  implies  $a = b$ ;

**7.J Cones Determine an Order.** Let  $X$  be an arbitrary set. Suppose for each  $a \in X$  we fix a subset  $C_a \subset X$  so that

- (1)  $b \in C_a$  implies  $C_b \subset C_a$ ,
- (2)  $a \in C_a$  for each  $a \in X$ , and
- (3)  $C_a = C_b$  implies  $a = b$ .

We write  $a \prec b$  if  $b \in C_a$ . Then the relation  $\prec$  is a nonstrict order in  $X$ , and for this order we have  $C_X^+(a) = C_a$ .

**7.2.** Let  $C \subset \mathbb{R}^3$  be a set. Consider the relation  $\triangleleft_C$  in  $\mathbb{R}^3$  defined as follows:  $a \triangleleft_C b$  if  $b - a \in C$ . What properties of  $C$  imply that  $\triangleleft_C$  is a partial order in  $\mathbb{R}^3$ ? What are the upper and lower cones in the poset  $(\mathbb{R}^3, \triangleleft_C)$ ?

**7.3.** Prove that any convex cone  $C$  in  $\mathbb{R}^3$  with vertex  $(0, 0, 0)$  such that  $P \cap C = \{(0, 0, 0)\}$  for some plane  $P$  satisfies the conditions found in the solution of Problem 7.2.

**7.4.** The space-time  $\mathbb{R}^4$  of special relativity theory (where points represent moment point events, the first three coordinates  $x_1, x_2, x_3$  are the spatial coordinates, while the fourth one,  $t$ , is the time) carries a relation the *event*  $(x_1, x_2, x_3, t)$  *precedes* (and may influence) the event  $(\tilde{x}_1, \tilde{x}_2, \tilde{x}_3, \tilde{t})$ . This relation is defined by the inequality

$$c(\tilde{t} - t) \geq \sqrt{(\tilde{x}_1 - x_1)^2 + (\tilde{x}_2 - x_2)^2 + (\tilde{x}_3 - x_3)^2}.$$

Is this a partial order? If yes, then what are the upper and lower cones of an event?

**7.5.** Answer the versions of questions of the preceding problem in the case two-dimensional and three-dimensional analogues of this space, where the number of spatial coordinates is 1 and 2, respectively.

### 7°5. Position of an Element with Respect to a Set

Let  $(X, \prec)$  be a poset,  $A \subset X$  a subset. Then  $b$  is the *greatest element* of  $A$  if  $b \in A$  and  $c \preceq b$  for every  $c \in A$ . Similarly,  $b$  is the *smallest element* of  $A$  if  $b \in A$  and  $b \preceq c$  for every  $c \in A$ .

**7.K.** An element  $b \in A$  is the smallest element of  $A$  iff  $A \subset C_X^+(b)$ ; an element  $b \in A$  is the greatest element of  $A$  iff  $A \subset C_X^-(b)$ .

**7.L.** Each set has at most one greatest and at most one smallest element.

An element  $b$  of a set  $A$  is a *maximal* element of  $A$  if  $A$  contains no element  $c$  such that  $b \prec c$ . An element  $b$  is a *minimal* element of  $A$  if  $A$  contains no element  $c$  such that  $c \prec b$ .

**7.M.** An element  $b$  of  $A$  is maximal iff  $A \cap C_X^-(b) = b$ ; an element  $b$  of  $A$  is minimal iff  $A \cap C_X^+(b) = b$ .

- 7.6. Riddle.** 1) How are the notions of maximal and greatest elements related?  
2) What can you say about a poset in which these notions coincide for each subset?

## 7°6. Linear Orders

Please, notice: the definition of a strict order does not require that for any  $a, b \in X$  we have either  $a \prec b$ , or  $b \prec a$ , or  $a = b$ . This condition is called a *trichotomy*. In terms of the corresponding nonstrict order, it can be reformulated as follows: any two elements  $a, b \in X$  are *comparable*: either  $a \preceq b$ , or  $b \preceq a$ .

A strict order satisfying trichotomy is *linear*. The corresponding poset is *linearly ordered*. It is also called just an *ordered set*.<sup>6</sup> Some orders do satisfy trichotomy.

**7.N.** The order  $<$  in the set  $\mathbb{R}$  of real numbers is linear.

This is the most important example of a linearly ordered set. The words and images rooted in it are often extended to all linearly ordered sets. For example, cones are called *rays*, upper cones become *right rays*, while lower cones become *left rays*.

**7.7.** A poset  $(X, \prec)$  is linearly ordered iff  $X = C_X^+(a) \cup C_X^-(a)$  for each  $a \in X$ .

**7.8.** In the set  $\mathbb{N}$  of positive integers, the order  $a|b$  is not linear.

**7.9.** For which  $X$  is the relation of inclusion in the set of all subsets of  $X$  a linear order?

## 7°7. Topologies Determined by Linear Order

**7.O.** Let  $(X, \prec)$  be a linearly ordered set. Then set of all right rays of  $X$ , i.e., sets of the form  $\{x \in X \mid a \prec x\}$ , where  $a$  runs through  $X$ , and the set  $X$  itself constitute a base for a topological structure in  $X$ .

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<sup>6</sup>Quite a bit of confusion was brought into the terminology by Bourbaki. Then total orders were called orders, non-total orders were called partial orders, and in occasions when it was not known if the order under consideration was total, the fact that this was unknown was explicitly stated. Bourbaki suggested to withdraw the word *partial*. Their motivation for this was that a partial order, as a phenomenon more general than a linear order, deserves a shorter and simpler name. In French literature, this suggestion was commonly accepted, but in English it would imply abolishing a nice short word *poset*, which seems to be an absolutely impossible thing to do.

The topological structure determined by this base is the *right ray topology* of the linearly ordered set  $(X, <)$ . The *left ray topology* is defined similarly: it is generated by the base consisting of  $X$  and sets of the form  $\{x \in X \mid x < a\}$  with  $a \in X$ .

**7.10.** The topology of the arrow (see 2) is the right ray topology of the half-line  $[0, \infty)$  equipped with the order  $<$ .

**7.11. Riddle.** To what extent is the assumption that the order is linear necessary in Theorem 7.0? Find a weaker condition that implies the conclusion of Theorem 7.0 and allows us to speak about the topological structure described in Problem 2.2 as the right ray topology of an appropriate partial order on the plane.

**7.P.** Let  $(X, <)$  be a linearly ordered set. Then the subsets of  $X$  having the forms

- $\{x \in X \mid a < x\}$ , where  $a$  runs through  $X$ ,
- $\{x \in X \mid x < a\}$ , where  $a$  runs through  $X$ ,
- $\{x \in X \mid a < x < b\}$ , where  $a$  and  $b$  run through  $X$

constitute a base for a topological structure in  $X$ .

The topological structure determined by this base is the *interval topology* of the linearly ordered set  $(X, <)$ .

**7.12.** Prove that the interval topology is the smallest topological structure containing the right ray and left ray topological structures.

**7.Q.** The canonical topology of the line is the interval topology of  $(\mathbb{R}, <)$ .

### 7°8. Poset Topology

**7.R.** Let  $(X, \preceq)$  be a poset. Then the subsets of  $X$  having the form  $\{x \in X \mid a \preceq x\}$ , where  $a$  runs through the entire  $X$ , constitute a base of for topological structure in  $X$ .

The topological structure generated by this base is the *poset topology*.

**7.S.** In the poset topology, each point  $a \in X$  has the smallest (with respect to inclusion) neighborhood. This is  $\{x \in X \mid a \preceq x\}$ .

**7.T.** The following properties of a topological space are equivalent:

- (1) each point has a smallest neighborhood,
- (2) the intersection of any collection of open sets is open,
- (3) the union of any collection of closed sets is closed.

A space satisfying the conditions of Theorem 7.7 is a *smallest neighborhood space*.<sup>7</sup> In a smallest neighborhood space, open and closed sets satisfy the same conditions. In particular, the set of all closed sets of a smallest neighborhood space also is a topological structure, which is *dual* to the original one. It corresponds to the opposite partial order.

**7.13.** How to characterize points open in the poset topology in terms of the partial order? The same question about closed points.

**7.14.** Directly describe open sets in the poset topology of  $\mathbb{R}$  with order  $<$ .

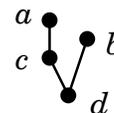
**7.15.** Consider a partial order in the set  $\{a, b, c, d\}$  where the strict inequalities are:  $c < a$ ,  $d < c$ ,  $d < a$ , and  $d < b$ . Check that this is a partial order and the corresponding poset topology is the topology of  $\mathfrak{V}$  described in Problem 2.3 (1).

**7.16.** Describe the closure of a point in a poset topology.

**7.17.** Which singletons are dense in a poset topology?

### 7°9. How to Draw a Poset

Now we can explain the pictogram  $\mathfrak{V}$ , which we use to denote the space introduced in Problem 2.3 (1). It describes the partial order in  $\{a, b, c, d\}$  that determines the topology of this space by 7.15. Indeed, if we place  $a, b, c$ , and  $d$  the elements of the set under consideration at vertices of the graph of the pictogram, as shown in the picture, then the vertices corresponding to comparable elements are connected by a segment or ascending broken line, and the greater element corresponds to the higher vertex.

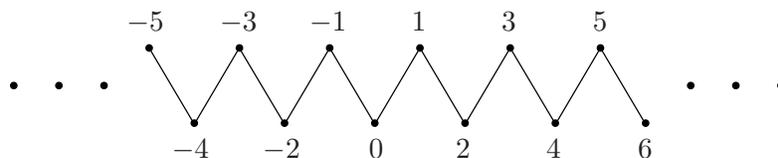


In this way, we can represent any finite poset by a diagram. Elements of the poset are represented by points. We have  $a < b$  if and only if the following two conditions are fulfilled: 1) the point representing  $b$  lies above the point representing  $a$  and 2) those points are connected either by a segment or by a broken line consisting of segments which connect points representing intermediate elements of a chain  $a < c_1 < c_2 < \dots < c_n < b$ . We could have connected by a segment any two points corresponding to comparable elements, but this would make the diagram excessively cumbersome. This is why the segments that can be recovered from the others by transitivity are not drawn. Such a diagram representing a poset is its *Hasse diagram*.

**7.U.** Prove that any finite poset can be determined by a Hasse diagram.

**7.V.** Describe the poset topology in the set  $\mathbb{Z}$  of integers defined by the following Hasse diagram:

<sup>7</sup>This class of topological spaces was introduced and studied by P. S. Alexandrov in 1935. Alexandrov called them *discrete*. Nowadays, the term discrete space is used for a much narrower class of topological spaces (see Section 2). The term *smallest neighborhood space* was introduced by Christer Kiselman.



The space of Problem 7.V is the *digital line*, or *Khalimsky line*. In this space, each even number is closed and each odd one is open.

**7.18.** Associate with each even integer  $2k$  the interval  $(2k - 1, 2k + 1)$  of length 2 centered at this point, and with each odd integer  $2k - 1$ , the singleton  $\{2k - 1\}$ . Prove that a set of integers is open in the Khalimsky topology iff the union of sets associated to its elements is open in  $\mathbb{R}$  with the standard topology.

**7.19.** Among the topological spaces described in Section 2, find all those that can be obtained as posets with the poset topology. In the cases of finite sets, draw Hasse diagrams describing the corresponding partial orders.

### 7°10. Cyclic Orders in Finite Sets

Recall that a *cyclic order* in a finite set  $X$  is a linear order considered up to cyclic permutation. The linear order allows us to enumerate elements of the set  $X$  by positive integers, so that  $X = \{x_1, x_2, \dots, x_n\}$ . A cyclic permutation transposes the first  $k$  elements with the last  $n - k$  elements without changing the order inside each of the two parts of the set:

$$(x_1, x_2, \dots, x_k, x_{k+1}, x_{k+2}, \dots, x_n) \mapsto (x_{k+1}, x_{k+2}, \dots, x_n, x_1, x_2, \dots, x_k).$$

When we consider a cyclic order, it makes no sense to say that one of its elements is greater than another one, since an appropriate cyclic permutation put the two elements in the opposite order. However, it makes sense to say that an element is *immediately* followed by another one. Certainly, the very last element is immediately followed by the very first: indeed, any non-identity cyclic permutation puts the first element immediately after the last one.

In a cyclicly ordered finite set, each element  $a$  has a unique element  $b$  next to  $a$ , i.e., which follows  $a$  immediately. This determines a map of the set onto itself, namely the simplest cyclic permutation

$$x_i \mapsto \begin{cases} x_{i+1} & \text{if } i < n, \\ x_1 & \text{if } i = n. \end{cases}$$

This permutation acts transitively (i.e., any element is mapped to any other one by an appropriate iteration of it).

**7.W.** Any map  $T : X \rightarrow X$  that acts transitively in  $X$  determines a cyclic order in  $X$  such that each  $a \in X$  is followed by  $T(a)$ .

**7.X.** A set consisting of  $n$  elements possesses exactly  $(n - 1)!$  pairwise distinct cyclic orders.

In particular, a two-element set has only one cyclic order (which is so uninteresting that sometimes it is said to make no sense), while any three-element set possesses two cyclic orders.

### 7°11x. Cyclic Orders in Infinite Sets

One can consider cyclic orders in an infinite set. However, most of what was said above does not apply to cyclic orders in infinite sets without an adjustment. In particular, most of them cannot be described by showing pairs of elements that are next to each other. For example, points of a circle can be cyclically ordered clockwise (or counter-clockwise), but no point immediately follows another point with respect to this cyclic order.

Such “continuous” cyclic orders can be defined almost in the same way as cyclic orders in finite sets were defined above. The difference is that sometimes it is impossible to define *cyclic permutations of the set* in necessary quantity, and they have to be replaced by *cyclic transformations of the linear orders*. Namely, a cyclic order is defined as a linear order considered up to cyclic transformations, where by a *cyclic transformation* of a linear order  $\prec$  in a set  $X$  we mean a passage from  $\prec$  to a linear order  $\prec'$  such that  $X$  splits into subsets  $A$  and  $B$  such that the restrictions of  $\prec$  and  $\prec'$  to each of them coincide, while  $a \prec b$  and  $b \prec' a$  for any  $a \in A$  and  $b \in B$ .

**7.Ax.** Existence of a cyclic transformation transforming linear orders to each other determines an equivalence relation on the set of all linear orders in a set.

A *cyclic order* in a set is an equivalence class of linear orders under the relation of existence of a cyclic transformation.

**7.Bx.** Prove that for a finite set this definition is equivalent to the definition in the preceding Section.

**7.Cx.** Prove that the cyclic “counter-clockwise” order on a circle can be defined along the definition of this Section, but cannot be defined as a linear order modulo cyclic transformations of the set for whatever definition of cyclic transformations of circle. Describe the linear orders on the circle that determine this cyclic order up to cyclic transformations of orders.

**7.Dx.** Let  $A$  be a subset of a set  $X$ . If two linear orders  $\prec'$  and  $\prec$  on  $X$  are obtained from each other by a cyclic transformation, then their restrictions to  $A$  are also obtained from each other by a cyclic transformation.

**7.Ex Corollary.** A cyclic order in a set induces a well-defined cyclic order in every subset of this set.

**7.Fx.** A cyclic order in a set  $X$  can be recovered from the cyclic orders induced by it in all three-element subsets of  $X$ .

**7.Fx.1.** A cyclic order in a set  $X$  can be recovered from the cyclic orders induced by it in all three-element subsets of  $X$  containing a fixed element  $a \in X$ .

Theorem 7.Fx allows us to describe a cyclic order as a ternary relation. Namely, let  $a, b, c$  be elements of a cyclically ordered set. Then we write  $[a \prec b \prec c]$  if the induced cyclic order on  $\{a, b, c\}$  is determined by the linear order in which the inequalities in the brackets hold true (i.e.,  $b$  follows  $a$  and  $c$  follows  $b$ ).

**7.Gx.** Let  $X$  be a cyclically ordered set. Then the ternary relation  $[a \prec b \prec c]$  on  $X$  has the following properties:

- (1) for any pairwise distinct  $a, b, c \in X$ , we have either  $[a \prec b \prec c]$ , or  $[b \prec a \prec c]$  is true, but not both;
- (2)  $[a \prec b \prec c]$ , iff  $[b \prec c \prec a]$ , iff  $[c \prec a \prec b]$ , for any  $a, b, c \in X$ ;
- (3) if  $[a \prec b \prec c]$  and  $[a \prec c \prec d]$ , then  $[a \prec b \prec d]$ .

Vice versa, a ternary relation having these four properties in a set  $X$  determines a cyclic order in  $X$ .

### 7°12x. Topology of Cyclic Order

**7.Hx.** Let  $X$  be a cyclically ordered set. Then the sets that belong to the interval topology of every linear order determining the cyclic order on  $X$  constitute a topological structure in  $X$ .

The topology defined in 7.Hx is the *cyclic order topology*.

**7.Ix.** The cyclic order topology determined by the cyclic counterclockwise order of  $S^1$  is the topology generated by the metric  $\rho(x, y) = |x - y|$  on  $S^1 \subset \mathbb{C}$ .

## Proofs and Comments

**1.A** The question is so elementary that it is difficult to find more elementary facts which we could use in the proof. What does it mean that  $A$  consists of  $a$  elements? This means, say, that we can count elements of  $A$  one by one assigning to them numbers 1, 2, 3, and the last element will receive number  $a$ . It is known that the result does not depend on the order in which we count. (In fact, one can develop a set theory which would include a theory of counting, and in which this is a theorem. However, since we have no doubts in this fact, let us use it without proof.) Therefore we can start counting of elements of  $B$  with counting the elements of  $A$ . The counting of elements of  $A$  will be done first, and then, if there are some elements of  $B$  that are not in  $A$ , counting will be continued. Thus, the number of elements in  $A$  is less than or equal to the number of elements in  $B$ .

**1.B** Recall that, by the definition of an inclusion,  $A \subset B$  means that each element of  $A$  is an element of  $B$ . Therefore, the statement that we must prove can be rephrased as follows: each element of  $A$  is an element of  $A$ . This is a tautology.

**1.C** Recall that, by the definition of an inclusion,  $A \subset B$  means that each element of  $A$  is an element of  $B$ . Thus we need to prove that any element of  $\emptyset$  belongs to  $A$ . This is correct because there are no elements in  $\emptyset$ . If you are not satisfied with this argument (since it sounds too crazy), then let us resort to the question whether this can be wrong. How can it happen that  $\emptyset$  is not a subset of  $A$ ? This is possible only if there is an element of  $\emptyset$  which is not an element of  $A$ . However, there is no such elements in  $\emptyset$  because  $\emptyset$  has no elements at all.

**1.D** We must prove that each element of  $A$  is an element of  $C$ . Let  $x \in A$ . Since  $A \subset B$ , it follows that  $x \in B$ . Since  $B \subset C$ , the latter belonging (i.e.,  $x \in B$ ) implies  $x \in C$ . This is what we had to prove.

**1.E** We have already seen that  $A \subset A$ . Hence if  $A = B$ , then, indeed,  $A \subset B$  and  $B \subset A$ . On the other hand,  $A \subset B$  means that each element of  $A$  belongs to  $B$ , while  $B \subset A$  means that each element of  $B$  belongs to  $A$ . Hence  $A$  and  $B$  have the same elements, i.e., they are equal.

**1.G** It is easy to construct a set  $A$  with  $A \notin A$ . Take  $A = \emptyset$ , or  $A = \mathbb{N}$ , or  $A = \{1\}$ , ...

**1.H** Take  $A = \{1\}$ ,  $B = \{\{1\}\}$ , and  $C = \{\{\{1\}\}\}$ . It is more difficult to construct sets  $A$ ,  $B$ , and  $C$  such that  $A \in B$ ,  $B \in C$ , and  $A \in C$ . Take  $A = \{1\}$ ,  $B = \{\{1\}\}$ , and  $C = \{\{1\}, \{\{1\}\}\}$ .

**2.A** What should we check? The first axiom reads here that the union of any collection of subsets of  $X$  is a subset of  $X$ . Well, this is true. If  $A \subset X$  for each  $A \in \Gamma$ , then, obviously,  $\bigcup_{A \in \Gamma} A \subset X$ . Exactly in the same way we check the second axiom. Finally, of course,  $\emptyset \subset X$  and  $X \subset X$ .

**2.B** Yes, it is. If one of the united sets is  $X$ , then the union is  $X$ , otherwise the union is empty. If one of the sets to intersect is  $\emptyset$ , then the intersection is  $\emptyset$ . Otherwise, the intersection equals  $X$ .

**2.C** First, show that  $\bigcup_{A \in \Gamma} A \cap \bigcup_{B \in \Sigma} B = \bigcup_{A \in \Gamma, B \in \Sigma} (A \cap B)$ . Therefore, if

$A$  and  $B$  are intervals, then the right-hand side is a union of intervals.

If you think that a set which is a union of intervals is too simple, then, please, try to answer the following question (which has nothing to do with the problem under consideration, though). Let  $\{r_n\}_{n=1}^{\infty} = \mathbb{Q}$  (i.e., we numbered all rational numbers). Prove that  $\bigcup (r - 2^{-n}, r + 2^{-n}) \neq \mathbb{R}$ , although this is a union of some intervals, that contains all (!) rational numbers.

**2.D** The union of any collection of open sets is open. The intersection of any finite collection of open sets is open. The empty set and the whole space are open.

**2.E**

(a)

$$\begin{aligned} x \in \bigcap_{A \in \Gamma} (X \setminus A) &\iff \forall A \in \Gamma : x \in X \setminus A \\ &\iff \forall A \in \Gamma : x \notin A \iff x \notin \bigcup_{A \in \Gamma} A \iff x \in X \setminus \bigcup_{A \in \Gamma} A. \end{aligned}$$

(b) Replace both sides of the formula by their complements in  $X$  and put  $B = X \setminus A$ .

**2.F** (a) Let  $\Gamma = \{F_\alpha\}$  be a collection of closed sets. We must verify that  $\bigcap F_\alpha$  is closed, i.e.  $X \setminus \bigcap F_\alpha$  is open. Indeed, by the second De Morgan formula we have

$$X \setminus \bigcap F_\alpha = \bigcup (X \setminus F_\alpha),$$

which is open by the first axiom of topological structure.

(b) Similar to (a); use the first De Morgan formula and the second axiom of topological structure.

(c) Obvious.

**2.G** In any topological space, the empty set and the whole space are both open and closed. Any set in a discrete space is both open and closed.

Half-open intervals on the line are neither open nor closed. Cf. the next problem.

**2.H** Yes, it is, because its complement  $\mathbb{R} \setminus [a, b] = (-\infty, a) \cup (b, +\infty)$  is open.

**2.Ax** Let  $U \subset \mathbb{R}$  be an open set. For each  $x \in U$ , let  $(m_x, M_x) \subset U$  be the largest open interval containing  $x$  (take the union of all open intervals in  $U$  that contain  $x$ ). Since  $U$  is open, such intervals exist. Any two such intervals either coincide or are disjoint.

**2.Dx** Conditions (a) and (c) from 2.13 are obviously fulfilled. To prove (b), let us use 2.Ex and argue by contradiction. Suppose that sets  $A$  and  $B$  contain no arithmetic progressions of length at least  $n$ . If  $A \cup B$  contains a sufficiently long progression, then  $A$  or  $B$  contains a progression of length more than  $n$ , a contradiction.

**3.A**  $\Rightarrow$  Present  $U$  as a union of elements of  $\Sigma$ . Each point  $x \in U$  is contained in at least one of these sets. Such a set can be chosen as  $V$ . It is contained in  $U$  since it participates in a union equal to  $U$ .

$\Leftarrow$  We must prove that each  $U \in \Omega$  is a union of elements of  $\Sigma$ . For each point  $x \in U$ , choose according to the assumption a set  $V_x \in \Sigma$  such that  $x \in V_x \subset U$  and consider  $\cup_{x \in U} V_x$ . Notice that  $\cup_{x \in U} V_x \subset U$  because  $V_x \subset U$  for each  $x \in U$ . On the other hand, each point  $x \in U$  is contained in its own  $V_x$  and hence in  $\cup_{x \in U} V_x$ . Therefore,  $U \subset \cup_{x \in U} V_x$ . Thus,  $U = \cup_{x \in U} V_x$ .

**3.B**  $\Rightarrow$   $X$ , being an open set in any topology, is a union of some sets in  $\Sigma$ . The intersection of any two sets in  $\Sigma$  is open, therefore it also is a union of base sets.  $\Leftarrow$  Let us prove that the set of unions of all collections of elements of  $\Sigma$  satisfies the axioms of topological structure. The first axiom is obviously fulfilled since the union of unions is a union. Let us prove the second axiom (the intersection of two open sets is open). Let  $U = \cup_{\alpha} A_{\alpha}$  and  $V = \cup_{\beta} B_{\beta}$ , where  $A_{\alpha}, B_{\beta} \in \Sigma$ . Then

$$U \cap V = (\cup_{\alpha} A_{\alpha}) \cap (\cup_{\beta} B_{\beta}) = \cup_{\alpha, \beta} (A_{\alpha} \cap B_{\beta}),$$

and since, by assumption,  $A_{\alpha} \cap B_{\beta}$  is a union of elements of  $\Sigma$ , so is the intersection  $U \cap V$ . In the third axiom, we need to check only the part concerning the entire  $X$ . By assumption,  $X$  is a union of sets belonging to  $\Sigma$ .

**3.D** Let  $\Sigma_1$  and  $\Sigma_2$  be bases of topological structures  $\Omega_1$  and  $\Omega_2$  in a set  $X$ . Obviously,  $\Omega_1 \subset \Omega_2$  iff  $\forall U \in \Sigma_1 \forall x \in U \exists V \in \Sigma_2 : x \in V \subset U$ . Now recall that  $\Omega_1 = \Omega_2$  iff  $\Omega_1 \subset \Omega_2$  and  $\Omega_2 \subset \Omega_1$ .

**4.A** Indeed, it makes sense to check that all conditions in the definition of a metric are fulfilled for *each* triple of points  $x, y$ , and  $z$ .

**4.B** The triangle inequality in this case takes the form  $|x - y| \leq |x - z| + |z - y|$ . Putting  $a = x - z$  and  $b = z - y$ , we transform the triangle inequality into the well-known inequality  $|a + b| \leq |a| + |b|$ .

**4.C** As in the solution of Problem 4.B, the triangle inequality takes the form:  $\sqrt{\sum_{i=1}^n (a_i + b_i)^2} \leq \sqrt{\sum_{i=1}^n a_i^2} + \sqrt{\sum_{i=1}^n b_i^2}$ . Two squarings followed by an obvious simplification reduce this inequality to the well-known Cauchy inequality  $(\sum a_i b_i)^2 \leq \sum a_i^2 \sum b_i^2$ .

**4.E** We must prove that every point  $y \in B_{r-\rho(a,x)}(x)$  belongs to  $B_r(a)$ . In terms of distances, this means that  $\rho(y, a) < r$  if  $\rho(y, x) < r - \rho(a, x)$  and  $\rho(a, x) < r$ . By the triangle inequality,  $\rho(y, a) \leq \rho(y, x) + \rho(x, a)$ . Replacing the first summand on the right-hand side of the latter inequality by a greater number  $r - \rho(a, x)$ , we obtain the required inequality. The second inclusion is proved similarly.

**4.F**  $\Leftrightarrow$  Show that if  $d = \text{diam } A$  and  $a \in A$ , then  $A \subset D_d(a)$ .  $\Leftrightarrow$  Use the fact that  $\text{diam } D_d(a) \leq 2d$ . (Cf. 4.11.)

**4.G** This follows from Problem 4.E, Theorem 3.B and Assertion 3.C.

**4.H** For this metric, the balls are open intervals. Each open interval in  $\mathbb{R}$  is as a ball. The standard topology in  $\mathbb{R}$  is determined by the base consisting of all open intervals.

**4.I**  $\Leftrightarrow$  If  $a \in A$ , then  $a \in B_r(x) \subset A$  and  $B_{r-\rho(a,x)}(a) \in B_r(x) \subset U$ , see 4.E.  $\Leftrightarrow$   $A$  is a union of balls, therefore,  $A$  is open in the metric topology.

**4.J** An indiscrete space does not have sufficiently many open sets. For  $x, y \in X$  and  $r = \rho(x, y) > 0$ , the ball  $D_r(x)$  is nonempty and does not coincide with the whole space (it does not contain  $y$ ).

**4.K**  $\Leftrightarrow$  For  $x \in X$ , put  $r = \min\{\rho(x, y) \mid y \in X \setminus x\}$ . Which points are in  $B_r(x)$ ?  $\Leftrightarrow$  Obvious. (Cf. 4.19.)

**4.L**  $\Leftrightarrow$  The condition  $\rho(b, A) = 0$  means that each ball centered at  $b$  meets  $A$ , i.e.,  $b$  does not belong to the complement of  $A$  (since  $A$  is closed, the complement of  $A$  is open).  $\Leftrightarrow$  Obvious.

**4.Ax** Condition (2) is obviously fulfilled. Put  $r(A, B) = \sup_{a \in A} \rho(a, B)$ , so

that  $d_\rho(A, B) = \max\{r(A, B), r(B, A)\}$ . To prove that (3) is also fulfilled, it suffices to prove that  $r(A, C) \leq r(A, B) + r(B, C)$  for any  $A, B, C \subset X$ . We easily see that  $\rho(a, C) \leq \rho(a, b) + \rho(b, C)$  for all  $a \in A$  and  $b \in B$ . Hence,  $\rho(a, C) \leq \rho(a, b) + r(B, C)$ , whence

$$\rho(a, C) \leq \inf_{b \in B} \rho(a, b) + r(B, C) = \rho(a, B) + r(B, C) \leq r(A, B) + r(B, C),$$

which implies the required inequality.

**4.Bx** By 4.Ax,  $d_\rho$  satisfies conditions (2) and (3) from the definition of a metric. From 4.L it follows that if the Hausdorff distance between two closed sets  $A$  and  $B$  equals zero, then  $A \subset B$  and  $B \subset A$ , i.e.,  $A = B$ . Thus,  $d_\rho$  satisfies the condition (1).

**4.Cx**  $d_\Delta(A, B)$  is the area of the symmetric difference  $A \Delta B = (A \setminus B) \cup (B \setminus A)$  of  $A$  and  $B$ . The first two axioms of metric are obviously fulfilled. Prove the triangle inequality by using the inclusion  $A \setminus B \subset (C \setminus B) \cup (A \setminus C)$ .

**4.Fx** Clearly, the metric in 4.A is an ultrametric. The other metrics are not: for each of them you can find points  $x$ ,  $y$ , and  $z$  such that  $\rho(x, y) = \rho(x, z) + \rho(z, y)$ .

**4.Gx** The definition of an ultrametric implies that none of the pairwise distances between the points  $a$ ,  $b$ , and  $c$  is greater than each of the other two.

**4.Hx** By 4.Gx, if  $y \in S_r(x)$  and  $r > s > 0$ , then  $B_s(y) \subset S_r(x)$ .

**4.Ix** Let  $x - z = \frac{r_1}{s_1}p^{\alpha_1}$  and  $z - y = \frac{r_2}{s_2}p^{\alpha_2}$ , where  $\alpha_1 \leq \alpha_2$ . Then we have

$$x - y = p^{\alpha_1} \left( \frac{r_1}{s_1} + \frac{r_2}{s_2} p^{\alpha_2 - \alpha_1} \right) = p^{\alpha_1} \frac{r_1 s_2 + r_2 s_1 p^{\alpha_2 - \alpha_1}}{s_1 s_2},$$

whence  $p(x, y) \leq p^{-\alpha_1} = \max\{\rho(x, z), \rho(z, y)\}$ .

**5.A** We must check that  $\Omega_A$  satisfies the axioms of topological structure. Consider the first axiom. Let  $\Gamma \subset \Omega_A$  be a collection of sets in  $\Omega_A$ . We must prove that  $\bigcup_{U \in \Gamma} U \in \Omega_A$ . For each  $U \in \Gamma$ , find  $U_X \in \Omega$  such that  $U = A \cap U_X$ . This is possible due to the definition of  $\Omega_A$ . Transform the union under consideration:  $\bigcup_{U \in \Gamma} U = \bigcup_{U \in \Gamma} (A \cap U_X) = A \cap \bigcup_{U \in \Gamma} U_X$ . The union  $\bigcup_{U \in \Gamma} U_X$  belongs to  $\Omega$  (i.e., is open in  $X$ ) as the union of sets open in  $X$ . (Here we use the fact that  $\Omega$ , being a topology in  $X$ , satisfies the first axiom of topological structure.) Therefore,  $A \cap \bigcup_{U \in \Gamma} U_X$  belongs to  $\Omega_A$ . Similarly we can check the second axiom. The third axiom:  $A = A \cap X$ , and  $\emptyset = A \cap \emptyset$ .

**5.B** Let us prove that a subset of  $\mathbb{R}^1$  is open in the relative topology iff it is open in the canonical topology.  $\Rightarrow$  The intersection of an open disk with  $\mathbb{R}^1$  is either an open interval or the empty set. Any open set in the plane is a union of open disks. Therefore the intersection of any open set of the plane with  $\mathbb{R}^1$  is a union of open intervals. Thus, it is open in  $\mathbb{R}^1$ .  $\Leftarrow$  Prove this part on your own.

**5.C**  $\Rightarrow$  The complement  $A \setminus F$  is open in  $A$ , i.e.,  $A \setminus F = A \cap U$ , where  $U$  is open in  $X$ . What closed set cuts  $F$  on  $A$ ? It is cut by  $X \setminus U$ .

Indeed,  $A \cap (X \setminus U) = A \setminus (A \cap U) = A \setminus (A \setminus F) = F$ .  $\Leftrightarrow$  This is proved in a similar way.

**5.D** No disk of  $\mathbb{R}^2$  is contained in  $\mathbb{R}$ .

**5.E** If  $A \in \Omega$  and  $B \in \Omega_A$ , then  $B = A \cap U$ , where  $U \in \Omega$ . Therefore,  $B \in \Omega$  as the intersection of two sets,  $A$  and  $U$ , belonging to  $\Omega$ .

**5.F** Act as in the solution of the preceding problem 5.E, but use 5.C instead of the definition of the relative topology.

**5.G** The core of the proof is the equality  $(U \cap A) \cap B = U \cap B$ . It holds true because  $B \subset A$ , and we apply it to  $U \in \Omega$ . As  $U$  runs through  $\Omega$ , the right-hand side of the equality  $(U \cap A) \cap B = U \cap B$  runs through  $\Omega_B$ , while the left-hand side runs through  $(\Omega_A)_B$ . Indeed, elements of  $\Omega_B$  are intersections  $U \cap B$  with  $U \in \Omega$ , and elements of  $(\Omega_A)_B$  are intersections  $V \cap B$  with  $V \in \Omega_A$ , but  $V$ , in turn, being an element of  $\Omega_A$ , is the intersection  $U \cap A$  with  $U \in \Omega$ .

**6.A** The union of all open sets contained in  $A$ , firstly, is open (as a union of open sets), and, secondly, contains every open set that is contained in  $A$  (i.e., it is the greatest one among those sets).

**6.B** Let  $x$  be an interior point of  $A$  (i.e., there exists an open set  $U_x$  such that  $x \in U_x \subset A$ ). Then  $U_x \subset \text{Int } A$  (because  $\text{Int } A$  is the greatest open set contained in  $A$ ), whence  $x \in \text{Int } A$ . Vice versa, if  $x \in \text{Int } A$ , then the set  $\text{Int } A$  itself is a neighborhood of  $x$  contained in  $A$ .

**6.C**  $\Rightarrow$  If  $U$  is open, then  $U$  is the greatest open subset of  $U$ , and hence coincides with the interior of  $U$ .  $\Leftarrow$  A set coinciding with its interior is open since the interior is open.

**6.D**

- (1)  $[0, 1)$  is not open in the line, while  $(0, 1)$  is. Therefore  $\text{Int}[0, 1) = (0, 1)$ .
- (2) Since any interval contains an irrational point,  $\mathbb{Q}$  does not contain a nonempty sets open in the classical topology of  $\mathbb{R}$ . Therefore,  $\text{Int } \mathbb{Q} = \emptyset$ .
- (3) Since any interval contains rational points,  $\mathbb{R} \setminus \mathbb{Q}$  does not contain a nonempty set open in the classical topology of  $\mathbb{R}$ . Therefore,  $\text{Int}(\mathbb{R} \setminus \mathbb{Q}) = \emptyset$ .

**6.E** The intersection of all closed sets containing  $A$ , firstly, is closed (as an intersection of closed sets), and, secondly, is contained in every closed set that contains  $A$  (i.e., it is the smallest one among those sets). Cf. the proof of Theorem 6.A. In general, properties of closure can be obtained from properties of interior by replacing unions with intersections and vice versa.

**6.F** If  $x \notin \text{Cl } A$ , then there exists a closed set  $F$  such that  $F \supset A$  and  $x \notin F$ , whence  $x \in U = X \setminus F$ . Thus,  $x$  is not an adherent point for  $A$ . Prove the inverse implication on your own, cf. 6.H.

**6.G** Cf. the proof of Theorem 6.C.

**6.H** The intersection of all closed sets containing  $A$  is the complement of the union of all open sets contained in  $X \setminus A$ .

**6.I** (a) The half-open interval  $[0, 1)$  is not closed, and  $[0, 1]$  is closed; (b)–(c) The exterior of each of the sets  $\mathbb{Q}$  and  $\mathbb{R} \setminus \mathbb{Q}$  is empty since each interval contains both rational and irrational numbers.

**6.J**  $\Leftrightarrow$  If  $b$  is an adherent point for  $A$ , then  $\forall \varepsilon > 0 \exists a \in A \cap D_\varepsilon(b)$ , whence  $\forall \varepsilon > 0 \exists a \in A : \rho(a, b) < \varepsilon$ . Thus,  $\rho(b, A) = 0$ .  $\Leftarrow$  This is an easy exercise.

**6.K** If  $x \in \text{Fr } A$ , then  $x \in \text{Cl } A$  and  $x \notin \text{Int } A$ . Hence, firstly, each neighborhood of  $x$  meets  $A$ , secondly, no neighborhood of  $x$  is contained in  $A$ , and therefore each neighborhood of  $x$  meets  $X \setminus A$ . Thus,  $x$  is a boundary point of  $A$ . Prove the converse on your own.

**6.L** Since  $\text{Int } A \subset A$ , it follows that  $\text{Cl } A = A$  iff  $\text{Fr } A \subset A$ .

**6.M**  $\Leftrightarrow$  Argue by contradiction. A set  $A$  disjoint with an open set  $U$  is contained in the closed set  $X \setminus U$ . Therefore, if  $U$  is nonempty, then  $A$  is not everywhere dense.  $\Leftarrow$  A set meeting each nonempty open set is contained in only one closed set: the entire space. Hence, its closure is the whole space, and this set is everywhere dense.

**6.N** This is 6.I(b).

**6.O** The condition means that each neighborhood of each point contains an exterior point of  $A$ . This, in turn, means that the exterior of  $A$  is everywhere dense.

**6.Q**  $\Leftrightarrow$  This is 6.P.  $\Leftarrow$  Hint: any point of  $\text{Cl } A \setminus A$  is a limit point of  $A$ .

**7.F** We need to check that the relation “ $a \prec b$  or  $a = b$ ” satisfies the three conditions from the definition of a nonstrict order. Doing this, we can use only the fact that  $\prec$  satisfies the conditions from the definition of a strict order. Let us check the transitivity. Suppose that  $a \preceq b$  and  $b \preceq c$ . This means that either 1)  $a \prec b \prec c$ , or 2)  $a = b \prec c$ , or 3)  $a \prec b = c$ , or 4)  $a = b = c$ .

1) In this case,  $a \prec c$  by transitivity of  $\prec$ , and so  $a \preceq c$ . 2) We have  $a \prec c$ , whence  $a \preceq c$ . 3) We have  $a \prec c$ , whence  $a \preceq c$ . 4) Finally,  $a = c$ , whence  $a \preceq c$ . Other conditions are checked similarly.

**7.I** Assertion (a) follows from transitivity of the order. Indeed, consider an arbitrary an  $c \in C_X^+(b)$ . By the definition of a cone, we have  $b \preceq c$ , while

the condition  $b \in C_X^+(a)$  means that  $a \preceq b$ . By transitivity, this implies that  $a \preceq c$ , i.e.,  $c \in C_X^+(a)$ . We have thus proved that each element of  $C_X^+(b)$  belongs to  $C_X^+(a)$ . Hence,  $C_X^+(b) \subset C_X^+(a)$ , as required.

Assertion (b) follows from the definition of a cone and the reflexivity of order. Indeed, by definition,  $C_X^+(a)$  consists of all  $b$  such that  $a \preceq b$ , and, by reflexivity of order,  $a \preceq a$ .

Assertion (c) follows similarly from antisymmetry: the assumption  $C_X^+(a) = C_X^+(b)$  together with assertion (b) implies that  $a \preceq b$  and  $b \preceq a$ , which together with antisymmetry implies that  $a = b$ .

**7.J** By Theorem 7.I, cones in a poset have the properties that form the hypothesis of the theorem to be proved. When proving Theorem 7.I, we showed that these properties follow from the corresponding conditions in the definition of a partial nonstrict order. In fact, they are equivalent to these conditions. Permuting words in the proof of Theorem 7.I, we to obtain a proof of Theorem 7.J.

**7.O** By Theorem 3.B, it suffices to prove that the intersection of any two right rays is a union of right rays. Let  $a, b \in X$ . Since the order is linear, either  $a \prec b$ , or  $b \prec a$ . Let  $a \prec b$ . Then

$$\{x \in X \mid a \prec x\} \cap \{x \in X \mid b \prec x\} = \{x \in X \mid b \prec x\}.$$

**7.R** By Theorem 3.C, it suffices to prove that each element of the intersection of two cones, say,  $C_X^+(a)$  and  $C_X^+(b)$ , is contained in the intersection together with a whole cone of the same kind. Assume that  $c \in C_X^+(a) \cap C_X^+(b)$  and  $d \in C_X^+(c)$ . Then  $a \preceq c \preceq d$  and  $b \preceq c \preceq d$ , whence  $a \preceq d$  and  $b \preceq d$ . Therefore  $d \in C_X^+(a) \cap C_X^+(b)$ . Hence,  $C_X^+(c) \subset C_X^+(a) \cap C_X^+(b)$ .

**7.T** Equivalence of the second and third properties follows from the De Morgan formulas, as in 2.F. Let us prove that the first property implies the second one. Consider the intersection of an arbitrary collection of open sets. For each of its points, every set of this collection is a neighborhood. Therefore, its smallest neighborhood is contained in each of the sets to be intersected. Hence, the smallest neighborhood of the point is contained in the intersection, which we denote by  $U$ . Thus, each point of  $U$  lies in  $U$  together with its neighborhood. Since  $U$  is the union of these neighborhoods, it is open.

Now let us prove that if the intersection of any collection of open sets is open, then any point has a smallest neighborhood. Where can one get such a neighborhood from? How to construct it? Take all neighborhoods of a point  $x$  and consider their intersection  $U$ . By assumption,  $U$  is open. It contains

$x$ . Therefore,  $U$  is a neighborhood of  $x$ . This neighborhood, being the intersection of all neighborhoods, is contained in each of the neighborhoods. Thus,  $U$  is the smallest neighborhood.

**7.V** The minimal base of this topology consists of singletons of the form  $\{2k - 1\}$  with  $k \in \mathbb{Z}$  and three-point sets of the form  $\{2k - 1, 2k, 2k + 1\}$ , where again  $k \in \mathbb{Z}$ .

# Continuity

## 8. Set-Theoretic Digression: Maps

### 8°1. Maps and Main Classes of Maps

A *map*  $f$  of a set  $X$  to a set  $Y$  is a triple consisting of  $X$ ,  $Y$ , and a rule,<sup>1</sup> which assigns to every element of  $X$  exactly one element of  $Y$ . There are other words with the same meaning: *mapping*, *function*, etc.

If  $f$  is a map of  $X$  to  $Y$ , then we write  $f : X \rightarrow Y$ , or  $X \xrightarrow{f} Y$ . The element  $b$  of  $Y$  assigned by  $f$  to an element  $a$  of  $X$  is denoted by  $f(a)$  and called the *image* of  $a$  under  $f$ , or the  $f$ -image of  $a$ . We write  $b = f(a)$ , or  $a \xrightarrow{f} b$ , or  $f : a \mapsto b$ .

A map  $f : X \rightarrow Y$  is a *surjective map*, or just a *surjection* if every element of  $Y$  is the image of at least one element of  $X$ . A map  $f : X \rightarrow Y$  is an *injective map*, *injection*, or *one-to-one map* if every element of  $Y$  is the image of at most one element of  $X$ . A map is a *bijective map*, *bijection*, or *invertible map* if it is both surjective and injective.

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<sup>1</sup>Certainly, the rule (as everything in set theory) may be thought of as a set. Namely, we consider the set of the ordered pairs  $(x, y)$  with  $x \in X$  and  $y \in Y$  such that the rule assigns  $y$  to  $x$ . This is the *graph* of  $f$ . It is a subset of  $X \times Y$ . (Recall that  $X \times Y$  is the set of all ordered pairs  $(x, y)$  with  $x \in X$  and  $y \in Y$ .)

### 8°2. Image and Preimage

The *image* of a set  $A \subset X$  under a map  $f : X \rightarrow Y$  is the set of images of all points of  $A$ . It is denoted by  $f(A)$ . Thus

$$f(A) = \{f(x) \mid x \in A\}.$$

The image of the entire set  $X$  (i.e., the set  $f(X)$ ) is the *image* of  $f$ , it is denoted by  $\text{Im } f$ .

The *preimage* of a set  $B \subset Y$  under a map  $f : X \rightarrow Y$  is the set of elements of  $X$  with images in to  $B$ . It is denoted by  $f^{-1}(B)$ . Thus

$$f^{-1}(B) = \{a \in X \mid f(a) \in B\}.$$

Be careful with these terms: their etymology can be misleading. For example, the image of the preimage of a set  $B$  can differ from  $B$ . And even if it does not differ, it may happen that the preimage is not the only set with this property. Hence, the preimage *cannot* be defined as a set whose image is the given set.

**8.A.** We have  $f(f^{-1}(B)) \subset B$  for any map  $f : X \rightarrow Y$  and any  $B \subset Y$ .

**8.B.**  $f(f^{-1}(B)) = B$  iff  $B \subset \text{Im } f$ .

**8.C.** Let  $f : X \rightarrow Y$  be a map and let  $B \subset Y$  be such that  $f(f^{-1}(B)) = B$ . Then the following statements are equivalent:

- (1)  $f^{-1}(B)$  is the unique subset of  $X$  whose image equals  $B$ ;
- (2) for any  $a_1, a_2 \in f^{-1}(B)$  the equality  $f(a_1) = f(a_2)$  implies  $a_1 = a_2$ .

**8.D.** A map  $f : X \rightarrow Y$  is an injection iff for each  $B \subset Y$  such that  $f(f^{-1}(B)) = B$  the preimage  $f^{-1}(B)$  is the unique subset of  $X$  with image equal to  $B$ .

**8.E.** We have  $f^{-1}(f(A)) \supset A$  for any map  $f : X \rightarrow Y$  and any  $A \subset X$ .

**8.F.**  $f^{-1}(f(A)) = A$  iff  $f(A) \cap f(X \setminus A) = \emptyset$ .

**8.1.** Do the following equalities hold true for any  $A, B \subset Y$  and  $f : X \rightarrow Y$ :

$$f^{-1}(A \cup B) = f^{-1}(A) \cup f^{-1}(B), \quad (10)$$

$$f^{-1}(A \cap B) = f^{-1}(A) \cap f^{-1}(B), \quad (11)$$

$$f^{-1}(Y \setminus A) = X \setminus f^{-1}(A)? \quad (12)$$

**8.2.** Do the following equalities hold true for any  $A, B \subset X$  and any  $f : X \rightarrow Y$ :

$$f(A \cup B) = f(A) \cup f(B), \quad (13)$$

$$f(A \cap B) = f(A) \cap f(B), \quad (14)$$

$$f(X \setminus A) = Y \setminus f(A)? \quad (15)$$

**8.3.** Give examples in which two of the above equalities (13)–(15) are false.

**8.4.** Replace false equalities of 8.2 by correct inclusions.

**8.5. Riddle.** What simple condition on  $f : X \rightarrow Y$  should be imposed in order to make correct all equalities of 8.2 for any  $A, B \subset X$  ?

**8.6.** Prove that for any map  $f : X \rightarrow Y$  and any subsets  $A \subset X$  and  $B \subset Y$  we have:

$$B \cap f(A) = f(f^{-1}(B) \cap A).$$

### 8°3. Identity and Inclusion

The *identity map* of a set  $X$  is the map  $\text{id}_X : X \rightarrow X : x \mapsto x$ . It is denoted just by  $\text{id}$  if there is no ambiguity. If  $A$  is a subset of  $X$ , then the map  $\text{in} : A \rightarrow X : x \mapsto x$  is the *inclusion map*, or just *inclusion*, of  $A$  into  $X$ . It is denoted just by  $\text{in}$  when  $A$  and  $X$  are clear.

**8.G.** The preimage of a set  $B$  under the inclusion  $\text{in} : A \rightarrow X$  is  $B \cap A$ .

### 8°4. Composition

The *composition* of maps  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  is the map  $g \circ f : X \rightarrow Z : x \mapsto g(f(x))$ .

**8.H Associativity.**  $h \circ (g \circ f) = (h \circ g) \circ f$  for any maps  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$ , and  $h : Z \rightarrow U$ .

**8.I.**  $f \circ \text{id}_X = f = \text{id}_Y \circ f$  for any  $f : X \rightarrow Y$ .

**8.J.** A composition of injections is injective.

**8.K.** If the composition  $g \circ f$  is injective, then so is  $f$ .

**8.L.** A composition of surjections is surjective.

**8.M.** If the composition  $g \circ f$  is surjective, then so is  $g$ .

**8.N.** A composition of bijections is a bijection.

**8.7.** Let a composition  $g \circ f$  be bijective. Is then  $f$  or  $g$  necessarily bijective?

### 8°5. Inverse and Invertible

A map  $g : Y \rightarrow X$  is *inverse* to a map  $f : X \rightarrow Y$  if  $g \circ f = \text{id}_X$  and  $f \circ g = \text{id}_Y$ . A map having an inverse map is *invertible*.

**8.O.** A map is invertible iff it is a bijection.

**8.P.** If an inverse map exists, then it is unique.

**8°6. Submaps**

If  $A \subset X$  and  $B \subset Y$ , then for every  $f : X \rightarrow Y$  such that  $f(A) \subset B$  we have a map  $\text{ab}(f) : A \rightarrow B : x \mapsto f(x)$ , which is called the *abbreviation* of  $f$  to  $A$  and  $B$ , a *submap*, or a *submapping*. If  $B = Y$ , then  $\text{ab}(f) : A \rightarrow Y$  is denoted by  $f|_A$  and called the *restriction* of  $f$  to  $A$ . If  $B \neq Y$ , then  $\text{ab}(f) : A \rightarrow B$  is denoted by  $f|_{A,B}$  or even simply  $f|$ .

**8.Q.** The restriction of a map  $f : X \rightarrow Y$  to  $A \subset X$  is the composition of the inclusion  $\text{in} : A \rightarrow X$  and  $f$ . In other words,  $f|_A = f \circ \text{in}$ .

**8.R.** Any submap (in particular, any restriction) of an injection is injective.

**8.S.** If a map possesses a surjective restriction, then it is surjective.

## 9. Continuous Maps

### 9°1. Definition and Main Properties of Continuous Maps

Let  $X$  and  $Y$  be two topological spaces. A map  $f : X \rightarrow Y$  is *continuous* if the preimage of any open subset of  $Y$  is an open subset of  $X$ .

**9.A.** A map is continuous iff the preimage of each closed set is closed.

**9.B.** The identity map of any topological space is continuous.

**9.1.** Let  $\Omega_1$  and  $\Omega_2$  be two topological structures in a space  $X$ . Prove that the identity map

$$\text{id} : (X, \Omega_1) \rightarrow (X, \Omega_2)$$

is continuous iff  $\Omega_2 \subset \Omega_1$ .

**9.2.** Let  $f : X \rightarrow Y$  be a continuous map. Find out whether or not it is continuous with respect to

- (1) a finer topology in  $X$  and the same topology in  $Y$ ,
- (2) a coarser topology in  $X$  and the same topology in  $Y$ ,
- (3) a finer topology in  $Y$  and the same topology in  $X$ ,
- (4) a coarser topology in  $Y$  and the same topology in  $X$ .

**9.3.** Let  $X$  be a discrete space and  $Y$  an arbitrary space. 1) Which maps  $X \rightarrow Y$  are continuous? 2) Which maps  $Y \rightarrow X$  are continuous?

**9.4.** Let  $X$  be an indiscrete space and  $Y$  an arbitrary space. 1) Which maps  $X \rightarrow Y$  are continuous? 2) Which maps  $Y \rightarrow X$  are continuous?

**9.C.** Let  $A$  be a subspace of  $X$ . The inclusion  $\text{in} : A \rightarrow X$  is continuous.

**9.D.** The topology  $\Omega_A$  induced on  $A \subset X$  by the topology of  $X$  is the coarsest topology in  $A$  with respect to which the inclusion  $\text{in} : A \rightarrow X$  is continuous.

**9.5. Riddle.** The statement 9.D admits a natural generalization with the inclusion map replaced by an arbitrary map  $f : A \rightarrow X$  of an arbitrary set  $A$ . Find this generalization.

**9.E.** A composition of continuous maps is continuous.

**9.F.** A submap of a continuous map is continuous.

**9.G.** A map  $f : X \rightarrow Y$  is continuous iff  $\text{ab } f : X \rightarrow f(X)$  is continuous.

**9.H.** Any constant map (i.e., a map with image consisting of a single point) is continuous.

### 9°2. Reformulations of Definition

**9.6.** Prove that a map  $f : X \rightarrow Y$  is continuous iff

$$\text{Cl } f^{-1}(A) \subset f^{-1}(\text{Cl } A)$$

for any  $A \subset Y$ .

**9.7.** Formulate and prove similar criteria of continuity in terms of  $\text{Int } f^{-1}(A)$  and  $f^{-1}(\text{Int } A)$ . Do the same for  $\text{Cl } f(A)$  and  $f(\text{Cl } A)$ .

**9.8.** Let  $\Sigma$  be a base for topology in  $Y$ . Prove that a map  $f : X \rightarrow Y$  is continuous iff  $f^{-1}(U)$  is open for each  $U \in \Sigma$ .

### 9°3. More Examples

**9.9.** Consider the map

$$f : [0, 2] \rightarrow [0, 2] : f(x) = \begin{cases} x & \text{if } x \in [0, 1), \\ 3 - x & \text{if } x \in [1, 2]. \end{cases}$$

Is it continuous (with respect to the topology induced from the real line)?

**9.10.** Consider the map  $f$  from the segment  $[0, 2]$  (with the relative topology induced by the topology of the real line) into the arrow (see Section 2) defined by the formula

$$f(x) = \begin{cases} x & \text{if } x \in [0, 1], \\ x + 1 & \text{if } x \in (1, 2]. \end{cases}$$

Is it continuous?

**9.11.** Give an explicit characterization of continuous maps of  $\mathbb{R}_{T_1}$  (see Section 2) to  $\mathbb{R}$ .

**9.12.** Which maps  $\mathbb{R}_{T_1} \rightarrow \mathbb{R}_{T_1}$  are continuous?

**9.13.** Give an explicit characterization of continuous maps of the arrow to itself.

**9.14.** Let  $f$  be a map of the set  $\mathbb{Z}_+$  of nonnegative numbers onto  $\mathbb{R}$  defined by formula

$$f(x) = \begin{cases} \frac{1}{x} & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

Let  $g : \mathbb{Z}_+ \rightarrow f(\mathbb{Z}_+)$  be its submap. Induce a topology on  $\mathbb{Z}_+$  and  $f(\mathbb{Z}_+)$  from  $\mathbb{R}$ . Are  $f$  and the map  $g^{-1}$  inverse to  $g$  continuous?

### 9°4. Behavior of Dense Sets

**9.15.** Prove that the image of an everywhere dense set under a surjective continuous map is everywhere dense.

**9.16.** Is it true that the image of nowhere dense set under a continuous map is nowhere dense?

**9.17\*.** Do there exist a nowhere dense set  $A$  of  $[0, 1]$  (with the topology induced from the real line) and a continuous map  $f : [0, 1] \rightarrow [0, 1]$  such that  $f(A) = [0, 1]$ ?

### 9°5. Local Continuity

A map  $f$  from a topological space  $X$  to a topological space  $Y$  is said to be *continuous at a point*  $a \in X$  if for every neighborhood  $V$  of  $f(a)$  there exists a neighborhood  $U$  of  $a$  such that  $f(U) \subset V$ .

**9.I.** A map  $f : X \rightarrow Y$  is continuous iff it is continuous at each point of  $X$ .

**9.J.** Let  $X$  and  $Y$  be two metric spaces,  $a \in X$ . A map  $f : X \rightarrow Y$  is continuous at  $a$  iff for every ball with center at  $f(a)$  there exists a ball with center at  $a$  whose image is contained in the first ball.

**9.K.** Let  $X$  and  $Y$  be two metric spaces. A map  $f : X \rightarrow Y$  is continuous at a point  $a \in X$  iff for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that for every point  $x \in X$  the inequality  $\rho(x, a) < \delta$  implies  $\rho(f(x), f(a)) < \varepsilon$ .

Theorem 9.K means that the definition of continuity usually studied in Calculus, when applicable, is equivalent to the above definition stated in terms of topological structures.

### 9°6. Properties of Continuous Functions

**9.18.** Let  $f, g : X \rightarrow \mathbb{R}$  be continuous. Prove that the maps  $X \rightarrow \mathbb{R}$  defined by formulas

$$x \mapsto f(x) + g(x), \quad (16)$$

$$x \mapsto f(x)g(x), \quad (17)$$

$$x \mapsto f(x) - g(x), \quad (18)$$

$$x \mapsto |f(x)|, \quad (19)$$

$$x \mapsto \max\{f(x), g(x)\}, \quad (20)$$

$$x \mapsto \min\{f(x), g(x)\} \quad (21)$$

are continuous.

**9.19.** Prove that if  $0 \notin g(X)$ , then the map

$$X \rightarrow \mathbb{R} : x \mapsto \frac{f(x)}{g(x)}$$

is continuous.

**9.20.** Find a sequence of continuous functions  $f_i : \mathbb{R} \rightarrow \mathbb{R}$ , ( $i \in \mathbb{N}$ ), such that the function

$$\mathbb{R} \rightarrow \mathbb{R} : x \mapsto \sup\{f_i(x) \mid i \in \mathbb{N}\}$$

is not continuous.

**9.21.** Let  $X$  be a topological space. Prove that a function  $f : X \rightarrow \mathbb{R}^n : x \mapsto (f_1(x), \dots, f_n(x))$  is continuous iff so are all functions  $f_i : X \rightarrow \mathbb{R}$  with  $i = 1, \dots, n$ .

Real  $p \times q$ -matrices form a space  $Mat(p \times q, \mathbb{R})$ , which differs from  $\mathbb{R}^{pq}$  only in the way of numeration of its natural coordinates (they are numerated by pairs of indices).

**9.22.** Let  $f : X \rightarrow \text{Mat}(p \times q, \mathbb{R})$  and  $g : X \rightarrow \text{Mat}(q \times r, \mathbb{R})$  be continuous maps. Prove that then

$$X \rightarrow \text{Mat}(p \times r, \mathbb{R}) : x \mapsto g(x)f(x)$$

is a continuous map.

Recall that  $GL(n; \mathbb{R})$  is the subspace of  $\text{Mat}(n \times n, \mathbb{R})$  consisting of all invertible matrices.

**9.23.** Let  $f : X \rightarrow GL(n; \mathbb{R})$  be a continuous map. Prove that  $X \rightarrow GL(n; \mathbb{R}) : x \mapsto (f(x))^{-1}$  is continuous.

### 9°7. Continuity of Distances

**9.L.** For every subset  $A$  of a metric space  $X$ , the function  $X \rightarrow \mathbb{R} : x \mapsto \rho(x, A)$  (see Section 4) is continuous.

**9.24.** Prove that a topology of a metric space is the coarsest topology with respect to which the function  $X \rightarrow \mathbb{R} : x \mapsto \rho(x, A)$  is continuous for every  $A \subset X$ .

### 9°8. Isometry

A map  $f$  of a metric space  $X$  into a metric space  $Y$  is an *isometric embedding* if  $\rho(f(a), f(b)) = \rho(a, b)$  for any  $a, b \in X$ . A bijective isometric embedding is an *isometry*.

**9.M.** Every isometric embedding is injective.

**9.N.** Every isometric embedding is continuous.

### 9°9. Contractive Maps

A map  $f : X \rightarrow X$  of a metric space  $X$  is *contractive* if there exists  $\alpha \in (0, 1)$  such that  $\rho(f(a), f(b)) \leq \alpha\rho(a, b)$  for any  $a, b \in X$ .

**9.25.** Prove that every contractive map is continuous.

Let  $X$  and  $Y$  be metric spaces. A map  $f : X \rightarrow Y$  is a *Hölder map* if there exist  $C > 0$  and  $\alpha > 0$  such that  $\rho(f(a), f(b)) \leq C\rho(a, b)^\alpha$  for any  $a, b \in X$ .

**9.26.** Prove that every Hölder map is continuous.

### 9°10. Sets Defined by Systems of Equations and Inequalities

**9.O.** Let  $f_i$  ( $i = 1, \dots, n$ ) be continuous maps  $X \rightarrow \mathbb{R}$ . Then the subset of  $X$  consisting of solutions of the system of equations

$$f_1(x) = 0, \dots, f_n(x) = 0$$

is closed.

**9.P.** Let  $f_i$  ( $i = 1, \dots, n$ ) be continuous maps  $X \rightarrow \mathbb{R}$ . Then the subset of  $X$  consisting of solutions of the system of inequalities

$$f_1(x) \geq 0, \dots, f_n(x) \geq 0$$

is closed, while the set consisting of solutions of the system of inequalities

$$f_1(x) > 0, \dots, f_n(x) > 0$$

is open.

**9.27.** Where in 9.O and 9.P a finite system can be replaced by an infinite one?

**9.28.** Prove that in  $\mathbb{R}^n$  ( $n \geq 1$ ) every proper algebraic set (i.e., a set defined by algebraic equations) is nowhere dense.

### 9°11. Set-Theoretic Digression: Covers

A collection  $\Gamma$  of subsets of a set  $X$  is a *cover* or a *covering* of  $X$  if  $X$  is the union of sets belonging to  $\Gamma$ , i.e.,  $X = \bigcup_{A \in \Gamma} A$ . In this case, elements of  $\Gamma$  *cover*  $X$ .

There is also a more general meaning of these words. A collection  $\Gamma$  of subsets of a set  $Y$  is a *cover* or a *covering* of a set  $X \subset Y$  if  $X$  is contained in the union of the sets in  $\Gamma$ , i.e.,  $X \subset \bigcup_{A \in \Gamma} A$ . In this case, the sets belonging to  $\Gamma$  are also said to *cover*  $X$ .

### 9°12. Fundamental Covers

Consider a cover  $\Gamma$  of a topological space  $X$ . Each element of  $\Gamma$  inherits a topological structure from  $X$ . When are these structures sufficient for recovering the topology of  $X$ ? In particular, under what conditions on  $\Gamma$  does the continuity of a map  $f : X \rightarrow Y$  follow from that of its restrictions to elements of  $\Gamma$ ? To answer these questions, solve Problems 9.29–9.30 and 9.Q–9.V.

**9.29.** Find out whether or not this is true for the following covers:

- (1)  $X = [0, 2]$ , and  $\Gamma = \{[0, 1], (1, 2]\}$ ;
- (2)  $X = [0, 2]$ , and  $\Gamma = \{[0, 1], [1, 2]\}$ ;
- (3)  $X = \mathbb{R}$ , and  $\Gamma = \{\mathbb{Q}, \mathbb{R} \setminus \mathbb{Q}\}$ ;
- (4)  $X = \mathbb{R}$ , and  $\Gamma$  is a set of all one-point subsets of  $\mathbb{R}$ .

A cover  $\Gamma$  of a space  $X$  is *fundamental* if a set  $U \subset X$  is open iff for every  $A \in \Gamma$  the set  $U \cap A$  is open in  $A$ .

**9.Q.** A cover  $\Gamma$  of a space  $X$  is fundamental iff a set  $U \subset X$  is open, provided  $U \cap A$  is open in  $A$  for every  $A \in \Gamma$ .

**9.R.** A cover  $\Gamma$  of a space  $X$  is fundamental iff a set  $F \subset X$  is closed, provided  $F \cap A$  is closed in  $A$  for every  $A \in \Gamma$ .

**9.30.** The cover of a topological space by singletons is fundamental iff the space is discrete.

A cover of a topological space is *open* if it consists of open sets, and it is *closed* if it consists of closed sets. A cover of a topological space is *locally finite* if every point of the space has a neighborhood intersecting only a finite number of elements of the cover.

**9.S.** Every open cover is fundamental.

**9.T.** A finite closed cover is fundamental.

**9.U.** Every locally finite closed cover is fundamental.

**9.V.** Let  $\Gamma$  be a fundamental cover of a topological space  $X$ , and let  $f : X \rightarrow Y$  be a map. If the restriction of  $f$  to each element of  $\Gamma$  is continuous, then so is  $f$ .

A cover  $\Gamma'$  is a *refinement* of a cover  $\Gamma$  if every element of  $\Gamma'$  is contained in an element of  $\Gamma$ .

**9.31.** Prove that if a cover  $\Gamma'$  is a refinement of a cover  $\Gamma$  and  $\Gamma'$  is fundamental, then so is  $\Gamma$ .

**9.32.** Let  $\Delta$  be a fundamental cover of a topological space  $X$ , and  $\Gamma$  be a cover of  $X$  such that  $\Gamma_A = \{U \cap A \mid U \in \Gamma\}$  is a fundamental cover for subspace  $A \subset X$  for every  $A \in \Delta$ . Prove that  $\Gamma$  is a fundamental cover.

**9.33.** Prove that the property of being fundamental is local, i.e., if every point of a space  $X$  has a neighborhood  $V$  such that  $\Gamma_V = \{U \cap V \mid U \in \Gamma\}$  is fundamental, then  $\Gamma$  is fundamental.

### 9°13x. Monotone Maps

Let  $(X, \preceq)$  and  $(Y, \prec)$  be posets. A map  $f : X \rightarrow Y$  is

- *(non-strictly) monotonically increasing* or just *monotone* if  $f(a) \preceq f(b)$  for any  $a, b \in X$  with  $a \preceq b$ ;
- *(non-strictly) monotonically decreasing* or *antimonotone* if  $f(b) \preceq f(a)$  for any  $a, b \in X$  with  $a \preceq b$ ;
- *strictly monotonically increasing* or just *strictly monotone* if  $f(a) \prec f(b)$  for any  $a, b \in X$  with  $a \prec b$ ;
- *strictly monotonically decreasing* or *strictly antimonotone* if  $f(b) \prec f(a)$  for any  $a, b \in X$  with  $a \prec b$ .

**9.Ax.** Let  $X$  and  $Y$  be linearly ordered sets. With respect to the interval topology in  $X$  and  $Y$  any surjective strictly monotone or antimonotone map  $X \rightarrow Y$  is continuous.

**9.1x.** Show that the surjectivity condition in 9.Ax is needed.

**9.2x.** Is it possible to remove the word *strictly* from the hypothesis of Theorem 9.Ax?

**9.3x.** Under conditions of Theorem 9.Ax, is  $f$  continuous with respect to the right-ray or left-ray topologies?

**9.Bx.** A map of a poset to a poset is monotone iff it is continuous with respect to the poset topologies.

### 9°14x. Gromov–Hausdorff Distance

**9.Cx.** For any metric spaces  $X$  and  $Y$ , there exists a metric space  $Z$  such that  $X$  and  $Y$  can be isometrically embedded into  $Z$ .

Having isometrically embedded two metric space in a single one, we can consider the Hausdorff distance between their images (see. 4°15x). The infimum of such Hausdorff distances over all pairs of isometric embeddings of metric spaces  $X$  and  $Y$  into metric spaces is the *Gromov–Hausdorff distance* between  $X$  and  $Y$ .

**9.Dx.** Does there exist metric spaces with infinite Gromov–Hausdorff distance?

**9.Ex.** Prove that the Gromov–Hausdorff distance is symmetric and satisfies the triangle inequality.

**9.Fx. Riddle.** In what sense the Gromov–Hausdorff distance can satisfy the first axiom of metric?

### 9°15x. Functions on the Cantor Set and Square-Filling Curves

Recall that the Cantor set  $K$  is the set of real numbers that can be presented as sums of series of the form  $\sum_{n=1}^{\infty} \frac{a_n}{3^n}$  with  $a_n \in \{0, 2\}$ .

**9.Gx.** Consider the map

$$\gamma_1 : K \rightarrow [0, 1] : \sum_{n=1}^{\infty} \frac{a_n}{3^n} \mapsto \frac{1}{2} \sum_{n=1}^{\infty} \frac{a_n}{2^n}.$$

Prove that it is a continuous surjection. Sketch the graph of  $\gamma_1$ .

**9.Hx.** Prove that the function

$$K \rightarrow K : \sum_{n=1}^{\infty} \frac{a_n}{3^n} \mapsto \sum_{n=1}^{\infty} \frac{a_{2n}}{3^n}$$

is continuous.

Denote by  $K^2$  the set  $\{(x, y) \in \mathbb{R}^2 \mid x \in K, y \in K\}$ .

**9.Ix.** Prove that the map

$$\gamma_2 : K \rightarrow K^2 : \sum_{n=1}^{\infty} \frac{a_n}{3^n} \mapsto \left( \sum_{n=1}^{\infty} \frac{a_{2n-1}}{3^n}, \sum_{n=1}^{\infty} \frac{a_{2n}}{3^n} \right)$$

is a continuous surjection.

The unit segment  $[0, 1]$  is denoted by  $I$ , the set

$$\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid 0 \leq x_i \leq 1 \text{ for each } i\}$$

is denoted by  $I^n$  and called the (unit)  $n$ -cube.

**9.Jx.** Prove that the map  $\gamma_3 : K \rightarrow I^2$  defined as the composition of  $\gamma_2 : K \rightarrow K^2$  and  $K^2 \rightarrow I^2 : (x, y) \mapsto (\gamma_1(x), \gamma_1(y))$  is a continuous surjection.

**9.Kx.** Prove that the map  $\gamma_3 : K \rightarrow I^2$  is a restriction of a continuous map. (Cf. 2.Bx.2.)

The latter map is a continuous surjection  $I \rightarrow I^2$ . Thus, this is a curve filling the square. A curve with this property was first constructed by G. Peano in 1890. Though the construction sketched above involves the same ideas as the original Peano's construction, the two constructions are slightly different. Since then a lot of other similar examples have been found. You may find a nice survey of them in Hans Sagan's book *Space-Filling Curves*, Springer-Verlag 1994. Here is a sketch of Hilbert's construction.

**9.Lx.** Prove that there exists a sequence of polygonal maps  $f_n : I \rightarrow I^2$  such that

- (1)  $f_n$  connects all centers of the squares forming the obvious subdivision of  $I^2$  into  $4^n$  equal squares with side  $1/2^n$ ;
- (2)  $\text{dist}(f_n(x), f_{n-1}(x)) \leq \sqrt{2}/2^{n+1}$  for any  $x \in I$  (here  $\text{dist}$  denotes the metric induced on  $I^2$  from the standard Euclidean metric of  $\mathbb{R}^2$ ).

**9.Mx.** Prove that any sequence of paths  $f_n : I \rightarrow I^2$  satisfying the conditions of 9.Lx converges to a map  $f : I \rightarrow I^2$  (i.e., for any  $x \in I$  there exists a limit  $f(x) = \lim_{n \rightarrow \infty} f_n(x)$ ), this map is continuous, and its image is dense in  $I^2$ .

**9.Nx.**<sup>2</sup> Prove that any continuous map  $I \rightarrow I^2$  with dense image is surjective.

**9.Ox.** Generalize 9.Ix – 9.Kx, 9.Lx – 9.Nx to obtain a continuous surjection of  $I$  onto  $I^n$ .

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<sup>2</sup>Although this problem can be solved by using theorems that are well known from Calculus, we have to mention that it would be more appropriate to solve it after Section 16. Cf. Problems 16.P, 16.U, and 16.K.

## 10. Homeomorphisms

### 10°1. Definition and Main Properties of Homeomorphisms

An invertible map is a *homeomorphism* if both this map and its inverse are continuous.

**10.A.** Find an example of a continuous bijection which is not a homeomorphism.

**10.B.** Find a continuous bijection  $[0, 1) \rightarrow S^1$  which is not a homeomorphism.

**10.C.** The identity map of a topological space is a homeomorphism.

**10.D.** A composition of homeomorphisms is a homeomorphism.

**10.E.** The inverse of a homeomorphism is a homeomorphism.

### 10°2. Homeomorphic Spaces

A topological space  $X$  is *homeomorphic* to a space  $Y$  if there exists a homeomorphism  $X \rightarrow Y$ .

**10.F.** Being homeomorphic is an equivalence relation.

**10.1. Riddle.** How is Theorem 10.F related to 10.C–10.E?

### 10°3. Role of Homeomorphisms

**10.G.** Let  $f : X \rightarrow Y$  be a homeomorphism. Then  $U \subset X$  is open (in  $X$ ) iff  $f(U)$  is open (in  $Y$ ).

**10.H.**  $f : X \rightarrow Y$  is a homeomorphism iff  $f$  is a bijection and determines a bijection between the topological structures of  $X$  and  $Y$ .

**10.I.** Let  $f : X \rightarrow Y$  be a homeomorphism. Then for every  $A \subset X$

- (1)  $A$  is closed in  $X$  iff  $f(A)$  is closed in  $Y$ ;
- (2)  $f(\text{Cl } A) = \text{Cl}(f(A))$ ;
- (3)  $f(\text{Int } A) = \text{Int}(f(A))$ ;
- (4)  $f(\text{Fr } A) = \text{Fr}(f(A))$ ;
- (5)  $A$  is a neighborhood of a point  $x \in X$  iff  $f(A)$  is a neighborhood of the point  $f(x)$ ;
- (6) etc.

Therefore, from the topological point of view, homeomorphic spaces are completely identical: a homeomorphism  $X \rightarrow Y$  establishes a one-to-one correspondence between all phenomena in  $X$  and  $Y$  that can be expressed in terms of topological structures.<sup>3</sup>

#### 10°4. More Examples of Homeomorphisms

**10.J.** Let  $f : X \rightarrow Y$  be a homeomorphism. Prove that for every  $A \subset X$  the submap  $\text{ab}(f) : A \rightarrow f(A)$  is also a homeomorphism.

**10.K.** Prove that every isometry (see Section 9) is a homeomorphism.

**10.L.** Prove that every nondegenerate affine transformation of  $\mathbb{R}^n$  is a homeomorphism.

**10.M.** Let  $X$  and  $Y$  be two linearly ordered sets. Any strictly monotone surjection  $f : X \rightarrow Y$  is a homeomorphism with respect to the interval topological structures in  $X$  and  $Y$ .

**10.N Corollary.** Any strictly monotone surjection  $f : [a, b] \rightarrow [c, d]$  is a homeomorphism.

**10.2.** Let  $R$  be a positive real. Prove that the inversion

$$\tau : \mathbb{R}^n \setminus 0 \rightarrow \mathbb{R}^n \setminus 0 : x \mapsto \frac{Rx}{|x|^2}$$

is a homeomorphism.

**10.3.** Let  $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$  be the upper half-plane, let  $a, b, c, d \in \mathbb{R}$ , and let  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} > 0$ . Prove that

$$f : \mathcal{H} \rightarrow \mathcal{H} : z \mapsto \frac{az + b}{cz + d}$$

is a homeomorphism.

**10.4.** Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be a bijection. Prove that  $f$  is a homeomorphism iff  $f$  is a monotone function.

**10.5.** 1) Prove that every bijection of an indiscrete space onto itself is a homeomorphism. Prove the same 2) for a discrete space and 3)  $\mathbb{R}_{T_1}$ .

**10.6.** Find all homeomorphisms of the space  $\mathfrak{V}$  (see Section 2) to itself.

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<sup>3</sup>This phenomenon was used as a basis for a definition of the subject of topology in the first stages of its development, when the notion of topological space had not been developed yet. Then mathematicians studied only subspaces of Euclidean spaces, their continuous maps, and homeomorphisms. Felix Klein in his famous Erlangen Program classified various geometries that had emerged up to that time, like Euclidean, Lobachevsky, affine, and projective geometries, and defined topology as a part of geometry that deals with properties preserved by homeomorphisms. In fact, it was not assumed to be a program in the sense of being planned, although it became a kind of program. It was a sort of dissertation presented by Klein for getting a professor position at the Erlangen University.

**10.7.** Prove that every continuous bijection of the arrow onto itself is a homeomorphism.

**10.8.** Find two homeomorphic spaces  $X$  and  $Y$  and a continuous bijection  $X \rightarrow Y$  which is not a homeomorphism.

**10.9.** Is  $\gamma_2 : K \rightarrow K^2$  considered in Problem 9.10 a homeomorphism? Recall that  $K$  is the Cantor set,  $K^2 = \{(x, y) \in \mathbb{R}^2 \mid x \in K, y \in K\}$  and  $\gamma_2$  is defined by

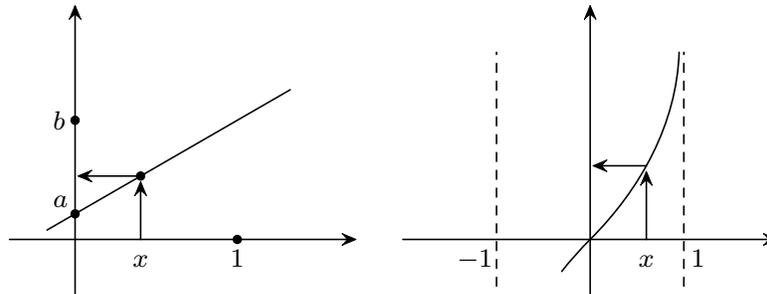
$$\sum_{k=1}^{\infty} \frac{a_k}{3^k} \mapsto \left( \sum_{k=1}^{\infty} \frac{a_{2k-1}}{3^k}, \sum_{k=1}^{\infty} \frac{a_{2k}}{3^k} \right)$$

### 10°5. Examples of Homeomorphic Spaces

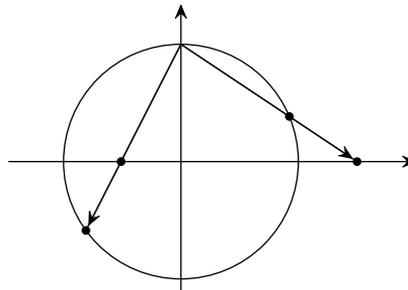
Below the homeomorphism relation is denoted by  $\cong$ . This notation it is not commonly accepted. In other textbooks, any sign close to, but distinct from  $=$ , e.g.,  $\sim$ ,  $\simeq$ ,  $\approx$ , is used.

**10.O.** Prove that

- (1)  $[0, 1] \cong [a, b]$  for any  $a < b$ ;
- (2)  $[0, 1] \cong [a, b] \cong (0, 1] \cong (a, b]$  for any  $a < b$ ;
- (3)  $(0, 1) \cong (a, b)$  for any  $a < b$ ;
- (4)  $(-1, 1) \cong \mathbb{R}$ ;
- (5)  $[0, 1) \cong [0, +\infty)$  and  $(0, 1) \cong (0, +\infty)$ .



**10.P.** Let  $N = (0, 1) \in S^1$  be the North Pole of the unit circle. Prove that  $S^1 \setminus N \cong \mathbb{R}^1$ .



**10.Q.** The graph of a continuous real-valued function defined on an interval is homeomorphic to the interval.

**10.R.**  $S^n \setminus \text{point} \cong \mathbb{R}^n$ . (The first space is the “punctured sphere”.)

**10.10.** Prove that the following plane domains are homeomorphic. (Here and below, our notation is sometimes slightly incorrect: in the curly brackets, we drop the initial part “ $(x, y) \in \mathbb{R}^2 \mid$ ”.)

- (1) The whole plane  $\mathbb{R}^2$ ;
- (2) open square  $\text{Int } I^2 = \{x, y \in (0, 1)\}$ ;
- (3) open strip  $\{x \in (0, 1)\}$ ;
- (4) open half-plane  $\mathcal{H} = \{y > 0\}$ ;
- (5) open half-strip  $\{x > 0, y \in (0, 1)\}$ ;
- (6) open disk  $B^2 = \{x^2 + y^2 < 1\}$ ;
- (7) open rectangle  $\{a < x < b, c < y < d\}$ ;
- (8) open quadrant  $\{x, y > 0\}$ ;
- (9) open angle  $\{x > y > 0\}$ ;
- (10)  $\{y^2 + |x| > x\}$ , i.e., plane without the ray  $\{y = 0 \leq x\}$ ;
- (11) open half-disk  $\{x^2 + y^2 < 1, y > 0\}$ ;
- (12) open sector  $\{x^2 + y^2 < 1, x > y > 0\}$ .

**10.S.** Prove that

- (1) the closed disk  $D^2$  is homeomorphic to the square  $I^2 = \{(x, y) \in \mathbb{R}^2 \mid x, y \in [0, 1]\}$ ;
- (2) the open disk  $B^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 < 1\}$  is homeomorphic to the open square  $\text{Int } I^2 = \{(x, y) \in \mathbb{R}^2 \mid x, y \in (0, 1)\}$ ;
- (3) the circle  $S^1$  is homeomorphic to the boundary  $\partial I^2 = I^2 \setminus \text{Int } I^2$  of the square.

**10.T.** Let  $\Delta \subset \mathbb{R}^2$  be a planar bounded closed convex set with nonempty interior  $U$ . Prove that

- (1)  $\Delta$  is homeomorphic to the closed disk  $D^2$ ;
- (2)  $U$  is homeomorphic to the open disk  $B^2$ ;
- (3)  $\text{Fr } \Delta = \text{Fr } U$  is homeomorphic to  $S^1$ .

**10.11.** In which of the assertions in 10.T can we omit the assumption that the closed convex set  $\Delta$  be bounded?

**10.12.** Classify up to homeomorphism all (nonempty) closed convex sets in the plane. (Make a list without repeats; prove that every such a set is homeomorphic to one in the list; postpone a proof of nonexistence of homeomorphisms till Section 11.)

**10.13\*.** Generalize the previous three problems to the case of sets in  $\mathbb{R}^n$  with arbitrary  $n$ .

The latter four problems show that angles are not essential in topology, i.e., for a line or the boundary of a domain the property of having angles is not preserved by homeomorphism. Here are two more problems on this.

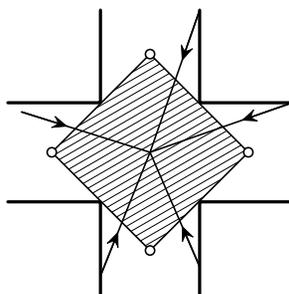
**10.14.** Prove that every simple (i.e., without self-intersections) closed polygon in  $\mathbb{R}^2$  (as well as in  $\mathbb{R}^n$  with  $n > 2$ ) is homeomorphic to the circle  $S^1$ .

**10.15.** Prove that every nonclosed simple finite unit polyline in  $\mathbb{R}^2$  (as well as in  $\mathbb{R}^n$  with  $n > 2$ ) is homeomorphic to the segment  $[0, 1]$ .

The following problem generalizes the technique used in the previous two problems and is used more often than it may seem at first glance.

**10.16.** Let  $X$  and  $Y$  be two topological spaces equipped with fundamental covers:  $X = \bigcup_{\alpha} X_{\alpha}$  and  $Y = \bigcup_{\alpha} Y_{\alpha}$ . Suppose  $f : X \rightarrow Y$  is a map such that  $f(X_{\alpha}) = Y_{\alpha}$  for each  $\alpha$  and the submap  $\text{ab}(f) : X_{\alpha} \rightarrow Y_{\alpha}$  is a homeomorphism. Then  $f$  is a homeomorphism.

**10.17.** Prove that  $\mathbb{R}^2 \setminus \{|x|, |y| > 1\} \cong I^2 \setminus \{x, y \in \{0, 1\}\}$ . (An “infinite cross” is homeomorphic to a square without vertices.)



**10.18\*.** A nonempty set  $\Sigma \subset \mathbb{R}^2$  is “star-shaped with respect to a point  $c$ ” if  $\Sigma$  is a union of segments and rays with an endpoint at  $c$ . Prove that if  $\Sigma$  is open, then  $\Sigma \cong B^2$ . (What can you say about a closed star-shaped set with nonempty interior?)

**10.19.** Prove that the following plane figures are homeomorphic to each other. (See 10.10 for our agreement about notation.)

- (1) A half-plane:  $\{x \geq 0\}$ ;
- (2) a quadrant:  $\{x, y \geq 0\}$ ;
- (3) an angle:  $\{x \geq y \geq 0\}$ ;
- (4) a semi-open strip:  $\{y \in [0, 1)\}$ ;
- (5) a square without three sides:  $\{0 < x < 1, 0 \leq y < 1\}$ ;
- (6) a square without two sides:  $\{0 \leq x, y < 1\}$ ;
- (7) a square without a side:  $\{0 \leq x \leq 1, 0 \leq y < 1\}$ ;
- (8) a square without a vertex:  $\{0 \leq x, y \leq 1\} \setminus (1, 1)$ ;
- (9) a disk without a boundary point:  $\{x^2 + y^2 \leq 1, y \neq 1\}$ ;
- (10) a half-disk without the diameter:  $\{x^2 + y^2 \leq 1, y > 0\}$ ;
- (11) a disk without a radius:  $\{x^2 + y^2 \leq 1\} \setminus [0, 1]$ ;
- (12) a square without a half of the diagonal:  $\{|x| + |y| \leq 1\} \setminus [0, 1]$ .

**10.20.** Prove that the following plane domains are homeomorphic to each other:

- (1) punctured plane  $\mathbb{R}^2 \setminus (0, 0)$ ;
- (2) punctured open disk  $B^2 \setminus (0, 0) = \{0 < x^2 + y^2 < 1\}$ ;
- (3) annulus  $\{a < x^2 + y^2 < b\}$ , where  $0 < a < b$ ;
- (4) plane without a disk:  $\mathbb{R}^2 \setminus D^2$ ;
- (5) plane without a square:  $\mathbb{R}^2 \setminus I^2$ ;

- (6) plane without a segment:  $\mathbb{R}^2 \setminus [0, 1]$ ;  
 (7)  $\mathbb{R}^2 \setminus \Delta$ , where  $\Delta$  is a closed bounded convex set with  $\text{Int } \Delta \neq \emptyset$ .

**10.21.** Let  $X \subset \mathbb{R}^2$  be an union of several segments with a common endpoint. Prove that the complement  $\mathbb{R}^2 \setminus X$  is homeomorphic to the punctured plane.

**10.22.** Let  $X \subset \mathbb{R}^2$  be a simple nonclosed finite polyline. Prove that its complement  $\mathbb{R}^2 \setminus X$  is homeomorphic to the punctured plane.

**10.23.** Let  $K = \{a_1, \dots, a_n\} \subset \mathbb{R}^2$  be a finite set. The complement  $\mathbb{R}^2 \setminus K$  is a *plane with  $n$  punctures*. Prove that any two planes with  $n$  punctures are homeomorphic, i.e., the position of  $a_1, \dots, a_n$  in  $\mathbb{R}^2$  does not affect the topological type of  $\mathbb{R}^2 \setminus \{a_1, \dots, a_n\}$ .

**10.24.** Let  $D_1, \dots, D_n \subset \mathbb{R}^2$  be pairwise disjoint closed disks. Prove that the complement of their union is homeomorphic to a plane with  $n$  punctures.

**10.25.** Let  $D_1, \dots, D_n \subset \mathbb{R}^2$  be pairwise disjoint closed disks. The complement of the union of its interiors is said to be *plane with  $n$  holes*. Prove that any two planes with  $n$  holes are homeomorphic, i.e., the location of disks  $D_1, \dots, D_n$  does not affect the topological type of  $\mathbb{R}^2 \setminus \cup_{i=1}^n \text{Int } D_i$ .

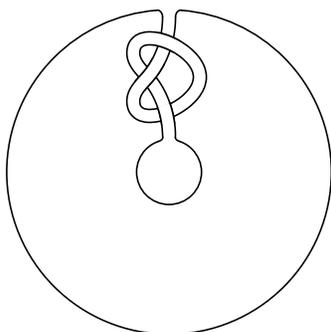
**10.26.** Let  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  be two continuous functions such that  $f < g$ . Prove that the “strip”  $\{(x, y) \in \mathbb{R}^2 \mid f(x) \leq y \leq g(x)\}$  bounded by their graphs is homeomorphic to the closed strip  $\{(x, y) \mid y \in [0, 1]\}$ .

**10.27.** Prove that a mug (with a handle) is homeomorphic to a doughnut.

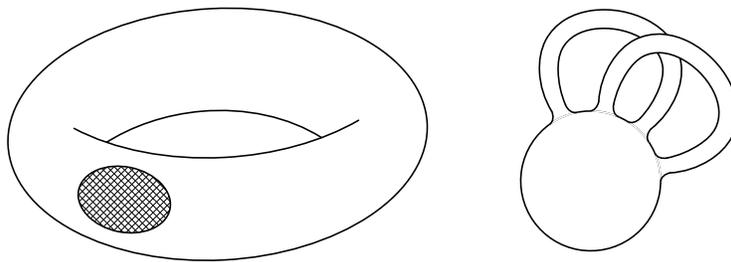
**10.28.** Arrange the following items to homeomorphism classes: a cup, a saucer, a glass, a spoon, a fork, a knife, a plate, a coin, a nail, a screw, a bolt, a nut, a wedding ring, a drill, a flower pot (with a hole in the bottom), a key.

**10.29.** In a spherical shell (the space between two concentric spheres), one drilled out a cylindrical hole connecting the boundary spheres. Prove that the rest is homeomorphic to  $D^3$ .

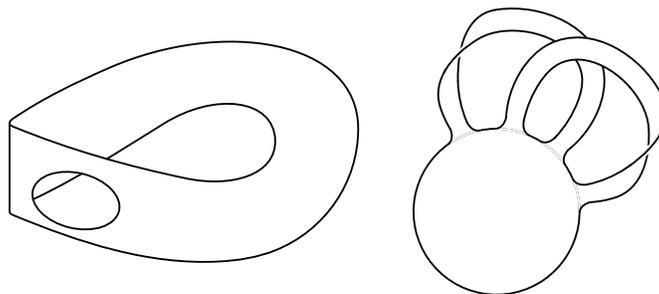
**10.30.** In a spherical shell, one made a hole connecting the boundary spheres and having the shape of a knotted tube (see Figure). Prove that the rest of the shell is homeomorphic to  $D^3$ .



**10.31.** Prove that surfaces shown in the Figure are homeomorphic (they are called *handles*).



**10.32.** Prove that surfaces shown in the Figure are homeomorphic. (They are homeomorphic to a *Klein bottle with two holes*. More details about this is given in Section 21.)



**10.33\*.** Prove that  $\mathbb{R}^3 \setminus S^1 \cong \mathbb{R}^3 \setminus (\mathbb{R}^1 \cup (0, 0, 1))$ . (What can you say in the case of  $\mathbb{R}^n$ ?)

**10.34.** Prove that the subset of  $S^n$  defined in the standard coordinates in  $\mathbb{R}^{n+1}$  by the inequality  $x_1^2 + x_2^2 + \cdots + x_k^2 < x_{k+1}^2 + \cdots + x_n^2$  is homeomorphic to  $\mathbb{R}^n \setminus \mathbb{R}^{n-k}$ .

### 10°6. Examples of Nonhomeomorphic Spaces

**10.U.** Spaces consisting of different number of points are not homeomorphic.

**10.V.** A discrete space and an indiscrete space (having more than one point) are not homeomorphic.

**10.35.** Prove that the spaces  $\mathbb{Z}$ ,  $\mathbb{Q}$  (with topology induced from  $\mathbb{R}$ ),  $\mathbb{R}$ ,  $\mathbb{R}_{T_1}$ , and the arrow are pairwise not homeomorphic.

**10.36.** Find two spaces  $X$  and  $Y$  that are not homeomorphic, but there exist continuous bijections  $X \rightarrow Y$  and  $Y \rightarrow X$ .

### 10°7. Homeomorphism Problem and Topological Properties

One of the classical problems in topology is the *homeomorphism problem*: to find out whether two given topological spaces are homeomorphic. In each special case, the character of solution depends mainly on the answer. In order to prove that two spaces are homeomorphic, it suffices to present a homeomorphism between them. Essentially this is what one usually does

in this case (see the examples above). To prove that two spaces are **not** homeomorphic, it does not suffice to consider any special map, and usually it is impossible to review all the maps. Therefore, for proving the nonexistence of a homeomorphism one uses indirect arguments. In particular, we can find a property or a characteristic shared by homeomorphic spaces and such that one of the spaces has it, while the other does not. Properties and characteristics that are shared by homeomorphic spaces are called *topological properties* and *invariants*. Obvious examples are the cardinality (i.e., the number of elements) of the set of points and the set of open sets (cf. Problems 10.34 and 10.U). Less obvious properties are the main object of the next chapter.

### 10°8. Information: Nonhomeomorphic Spaces

Euclidean spaces of different dimensions are not homeomorphic. The disks  $D^p$  and  $D^q$  with  $p \neq q$  are not homeomorphic. The spheres  $S^p$ ,  $S^q$  with  $p \neq q$  are not homeomorphic. Euclidean spaces are homeomorphic neither to balls, nor to spheres (of any dimension). Letters  $A$  and  $B$  are not homeomorphic (if the lines are absolutely thin!). The punctured plane  $\mathbb{R}^2 \setminus \{\text{point}\}$  is not homeomorphic to the plane with a hole:  $\mathbb{R}^2 \setminus \{x^2 + y^2 < 1\}$ .

These statements are of different degrees of difficulty. Some of them will be considered in the next section. However, some of them can not be proved by techniques of this course. (See, e.g., [6].)

### 10°9. Embeddings

A continuous map  $f : X \rightarrow Y$  is a (*topological*) *embedding* if the submap  $\text{ab}(f) : X \rightarrow f(X)$  is a homeomorphism.

**10.W.** The inclusion of a subspace into a space is an embedding.

**10.X.** Composition of embeddings is an embedding.

**10.Y.** Give an example of a continuous injection which is not a topological embedding. (Find such an example above and create a new one.)

**10.37.** Find topological spaces  $X$  and  $Y$  such that  $X$  can be embedded into  $Y$ ,  $Y$  can be embedded into  $X$ , but  $X \not\cong Y$ .

**10.38.** Prove that  $\mathbb{Q}$  cannot be embedded into  $\mathbb{Z}$ .

**10.39.** 1) Can a discrete space be embedded into an indiscrete space? 2) How about vice versa?

**10.40.** Prove that the spaces  $\mathbb{R}$ ,  $\mathbb{R}_{T_1}$ , and the arrow cannot be embedded into each other.

**10.41 Corollary of Inverse Function Theorem.** Deduce from the Inverse Function Theorem (see, e.g., any course of advanced calculus) the following statement:

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a continuously differentiable map whose Jacobian  $\det(\partial f_i / \partial x_j)$  does not vanish at the origin  $0 \in \mathbb{R}^n$ . Then there exists a neighborhood  $U$  of the origin such that the restriction  $f|_U : U \rightarrow \mathbb{R}^n$  is an embedding and  $f(U)$  is open.

It is of interest that if  $U \subset \mathbb{R}^n$  is an open set, then any continuous injection  $f : U \rightarrow \mathbb{R}^n$  is an embedding and  $f(U)$  is also open in  $\mathbb{R}^n$ .

### 10°10. Equivalence of Embeddings

Two embeddings  $f_1, f_2 : X \rightarrow Y$  are *equivalent* if there exist homeomorphisms  $h_X : X \rightarrow X$  and  $h_Y : Y \rightarrow Y$  such that  $f_2 \circ h_X = h_Y \circ f_1$ . (The latter equality may be stated as follows: the diagram

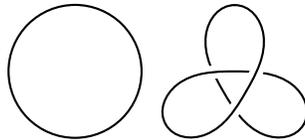
$$\begin{array}{ccc} X & \xrightarrow{f_1} & Y \\ h_X \downarrow & & \downarrow h_Y \\ X & \xrightarrow{f_2} & Y \end{array}$$

is commutative.)

An embedding  $S^1 \rightarrow \mathbb{R}^3$  is called a *knot*.

**10.42.** Prove that knots  $f_1, f_2 : S^1 \rightarrow \mathbb{R}^3$  with  $f_1(S^1) = f_2(S^1)$  are equivalent.

**10.43.** Prove that knots with images



are equivalent.

**Information:** There are nonequivalent knots. For instance, those with images



## Proofs and Comments

**8.A** If  $x \in f^{-1}(B)$ , then  $f(x) \in B$ .

**8.B**  $\Leftrightarrow$  Obvious.  $\Leftarrow$  For each  $y \in B$ , there exists an element  $x$  such that  $f(x) = y$ . By the definition of the preimage,  $x \in f^{-1}(B)$ , whence  $y \in f(f^{-1}(B))$ . Thus,  $B \subset f(f^{-1}(B))$ . The opposite inclusion holds true for any set, see 8.A.

**8.C** (a)  $\Rightarrow$  (b) Assume that  $f(C) = B$  implies  $C = f^{-1}(B)$ . If there exist distinct  $a_1, a_2 \in f^{-1}(B)$  such that  $f(a_1) = f(a_2)$ , then also  $f(f^{-1}(B) \setminus a_2) = B$ , which contradicts the assumption.

(b)  $\Rightarrow$  (a) Assume now that there exists  $C \neq f^{-1}(B)$  such that  $f(C) = B$ . Clearly,  $C \subset f^{-1}(B)$ . Therefore,  $C$  can differ from  $f^{-1}(B)$  only if  $f^{-1}(B) \setminus C \neq \emptyset$ . Take  $a_1 \in f^{-1}(B) \setminus C$ , let  $b = f(a_1)$ . Since  $f(C) = B$ , there exists  $a_2 \in C$  with  $f(a_2) = f(a_1)$ , but  $a_2 \neq a_1$  because  $a_2 \in C$ , while  $a_1 \notin C$ .

**8.D** This follows from 8.C.

**8.E** Let  $x \in A$ . Then  $f(x) = y \in f(A)$ , whence  $x \in f^{-1}(f(A))$ .

**8.F** Both equalities are obviously equivalent to the following statement:  $f(x) \notin f(A)$  for each  $x \notin A$ .

**8.G**  $\text{in}^{-1}(B) = \{x \in A \mid x \in B\} = A \cap B$ .

**8.H** Let  $x \in X$ . Then

$$h \circ (g \circ f)(x) = h(g \circ f)(x) = h(g(f(x))) = (h \circ g)(f(x)) = (h \circ g) \circ f(x).$$

**8.J** Let  $x_1 \neq x_2$ . Then  $f(x_1) \neq f(x_2)$ , because  $f$  is injective, and  $g(f(x_1)) \neq g(f(x_2))$ , because  $g$  is injective.

**8.K** If  $f$  is not injective, then there exist  $x_1 \neq x_2$  with  $f(x_1) = f(x_2)$ . However, then  $(g \circ f)(x_1) = (g \circ f)(x_2)$ , which contradicts the injectivity of  $g \circ f$ .

**8.L** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be surjective. Then we have  $f(X) = Y$ , whence  $g(f(X)) = g(Y) = Z$ .

**8.M** This follows from the obvious inclusion  $\text{Im}(g \circ f) \subset \text{Im } g$ .

**8.N** This follows from 8.J and 8.L.

**8.O**  $\Leftrightarrow$  Use 8.K and 8.M.  $\Leftarrow$  Let  $f : X \rightarrow Y$  be a bijection. Then, by the surjectivity, for each  $y \in Y$  there exists  $x \in X$  such that  $y = f(x)$ , and, by the injectivity, such an element of  $X$  is unique. Putting  $g(y) = x$ , we obtain a map  $g : Y \rightarrow X$ . It is easy to check (please, do it!) that  $g$  is inverse to  $f$ .

**8.P** This is actually obvious. On the other hand, it is interesting to look at “mechanical” proof. Let two maps  $g, h : Y \rightarrow X$  be inverse to a map  $f : X \rightarrow Y$ . Consider the composition  $g \circ f \circ h : Y \rightarrow X$ . On the one hand,  $g \circ f \circ h = (g \circ f) \circ h = \text{id}_X \circ h = h$ . On the other hand,  $g \circ f \circ h = g \circ (f \circ h) = g \circ \text{id}_Y = g$ .

**9.A** Let  $f : X \rightarrow Y$  be a map.  $\Leftrightarrow$  If  $f : X \rightarrow Y$  is continuous, then, for each closed set  $F \subset Y$ , the set  $X \setminus f^{-1}(F) = f^{-1}(Y \setminus F)$  is open, and therefore  $f^{-1}(F)$  is closed.  $\Leftarrow$  Exchange the words *open* and *closed* in the above argument.

**9.C** If a set  $U$  is open in  $X$ , then its preimage  $\text{in}^{-1}(U) = U \cap A$  is open in  $A$  by the definition of the relative topology.

**9.D** If  $U \in \Omega_A$ , then  $U = V \cap A$  for some  $V \in \Omega$ . If the map  $\text{in} : (A, \Omega') \rightarrow (X, \Omega)$  is continuous, then the preimage  $U = \text{in}^{-1}(V) = V \cap A$  of a set  $V \in \Omega$  belongs to  $\Omega'$ . Thus,  $\Omega_A \subset \Omega'$ .

**9.E** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous maps. We must show that for every  $U \subset Z$  which is open in  $Z$  its preimage  $(g \circ f)^{-1}(U) = f^{-1}(g^{-1}(U))$  is open in  $X$ . The set  $g^{-1}(U)$  is open in  $Y$  by continuity of  $g$ . In turn, its preimage  $f^{-1}(g^{-1}(U))$  is open in  $X$  by the continuity of  $f$ .

**9.F**  $(f|_{A,B})^{-1}(V) = (f|_{A,B})^{-1}(U \cap B) = A \cap f^{-1}(U)$ .

**9.G**  $\Rightarrow$  Use 9.F.  $\Leftarrow$  Use the fact that  $f = \text{in}_{f(X)} \circ \text{ab } f$ .

**9.H** The preimage of any set under a continuous map either is empty or coincides with the whole space.

**9.I**  $\Rightarrow$  Let  $a \in X$ . Then for any neighborhood  $U$  of  $f(a)$  we can construct a desired neighborhood  $V$  of  $a$  just by putting  $V = f^{-1}(U)$ : indeed,  $f(V) = f(f^{-1}(U)) \subset U$ .  $\Leftarrow$  We must check that the preimage of each open set is open. Let  $U \subset Y$  be an open set in  $Y$ . Take  $a \in f^{-1}(U)$ . By continuity of  $f$  at  $a$ , there exists a neighborhood  $V$  of  $a$  such that  $f(V) \subset U$ . Then, obviously,  $V \subset f^{-1}(U)$ . This proves that any point of  $f^{-1}(U)$  is internal, and hence  $f^{-1}(U)$  is open.

**9.J** Proving each of the implications, use Theorem 4.I, according to which any neighborhood of a point in a metric space contains a ball centered at the point.

**9.K** The condition “for every point  $x \in X$  the inequality  $\rho(x, a) < \delta$  implies  $\rho(f(x), f(a)) < \varepsilon$ ” means that  $f(B_\delta(a)) \subset B_{\varepsilon}(f(a))$ . Now, apply 9.J.

**9.L** This immediately follows from the inequality of Problem 4.35.

**9.M** If  $f(x) = f(y)$ , then  $\rho(f(x), f(y)) = 0$ , whence  $\rho(x, y) = 0$ .

**9.N** Use the obvious fact that the preimage of any open ball under isometric embedding is an open ball of the same radius.

**9.O** The set of solutions of the system is the intersection of the preimages of the point  $0 \in \mathbb{R}$ . As the maps are continuous and the point is closed, the preimages of the point are closed, and hence the intersection of the preimages is closed.

**9.P** The set of solutions of a system of nonstrict inequalities is the intersection of preimages of closed ray  $[0, +\infty)$ , the set of solutions of a system of strict inequalities is the intersection of the preimages of open ray  $(0, +\infty)$ .

**9.Q** Indeed, it makes no sense to require the necessity: the intersection of an open set with any set  $A$  is open in  $A$  anyway.

**9.R** Consider the complement  $X \setminus F$  of  $F$  and apply 9.Q.

**9.S** Let  $\Gamma$  be an open cover of a space  $X$ . Let  $U \subset X$  be a set such that  $U \cap A$  is open in  $A$  for any  $A \in \Gamma$ . By 5.E, open subset of open subspace is open in the whole space. Therefore,  $A \cap U$  is open in  $X$ . Then  $U = \bigcup_{A \in \Gamma} A \cap U$  is open as a union of open sets.

**9.T** Argue as in the preceding proof, but instead of the definition of a fundamental cover use its reformulation 9.R, and instead of Theorem 5.E use Theorem 5.F, according to which a closed set of a closed subspace is closed in the entire space.

**9.U** Denote the space by  $X$  and the cover by  $\Gamma$ . As  $\Gamma$  is locally finite, each point  $a \in X$  has a neighborhood  $U_a$  meeting only a finite number of elements of  $\Gamma$ . Form the cover  $\Sigma = \{U_a \mid a \in X\}$  of  $X$ . Let  $U \subset X$  be a set such that  $U \cap A$  is open for each  $A \in \Gamma$ . By 9.T,  $\{A \cap U_a \mid A \in \Gamma\}$  is a fundamental cover of  $U_a$  for any  $a \in X$ . Hence  $U_a \cap U$  is open in  $U_a$ . By 9.S,  $\Sigma$  is fundamental. Hence,  $U$  is open.

**9.V** Let  $U$  be a set open in  $Y$ . As the restrictions of  $f$  to elements of  $\Gamma$  are continuous, the preimage of  $U$  under restriction of  $f$  to any  $A \in \Gamma$  is open. Obviously,  $(f|_A)^{-1}(U) = f^{-1}(U) \cap A$ . Hence  $f^{-1}(U) \cap A$  is open in  $A$  for any  $A \in \Gamma$ . By hypothesis,  $\Gamma$  is fundamental. Therefore  $f^{-1}(U)$  is open in  $X$ . We have proved that the preimage of any open set under  $f$  is open. Thus  $f$  is continuous.

**9.Ax** It suffices to prove that the preimage of any base open set is open. The proof is quite straight-forward. For instance, the preimage of  $\{x \mid a \prec x \prec b\}$  is  $\{x \mid c \prec x \prec d\}$ , where  $f(c) = a$  and  $f(d) = b$ , which is a base open set.

**9.Bx** Let  $X$  and  $Y$  be two posets,  $f : X \rightarrow Y$  a map.  $\Leftrightarrow$  Assume that  $f : X \rightarrow Y$  is monotone. To prove the continuity of  $f$  it suffices to prove that the preimage of each base open set is open. Put  $U = C_Y^+(b)$  and  $V = f^{-1}(U)$ . If  $x \in V$  (i.e.,  $b \prec f(x)$ ), then for any  $y \in C_X^+(x)$  (i.e.,  $x \prec y$ )

we have  $y \in V$ . Therefore,  $V = \bigcup_{f(x) \in U} C_X^+(x)$ . This set is open as a union

of open base sets (in the poset topology of  $X$ ).

$\Leftarrow$  Let  $a, b \in X$  and  $a \prec b$ . Then  $b$  is contained in any neighborhood of  $a$ . The set  $C_Y^+(f(a))$  is a neighborhood of  $f(a)$  in  $Y$ . By continuity of  $f$ ,  $a$  has a neighborhood in  $X$  whose  $f$ -image is contained in  $C_Y^+(f(a))$ . However, then the minimal neighborhood of  $a$  in  $X$  (i.e.,  $C_X^+(a)$ ) also has this property. Therefore,  $f(b) \in f(C_X^+(a)) \subset C_Y^+(f(a))$ , and hence  $f(a) \prec f(b)$ .

**9.Cx** Construct  $Z$  as the disjoint union of  $X$  and  $Y$ . In the union, put the distance between two points in (the copy of)  $X$  (respectively,  $Y$ ) to be equal to the distance between the corresponding points in  $X$  (respectively,  $Y$ ). To define the distance between points of different copies, choose points  $x_0 \in X$  and  $y_0 \in Y$ , and put  $\rho(a, b) = \rho_X(a, x_0) + \rho_Y(y_0, b) + 1$  for  $a \in X$  and  $b \in Y$ . Check (this is easy, really), that this defines a metric.

**9.Dx** Yes. For example, consider a singleton and any unbounded space.

**9.Ex** Although, as we have seen solving the previous problem, the Gromov–Hausdorff distance can be infinite, while symmetricity and the triangle inequality were formulated above only for functions with finite values, these two properties make sense if infinite values are admitted. (The triangle inequality should be considered fulfilled if two or three of the quantities involved are infinite, and not fulfilled if only one of them is infinite.) The following construction helps to prove the triangle inequality. Let metric spaces  $X$  and  $Y$  be isometrically embedded into a metric space  $A$ , and metric spaces  $Y$  and  $Z$  be isometrically embedded into a metric space  $B$ . Construct a new metric space in which  $A$  and  $B$  would be isometrically embedded meeting in  $Y$ . To do this, add to  $A$  all points of  $B \setminus A$ . Put distances between these points to be equal to the distances between them in  $B$ . Put the distance between  $x \in A \setminus B$  and  $z \in B \setminus A$  equal to  $\inf\{\rho_A(x, y) + \rho_B(y, z) \mid y \in A \cap B\}$ . Compare this construction with the construction from the solution of Problem 9.Cx. Prove that this gives a metric space and use the triangle inequality for the Hausdorff distance between  $X$ ,  $Y$ , and  $Z$  in this space.

**9.Fx** Partially, the answer is obvious. Certainly, the Gromov–Hausdorff distance is nonnegative! But what if it is zero, in what sense the spaces should be equal then? First, the most optimistic idea is that then there should exist an isometric bijection between the spaces. But this is not true, as we can see looking at the spaces  $\mathbb{Q}$  and  $\mathbb{R}$  with standard distances in them. However, it is true for *compact* metric spaces.

**10.A** For example, consider the identity map of a discrete topological space  $X$  onto the same set but equipped with indiscrete topology. For another example, see *10.B*.

**10.B** Consider the map  $x \mapsto (\cos 2\pi x, \sin 2\pi x)$ .

**10.C** This and the next two statements directly follow from the definition of a homeomorphism.

**10.F** See the solution of *10.1*.

**10.G** Denote  $f(U) \subset Y$  by  $V$ . Since  $f$  is a bijection, we have  $U = f^{-1}(V)$ . We also denote  $f^{-1} : Y \rightarrow X$  by  $g$ .  $\Leftrightarrow$  We have  $V = g^{-1}(U)$ , which is open by continuity of  $g$ .  $\Leftrightarrow$  If  $V = f(U)$  is open, then  $U = g(V)$  is open as the preimage of an open set under a continuous map.

**10.H** See *10.G*.

**10.I** (a) A homeomorphism establishes a one-to-one correspondence between open sets of  $X$  and  $Y$ . Hence, it also establishes a one-to-one correspondence between closed sets of  $X$  and  $Y$ .

(b)–(f) Use the fact that the definitions of the closure, interior, boundary, etc. can be given in terms of open and closed sets.

**10.J** Obviously,  $\text{ab}(f)$  is a bijection. The continuity of  $\text{ab}(f)$  and  $(\text{ab } f)^{-1}$  follows from the general theorem *9.F* on the continuity of a submap of a continuous map.

**10.K** Any isometry is continuous, see *9.N*; the map inverse to an isometry is an isometry.

**10.L** Recall that an affine transformation  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is given by the formula  $y = f(x) = Ax + b$ , where  $A$  is a matrix and  $b$  a vector;  $f$  is nondegenerate if  $A$  is invertible, whence  $x = A^{-1}(y - b) = A^{-1}(y) - A^{-1}(b)$ , which means that  $f$  is a bijection and  $f^{-1}$  is also a nondegenerate affine transformation. Finally,  $f$  and  $f^{-1}$  are continuous, e.g., because they are given in coordinates by linear formulas (see *9.18* and *9.21*).

**10.M** Prove that  $f$  is invertible and  $f^{-1}$  is also strictly monotone. Then apply *9.Ax*.

**10.O** Homeomorphisms of the form  $\langle 0, 1 \rangle \rightarrow \langle a, b \rangle$  are defined, for example, by the formula  $x \mapsto a + (b - a)x$ , and homeomorphisms  $(-1; 1) \rightarrow \mathbb{R}^1$  and  $\langle 0, 1 \rangle \rightarrow \langle 0, +\infty \rangle$  by the formula  $x \mapsto \tan(\pi x/2)$ . (In the latter case, you can easily find, e.g., a rational formula, but it is of interest that the above homeomorphism also arises quite often!)

**10.P** Observe that  $(1/4, 5/4) \rightarrow S^1 \setminus N : t \mapsto (\cos 2\pi t, \sin 2\pi t)$  is a homeomorphism and use assertions (c) and (d) of the preceding problem. Here is another, more sophisticated construction, which can be of use in higher dimensions. The restriction  $f$  of the central projection  $\mathbb{R}^2 \setminus N \rightarrow \mathbb{R}^1$

(the  $x$  axis) to  $S^1 \setminus N$  is a homeomorphism. Indeed,  $f$  is obviously invertible:  $f^{-1}$  is a restriction of the central projection  $\mathbb{R}^2 \setminus N \rightarrow S^1 \setminus N$ . The map  $S^1 \setminus N \rightarrow \mathbb{R}$  is presented by formula  $(x, y) \mapsto \frac{x}{1-y}$ , and the inverse map by formula  $x \mapsto \left(\frac{2x}{x^2+1}, \frac{x^2-1}{x^2+1}\right)$ . (Why are these maps continuous?)

**10.Q** Check that the vertical projection to the  $x$  axis determines a homeomorphism.

**10.R** As usual, we identify  $\mathbb{R}^n$  and  $\{x \in \mathbb{R}^{n+1} \mid x_{n+1} = 0\}$ . Then the restriction of the central projection

$$\mathbb{R}^{n+1} \setminus (0, \dots, 0, 1) \rightarrow \mathbb{R}^n$$

to  $S^n \setminus (0, \dots, 0, 1)$  is a homeomorphism, which is called the *stereographic projection*. For  $n = 2$ , it is used in cartography. It is invertible: the inverse map is the restriction of the central projection  $\mathbb{R}^{n+1} \setminus (0, \dots, 0, 1) \rightarrow S^n \setminus (0, \dots, 0, 1)$  to  $\mathbb{R}^n$ . The first map is defined by formula

$$x = (x_1, \dots, x_{n+1}) \mapsto \left(\frac{x_2}{1-x_{n+1}}, \dots, \frac{x_n}{1-x_{n+1}}\right),$$

and the second one by

$$x = (x_1, \dots, x_n) \mapsto \left(\frac{2x_1}{|x|^2+1}, \dots, \frac{2x_n}{|x|^2+1}, \frac{|x|^2-1}{|x|^2+1}\right).$$

Check this. (Why are these maps continuous?) Explain how we can obtain a solution of this problem geometrically from the second solution to Problem 10.P.

**10.S** After reading the proof, you may see that sometimes formulas are cumbersome, while a clearer verbal description is possible.

(a) Instead of  $I^2$  it is convenient to consider the homeomorphic square  $K = \{(x, y) \mid |x| \leq 1, |y| \leq 1\}$  of double size centered at the origin. (There is a linear homeomorphism  $I^2 \rightarrow K : (x, y) \mapsto (2x-1, 2y-1)$ .) We have a homeomorphism

$$K \rightarrow D^2 : (x, y) \mapsto \left(\frac{x \max\{|x|, |y|\}}{\sqrt{x^2+y^2}}, \frac{y \max\{|x|, |y|\}}{\sqrt{x^2+y^2}}\right).$$

Geometrically, this means that each segment joining the origin with a point on the contour of the square is linearly mapped to the part of the segment that lies within the circle.

(b), (c) Take suitable submaps of the above homeomorphism  $K \rightarrow D^2$ . Certainly, assertion (b) follows from the previous problem. It is also of

interest that in case (c) we can use a much simpler formula:

$$\partial K \rightarrow S^1 : (x, y) \mapsto \left( \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}} \right).$$

(This is simply a central projection!) We can also divide the circle into four arcs and map each of them to a side of  $K$ , cf. below.

**10.T** (a) For simplicity, assume that  $D^2 \subset \Delta$ . For  $x \in \mathbb{R}^2 \setminus 0$ , let  $a(x)$  be the (unique) positive number such that  $a(x)\frac{x}{|x|} \in \text{Fr } \Delta$ . Then we have a homeomorphism

$$\Delta \rightarrow D^2 : x \mapsto \frac{x}{a(x)} \text{ if } x \neq 0, \text{ while } 0 \mapsto 0.$$

(Observe that in the case where  $\Delta$  is the square  $K$ , we obtain the homeomorphism described in the preceding problem.)

(b), (c) Take suitable submaps of the above homeomorphism  $\Delta \rightarrow D^2$ .

**10.U** There is no bijection between them.

**10.V** These spaces have different numbers of open sets.

**10.W** Indeed, if  $\text{in} : A \rightarrow X$  is an inclusion, then the submap  $\text{ab}(\text{in}) : A \rightarrow A$  is the identity homeomorphism.

**10.X** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be two embeddings. Then the submap  $\text{ab}(g \circ f) : X \rightarrow g(f(X))$  is the composition of the homeomorphisms  $\text{ab}(f) : X \rightarrow f(X)$  and  $\text{ab}(g) : f(X) \rightarrow g(f(X))$ .

**10.Y** The previous examples are  $[0, 1) \rightarrow S^1$  and  $\mathbb{Z}_+ \rightarrow \{0\} \cup \{\frac{1}{n}\}_{n=1}^\infty$ . Here is another one: Let  $f : \mathbb{Z} \rightarrow \mathbb{Q}$  be a bijection and  $\text{in}_\mathbb{Q} : \mathbb{Q} \rightarrow \mathbb{R}$  the inclusion. Then the composition  $\text{in}_\mathbb{Q} \circ f : \mathbb{Z} \rightarrow \mathbb{R}$  is a continuous injection, but not an embedding.

# Topological Properties

## 11. Connectedness

### 11°1. Definitions of Connectedness and First Examples

A topological space  $X$  is *connected* if  $X$  has only two subsets that are both open and closed: the empty set  $\emptyset$  and the entire  $X$ . Otherwise,  $X$  is *disconnected*.

A *partition* of a set is a cover of this set with pairwise disjoint subsets. To *partition* a set means to construct such a cover.

**11.A.** *A topological space is connected, iff it has no partition into two nonempty open sets, iff it has no partition into two nonempty closed sets.*

**11.1.** 1) Is an indiscrete space connected? The same question for 2) the arrow and 3)  $\mathbb{R}_{\mathcal{T}_1}$ .

**11.2.** Describe explicitly all connected discrete spaces.

**11.3.** Describe explicitly all disconnected two-point spaces.

**11.4.** 1) Is the set  $\mathbb{Q}$  of rational numbers (with the relative topology induced from  $\mathbb{R}$ ) connected? 2) The same question for the set of irrational numbers.

**11.5.** Let  $\Omega_1$  and  $\Omega_2$  be two topologies in a set  $X$ , and let  $\Omega_2$  be finer than  $\Omega_1$  (i.e.,  $\Omega_1 \subset \Omega_2$ ). 1) If  $(X, \Omega_1)$  is connected, is  $(X, \Omega_2)$  connected? 2) If  $(X, \Omega_2)$  is connected, is  $(X, \Omega_1)$  connected?

### 11°2. Connected Sets

When we say that a set  $A$  is connected, this means that  $A$  lies in some topological space (which should be clear from the context) and, equipped with the relative topology,  $A$  a connected space.

- 11.6.** Characterize disconnected subsets without mentioning the relative topology.
- 11.7.** Is the set  $\{0, 1\}$  connected 1) in  $\mathbb{R}$ , 2) in the arrow, 3) in  $\mathbb{R}_{T_1}$ ?
- 11.8.** Describe explicitly all connected subsets 1) of the arrow, 2) of  $\mathbb{R}_{T_1}$ .
- 11.9.** Show that the set  $[0, 1] \cup (2, 3]$  is disconnected in  $\mathbb{R}$ .
- 11.10.** Prove that every nonconvex subset of the real line is disconnected. (In other words, each connected subset of the real line is a singleton or an interval.)
- 11.11.** Let  $A$  be a subset of a space  $X$ . Prove that  $A$  is disconnected iff  $A$  has two nonempty subsets  $B$  and  $C$  such that  $A = B \cup C$ ,  $B \cap \text{Cl}_X C = \emptyset$ , and  $C \cap \text{Cl}_X B = \emptyset$ .
- 11.12.** Find a space  $X$  and a disconnected subset  $A \subset X$  such that if  $U$  and  $V$  are any two open sets partitioning  $X$ , then we have either  $U \supset A$ , or  $V \supset A$ .
- 11.13.** Prove that for every disconnected set  $A$  in  $\mathbb{R}^n$  there are disjoint open sets  $U, V \subset \mathbb{R}^n$  such that  $A \subset U \cup V$ ,  $U \cap A \neq \emptyset$ , and  $V \cap A \neq \emptyset$ .

Compare 11.11–11.13 with 11.6.

### 11°3. Properties of Connected Sets

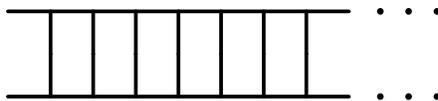
- 11.14.** Let  $X$  be a space. If a set  $M \subset X$  is connected and  $A \subset X$  is open-closed, then either  $M \subset A$ , or  $M \subset X \setminus A$ .
- 11.B.** *The closure of a connected set is connected.*
- 11.15.** Prove that if a set  $A$  is connected and  $A \subset B \subset \text{Cl} A$ , then  $B$  is connected.
- 11.C.** Let  $\{A_\lambda\}_{\lambda \in \Lambda}$  be a family of connected subsets of a space  $X$ . Assume that any two sets in this family intersect. Then  $\bigcup_{\lambda \in \Lambda} A_\lambda$  is connected. (In other words: the union of pairwise intersecting connected sets is connected.)
- 11.D Special case.** If  $A, B \subset X$  are two connected sets with  $A \cap B \neq \emptyset$ , then  $A \cup B$  is also connected.
- 11.E.** Let  $\{A_\lambda\}_{\lambda \in \Lambda}$  be a family of connected subsets of a space  $X$ . Assume that each set in this family intersects  $A_{\lambda_0}$  for some  $\lambda_0 \in \Lambda$ . Then  $\bigcup_{\lambda \in \Lambda} A_\lambda$  is connected.
- 11.F.** Let  $\{A_k\}_{k \in \mathbb{Z}}$  be a family of connected sets such that  $A_k \cap A_{k+1} \neq \emptyset$  for any  $k \in \mathbb{Z}$ . Prove that  $\bigcup_{k \in \mathbb{Z}} A_k$  is connected.

**11.16.** Let  $A$  and  $B$  be two connected sets such that  $A \cap \text{Cl} B \neq \emptyset$ . Prove that  $A \cup B$  is also connected.

**11.17.** Let  $A$  be a connected subset of a connected space  $X$ , and let  $B \subset X \setminus A$  be an open-closed set in the relative topology of  $X \setminus A$ . Prove that  $A \cup B$  is connected.

**11.18.** Does the connectedness of  $A \cup B$  and  $A \cap B$  imply that of  $A$  and  $B$ ?

**11.19.** Let  $A$  and  $B$  be two sets such that both their union and intersection are connected. Prove that  $A$  and  $B$  are connected if both of them are 1) open or 2) closed.



**11.20.** Let  $A_1 \supset A_2 \supset \dots$  be an infinite decreasing sequence of closed connected sets in the plane  $\mathbb{R}^2$ . Is  $\bigcap_{k=1}^{\infty} A_k$  a connected set?

#### 11°4. Connected Components

A *connected component* of a space  $X$  is a maximal connected subset of  $X$ , i.e., a connected subset that is not contained in any other (strictly) larger connected subset of  $X$ .

**11.G.** Every point belongs to some connected component. Furthermore, this component is unique. It is the union of all connected sets containing this point.

**11.H.** Two connected components either are disjoint or coincide.

A connected component of a space  $X$  is also called just a *component* of  $X$ . Theorems 11.G and 11.H mean that connected components constitute a partition of the whole space. The next theorem describes the corresponding equivalence relation.

**11.I.** Prove that two points lie in the same component iff they belong to the same connected set.

**11.J Corollary.** A space is connected iff any two of its points belong to the same connected set.

**11.K.** Connected components are closed.

**11.21.** If each point of a space  $X$  has a connected neighborhood, then each connected component of  $X$  is open.

**11.22.** Let  $x$  and  $y$  belong to the same component. Prove that any open-closed set contains either both  $x$  and  $y$ , or none of them (cf. 11.36).

#### 11°5. Totally Disconnected Spaces

A topological space is *totally disconnected* if all of its components are singletons.

**11.L Obvious Example.** Any discrete space is totally disconnected.

**11.M.** The space  $\mathbb{Q}$  (with the topology induced from  $\mathbb{R}$ ) is totally disconnected.

Note that  $\mathbb{Q}$  is not discrete.

**11.23.** Give an example of an uncountable closed totally disconnected subset of the line.

**11.24.** Prove that Cantor set (see 2.Bx) is totally disconnected.

### 11°6. Boundary and Connectedness

**11.25.** Prove that if  $A$  is a proper nonempty subset of a connected space, then  $\text{Fr } A \neq \emptyset$ .

**11.26.** Let  $F$  be a connected subset of a space  $X$ . Prove that if  $A \subset X$  and neither  $F \cap A$ , nor  $F \cap (X \setminus A)$  is empty, then  $F \cap \text{Fr } A \neq \emptyset$ .

**11.27.** Let  $A$  be a subset of a connected space. Prove that if  $\text{Fr } A$  is connected, then so is  $\text{Cl } A$ .

### 11°7. Connectedness and Continuous Maps

A *continuous image* of a space is its image under a continuous map.

**11.N.** A continuous image of a connected space is connected. (In other words, if  $f : X \rightarrow Y$  is a continuous map and  $X$  is connected, then  $f(X)$  is also connected.)

**11.O Corollary.** Connectedness is a topological property.

**11.P Corollary.** The number of connected components is a topological invariant.

**11.Q.** A space  $X$  is disconnected iff there is a continuous surjection  $X \rightarrow S^0$ .

**11.28.** Theorem 11.Q often yields shorter proofs of various results concerning connected sets. Apply it for proving, e.g., Theorems 11.B–11.F and Problems 11.D and 11.16.

**11.29.** Let  $X$  be a connected space and  $f : X \rightarrow \mathbb{R}$  a continuous function. Then  $f(X)$  is an interval of  $\mathbb{R}$ .

**11.30.** Suppose a space  $X$  has a group structure and the multiplication by any element of the group is a continuous map. Prove that the component of unity is a normal subgroup.

### 11°8. Connectedness on Line

**11.R.** The segment  $I = [0, 1]$  is connected.

There are several ways to prove Theorem 11.R. One of them is suggested by 11.Q, but refers to a famous Intermediate Value Theorem from calculus, see 12.A. However, when studying topology, it would be more natural to find an independent proof and deduce Intermediate Value Theorem from Theorems 11.R and 11.Q. Two problems below provide a sketch of basically the same proof of 11.R. Cf. 2.Ax below.

**11.R.1 Bisection Method.** Let  $U, V$  be subsets of  $I$  with  $V = I \setminus U$ . Let  $a \in U, b \in V$ , and  $a < b$ . Prove that there exists a nondecreasing sequence  $a_n$  with  $a_1 = a, a_n \in U$ , and a nonincreasing sequence  $b_n$  with  $b_1 = b, b_n \in V$ , such that  $b_n - a_n = \frac{b-a}{2^{n-1}}$ .

**11.R.2.** Under assumptions of 11.R.1, if  $U$  and  $V$  are closed in  $I$ , then which of them contains  $c = \sup\{a_n\} = \inf\{b_n\}$ ?

**11.31.** Deduce 11.R from the result of Problem 2.Ax.

**11.S.** Prove that an open set in  $\mathbb{R}$  has countably many connected components.

**11.T.** Prove that  $\mathbb{R}^1$  is connected.

**11.U.** Each convex set in  $\mathbb{R}^n$  is connected. (In particular, so are  $\mathbb{R}^n$  itself, the ball  $B^n$ , and the disk  $D^n$ .)

**11.V Corollary.** Intervals in  $\mathbb{R}^1$  are connected.

**11.W.** Every star-shaped set in  $\mathbb{R}^n$  is connected.

**11.X Connectedness on Line.** A subset of a line is connected iff it is an interval.

**11.Y.** Describe explicitly all nonempty connected subsets of the real line.

**11.Z.** Prove that the  $n$ -sphere  $S^n$  is connected. In particular, the circle  $S^1$  is connected.

**11.32.** Consider the union of spiral

$$r = \exp\left(\frac{1}{1+\varphi^2}\right), \text{ with } \varphi \geq 0$$

( $r, \varphi$  are the polar coordinates) and circle  $S^1$ . 1) Is this set connected? 2) Will the answer change if we replace the entire circle by some of its subsets? (Cf. 11.15.)

**11.33.** Are the following subsets of the plane  $\mathbb{R}^2$  connected:

- (1) the set of points with both coordinates rational;
- (2) the set of points with at least one rational coordinate;
- (3) the set of points whose coordinates are either both irrational, or both rational?

**11.34.** Prove that for any  $\varepsilon > 0$  the  $\varepsilon$ -neighborhood of a connected subset of Euclidean space is connected.

**11.35.** Prove that each neighborhood  $U$  of a connected subset  $A$  of Euclidean space contains a connected neighborhood of  $A$ .



**11.36.** Find a space  $X$  and two points belonging to distinct components of  $X$  such that each simultaneously open and closed set contains either both points, or neither of them. (Cf. 11.22.)

## 12. Application of Connectedness

### 12°1. Intermediate Value Theorem and Its Generalizations

The following theorem is usually included in Calculus. You can easily deduce it from the material of this section. In fact, in a sense it is equivalent to connectedness of the segment.

**12.A Intermediate Value Theorem.** *A continuous function*

$$f : [a, b] \rightarrow \mathbb{R}$$

*takes every value between  $f(a)$  and  $f(b)$ .*

Many problems that can be solved by using Intermediate Value Theorem can be found in Calculus textbooks. Here are few of them.

**12.1.** Prove that any polynomial of odd degree in one variable with real coefficients has at least one real root.

**12.B Generalization of 12.A.** Let  $X$  be a connected space and  $f : X \rightarrow \mathbb{R}$  a continuous function. Then  $f(X)$  is an interval of  $\mathbb{R}$ .

**12.C Corollary.** Let  $J \subset \mathbb{R}$  be an interval of the real line,  $f : X \rightarrow \mathbb{R}$  a continuous function. Then  $f(J)$  is also an interval of  $\mathbb{R}$ . (In other words, continuous functions map intervals to intervals.)

### 12°2. Applications to Homeomorphism Problem

Connectedness is a topological property, and the number of connected components is a topological invariant (see Section 10).

**12.D.**  $[0, 2]$  and  $[0, 1] \cup [2, 3]$  are not homeomorphic.

Simple constructions assigning homeomorphic spaces to homeomorphic ones (e.g., deleting one or several points), allow us to use connectedness for proving that some *connected* spaces are not homeomorphic.

**12.E.**  $I$ ,  $[0, \infty)$ ,  $\mathbb{R}^1$ , and  $S^1$  are pairwise nonhomeomorphic.

**12.2.** Prove that a circle is not homeomorphic to a subspace of  $\mathbb{R}^1$ .

**12.3.** Give a topological classification of the letters of the alphabet: A, B, C, D, ..., regarded as subsets of the plane (the arcs comprising the letters are assumed to have zero thickness).

**12.4.** Prove that square and segment are not homeomorphic.

Recall that there exist continuous surjections of the segment onto square, which are called *Peano curves*, see Section 9.

**12.F.**  $\mathbb{R}^1$  and  $\mathbb{R}^n$  are not homeomorphic if  $n > 1$ .

*Information.*  $\mathbb{R}^p$  and  $\mathbb{R}^q$  are not homeomorphic unless  $p = q$ . This follows, for instance, from the Lebesgue–Brouwer Theorem on the invariance of dimension (see, e.g., W. Hurewicz and H. Wallman, *Dimension Theory*, Princeton, NJ, 1941).

**12.5.** The statement “ $\mathbb{R}^p$  is not homeomorphic to  $\mathbb{R}^q$  unless  $p = q$ ” implies that  $S^p$  is not homeomorphic to  $S^q$  unless  $p = q$ .

### 12°3x. Induction on Connectedness

A map  $f$  is *locally constant* if each point of its source space has a neighborhood  $U$  such that the restriction of  $f$  to  $U$  is constant.

**12.1x.** Prove that any locally constant map is continuous.

**12.2x.** A locally constant map on a connected set is constant.

**12.3x. Riddle.** How are 11.26 and 12.2x related?

**12.4x.** Let  $G$  be a group equipped with a topology such that for any  $g \in G$  the map  $G \rightarrow G : x \mapsto xgx^{-1}$  is continuous, and let  $G$  with this topology be connected. Prove that if the topology induced in a normal subgroup  $H$  of  $G$  is discrete, then  $H$  is contained in the center of  $G$  (i.e.,  $hg = gh$  for any  $h \in H$  and  $g \in G$ ).

**12.5x Induction on Connectedness.** Let  $\mathcal{E}$  be a property of subsets of a topological space  $X$  such that the union of sets with nonempty pairwise intersections inherits this property from the sets involved. Prove that if  $X$  is connected and each point in  $X$  has a neighborhood with property  $\mathcal{E}$ , then  $X$  also has property  $\mathcal{E}$ .

**12.6x.** Prove 12.2x and solve 12.4x using 12.5x.

For more applications of induction on connectedness, see 13.T, 13.4x, 13.6x, and 13.8x.

### 12°4x. Dividing Pancakes

**12.7x.** Any irregularly shaped pancake can be cut in half by one stroke of the knife made in any prescribed direction. In other words, if  $A$  is a bounded open set in the plane and  $l$  is a line in the plane, then there exists a line  $L$  parallel to  $l$  that divides  $A$  in half by area.

**12.8x.** If, under the assumptions of 12.7x,  $A$  is connected, then  $L$  is unique.

**12.9x.** Suppose two irregularly shaped pancakes lie on the same platter; show that it is possible to cut both exactly in half by one stroke of the knife. In other words: if  $A$  and  $B$  are two bounded regions in the plane, then there exists a line in the plane that halves each region by area.

**12.10x.** Prove that a plane pancake of any shape can be divided to four pieces of equal area by two straight cuts orthogonal to each other. In other words, if  $A$  is a bounded connected open set in the plane, then there are two perpendicular lines that divide  $A$  into four parts having equal areas.

**12.11x. Riddle.** What if the knife is curved and makes cuts of a shape different from the straight line? For what shapes of the cuts can you formulate and solve problems similar to 12.7x–12.10x?

**12.12x. Riddle.** Formulate and solve counterparts of Problems 12.7x–12.10x for regions in three-space. Can you increase the number of regions in the counterpart of 12.7x and 12.9x?

**12.13x. Riddle.** What about pancakes in  $\mathbb{R}^n$ ?

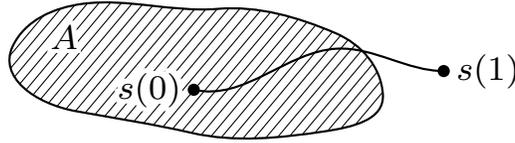
## 13. Path-Connectedness

### 13°1. Paths

A *path* in a topological space  $X$  is a continuous map of the segment  $I = [0, 1]$  to  $X$ . The point  $s(0)$  is the *initial* point of a path  $s : I \rightarrow X$ , while  $s(1)$  is the *final* point of  $s$ . We say that the path  $s$  *connects*  $s(0)$  with  $s(1)$ . This terminology is inspired by an image of a moving point: at the moment  $t \in [0, 1]$ , the point is at  $s(t)$ . To tell the truth, this is more than what is usually called a path, since besides information on the trajectory of the point it contains a complete account on the movement: the schedule saying when the point goes through each point.

**13.1.** If  $s : I \rightarrow X$  is a path, then the image  $s(I) \subset X$  is connected.

**13.2.** Let  $s : I \rightarrow X$  be a path connecting a point in a set  $A \subset X$  with a point in  $X \setminus A$ . Prove that  $s(I) \cap \text{Fr}(A) \neq \emptyset$ .

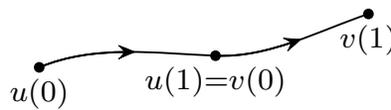


**13.3.** Let  $A$  be a subset of a space  $X$ ,  $\text{in}_A : A \rightarrow X$  the inclusion. Prove that  $u : I \rightarrow A$  is a path in  $A$  iff the composition  $\text{in}_A \circ u : I \rightarrow X$  is a path in  $X$ .

A constant map  $s_a : I \rightarrow X : x \mapsto a$  is a *stationary* path. For a path  $s$ , the *inverse* path is defined by  $t \mapsto s(1 - t)$ . It is denoted by  $s^{-1}$ . Although, strictly speaking, this notation is already used (for the inverse map), the ambiguity of notation usually leads to no confusion: as a rule, inverse maps do not appear in contexts involving paths.

Let  $u : I \rightarrow X$  and  $v : I \rightarrow X$  be paths such that  $u(1) = v(0)$ . We define

$$uv : I \rightarrow X : t \mapsto \begin{cases} u(2t) & \text{if } t \in [0, \frac{1}{2}], \\ v(2t - 1) & \text{if } t \in [\frac{1}{2}, 1]. \end{cases} \quad (22)$$



**13.A.** Prove that the above map  $uv : I \rightarrow X$  is continuous (i.e., it is a path). Cf. 9.T and 9.V.

The path  $uv$  is the *product* of  $u$  and  $v$ . Recall that it is defined only if the final point  $u(1)$  of  $u$  is the initial point  $v(0)$  of  $v$ .

### 13°2. Path-Connected Spaces

A topological space is *path-connected* (or *arcwise connected*) if any two points can be connected in it by a path.

**13.B.** Prove that  $I$  is path-connected.

**13.C.** Prove that the Euclidean space of any dimension is path-connected.

**13.D.** Prove that the  $n$ -sphere  $S^n$  with  $n > 0$  is path-connected.

**13.E.** Prove that the 0-sphere  $S^0$  is not path-connected.

**13.4.** Which of the following spaces are path-connected:

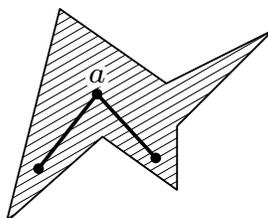
- (a) a discrete space;    (b) an indiscrete space;
- (c) the arrow;            (d)  $\mathbb{R}_{T_1}$ ;
- (e)  $\mathfrak{Y}$ ?

### 13°3. Path-Connected Sets

A *path-connected set* (or *arcwise connected set*) is a subset of a topological space (which should be clear from the context) that is path-connected as a space with the relative topology.

**13.5.** Prove that a subset  $A$  of a space  $X$  is path-connected iff any two points in  $A$  are connected by a path  $s : I \rightarrow X$  with  $s(I) \subset A$ .

**13.6.** Prove that a convex subset of Euclidean space is path-connected.



**13.7.** Every star-shaped set in  $\mathbb{R}^n$  is path-connected.

**13.8.** The image of a path is a path-connected set.

**13.9.** Prove that the set of plane convex polygons with topology generated by the Hausdorff metric is path-connected. (What can you say about the set of convex  $n$ -gons with fixed  $n$ ?)

**13.10. Riddle.** What can you say about the assertion of Problem 13.9 in the case of arbitrary (not necessarily convex) polygons?

### 13°4. Properties of Path-Connected Sets

Path-connectedness is very similar to connectedness. Further, in some important situations it is even equivalent to connectedness. However, some properties of connectedness do not carry over to the path-connectedness

(see 13.Q and 13.R). For the properties that do carry over, proofs are usually easier in the case of path-connectedness.

**13.F.** *The union of a family of pairwise intersecting path-connected sets is path-connected.*

**13.11.** Prove that if two sets  $A$  and  $B$  are both closed or both open and their union and intersection are path-connected, then  $A$  and  $B$  are also path-connected.

**13.12.** 1) Prove that the interior and boundary of a path-connected set may not be path-connected. 2) Connectedness shares this property.

**13.13.** Let  $A$  be a subset of Euclidean space. Prove that if  $\text{Fr } A$  is path-connected, then so is  $\text{Cl } A$ .

**13.14.** Prove that the same holds true for a subset of an arbitrary path-connected space.

### 13°5. Path-Connected Components

A *path-connected component* or *arcwise connected component* of a space  $X$  is a path-connected subset of  $X$  that is not contained in any other path-connected subset of  $X$ .

**13.G.** *Every point belongs to a path-connected component.*

**13.H.** *Two path-connected components either coincide or are disjoint.*

Theorems 13.G and 13.H mean that path-connected components constitute a partition of the entire space. The next theorem describes the corresponding equivalence relation.

**13.I.** Prove that two points belong to the same path-connected component iff they can be connected by a path (cf. 11.I).

Unlike to the case of connectedness, path-connected components are not necessarily closed. (See 13.Q, cf. 13.P and 13.R.)

### 13°6. Path-Connectedness and Continuous Maps

**13.J.** *A continuous image of a path-connected space is path-connected.*

**13.K Corollary.** *Path-connectedness is a topological property.*

**13.L Corollary.** *The number of path-connected components is a topological invariant.*

### 13°7. Path-Connectedness Versus Connectedness

**13.M.** *Any path-connected space is connected.*

Put

$$A = \{ (x, y) \in \mathbb{R}^2 \mid x > 0, y = \sin(1/x) \}, \quad X = A \cup (0, 0).$$

**13.15.** Sketch  $A$ .

- 13.N.** Prove that  $A$  is path-connected and  $X$  is connected.
- 13.O.** Prove that deleting any point from  $A$  makes  $A$  and  $X$  disconnected (and hence, not path-connected).
- 13.P.**  $X$  is not path-connected.
- 13.Q.** Find an example of a path-connected set, whose closure is not path-connected.
- 13.R.** Find an example of a path-connected component that is not closed.
- 13.S.** If each point of a space has a path-connected neighborhood, then each path-connected component is open. (Cf. 11.21.)
- 13.T.** Assume that each point of a space  $X$  has a path-connected neighborhood. Then  $X$  is path-connected iff  $X$  is connected.
- 13.U.** For open subsets of Euclidean space connectedness is equivalent to path-connectedness.

**13.16.** For subsets of the real line path-connectedness and connectedness are equivalent.

**13.17.** Prove that for any  $\varepsilon > 0$  the  $\varepsilon$ -neighborhood of a connected subset of Euclidean space is path-connected.

**13.18.** Prove that any neighborhood  $U$  of a connected subset  $A$  of Euclidean space contains a path-connected neighborhood of  $A$ .

### 13°8x. Polygon-Connectedness

A subset  $A$  of Euclidean space is *polygon-connected* if any two points of  $A$  are connected by a finite polyline contained in  $A$ .

**13.1x.** Each polygon-connected set in  $\mathbb{R}^n$  is path-connected, and thus also connected.

**13.2x.** Each convex set in  $\mathbb{R}^n$  is polygon-connected.

**13.3x.** Each star-shaped set in  $\mathbb{R}^n$  is polygon-connected.

**13.4x.** Prove that for open subsets of Euclidean space connectedness is equivalent to polygon-connectedness.

**13.5x.** Construct a path-connected subset  $A$  of Euclidean space such that  $A$  consists of more than one point and no two distinct points of  $A$  can be connected by a polygon in  $A$ .

**13.6x.** Let  $X \subset \mathbb{R}^2$  be a countable set. Prove that then  $\mathbb{R}^2 \setminus X$  is polygon-connected.

**13.7x.** Let  $X \subset \mathbb{R}^n$  be the union of a countable collection of affine subspaces with dimensions not greater than  $n - 2$ . Prove that then  $\mathbb{R}^n \setminus X$  is polygon-connected.

**13.8x.** Let  $X \subset \mathbb{C}^n$  be the union of a countable collection of algebraic subsets (i.e., subsets defined by systems of algebraic equations in the standard coordinates of  $\mathbb{C}^n$ ). Prove that then  $\mathbb{C}^n \setminus X$  is polygon-connected.

**13°9x. Connectedness of Some Sets of Matrices**

Recall that real  $n \times n$ -matrices constitute a space, which differs from  $\mathbb{R}^{n^2}$  only in the way of enumerating its natural coordinates (they are numerated by pairs of indices). The same relation holds true between the set of complex  $n \times n$ -matrix and  $\mathbb{C}^{n^2}$  (homeomorphic to  $\mathbb{R}^{2n^2}$ ).

**13.9x.** Find connected and path-connected components of the following subspaces of the space of real  $n \times n$ -matrices:

- (1)  $GL(n; \mathbb{R}) = \{A \mid \det A \neq 0\}$ ;
- (2)  $O(n; \mathbb{R}) = \{A \mid A \cdot ({}^t A) = \mathbb{E}\}$ ;
- (3)  $Symm(n; \mathbb{R}) = \{A \mid {}^t A = A\}$ ;
- (4)  $Symm(n; \mathbb{R}) \cap GL(n; \mathbb{R})$ ;
- (5)  $\{A \mid A^2 = \mathbb{E}\}$ .

**13.10x.** Find connected and path-connected components of the following subspaces of the space of complex  $n \times n$ -matrices:

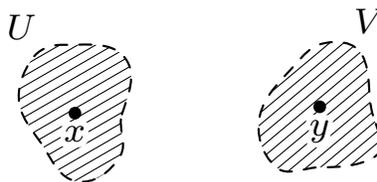
- (1)  $GL(n; \mathbb{C}) = \{A \mid \det A \neq 0\}$ ;
- (2)  $U(n; \mathbb{C}) = \{A \mid A \cdot ({}^t \bar{A}) = \mathbb{E}\}$ ;
- (3)  $Herm(n; \mathbb{C}) = \{A \mid {}^t A = \bar{A}\}$ ;
- (4)  $Herm(n; \mathbb{C}) \cap GL(n; \mathbb{C})$ .

## 14. Separation Axioms

The aim of this section is to consider natural restrictions on the topological structure making the structure closer to being metrizable. A lot of separation axioms are known. We restrict ourselves to the five most important of them. They are numerated, and denoted by  $T_0$ ,  $T_1$ ,  $T_2$ ,  $T_3$ , and  $T_4$ , respectively.<sup>1</sup>

### 14°1. The Hausdorff Axiom

We start with the second axiom, which is most important. Besides the notation  $T_2$ , it has a name: the *Hausdorff axiom*. A topological space satisfying  $T_2$  is a *Hausdorff space*. This axiom is stated as follows: *any two distinct points possess disjoint neighborhoods*. We can state it more formally:  $\forall x, y \in X, x \neq y \exists U_x, V_y : U_x \cap V_y = \emptyset$ .



**14.A.** Any metric space is Hausdorff.

**14.1.** Which of the following spaces are Hausdorff:

- (1) a discrete space;
- (2) an indiscrete space;
- (3) the arrow;
- (4)  $\mathbb{R}_{T_1}$ ;
- (5)  $\mathbb{V}$ ?

If the next problem holds you up even for a minute, we advise you to think over all definitions and solve all simple problems.

**14.B.** Is the segment  $[0, 1]$  with the topology induced from  $\mathbb{R}$  a Hausdorff space? Do the points 0 and 1 possess disjoint neighborhoods? Which if any?

**14.C.** A space  $X$  is Hausdorff iff for each  $x \in X$  we have  $\{x\} = \bigcap_{U \ni x} \text{Cl}U$ .

<sup>1</sup>Letter T in these notation originates from the German word *Trennungsaxiom*, which means *separation axiom*.

### 14°2. Limits of Sequence

Let  $\{a_n\}$  be a sequence of points of a topological space  $X$ . A point  $b \in X$  is the *limit* of the sequence if for any neighborhood  $U$  of  $b$  there exists a number  $N$  such that  $a_n \in U$  for any  $n \geq N$ .<sup>2</sup> In this case, we say that the sequence *converges* or *tends* to  $b$  as  $n$  tends to infinity.

**14.2.** Explain the meaning of the statement “ $b$  is not a limit of sequence  $a_n$ ”, using as few negations (i.e., the words *no*, *not*, *none*, etc.) as you can.

**14.3.** The limit of a sequence does not depend on the order of the terms. More precisely, let  $a_n$  be a convergent sequence:  $a_n \rightarrow b$ , and let  $\phi : \mathbb{N} \rightarrow \mathbb{N}$  be a bijection. Then the sequence  $a_{\phi(n)}$  is also convergent and has the same limit:  $a_{\phi(n)} \rightarrow b$ . For example, if the terms in the sequence are pairwise distinct, then the convergence and the limit depend only on the set of terms, which shows that these notions actually belong to geometry.

**14.D.** In a Hausdorff space any sequence has at most one limit.

**14.E.** Prove that in the space  $\mathbb{R}_{T_1}$  each point is a limit of the sequence  $a_n = n$ .

### 14°3. Coincidence Set and Fixed Point Set

Let  $f, g : X \rightarrow Y$  be maps. Then the set  $C(f, g) = \{x \in X \mid f(x) = g(x)\}$  is the *coincidence set* of  $f$  and  $g$ .

**14.4.** Prove that the coincidence set of two continuous maps from an arbitrary space to a Hausdorff space is closed.

**14.5.** Construct an example proving that the Hausdorff condition in 14.4 is essential.

A point  $x \in X$  is a *fixed point* of a map  $f : X \rightarrow X$  if  $f(x) = x$ . The set of all fixed points of a map  $f$  is the *fixed point set* of  $f$ .

**14.6.** Prove that the fixed-point set of a continuous map from a Hausdorff space to itself is closed.

**14.7.** Construct an example showing that the Hausdorff condition in 14.6 is essential.

**14.8.** Prove that if  $f, g : X \rightarrow Y$  are two continuous maps,  $Y$  is Hausdorff,  $A$  is everywhere dense in  $X$ , and  $f|_A = g|_A$ , then  $f = g$ .

**14.9. Riddle.** How are problems 14.4, 14.6, and 14.8 related to each other?

### 14°4. Hereditary Properties

A topological property is *hereditary* if it carries over from a space to its subspaces, i.e., if a space  $X$  has this property, then each subspace of  $X$  also has it.

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<sup>2</sup>You can also rephrase this as follows: each neighborhood of  $b$  contains all members of the sequence that have sufficiently large indices.

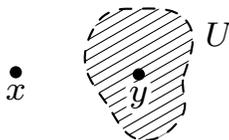
**14.10.** Which of the following topological properties are hereditary:

- (1) finiteness of the set of points;
- (2) finiteness of the topological structure;
- (3) infiniteness of the set of points;
- (4) connectedness;
- (5) path-connectedness?

**14.F.** The property of being a Hausdorff space is hereditary.

### 14°5. The First Separation Axiom

A topological space  $X$  satisfies the *first separation axiom*  $T_1$  if each one of any two points of  $X$  has a neighborhood that does not contain the other point.<sup>3</sup> More formally:  $\forall x, y \in X, x \neq y \exists U_y : x \notin U_y$ .



**14.G.** A space  $X$  satisfies the first separation axiom,

- iff all one-point sets in  $X$  are closed,
- iff all finite sets in  $X$  are closed.

**14.11.** Prove that a space  $X$  satisfies the first separation axiom iff every point of  $X$  is the intersection of all of its neighborhoods.

**14.12.** Any Hausdorff space satisfies the first separation axiom.

**14.H.** In a Hausdorff space any finite set is closed.

**14.I.** A metric space satisfies the first separation axiom.

**14.13.** Find an example showing that the first separation axiom does not imply the Hausdorff axiom.

**14.J.** Show that  $\mathbb{R}_{T_1}$  meets the first separation axiom, but is not a Hausdorff space (cf. 14.13).

**14.K.** The first separation axiom is hereditary.

**14.14.** Suppose that for any two distinct points  $a$  and  $b$  of a space  $X$  there exists a continuous map  $f$  from  $X$  to a space with the first separation axiom such that  $f(a) \neq f(b)$ . Prove that then  $X$  also satisfies the first separation axiom.

**14.15.** Prove that a continuous map of an indiscrete space to a space satisfying axiom  $T_1$  is constant.

**14.16.** Prove that in every set there exists a coarsest topological structure satisfying the first separation axiom. Describe this structure.

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<sup>3</sup> $T_1$  is also called the Tikhonov axiom.

**14°6. The Kolmogorov Axiom**

The first separation axiom emerges as a weakened Hausdorff axiom.

**14.L. Riddle.** How can the first separation axiom be weakened?

A topological space satisfies the *Kolmogorov axiom* or the *zeroth separation axiom*  $T_0$  if *at least one* of any two distinct points of this space has a neighborhood that does not contain the other of these points.

**14.M.** An indiscrete space containing at least two points does not satisfy  $T_0$ .

**14.N.** The following properties of a space  $X$  are equivalent:

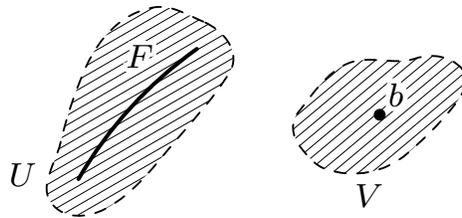
- (1)  $X$  satisfies the Kolmogorov axiom;
- (2) any two different points of  $X$  has different closures;
- (3)  $X$  contains no indiscrete subspace consisting of two points.
- (4)  $X$  contains no indiscrete subspace consisting of more than one point;

**14.O.** A topology is a poset topology iff it is a smallest neighborhood topology satisfying the Kolmogorov axiom.

Thus, on the one hand, posets give rise to numerous examples of topological spaces, among which we see the most important spaces, like the line with the standard topology. On the other hand, all posets are obtained from topological spaces of a special kind, which are quite far away from the class of metric spaces.

**14°7. The Third Separation Axiom**

A topological space  $X$  satisfies the *third separation axiom* if every closed set in  $X$  and every point of its complement have disjoint neighborhoods, i.e., for every closed set  $F \subset X$  and every point  $b \in X \setminus F$  there exist open sets  $U, V \subset X$  such that  $U \cap V = \emptyset$ ,  $F \subset U$ , and  $b \in V$ .



A space is *regular* if it satisfies the first and third separation axioms.

**14.P.** A regular space is a Hausdorff space.

**14.Q.** A space is regular iff it satisfies the second and third separation axioms.

**14.17.** Find a Hausdorff space which is not regular.

**14.18.** Find a space satisfying the third, but not the second separation axiom.

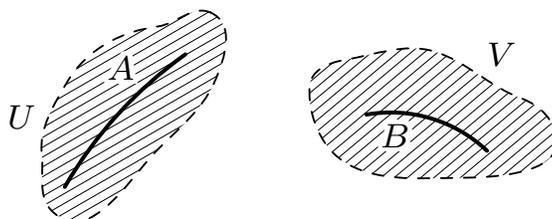
**14.19.** Prove that a space  $X$  satisfies the third separation axiom iff every neighborhood of every point  $x \in X$  contains the closure of a neighborhood of  $x$ .

**14.20.** Prove that the third separation axiom is hereditary.

**14.R.** Any metric space is regular.

### 14°8. The Fourth Separation Axiom

A topological space  $X$  satisfies the *fourth separation axiom* if any two disjoint closed sets in  $X$  have disjoint neighborhoods, i.e., for any two closed sets  $A, B \subset X$  with  $A \cap B = \emptyset$  there exist open sets  $U, V \subset X$  such that  $U \cap V = \emptyset$ ,  $A \subset U$ , and  $B \subset V$ .



A space is *normal* if it satisfies the first and fourth separation axioms.

**14.S.** A normal space is regular (and hence Hausdorff).

**14.T.** A space is normal iff it satisfies the second and fourth separation axioms.

**14.21.** Find a space which satisfies the fourth, but not second separation axiom.

**14.22.** Prove that a space  $X$  satisfies the fourth separation axiom iff every neighborhood of every closed set  $F \subset X$  contains the closure of some neighborhood of  $F$ .

**14.23.** Prove that any closed subspace of a normal space is normal.

**14.24.** Find two closed disjoint subsets  $A$  and  $B$  of some metric space such that  $\inf\{\rho(a, b) \mid a \in A, b \in B\} = 0$ .

**14.U.** Any metric space is normal.

**14.25.** Let  $f : X \rightarrow Y$  be a continuous surjection such that the image of any closed set is closed. Prove that if  $X$  is normal, then so is  $Y$ .

**14°9x. Niemytski's Space**

Denote by  $\mathcal{H}$  the open upper half-plane  $\{(x, y) \in \mathbb{R}^2 \mid y > 0\}$  equipped with the topology generated by the Euclidean metric. Denote by  $\mathcal{N}$  the union of  $\mathcal{H}$  and the boundary line  $\mathbb{R}^1$ :  $\mathcal{N} = \mathcal{H} \cup \mathbb{R}^1$ , but equip it with the topology obtained by adjoining to the Euclidean topology the sets of the form  $x \cup D$ , where  $x \in \mathbb{R}^1$  and  $D$  is an open disk in  $\mathcal{H}$  touching  $\mathbb{R}^1$  at the point  $x$ . This is the *Niemytski space*. It can be used to clarify properties of the fourth separation axiom.

**14.1x.** Prove that the Niemytski space is Hausdorff.

**14.2x.** Prove that the Niemytski space is regular.

**14.3x.** What topological structure is induced on  $\mathbb{R}^1$  from  $\mathcal{N}$ ?

**14.4x.** Prove that the Niemytski space is not normal.

**14.5x Corollary.** There exists a regular space which is not normal.

**14.6x.** Embed the Niemytski space into a normal space in such a way that the complement of the image would be a single point.

**14.7x Corollary.** Theorem 14.23 does not extend to nonclosed subspaces, i.e., the property of being normal is not hereditary, is it?

**14°10x. Urysohn Lemma and Tietze Theorem**

**14.8x.** Let  $A$  and  $B$  be two disjoint closed subsets of a metric space  $X$ . Then there exists a continuous function  $f : X \rightarrow I$  such that  $f^{-1}(0) = A$  and  $f^{-1}(1) = B$ .

**14.9x.** Let  $F$  be a closed subset of a metric space  $X$ . Then any continuous function  $f : X \rightarrow [-1, 1]$  can be extended over the whole  $X$ .

**14.9x.1.** Let  $F$  be a closed subset of a metric space  $X$ . For any continuous function  $f : F \rightarrow [-1, 1]$  there exists a function  $g : X \rightarrow [-\frac{1}{3}, \frac{1}{3}]$  such that  $|f(x) - g(x)| \leq \frac{2}{3}$  for each  $x \in F$ .

**14.Ax Urysohn Lemma.** Let  $A$  and  $B$  be two disjoint closed subsets of a normal space  $X$ . Then there exists a continuous function  $f : X \rightarrow I$  such that  $f(A) = 0$  and  $f(B) = 1$ .

**14.Ax.1.** Let  $A$  and  $B$  be two disjoint closed subsets of a normal space  $X$ . Consider the set  $\Lambda = \{\frac{k}{2^n} \mid k, n \in \mathbb{Z}_+, k \leq 2^n\}$ . There exists a collection  $\{U_p\}_{p \in \Lambda}$  of open subsets of  $X$  such that for any  $p, q \in \Lambda$  we have: 1)  $A \subset U_0$  and  $B \subset X \setminus U_1$  and 2) if  $p < q$  then  $\text{Cl}U_p \subset U_q$ .

**14.Bx Tietze Extension Theorem.** Let  $A$  be a closed subset of a normal space  $X$ . Let  $f : A \rightarrow [-1, 1]$  be a continuous function. Prove that there exists a continuous function  $F : X \rightarrow [-1, 1]$  such that  $F|_A = f$ .

**14.Cx Corollary.** Let  $A$  be a closed subset of a normal space  $X$ . Any continuous function  $A \rightarrow \mathbb{R}$  can be extended to a function on the whole space.

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**14.10x.** Will the statement of the Tietze theorem remain true if in the hypothesis we replace the segment  $[-1, 1]$  by  $\mathbb{R}$ ,  $\mathbb{R}^n$ ,  $S^1$ , or  $S^2$ ?

**14.11x.** Derive the Urysohn Lemma from the Tietze Extension Theorem.

## 15. Countability Axioms

In this section, we continue to study topological properties that are additionally imposed on a topological structure to make the abstract situation under consideration closer to special situations and hence richer in contents. The restrictions studied in this section bound a topological structure from above: they require that something be countable.

### 15°1. Set-Theoretic Digression: Countability

Recall that two sets have equal *cardinality* if there exists a bijection of one of them onto the other. A set of the same cardinality as a subset of the set  $\mathbb{N}$  of positive integers is *countable*.

**15.1.** A set  $X$  is countable iff there exists an injection  $X \rightarrow \mathbb{N}$  (or, more generally, an injection of  $X$  into another countable set).

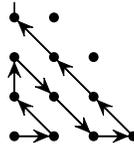
Sometimes this term is used only for infinite countable sets, i.e., for sets of the cardinality of the whole set  $\mathbb{N}$  of positive integers, while sets countable in the above sense are said to be *at most countable*. This is less convenient. In particular, if we adopted this terminology, this section would be called “At Most Countability Axioms”. This would also lead to other more serious inconveniences as well. Our terminology has the following advantageous properties.

**15.A.** Any subset of a countable set is countable.

**15.B.** The image of a countable set under any map is countable.

**15.C.**  $\mathbb{Z}$  is countable.

**15.D.** The set  $\mathbb{N}^2 = \{(k, n) \mid k, n \in \mathbb{N}\}$  is countable.



**15.E.** The union of a countable family of countable sets is countable.

**15.F.**  $\mathbb{Q}$  is countable.

**15.G.**  $\mathbb{R}$  is not countable.

**15.2.** Prove that any set  $\Sigma$  of disjoint figure eight curves in the plane is countable.

**15°2. Second Countability and Separability**

In this section, we study three restrictions on the topological structure. Two of them have numbers (one and two), the third one has no number. As in the previous section, we start from the restriction having number two.

A topological space  $X$  satisfies the *second axiom of countability* or is *second countable* if  $X$  has a countable base. A space is *separable* if it contains a countable dense set. (This is the countability axiom without a number that we mentioned above.)

**15.H.** *The second axiom of countability implies separability.*

**15.I.** The second axiom of countability is hereditary.

**15.3.** Are the arrow and  $\mathbb{R}_{T_1}$  second countable?

**15.4.** Are the arrow and  $\mathbb{R}_{T_1}$  separable?

**15.5.** Construct an example proving that separability is not hereditary.

**15.J.** *A metric separable space is second countable.*

**15.K Corollary.** *For metrizable spaces, separability is equivalent to the second axiom of countability.*

**15.L.** (Cf. 15.5.) Prove that for metrizable spaces separability is hereditary.

**15.M.** Prove that Euclidean spaces and all their subspaces are separable and second countable.

**15.6.** Construct a metric space which is not second countable.

**15.7.** Prove that in a separable space any collection of pairwise disjoint open sets is countable.

**15.8.** Prove that the set of components of an open set  $A \subset \mathbb{R}^n$  is countable.

**15.N.** *A continuous image of a separable space is separable.*

**15.9.** Construct an example proving that a continuous image of a second countable space may be not second countable.

**15.O Lindelöf Theorem.** *Any open cover of a second countable space contains a countable part that also covers the space.*

**15.10.** Prove that each base of a second countable space contains a countable part which is also a base.

**15.11 Brouwer Theorem\*.** Let  $\{K_\lambda\}$  be a family of closed sets of a second countable space and assume that for every decreasing sequence  $K_1 \supset K_2 \supset \dots$  of sets belonging to this family the intersection  $\bigcap_1^\infty K_n$  also belongs to the family. Then the family contains a minimal set  $A$ , i.e., a set such that no proper subset of  $A$  belongs to the family.

### 15°3. Bases at a Point

Let  $X$  be a space,  $a$  a point of  $X$ . A *neighborhood base* at  $a$  or just a *base of  $X$  at  $a$*  is a collection  $\Sigma$  of neighborhoods of  $a$  such that each neighborhood of  $a$  contains a neighborhood from  $\Sigma$ .

**15.P.** If  $\Sigma$  is a base of a space  $X$ , then  $\{U \in \Sigma \mid a \in U\}$  is a base of  $X$  at  $a$ .

**15.12.** In a metric space the following collections of balls are neighborhood bases at a point  $a$ :

- the set of all open balls of center  $a$ ;
- the set of all open balls of center  $a$  and rational radii;
- the set of all open balls of center  $a$  and radii  $r_n$ , where  $\{r_n\}$  is any sequence of positive numbers converging to zero.

**15.13.** What are the minimal bases at a point in the discrete and indiscrete spaces?

### 15°4. First Countability

A topological space  $X$  satisfies the *first axiom of countability* or is a *first countable space* if  $X$  has a countable neighborhood base at each point.

**15.Q.** Any metric space is first countable.

**15.R.** The second axiom of countability implies the first one.

**15.S.** Find a first countable space which is not second countable. (Cf. 15.6.)

**15.14.** Which of the following spaces are first countable:

- (a) the arrow;            (b)  $\mathbb{R}_{T_1}$ ;  
 (c) a discrete space;    (d) an indiscrete space?

**15.15.** Find a first countable separable space which is not second countable.

**15.16.** Prove that if  $X$  is a first countable space, then at each point it has a decreasing countable neighborhood base:  $U_1 \supset U_2 \supset \dots$

### 15°5. Sequential Approach to Topology

Specialists in Mathematical Analysis love sequences and their limits. Moreover, they like to talk about all topological notions relying on the notions of sequence and its limit. This tradition has almost no mathematical justification, except for a long history descending from the XIX century studies on the foundations of analysis. In fact, almost always<sup>4</sup> it is more convenient to avoid sequences, provided you deal with topological notions, except summing of series, where sequences are involved in the underlying

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<sup>4</sup>The exceptions which one may find in the standard curriculum of a mathematical department can be counted on two hands.

definitions. Paying a tribute to this tradition, here we explain how and in what situations topological notions can be described in terms of sequences.

Let  $A$  be a subset of a space  $X$ . The set  $\text{Scl} A$  of limits of all sequences  $a_n$  with  $a_n \in A$  is the *sequential closure* of  $A$ .

**15.T.** Prove that  $\text{Scl} A \subset \text{Cl} A$ .

**15.U.** If a space  $X$  is first countable, then for any  $A \subset X$  the opposite inclusion  $\text{Cl} A \subset \text{Scl} A$  also holds true, whence  $\text{Scl} A = \text{Cl} A$ .

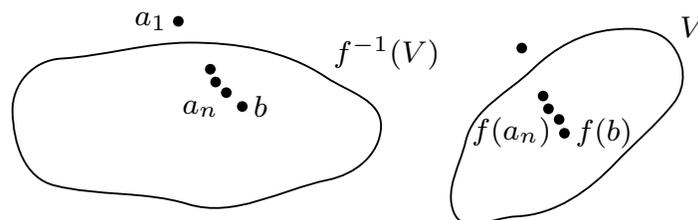
Therefore, in a first countable space (in particular, any metric spaces) we can recover (hence, define) the closure of a set provided it is known which sequences are convergent and what the limits are. In turn, the knowledge of closures allows one to determine which sets are closed. As a consequence, knowledge of closed sets allows one to recover open sets and all other topological notions.

**15.17.** Let  $X$  be the set of real numbers equipped with the topology consisting of  $\emptyset$  and complements of all countable subsets. (Check that this is actually a topology.) Describe convergent sequences, sequential closure and closure in  $X$ . Prove that in  $X$  there exists a set  $A$  with  $\text{Scl} A \neq \text{Cl} A$ .

### 15°6. Sequential Continuity

Now we consider the continuity of maps along the same lines. A map  $f : X \rightarrow Y$  is *sequentially continuous* if for each  $b \in X$  and each sequence  $a_n \in X$  converging to  $b$  the sequence  $f(a_n)$  converges to  $f(b)$ .

**15.V.** Any continuous map is sequentially continuous.



**15.W.** The preimage of a sequentially closed set under a sequentially continuous map is sequentially closed.

**15.X.** If  $X$  is a first countable space, then any sequentially continuous map  $f : X \rightarrow Y$  is continuous.

Thus for maps of a first countable space continuity and sequential continuity are equivalent.

**15.18.** Construct a sequentially continuous, but discontinuous map. (Cf. 15.17)

**15°7x. Embedding and Metrization Theorems**

**15.Ax.** Prove that the space  $l_2$  is separable and second countable.

**15.Bx.** Prove that a regular second countable space is normal.

**15.Cx.** Prove that a normal second countable space can be embedded into  $l_2$ . (Use the Urysohn Lemma *14.Ax.*)

**15.Dx.** Prove that a second countable space is metrizable iff it is regular.

## 16. Compactness

### 16°1. Definition of Compactness

This section is devoted to a topological property playing a very special role in topology and its applications. It is a sort of topological counterpart for the property of being finite in the context of set theory. (It seems though that this analogy has never been formalized.)

A topological space  $X$  is *compact* if each open cover of  $X$  contains a finite part that also covers  $X$ .

If  $\Gamma$  is a cover of  $X$  and  $\Sigma \subset \Gamma$  is a cover of  $X$ , then  $\Sigma$  is a *subcover* (or *subcovering*) of  $\Gamma$ . Thus, a space  $X$  is compact if every open cover of  $X$  contains a finite subcovering.

**16.A.** Any finite space and indiscrete space are compact.

**16.B.** Which discrete spaces are compact?

**16.1.** Let  $\Omega_1 \subset \Omega_2$  be two topological structures in  $X$ . 1) Does the compactness of  $(X, \Omega_2)$  imply that of  $(X, \Omega_1)$ ? 2) And vice versa?

**16.C.** The line  $\mathbb{R}$  is not compact.

**16.D.** A space  $X$  is not compact iff it has an open cover containing no finite subcovering.

**16.2.** Is the arrow compact? Is  $\mathbb{R}_{T_1}$  compact?

### 16°2. Terminology Remarks

Originally the word *compactness* was used for the following weaker property: any countable open cover contains a finite subcovering.

**16.E.** For a second countable space, the original definition of compactness is equivalent to the modern one.

The modern notion of compactness was introduced by P. S. Alexandrov (1896–1982) and P. S. Urysohn (1898–1924). They suggested for it the term *bicompactness*. This notion appeared to be so successful that it has displaced the original one and even took its name, i.e., compactness. The term bicompactness is sometimes used (mainly by topologists of Alexandrov's school).

Another deviation from the terminology used here comes from Bourbaki: we do not include the Hausdorff property into the definition of compactness, which Bourbaki includes. According to our definition,  $\mathbb{R}_{T_1}$  is compact, according to Bourbaki it is not.

**16°3. Compactness in Terms of Closed Sets**

A collection of subsets of a set is said to have the *finite intersection property* if the intersection of any finite subcollection is nonempty.

**16.F.** A collection  $\Sigma$  of subsets of a set  $X$  has the finite intersection property iff there exists no finite  $\Sigma_1 \subset \Sigma$  such that the complements of the sets in  $\Sigma_1$  cover  $X$ .

**16.G.** A space is compact iff for every collection of its closed sets having the finite intersection property its intersection is nonempty.

**16°4. Compact Sets**

A *compact set* is a subset  $A$  of a topological space  $X$  (the latter must be clear from the context) provided  $A$  is compact as a space with the relative topology induced from  $X$ .

**16.H.** A subset  $A$  of a space  $X$  is compact iff each cover of  $A$  with sets open in  $X$  contains a finite subcovering.

**16.3.** Is  $[1, 2) \subset \mathbb{R}$  compact?

**16.4.** Is the same set  $[1, 2)$  compact in the arrow?

**16.5.** Find a necessary and sufficient condition (formulated not in topological terms) for a subset of the arrow to be compact?

**16.6.** Prove that any subset of  $\mathbb{R}_{T_1}$  is compact.

**16.7.** Let  $A$  and  $B$  be two compact subsets of a space  $X$ . 1) Does it follow that  $A \cup B$  is compact? 2) Does it follow that  $A \cap B$  is compact?

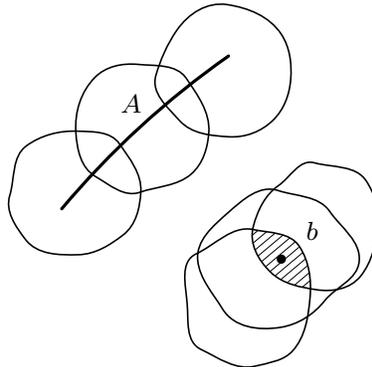
**16.8.** Prove that the set  $A = 0 \cup \{\frac{1}{n}\}_{n=1}^{\infty}$  in  $\mathbb{R}$  is compact.

**16°5. Compact Sets Versus Closed Sets**

**16.I.** Is compactness hereditary?

**16.J.** Any closed subset of a compact space is compact.

**16.K.** Any compact subset of a Hausdorff space is closed.



**16.L Lemma to 16.K, but not only . . . .** Let  $A$  be a compact subset of a Hausdorff space  $X$  and  $b$  a point of  $X$  that does not belong to  $A$ . Then there exist open sets  $U, V \subset X$  such that  $b \in V$ ,  $A \subset U$ , and  $U \cap V = \emptyset$ .

**16.9.** Construct a nonclosed compact subset of some topological space. What is the minimal number of points needed?

### 16°6. Compactness and Separation Axioms

**16.M.** A compact Hausdorff space is regular.

**16.N.** Prove that a compact Hausdorff space is normal.

**16.O Lemma to 16.N.** In a Hausdorff space, any two disjoint compact subsets possess disjoint neighborhoods.

**16.10.** Prove that the intersection of any family of compact subsets of a Hausdorff space is compact. (Cf. 16.7.)

**16.11.** Let  $X$  be a Hausdorff space, let  $\{K_\lambda\}_{\lambda \in \Lambda}$  be a family of its compact subsets, and let  $U$  be an open set containing  $\bigcap_{\lambda \in \Lambda} K_\lambda$ . Prove that for some finite  $A \subset \Lambda$  we have  $U \supset \bigcap_{\lambda \in A} K_\lambda$ .

**16.12.** Let  $\{K_n\}_1^\infty$  be a decreasing sequence of nonempty compact connected sets in a Hausdorff space. Prove that the intersection  $\bigcap_1^\infty K_n$  is nonempty and connected. (Cf. 11.20)

### 16°7. Compactness in Euclidean Space

**16.P.** The segment  $I$  is compact.

Recall that  $n$ -dimensional cube is the set

$$I^n = \{x \in \mathbb{R}^n \mid x_i \in [0, 1] \text{ for } i = 1, \dots, n\}.$$

**16.Q.** The cube  $I^n$  is compact.

**16.R.** Any compact subset of a metric space is bounded.

Therefore, any compact subset of a metric space is closed and bounded (see Theorems 14.A, 16.K, and 16.R).

**16.S.** Construct a closed and bounded, but noncompact set in a metric space.

**16.13.** Are the metric spaces of Problem 4.A compact?

**16.T.** A subset of a Euclidean space is compact iff it is closed and bounded.

**16.14.** Which of the following sets are compact:

- |                                |   |                |
|--------------------------------|---|----------------|
| (a) $[0, 1]$ ;                 | (b) $\text{ray } \mathbb{R}_+ = \{x \in \mathbb{R} \mid x \geq 0\}$ ; | (c) $S^1$ ;    |
| (d) $S^n$ ;                    | (e) one-sheeted hyperboloid;  | (f) ellipsoid; |
| (g) $[0, 1] \cap \mathbb{Q}$ ? |   |                |

An  $(n \times k)$ -matrix  $(a_{ij})$  with real entries can be regarded as a point in  $\mathbb{R}^{nk}$ . To do this, we only need to enumerate somehow (e.g., lexicographically) the entries of  $(a_{ij})$  by numbers from 1 to  $nk$ . This identifies the set  $L(n, k)$  of all matrices like that with  $\mathbb{R}^{nk}$  and endows it with a topological structure. (Cf. Section 13.)

**16.15.** Which of the following subsets of  $L(n, n)$  are compact:

- (1)  $GL(n) = \{A \in L(n, n) \mid \det A \neq 0\}$ ;
- (2)  $SL(n) = \{A \in L(n, n) \mid \det A = 1\}$ ;
- (3)  $O(n) = \{A \in L(n, n) \mid A \text{ is an orthogonal matrix}\}$ ;
- (4)  $\{A \in L(n, n) \mid A^2 = \mathbb{E}\}$ , where  $\mathbb{E}$  is the unit matrix?

### 16°8. Compactness and Continuous Maps

**16.U.** *A continuous image of a compact space is compact. (In other words, if  $X$  is a compact space and  $f : X \rightarrow Y$  is a continuous map, then  $f(X)$  is compact.)*

**16.V.** *A continuous numerical function on a compact space is bounded and attains its maximal and minimal values. (In other words, if  $X$  is a compact space and  $f : X \rightarrow \mathbb{R}$  is a continuous function, then there exist  $a, b \in X$  such that  $f(a) \leq f(x) \leq f(b)$  for every  $x \in X$ .) Cf. 16.U and 16.T.*

**16.16.** Prove that if  $f : I \rightarrow \mathbb{R}$  is a continuous function, then  $f(I)$  is a segment.

**16.17.** Let  $A$  be a subset of  $\mathbb{R}^n$ . Prove that  $A$  is compact iff each continuous numerical function on  $A$  is bounded.

**16.18.** Prove that if  $F$  and  $G$  are disjoint subsets of a metric space,  $F$  is closed, and  $G$  is compact, then  $\rho(G, F) = \inf \{\rho(x, y) \mid x \in F, y \in G\} > 0$ .

**16.19.** Prove that any open set  $U$  containing a compact set  $A$  of a metric space  $X$  contains an  $\varepsilon$ -neighborhood of  $A$  (i.e., the set  $\{x \in X \mid \rho(x, A) < \varepsilon\}$ ) for some  $\varepsilon > 0$ .

**16.20.** Let  $A$  be a closed connected subset of  $\mathbb{R}^n$  and let  $V$  be the closed  $\varepsilon$ -neighborhood of  $A$  (i.e.,  $V = \{x \in \mathbb{R}^n \mid \rho(x, A) \leq \varepsilon\}$ ). Prove that  $V$  is path-connected.

**16.21.** Prove that if the closure of each open ball in a compact metric space is the closed ball with the same center and radius, then any ball in this space is connected.

**16.22.** Let  $X$  be a compact metric space, and let  $f : X \rightarrow X$  be a map such that  $\rho(f(x), f(y)) < \rho(x, y)$  for any  $x, y \in X$  with  $x \neq y$ . Prove that  $f$  has a unique fixed point. (Recall that a fixed point of  $f$  is a point  $x$  such that  $f(x) = x$ , see 14.6.)

**16.23.** Prove that for any open cover of a compact metric space there exists a (sufficiently small) number  $r > 0$  such that each open ball of radius  $r$  is contained in an element of the cover.

**16.W Lebesgue Lemma.** *Let  $f : X \rightarrow Y$  be a continuous map from a compact metric space  $X$  to a topological space  $Y$ , and let  $\Gamma$  be an open cover of  $Y$ . Then there exists a number  $\delta > 0$  such that for any set  $A \subset X$  with diameter  $\text{diam}(A) < \delta$  the image  $f(A)$  is contained in an element of  $\Gamma$ .*

**16°9. Closed Maps**

A continuous map is *closed* if the image of each closed set under this map is closed.

**16.24.** A continuous bijection is a homeomorphism iff it is closed.

**16.X.** A continuous map of a compact space to a Hausdorff space is closed.

Here are two important corollaries of this theorem.

**16.Y.** A continuous bijection of a compact space onto a Hausdorff space is a homeomorphism.

**16.Z.** A continuous injection of a compact space into a Hausdorff space is a topological embedding.

**16.25.** Show that none of the assumptions in 16.Y can be omitted without making the statement false.

**16.26.** Does there exist a noncompact subspace  $A$  of the Euclidian space such that any continuous map of  $A$  to a Hausdorff space is closed? (Cf. 16.V and 16.X.)

**16.27.** A restriction of a closed map to a closed subset is a also closed map.

**16°10x. Norms in  $\mathbb{R}^n$** 

**16.1x.** Prove that each norm  $\mathbb{R}^n \rightarrow \mathbb{R}$  (see Section 4) is a continuous function (with respect to the standard topology of  $\mathbb{R}^n$ ).

**16.2x.** Prove that any two norms in  $\mathbb{R}^n$  are equivalent (i.e., determine the same topological structure). See 4.27, cf. 4.31.

**16.3x.** Does the same hold true for metrics in  $\mathbb{R}^n$ ?

**16°11x. Induction on Compactness**

A function  $f : X \rightarrow \mathbb{R}$  is *locally bounded* if for each point  $a \in X$  there exist a neighborhood  $U$  and a number  $M > 0$  such that  $|f(x)| \leq M$  for  $x \in U$  (i.e., each point has a neighborhood  $U$  such that the restriction of  $f$  to  $U$  is bounded).

**16.4x.** Prove that if a space  $X$  is compact and a function  $f : X \rightarrow \mathbb{R}$  is locally bounded, then  $f$  is bounded.

This statement is a simplest application of a general principle formulated below in 16.5x. This principle may be called *induction on compactness* (cf. induction on connectedness, which was discussed in Section 11).

Let  $X$  be a topological space,  $\mathcal{C}$  a property of subsets of  $X$ . We say that  $\mathcal{C}$  is *additive* if the union of any finite family of sets having  $\mathcal{C}$  also has  $\mathcal{C}$ . The space  $X$  *possesses  $\mathcal{C}$  locally* if each point of  $X$  has a neighborhood with property  $\mathcal{C}$ .

**16.5x.** Prove that a compact space which locally possesses an additive property has this property itself.

**16.6x.** Using induction on compactness, deduce the statements of Problems 16.R, 17.M, and 17.N.

## 17. Sequential Compactness

### 17°1. Sequential Compactness Versus Compactness

A topological space is *sequentially compact* if every sequence of its points contains a convergent subsequence.

**17.A.** *If a first countable space is compact, then it is sequentially compact.*

A point  $b$  is an *accumulation point* of a set  $A$  if each neighborhood of  $b$  contains infinitely many points of  $A$ .

**17.A.1.** Prove that in a space satisfying the first separation axiom a point is an accumulation point iff it is a limit point.

**17.A.2.** *In a compact space, any infinite set has an accumulation point.*

**17.A.3.** *A space in which each infinite set has an accumulation point is sequentially compact.*

**17.B.** *A sequentially compact second countable space is compact.*

**17.B.1.** *In a sequentially compact space a decreasing sequence of nonempty closed sets has a nonempty intersection.*

**17.B.2.** Prove that each nested sequence of nonempty closed sets in a space  $X$  has nonempty intersection iff each countable collection of closed sets in  $X$  the finite intersection property has nonempty intersection.

**17.B.3.** Derive Theorem 17.B from 17.B.1 and 17.B.2.

**17.C.** *For second countable spaces, compactness and sequential compactness are equivalent.*

### 17°2. In Metric Space

A subset  $A$  of a metric space  $X$  is an  $\varepsilon$ -*net* (where  $\varepsilon$  is a positive number) if  $\rho(x, A) < \varepsilon$  for each point  $x \in X$ .

**17.D.** Prove that in any compact metric space for any  $\varepsilon > 0$  there exists a finite  $\varepsilon$ -net.

**17.E.** Prove that in any sequentially compact metric space for any  $\varepsilon > 0$  there exists a finite  $\varepsilon$ -net.

**17.F.** Prove that a subset  $A$  of a metric space is everywhere dense iff  $A$  is an  $\varepsilon$ -net for each  $\varepsilon > 0$ .

**17.G.** *Any sequentially compact metric space is separable.*

**17.H.** Any sequentially compact metric space is second countable.

**17.I.** For metric spaces compactness and sequential compactness are equivalent.

**17.1.** Prove that a sequentially compact metric space is bounded. (Cf. 17.E and 17.I.)

**17.2.** Prove that in any metric space for any  $\varepsilon > 0$  there exists

- (1) a discrete  $\varepsilon$ -net and even
- (2) an  $\varepsilon$ -net such that the distance between any two of its points is greater than  $\varepsilon$ .

### 17°3. Completeness and Compactness

A sequence  $\{x_n\}_{n \in \mathbb{N}}$  of points of a metric space is a *Cauchy sequence* if for every  $\varepsilon > 0$  there exists a number  $N$  such that  $\rho(x_n, x_m) < \varepsilon$  for any  $n, m \geq N$ . A metric space  $X$  is *complete* if every Cauchy sequence in  $X$  converges.

**17.J.** A Cauchy sequence containing a convergent subsequence converges.

**17.K.** Prove that a metric space  $M$  is complete iff every nested decreasing sequence of closed balls in  $M$  with radii tending to 0 has nonempty intersection.

**17.L.** Prove that a compact metric space is complete.

**17.M.** Prove that a complete metric space is compact iff for each  $\varepsilon > 0$  it contains a finite  $\varepsilon$ -net.

**17.N.** Prove that a complete metric space is compact iff for any  $\varepsilon > 0$  it contains a compact  $\varepsilon$ -net.

### 17°4x. Noncompact Balls in Infinite Dimension

By  $l^\infty$  denote the set of all bounded sequences of real numbers. This is a vector space with respect to the component-wise operations. There is a natural norm in it:  $\|x\| = \sup\{|x_n| \mid n \in \mathbb{N}\}$ .

**17.1x.** Are closed balls of  $l^\infty$  compact? What about spheres?

**17.2x.** Is the set  $\{x \in l^\infty \mid |x_n| \leq 2^{-n}, n \in \mathbb{N}\}$  compact?

**17.3x.** Prove that the set  $\{x \in l^\infty \mid |x_n| = 2^{-n}, n \in \mathbb{N}\}$  is homeomorphic to the Cantor set  $K$  introduced in Section 2.

**17.4x\*.** Does there exist an infinitely dimensional normed space in which closed balls are compact?

**17°5x.  $p$ -Adic Numbers**

Fix a prime integer  $p$ . By  $\mathbb{Z}_p$  denote the set of series of the form  $a_0 + a_1p + \dots + a_n p^n + \dots$  with  $0 \leq a_n < p$ ,  $a_n \in \mathbb{N}$ . For  $x, y \in \mathbb{Z}_p$ , put  $\rho(x, y) = 0$  if  $x = y$ , and  $\rho(x, y) = p^{-m}$  if  $m$  is the smallest number such that the  $m$ th coefficients in the series  $x$  and  $y$  differ.

**17.5x.** Prove that  $\rho$  is a metric in  $\mathbb{Z}_p$ .

This metric space is the *space of integer  $p$ -adic numbers*. There is an injection  $\mathbb{Z} \rightarrow \mathbb{Z}_p$  assigning to  $a_0 + a_1p + \dots + a_n p^n \in \mathbb{Z}$  with  $0 \leq a_k < p$  the series

$$a_0 + a_1p + \dots + a_n p^n + 0p^{n+1} + 0p^{n+2} + \dots \in \mathbb{Z}_p$$

and to  $-(a_0 + a_1p + \dots + a_n p^n) \in \mathbb{Z}$  with  $0 \leq a_k < p$  the series

$$b_0 + b_1p + \dots + b_n p^n + (p-1)p^{n+1} + (p-1)p^{n+2} + \dots,$$

where

$$b_0 + b_1p + \dots + b_n p^n = p^{n+1} - (a_0 + a_1p + \dots + a_n p^n).$$

Cf. 4.IX.

**17.6x.** Prove that the image of the injection  $\mathbb{Z} \rightarrow \mathbb{Z}_p$  is dense in  $\mathbb{Z}_p$ .

**17.7x.** Is  $\mathbb{Z}_p$  a complete metric space?

**17.8x.** Is  $\mathbb{Z}_p$  compact?

**17°6x. Spaces of Convex Figures**

Let  $D \subset \mathbb{R}^2$  be a closed disk of radius  $p$ . Consider the set  $\mathcal{P}_n$  of all convex polygons  $P$  with the following properties:

- the perimeter of  $P$  is at most  $p$ ;
- $P$  is contained in  $D$ ;
- $P$  has at most  $n$  vertices (the cases of one and two vertices are not excluded; the perimeter of a segment is twice its length).

See 4.Ax, cf. 4.Cx.

**17.9x.** Equip  $\mathcal{P}_n$  with a natural topological structure. For instance, define a natural metric on  $\mathcal{P}_n$ .

**17.10x.** Prove that  $\mathcal{P}_n$  is compact.

**17.11x.** Prove that there exists a polygon belonging to  $\mathcal{P}_n$  and having the maximal area.

**17.12x.** Prove that this polygon is a regular  $n$ -gon.

Consider now the set  $\mathcal{P}_\infty$  of all convex polygons that have perimeter at most  $p$  and are contained in  $D$ . In other words,  $\mathcal{P}_\infty = \bigcup_{n=1}^{\infty} \mathcal{P}_n$ .

**17.13x.** Construct a topological structure in  $\mathcal{P}_\infty$  inducing the structures introduced above in the spaces  $\mathcal{P}_n$ .

**17.14x.** Prove that the space  $\mathcal{P}_\infty$  is not compact.

Consider now the set  $\mathcal{P}$  of all convex closed subsets of the plane that have perimeter at most  $p$  and are contained in  $D$ . (Observe that all sets in  $\mathcal{P}$  are compact.)

**17.15x.** Construct a topological structure in  $\mathcal{P}$  that induces the structure introduced above in the space  $\mathcal{P}_\infty$ .

**17.16x.** Prove that the space  $\mathcal{P}$  is compact.

**17.17x.** Prove that there exists a convex plane set with perimeter at most  $p$  having a maximal area.

**17.18x.** Prove that this is a disk of radius  $\frac{p}{2\pi}$ .

## 18x. Local Compactness and Paracompactness

### 18°1x. Local Compactness

A topological space  $X$  is *locally compact* if each point of  $X$  has a neighborhood with compact closure.

**18.1x.** Compact spaces are locally compact.

**18.2x.** Which of the following spaces are locally compact: (a)  $\mathbb{R}$ ; (b)  $\mathbb{Q}$ ; (c)  $\mathbb{R}^n$ ; (d) a discrete space?

**18.3x.** Find two locally compact sets on the line such that their union is not locally compact.

**18.Ax.** Is the local compactness hereditary?

**18.Bx.** A closed subset of a locally compact space is locally compact.

**18.Cx.** Is it true that an open subset of a locally compact space is locally compact?

**18.Dx.** A Hausdorff locally compact space is regular.

**18.Ex.** An open subset of a locally compact Hausdorff space is locally compact.

**18.Fx.** Local compactness is a local property for a Hausdorff space, i.e., a Hausdorff space is locally compact iff each of its points has a locally compact neighborhood.

### 18°2x. One-Point Compactification

Let  $(X, \Omega)$  be a Hausdorff topological space. Let  $X^*$  be the set obtained by adding a point  $x_*$  to  $X$  (of course,  $x_*$  does not belong to  $X$ ). Let  $\Omega^*$  be the collection of subsets of  $X^*$  consisting of

- sets open in  $X$  and
- sets of the form  $X^* \setminus C$ , where  $C \subset X$  is a compact set:

$$\Omega^* = \Omega \cup \{X^* \setminus C \mid C \subset X \text{ is a compact set}\}.$$

**18.Gx.** Prove that  $\Omega^*$  is a topological structure on  $X^*$ .

**18.Hx.** Prove that the space  $(X^*, \Omega^*)$  is compact.

**18.Ix.** Prove that the inclusion  $(X, \Omega) \hookrightarrow (X^*, \Omega^*)$  is a topological embedding.

**18.Jx.** Prove that if  $X$  is locally compact, then the space  $(X^*, \Omega^*)$  is Hausdorff. (Recall that in the definition of  $X^*$  we assumed that  $X$  is Hausdorff.)

A topological embedding of a space  $X$  into a compact space  $Y$  is a *compactification* of  $X$  if the image of  $X$  is dense in  $Y$ . In this situation,  $Y$  is also called a *compactification* of  $X$ . (To simplify the notation, we identify  $X$  with its image in  $Y$ .)

**18.Kx.** Prove that if  $X$  is a locally compact Hausdorff space and  $Y$  is a compactification of  $X$  with one-point  $Y \setminus X$ , then there exists a homeomorphism  $Y \rightarrow X^*$  which is the identity on  $X$ .

Any space  $Y$  of Problem 18.Kx is called a *one-point compactification* or *Alexandrov compactification* of  $X$ . Problem 18.Kx says  $Y$  is essentially unique.

**18.Lx.** Prove that the one-point compactification of the plane is homeomorphic to  $S^2$ .

**18.4x.** Prove that the one-point compactification of  $\mathbb{R}^n$  is homeomorphic to  $S^n$ .

**18.5x.** Give explicit descriptions of one-point compactifications of the following spaces:

- (1) annulus  $\{(x, y) \in \mathbb{R}^2 \mid 1 < x^2 + y^2 < 2\}$ ;
- (2) square without vertices  $\{(x, y) \in \mathbb{R}^2 \mid x, y \in [-1, 1], |xy| < 1\}$ ;
- (3) strip  $\{(x, y) \in \mathbb{R}^2 \mid x \in [0, 1]\}$ ;
- (4) a compact space.

**18.Mx.** Prove that a locally compact Hausdorff space is regular.

**18.6x.** Let  $X$  be a locally compact Hausdorff space,  $K$  a compact subset of  $X$ ,  $U$  a neighborhood of  $K$ . Then there exists a neighborhood  $V$  of  $K$  such that the closure  $\text{Cl} V$  is compact and contained in  $U$ .

### 18°3x. Proper Maps

A continuous map  $f : X \rightarrow Y$  is *proper* if each compact subset of  $Y$  has compact preimage.

Let  $X, Y$  be Hausdorff spaces. Any map  $f : X \rightarrow Y$  obviously extends to the map

$$f^* : X^* \rightarrow Y^* : x \mapsto \begin{cases} f(x) & \text{if } x \in X, \\ y^* & \text{if } x = x^*. \end{cases}$$

**18.Nx.** Prove that  $f^*$  is continuous iff  $f$  is a proper continuous map.

**18.Ox.** Prove that any proper map of a Hausdorff space to a Hausdorff locally compact space is closed.

Problem 18.Ox is related to Theorem 16.X.

**18.Px.** Extend this analogy: formulate and prove statements corresponding to Theorems 16.Z and 16.Y.

### 18°4x. Locally Finite Collections of Subsets

A collection  $\Gamma$  of subsets of a space  $X$  is *locally finite* if each point  $b \in X$  has a neighborhood  $U$  such that  $A \cap U = \emptyset$  for all sets  $A \in \Gamma$  except, maybe, a finite number.

**18.Qx.** A locally finite cover of a compact space is finite.

**18.7x.** If a collection  $\Gamma$  of subsets of a space  $X$  is locally finite, then so is  $\{\text{Cl } A \mid A \in \Gamma\}$ .

**18.8x.** If a collection  $\Gamma$  of subsets of a space  $X$  is locally finite, then each compact set  $A \subset X$  intersects only a finite number of elements of  $\Gamma$ .

**18.9x.** If a collection  $\Gamma$  of subsets of a space  $X$  is locally finite and each  $A \in \Gamma$  has compact closure, then each  $A \in \Gamma$  intersects only a finite number of elements of  $\Gamma$ .

**18.10x.** Any locally finite cover of a sequentially compact space is finite.

**18.Rx.** Find an open cover of  $\mathbb{R}^n$  that has no locally finite subcovering.

Let  $\Gamma$  and  $\Delta$  be two covers of a set  $X$ . The cover  $\Delta$  is a *refinement* of  $\Gamma$  if for each  $A \in \Delta$  there exists  $B \in \Gamma$  such that  $A \subset B$ .

**18.Sx.** Prove that any open cover of  $\mathbb{R}^n$  has a locally finite open refinement.

**18.Tx.** Let  $\{U_i\}_{i \in \mathbb{N}}$  be a (locally finite) open cover of  $\mathbb{R}^n$ . Prove that there exists an open cover  $\{V_i\}_{i \in \mathbb{N}}$  of  $\mathbb{R}^n$  such that  $\text{Cl } V_i \subset U_i$  for each  $i \in \mathbb{N}$ .

### 18°5x. Paracompact Spaces

A space  $X$  is *paracompact* if every open cover of  $X$  has a locally finite open refinement.

**18.Ux.** Any compact space is paracompact.

**18.Vx.**  $\mathbb{R}^n$  is paracompact.

**18.Wx.** Let  $X = \bigcup_{i=1}^{\infty} X_i$ , where  $X_i$  are compact sets such that  $X_i \subset \text{Int } X_{i+1}$ . Then  $X$  is paracompact.

**18.Xx.** Let  $X$  be a locally compact space. If  $X$  has a countable cover by compact sets, then  $X$  is paracompact.

**18.11x.** Prove that if a locally compact space is second countable, then it is paracompact.

**18.12x.** A closed subspace of a paracompact space is paracompact.

**18.13x.** A disjoint union of paracompact spaces is paracompact.

**18°6x. Paracompactness and Separation Axioms**

**18.14x.** Let  $X$  be a paracompact topological space, and let  $F$  and  $M$  be two disjoint subsets of  $X$ , where  $F$  is closed. Suppose that  $F$  is covered by open sets  $U_\alpha$  whose closures are disjoint with  $M$ :  $\text{Cl}U_\alpha \cap M = \emptyset$ . Then  $F$  and  $M$  have disjoint neighborhoods.

**18.15x.** A Hausdorff paracompact space is regular.

**18.16x.** A Hausdorff paracompact space is normal.

**18.17x.** Let  $X$  be a Hausdorff locally compact and paracompact space,  $\Gamma$  a locally finite open cover of  $X$ . Then  $X$  has a locally finite open cover  $\Delta$  such that the closures  $\text{Cl}V$ , where  $V \in \Delta$ , are compact sets and  $\{\text{Cl}V \mid V \in \Delta\}$  is a refinement of  $\Gamma$ .

Here is a more general (though formally weaker) fact.

**18.18x.** Let  $X$  be a normal space,  $\Gamma$  a locally finite open cover of  $X$ . Then  $X$  has a locally finite open cover  $\Delta$  such that  $\{\text{Cl}V \mid V \in \Delta\}$  is a refinement of  $\Gamma$ .

**Information.** *Metrisable spaces are paracompact.*

**18°7x. Partitions of Unity**

Let  $X$  be a topological space,  $f : X \rightarrow \mathbb{R}$  a function. Then the set  $\text{supp} f = \text{Cl}\{x \in X \mid f(x) \neq 0\}$  is the *support* of  $f$ .

**18.19x.** Let  $X$  be a topological space, and let  $\{f_\alpha : X \rightarrow \mathbb{R}\}_{\alpha \in \Lambda}$  be a family of continuous functions whose supports  $\text{supp}(f_\alpha)$  constitute a locally finite cover of  $X$ . Prove that the formula

$$f(x) = \sum_{\alpha \in \Lambda} f_\alpha(x)$$

determines a continuous function  $f : X \rightarrow \mathbb{R}$ .

A family of nonnegative functions  $f_\alpha : X \rightarrow \mathbb{R}_+$  is a *partition of unity* if the supports  $\text{supp}(f_\alpha)$  constitute a locally finite cover of the space  $X$  and  $\sum_{\alpha \in \Lambda} f_\alpha(x) = 1$ .

A partition of unity  $\{f_\alpha\}$  is *subordinate to a cover*  $\Gamma$  if  $\text{supp}(f_\alpha)$  is contained in an element of  $\Gamma$  for each  $\alpha$ . We also say that  $\Gamma$  *dominates*  $\{f_\alpha\}$ .

**18.Yx.** Let  $X$  be a normal space. Then each locally finite open cover of  $X$  dominates a certain partition of unity.

**18.20x.** Let  $X$  be a Hausdorff space. If each open cover of  $X$  dominates a certain partition of unity, then  $X$  is paracompact.

**Information.** *A Hausdorff space  $X$  is paracompact iff each open cover of  $X$  dominates a certain partition of unity.*

**18°8x. Application: Making Embeddings From Pieces**

**18.21x.** Let  $X$  be a topological space,  $\{U_i\}_{i=1}^k$  an open cover of  $X$ . If  $U_i$  can be embedded in  $\mathbb{R}^n$  for each  $i = 1, \dots, k$ , then  $X$  can be embedded in  $\mathbb{R}^{k(n+1)}$ .

**18.21x.1.** Let  $h_i : U_i \rightarrow \mathbb{R}^n$ ,  $i = 1, \dots, k$ , be embeddings, and let  $f_i : X \rightarrow \mathbb{R}$  form a partition of unity subordinate to the cover  $\{U_i\}_{i=1}^k$ .

We put  $\hat{h}_i(x) = (h_i(x), 1) \in \mathbb{R}^{n+1}$ . Show that the map  $X \rightarrow \mathbb{R}^{k(n+1)} : x \mapsto (f_i(x)\hat{h}_i(x))_{i=1}^k$  is an embedding.

**18.22x. Riddle.** How can you generalize 18.21x?

## Proofs and Comments

**11.A** A set  $A$  is open and closed, iff  $A$  and  $X \setminus A$  are open, iff  $A$  and  $X \setminus A$  are closed.

**11.B** It suffices to prove the following apparently less general assertion: *A space having a connected everywhere dense subset is connected.* (See 6.3.) Let  $X \supset A$  be the space and the subset. To prove that  $X$  is connected, let  $X = U \cup V$ , where  $U$  and  $V$  are disjoint sets open in  $X$ , and prove that one of them is empty (cf. 11.A).  $U \cap A$  and  $V \cap A$  are disjoint sets open in  $A$ , and

$$A = X \cap A = (U \cup V) \cap A = (U \cap A) \cup (V \cap A).$$

Since  $A$  is connected, one of these sets, say  $U \cap A$ , is empty. Then  $U$  is empty since  $A$  is dense, see 6.M.

**11.C** To simplify the notation, we may assume that  $X = \bigcup_{\lambda} A_{\lambda}$ . By Theorem 11.A, it suffices to prove that if  $U$  and  $V$  are two open sets partitioning  $X$ , then either  $U = \emptyset$  or  $V = \emptyset$ . For each  $\lambda \in \Lambda$ , since  $A_{\lambda}$  is connected, we have either  $A_{\lambda} \subset U$  or  $A_{\lambda} \subset V$  (see 11.14). Fix a  $\lambda_0 \in \Lambda$ . To be definite, let  $A_{\lambda_0} \subset U$ . Since each of the sets  $A_{\lambda}$  meets  $A_{\lambda_0}$ , all sets  $A_{\lambda}$  also lie in  $U$ , and so none of them meets  $V$ , whence

$$V = V \cap X = V \cap \bigcup_{\lambda} A_{\lambda} = \bigcup_{\lambda} (V \cap A_{\lambda}) = \emptyset.$$

**11.E** Apply Theorem 11.C to the family  $\{A_{\lambda} \cup A_{\lambda_0}\}_{\lambda \in \Lambda}$ , which consists of connected sets by 11.D. (Or just repeat the proof of Theorem 11.C.)

**11.F** Using 11.D, prove by induction that  $\bigcup_{-n}^n A_k$  is connected, and apply Theorem 11.C.

**11.G** The union of all connected sets containing a given point is connected (by 11.C) and obviously maximal.

**11.H** Let  $A$  and  $B$  be two connected components with  $A \cap B \neq \emptyset$ . Then  $A \cup B$  is connected by 11.D. By the maximality of connected components, we have  $A \supset A \cup B \subset B$ , whence  $A = A \cup B = B$ .

**11.I**  $\Leftrightarrow$  This is obvious since the component is connected.  $\Leftarrow$  Since the components of the points are not disjoint, they coincide.

**11.K** If  $A$  is a connected component, then its closure  $\text{Cl } A$  is connected by 11.B. Therefore,  $\text{Cl } A \subset A$  by the maximality of connected components. Hence,  $A = \text{Cl } A$ , because the opposite inclusion holds true for any set  $A$ .

**11.M** See 11.10.

**11.N** Passing to the map  $\text{ab } f : X \rightarrow f(X)$ , we see that it suffices to prove the following theorem:

*If  $X$  is a connected space and  $f : X \rightarrow Y$  is a continuous surjection, then  $Y$  is also connected.*

Consider a partition of  $Y$  in two open sets  $U$  and  $V$  and prove that one of them is empty. The preimages  $f^{-1}(U)$  and  $f^{-1}(V)$  are open by continuity of  $f$  and constitute a partition of  $X$ . Since  $X$  is connected, one of them, say  $f^{-1}(U)$ , is empty. Since  $f$  is surjective, we also have  $U = \emptyset$ .

**11.Q**  $\Leftrightarrow$  Let  $X = U \cup V$ , where  $U$  and  $V$  are nonempty disjoint sets open in  $X$ . Set  $f(x) = -1$  for  $x \in U$  and  $f(x) = 1$  for  $x \in V$ . Then  $f$  is continuous and surjective, is it not?  $\Leftrightarrow$  Assume the contrary: let  $X$  be connected. Then  $S^0$  is also connected by 11.N, a contradiction.

**11.R** By Theorem 11.Q, this statement follows from Cauchy Intermediate Value Theorem. However, it is more natural to deduce Intermediate Value Theorem from 11.Q and the connectedness of  $I$ .

Thus assume the contrary: let  $I = [0, 1]$  be disconnected. Then  $[0, 1] = U \cup V$ , where  $U$  and  $V$  are disjoint and open in  $[0, 1]$ . Suppose  $0 \in U$ , consider the set  $C = \{x \in [0, 1] \mid [0, x] \subset U\}$  and put  $c = \sup C$ . Show that each of the possibilities  $c \in U$  and  $c \in V$  gives rise to contradiction. A slightly different proof of Theorem 11.R is sketched in Lemmas 11.R.1 and 11.R.2.

**11.R.1** Use induction: for  $n = 1, 2, 3, \dots$ , put

$$(a_{n+1}, b_{n+1}) := \begin{cases} (\frac{a_n+b_n}{2}, b_n) & \text{if } \frac{a_n+b_n}{2} \in U, \\ (a_n, \frac{a_n+b_n}{2}) & \text{if } \frac{a_n+b_n}{2} \in V. \end{cases}$$

**11.R.2** On the one hand, we have  $c \in U$  since  $c \in \text{Cl}\{a_n \mid n \in \mathbb{N}\}$ , and  $a_n$  belong to  $U$ , which is closed in  $I$ . On the other hand, we have  $c \in V$  since  $c \in \text{Cl}\{b_n \mid n \in \mathbb{N}\}$ , and  $b_n$  belong to  $V$ , which is also closed in  $I$ . The contradiction means that  $U$  and  $V$  cannot be both closed, i.e.,  $I$  is connected.

**11.S** Every open set on a line is a union of disjoint open intervals (see 2.Ax), each of which contains a rational point. Therefore each open subset  $U$  of a line is a union of a countable collection of open intervals. Each of them is open and connected, and thus is a connected component of  $U$  (see 11.T).

**11.T** Apply 11.R and 11.J. (Cf. 11.U and 11.X.)

**11.U** Apply 11.R and 11.J. (Recall that a set  $K \subset \mathbb{R}^n$  is said to be convex if for any  $p, q \in K$  we have  $[p, q] \subset K$ .)

**11.V** Combine 11.R and 11.C.

**11.X**  $\Leftrightarrow$  This is 11.10.  $\Leftarrow$  This is 11.V.

**11.Y** Singletons and all kinds of intervals (including open and closed rays and the whole line).

**11.Y** Use 10.R, 11.U, and, say Theorem 11.B (or 11.I).

**12.A** Since the segment  $[a, b]$  is connected by 11.R, its image is an interval by 11.29. Therefore, it contains all points between  $f(a)$  and  $f(b)$ .

**12.B** Combine 11.N and 11.10.

**12.C** Combine 11.V and 11.29.

**12.D** One of them is connected, while the other one is not.

**12.E** For each of the spaces, find the number of points with connected complement. (This is obviously a topological invariant.)

**12.F** Cf. 12.4.

**13.A** Since the cover  $\{[0, \frac{1}{2}], [\frac{1}{2}, 1]\}$  of  $[0, 1]$  is fundamental and the restriction of  $uv$  to each element of the cover is continuous, the entire map  $uv$  is also continuous.

**13.B** If  $x, y \in I$ , then  $I \rightarrow I : t \mapsto (1 - t)x + ty$  is a path connecting  $x$  and  $y$ .

**13.C** If  $x, y \in \mathbb{R}^n$ , then  $[0, 1] \rightarrow \mathbb{R}^n : t \mapsto (1 - t)x + ty$  is a path connecting  $x$  and  $y$ .

**13.D** Use 10.R and 13.C.

**13.E** Combine 11.R and 11.Q.

**13.7** Use (the formula of) 13.C, 13.A, and 13.5.

**13.F** Let  $x$  and  $y$  be two points in the union, and let  $A$  and  $B$  be the sets in the family that contain  $x$  and  $y$ . If  $A = B$ , there is nothing to prove. If  $A \neq B$ , take  $z \in A \cap B$ , join  $x$  with  $z$  in  $A$  by a path  $u$ , and join  $y$  with  $z$  in  $B$  by a path  $v$ . Then the path  $uv$  joins  $x$  and  $y$  in the union, and it remains to use 13.5.

**13.G** Consider the union of all path-connected sets containing the point and use 13.F. (Cf. 11.G.)

**13.H** Similarly to 11.H, only instead of 11.D use 13.F.

**13.I**  $\Leftrightarrow$  Recall the definition of a path-connected component.  $\Leftarrow$  This follows from (the proof of) 13.G.

**13.J** Let  $X$  be path-connected, let  $f : X \rightarrow Y$  be a continuous map, and let  $y_1, y_2 \in f(X)$ . If  $y_i = f(x_i)$ ,  $i = 1, 2$ , and  $u$  is a path joining  $x_1$  and  $x_2$ , then how can you construct a path joining  $y_1$  and  $y_2$ ?

**13.M** Combine 13.8 and 11.J.

**13.N** By 10.Q,  $A$  is homeomorphic to  $(0, +\infty) \cong \mathbb{R}$ , which is path-connected by 13.C, and so  $A$  is also path-connected by 13.K. Since  $A$  is connected (combine 11.T and 11.O, or use 13.M) and, obviously,  $A \subset X \subset \text{Cl}A$  (what is  $\text{Cl}A$ , by the way?), it follows from 11.15 that  $X$  is also connected.

**13.O** This is especially obvious for  $A$  since  $A \cong (0, \infty)$  (you can also use 11.2).

**13.P** Prove that any path in  $X$  starting at  $(0, 0)$  is constant.

**13.Q** Let  $A$  and  $X$  be as above. Check that  $A$  is dense in  $X$  (cf. the solution to 13.N) and plug in Problems 13.N and 13.P.

**13.R** See 13.Q.

**13.S** Let  $C$  be a path-connected component of  $X$ ,  $x \in C$  an arbitrary point. If  $U_x$  is a path-connected neighborhood of  $x$ , then  $U_x$  lies entirely in  $C$  (by the definition of a path-connected component!), and so  $x$  is an interior point of  $C$ , which is thus open.

**13.T**  $\Leftrightarrow$  This is 13.M.  $\Leftarrow$  Since path-connected components of  $X$  are open (see Problem 13.S) and  $X$  is connected, there can be only one path-connected component.

**13.U** This follows from 13.T because spherical neighborhoods in  $\mathbb{R}^n$  (i.e., open balls) are path-connected (by 13.6 or 13.7).

**14.A** If  $r_1 + r_2 \leq \rho(x_1, x_2)$ , then the balls  $B_{r_1}(x_1)$  and  $B_{r_2}(x_2)$  are disjoint.

**14.B** Certainly,  $I$  is Hausdorff since it is metrizable. The intervals  $[0, \frac{1}{2})$  and  $(\frac{1}{2}, 1]$  are disjoint neighborhoods of 0 and 1, respectively.

**14.C**  $\Rightarrow$  If  $y \neq x$ , then there exist disjoint neighborhoods  $U_x$  and  $V_y$ . Therefore,  $y \notin \text{Cl}U_x$ , whence  $y \notin \bigcap_{U \ni x} \text{Cl}U$ .

$\Leftarrow$  If  $y \neq x$ , then  $y \notin \bigcap_{U \ni x} \text{Cl}U$ , it follows that there exists a neighborhood  $U_x$  such that  $y \notin \text{Cl}U_x$ . Set  $V_y = X \setminus \text{Cl}U_x$ .

**14.D** Assume the contrary: let  $x_n \rightarrow a$  and  $x_n \rightarrow b$ , where  $a \neq b$ . Let  $U$  and  $V$  be disjoint neighborhoods of  $a$  and  $b$ , respectively. Then for sufficiently large  $n$  we have  $x_n \in U \cap V = \emptyset$ , a contradiction.

**14.E** A neighborhood of a point in  $\mathbb{R}_{T_1}$  has the form  $U = \mathbb{R} \setminus \{x_1, \dots, x_N\}$ , where, say,  $x_1 < x_2 < \dots < x_N$ . Then, obviously,  $a_n \in U$  for each  $n > x_N$ .

**14.F** Assume that  $X$  is a space,  $A \subset X$  is a subspace, and  $x, y \in A$  are two distinct points. If  $X$  is Hausdorff, then  $x$  and  $y$  have disjoint neighborhoods  $U$  and  $V$  in  $X$ . In this case,  $U \cap A$  and  $V \cap A$  are disjoint neighborhoods of  $x$  and  $y$  in  $A$ . (Recall the definition of the relative topology!)

**14.G** (a)  $\Leftrightarrow$  Let  $X$  satisfy  $T_1$  and let  $x \in X$ . By Axiom  $T_1$ , each point  $y \in X \setminus x$  has a neighborhood  $U$  that does not contain  $x$ , i.e.,  $U \subset X \setminus x$ , which means that all points in  $X \setminus x$  are inner. Therefore,  $X \setminus x$  is open, and so its complement  $\{x\}$  is closed.  $\Leftarrow$  If singletons in  $X$  are closed and  $x, y \in X$  are two distinct points, then  $X \setminus x$  is a neighborhood of  $y$  that does not contain  $x$ , as required in  $T_1$ .

(b)  $\Leftrightarrow$  If singletons in  $X$  are closed, then so are finite subsets of  $X$ , which are finite unions of singletons.  $\Leftarrow$  Obvious.

**14.H** Combine 14.12 and 14.G.

**14.I** Combine 14.A and 14.12.

**14.J** Each point in  $\mathbb{R}_{T_1}$  is closed, as required by  $T_1$ , but any two nonempty sets intersect, which contradicts  $T_2$ .

**14.K** Combine 14.G and 5.4, and once more use 14.G; or just modify the proof of 14.F.

**14.N** (a)  $\Rightarrow$  (b) Actually,  $T_0$  precisely says that at least one of the points does not lie in the closure of the other (to see this, use Theorem 6.F). (b)  $\Rightarrow$  (a) Use the above reformulation of  $T_0$  and the fact that if  $x \in \text{Cl}\{y\}$  and  $y \in \text{Cl}\{x\}$ , then  $\text{Cl}\{x\} = \text{Cl}\{y\}$ .

(a)  $\Leftrightarrow$  (c) This is obvious. (Recall the definition of the relative topology!)

(c)  $\Leftrightarrow$  (d) This is also obvious.

**14.O**  $\Leftrightarrow$  This is obvious.  $\Leftarrow$  Let  $X$  be a  $T_0$  space such that each point  $x \in X$  has a smallest neighborhood  $C_x$ . Then we say that  $x \preceq y$  if  $y \in C_x$ . Let us verify the axioms of order. Reflexivity is obvious. Transitivity: assume that  $x \preceq y$  and  $y \preceq z$ . Then  $C_x$  is a neighborhood of  $y$ , whence  $C_y \subset C_x$ , and so also  $z \in C_x$ , which means that  $x \preceq z$ . Antisymmetry: if  $x \preceq y$  and  $y \preceq x$ , then  $y \in C_x$  and  $x \in C_y$ , whence  $C_x = C_y$ . By  $T_0$ , this is possible only if  $x = y$ . Verify that this order generates the initial topology.

**14.P** Let  $X$  be a regular space, and let  $x, y \in X$  be two distinct points. Since  $X$  satisfies  $T_1$ , the singleton  $\{y\}$  is closed, and so we can apply  $T_3$  to  $x$  and  $\{y\}$ .

**14.Q**  $\Leftrightarrow$  See Problem 14.P.  $\Leftarrow$  See Problem 14.12.

**14.R** Let  $X$  be a metric space,  $x \in X$ , and  $r > 0$ . Prove that, e.g.,  $\text{Cl} B_r(x) \subset B_{2r}(x)$ , and use 14.19.

**14.S** Apply  $T_4$  to a closed set and a singleton, which is also closed by  $T_1$ .

**14.T**  $\Leftrightarrow$  See Problem 14.S.  $\Leftarrow$  See Problem 14.12.

**14.U** Let  $A$  and  $B$  be two disjoint closed sets in a metric space  $(X, \rho)$ . Then, obviously,  $A \subset U = \{x \in X \mid \rho(x, A) < \rho(x, B)\}$  and  $B \subset V = \{x \in X \mid \rho(x, A) > \rho(x, B)\}$ .  $U$  and  $V$  are open (use 9.L) and disjoint.

**14.Ax.1** Put  $U_1 = X \setminus B$ . Since  $X$  is normal, there exists an open neighborhood  $U_0 \supset A$  such that  $\text{Cl}U_0 \subset U_1$ . Let  $U_{1/2}$  be an open neighborhood of  $\text{Cl}U_0$  such that  $\text{Cl}U_{1/2} \subset U_1$ . Repeating the process, we obtain the required collection  $\{U_p\}_{p \in \Lambda}$ .

**14.Ax** Put  $f(x) = \inf\{\lambda \in \Lambda \mid x \in \text{Cl}U_\lambda\}$ . We easily see that  $f$  is continuous.

**14.Bx** Slightly modify the proof of 14.9x, using Urysohn Lemma 14.Ax instead of 14.9x.1.

**15.A** Let  $f : X \rightarrow \mathbb{N}$  be an injection and let  $A \subset X$ . Then the restriction  $f|_A : A \rightarrow \mathbb{N}$  is also an injection. Use 15.1.

**15.B** Let  $X$  be a countable set, and let  $f : X \rightarrow Y$  be a map. Taking each  $y \in f(X)$  to a point in  $f^{-1}(y)$ , we obtain an injection  $f(X) \rightarrow X$ . Hence,  $f(X)$  is countable by 15.1.

**15.D** Suggest an algorithm (or even a formula!) for enumerating elements in  $\mathbb{N}^2$ .

**15.E** Use 15.D.

**15.G** Derive this from 6.44.

**15.H** Construct a countable set  $A$  intersecting each base set (at least) at one point and prove that  $A$  is everywhere dense.

**15.I** Let  $X$  be a second countable space,  $A \subset X$  a subspace. If  $\{U_i\}_1^\infty$  is a countable base in  $X$ , then  $\{U_i \cap A\}_1^\infty$  is a countable base in  $A$ . (See 5.1.)

**15.J** Show that if the set  $A = \{x_n\}_{n=1}^\infty$  is everywhere dense, then the collection  $\{B_r(x) \mid x \in A, r \in \mathbb{Q}, r > 0\}$  is a countable base of  $X$ . (Use Theorems 4.I and 3.A to show that this is a base and 15.E to show that it is countable.)

**15.L** Use 15.K and 15.I.

**15.M** By 15.K and 15.I (or, more to the point, combine 15.J, 15.I, and 15.H), it is sufficient to find a countable everywhere-dense set in  $\mathbb{R}^n$ . For example, take  $\mathbb{Q}^n = \{x \in \mathbb{R}^n \mid x_i \in \mathbb{Q}, i = 1, \dots, n\}$ . To see that  $\mathbb{Q}^n$  is dense in  $\mathbb{R}^n$ , use the metric  $\rho^{(\infty)}$ . To see that  $\mathbb{Q}^n$  is countable, use 15.F and 15.E.

**15.N** Use 9.15.

**15.O** Let  $X$  be the space, let  $\{U\}$  be a countable base in  $X$ , and let  $\Gamma = \{V\}$  be a cover of  $X$ . Let  $\{U_i\}_{i=1}^{\infty}$  be the base sets that are contained in at least element of the cover: let  $U_i \subset V_i$ . Using the definition of a base, we easily see that  $\{U_i\}_{i=1}^{\infty}$  is a cover of  $X$ . Then  $\{V_i\}_{i=1}^{\infty}$  is the required countable subcovering of  $\Gamma$ .

**15.P** Use 3.A.

**15.Q** Use 15.12

**15.R** Use 15.P and 15.A.

**15.S** Consider an uncountable discrete space.

**15.T** If  $x_n \in A$  and  $x_n \rightarrow a$ , then, obviously,  $a$  is an adherent point for  $A$ .

**15.U** Let  $a \in \text{Cl} A$ , and let  $\{U_n\}_{n \in \mathbb{N}}$  be a decreasing neighborhood base at  $a$  (see 15.16). For each  $n$ , there is  $x_n \in U_n \cap A$ , and we easily see that  $x_n \rightarrow a$ .

**15.V** Indeed, let  $f : X \rightarrow Y$  be a continuous map, let  $b \in X$ , and let  $a_n \rightarrow b$  in  $X$ . We must prove that  $f(a_n) \rightarrow f(b)$  in  $Y$ . Let  $V \subset Y$  be a neighborhood of  $f(b)$ . Since  $f$  is continuous,  $f^{-1}(V) \subset X$  is a neighborhood of  $b$ , and since  $a_n \rightarrow b$ , we have  $a_n \in f^{-1}(V)$  for  $n > N$ . Then also  $f(a_n) \in V$  for  $n > N$ , as required.

**15.W** Assume that  $f : X \rightarrow Y$  is a sequentially continuous map and  $A \subset Y$  is a sequentially closed set. To prove that  $f^{-1}(A)$  is sequentially closed, we must prove that if  $\{x_n\} \subset f^{-1}(A)$  and  $x_n \rightarrow a$ , then  $a \in f^{-1}(A)$ . Since  $f$  is sequentially continuous, we have  $f(x_n) \rightarrow f(a)$ , and since  $A$  is sequentially closed, we have  $f(a) \in A$ , whence  $a \in f^{-1}(A)$ , as required.

**15.X** It suffices to check that if  $F \subset Y$  is a closed set, then so is the preimage  $f^{-1}(F) \subset X$ , i.e.,  $\text{Cl}(f^{-1}(F)) \subset f^{-1}(F)$ . Let  $a \in \text{Cl}(f^{-1}(F))$ . Since  $X$  is first countable, we also have  $a \in \text{SCL}(f^{-1}(F))$  (see 15.U), and so there is a sequence  $\{x_n\} \subset f^{-1}(F)$  such that  $x_n \rightarrow a$ , whence  $f(x_n) \rightarrow f(a)$  because  $f$  is sequentially continuous. Since  $F$  is closed, we have  $f(a) \in F$  (by 15.T), i.e.,  $a \in f^{-1}(F)$ , as required.

**15.Ax** Since  $l_2$  is a metric space, it is sufficient to prove that  $l_2$  is separable (see 15.K), i.e., to find a countable everywhere dense set  $A \subset l_2$ . The first idea here might be to consider the set of sequences with rational components, but this set is uncountable! Instead of this, let  $A$  be the set of all rational sequences  $\{x_i\}$  such that  $x_i = 0$  for all sufficiently large  $i$ . (To show that  $A$  is countable, use 15.F and 15.E. To show that  $A$  is everywhere dense, use the fact that if a series  $\sum x_i^2$  converges, then for each  $\varepsilon > 0$  there is  $k$  such that  $\sum_{i=k}^{\infty} x_i^2 < \varepsilon$ .)

**16.A** Each of the spaces has only a finite number of open sets, and so each open cover is finite.

**16.B** Only the finite ones. (Consider the cover consisting of all singletons.)

**16.C** Consider the cover of  $\mathbb{R}$  by the open intervals  $(-n, n)$ ,  $n \in \mathbb{N}$ .

**16.D** The latter condition is precisely the negation of compactness.

**16.E** This follows from the Lindelöf theorem 15.O.

**16.F** This follows from the second De Morgan formula (see 2.E). Indeed,  $\bigcap A_\lambda \neq \emptyset$  iff  $\bigcup (X \setminus A_\lambda) = X \setminus \bigcap A_\lambda \neq X$ .

**16.G**  $\Leftrightarrow$  Let  $X$  be a compact space and let  $\Gamma = \{F_\lambda\}$  be a family of closed subsets of  $X$  with the finite intersection property. Assume the contrary: let  $\bigcap F_\lambda = \emptyset$ . Then by the second De Morgan formula we have  $\bigcup (X \setminus F_\lambda) = X \setminus \bigcap F_\lambda = X$ , i.e.,  $\{X \setminus F_\lambda\}$  is an open cover of  $X$ . Since  $X$  is compact, this cover contains a finite subcovering:  $\bigcup_1^n (X \setminus F_i) = X$ , whence  $\bigcap_1^n F_i = \emptyset$ , which contradicts the finite intersection property of  $\Gamma$ .

$\Leftarrow$  Prove the converse implication on your own.

**16.H**  $\Leftrightarrow$  Let  $\Gamma = \{U_\alpha\}$  be a cover of  $A$  by open subsets of  $X$ . Since  $A$  is a compact set, the cover of  $A$  with the sets  $A \cap U_\alpha$  contains a finite subcovering  $\{A \cap U_{\alpha_i}\}_1^n$ . Hence  $\{U_{\alpha_i}\}$  is a finite subcovering of  $\Gamma$ .

$\Leftarrow$  Prove the converse implication on your own.

**16.I** Certainly not.

**16.J** Let  $X$  be a compact space,  $F \subset X$  a closed subset, and  $\{U_\alpha\}$  an open cover of  $A$ . Then  $\{X \setminus F\} \cup \{U_\alpha\}$  is an open cover of  $X$ , which contains a finite subcovering  $\{X \setminus F\} \cup \{U_i\}_1^n$ . Clearly,  $\{U_i\}_1^n$  is a cover of  $F$ .

**16.K** This follows from 16.L.

**16.L** Since  $X$  is Hausdorff, for each  $x \in A$  the points  $x$  and  $b$  possess disjoint neighborhoods  $U_x$  and  $V_b(x)$ . Obviously,  $\{U_x\}_{x \in A}$  is an open cover of  $A$ . Since  $A$  is compact, the cover contains a finite subcovering  $\{U_{x_i}\}_1^n$ . Put  $U = \bigcup_1^n U_{x_i}$  and  $V = \bigcap_1^n V_b(x_i)$ . Then  $U$  and  $V$  are the required sets. (Check that they are disjoint.)

**16.M** Combine 16.J and 16.L.

**16.N** This follows from 16.O.

**16.O** (Cf. the proof of Lemma 16.L.) Let  $X$  be a Hausdorff space, and let  $A, B \subset X$  be two compact sets. By Lemma 16.L, each  $x \in B$  has a neighborhood  $V_x$  disjoint with a certain neighborhood  $U(x)$  of  $A$ . Obviously,  $\{V_x\}_{x \in B}$  is an open cover of  $B$ . Since  $B$  is compact, the cover contains a finite subcovering  $\{V_{x_i}\}_1^n$ . Put  $V = \bigcup_1^n V_{x_i}$  and  $U = \bigcap_1^n U_b(x_i)$ . Then  $U$  and  $V$  are the required neighborhoods. (Check that they are disjoint.)

**16.P** Let us argue by contradiction. If  $I$  is not compact, then  $I$  has a cover  $\Gamma_0$  such that no finite part of  $\Gamma_0$  covers  $I$  (see 16.D). We bisect  $I$

and denote by  $I_1$  the half that also is not covered by any finite part of  $\Gamma_0$ . Then we bisect  $I_1$ , etc. As a result, we obtain a sequence of nested segments  $I_n$ , where the length of  $I_n$  is equal to  $2^{-n}$ . By the completeness axiom, they have a unique point in common:  $\bigcap_1^\infty I_n = \{x_0\}$ . Consider an element  $U_0 \in \Gamma_0$  containing  $x_0$ . Since  $U_0$  is open, we have  $I_n \subset U_0$  for sufficiently large  $n$ , in contradiction to the fact that, by construction,  $I_n$  is covered by no finite part of  $\Gamma_0$ .

**16.Q** Repeat the argument used in the proof of Theorem 16.P, only instead of bisecting the segment each time subdivide the current cube into  $2^n$  equal smaller cubes.

**16.R** Consider the cover by open balls,  $\{B_n(x_0)\}_{n=1}^\infty$ .

**16.S** Let, e.g.,  $X = [0, 1) \cup [2, 3]$ . (Or just put  $X = [0, 1)$ .) The set  $[0, 1)$  is bounded, it is also closed in  $X$ , but it is not compact.

**16.T**  $\Leftrightarrow$  Combine Theorems 14.A, 16.K, and 16.R.

$\Leftrightarrow$  If a subset  $F \subset \mathbb{R}^n$  is bounded, then  $F$  lies in a certain cube, which is compact (see Theorem 16.Q). If, in addition,  $F$  is closed, then  $F$  is also compact by 16.J.

**16.U** We use Theorem 16.H. Let  $\Gamma = \{U_\lambda\}$  be a cover of  $f(X)$  by open subsets of  $Y$ . Since  $f$  is continuous,  $\{f^{-1}(U_\lambda)\}$  is an open cover of  $X$ . Since  $X$  is compact, this cover has a finite subcovering  $\{f^{-1}(U_{\lambda_i})\}_{i=1}^n$ . Then  $\{U_{\lambda_i}\}_{i=1}^n$  is a finite subcovering of  $\Gamma$ .

**16.V** By 16.U and 16.T, the set  $f(X) \subset \mathbb{R}$  is closed and bounded. Since  $f(X)$  is bounded, there exist finite numbers  $m = \inf f(X)$  and  $M = \sup f(X)$ , whence, in particular,  $m \leq f(x) \leq M$ . Since  $f(X)$  is closed, we have  $m, M \in f(X)$ , whence it follows that there are  $a, b \in X$  with  $f(a) = m$  and  $f(b) = M$ , as required.

**16.W** This follows from 16.23: consider the cover  $\{f^{-1}(U) \mid U \in \Gamma\}$  of  $X$ .

**16.X** This immediately follows from 16.J, 16.K, and 16.U.

**16.Y** Combine 16.X and 16.24.

**16.Z** See Problem 16.Y.

**17.A.1**  $\Leftrightarrow$  This is obvious.  $\Leftrightarrow$  Let  $x$  be a limit point. If  $x$  is not an accumulation point of  $A$ , then  $x$  has a neighborhood  $U_x$  such that the set  $U_x \cap A$  is finite. Show that  $x$  has a neighborhood  $W_x$  such that  $(W_x \setminus x) \cap A = \emptyset$ .

**17.A.2** Argue by contradiction: consider the cover of the space by neighborhoods having finite intersections with the infinite set.

**17.A.3** Let  $X$  be a space, and let  $\{a_n\}$  be a sequence of points in  $X$ . Let  $A$  be the set of all points in the sequence. If  $A$  is finite, there is not

much to prove. So, we assume that  $A$  is infinite. By Theorem 17.A.2,  $A$  has an accumulation point  $x_0$ . Let  $\{U_n\}$  be a countable neighborhood base of  $x_0$  and  $x_{n_1} \in U_1 \cap A$ . Since the set  $U_2 \cap A$  is infinite, there is  $n_2 > n_1$  such that  $x_{n_2} \in U_2 \cap A$ . Prove that the subsequence  $\{x_{n_k}\}$  thus constructed converges to  $x_0$ . If  $A$  is finite, then the argument simplifies a great deal.

**17.B.1** Consider a sequence  $\{x_n\}$ ,  $x_n \in F_n$  and show that if  $x_{n_k} \rightarrow x_0$ , then  $x_n \in F_n$  for all  $n \in \mathbb{N}$ .

**17.B.2**  $\Leftrightarrow$  Let  $\{F_k\} \subset X$  be a sequence of closed sets the finite intersection property. Then  $\{\bigcap_1^n F_k\}$  is a nested sequence of nonempty closed sets, whence  $\bigcap_1^\infty F_k \neq \emptyset$ .  $\Leftarrow$  This is obvious.

**17.B.3** By the Lindelöf theorem 15.O, it is sufficient to consider countable covers  $\{U_n\}$ . If no finite collection of sets in this cover is not a cover, then the closed sets  $F_n = X \setminus U_n$  form a collection with the finite intersection property.

**17.C** This follows from 17.B and 17.A.

**17.D** Reformulate the definition of an  $\varepsilon$ -net:  $A$  is an  $\varepsilon$ -net if  $\{B_\varepsilon(x)\}_{x \in A}$  is a cover of  $X$ . Now the proof is obvious.

**17.E** We argue by contradiction. If  $\{x_i\}_{i=1}^{k-1}$  is not an  $\varepsilon$ -net, then there is a point  $x_k$  such that  $\rho(x_i, x_k) \geq \varepsilon$ ,  $i = 1, \dots, k-1$ . As a result, we obtain a sequence in which the distance between any two points is at least  $\varepsilon$ , and so it has no convergent subsequences.

**17.F**  $\Leftrightarrow$  This is obvious because open balls in a metric space are open sets.  $\Leftarrow$  Use the definition of the metric topology.

**17.G** The union of finite  $\frac{1}{n}$ -nets of the space is countable and everywhere dense. (see 17.E).

**17.H** Use 13.82.

**17.I** If  $X$  is compact, then  $X$  is sequentially compact by 17.A. If  $X$  is sequentially compact, then  $X$  is separable, and hence  $X$  has a countable base. Then 17.C implies that  $X$  is compact.

**17.J** Assume that  $\{x_n\}$  is a Cauchy sequence and its subsequence  $x_{n_k}$  converges to a point  $a$ . Find a number  $m$  such that  $\rho(x_l, x_k) < \frac{\varepsilon}{2}$  for  $k, l \geq m$ , and  $i$  such that  $n_i > m$  and  $\rho(x_{n_i}, a) < \frac{\varepsilon}{2}$ . Then for all  $l \geq m$  we have the inequality  $\rho(x_l, a) \leq \rho(x_l, x_{n_i}) + \rho(x_{n_i}, a) < \varepsilon$ .

**17.K**  $\Leftarrow$  Obvious.  $\Rightarrow$  Let  $\{x_n\}$  be a Cauchy sequence. Let  $n_1$  be such that  $\rho(x_n, x_m) < \frac{1}{2}$  for all  $n, m \geq n_1$ . Therefore,  $x_n \in B_{1/2}(x_{n_1})$  for all  $n \geq n_1$ . Further, take  $n_2 > n_1$  so that  $\rho(x_n, x_m) < \frac{1}{4}$  for all  $n, m \geq n_2$ , then  $B_{1/4}(x_{n_2}) \subset B_{1/2}(x_{n_1})$ . Proceeding the construction, we obtain a sequence

of decreasing disks such that their unique common point  $x_0$  satisfies  $x_n \rightarrow x_0$ .

**17.L** Let  $\{x_n\}$  be a Cauchy sequence of points of a compact metric space  $X$ . Since  $X$  is also sequentially compact,  $\{x_n\}$  contains a convergent subsequence, and then the initial sequence also converges.

**17.M**  $\Leftrightarrow$  Each compact space contains a finite  $\varepsilon$ -net.

$\Leftarrow$  Let us show that the space is sequentially compact. Consider an arbitrary sequence  $\{x_n\}$ . We denote by  $A_n$  a finite  $\frac{1}{n}$ -net in  $X$ . Since  $X = \bigcup_{x \in A_1} B_1(x)$ , one of the balls contains infinitely many points of the sequence; let  $x_{n_1}$  be the first of them. From the remaining members lying in the first ball, we let  $x_{n_2}$  be the first one of those lying in the ball  $B_{1/2}(x)$ ,  $x \in A_2$ . Proceeding with this construction, we obtain a subsequence  $\{x_{n_k}\}$ . Let us show that the latter is fundamental. Since by assumption the space is complete, the constructed sequence has a limit. We have thus proved that the space is sequentially compact, hence, it is also compact.

**17.N**  $\Leftarrow$  Obvious.  $\Rightarrow$  This follows from assertion 17.M because an  $\frac{\varepsilon}{2}$ -net for a  $\frac{\varepsilon}{2}$ -net is an  $\varepsilon$ -net for the entire space.

**18.Ax** No, it is not: consider  $\mathbb{Q} \subset \mathbb{R}$ .

**18.Bx** Let  $X$  be a locally compact space,  $F \subset X$  a closed subset space,  $x \in F$ . Let  $U_x \subset X$  be a neighborhood of  $x$  with compact closure. Then  $U_x \cap F$  is a neighborhood of  $x$  in  $F$ . Since  $F$  is closed, the set  $\text{Cl}_F(U \cap F) = (\text{Cl}U) \cap F$  (see 6.3) is compact as a closed subset of a compact set.

**18.Cx** No, this is wrong in general. Take any space  $(X, \Omega)$  that is not locally compact (e.g., let  $X = \mathbb{Q}$ ). We put  $X^* = X \cup x_*$  and  $\Omega^* = \{X^*\} \cup \Omega$ . The space  $(X^*, \Omega^*)$  is compact for a trivial reason (which one?), hence, it is locally compact. Now,  $X$  is an open subset of  $X^*$ , but it is not locally compact by our choice of  $X$ .

**18.Dx** Let  $X$  be the space,  $W$  be a neighborhood of a point  $x \in X$ . Let  $U_0$  be a neighborhood of  $x$  with compact closure. Since  $X$  is Hausdorff, it follows that  $\{x\} = \bigcap_{U \ni x} \text{Cl}U$ , whence  $\{x\} = \bigcap_{U \ni x} (\text{Cl}U_0 \cap \text{Cl}U)$ . Since each of the sets  $\text{Cl}U_0 \cap \text{Cl}U$  is compact, 16.11 implies that  $x$  has neighborhoods  $U_1, \dots, U_n$  such that  $\text{Cl}U_0 \cap \text{Cl}U_1 \cap \dots \cap \text{Cl}U_n \subset W$ . Put  $V = U_0 \cap U_1 \cap \dots \cap U_n$ . Then  $\text{Cl}V \subset W$ . Therefore, each neighborhood of  $x$  contains the closure of a certain neighborhood (a “closed neighborhood”) of  $x$ . By 14.19,  $X$  is regular.

**18.Ex** Let  $X$  be the space,  $V \subset X$  the open subset,  $x \in V$  a point. Let  $U$  be a neighborhood of  $x$  such that  $\text{Cl}U$  is compact. By 18.Dx and 14.19,  $x$  has a neighborhood  $W$  such that  $\text{Cl}W \subset U \cap V$ . Therefore,  $\text{Cl}_V W = \text{Cl}W$  is compact, and so the space  $V$  is locally compact.

**18.Fx**  $\Leftrightarrow$  Obvious.  $\Leftarrow$  See the idea used in 18.Ex.

**18.Gx** Since  $\emptyset$  is both open and compact in  $X$ , we have  $\emptyset, X^* \in \Omega^*$ . Let us verify that unions and finite intersections of subsets in  $\Omega^*$  lie in  $\Omega^*$ . This is obvious for subsets in  $\Omega$ . Let  $X^* \setminus K_\lambda \in \Omega^*$ , where  $K_\lambda \subset X$  are compact sets,  $\lambda \in \Lambda$ . Then we have  $\bigcup(X^* \setminus K_\lambda) = X^* \setminus \bigcap K_\lambda \in \Omega^*$  because  $X$  is Hausdorff and so  $\bigcap K_\lambda$  is compact. Similarly, if  $\Lambda$  is finite, then we also have  $\bigcap(X^* \setminus K_\lambda) = X^* \setminus \bigcup K_\lambda \in \Omega^*$ . Therefore, it suffices to consider the case where a set in  $\Omega^*$  and a set in  $\Omega$  are united (intersected). We leave this as an exercise.

**18.Hx** Let  $U = X^* \setminus K_0$  be an element of the cover that contains the added point. Then the remaining elements of the cover provide an open cover of the compact set  $K_0$ .

**18.Ix** In other words, the topology of  $X^*$  induced on  $X$  the initial topology of  $X$  (i.e.,  $\Omega^* \cap 2^X = \Omega$ ). We must check that there arise no new open sets in  $X$ . This is true because compact sets in the Hausdorff space  $X$  are closed.

**18.Jx** If  $x, y \in X$ , this is obvious. If, say,  $y = x_*$  and  $U_x$  is a neighborhood of  $x$  with compact closure, then  $U_x$  and  $X \setminus \text{Cl}U_x$  are neighborhoods separating  $x$  and  $x_*$ .

**18.Kx** Let  $X^* \setminus X = \{x_*\}$  and  $Y \setminus X = \{y\}$ . We have an obvious bijection

$$f : Y \rightarrow X^* : x \mapsto \begin{cases} x & \text{if } x \in X, \\ x_* & \text{if } x = y. \end{cases}$$

If  $U \subset X^*$  and  $U = X^* \setminus K$ , where  $K$  is a compact set in  $X$ , then the set  $f^{-1}(U) = Y \setminus K$  is open in  $Y$ . Therefore,  $f$  is continuous. It remains to apply 16.Y.

**18.Lx** Verify that if an open set  $U \subset S^2$  contains the “North Pole”  $(0, 0, 1)$  of  $S^2$ , then the complement of the image of  $U$  under the stereographic projection is compact in  $\mathbb{R}^2$ .

**18.Mx**  $X^*$  is compact and Hausdorff by 18.Hx and 18.Jx, therefore,  $X^*$  is regular by 16.M. Since  $X$  is a subspace of  $X^*$  by 18.Ix, it remains to use the fact that regularity is hereditary by 14.20. (Also try to prove the required assertion without using the one-point compactification.)

**18.Nx**  $\Leftrightarrow$  If  $f^*$  is continuous, then, obviously, so is  $f$  (by 18.Ix). Let  $K \subset Y$  be a compact set, and let  $U = Y \setminus K$ . Since  $f^*$  is continuous, the set  $(f^*)^{-1}(U) = X^* \setminus f^{-1}(K)$  is open in  $X^*$ , i.e.,  $f^{-1}(K)$  is compact in  $X$ . Therefore,  $f$  is proper.  $\Leftarrow$  Use a similar argument.

**18.Ox** Let  $f^* : X^* \rightarrow Y^*$  be the canonical extension of a map  $f : X \rightarrow Y$ . Prove that if  $F$  is closed in  $X$ , then  $F \cup \{x_*\}$  is closed in  $X^*$ , and hence compact. After that, use 18.Nx, 16.X, and 18.Ix.

**18.Px** A proper injection of a Hausdorff space into a locally compact Hausdorff space is a topological embedding. A proper bijection of a Hausdorff space onto a locally compact Hausdorff space is a homeomorphism.

**18.Qx** Let  $\Gamma$  be a locally finite cover, and let  $\Delta$  be a cover of  $X$  by neighborhoods each of which meets only a finite number of sets in  $\Gamma$ . Since  $X$  is compact, we can assume that  $\Delta$  is finite. In this case, obviously,  $\Gamma$  is also finite.

**18.Rx** Cover  $\mathbb{R}^n$  by the balls  $B_n(0)$ ,  $n \in \mathbb{N}$ .

**18.Sx** Use a locally finite covering of  $\mathbb{R}^n$  by equal open cubes.

**18.Tx** Cf. 18.17x.

**18.Ux** This is obvious.

**18.Vx** This is 18.Sx.

**18.Wx** Let  $\Gamma$  be an open cover of  $X$ . Since each of the sets  $K_i = X_i \setminus \text{Int } X_{i-1}$  is compact,  $\Gamma$  contains a finite subcovering  $\Gamma_i$  of  $K_i$ . Observe that the sets  $W_i = \text{Int } X_{i+1} \setminus X_{i-2} \supset K_i$  form a locally finite open cover of  $X$ . Intersecting for each  $i$  elements of  $\Gamma_i$  with  $W_i$ , we obtain a locally finite refinement of  $\Gamma$ .

**18.Xx** Using assertion 18.6x, construct a sequence of open sets  $U_i$  such that for each  $i$  the closure  $X_i := \text{Cl } U_i$  is compact and lies in  $U_{i+1} \subset \text{Int } X_{i+1}$ . After that, apply 18.Wx.

**18.Yx** Let  $\Gamma = \{U_\alpha\}$  be the cover. By 18.18x, there exists an open cover  $\Delta = \{V_\alpha\}$  such that  $\text{Cl } V_\alpha \subset U_\alpha$  for each  $\alpha$ . Let  $\varphi_\alpha : X \rightarrow I$  be an Urysohn function with  $\text{supp } \varphi_\alpha = X \setminus U_\alpha$  and  $\varphi_\alpha^{-1}(1) = \text{Cl } V_\alpha$  (see 14.Ax). Put  $\varphi(x) = \sum_\alpha \varphi_\alpha(x)$ . Then the collection  $\{\varphi_\alpha(x)/\varphi(x)\}$  is the required partition of unity.



# Topological Constructions

## 19. Multiplication

### 19°1. Set-Theoretic Digression: Product of Sets

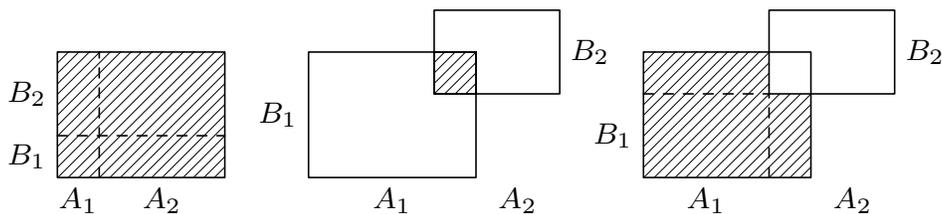
Let  $X$  and  $Y$  be sets. The set of ordered pairs  $(x, y)$  with  $x \in X$  and  $y \in Y$  is called the *direct product* or *Cartesian product* or just *product* of  $X$  and  $Y$  and denoted by  $X \times Y$ . If  $A \subset X$  and  $B \subset Y$ , then  $A \times B \subset X \times Y$ . Sets  $X \times b$  with  $b \in Y$  and  $a \times Y$  with  $a \in X$  are *fibers* of the product  $X \times Y$ .

**19.A.** Prove that for any  $A_1, A_2 \subset X$  and  $B_1, B_2 \subset Y$  we have

$$(A_1 \cup A_2) \times (B_1 \cup B_2) = (A_1 \times B_1) \cup (A_1 \times B_2) \cup (A_2 \times B_1) \cup (A_2 \times B_2),$$

$$(A_1 \times B_1) \cap (A_2 \times B_2) = (A_1 \cap A_2) \times (B_1 \cap B_2),$$

$$(A_1 \times B_1) \setminus (A_2 \times B_2) = ((A_1 \setminus A_2) \times B_1) \cap (A_1 \times (B_1 \setminus B_2)).$$



The natural maps

$$\text{pr}_X : X \times Y \rightarrow X : (x, y) \mapsto x \quad \text{and} \quad \text{pr}_Y : X \times Y \rightarrow Y : (x, y) \mapsto y$$

are (*natural*) *projections*.

**19.B.** Prove that  $\text{pr}_X^{-1}(A) = A \times Y$  for any  $A \subset X$ .

**19.1.** Find the corresponding formula for  $B \subset Y$ .

### 19°2. Graphs

A map  $f : X \rightarrow Y$  determines a subset  $\Gamma_f$  of  $X \times Y$  defined by  $\Gamma_f = \{(x, f(x)) \mid x \in X\}$ , it is called the *graph* of  $f$ .

**19.C.** A set  $\Gamma \subset X \times Y$  is the graph of a map  $X \rightarrow Y$  iff for each  $a \in X$  the intersection  $\Gamma \cap (a \times Y)$  is one-point.

**19.2.** Prove that for any map  $f : X \rightarrow Y$  and any set  $A \subset X$ , we have

$$f(A) = \text{pr}_Y(\Gamma_f \cap (A \times Y)) = \text{pr}_Y(\Gamma_f \cap \text{pr}_X^{-1}(A))$$

and  $f^{-1}(B) = \text{pr}_X(\Gamma_f \cap (X \times B))$  for any  $B \subset Y$ .

The set  $\Delta = \{(x, x) \mid x \in X\} = \{(x, y) \in X \times X \mid x = y\}$  is the *diagonal* of  $X \times X$ .

**19.3.** Let  $A$  and  $B$  be two subsets of  $X$ . Prove that  $(A \times B) \cap \Delta = \emptyset$  iff  $A \cap B = \emptyset$ .

**19.4.** Prove that the map  $\text{pr}_X|_{\Gamma_f}$  is bijective.

**19.5.** Prove that  $f$  is injective iff  $\text{pr}_Y|_{\Gamma_f}$  is injective.

**19.6.** Consider the map  $T : X \times Y \rightarrow Y \times X : (x, y) \mapsto (y, x)$ . Prove that  $\Gamma_{f^{-1}} = T(\Gamma_f)$  for any invertible map  $f : X \rightarrow Y$ .

### 19°3. Product of Topologies

Let  $X$  and  $Y$  be two topological spaces. If  $U$  is an open set of  $X$  and  $B$  is an open set of  $Y$ , then we say that  $U \times V$  is an *elementary* set of  $X \times Y$ .

**19.D.** The set of elementary sets of  $X \times Y$  is a base of a topological structure in  $X \times Y$ .

The *product* of two spaces  $X$  and  $Y$  is the set  $X \times Y$  with the topological structure determined by the base consisting of elementary sets.

**19.7.** Prove that for any subspaces  $A$  and  $B$  of spaces  $X$  and  $Y$  the product topology on  $A \times B$  coincides with the topology induced from  $X \times Y$  via the natural inclusion  $A \times B \subset X \times Y$ .

**19.E.**  $Y \times X$  is canonically homeomorphic to  $X \times Y$ .

The word *canonically* means here that a homeomorphism between  $X \times Y$  and  $Y \times X$ , which exists according to the statement, can be chosen in a nice special (or even obvious?) way, so that we may expect that it has additional pleasant properties.

**19.F.** The canonical bijection  $X \times (Y \times Z) \rightarrow (X \times Y) \times Z$  is a homeomorphism.

**19.8.** Prove that if  $A$  is closed in  $X$  and  $B$  is closed in  $Y$ , then  $A \times B$  is closed in  $X \times Y$ .

**19.9.** Prove that  $\text{Cl}(A \times B) = \text{Cl}A \times \text{Cl}B$  for any  $A \subset X$  and  $B \subset Y$ .

**19.10.** Is it true that  $\text{Int}(A \times B) = \text{Int}A \times \text{Int}B$ ?

**19.11.** Is it true that  $\text{Fr}(A \times B) = \text{Fr}A \times \text{Fr}B$ ?

**19.12.** Is it true that  $\text{Fr}(A \times B) = (\text{Fr}A \times B) \cup (A \times \text{Fr}B)$ ?

**19.13.** Prove that  $\text{Fr}(A \times B) = (\text{Fr}A \times B) \cup (A \times \text{Fr}B)$  for closed  $A$  and  $B$ .

**19.14.** Find a formula for  $\text{Fr}(A \times B)$  in terms of  $A$ ,  $\text{Fr}A$ ,  $B$ , and  $\text{Fr}B$ .

#### 19°4. Topological Properties of Projections and Fibers

**19.G.** The natural projections  $\text{pr}_X : X \times Y \rightarrow X$  and  $\text{pr}_Y : X \times Y \rightarrow Y$  are continuous for any topological spaces  $X$  and  $Y$ .

**19.H.** The topology of product is the coarsest topology with respect to which  $\text{pr}_X$  and  $\text{pr}_Y$  are continuous.

**19.I.** A fiber of a product is canonically homeomorphic to the corresponding factor. The canonical homeomorphism is the restriction to the fiber of the natural projection of the product onto the factor.

**19.J.** Prove that  $\mathbb{R}^1 \times \mathbb{R}^1 = \mathbb{R}^2$ ,  $(\mathbb{R}^1)^n = \mathbb{R}^n$ , and  $(I)^n = I^n$ . (We remind the reader that  $I^n$  is the  $n$ -dimensional unit cube in  $\mathbb{R}^n$ .)

**19.15.** Let  $\Sigma_X$  and  $\Sigma_Y$  be bases of spaces  $X$  and  $Y$ . Prove that the sets  $U \times V$  with  $U \in \Sigma_X$  and  $V \in \Sigma_Y$  constitute a base for  $X \times Y$ .

**19.16.** Prove that a map  $f : X \rightarrow Y$  is continuous iff  $\text{pr}_X |_{\Gamma_f} : \Gamma_f \rightarrow X$  is a homeomorphism.

**19.17.** Prove that if  $W$  is open in  $X \times Y$ , then  $\text{pr}_X(W)$  is open in  $X$ .

A map from a space  $X$  to a space  $Y$  is *open* (*closed*) if the image of any open set under this map is open (respectively, closed). Therefore, 19.17 states that  $\text{pr}_X : X \times Y \rightarrow X$  is an open map.

**19.18.** Is  $\text{pr}_X$  a closed map?

**19.19.** Prove that for each space  $X$  and each compact space  $Y$  the map  $\text{pr}_X : X \times Y \rightarrow X$  is closed.

#### 19°5. Cartesian Products of Maps

Let  $X$ ,  $Y$ , and  $Z$  be three sets. A map  $f : Z \rightarrow X \times Y$  determines the compositions  $f_1 = \text{pr}_X \circ f : Z \rightarrow X$  and  $f_2 = \text{pr}_Y \circ f : Z \rightarrow Y$ , which are called the *factors* (or *components*) of  $f$ . Indeed,  $f$  can be recovered from them as a sort of product.

**19.K.** Prove that for any maps  $f_1 : Z \rightarrow X$  and  $f_2 : Z \rightarrow Y$  there exists a unique map  $f : Z \rightarrow X \times Y$  with  $\text{pr}_X \circ f = f_1$  and  $\text{pr}_Y \circ f = f_2$ .

**19.20.** Prove that  $f^{-1}(A \times B) = f_1^{-1}(A) \cap f_2^{-1}(B)$  for any  $A \subset X$  and  $B \subset Y$ .

**19.L.** Let  $X$ ,  $Y$ , and  $Z$  be three spaces. Prove that  $f : Z \rightarrow X \times Y$  is continuous iff so are  $f_1$  and  $f_2$ .

Any two maps  $g_1 : X_1 \rightarrow Y_1$  and  $g_2 : X_2 \rightarrow Y_2$  determine a map

$$g_1 \times g_2 : X_1 \times X_2 \rightarrow Y_1 \times Y_2 : (x_1, x_2) \mapsto (g_1(x_1), g_2(x_2)),$$

which is their (*Cartesian*) *product*.

**19.21.** Prove that  $(g_1 \times g_2)(A_1 \times A_2) = g_1(A_1) \times g_2(A_2)$  for any  $A_1 \subset X_1$  and  $A_2 \subset X_2$ .

**19.22.** Prove that  $(g_1 \times g_2)^{-1}(B_1 \times B_2) = g_1^{-1}(B_1) \times g_2^{-1}(B_2)$  for any  $B_1 \subset Y_1$  and  $B_2 \subset Y_2$ .

**19.M.** Prove that the Cartesian product of continuous maps is continuous.

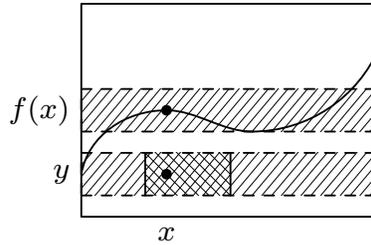
**19.23.** Prove that the Cartesian product of open maps is open.

**19.24.** Prove that a metric  $\rho : X \times X \rightarrow \mathbb{R}$  is continuous with respect to the topology generated by the metric.

**19.25.** Let  $f : X \rightarrow Y$  be a map. Prove that the graph  $\Gamma_f$  is the preimage of the diagonal  $\Delta_Y = \{(y, y) \mid y \in Y\} \subset Y \times Y$  under the map  $f \times \text{id}_Y : X \times Y \rightarrow Y \times Y$ .

## 19°6. Properties of Diagonal and Other Graphs

**19.26.** Prove that a space  $X$  is Hausdorff iff the diagonal  $\Delta = \{(x, x) \mid x \in X\}$  is closed in  $X \times X$ .



**19.27.** Prove that if  $Y$  is a Hausdorff space and  $f : X \rightarrow Y$  is a continuous map, then the graph  $\Gamma_f$  is closed in  $X \times Y$ .

**19.28.** Let  $Y$  be a compact space. Prove that if a map  $f : X \rightarrow Y$  has closed graph  $\Gamma_f$ , then  $f$  is continuous.

**19.29.** Prove that the hypothesis on compactness in 19.28 is necessary.

**19.30.** Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be a continuous function. Prove that its graph is:

- (1) closed;
- (2) connected;
- (3) path connected;
- (4) locally connected;
- (5) locally compact.

**19.31.** Consider the following functions

$$1) \mathbb{R} \rightarrow \mathbb{R} : x \mapsto \begin{cases} 0 & \text{if } x = 0, \\ \frac{1}{x}, & \text{otherwise.} \end{cases}; \quad 2) \mathbb{R} \rightarrow \mathbb{R} : x \mapsto \begin{cases} 0 & \text{if } x = 0, \\ \sin \frac{1}{x}, & \text{otherwise.} \end{cases} \quad \text{Do their}$$

graphs possess the properties listed in 19.30?

**19.32.** Does any of the properties of the graph of a function  $f$  that are mentioned in 19.30 imply that  $f$  is continuous?

**19.33.** Let  $\Gamma_f$  be closed. Then the following assertions are equivalent:

- (1)  $f$  is continuous;
- (2)  $f$  is locally bounded;
- (3) the graph  $\Gamma_f$  of  $f$  is connected;
- (4) the graph  $\Gamma_f$  of  $f$  is path-connected.

**19.34.** Prove that if  $\Gamma_f$  is connected and locally connected, then  $f$  is continuous.

**19.35.** Prove that if  $\Gamma_f$  is connected and locally compact, then  $f$  is continuous.

**19.36.** Are some of the assertions in Problems 19.33–19.35 true for maps  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ ?

## 19°7. Topological Properties of Products

**19.N.** *The product of Hausdorff spaces is Hausdorff.*

**19.37.** Prove that the product of regular spaces is regular.

**19.38.** The product of normal spaces is not necessarily normal.

**19.38.1\*.** Prove that the space  $\mathcal{R}$  formed by real numbers with the topology determined by the base consisting of all semi-open intervals  $[a, b)$  is normal.

**19.38.2.** Prove that in the Cartesian square of the space introduced in 19.38.1 the subspace  $\{(x, y) \mid x = -y\}$  is closed and discrete.

**19.38.3.** Find two disjoint subsets of  $\{(x, y) \mid x = -y\}$  that have no disjoint neighborhoods in the Cartesian square of the space of 19.38.1.

**19.O.** *The product of separable spaces is separable.*

**19.P.** *First countability of factors implies first countability of the product.*

**19.Q.** *The product of second countable spaces is second countable.*

**19.R.** *The product of metrizable spaces is metrizable.*

**19.S.** *The product of connected spaces is connected.*

**19.39.** Prove that for connected spaces  $X$  and  $Y$  and any proper subsets  $A \subset X$ ,  $B \subset Y$  the set  $X \times Y \setminus A \times B$  is connected.

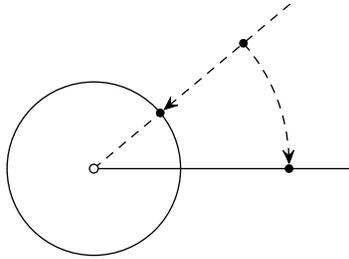
**19.T.** *The product of path-connected spaces is path-connected.*

**19.U.** *The product of compact spaces is compact.*

- 19.40.** Prove that the product of locally compact spaces is locally compact.
- 19.41.** If  $X$  is a paracompact space and  $Y$  is compact, then  $X \times Y$  is paracompact.
- 19.42.** For which of the topological properties studied above is it true that if  $X \times Y$  possesses the property, then so does  $X$ ?

### 19°8. Representation of Special Spaces as Products

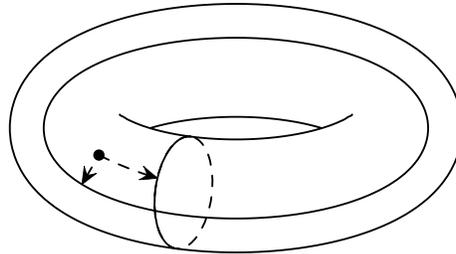
**19.V.** Prove that  $\mathbb{R}^2 \setminus 0$  is homeomorphic to  $S^1 \times \mathbb{R}$ .



- 19.43.** Prove that  $\mathbb{R}^n \setminus \mathbb{R}^k$  is homeomorphic to  $S^{n-k-1} \times \mathbb{R}^{k+1}$ .
- 19.44.** Prove that  $S^n \cap \{x \in \mathbb{R}^{n+1} \mid x_1^2 + \cdots + x_k^2 \leq x_{k+1}^2 + \cdots + x_{n+1}^2\}$  is homeomorphic to  $S^{k-1} \times D^{n-k+1}$ .
- 19.45.** Prove that  $O(n)$  is homeomorphic to  $SO(n) \times O(1)$ .
- 19.46.** Prove that  $GL(n)$  is homeomorphic to  $SL(n) \times GL(1)$ .
- 19.47.** Prove that  $GL_+(n)$  is homeomorphic to  $SO(n) \times \mathbb{R}^{\frac{n(n+1)}{2}}$ , where  $GL_+(n) = \{A \in L(n, n) \mid \det A > 0\}$ .
- 19.48.** Prove that  $SO(4)$  is homeomorphic to  $S^3 \times SO(3)$ .

The space  $S^1 \times S^1$  is a *torus*.

**19.W.** Construct a topological embedding of the torus to  $\mathbb{R}^3$ .



The product  $S^1 \times \cdots \times S^1$  of  $k$  factors is the  $k$ -dimensional torus.

- 19.X.** Prove that the  $k$ -dimensional torus can be topologically embedded into  $\mathbb{R}^{k+1}$ .
- 19.Y.** Find topological embeddings of  $S^1 \times D^2$ ,  $S^1 \times S^1 \times I$ , and  $S^2 \times I$  into  $\mathbb{R}^3$ .

## 20. Quotient Spaces

### 20°1. Set-Theoretic Digression: Partitions and Equivalence Relations

Recall that a *partition* of a set  $A$  is a cover of  $A$  consisting of pairwise disjoint sets.

Each partition of a set  $X$  determines an *equivalence relation* (i.e., a relation, which is reflexive, symmetric, and transitive): two elements of  $X$  are said to be equivalent if they belong to the same element of the partition. Vice versa, each equivalence relation in  $X$  determines the partition of  $X$  into classes of equivalent elements. Thus, partitions of a set into nonempty subsets and equivalence relations in the set are essentially the same. More precisely, they are two ways of describing the same phenomenon.

Let  $X$  be a set,  $S$  a partition. The set whose elements are members of the partition  $S$  (which are subsets of  $X$ ) is the *quotient set* or *factor set* of  $X$  by  $S$ , it is denoted by  $X/S$ .<sup>1</sup>

**20.1. Riddle.** How does this operation relate to division of numbers? Why is there a similarity in terminology and notation?

The set  $X/S$  is also called the *set of equivalence classes* for the equivalence relation corresponding to the partition  $S$ .

The map  $\text{pr} : X \rightarrow X/S$  that maps  $x \in X$  to the element of  $S$  containing  $x$  is the (*canonical*) *projection* or *factorization map*. A subset of  $X$  which is a union of elements of a partition is *saturated*. The smallest saturated set containing a subset  $A$  of  $X$  is the *saturation* of  $A$ .

**20.2.** Prove that  $A \subset X$  is an element of a partition  $S$  of  $X$  iff  $A = \text{pr}^{-1}(\text{point})$ , where  $\text{pr} : X \rightarrow X/S$  is the natural projection.

**20.A.** Prove that the saturation of a set  $A$  equals  $\text{pr}^{-1}(\text{pr}(A))$ .

**20.B.** Prove that a set is saturated iff it is equal to its saturation.

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<sup>1</sup>At first glance, the definition of a quotient set contradicts one of the very profound principles of the set theory, which states that a set is determined by its elements. Indeed, according to this principle, we have  $X/S = S$  since  $S$  and  $X/S$  have the same elements. Hence, there seems to be no need to introduce  $X/S$ . The real sense of the notion of quotient set is not in its literal set-theoretic meaning, but in our way of thinking of elements of partitions. If we remember that they are subsets of the original set and want to keep track of their internal structure (at least, of their elements), then we speak of a partition. If we think of them as atoms, getting rid of their possible internal structure, then we speak about the quotient set.



**20.5.** Formulate similar necessary and sufficient conditions for a quotient space to satisfy other separation axioms and countability axioms.

**20.6.** Give an example showing that the second countability can be lost when we pass to a quotient space.

#### 20°4. Set-Theoretic Digression: Quotients and Maps

Let  $S$  be a partition of a set  $X$  into nonempty subsets. Let  $f : X \rightarrow Y$  be a map which is constant on each element of  $S$ . Then there is a map  $X/S \rightarrow Y$  which sends each element  $A$  of  $S$  to the element  $f(a)$ , where  $a \in A$ . This map is denoted by  $f/S$  and called the *quotient map* or *factor map* of  $f$  (by the partition  $S$ ).

**20.N.** 1) Prove that a map  $f : X \rightarrow Y$  is constant on each element of a partition  $S$  of  $X$  iff there exists a map  $g : X/S \rightarrow Y$  such that the following diagram is commutative:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \text{pr} \downarrow & \nearrow g & \\ X/S & & \end{array}$$

2) Prove that such a map  $g$  coincides with  $f/S$ .

More generally, if  $S$  and  $T$  are partitions of sets  $X$  and  $Y$ , then every map  $f : X \rightarrow Y$  that maps each element of  $S$  to an element of  $T$  determines a map  $X/S \rightarrow Y/T$  which sends an element  $A$  of partition  $S$  to the element of partition  $T$  containing  $f(A)$ . This map is denoted by  $f/S, T$  and called the *quotient map* or *factor map* of  $f$  (with respect to  $S$  and  $T$ ).

**20.O.** Formulate and prove for  $f/S, T$  a statement generalizing **20.N**.

A map  $f : X \rightarrow Y$  determines a partition of the set  $X$  into nonempty preimages of the elements of  $Y$ . This partition is denoted by  $S(f)$ .

**20.P.** The map  $f/S(f) : X/S(f) \rightarrow Y$  is injective.

This map is the *injective factor* (or *injective quotient*) of  $f$ .

#### 20°5. Continuity of Quotient Maps

**20.Q.** Let  $X$  and  $Y$  be two spaces,  $S$  a partition of  $X$  into nonempty sets, and  $f : X \rightarrow Y$  a continuous map constant on each element of  $S$ . Then the factor  $f/S$  of  $f$  is continuous.

**20.7.** If the map  $f$  is open, then so is the quotient map  $f/S$ .

**20.8.** Let  $X$  and  $Y$  be two spaces,  $S$  a partition of  $X$  into nonempty sets. Prove that the formula  $f \mapsto f/S$  determines a bijection from the set of all continuous

maps  $X \rightarrow Y$  that are constant on each element of  $S$  onto the set of all continuous maps  $X/S \rightarrow Y$ .

**20.R.** Let  $X$  and  $Y$  be two spaces,  $S$  and  $T$  partitions of  $X$  and  $Y$ , respectively, and  $f : X \rightarrow Y$  a continuous map which maps each element of  $S$  into an element of  $T$ . Then the map  $f/S, T : X/S \rightarrow Y/T$  is continuous.

### 20°6x. Closed Partitions

A partition  $S$  of a space  $X$  is *closed* if the saturation of each closed set is closed.

**20.1x.** Prove that a partition is closed iff the canonical projection  $X \rightarrow X/S$  is a closed map.

**20.2x.** Prove that if a partition  $S$  contains only one element consisting of more than one point, then  $S$  is closed if this element is a closed set.

**20.Ax.** Let  $X$  be a space satisfying the first separation axiom,  $S$  a closed partition of  $X$ . Then the quotient space  $X/S$  also satisfies the first separation axiom.

**20.Bx.** *The quotient space of a normal space with respect to a closed partition is normal.*

### 20°7x. Open Partitions

A partition  $S$  of a space  $X$  is *open* if the saturation of each open set is open.

**20.3x.** Prove that a partition  $S$  is open iff the canonical projection  $X \rightarrow X/S$  is an open map.

**20.4x.** Prove that if a set  $A$  is saturated with respect to an open partition, then  $\text{Int } A$  and  $\text{Cl } A$  are also saturated.

**20.Cx.** *The quotient space of a second countable space with respect to an open partition is second countable.*

**20.Dx.** *The quotient space of a first countable space with respect to an open partition is first countable.*

**20.Ex.** *Let  $X$  and  $Y$  be two spaces, and let  $S$  and  $T$  be their open partitions. Denote by  $S \times T$  the partition of  $X \times Y$  consisting of  $A \times B$  with  $A \in S$  and  $B \in T$ . Then the injective factor  $X \times Y/S \times T \rightarrow X/S \times Y/T$  of  $\text{pr} \times \text{pr} X \times Y \rightarrow X/S \times Y/T$  is a homeomorphism.*

## 21. Zoo of Quotient Spaces

### 21°1. Tool for Identifying a Quotient Space with a Known Space

**21.A.** *If  $X$  is a compact space,  $Y$  is a Hausdorff space, and  $f : X \rightarrow Y$  is a continuous map, then the injective factor  $f/S(f) : X/S(f) \rightarrow Y$  is a homeomorphism.*

**21.B.** *The injective factor of a continuous map from a compact space to a Hausdorff one is a topological embedding.*

**21.1.** Describe explicitly partitions of a segment such that the corresponding quotient spaces are all letters of the alphabet.

**21.2.** Prove that there exists a partition of a segment  $I$  with the quotient space homeomorphic to square  $I \times I$ .

### 21°2. Tools for Describing Partitions

An accurate literal description of a partition can often be somewhat cumbersome, but usually it can be shortened and made more understandable. Certainly, this requires a more flexible vocabulary with lots of words having almost the same meanings. For instance, such words as *factorize* and *pass to a quotient* can be replaced by *attach*, *glue together*, *identify*, *contract*, *paste*, and other words accompanying these ones in everyday life.

Some elements of this language are easy to formalize. For instance, factorization of a space  $X$  with respect to a partition consisting of a set  $A$  and one-point subsets of the complement of  $A$  is the *contraction* (of the subset  $A$  to a point), and the result is denoted by  $X/A$ .

**21.3.** Let  $A, B \subset X$  form a fundamental cover of a space  $X$ . Prove that the quotient map  $A/A \cap B \rightarrow X/B$  of the inclusion  $A \hookrightarrow X$  is a homeomorphism.

If  $A$  and  $B$  are two disjoint subspaces of a space  $X$  and  $f : A \rightarrow B$  is a homeomorphism, then passing to the quotient of  $X$  by the partition into singletons in  $X \setminus (A \cup B)$  and two-point sets  $\{x, f(x)\}$ , where  $x \in A$ , we *glue* or *identify* the sets  $A$  and  $B$  via the homeomorphism  $f$ .

A rather convenient and flexible way for describing partitions is to describe the corresponding equivalence relations. The main advantage of this approach is that, by transitivity, it suffices to specify only some pairs of equivalent elements: if one states that  $x \sim y$  and  $y \sim z$ , then it is not necessary to state that  $x \sim z$  since this already follows.

Hence, a partition is represented by a list of statements of the form  $x \sim y$  that are sufficient for recovering the equivalence relation. We denote

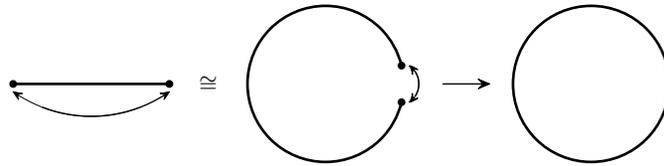
the corresponding partition by such a list enclosed into square brackets. For example, the quotient of a space  $X$  obtained by identifying subsets  $A$  and  $B$  by a homeomorphism  $f : A \rightarrow B$  is denoted by  $X/[a \sim f(a) \text{ for any } a \in A]$  or just  $X/[a \sim f(a)]$ .

Some partitions are easily described by a picture, especially if the original space can be embedded in the plane. In such a case, as in the pictures below, we draw arrows on the segments to be identified to show the directions to be identified.

Below we introduce all these kinds of descriptions for partitions and give examples of their usage, simultaneously providing literal descriptions. The latter are not that nice, but they may help the reader to remain confident about the meaning of the new words. On the other hand, the reader will appreciate the improvement the new words bring in.

### 21°3. Welcome to the Zoo

**21.C.** Prove that  $I/[0 \sim 1]$  is homeomorphic to  $S^1$ .



In other words, the quotient space of segment  $I$  by the partition consisting of  $\{0, 1\}$  and  $\{a\}$  with  $a \in (0, 1)$  is homeomorphic to a circle.

**21.C.1.** Find a surjective continuous map  $I \rightarrow S^1$  such that the corresponding partition into preimages of points consists of one-point subsets of the interior of the segment and the pair of boundary points of the segment.

**21.D.** Prove that  $D^n/S^{n-1}$  is homeomorphic to  $S^n$ .

In **21.D**, we deal with the quotient space of the  $n$ -disk  $D^n$  by the partition  $\{S^{n-1}\} \cup \{\{x\} \mid x \in B^n\}$ .

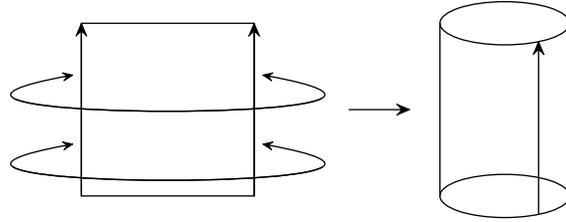
Here is a reformulation of **21.D**: *Contracting* the boundary of an  $n$ -dimensional ball to a point, we obtain gives rise an  $n$ -dimensional sphere.

**21.D.1.** Find a continuous map of the  $n$ -disk  $D^n$  to the  $n$ -sphere  $S^n$  that maps the boundary of the disk to a single point and bijectively maps the interior of the disk onto the complement of this point.

**21.E.** Prove that  $I^2/[(0, t) \sim (1, t) \text{ for } t \in I]$  is homeomorphic to  $S^1 \times I$ .

Here the partition consists of pairs of points  $\{(0, t), (1, t)\}$  where  $t \in I$ , and one-point subsets of  $(0, 1) \times I$ .

Reformulation of 21.E: If we *glue* the side edges of a square by identifying points on the same height, then we obtain a cylinder.



**21.F.**  $S^1 \times I / [(z, 0) \sim (z, 1) \text{ for } z \in S^1]$  is homeomorphic to  $S^1 \times S^1$ .

Here the partition consists of one-point subsets of  $S^1 \times (0, 1)$ , and pairs of points of the basis circles lying on the same generatrix of the cylinder.

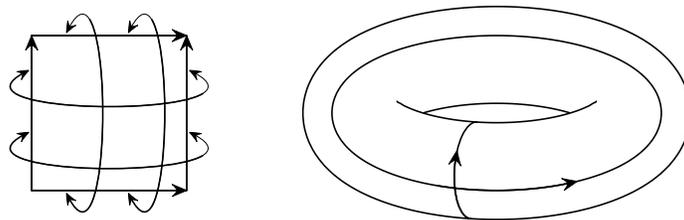
Here is a reformulation of 21.F: If we *glue* the base circles of a cylinder by identifying points on the same generatrix, then we obtain a torus.

**21.G.**  $I^2 / [(0, t) \sim (1, t), (t, 0) \sim (t, 1)]$  is homeomorphic to  $S^1 \times S^1$ .

In 21.G, the partition consists of

- one-point subsets of the interior  $(0, 1) \times (0, 1)$  of the square,
- pairs of points on the vertical sides that are the same distance from the bottom side (i.e., pairs  $\{(0, t), (1, t)\}$  with  $t \in (0, 1)$ ),
- pairs of points on the horizontal sides that lie on the same vertical line (i.e., pairs  $\{(t, 0), (t, 1)\}$  with  $t \in (0, 1)$ ),
- the four vertices of the square

Reformulation of 21.G: Identifying the sides of a square according to the picture we obtain a torus.



#### 21°4. Transitivity of Factorization

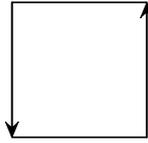
A solution of Problem 21.G can be based on Problems 21.E and 21.F and the following general theorem.

**21.H Transitivity of Factorization.** Let  $S$  be a partition of a space  $X$ , and let  $S'$  be a partition of the space  $X/S$ . Then the quotient space

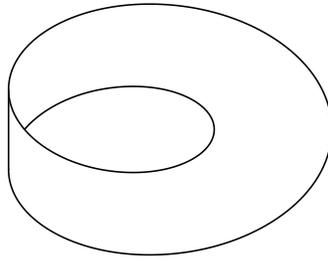
$(X/S)/S'$  is canonically homeomorphic to  $X/T$ , where  $T$  is the partition of  $X$  into preimages of elements of  $S'$  under the projection  $X \rightarrow X/S$ .

### 21°5. Möbius Strip

The *Möbius strip* or *Möbius band* is defined as  $I^2/[(0, t) \sim (1, 1 - t)]$ . In other words, this is the quotient space of the square  $I^2$  by the partition into centrally symmetric pairs of points on the vertical edges of  $I^2$ , and singletons that do not lie on the vertical edges. The Möbius strip is obtained, so to speak, by identifying the vertical sides of a square in such a way that the directions shown on them by arrows are superimposed:



**21.1.** Prove that the Möbius strip is homeomorphic to the surface that is swept in  $\mathbb{R}^3$  by a segment rotating in a half-plane around the midpoint, while the half-plane rotates around its boundary line. The ratio of the angular velocities of these rotations is such that the rotation of the half-plane through  $360^\circ$  takes the same time as the rotation of the segment through  $180^\circ$ . See Figure.



### 21°6. Contracting Subsets

**21.4.** Prove that  $[0, 1]/[\frac{1}{3}, \frac{2}{3}]$  is homeomorphic to  $[0, 1]$ , and  $[0, 1]/\{\frac{1}{3}, 1\}$  is homeomorphic to letter P.

**21.5.** Prove that the following spaces are homeomorphic:

- (a)  $\mathbb{R}^2$ ;
- (b)  $\mathbb{R}^2/I$ ;
- (c)  $\mathbb{R}^2/D^2$ ;
- (d)  $\mathbb{R}^2/I^2$ ;
- (e)  $\mathbb{R}^2/A$ , where  $A$  is a union of several segments with a common end point;
- (f)  $\mathbb{R}^2/B$ , where  $B$  is a simple finite polygonal line, i.e., a union of a finite sequence of segments  $I_1, \dots, I_n$  such that the initial point of  $I_{i+1}$  is the final point of  $I_i$ .

**21.6.** Prove that if  $f : X \rightarrow Y$  is a homeomorphism, then the quotient spaces  $X/A$  and  $Y/f(A)$  are homeomorphic.

**21.7.** Let  $A \subset \mathbb{R}^2$  be a ray  $\{(x, y) \mid x \geq 0, y = 0\}$ . Is  $\mathbb{R}^2/A$  homeomorphic to  $\text{Int } D^2 \cup \{(0, 1)\}$ ?

### 21°7. Further Examples

**21.8.** Prove that  $S^1/[z \sim e^{2\pi i/3}z]$  is homeomorphic to  $S^1$ .

The partition in 21.8 consists of triples of points that are vertices of equilateral inscribed triangles.

**21.9.** Prove that the following quotient spaces of the disk  $D^2$  are homeomorphic to  $D^2$ :

- (1)  $D^2/[(x, y) \sim (-x, -y)]$ ,
- (2)  $D^2/[(x, y) \sim (x, -y)]$ ,
- (3)  $D^2/[(x, y) \sim (-y, x)]$ .

**21.10.** Find a generalization of 21.9 with  $D^n$  substituted for  $D^2$ .

**21.11.** Describe explicitly the quotient space of line  $\mathbb{R}^1$  by equivalence relation  $x \sim y \Leftrightarrow x - y \in \mathbb{Z}$ .

**21.12.** Represent the Möbius strip as a quotient space of cylinder  $S^1 \times I$ .

### 21°8. Klein Bottle

*Klein bottle* is  $I^2/[(t, 0) \sim (t, 1), (0, t) \sim (1, 1 - t)]$ . In other words, this is the quotient space of square  $I^2$  by the partition into

- one-point subsets of its interior,
- pairs of points  $(t, 0), (t, 1)$  on horizontal edges that lie on the same vertical line,
- pairs of points  $(0, t), (1, 1 - t)$  symmetric with respect to the center of the square that lie on the vertical edges, and
- the quadruple of vertices.

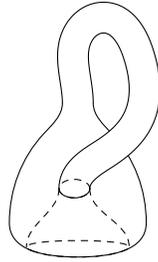
**21.13.** Present the Klein bottle as a quotient space of

- (1) a cylinder;
- (2) the Möbius strip.

**21.14.** Prove that  $S^1 \times S^1/[(z, w) \sim (-z, \bar{w})]$  is homeomorphic to the Klein bottle. (Here  $\bar{w}$  denotes the complex number conjugate to  $w$ .)

**21.15.** Embed the Klein bottle into  $\mathbb{R}^4$  (cf. 21.1 and 19.W).

**21.16.** Embed the Klein bottle into  $\mathbb{R}^4$  so that the image of this embedding under the orthogonal projection  $\mathbb{R}^4 \rightarrow \mathbb{R}^3$  would look as follows:



### 21°9. Projective Plane

Let us identify each boundary point of the disk  $D^2$  with the antipodal point, i.e., factorize the disk by the partition consisting of one-point subsets of the interior of the disk and pairs of points on the boundary circle symmetric with respect to the center of the disk. The result is the *projective plane*. This space cannot be embedded in  $\mathbb{R}^3$ , too. Thus we are not able to draw it. Instead, we present it in other way.

**21.J.** A projective plane is a result of gluing together a disk and a Möbius strip via a homeomorphism between their boundary circles.

### 21°10. You May Have Been Provoked to Perform an Illegal Operation

Solving the previous problem, you did something that did not fit into the theory presented above. Indeed, the operation with two spaces called *gluing* in 21.J has not appeared yet. It is a combination of two operations: first, we make a single space consisting of disjoint copies of the original spaces, and then we factorize this space by identifying points of one copy with points of another. Let us consider the first operation in detail.

### 21°11. Set-Theoretic Digression: Sums of Sets

The (*disjoint*) *sum* of a family of sets  $\{X_\alpha\}_{\alpha \in A}$  is the set of pairs  $(x_\alpha, \alpha)$  such that  $x_\alpha \in X_\alpha$ . The sum is denoted by  $\bigsqcup_{\alpha \in A} X_\alpha$ . So, we can write

$$\bigsqcup_{\alpha \in A} X_\alpha = \bigcup_{\alpha \in A} (X_\alpha \times \{\alpha\}).$$

For each  $\beta \in A$ , we have a natural injection

$$\text{in}_\beta : X_\beta \rightarrow \bigsqcup_{\alpha \in A} X_\alpha : x \mapsto (x, \beta).$$

If only two sets  $X$  and  $Y$  are involved and they are distinct, then we can avoid indices and define the sum by setting

$$X \sqcup Y = \{(x, X) \mid x \in X\} \cup \{(y, Y) \mid y \in Y\}.$$

### 21°12. Sums of Spaces

**21.K.** Let  $\{X_\alpha\}_{\alpha \in A}$  be a collection of topological spaces. Then the collection of subsets of  $\bigsqcup_{\alpha \in A} X_\alpha$  whose preimages under all inclusions  $\text{in}_\alpha$ ,  $\alpha \in A$ , are open is a topological structure.

The sum  $\bigsqcup_{\alpha \in A} X_\alpha$  with this topology is the (*disjoint*) *sum of the topological spaces*  $X_\alpha$  ( $\alpha \in A$ ).

**21.L.** The topology described in 21.K is the finest topology with respect to which all inclusions  $\text{in}_\alpha$  are continuous.

**21.17.** The maps  $\text{in}_\beta : X_\beta \rightarrow \bigsqcup_{\alpha \in A} X_\alpha$  are topological embedding, and their images are both open and closed in  $\bigsqcup_{\alpha \in A} X_\alpha$ .

**21.18.** Which of the standard topological properties are inherited from summands  $X_\alpha$  by the sum  $\bigsqcup_{\alpha \in A} X_\alpha$ ? Which are not?

### 21°13. Attaching Space

Let  $X$  and  $Y$  be two spaces,  $A$  a subset of  $Y$ , and  $f : A \rightarrow X$  a continuous map. The quotient space  $X \cup_f Y = (X \sqcup Y) / [a \sim f(a) \text{ for } a \in A]$  is said to be the result of *attaching* or *gluing* the space  $Y$  to the space  $X$  via  $f$ . The map  $f$  is the *attaching map*.

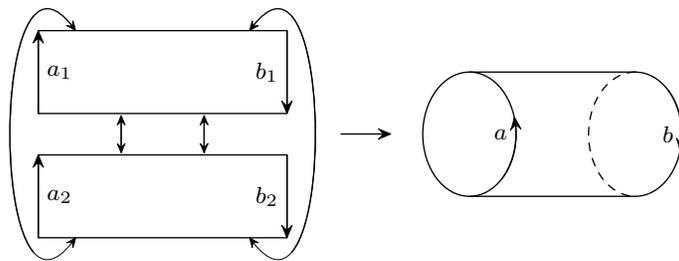
Here the partition of  $X \sqcup Y$  consists of one-point subsets of  $\text{in}_2(Y \setminus A)$  and  $\text{in}_1(X \setminus f(A))$ , and sets  $\text{in}_1(x) \cup \text{in}_2(f^{-1}(x))$  with  $x \in f(A)$ .

**21.19.** Prove that the composition of inclusion  $X \rightarrow X \sqcup Y$  and projection  $X \sqcup Y \rightarrow X \cup_f Y$  is a topological embedding.

**21.20.** Prove that if  $X$  is a point, then  $X \cup_f Y$  is  $Y/A$ .

**21.M.** Prove that attaching the  $n$ -disk  $D^n$  to its copy via the identity map of the boundary sphere  $S^{n-1}$  we obtain a space homeomorphic to  $S^n$ .

**21.21.** Prove that the Klein bottle is a result of gluing together two copies of the Möbius strip via the identity map of the boundary circle.



**21.22.** Prove that the result of gluing together two copies of a cylinder via the identity map of the boundary circles (of one copy to the boundary circles of the other) is homeomorphic to  $S^1 \times S^1$ .

**21.23.** Prove that the result of gluing together two copies of the solid torus  $S^1 \times D^2$  via the identity map of the boundary torus  $S^1 \times S^1$  is homeomorphic to  $S^1 \times S^2$ .

**21.24.** Obtain the Klein bottle by gluing two copies of the cylinder  $S^1 \times I$  to each other.

**21.25.** Prove that the result of gluing together two copies of the solid torus  $S^1 \times D^2$  via the map

$$S^1 \times S^1 \rightarrow S^1 \times S^1 : (x, y) \mapsto (y, x)$$

of the boundary torus to its copy is homeomorphic to  $S^3$ .

**21.N.** Let  $X$  and  $Y$  be two spaces,  $A$  a subset of  $Y$ , and  $f, g : A \rightarrow X$  two continuous maps. Prove that if there exists a homeomorphism  $h : X \rightarrow X$  such that  $h \circ f = g$ , then  $X \cup_f Y$  and  $X \cup_g Y$  are homeomorphic.

**21.O.** Prove that  $D^n \cup_h D^n$  is homeomorphic to  $S^n$  for any homeomorphism  $h : S^{n-1} \rightarrow S^{n-1}$ .

**21.26.** Classify up to homeomorphism those spaces which can be obtained from a square by identifying a pair of opposite sides by a homeomorphism.

**21.27.** Classify up to homeomorphism the spaces that can be obtained from two copies of  $S^1 \times I$  by identifying the copies of  $S^1 \times \{0, 1\}$  by a homeomorphism.

**21.28.** Prove that the topological type of the space resulting from gluing together two copies of the Möbius strip via a homeomorphism of the boundary circle does not depend on the homeomorphism.

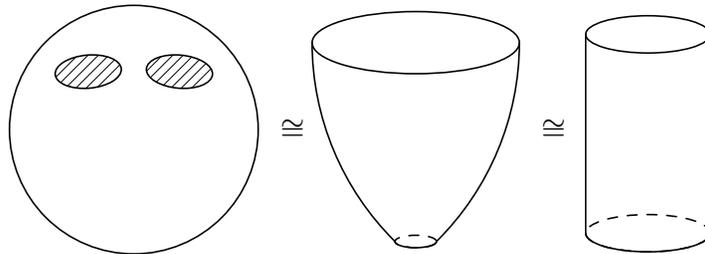
**21.29.** Classify up to homeomorphism the spaces that can be obtained from  $S^1 \times I$  by identifying  $S^1 \times 0$  and  $S^1 \times 1$  via a homeomorphism.

#### 21°14. Basic Surfaces

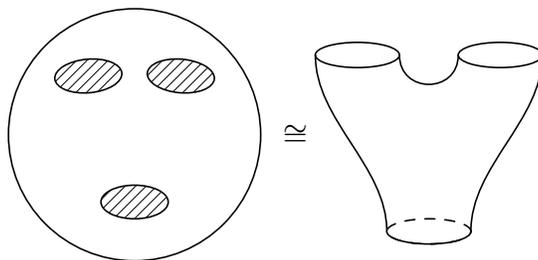
A torus  $S^1 \times S^1$  with the interior of an embedded disk deleted is a *handle*. A two-sphere with the interior of  $n$  disjoint embedded disks deleted is a *sphere with  $n$  holes*.

**21.P.** A sphere with a hole is homeomorphic to the disk  $D^2$ .

**21.Q.** A sphere with two holes is homeomorphic to the cylinder  $S^1 \times I$ .



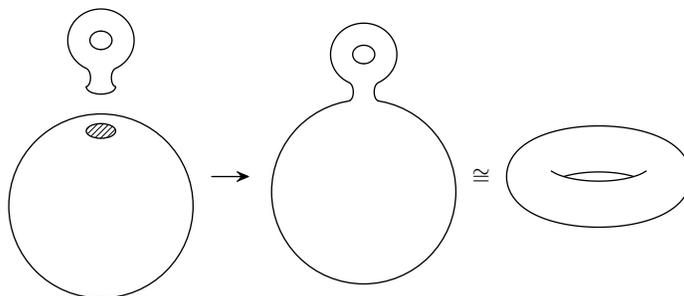
A sphere with three holes has a special name. It is called *pantaloons* or just *pants*.



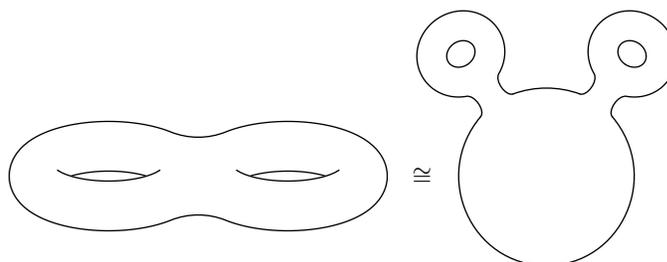
The result of attaching  $p$  copies of a handle to a sphere with  $p$  holes via embeddings homeomorphically mapping the boundary circles of the handles onto those of the holes is a *sphere with  $p$  handles*, or, in a more ceremonial way (and less understandable, for a while), an *orientable connected closed surface of genus  $p$* .

**21.30.** Prove that a sphere with  $p$  handles is well defined up to homeomorphism (i.e., the topological type of the result of gluing does not depend on the attaching embeddings).

**21.R.** A sphere with one handle is homeomorphic to the torus  $S^1 \times S^1$ .



**21.S.** A sphere with two handles is homeomorphic to the result of gluing together two copies of a handle via the identity map of the boundary circle.



A sphere with two handles is a *pretzel*. Sometimes, this word also denotes a sphere with more handles.

The space obtained from a sphere with  $q$  holes by attaching  $q$  copies of the Möbius strip via embeddings of the boundary circles of the Möbius

strips onto the boundary circles of the holes (the boundaries of the holes) is a *sphere with  $q$  crosscaps*, or a *nonorientable connected closed surface of genus  $q$* .

**21.31.** Prove that a sphere with  $q$  crosscaps is well defined up to homeomorphism (i.e., the topological type of the result of gluing does not depend on the attaching embeddings).

**21.T.** A sphere with a crosscap is homeomorphic to the projective plane.

**21.U.** A sphere with two crosscaps is homeomorphic to the Klein bottle.

A sphere, spheres with handles, and spheres with crosscaps are *basic surfaces*.

**21.V.** Prove that a sphere with  $p$  handles and  $q$  crosscaps is homeomorphic to a sphere with  $2p + q$  crosscaps (here  $q > 0$ ).

**21.32.** Classify up to homeomorphism those spaces which are obtained by attaching  $p$  copies of  $S^1 \times I$  to a sphere with  $2p$  holes via embeddings of the boundary circles of the cylinders onto the boundary circles of the sphere with holes.

## 22. Projective Spaces

This section can be considered as a continuation of the previous one. The quotient spaces described here are of too great importance to regard them just as examples of quotient spaces.

### 22°1. Real Projective Space of Dimension $n$

This space is defined as the quotient space of the sphere  $S^n$  by the partition into pairs of antipodal points, and denoted by  $\mathbb{R}P^n$ .

**22.A.** *The space  $\mathbb{R}P^n$  is homeomorphic to the quotient space of the  $n$ -disk  $D^n$  by the partition into one-point subsets of the interior of  $D^n$ , and pairs of antipodal point of the boundary sphere  $S^{n-1}$ .*

**22.B.**  $\mathbb{R}P^0$  is a point.

**22.C.** The space  $\mathbb{R}P^1$  is homeomorphic to the circle  $S^1$ .

**22.D.** The space  $\mathbb{R}P^2$  is homeomorphic to the projective plane defined in the previous section.

**22.E.** *The space  $\mathbb{R}P^n$  is canonically homeomorphic to the quotient space of  $\mathbb{R}^{n+1} \setminus 0$  by the partition into one-dimensional vector subspaces of  $\mathbb{R}^{n+1}$  punctured at 0.*

A point of the space  $\mathbb{R}^{n+1} \setminus 0$  is a sequence of real numbers, which are not all zeros. These numbers are the *homogeneous coordinates* of the corresponding point of  $\mathbb{R}P^n$ . The point with homogeneous coordinates  $x_0, x_1, \dots, x_n$  is denoted by  $(x_0 : x_1 : \dots : x_n)$ . Homogeneous coordinates determine a point of  $\mathbb{R}P^n$ , but are not determined by this point: proportional vectors of coordinates  $(x_0, x_1, \dots, x_n)$  and  $(\lambda x_0, \lambda x_1, \dots, \lambda x_n)$  determine the same point of  $\mathbb{R}P^n$ .

**22.F.** *The space  $\mathbb{R}P^n$  is canonically homeomorphic to the metric space, whose points are lines of  $\mathbb{R}^{n+1}$  through the origin  $0 = (0, \dots, 0)$  and the metric is defined as the angle between lines (which takes values in  $[0, \frac{\pi}{2}]$ ). Prove that this is really a metric.*

**22.G.** Prove that the map

$$i : \mathbb{R}^n \rightarrow \mathbb{R}P^n : (x_1, \dots, x_n) \mapsto (1 : x_1 : \dots : x_n)$$

is a topological embedding. What is its image? What is the inverse map of its image onto  $\mathbb{R}^n$ ?

**22.H.** Construct a topological embedding  $\mathbb{R}P^{n-1} \rightarrow \mathbb{R}P^n$  with image  $\mathbb{R}P^n \setminus i(\mathbb{R}^n)$ , where  $i$  is the embedding from Problem 22.G.

Therefore the projective space  $\mathbb{R}P^n$  can be considered as the result of extending  $\mathbb{R}^n$  by adjoining “improper” or “infinite” points, which constitute a projective space  $\mathbb{R}P^{n-1}$ .

**22.1.** Introduce a natural topological structure in the set of all lines on the plane and prove that the resulting space is homeomorphic to a)  $\mathbb{R}P^2 \setminus \{\text{pt}\}$ ; b) open Möbius strip (i.e., a Möbius strip with the boundary circle removed).

**22.2.** Prove that the set of all rotations of the space  $\mathbb{R}^3$  around lines passing through the origin equipped with the natural topology is homeomorphic to  $\mathbb{R}P^3$ .

### 22° 2x. Complex Projective Space of Dimension $n$

This space is defined as the quotient space of the unit sphere  $S^{2n+1}$  in  $\mathbb{C}^{n+1}$  by the partition into circles cut by (complex) lines of  $\mathbb{C}^{n+1}$  passing through the point 0. It is denoted by  $\mathbb{C}P^n$ .

**22.Ax.**  $\mathbb{C}P^n$  is homeomorphic to the quotient space of the unit  $2n$ -disk  $D^{2n}$  of the space  $\mathbb{C}^n$  by the partition whose elements are one-point subsets of the interior of  $D^{2n}$  and circles cut on the boundary sphere  $S^{2n-1}$  by (complex) lines of  $\mathbb{C}^n$  passing through the origin  $0 \in \mathbb{C}^n$ .

**22.Bx.**  $\mathbb{C}P^0$  is a point.

The space  $\mathbb{C}P^1$  is a *complex projective line*.

**22.Cx.** The complex projective line  $\mathbb{C}P^1$  is homeomorphic to  $S^2$ .

**22.Dx.** The space  $\mathbb{C}P^n$  is canonically homeomorphic to the quotient space of the space  $\mathbb{C}^{n+1} \setminus 0$  by the partition into complex lines of  $\mathbb{C}^{n+1}$  punctured at 0.

Hence,  $\mathbb{C}P^n$  can be regarded as the space of complex-proportional non-zero complex sequences  $(x_0, x_1, \dots, x_n)$ . The notation  $(x_0 : x_1 : \dots : x_n)$  and term homogeneous coordinates introduced for the real case are used in the same way for the complex case.

**22.Ex.** The space  $\mathbb{C}P^n$  is canonically homeomorphic to the metric space, whose points are the (complex) lines of  $\mathbb{C}^{n+1}$  passing through the origin 0, and the metric is defined as the angle between lines (which takes values in  $[0, \frac{\pi}{2}]$ ).

**22° 3x. Quaternionic Projective Spaces**

Recall that  $\mathbb{R}^4$  bears a remarkable multiplication, which was discovered by R. W. Hamilton in 1843. It can be defined by the formula

$$(x_1, x_2, x_3, x_4) \times (y_1, y_2, y_3, y_4) = \\ (x_1y_1 - x_2y_2 - x_3y_3 - x_4y_4, \quad x_1y_2 + x_2y_1 + x_3y_4 - x_4y_3, \\ x_1y_3 - x_2y_4 + x_3y_1 + x_4y_2, \quad x_1y_4 + x_2y_3 - x_3y_2 + x_4y_1).$$

It is bilinear, and to describe it in a shorter way it suffices to specify the products of the basis vectors. The latter are traditionally denoted in this case, following Hamilton, as follows:

$$1 = (1, 0, 0, 0), \quad i = (0, 1, 0, 0), \quad j = (0, 0, 1, 0) \quad \text{and} \quad k = (0, 0, 0, 1).$$

In this notation, 1 is really a unity:  $(1, 0, 0, 0) \times x = x$  for any  $x \in \mathbb{R}^4$ . The rest of multiplication table looks as follows:

$$ij = k, \quad jk = i, \quad ki = j, \quad ji = -k, \quad kj = -i \quad \text{and} \quad ik = -j.$$

Together with coordinate-wise addition, this multiplication determines a structure of algebra in  $\mathbb{R}^4$ . Its elements are *quaternions*.

**22.Fx.** Check that the quaternion multiplication is associative.

It is not commutative (e.g.,  $ij = k \neq -k = ji$ ). Otherwise, quaternions are very similar to complex numbers. As in  $\mathbb{C}$ , there is a transformation called *conjugation* acting in the set of quaternions. As the conjugation of complex numbers, it is also denoted by a bar:  $x \mapsto \bar{x}$ . It is defined by the formula  $(x_1, x_2, x_3, x_4) \mapsto (x_1, -x_2, -x_3, -x_4)$  and has two remarkable properties:

**22.Gx.** We have  $\overline{ab} = \bar{b}\bar{a}$  for any two quaternions  $a$  and  $b$ .

**22.Hx.** We have  $a\bar{a} = |a|^2$ , i.e., the product of any quaternion  $a$  by the conjugate quaternion  $\bar{a}$  equals  $(|a|^2, 0, 0, 0)$ .

The latter property allows us to define, for any  $a \in \mathbb{R}^4$ , the inverse quaternion

$$a^{-1} = |a|^{-2}\bar{a}$$

such that  $aa^{-1} = 1$ .

Hence, the quaternion algebra is a *division algebra* or a *skew field*. It is denoted by  $\mathbb{H}$  after Hamilton, who discovered it.

In the space  $\mathbb{H}^n = \mathbb{R}^{4n}$ , there are right quaternionic lines, i.e., subsets  $\{(a_1\xi, \dots, a_n\xi) \mid \xi \in \mathbb{H}\}$ , and similar left quaternionic lines  $\{(\xi a_1, \dots, \xi a_n) \mid \xi \in \mathbb{H}\}$ . Each of them is a real 4-dimensional subspace of  $\mathbb{H}^n = \mathbb{R}^{4n}$ .

**22.Ix.** Find a right quaternionic line that is not a left quaternionic line.

**22.Jx.** Prove that two right quaternionic lines in  $\mathbb{H}^n$  either meet only at 0, or coincide.

The quotient space of the unit sphere  $S^{4n+3}$  of the space  $\mathbb{H}^{n+1} = \mathbb{R}^{4n+4}$  by the partition into its intersections with right quaternionic lines is the (*right*) *quaternionic projective space of dimension  $n$* . Similarly, but with left quaternionic lines, we define the (*left*) *quaternionic projective space of dimension  $n$* .

**22.Kx.** Are the right and left quaternionic projective space of the same dimension homeomorphic?

The left quaternionic projective space of dimension  $n$  is denoted by  $\mathbb{H}P^n$ .

**22.Lx.**  $\mathbb{H}P^0$  consists of a single point.

**22.Mx.**  $\mathbb{H}P^n$  is homeomorphic to the quotient space of the closed unit disk  $D^{4n}$  in  $\mathbb{H}^n$  by the partition into points of the interior of  $D^{4n}$  and the 3-spheres that are intersections of the boundary sphere  $S^{4n-1}$  with (left quaternionic) lines of  $\mathbb{H}^n$ .

The space  $\mathbb{H}P^1$  is the *quaternionic projective line*.

**22.Nx.** Quaternionic projective line  $\mathbb{H}P^1$  is homeomorphic to  $S^4$ .

**22.Ox.**  $\mathbb{H}P^n$  is canonically homeomorphic to the quotient space of  $\mathbb{H}^{n+1} \setminus 0$  by the partition to left quaternionic lines of  $\mathbb{H}^{n+1}$  passing through the origin and punctured at it.

Hence,  $\mathbb{H}P^n$  can be presented as the space of classes of left proportional (in the quaternionic sense) nonzero sequences  $(x_0, \dots, x_n)$  of quaternions. The notation  $(x_0 : x_1 : \dots : x_n)$  and the term homogeneous coordinates introduced above in the real case are used in the same way in the quaternionic situation.

**22.Px.**  $\mathbb{H}P^n$  is canonically homeomorphic to the set of (left quaternionic) lines of  $\mathbb{H}^{n+1}$  equipped with the topology generated by the angular metric (which takes values in  $[0, \frac{\pi}{2}]$ ).

## 23x. Finite Topological Spaces

### 23°1x. Set-Theoretic Digression: Splitting a Transitive Relation Into Equivalence and Partial Order

In the definitions of equivalence and partial order relations, the condition of transitivity seems to be the most important. Below, we supply a formal justification of this feeling by showing that the other conditions are natural companions of transitivity, although they are not its consequences.

**23.Ax.** Let  $\prec$  be a transitive relation in a set  $X$ . Then the relation  $\lesssim$  defined by

$$a \lesssim b \text{ if } a \prec b \text{ or } a = b$$

is also transitive (and, furthermore, it is certainly reflexive, i.e.,  $a \lesssim a$  for each  $a \in X$ ).

A binary relation  $\lesssim$  in a set  $X$  is a *preorder* if it is transitive and reflective, i.e., satisfies the following conditions:

- *Transitivity.* If  $a \lesssim b$  and  $b \lesssim c$ , then  $a \lesssim c$ .
- *Reflexivity.* We have  $a \lesssim a$  for any  $a$ .

A set  $X$  equipped with a preorder is *preordered*.

If a preorder is antisymmetric, then this is a nonstrict order.

**23.1x.** Is the relation  $a|b$  a preorder in the set  $\mathbb{Z}$  of integers?

**23.Bx.** If  $(X, \lesssim)$  is a preordered set, then the relation  $\sim$  defined by

$$a \sim b \text{ if } a \lesssim b \text{ and } b \lesssim a$$

is an equivalence relation (i.e., it is symmetric, reflexive, and transitive) in  $X$ .

**23.2x.** What equivalence relation is defined in  $\mathbb{Z}$  by the preorder  $a|b$ ?

**23.Cx.** Let  $(X, \lesssim)$  be a preordered set and  $\sim$  be an equivalence relation defined in  $X$  by  $\lesssim$  according to 23.Bx. Then  $a' \sim a$ ,  $a \lesssim b$  and  $b \sim b'$  imply  $a' \lesssim b'$  and in this way  $\lesssim$  determines a relation in the set of equivalence classes  $X/\sim$ . This relation is a nonstrict partial order.

Thus any transitive relation generates an equivalence relation and a partial order in the set of equivalence classes.

**23.Dx.** How this chain of constructions would degenerate if the original relation was

- (1) an equivalence relation, or

(2) nonstrict partial order?

**23.Ex.** In any topological space, the relation  $\lesssim$  defined by

$$a \lesssim b \text{ if } a \in \text{Cl}\{b\}$$

is a preorder.

**23.3x.** In the set of all subsets of an arbitrary topological space the relation

$$A \lesssim B \text{ if } A \subset \text{Cl} B$$

is a preorder. This preorder determines the following equivalence relation: sets are equivalent iff they have the same closure.

**23.Fx.** The equivalence relation defined by the preorder of Theorem 23.Ex determines the partition of the space into maximal (with respect to inclusion) indiscrete subspaces. The quotient space satisfies the Kolmogorov separation axiom  $T_0$ .

The quotient space of Theorem 23.Fx is the *maximal  $T_0$ -quotient of  $X$* .

**23.Gx.** A continuous image of an indiscrete space is indiscrete.

**23.Hx.** Prove that any continuous map  $X \rightarrow Y$  induces a continuous map of the maximal  $T_0$ -quotient of  $X$  to the maximal  $T_0$ -quotient of  $Y$ .

### 23°2x. The Structure of Finite Topological Spaces

The results of the preceding subsection provide a key to understanding the structure of finite topological spaces. Let  $X$  be a finite space. By Theorem 23.Fx,  $X$  is partitioned to indiscrete clusters of points. By 23.Gx, continuous maps between finite spaces respect these clusters and, by 23.Hx, induce continuous maps between the maximal  $T_0$ -quotient spaces.

This means that we can consider a finite topological space as its maximal  $T_0$ -quotient whose points are equipped with multiplicities, that are positive integers: the numbers of points in the corresponding clusters of the original space.

The maximal  $T_0$ -quotient of a finite space is a smallest neighborhood space (as a finite space). By Theorem 14.O, its topology is determined by a partial order. By Theorem 9.Bx, homeomorphisms between spaces with poset topologies are monotone bijections.

Thus, a finite topological space is characterized up to homeomorphism by a finite poset whose elements are equipped with multiplicities (positive integers). Two such spaces are homeomorphic iff there exists a monotone bijection between the corresponding posets that preserves the multiplicities. To recover the topological space from the poset with multiplicities, we must equip the poset with the poset topology and then replace each of its elements by an indiscrete cluster of points, the number points in which is the multiplicity of the element.

**23°3x. Simplicial schemes**

Let  $V$  be a set,  $\Sigma$  a set of some of subsets of  $V$ . A pair  $(V, \Sigma)$  is a *simplicial scheme* with set of *vertices*  $V$  and set of *simplices*  $\Sigma$  if

- each subset of any element of  $\Sigma$  belongs to  $\Sigma$ ,
- the intersection of any collection of elements of  $\Sigma$  belongs to  $\Sigma$ ,
- each one-element subset of  $V$  belongs to  $\Sigma$ .

The set  $\Sigma$  is partially ordered by inclusion. When equipped with the poset topology of this partial order, it is called *the space of simplices* of the simplicial scheme  $(X, \Sigma)$ .

A simplicial scheme gives rise also to another topological space. Namely, for a simplicial scheme  $(V, \Sigma)$  consider the set  $S(V, \Sigma)$  of all functions  $c : V \rightarrow [0, 1]$  such that

$$\text{Supp}(c) = \{v \in V \mid c(v) \neq 0\} \in \Sigma$$

and  $\sum_{v \in V} c(v) = 1$ . Equip  $S(V, \Sigma)$  with the topology generated by metric

$$\rho(c_1, c_2) = \sup_{v \in V} |c_1(v) - c_2(v)|.$$

The space  $S(V, \Sigma)$  is a *simplicial* or *triangulated* space. It is covered by the sets  $\{c \in S \mid \text{Supp}(c) = \sigma\}$ , where  $\sigma \in \Sigma$ , which are called its (*open*) *simplices*.

**23.4x.** Which open simplices of a simplicial space are open sets, which are closed, and which are neither closed nor open?

**23.Ix.** For each  $\sigma \in \Sigma$ , find a homeomorphism of the space

$$\{c \in S \mid \text{Supp}(c) = \sigma\} \subset S(V, \Sigma)$$

onto an open simplex whose dimension is one less than the number of vertices belonging to  $\sigma$ . (Recall that the open  $n$ -simplex is the set  $\{(x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_j > 0 \text{ for } j = 1, \dots, n+1 \text{ and } \sum_{i=1}^{n+1} x_i = 1\}$ .)

**23.Jx.** Prove that for any simplicial scheme  $(V, \Sigma)$  the quotient space of the simplicial space  $S(V, \Sigma)$  by its partition to open simplices is homeomorphic to the space  $\Sigma$  of simplices of the simplicial scheme  $(V, \Sigma)$ .

**23°4x. Barycentric Subdivision of a Poset**

**23.Kx.** Find a poset which is not isomorphic to the set of simplices (ordered by inclusion) of whatever simplicial scheme.

Let  $(X, \prec)$  be a poset. Consider the set  $X'$  of all nonempty finite strictly increasing sequences  $a_1 \prec a_2 \prec \dots \prec a_n$  of elements of  $X$ . It can also be

described as the set of all nonempty finite subsets of  $X$  in each of which  $\prec$  determines a linear order. It is naturally ordered by inclusion.

The poset  $(X', \subset)$  is the *barycentric subdivision* of  $(X, \prec)$ .

**23.Lx.** For any poset  $(X, \prec)$ , pair  $(X, X')$  is a simplicial scheme.

There is a natural map  $X' \rightarrow X$  that maps an element of  $X'$  (i.e., a nonempty finite linearly ordered subset of  $X$ ) to its greatest element.

**23.Mx.** Is this map monotone? Strictly monotone? The same questions concerning a similar map that maps a nonempty finite linearly ordered subset of  $X$  to its smallest element.

Let  $(V, \Sigma)$  be a simplicial scheme and  $\Sigma'$  be the barycentric subdivision of  $\Sigma$  (ordered by inclusion). The simplicial scheme  $(\Sigma, \Sigma')$  is the *barycentric subdivision* of the simplicial scheme  $(V, \Sigma)$ .

There is a natural mapping  $\Sigma \rightarrow S(V, \Sigma)$  that maps a simplex  $\sigma \in \Sigma$  (i.e., a subset  $\{v_0, v_1, \dots, v_n\}$  of  $V$ ) to the function  $b_\sigma : V \rightarrow \mathbb{R}$  with  $b_\sigma(v_i) = \frac{1}{n+1}$  and  $b_\sigma(v) = 0$  for any  $v \notin \sigma$ .

Define a map  $\beta : S(\Sigma, \Sigma') \rightarrow S(V, \Sigma)$  that maps a function  $\varphi : \Sigma \rightarrow \mathbb{R}$  to the function

$$V \rightarrow \mathbb{R} : v \mapsto \sum_{\sigma \in \Sigma} \varphi(\sigma) b_\sigma(v).$$

**23.Nx.** Prove that the map  $\beta : S(\Sigma, \Sigma') \rightarrow S(V, \Sigma)$  is a homeomorphism and constitutes, together with projections  $S(V, \Sigma) \rightarrow \Sigma$  and  $S(\Sigma, \Sigma') \rightarrow \Sigma'$  and the natural map  $\Sigma' \rightarrow \Sigma$  a commutative diagram

$$\begin{array}{ccc} S(\Sigma, \Sigma') & \xrightarrow{\beta} & S(V, \Sigma) \\ \downarrow & & \downarrow \\ \Sigma' & \longrightarrow & \Sigma \end{array}$$

## 24x. Spaces of Continuous Maps

### 24°1x. Sets of Continuous Mappings

By  $\mathcal{C}(X, Y)$  we denote the set of all continuous maps of a space  $X$  to a space  $Y$ .

**24.1x.** Let  $X$  be non empty. Prove that  $\mathcal{C}(X, Y)$  consists of a single element iff so does  $Y$ .

**24.2x.** Let  $X$  be non empty. Prove that there exists an injection  $Y \rightarrow \mathcal{C}(X, Y)$ . In other words, the cardinality  $\text{card } \mathcal{C}(X, Y)$  of  $\mathcal{C}(X, Y)$  is greater than or equal to  $\text{card } Y$ .

**24.3x. Riddle.** Find natural conditions implying that  $\mathcal{C}(X, Y) = Y$ .

**24.4x.** Let  $Y = \{0, 1\}$  equipped with topology  $\{\emptyset, \{0\}, Y\}$ . Prove that there exists a bijection between  $\mathcal{C}(X, Y)$  and the topological structure of  $X$ .

**24.5x.** Let  $X$  be a set of  $n$  points with discrete topology. Prove that  $\mathcal{C}(X, Y)$  can be identified with  $Y \times \dots \times Y$  ( $n$  times).

**24.6x.** Let  $Y$  be a set of  $k$  points with discrete topology. Find necessary and sufficient condition for the set  $\mathcal{C}(X, Y)$  contain  $k^2$  elements.

### 24°2x. Topologies on Set of Continuous Mappings

Let  $X$  and  $Y$  be two topological spaces,  $A \subset X$ , and  $B \subset Y$ . We define  $W(A, B) = \{f \in \mathcal{C}(X, Y) \mid f(A) \subset B\}$ ,

$$\Delta^{(pw)} = \{W(a, U) \mid a \in X, U \text{ is open in } Y\},$$

and

$$\Delta^{(co)} = \{W(C, U) \mid C \subset X \text{ is compact, } U \text{ is open in } Y\}.$$

**24.Ax.**  $\Delta^{(pw)}$  is a subbase of a topological structure on  $\mathcal{C}(X, Y)$ .

The topological structure generated by  $\Delta^{(pw)}$  is the *topology of pointwise convergence*. The set  $\mathcal{C}(X, Y)$  equipped with this structure is denoted by  $\mathcal{C}^{(pw)}(X, Y)$ .

**24.Bx.**  $\Delta^{(co)}$  is a subbase of a topological structures on  $\mathcal{C}(X, Y)$ .

The topological structure determined by  $\Delta^{(co)}$  is the *compact-open topology*. Hereafter we denote by  $\mathcal{C}(X, Y)$  the space of all continuous maps  $X \rightarrow Y$  with the compact-open topology, unless the contrary is specified explicitly.

**24.Cx Compact-Open Versus Pointwise.** The compact-open topology is finer than the topology of pointwise convergence.

**24.7x.** Prove that  $\mathcal{C}(I, I)$  is not homeomorphic to  $\mathcal{C}^{(pw)}(I, I)$ .

Denote by  $Const(X, Y)$  the set of all constant maps  $f : X \rightarrow Y$ .

**24.8x.** Prove that the topology of pointwise convergence and the compact-open topology of  $\mathcal{C}(X, Y)$  induce the same topological structure on  $Const(X, Y)$ , which, with this topology, is homeomorphic  $Y$ .

**24.9x.** Let  $X$  be a discrete space of  $n$  points. Prove that  $\mathcal{C}^{(pw)}(X, Y)$  is homeomorphic  $Y \times \dots \times Y$  ( $n$  times). Is this true for  $\mathcal{C}(X, Y)$ ?

### 24°3x. Topological Properties of Mapping Spaces

**24.Dx.** Prove that if  $Y$  is Hausdorff, then  $\mathcal{C}^{(pw)}(X, Y)$  is Hausdorff for any space  $X$ . Is this true for  $\mathcal{C}(X, Y)$ ?

**24.10x.** Prove that  $\mathcal{C}(I, X)$  is path connected iff  $X$  is path connected.

**24.11x.** Prove that  $\mathcal{C}^{(pw)}(I, I)$  is not compact. Is the space  $\mathcal{C}(I, I)$  compact?

### 24°4x. Metric Case

**24.Ex.** If  $Y$  is metrizable and  $X$  is compact, then  $\mathcal{C}(X, Y)$  is metrizable.

Let  $(Y, \rho)$  be a metric space and  $X$  a compact space. For continuous maps  $f, g : X \rightarrow Y$  put

$$d(f, g) = \max\{\rho(f(x), g(x)) \mid x \in X\}.$$

**24.Fx This is a Metric.** If  $X$  is a compact space and  $Y$  a metric space, then  $d$  is a metric on the set  $\mathcal{C}(X, Y)$ .

Let  $X$  be a topological space,  $Y$  a metric space with metric  $\rho$ . A sequence  $f_n$  of maps  $X \rightarrow Y$  *uniformly converges* to  $f : X \rightarrow Y$  if for any  $\varepsilon > 0$  there exists a positive integer  $N$  such that  $\rho(f_n(x), f(x)) < \varepsilon$  for any  $n > N$  and  $x \in X$ . This is a straightforward generalization of the notion of uniform convergence which is known from Calculus.

**24.Gx Metric of Uniform Convergence.** Let  $X$  be a compact space,  $(Y, d)$  a metric space. A sequence  $f_n$  of maps  $X \rightarrow Y$  converges to  $f : X \rightarrow Y$  in the topology generated by  $d$  iff  $f_n$  uniformly converges to  $f$ .

**24.Hx Completeness of  $\mathcal{C}(X, Y)$ .** Let  $X$  be a compact space,  $(Y, \rho)$  a complete metric space. Then  $(\mathcal{C}(X, Y), d)$  is a complete metric space.

**24.Ix Uniform Convergence Versus Compact-Open.** Let  $X$  be a compact space and  $Y$  a metric space. Then the topology generated by  $d$  on  $\mathcal{C}(X, Y)$  is the compact-open topology.

**24.12x.** Prove that the space  $\mathcal{C}(\mathbb{R}, I)$  is metrizable.

**24.13x.** Let  $Y$  be a bounded metric space,  $X$  a topological space admitting a presentation  $X = \bigcup_{i=1}^{\infty} X_i$ , where  $X_i$  is compact and  $X_i \subset \text{Int } X_{i+1}$  for each  $i = 1, 2, \dots$ . Prove that  $\mathcal{C}(X, Y)$  is metrizable.

Denote by  $\mathcal{C}_b(X, Y)$  the set of all continuous bounded maps from a topological space  $X$  to a metric space  $Y$ . For maps  $f, g \in \mathcal{C}_b(X, Y)$ , put

$$d^\infty(f, g) = \sup\{\rho(f(x), g(x)) \mid x \in X\}.$$

**24.Jx Metric on Bounded Maps.** This is a metric in  $\mathcal{C}_b(X, Y)$ .

**24.Kx  $d^\infty$  and Uniform Convergence.** Let  $X$  be a topological space and  $Y$  a metric space. A sequence  $f_n$  of bounded maps  $X \rightarrow Y$  converges to  $f : X \rightarrow Y$  in the topology generated by  $d^\infty$  iff  $f_n$  uniformly converge to  $f$ .

**24.Lx When Uniform Is Not Compact-Open.** Find  $X$  and  $Y$  such that the topology generated by  $d^\infty$  on  $\mathcal{C}_b(X, Y)$  is not the compact-open topology.

### 24°5x. Interactions With Other Constructions

**24.Mx.** For any continuous maps  $\varphi : X' \rightarrow X$  and  $\psi : Y \rightarrow Y'$  the map  $\mathcal{C}(X, Y) \rightarrow \mathcal{C}(X', Y') : f \mapsto \psi \circ f \circ \varphi$  is continuous.

**24.Nx Continuity of Restricting.** Let  $X$  and  $Y$  be two spaces,  $A \subset X$ . Prove that the map  $\mathcal{C}(X, Y) \rightarrow \mathcal{C}(A, Y) : f \mapsto f|_A$  is continuous.

**24.Ox Extending Target.** For any spaces  $X$  and  $Y$  and any  $B \subset Y$ , the map  $\mathcal{C}(X, B) \rightarrow \mathcal{C}(X, Y) : f \mapsto i_B \circ f$  is a topological embedding.

**24.Px Maps to Product.** For any three spaces  $X$ ,  $Y$ , and  $Z$ , the space  $\mathcal{C}(X, Y \times Z)$  is canonically homeomorphic to  $\mathcal{C}(X, Y) \times \mathcal{C}(X, Z)$ .

**24.Qx Restricting to Sets Covering Source.** Let  $\{X_1, \dots, X_n\}$  be a closed cover of  $X$ . Prove that for any space  $Y$

$$\phi : \mathcal{C}(X, Y) \rightarrow \prod_{i=1}^n \mathcal{C}(X_i, Y) : f \mapsto (f|_{X_1}, \dots, f|_{X_n})$$

is a topological embedding. What if the cover is not fundamental?

**24.Rx. Riddle.** Can you generalize assertion 24.Qx?

**24.Sx Continuity of Composing.** Let  $X$  be a space and  $Y$  a locally compact Hausdorff space. Prove that the map

$$\mathcal{C}(X, Y) \times \mathcal{C}(Y, Z) \rightarrow \mathcal{C}(X, Z) : (f, g) \mapsto g \circ f$$

is continuous.

**24.14x.** Is local compactness of  $Y$  necessary in 24.Sx?

**24.Tx Factorizing Source.** Let  $S$  be a closed partition<sup>2</sup> of a Hausdorff compact space  $X$ . Prove that for any space  $Y$  the map

$$\phi : \mathcal{C}(X/S, Y) \rightarrow \mathcal{C}(X, Y)$$

is a topological embedding.

**24.15x.** Are the conditions imposed on  $S$  and  $X$  in 24.Tx necessary?

**24.Ux The Evaluation Map.** Let  $X$  and  $Y$  be two spaces. Prove that if  $X$  is locally compact and Hausdorff, then the map

$$\phi : \mathcal{C}(X, Y) \times X \rightarrow Y : (f, x) \mapsto f(x)$$

is continuous.

**24.16x.** Are the conditions imposed on  $X$  in 24.Ux necessary?

**24°6x. Mappings  $X \times Y \rightarrow Z$  and  $X \rightarrow \mathcal{C}(Y, Z)$**

**24.Vx.** Let  $X$ ,  $Y$ , and  $Z$  be three topological spaces,  $f : X \times Y \rightarrow Z$  a continuous map. Then the map

$$F : X \rightarrow \mathcal{C}(Y, Z) : F(x) : y \mapsto f(x, y),$$

is continuous.

The converse assertion is also true under certain additional assumptions.

**24.Wx.** Let  $X$  and  $Z$  be two spaces,  $Y$  a Hausdorff locally compact space,  $F : X \rightarrow \mathcal{C}(Y, Z)$  a continuous map. Then the map  $f : X \times Y \rightarrow Z : (x, y) \mapsto F(x)(y)$  is continuous.

**24.Xx.** If  $X$  is a Hausdorff space and the collection  $\Sigma_Y = \{U_\alpha\}$  is a subbase of the topological structure of  $Y$ , then the collection  $\{W(K, U) \mid U \in \Sigma\}$  is a subbase of the compact-open topology in  $\mathcal{C}(X, Y)$ .

**24.Yx.** Let  $X$ ,  $Y$ , and  $Z$  be three spaces. Let

$$\Phi : \mathcal{C}(X \times Y, Z) \rightarrow \mathcal{C}(X, \mathcal{C}(Y, Z))$$

be defined by the relation

$$\Phi(f)(x) : y \mapsto f(x, y).$$

Then

- (1) if  $X$  is a Hausdorff space, then  $\Phi$  is continuous;
- (2) if  $X$  is a Hausdorff space, while  $Y$  is locally compact and Hausdorff, then  $\Phi$  is a homeomorphism.

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<sup>2</sup>Recall that a partition is *closed* if the saturation of each closed set is closed.

**24.Zx.** Let  $S$  be a partition of a space  $X$ , and let  $\text{pr} : X \rightarrow X/S$  be the projection. The space  $X \times Y$  bears a natural partition  $S' = \{A \times y \mid A \in S, y \in Y\}$ . If the space  $Y$  is Hausdorff and locally compact, then the natural quotient map  $f : (X \times Y)/S' \rightarrow X/S \times Y$  of the projection  $\text{pr} \times \text{id}_Y$  is a homeomorphism.

**24.17x.** Try to prove Theorem 24.Zx directly.

## Proofs and Comments

**19.A** For example, let us prove the second relation:

$$\begin{aligned} (x, y) \in (A_1 \times B_1) \cap (A_2 \times B_2) &\iff x \in A_1, y \in B_1, x \in A_2, y \in B_2 \\ &\iff x \in A_1 \cap A_2, y \in B_1 \cap B_2 \iff (x, y) \in (A_1 \cap A_2) \times (B_1 \cap B_2). \end{aligned}$$

**19.B** Indeed,

$$\text{pr}_X^{-1}(A) = \{z \in X \times Y \mid \text{pr}_X(z) \in A\} = \{(x, y) \in X \times Y \mid x \in A\} = A \times Y.$$

**19.C**  $\implies$  Indeed,  $\Gamma_f \cap (x \times Y) = \{(x, f(x))\}$  is a singleton.

$\impliedby$  If  $\Gamma \cap (x \times Y)$  is a singleton  $\{(x, y)\}$ , then we can put  $f(x) = y$ .

**19.D** This follows from 3.A because the intersection of elementary sets is an elementary set.

**19.E** Verify that  $X \times Y \rightarrow Y \times X : (x, y) \mapsto (y, x)$  is a homeomorphism.

**19.F** In view of a canonical bijection, we can identify two sets and write

$$(X \times Y) \times Z = X \times (Y \times Z) = \{(x, y, z) \mid x \in X, y \in Y, z \in Z\}.$$

However, elementary sets in the spaces  $(X \times Y) \times Z$  and  $X \times (Y \times Z)$  are different. Check that the collection  $\{U \times V \times W \mid U \in \Omega_X, V \in \Omega_Y, W \in \Omega_Z\}$  is a base of the topological structures in both spaces.

**19.G** Indeed, for each open set  $U \subset X$  the preimage  $\text{pr}_X^{-1}(U) = U \times Y$  is an elementary open set in  $X \times Y$ .

**19.H** Let  $\Omega'$  be a topology in  $X \times Y$  such that the projections  $\text{pr}_X$  and  $\text{pr}_Y$  are continuous. Then, for any  $U \in \Omega_X$  and  $V \in \Omega_Y$ , we have

$$\text{pr}_X^{-1}(U) \cap \text{pr}_Y^{-1}(V) = (U \times Y) \cap (X \times V) = U \times V \in \Omega'.$$

Therefore, each base set of the product topology lies in  $\Omega'$ , whence it follows that  $\Omega'$  contains the product topology of  $X$  and  $Y$ .

**19.I** Clearly,  $\text{ab}(\text{pr}_X) : X \times y_0 \rightarrow X$  is a continuous bijection. To see that the inverse map is continuous, we must show that each set open in  $X \times y_0$  as in a subspace of  $X \times Y$  has the form  $U \times y_0$ . Indeed, if  $W$  is open in  $X \times Y$ , then

$$W \cap (X \times y_0) = \bigcup_{\alpha} (U_{\alpha} \times V_{\alpha}) \cap (X \times y_0) = \bigcup_{\alpha : y_0 \in V_{\alpha}} (U_{\alpha} \times y_0) = \left( \bigcup_{\alpha : y_0 \in V_{\alpha}} U_{\alpha} \right) \times y_0.$$

**19.J** From the point of view of set theory, we have  $\mathbb{R}^1 \times \mathbb{R}^1 = \mathbb{R}^2$ . The collection of open rectangles is a base of topology in  $\mathbb{R}^1 \times \mathbb{R}^1$  (show this), therefore, the topologies in  $\mathbb{R}^1 \times \mathbb{R}^1$  and  $\mathbb{R}^2$  have one and the same base,

and so they coincide. The second assertion is proved by induction and, in turn, implies the third one by 19.7.

**19.K** Set  $f(z) = (f_1(z), f_2(z))$ . If  $f(z) = (x, y) \in X \times Y$ , then  $x = (\text{pr}_X \circ f)(z) = f_1(z)$ . We similarly have  $y = f_2(z)$ .

**19.L**  $\Leftrightarrow$  The maps  $f_1 = \text{pr}_X \circ f$  and  $f_2 = \text{pr}_Y \circ f$  are continuous as compositions of continuous maps (use 19.G).

$\Leftrightarrow$  Recall the definition of the product topology and use 19.20.

**19.M** Recall the definition of the product topology and use 19.22.

**19.N** Let  $X$  and  $Y$  be two Hausdorff spaces,  $(x_1, y_1), (x_2, y_2) \in X \times Y$  two distinct points. Let, for instance,  $x_1 \neq x_2$ . Since  $X$  is Hausdorff,  $x_1$  and  $x_2$  have disjoint neighborhoods:  $U_{x_1} \cap U_{x_2} = \emptyset$ . Then, e.g.,  $U_{x_1} \times Y$  and  $U_{x_2} \times Y$  are disjoint neighborhoods of  $(x_1, y_1)$  and  $(x_2, y_2)$  in  $X \times Y$ .

**19.O** If  $A$  and  $B$  are countable and dense in  $X$  and  $Y$ , respectively, then  $A \times B$  is a dense countable set in  $X \times Y$ .

**19.P** See the proof of Theorem 19.Q below.

**19.Q** If  $\Sigma_X$  and  $\Sigma_Y$  are countable bases in  $X$  and  $Y$ , respectively, then  $\Sigma = \{U \times V \mid U \in \Sigma_X, V \in \Sigma_Y\}$  is a base in  $X \times Y$  by 19.15.

**19.R** Show that if  $\rho_1$  and  $\rho_2$  are metrics on  $X$  and  $Y$ , respectively, then  $\rho((x_1, y_1), (x_2, y_2)) = \max\{\rho_1(x_1, x_2), \rho_2(y_1, y_2)\}$  is a metric in  $X \times Y$  generating the product topology. What form have the balls in the metric space  $(X \times Y, \rho)$ ?

**19.S** For any two points  $(x_1, y_1), (x_2, y_2) \in X \times Y$ , the set  $(X \times y_2) \cup (x_1 \times Y)$  is connected and contains these points.

**19.T** If  $u$  and  $v$  are paths joining  $x_1$  with  $x_2$  and  $y_1$  with  $y_2$ , respectively, then the path  $u \times v$  joins  $(x_1, y_1)$  with  $(x_2, y_2)$ .

**19.U** It is sufficient to consider a cover consisting of elementary sets. Since  $Y$  is compact, each fiber  $x \times Y$  has a finite subcovering  $\{U_i^x \times V_i^x\}$ . Put  $W^x = \cap U_i^x$ . Since  $X$  is compact, the cover  $\{W^x\}_{x \in X}$  has a finite subcovering  $W^{x_j}$ . Then  $\{U_i^{x_j} \times V_i^{x_j}\}$  is the required finite subcovering.

**19.V** Consider the map  $(x, y) \mapsto \left( \left( \frac{x}{\sqrt{x^2+y^2}}, \frac{y}{\sqrt{x^2+y^2}} \right), \ln(\sqrt{x^2+y^2}) \right)$ .

**20.A** First, the preimage  $\text{pr}^{-1}(\text{pr}(A))$  is saturated, secondly, it is the least because if  $B \supset A$  is a saturated set, then  $B = \text{pr}^{-1}(\text{pr}(B)) \supset \text{pr}^{-1}(\text{pr}(A))$ .

**20.C** Put  $\Omega' = \{U \subset X/S \mid \text{pr}^{-1}(U) \in \Omega\}$ . Let  $U_\alpha \in \Omega'$ . Since the sets  $p^{-1}(U_\alpha)$  are open, the set  $p^{-1}(\cup U_\alpha) = \cup p^{-1}(U_\alpha)$  is also open, whence

$\cup U_\alpha \in \Omega'$ . Verify the remaining axioms of topological structure on your own.

**20.D**  $\Leftrightarrow$  If a set  $V \subset X$  is open and saturated, then  $V = \text{pr}^{-1}(p(V))$ , hence, the set  $U = \text{pr}(V)$  is open in  $X/S$ .

$\Leftrightarrow$  Conversely, if  $U \subset X/S$  is open, then  $U = \text{pr}(\text{pr}^{-1}(U))$ , where  $V = \text{pr}^{-1}(U)$  is open and saturated.

**20.E** The set  $F$  closed, iff  $X/S \setminus F$  is open, iff  $\text{pr}^{-1}(X/S \setminus F) = X \setminus \text{pr}^{-1}(F)$  is open, iff  $p^{-1}(F)$  is closed.

**20.F** This immediately follows from the definition of the quotient topology.

**20.G** We must prove that if  $\Omega'$  is a topology in  $X/S$  such that the factorization map is continuous, then  $\Omega' \subset \Omega_{X/S}$ . Indeed, if  $U \in \Omega'$ , then  $p^{-1}(U) \in \Omega_X$ , whence  $U \in \Omega_{X/S}$  by the definition of the quotient topology.

**20.H** It is connected as a continuous image of a connected space.

**20.I** It is path-connected as a continuous image of a path-connected space.

**20.J** It is separable as a continuous image of a separable space.

**20.K** It is compact as a continuous image of a compact space.

**20.L** This quotient space consists of two points, one of which is not open in it.

**20.M**  $\Leftrightarrow$  Let  $a, b \in X/S$ , and let  $A, B \subset X$  be the corresponding elements of the partition. If  $U_a$  and  $U_b$  are disjoint neighborhoods of  $a$  and  $b$ , then  $p^{-1}(U_a)$  and  $p^{-1}(U_b)$  are disjoint saturated neighborhoods of  $A$  and  $B$ .  $\Leftrightarrow$  This follows from 20.D.

**20.N** 1)  $\Leftrightarrow$  Put  $g = f/S$ .  $\Leftrightarrow$  The set  $f^{-1}(y) = p^{-1}(g^{-1}(y))$  is saturated, i.e., it consists of elements of the partition  $S$ . Therefore,  $f$  is constant at each of the elements of the partition. 2) If  $A$  is an element of  $S$ ,  $a$  is the point of the quotient set corresponding to  $A$ , and  $x \in A$ , then  $f/S(a) = f(A) = g(p(x)) = g(a)$ .

**20.O** The map  $f$  maps elements of  $S$  to those of  $T$  iff there exists a map  $g : X/S \rightarrow Y/T$  such that the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \text{pr}_X \downarrow & & \text{pr}_Y \downarrow \\ X/S & \xrightarrow{g} & Y/T \end{array}$$

is commutative. Then we have  $f/(S, T) = g$ .

**20.P** This is so because distinct elements of the partition  $S(f)$  are preimages of distinct points in  $Y$ .

**20.Q** Since  $p^{-1}((f/S)^{-1}(U)) = (f/S \circ p)^{-1}(U) = f^{-1}(U)$ , the definition of the quotient topology implies that for each  $U \in \Omega_Y$  the set  $(f/S)^{-1}(U)$  is open, i.e., the map  $f/S$  is continuous.

**20.R** See 20.O and 20.8.

**20.Ax** Each singleton in  $X/S$  is the image of a singleton in  $X$ . Since  $X$  satisfies  $T_1$ , each singleton in  $X$  is closed, and its image, by 20.1x, is also closed. Consequently, the quotient space also satisfies  $T_1$ .

**20.Bx** This follows from 14.25.

**20.Cx** Let  $U_n = p(V_n)$ ,  $n \in \mathbb{N}$ , where  $\{V_n\}_{n \in \mathbb{N}}$  is a base  $X$ . Consider an open set  $W$  in the quotient space. Since  $\text{pr}^{-1}(W) = \bigcup_{n \in A} V_n$ , we have  $W = \text{pr}(\text{pr}^{-1}(W)) = \bigcup_{n \in A} U_n$ , i.e., the collection  $\{U_n\}$  is a base in the quotient space.

**20.Dx** For an arbitrary point  $y \in X/S$ , consider the image of a countable neighborhood base at a certain point  $x \in \text{pr}^{-1}(y)$ .

**20.Ex** Since the injective factor of a continuous surjection is a continuous bijection, it only remains to prove that the factor is an open map, which follows by 20.7 from the fact that the map  $X \times Y \rightarrow X/S \times Y/T$  is open (see 19.23).

**21.A** This follows from 20.P, 20.Q, 20.K, and 16.Y.

**21.B** Use 16.Z instead of 16.Y.

**21.C.1** If  $f : t \in [0, 1] \mapsto (\cos 2\pi t, \sin 2\pi t) \in S^1$ , then  $f/S(f)$  is a homeomorphism as a continuous bijection of a compact space onto a Hausdorff space, and the partition  $S(f)$  is the initial one.

**21.D.1** If  $f : x \in \mathbb{R}^n \mapsto (\frac{x}{r} \sin \pi r, -\cos \pi r) \in S^n \subset \mathbb{R}^{n+1}$ , then the partition  $S(f)$  is the initial one and  $f/S(f)$  is a homeomorphism.

**21.E** Consider the map  $g = f \times \text{id} : I^2 = I \times I \rightarrow S^1 \times I$  ( $f$  is defined as in 21.C.1). The partition  $S(g)$  is the initial one, so that  $g/S(g)$  a homeomorphism.

**21.F** Check that the partition  $S(\text{id}_{S^1} \times f)$  is the initial one.

**21.G** The partition  $S(f \times f)$  is the initial one.

**21.H** Consider the commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{p_1} & X/S \\ p \downarrow & & p_2 \downarrow \\ X/T & \xrightarrow{q} & X/S/S' \end{array}$$

where the map  $q$  is obviously a bijection. The assertion of the problem follows from the fact that a set  $U$  is open in  $X/S/S'$  iff  $p_1^{-1}(p_2^{-1}(U)) = p^{-1}(q^{-1}(U))$  is open in  $X$  iff  $q^{-1}(U)$  is open in  $X/T$ .

**21.I** To simplify the formulas, we replace the square  $I^2$  by a rectangle. Here is a formal argument: consider the map

$$\begin{aligned} \varphi : [0, 2\pi] \times [-\frac{1}{2}, \frac{1}{2}] &\rightarrow \mathbb{R}^3 : (x, y) \mapsto \\ &((1 + y \sin \frac{x}{2}) \cos x, (1 + y \sin \frac{x}{2}) \sin x, y \sin x). \end{aligned}$$

Check that  $\varphi$  really maps the square onto the Möbius strip and that  $S(\varphi)$  is the given partition. Certainly, the starting point of the argument is not a specific formula. First of all, you should imagine the required map. We map the horizontal midline of the unit square onto the mid-circle of the Möbius strip, and we map each of the vertical segments of the square onto a segment of the strip orthogonal to the the mid-circle. This mapping maps the vertical sides of the square to one and the same segment, but here the opposite vertices of the square are identified with each other (check this).

**21.J** See the following section.

**21.K** Actually, it is easier to prove a more general assertion. Assume that we are given topological spaces  $X_\alpha$  and maps  $f_\alpha : X_\alpha \rightarrow Y$ . Then  $\Omega = \{U \subset Y \mid f_\alpha^{-1}(U) \text{ is open in } X_\alpha\}$  is the finest topological structure in  $Y$  with respect to which all maps  $f_\alpha$  are continuous.

**21.L** See the hint to 21.K.

**21.M** We map  $D_1^n \sqcup D_2^n$  to  $S^n$  so that the images of  $D_1^n$  and  $D_2^n$  are the upper and the lower hemisphere, respectively. The partition into the preimages is the partition with quotient space  $D^n \cup_{\text{id}|_{S^{n-1}}} D^n$ . Consequently, the corresponding quotient map is a homeomorphism.

**21.N** Consider the map  $F : X \sqcup Y \rightarrow X \sqcup Y$  such that  $F|_X = \text{id}_X$  and  $F|_Y = h$ . This mapping maps an element of the partition corresponding to the equivalence relation  $z \sim f(x)$  to an element of the partition corresponding to the equivalence relation  $x \sim g(x)$ . Consequently, there exists a continuous bijection  $H : X \cup_f Y \rightarrow X \cup_g Y$ . Since  $h^{-1}$  also is a homeomorphism,  $H^{-1}$  is also continuous.

**21.O** By 21.N, it is sufficient to prove that any homeomorphism  $f : S^{n-1} \rightarrow S^{n-1}$  can be extended to a homeomorphism  $F : D^n \rightarrow D^n$ , which is obvious.

**21.P** For example, the stereographic projection from an inner point of the hole maps the sphere with a hole onto a disk homeomorphically.

**21.Q** The stereographic projection from an inner point of one of the holes homeomorphically maps the sphere with two holes onto a “disk with a hole”. Prove that the latter is homeomorphic to a cylinder. (Another option: if we take the center of the projection in the hole in an appropriate way, then the projection maps the sphere with two holes onto a circular ring, which is obviously homeomorphic to a cylinder.)

**21.R** By definition, the handle is homeomorphic to a torus with a hole, while the sphere with a hole is homeomorphic to a disk, which precisely fills in the hole.

**21.S** Cut a sphere with two handles into two symmetric parts each of which is homeomorphic to a handle.

**21.T** Combine the results of 21.P 21.J.

**21.U** Consider the Klein bottle as a quotient space of a square and cut the square into 5 horizontal (rectangular) strips of equal width. Then the quotient space of the middle strip will be a Möbius band, the quotient space of the union of the two extreme strips will be one more Möbius band, and the quotient space of the remaining two strips will be a ring, i.e., precisely a sphere with two holes. (Here is another, maybe more visual, description. Look at the picture of the Klein bottle: it has a horizontal plane of symmetry. Two horizontal planes close to the plane of symmetry cut the Klein bottle into two Möbius bands and a ring.)

**21.V** The most visual approach here is as follows: single out one of the handles and one of the films. Replace the handle by a “tube” whose boundary circles are attached to those of two holes on the sphere, which should be sufficiently small and close to each other. After that, start moving one of the holes. (The topological type of the quotient space does not change in the course of such a motion.) First, bring the hole to the boundary of the film, then shift it onto the film, drag it once along the film, shift it from the film, and, finally, return the hole to the initial spot. As a result, we transform the initial handle (a torus with a hole) into a Klein bottle with a hole, which splits into two Möbius bands (see Problem 21.U), i.e., into two films.

**22.A** Consider the composition  $f$  of the embedding  $D^n$  in  $S^n$  onto a hemisphere and of the projection  $pr : S^n \rightarrow \mathbb{R}P^n$ . The partition  $S(f)$  is that described in the formulation. Consequently,  $f/S(f)$  is a homeomorphism.

**22.C** Consider  $f : S^1 \rightarrow S^1 : z \mapsto z^2 \in \mathbb{C}$ . Then  $S^1/S(f) \cong \mathbb{R}P^1$ .

**22.D** See 22.A.

**22.E** Consider the composition  $f$  of the embedding of  $S^n$  in  $\mathbb{R}^n \setminus 0$  and of the projection onto the quotient space by the described the partition. It is clear that the partition  $S(f)$  is the partition factorizing by which we obtain the projective space. Therefore,  $f/S(f)$  is a homeomorphism.

**22.F** To see that the described function is a metric, use the triangle inequality between the plane angles of a trilateral angle. Now, take each point  $x \in S^n$  the line  $l(x)$  through the origin with direction vector  $x$ . We have thus defined a continuous (check this) map of  $S^n$  to the indicated space of lines, whose injective factor is a homeomorphism.

**22.G** The image of this map is the set  $U_0 = \{(x_0 : x_1 : \dots : x_n) \mid x_0 \neq 0\}$ , and the inverse map  $j : U_0 \rightarrow \mathbb{R}^n$  is defined by the formula

$$(x_0 : x_1 : \dots : x_n) \mapsto \left( \frac{x_1}{x_0}, \frac{x_2}{x_0}, \dots, \frac{x_n}{x_0} \right).$$

Since both  $i$  and  $j$  are continuous,  $i$  is a topological embedding.

**22.H** Consider the embedding  $S^{n-1} = S^n \cap \{x_{n+1} = 0\} \rightarrow S^n \subset \mathbb{R}^{n+1}$  and the induced embedding  $\mathbb{R}P^{n-1} \rightarrow \mathbb{R}P^n$ .

**23.Ax** If  $a \lesssim b \lesssim c$ , then we have  $a \prec b \prec c$ ,  $a = b = c$ ,  $a \prec b = c$ , or  $a = b \prec c$ . In all four cases, we have  $a \lesssim c$ .

**23.Bx** The relation  $\sim$  is obviously reflexive, symmetric, and also transitive.

**23.Cx** Indeed, if  $a' \sim a$ ,  $a \lesssim b$ , and  $b \sim b'$ , then  $a' \lesssim a \lesssim b \lesssim b'$ , whence  $a' \lesssim b'$ . Clearly, the relation defined on the equivalence classes is transitive and reflexive. Now, if two equivalence classes  $[a]$  and  $[b]$  satisfy both  $a \lesssim b$  and  $b \lesssim a$ , then  $[a] = [b]$ , i.e., the relation is anti-symmetric, hence, it is a nonstrict order.

**23.Dx** (a) In this case, we obtain the trivial nonstrict order on a singleton; (b) In this case, we obtain the same nonstrict order on the same set.

**23.Ex** The relation is obviously reflexive. Further, if  $a \lesssim b$ , then each neighborhood  $U$  of  $a$  contains  $b$ , and so  $U$  also is a neighborhood of  $b$ , hence, if  $b \lesssim c$ , then  $c \in U$ . Therefore,  $a \in \text{Cl}\{c\}$ , whence  $a \lesssim c$ , and thus the relation is also transitive.

**23.Fx** Consider the element of the partition that consists by definition of points each of which lies in the closure of any other point, so that each open set in  $X$  containing one of the points also contains any other. Therefore,

the topology induced on each element of the partition is indiscrete. It is also clear that each element of the partition is a maximal subset which is an indiscrete subspace. Now consider two points in the quotient space and two points  $x, y \in X$  lying in the corresponding elements of the partition. Since  $x \not\sim y$ , there is an open set containing exactly one of these points. Since each open set  $U$  in  $X$  is saturated with respect to the partition, the image of  $U$  in  $X/S$  is the required neighborhood.

**23.Gx** Obvious.

**23.Hx** This follows from 23.Fx, 23.Gx, and 20.R.

**24.Ax** It is sufficient to observe that the sets in  $\Delta^{(pw)}$  cover the entire set  $\mathcal{C}(X, Y)$ . (Actually,  $\mathcal{C}(X, Y) \in \Delta^{(pw)}$ .)

**24.Bx** Similarly to 24.Ax

**24.Cx** Since each one-point subset is compact, it follows that  $\Delta^{(pw)} \subset \Delta^{(co)}$ , whence  $\Omega^{(pw)} \subset \Omega^{(co)}$ .

**24.Dx** If  $f \neq g$ , then there is  $x \in X$  such that  $f(x) \neq g(x)$ . Since  $Y$  is Hausdorff,  $f(x)$  and  $g(x)$  have disjoint neighborhoods  $U$  and  $V$ , respectively. The subbase elements  $W(x, U)$  and  $W(x, V)$  are disjoint neighborhoods of  $f$  and  $g$  in the space  $\mathcal{C}^{(pw)}(X, Y)$ . They also are disjoint neighborhoods of  $f$  and  $g$  in  $\mathcal{C}(X, Y)$ .

**24.Ex** See assertion 24.Ix.

**24.Hx** Consider functions  $f_n \in \mathcal{C}(X, Y)$  such that  $\{f_n\}_1^\infty$  is a Cauchy sequence. For every point  $x \in X$ , the sequence  $\{f_n(x)\}$  is a Cauchy sequence in  $Y$ . Therefore, since  $Y$  is a complete space, this sequence converges. Put  $f(x) = \lim f_n(x)$ . We have thus defined a function  $f : X \rightarrow Y$ . Since  $\{f_n\}$  is a Cauchy sequence, for each  $\varepsilon > 0$  there exists a positive integer  $N$  such that  $\rho(f_n(x), f_k(x)) < \frac{\varepsilon}{4}$  for any  $n, k \geq N$  and  $x \in X$ . Passing to the limit as  $k \rightarrow \infty$ , we see that  $\rho(f_n(x), f(x)) \leq \frac{\varepsilon}{4} < \frac{\varepsilon}{3}$  for any  $n \geq N$  and  $x \in X$ . Thus, to prove that  $f_n \rightarrow f$  as  $n \rightarrow \infty$ , it remains to show that  $f \in \mathcal{C}(X, Y)$ . For each  $a \in X$ , there exists a neighborhood  $U_a$  such that  $\rho(f_N(x), f_N(a)) < \frac{\varepsilon}{3}$  for every  $x \in U_a$ . The triangle inequality implies that for every  $x \in U_a$  we have

$$\rho(f(x), f(a)) \leq \rho(f(x), f_N(x)) + \rho(f_N(x), f_N(a)) + \rho(f_N(a), f(a)) < \varepsilon.$$

Therefore, the function  $f$  is a continuous limit of the considered Cauchy sequence.

**24.Ix** Take an arbitrary set  $W(K, U)$  in the subbase. Let  $f \in W(K, U)$ . If  $r = \rho(f(K), Y \setminus U)$ , then  $D_r(f) \subset W(K, U)$ . As a consequence, we see that each open set in the compact-open topology is open in the topology generated by the metric of uniform convergence. To prove the converse

assertion, it suffices to show that for each map  $f : X \rightarrow Y$  and each  $r > 0$  there are compact sets  $K_1, K_2, \dots, K_n \subset X$  and open sets  $U_1, U_2, \dots, U_n \subset Y$  such that

$$f \in \bigcap_{i=1}^n W(K_i, U_i) \subset D_r(f).$$

Cover  $f(X)$  by a finite number of balls with radius  $r/4$  centered at certain points  $f(x_1), f(x_2), \dots, f(x_n)$ . Let  $K_i$  be the  $f$ -preimage of a closed disk in  $Y$  with radius  $r/4$ , and let  $U_i$  be the open ball with radius  $r/2$ . By construction, we have  $f \in W(K_1, U_1) \cap \dots \cap W(K_n, U_n)$ . Consider an arbitrary map  $g$  in this intersection. For each  $x \in K_1$ , we see that  $f(x)$  and  $g(x)$  lie in one and the same open ball with radius  $r/2$ , whence  $\rho(f(x), g(x)) < r$ . Since, by construction, the sets  $K_1, \dots, K_n$  cover  $X$ , we have  $\rho(f(x), g(x)) < r$  for all  $x \in X$ , whence  $d(f, g) < r$ , and, therefore,  $g \in D_r(f)$ .

**24.Mx** This follows from the fact that for each compact  $K \subset X'$  and  $U \subset Y'$  the preimage of the subbase set  $W(K, U) \in \Delta^{(co)}(X', Y')$  is the subbase set  $W(\varphi(K), \psi^{-1}(U)) \in \Delta^{(co)}(X, Y)$ .

**24.Nx** This immediately follows from 24.Mx.

**24.Ox** It is clear that the indicated map is an injection. To simplify the notation, we identify the space  $\mathcal{C}(X, B)$  with its image under this injection. For each compact set  $K \subset X$  and  $U \in \Omega_B$  we denote by  $W^B(K, U)$  the corresponding subbase set in  $\mathcal{C}(X, B)$ . If  $V \in \Omega_Y$  and  $U = B \cap V$ , then we have  $W^B(K, U) = \mathcal{C}(X, B) \cap W(K, V)$ , whence it follows that  $\mathcal{C}(X, Y)$  induces the compact-open topology on  $\mathcal{C}(X, B)$ .

**24.Px** Verify that the natural mapping  $f \mapsto (\text{pr}_Y \circ f, \text{pr}_Z \circ f)$  is a homeomorphism.

**24.Qx** The injectivity of  $\phi$  follows from the fact that  $\{X_i\}$  is a cover, while the continuity of  $\phi$  follows from assertion 24.Nx. Once more, to simplify the notation, we identify the set  $\mathcal{C}(X, Y)$  with its image under the injection  $\phi$ . Let  $K \subset X$  be a compact set,  $U \in \Omega_Y$ . Put  $K_i = K \cap X_i$  and denote by  $W^i(K_i, U)$  the corresponding element in the subbase  $\Delta^{(co)}(X_i, Y)$ . Since, obviously,

$$W(K, U) = \mathcal{C}(X, Y) \cap (W^1(K_1, U) \times \dots \times W^n(K_n, U)),$$

the continuous injection  $\phi$  is indeed a topological embedding.

**24.Sx** Consider maps  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$ , a compact set  $K \subset X$  and  $V \in \Omega_Z$  such that  $g(f(K)) \subset V$ , i.e.,  $\phi(f, g) \in W(K, V)$ . Then we have an inclusion  $f(K) \subset g^{-1}(V) \in \Omega_Y$ . Since  $Y$  is Hausdorff and locally compact and the set  $f(K)$  is compact,  $f(K)$  has a neighborhood  $U$  whose closure is compact and also contained in  $g^{-1}(V)$  (see, 18.6x.) In this case,

we have  $\phi(W(K, U) \times W(\text{Cl}U, V)) \subset W(K, V)$ , and, consequently, the map  $\phi$  is continuous.

**24.Tx** The continuity of  $\phi$  follows from 24.Mx, and its injectivity is obvious. Let  $K \subset X/S$  be a compact set,  $U \in \Omega_Y$ . The image of the open subbase set  $W(K, U) \subset \mathcal{C}(X/S, Y)$  is the set of all maps  $g : X \rightarrow Y$  constant on all elements of the partitions and such that  $g(\text{pr}^{-1}(K)) \subset U$ . It remains to show that the set  $W(\text{pr}^{-1}(K), U)$  is open in  $\mathcal{C}(X, Y)$ . Since the quotient space  $X/S$  is Hausdorff, it follows that the set  $K$  is closed. Therefore, the preimage  $\text{pr}^{-1}(K)$  is closed, and hence also compact. Consequently,  $W(\text{pr}^{-1}(K), U)$  is a subbase set in  $\mathcal{C}(X, Y)$ .

**24.Ux** Let  $f_0 \in \mathcal{C}(X, Y)$  and  $x_0 \in X$ . To prove that  $\phi$  is continuous at the point  $(f_0, x_0)$ , consider a neighborhood  $V$  of  $f_0(x_0)$  in  $Y$ . Since the map  $f_0$  is continuous, the point  $x_0$  has a neighborhood  $U'$  such that  $f_0(U') \subset V$ . Since the space  $X$  is Hausdorff and locally compact, it follows that  $x_0$  has a neighborhood  $U$  such that the closure  $\text{Cl}U$  is a compact subset of  $U'$ . Since, obviously,  $f(x) \in V$  for any map  $f \in W = W(\text{Cl}U, V)$  and any point  $x \in U$ , we see that  $\phi(W \times U) \subset V$ .

**24.Vx** Assume that  $x_0 \in X$ ,  $K \subset Y$  be a compact set,  $V \subset \Omega_Z$ , and  $F(x_0) \in W(K, V)$ , i.e.,  $f(\{x_0\} \times K) \subset V$ . Let us show that the map  $F$  is continuous. For this purpose, let us find a neighborhood  $U_0$  of  $x_0$  in  $X$  such that  $F(U_0) \subset W(K, V)$ . The latter inclusion is equivalent to the fact that  $f(U_0 \times K) \in V$ . We cover the set  $\{x_0\} \times K$  by a finite number of neighborhoods  $U_i \times V_i$  such that  $f(U_i \times V_i) \subset V$ . It remains to put  $U_0 = \bigcap_i U_i$ .

**24.Wx** Let  $(x_0, y_0) \in X \times Y$ , and let  $G$  be a neighborhood of the point  $z_0 = f(x_0, y_0) = F(x_0)(y_0)$ . Since the map  $F(x_0) : Y \rightarrow Z$  is continuous,  $y_0$  has a neighborhood  $W$  such that  $F(W) \subset G$ . Since  $Y$  is Hausdorff and locally compact,  $y_0$  has a neighborhood  $V$  with compact closure such that  $\text{Cl}V \subset W$  and, consequently,  $F(x_0)(\text{Cl}V) \subset G$ , i.e.,  $F(x_0) \in W(\text{Cl}V, G)$ . Since the map  $F$  is continuous,  $x_0$  has a neighborhood  $U$  such that  $F(U) \subset W(\text{Cl}V, G)$ . Then, if  $(x, y) \in U \times V$ , we have  $F(x) \in W(\text{Cl}V, G)$ , whence  $f(x, y) = F(x)(y) \in G$ . Therefore,  $f(U \times V) \subset G$ , i.e.,  $f$  is continuous.

**24.Xx** It suffices to show that for each compact set  $K \subset X$ , each open set  $U \subset Y$ , and each  $f \in W(K, U)$  there are compact sets  $K_1, K_2, \dots, K_m \subset K$  and open sets  $U_1, U_2, \dots, U_m \in \Sigma_Y$  such that

$$f \in W(K_1, U_1) \cap W(K_2, U_2) \cap \dots \cap W(K_m, U_m) \subset W(K, U).$$

Let  $x \in K$ . Since  $f(x) \in U$ , there are sets  $U_1^x, U_2^x, \dots, U_{n_x}^x \in \Sigma_Y$  such that  $f(x) \in U_1^x \cap U_2^x \cap \dots \cap U_{n_x}^x \subset U$ . Since  $f$  is continuous,  $x$  has a neighborhood  $G_x$  such that  $f(x) \in U_1^x \cap U_2^x \cap \dots \cap U_{n_x}^x$ . Since  $X$  is locally compact and Hausdorff,  $X$  is regular, consequently,  $x$  has a neighborhood

$V_x$  such that  $\text{Cl}V_x$  is compact and  $\text{Cl}V_x \in G_x$ . Since the set  $K$  is compact,  $K$  is covered by a finite number of neighborhoods  $V_{x_i}$ ,  $i = 1, 2, \dots, n$ . We put  $K_i = K \cap \text{Cl}V_{x_i}$ ,  $i = 1, 2, \dots, n$ , and  $U_{ij} = U_j^{x_i}$ ,  $j = 1, 2, \dots, n_{x_i}$ . Then the set

$$\bigcap_{i=1}^n \bigcap_{j=1}^{n_i} W(K_j, U_{ij})$$

is the required one.

**24.Yx** First of all, we observe that assertion 24.Vx implies that the map  $\Phi$  is well defined (i.e., for  $f \in \mathcal{C}(X, \mathcal{C}(Y, Z))$  we indeed have  $\Phi(f) \in \mathcal{C}(X, \mathcal{C}(Y, Z))$ ), while assertion 24.Wx implies that if  $Y$  is locally compact and Hausdorff, then  $\Phi$  is invertible.

1) Let  $K \subset X$  and  $L \subset Y$  be compact sets,  $V \in \Omega_Z$ . The sets of the form  $W(L, V)$  constitute a subbase in  $\mathcal{C}(Y, Z)$ . By 24.Xx, the sets of the form  $W(K, W(L, V))$  constitute a subbase in  $\mathcal{C}(X, \mathcal{C}(Y, Z))$ . It remains to observe that  $\Phi^{-1}(W(K, W(L, V))) = W(K \times L, V) \in \Delta^{(co)}(X \times Y, Z)$ . Therefore, the map  $\Phi$  is continuous.

2) Let  $Q \subset X \times Y$  be a compact set and  $G \in \Omega_Z$ . Let  $\varphi \in \Phi(W(Q, G))$ , so that  $\varphi(x) : y \mapsto f(x, y)$  for a certain map  $f \in W(Q, G)$ . For each  $q \in Q$ , take a neighborhood  $U_q \times V_q$  of  $q$  such that: the set  $\text{Cl}V_q$  is compact and  $f(U_q \times \text{Cl}V_q) \subset G$ . Since  $Q$  is compact, we have  $Q \subset \bigcup_{i=1}^n (U_{q_i} \times V_{q_i})$ . The sets  $W_i = W(\text{Cl}V_{q_i}, G)$  are open in  $\mathcal{C}(Y, Z)$ , hence, the sets  $T_i = W(p_X(Q) \cap \text{Cl}U_{q_i}, W_i)$  are open in  $\mathcal{C}(X, \mathcal{C}(Y, Z))$ . Therefore,  $T = \bigcap_{i=1}^n T_i$  is a neighborhood of  $\varphi$ . Let us show that  $T \subset \Phi(W(Q, G))$ . Indeed, if  $\psi \in T$ , then  $\psi = \Phi(g)$ , and we have  $g(x, y) \in G$  for  $(x, y) \in Q$ , so that  $g \in W(Q, G)$ , whence  $\psi \in \Phi(W(Q, G))$ . Therefore, the set  $\Phi(W(Q, G))$  is open, and so  $\Phi$  is a homeomorphism.

**24.Zx** It is obvious that the quotient map  $f$  is a continuous bijection. Consider the factorization map  $p : X \times Y \rightarrow (X \times Y)/S'$ . By 24.Vx, the map  $\Phi : X \rightarrow \mathcal{C}(Y, (X \times Y)/S')$ , where  $\Phi(x)(y) = p(x, y)$ , is continuous. We observe that  $\Phi$  is constant on elements of the partition  $S$ , consequently, the quotient map  $\tilde{\Phi} : X/S \rightarrow \mathcal{C}(Y, (X \times Y)/S')$  is continuous. By 24.Wx, the map  $g : X/S \times Y \rightarrow (X \times Y)/S'$ , where  $g(z, y) = \tilde{\Phi}(z)(y)$ , is also continuous. It remains to observe that  $g$  and  $f$  are mutually inverse maps.

# Topological Algebra

In this chapter, we study topological spaces strongly related to groups: either the spaces themselves are groups in a nice way (so that all the maps coming from group theory are continuous), or groups act on topological spaces and can be thought of as consisting of homeomorphisms.

This material has interdisciplinary character. Although it plays important roles in many areas of Mathematics, it is not so important in the framework of general topology. Quite often, this material can be postponed till the introductory chapters of the mathematical courses that really require it (functional analysis, Lie groups, etc.). In the framework of general topology, this material provides a great collection of exercises.

In the second part of the book, which is devoted to algebraic topology, groups appear in a more profound way. So, sooner or later, the reader will meet groups. At latest in the next chapter, when studying fundamental groups.

Groups are attributed to Algebra. In the mathematics built on sets, main objects are sets with additional structure. Above, we met a few of the most fundamental of these structures: topology, metric, partial order. Topology and metric evolved from geometric considerations. Algebra studied algebraic operations with numbers and similar objects and introduced into the set-theoretic Mathematics various structures based on operations. One of the simplest (and most versatile) of these structures is the structure of a group. It emerges in an overwhelming majority of mathematical environments. It often appears together with topology and in a nice interaction with it. This interaction is a subject of Topological Algebra.

The second part of this book is called Algebraic Topology. It also treats interaction of Topology and Algebra, spaces and groups. But this is a completely different interaction. The structures of topological space and group do not live there on the same set, but the group encodes topological properties of the space.

## 25x. Digression. Generalities on Groups

This section is included mainly to recall the most elementary definitions and statements concerning groups. We do not mean to present a self-contained outline of the group theory. The reader is actually assumed to be familiar with groups, homomorphisms, subgroups, quotient groups, etc.

If this is not yet so, we recommend to read one of the numerous algebraic textbooks covering the elementary group theory. The mathematical culture, which must be acquired for mastering the material presented above in this book, would make this an easy and pleasant exercise.

As a temporary solution, the reader can read few definitions and prove few theorems gathered in this section. They provide a sufficient basis for most of what follows.

### 25°1x. The Notion of Group

Recall that a *group* is a set  $G$  equipped with a group operation. A *group operation* in a set  $G$  is a map  $\omega : G \times G \rightarrow G$  satisfying the following three conditions (known as *group axioms*):

- **Associativity.**  $\omega(a, \omega(b, c)) = \omega(\omega(a, b), c)$  for any  $a, b, c \in G$ .
- **Existence of Neutral Element.** There exists  $e \in G$  such that  $\omega(e, a) = \omega(a, e) = a$  for every  $a \in G$ .
- **Existence of Inverse Element.** For any  $a \in G$ , there exists  $b \in G$  such that  $\omega(a, b) = \omega(b, a) = e$ .

**25.Ax Uniqueness of Neutral Element.** *A group contains a unique neutral element.*

**25.Bx Uniqueness of Inverse Element.** *Each element of a group has a unique inverse element.*

**25.Cx First Examples of Groups.** In each of the following situations, check if we have a group. What is its neutral element? How to calculate the element inverse to a given one?

- The set  $G$  is the set  $\mathbb{Z}$  of integers, and the group operation is addition:  $\omega(a, b) = a + b$ .
- The set  $G$  is the set  $\mathbb{Q}_{>0}$  of positive rational numbers, and the group operation is multiplication:  $\omega(a, b) = ab$ .
- $G = \mathbb{R}$ , and  $\omega(a, b) = a + b$ .
- $G = \mathbb{C}$ , and  $\omega(a, b) = a + b$ .
- $G = \mathbb{R} \setminus 0$ , and  $\omega(a, b) = ab$ .

- $G$  is the set of all bijections of a set  $A$  onto itself, and the group operation is composition:  $\omega(a, b) = a \circ b$ .

**25.1x Simplest Group.** 1) Can a group be empty? 2) Can it consist of one element?

A group consisting of one element is *trivial*.

**25.2x Solving Equations.** Let  $G$  be a set with an associative operation  $\omega : G \times G \rightarrow G$ . Prove that  $G$  is a group iff for any  $a, b \in G$  the set  $G$  contains a unique element  $x$  such that  $\omega(a, x) = b$  and a unique element  $y$  such that  $\omega(y, a) = b$ .

## 25°2x. Additive Versus Multiplicative

**The notation above is never used!** (The only exception may happen, as here, when the definition of group is discussed.) Instead, one uses either *multiplicative* or *additive* notation.

Under multiplicative notation, the group operation is called *multiplication* and denoted as multiplication:  $(a, b) \mapsto ab$ . The neutral element is called *unity* and denoted by 1 or  $1_G$  (or  $e$ ). The element inverse to  $a$  is denoted by  $a^{-1}$ . This notation is borrowed, say, from the case of nonzero rational numbers with the usual multiplication.

Under additive notation, the group operation is called *addition* and denoted as addition:  $(a, b) \mapsto a + b$ . The neutral element is called *zero* and denoted by 0. The element inverse to  $a$  is denoted by  $-a$ . This notation is borrowed, say, from the case of integers with the usual addition.

An operation  $\omega : G \times G \rightarrow G$  is *commutative* if  $\omega(a, b) = \omega(b, a)$  for any  $a, b \in G$ . A group with commutative group operation is *commutative* or *Abelian*. Traditionally, the additive notation is used only in the case of commutative groups, while the multiplicative notation is used both in the commutative and noncommutative cases. Below, we mostly use the multiplicative notation.

**25.3x.** In each of the following situations, check if we have a group:

- (1) a singleton  $\{a\}$  with multiplication  $aa = a$ ,
- (2) the set  $S_n$  of bijections of the set  $\{1, 2, \dots, n\}$  of the first  $n$  positive integers onto itself with multiplication determined by composition (the *symmetric group of degree  $n$* ),
- (3) the sets  $\mathbb{R}^n$ ,  $\mathbb{C}^n$ , and  $\mathbb{H}^n$  with coordinate-wise addition,
- (4) the set  $\text{Homeo}(X)$  of all homeomorphisms of a topological space  $X$  with multiplication determined by composition,
- (5) the set  $GL(n, \mathbb{R})$  of invertible real  $n \times n$  matrices equipped with matrix multiplication,
- (6) the set  $M_n(\mathbb{R})$  of all real  $n \times n$  matrices with addition determined by addition of matrices,

- (7) the set of all subsets of a set  $X$  with multiplication determined by the symmetric difference:

$$(A, B) \mapsto A \triangle B = (A \cup B) \setminus (A \cap B),$$

- (8) the set  $\mathbb{Z}_n$  of classes of positive integers congruent modulo  $n$  with addition determined by addition of positive integers,  
 (9) the set of complex roots of unity of degree  $n$  equipped with usual multiplication of complex numbers,  
 (10) the set  $\mathbb{R}_{>0}$  of positive reals with usual multiplication,  
 (11)  $S^1 \subset \mathbb{C}$  with standard multiplication of complex numbers,  
 (12) the set of translations of a plane with multiplication determined by composition.

Associativity implies that every finite sequence of elements in a group has a well-defined product, which can be calculated by a sequence of pairwise multiplications determined by any placement of parentheses, say,  $abcde = (ab)(c(de))$ . The distribution of the parentheses is immaterial. In the case of a sequence of three elements, this is precisely the associativity:  $(ab)c = a(bc)$ .

**25.Dx.** Derive from the associativity that the product of any length does not depend on the position of the parentheses.

For an element  $a$  of a group  $G$ , the powers  $a^n$  with  $n \in \mathbb{Z}$  are defined by the following formulas:  $a^0 = 1$ ,  $a^{n+1} = a^n a$ , and  $a^{-n} = (a^{-1})^n$ .

**25.Ex.** Prove that raising to a power has the following properties:  $a^p a^q = a^{p+q}$  and  $(a^p)^q = a^{pq}$ .

### 25°3x. Homomorphisms

Recall that a map  $f : G \rightarrow H$  of a group to another one is a *homomorphism* if  $f(xy) = f(x)f(y)$  for any  $x, y \in G$ .

**25.4x.** In the above definition of a homomorphism, the multiplicative notation is used. How does this definition look in the additive notation? What if one of the groups is multiplicative, while the other is additive?

**25.5x.** Let  $a$  be an element of a multiplicative group  $G$ . Is the map  $\mathbb{Z} \rightarrow G : n \mapsto a^n$  a homomorphism?

**25.Fx.** Let  $G$  and  $H$  be two groups. Is the constant map  $G \rightarrow H$  mapping the entire  $G$  to the neutral element of  $H$  a homomorphism? Is any other constant map  $G \rightarrow H$  a homomorphism?

**25.Gx.** A homomorphism maps the neutral element to the neutral element, and it maps mutually inverse elements to mutually inverse elements.

**25.Hx.** The identity map of a group is a homomorphism. The composition of homomorphisms is a homomorphism.

Recall that a homomorphism  $f$  is an *epimorphism* if  $f$  is surjective,  $f$  is a *monomorphism* if  $f$  is injective, and  $f$  is an *isomorphism* if  $f$  is bijective.

**25.Ix.** *The map inverse to an isomorphism is also an isomorphism.*

Two groups are *isomorphic* if there exists an isomorphism of one of them onto another one.

**25.Jx.** *Isomorphism is an equivalence relation.*

**25.6x.** Show that the additive group  $\mathbb{R}$  is isomorphic to the multiplicative group  $\mathbb{R}_{>0}$ .

### 25°4x. Subgroups

A subset  $A$  of a group  $G$  is a *subgroup* of  $G$  if  $A$  is invariant under the group operation of  $G$  (i.e., for any  $a, b \in A$  we have  $ab \in A$ ) and  $A$  equipped with the group operation induced by that in  $G$  is a group.

For two subsets  $A$  and  $B$  of a multiplicative group  $G$ , we put  $AB = \{ab \mid a \in A, b \in B\}$  and  $A^{-1} = \{a^{-1} \mid a \in A\}$ .

**25.Kx.** A subset  $A$  of a multiplicative group  $G$  is a subgroup of  $G$  iff  $AA \subset G$  and  $A^{-1} \subset A$ .

**25.7x.** The singleton consisting of the neutral element is a subgroup.

**25.8x.** Prove that a subset  $A$  of a *finite* group is a subgroup if  $AA \subset A$ . (The condition  $A^{-1} \subset A$  is superfluous in this case.)

**25.9x.** List all subgroups of the additive group  $\mathbb{Z}$ .

**25.10x.** Is  $GL(n, \mathbb{R})$  a subgroup of  $M_n(\mathbb{R})$ ? (See 25.3x for notation.)

**25.Lx.** *The image of a group homomorphism  $f : G \rightarrow H$  is a subgroup of  $H$ .*

**25.Mx.** *Let  $f : G \rightarrow H$  be a group homomorphism,  $K$  a subgroup of  $H$ . Then  $f^{-1}(K)$  is a subgroup of  $G$ . In short:*

*The preimage of a subgroup under a group homomorphism is a subgroup.*

The preimage of the neutral element under a group homomorphism  $f : G \rightarrow H$  is called the *kernel* of  $f$  and denoted by  $\text{Ker } f$ .

**25.Nx Corollary of 25.Mx.** *The kernel of a group homomorphism is a subgroup.*

**25.Ox.** *A group homomorphism is a monomorphism iff its kernel is trivial.*

**25.Px.** *The intersection of any collection of subgroups of a group is also a subgroup.*

A subgroup  $H$  of a group  $G$  is *generated* by a subset  $S \subset G$  if  $H$  is the smallest subgroup of  $G$  containing  $S$ .

**25.Qx.** The subgroup  $H$  generated by  $S$  is the intersection of all subgroups of  $G$  that contain  $S$ . On the other hand,  $H$  is the set of all elements that are products of elements in  $S$  and elements inverse to elements in  $S$ .

The elements of a set that generates  $G$  are *generators* of  $G$ . A group generated by one element is *cyclic*.

**25.Rx.** A cyclic (multiplicative) group consists of powers of its generator. (I.e., if  $G$  is a cyclic group and  $a$  generates  $G$ , then  $G = \{a^n \mid n \in \mathbb{Z}\}$ .) Any cyclic group is commutative.

**25.11x.** A group  $G$  is cyclic iff there exists an epimorphism  $f : \mathbb{Z} \rightarrow G$ .

**25.Sx.** A subgroup of a cyclic group is cyclic.

The number of elements in a group  $G$  is the *order* of  $G$ . It is denoted by  $|G|$ .

**25.Tx.** Let  $G$  be a finite cyclic group,  $d$  a positive divisor of  $|G|$ . Then there exists a unique subgroup  $H$  of  $G$  with  $|H| = d$ .

Each element of a group generates a cyclic subgroup, which consists of all powers of this element. The order of the subgroup generated by a (nontrivial) element  $a \in G$  is the *order* of  $a$ . It can be a positive integer or the infinity.

For each subgroup  $H$  of a group  $G$ , the *right cosets* of  $H$  are the sets  $Ha = \{xa \mid x \in H\}$ ,  $a \in G$ . Similarly, the sets  $aH$  are the *left cosets* of  $H$ . The number of distinct right (or left) cosets of  $H$  is the *index* of  $H$ .

**25.Ux Lagrange theorem.** If  $H$  is a subgroup of a finite group  $G$ , then the order of  $H$  divides that of  $G$ .

A subgroup  $H$  of a group  $G$  is *normal* if for any  $h \in H$  and  $a \in G$  we have  $aha^{-1} \in H$ . Normal subgroups are also called *normal divisors* or *invariant subgroups*.

In the case where the subgroup is normal, left cosets coincide with right cosets, and the set of cosets is a group with multiplication defined by the formula  $(aH)(bH) = abH$ . The group of cosets of  $H$  in  $G$  is called the *quotient group* or *factor group* of  $G$  by  $H$  and denoted by  $G/H$ .

**25.Vx.** The kernel  $\text{Ker } f$  of a homomorphism  $f : G \rightarrow H$  is a normal subgroup of  $G$ .

**25.Wx.** The image  $f(G)$  of a homomorphism  $f : G \rightarrow H$  is isomorphic to the quotient group  $G/\text{Ker } f$  of  $G$  by the kernel of  $f$ .

**25.Xx.** The quotient group  $\mathbb{R}/\mathbb{Z}$  is canonically isomorphic to the group  $S^1$ . Describe the image of the group  $\mathbb{Q} \subset \mathbb{R}$  under this isomorphism.

**25.Yx.** Let  $G$  be a group,  $A$  a normal subgroup of  $G$ , and  $B$  an arbitrary subgroup of  $G$ . Then  $AB$  also is a normal subgroup of  $G$ , while  $A \cap B$  is a normal subgroup of  $B$ . Furthermore, we have  $AB/A \cong B/A \cap B$ .

## 26x. Topological Groups

### 26°1x. Notion of Topological Group

A *topological group* is a set  $G$  equipped with both a topological structure and a group structure such that the maps  $G \times G \rightarrow G : (x, y) \mapsto xy$  and  $G \rightarrow G : x \mapsto x^{-1}$  are continuous.

**26.1x.** Let  $G$  be a group and a topological space simultaneously. Prove that the maps  $\omega : G \times G \rightarrow G : (x, y) \mapsto xy$  and  $\alpha : G \rightarrow G : x \mapsto x^{-1}$  are continuous iff so is the map  $\beta : G \times G \rightarrow G : (x, y) \mapsto xy^{-1}$ .

**26.2x.** Prove that if  $G$  is a topological group, then the inversion  $G \rightarrow G : x \mapsto x^{-1}$  is a homeomorphism.

**26.3x.** Let  $G$  be a topological group,  $X$  a topological space,  $f, g : X \rightarrow G$  two maps continuous at a point  $x_0 \in X$ . Prove that the maps  $X \rightarrow G : x \mapsto f(x)g(x)$  and  $X \rightarrow G : x \mapsto (f(x))^{-1}$  are continuous at  $x_0$ .

**26.Ax.** A group equipped with the discrete topology is a topological group.

**26.4x.** Is a group equipped with the indiscrete topology a topological group?

### 26°2x. Examples of Topological Groups

**26.Bx.** The groups listed in 25.Cx equipped with standard topologies are topological groups.

**26.5x.** The unit circle  $S^1 = \{z \mid |z| = 1\} \subset \mathbb{C}$  with the standard multiplication is a topological group.

**26.6x.** In each of the following situations, check if we have a topological group.

- (1) The spaces  $\mathbb{R}^n$ ,  $\mathbb{C}^n$ , and  $\mathbb{H}^n$  with coordinate-wise addition. ( $\mathbb{C}^n$  is isomorphic to  $\mathbb{R}^{2n}$ , while  $\mathbb{H}^n$  is isomorphic to  $\mathbb{C}^{2n}$ .)
- (2) The sets  $M_n(\mathbb{R})$ ,  $M_n(\mathbb{C})$ , and  $M_n(\mathbb{H})$  of all  $n \times n$  matrices with real, complex, and, respectively, quaternion elements, equipped with the product topology and element-wise addition. (We identify  $M_n(\mathbb{R})$  with  $\mathbb{R}^{n^2}$ ,  $M_n(\mathbb{C})$  with  $\mathbb{C}^{n^2}$ , and  $M_n(\mathbb{H})$  with  $\mathbb{H}^{n^2}$ .)
- (3) The sets  $GL(n, \mathbb{R})$ ,  $GL(n, \mathbb{C})$ , and  $GL(n, \mathbb{H})$  of invertible  $n \times n$  matrices with real, complex, and quaternionic entries, respectively, under the matrix multiplication.
- (4)  $SL(n, \mathbb{R})$ ,  $SL(n, \mathbb{C})$ ,  $O(n)$ ,  $O(n, \mathbb{C})$ ,  $U(n)$ ,  $SO(n)$ ,  $SO(n, \mathbb{C})$ ,  $SU(n)$ , and other subgroups of  $GL(n, K)$  with  $K = \mathbb{R}, \mathbb{C}$ , or  $\mathbb{H}$ .

**26.7x.** Introduce a topological group structure on the additive group  $\mathbb{R}$  that would be distinct from the usual, discrete, and indiscrete topological structures.

**26.8x.** Find two nonisomorphic connected topological groups that are homeomorphic as topological spaces.

**26.9x.** On the set  $G = [0, 1)$  (equipped with the standard topology), we define addition as follows:  $\omega(x, y) = x + y \pmod{1}$ . Is  $(G, \omega)$  a topological group?

**26°3x. Translations and Conjugations**

Let  $G$  be a group. Recall that the maps  $L_a : G \rightarrow G : x \mapsto ax$  and  $R_a : G \rightarrow G : x \mapsto xa$  are *left* and *right translations through  $a$* , respectively. Note that  $L_a \circ L_b = L_{ab}$ , while  $R_a \circ R_b = R_{ba}$ . (To “repair” the last relation, some authors define right translations by  $x \mapsto xa^{-1}$ .)

**26.Cx.** A translation of a topological group is a homeomorphism.

Recall that the *conjugation* of a group  $G$  by an element  $a \in G$  is the map  $G \rightarrow G : x \mapsto axa^{-1}$ .

**26.Dx.** The conjugation of a topological group by any of its elements is a homeomorphism.

The following simple observation allows a certain “uniform” treatment of the topology in a group: neighborhoods of distinct points can be compared.

**26.Ex.** If  $U$  is an open set in a topological group  $G$ , then for any  $x \in G$  the sets  $xU$ ,  $Ux$ , and  $U^{-1}$  are open.

**26.10x.** Does the same hold true for closed sets?

**26.11x.** Prove that if  $U$  and  $V$  are subsets of a topological group  $G$  and  $U$  is open, then  $UV$  and  $VU$  are open.

**26.12x.** Will the same hold true if we replace everywhere the word *open* by the word *closed*?

**26.13x.** Are the following subgroups of the additive group  $\mathbb{R}$  closed?

- (1)  $\mathbb{Z}$ ,
- (2)  $\sqrt{2}\mathbb{Z}$ ,
- (3)  $\mathbb{Z} + \sqrt{2}\mathbb{Z}$ ?

**26.14x.** Let  $G$  be a topological group,  $U \subset G$  a compact subset,  $V \subset G$  a closed subset. Prove that  $UV$  and  $VU$  are closed.

**26.14x.1.** Let  $F$  and  $C$  be two disjoint subsets of a topological group  $G$ . If  $F$  is closed and  $C$  is compact, then  $1_G$  has a neighborhood  $V$  such that  $CV \cup VC$  does not meet  $F$ . If  $G$  is locally compact, then  $V$  can be chosen so that  $\text{Cl}(CV \cup VC)$  be compact.

**26°4x. Neighborhoods**

**26.Fx.** Let  $\Gamma$  be a neighborhood base of a topological group  $G$  at  $1_G$ . Then  $\Sigma = \{aU \mid a \in G, U \in \Gamma\}$  is a base for topology of  $G$ .

A subset  $A$  of a group  $G$  is *symmetric* if  $A^{-1} = A$ .

**26.Gx.** Any neighborhood of 1 in a topological group contains a symmetric neighborhood of 1.

**26.Hx.** For any neighborhood  $U$  of 1 in a topological group, 1 has a neighborhood  $V$  such that  $VV \subset U$ .

**26.15x.** Let  $G$  be a topological group,  $U$  a neighborhood of  $1_G$ , and  $n$  a positive integer. Then  $1_G$  has a symmetric neighborhood  $V$  such that  $V^n \subset U$ .

**26.16x.** Let  $V$  be a symmetric neighborhood of  $1_G$  in a topological group  $G$ . Then  $\bigcup_{n=1}^{\infty} V^n$  is an open-closed subgroup.

**26.17x.** Let  $G$  be a group,  $\Sigma$  be a collection of subsets of  $G$ . Prove that  $G$  carries a unique topology  $\Omega$  such that  $\Sigma$  is a neighborhood base for  $\Omega$  at  $1_G$  and  $(G, \Omega)$  is a topological group, iff  $\Sigma$  satisfies the following five conditions:

- (1) each  $U \in \Sigma$  contains  $1_G$ ,
- (2) for every  $x \in U \in \Sigma$ , there exists  $V \in \Sigma$  such that  $xV \subset U$ ,
- (3) for each  $U \in \Sigma$ , there exists  $V \in \Sigma$  such that  $V^{-1} \subset U$ ,
- (4) for each  $U \in \Sigma$ , there exists  $V \in \Sigma$  such that  $VV \subset U$ ,
- (5) for any  $x \in G$  and  $U \in \Sigma$ , there exists  $V \in \Sigma$  such that  $V \subset x^{-1}Ux$ .

**26.Ix. Riddle.** In what sense 26.Hx is similar to the triangle inequality?

**26.Jx.** Let  $C$  be a compact subset of  $G$ . Prove that for every neighborhood  $U$  of  $1_G$  the unity  $1_G$  has a neighborhood  $V$  such that  $V \subset xUx^{-1}$  for every  $x \in C$ .

### 26°5x. Separation Axioms

**26.Kx.** A topological group  $G$  is Hausdorff, iff  $G$  satisfies the first separation axiom, iff the unity  $1_G$  (or, more precisely, the singleton  $\{1_G\}$ ) is closed.

**26.Lx.** A topological group  $G$  is Hausdorff iff the unity  $1_G$  is the intersection of its neighborhoods.

**26.Mx.** If the unity of a topological group  $G$  is closed, then  $G$  is regular (as a topological space).

Use the following fact.

**26.Mx.1.** Let  $G$  be a topological group,  $U \subset G$  a neighborhood of  $1_G$ . Then  $1_G$  has a neighborhood  $V$  with closure contained in  $U$ :  $\text{Cl}V \subset U$ .

**26.Nx Corollary.** For topological groups, the first three separation axioms are equivalent.

**26.18x.** Prove that a finite group carries as many topological group structures as there are normal subgroups. Namely, each finite topological group  $G$  contains a normal subgroup  $N$  such that the sets  $gN$  with  $g \in G$  form a base for the topology of  $G$ .

### 26°6x. Countability Axioms

**26.Ox.** If  $\Gamma$  is a neighborhood base at  $1_G$  in a topological group  $G$  and  $S \subset G$  is a dense set, then  $\Sigma = \{aU \mid a \in S, U \in \Gamma\}$  is a base for the topology of  $G$ . (Cf. 26.Fx and 15.J.)

**26.Px.** A first countable separable topological group is second countable.

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**26.19x\***. (Cf. 15.Dx) A first countable Hausdorff topological group  $G$  is metrizable. Furthermore,  $G$  can be equipped with a right (left) invariant metric.

## 27x. Constructions

### 27°1x. Subgroups

**27.Ax.** Let  $H$  be a subgroup of a topological group  $G$ . Then the topological and group structures induced from  $G$  make  $H$  a topological group.

**27.1x.** Let  $H$  be a subgroup of an Abelian group  $G$ . Prove that, given a structure of topological group in  $H$  and a neighborhood base at 1,  $G$  carries a structure of topological group with the same neighborhood base at 1.

**27.2x.** Prove that a subgroup of a topological group is open iff it contains an interior point.

**27.3x.** Prove that every open subgroup of a topological group is also closed.

**27.4x.** Prove that every closed subgroup of finite index is also open.

**27.5x.** Find an example of a subgroup of a topological group that

- (1) is closed, but not open;
- (2) is neither closed, nor open.

**27.6x.** Prove that a subgroup  $H$  of a topological group is a discrete subspace iff  $H$  contains an isolated point.

**27.7x.** Prove that a subgroup  $H$  of a topological group  $G$  is closed, iff there exists an open set  $U \subset G$  such that  $U \cap H = U \cap \text{Cl}H \neq \emptyset$ , i.e., iff  $H \subset G$  is locally closed at one of its points.

**27.8x.** Prove that if  $H$  is a non-closed subgroup of a topological group  $G$ , then  $\text{Cl}H \setminus H$  is dense in  $\text{Cl}H$ .

**27.9x.** *The closure of a subgroup of a topological group is a subgroup.*

**27.10x.** Is it true that the interior of a subgroup of a topological group is a subgroup?

**27.Bx.** A connected topological group is generated by any neighborhood of 1.

**27.Cx.** Let  $H$  be a subgroup of a group  $G$ . Define a relation:  $a \sim b$  if  $ab^{-1} \in H$ . Prove that this is an equivalence relation, and the right cosets of  $H$  in  $G$  are the equivalence classes.

**27.11x.** What is the counterpart of 27.Cx for left cosets?

Let  $G$  be a topological group,  $H \subset G$  a subgroup. The set of left (respectively, right) cosets of  $H$  in  $G$  is denoted by  $G/H$  (respectively,  $H \setminus G$ ). The sets  $G/H$  and  $H \setminus G$  carry the quotient topology. Equipped with these topologies, they are called *spaces of cosets*.

**27.Dx.** For any topological group  $G$  and its subgroup  $H$ , the natural projections  $G \rightarrow G/H$  and  $G \rightarrow H \setminus G$  are open (i.e., the image of every open set is open).

**27.Ex.** The space of left (or right) cosets of a closed subgroup in a topological group is regular.

**27.Fx.** The group  $G$  is compact (respectively, connected) if so are  $H$  and  $G/H$ .

**27.12x.** If  $H$  is a connected subgroup of a group  $G$ , then the preimage of any connected component of  $G/H$  is a connected component of  $G$ .

**27.13x.** Let us regard the group  $SO(n-1)$  as a subgroup of  $SO(n)$ . If  $n \geq 2$ , then the space  $SO(n)/SO(n-1)$  is homeomorphic to  $S^{n-1}$ .

**27.14x.** The groups  $SO(n)$ ,  $U(n)$ ,  $SU(n)$ , and  $Sp(n)$  are 1) compact and 2) connected for any  $n \geq 1$ . 3) How many connected components do the groups  $O(n)$  and  $O(p, q)$  have? (Here,  $O(p, q)$  is the group of linear transformations in  $\mathbb{R}^{p+q}$  preserving the quadratic form  $x_1^2 + \cdots + x_p^2 - y_1^2 - \cdots - y_q^2$ .)

### 27°2x. Normal Subgroups

**27.Gx.** Prove that the closure of a normal subgroup of a topological group is a normal subgroup.

**27.Hx.** The connected component of 1 in a topological group is a closed normal subgroup.

**27.15x.** The path-connected component of 1 in a topological group is a normal subgroup.

**27.Ix.** The quotient group of a topological group is a topological group (provided that it is equipped with the quotient topology).

**27.Jx.** The natural projection of a topological group onto its quotient group is open.

**27.Kx.** If a topological group  $G$  is first (respectively, second) countable, then so is any quotient group of  $G$ .

**27.Lx.** Let  $H$  be a normal subgroup of a topological group  $G$ . Then the quotient group  $G/H$  is regular iff  $H$  is closed.

**27.Mx.** Prove that a normal subgroup  $H$  of a topological group  $G$  is open iff the quotient group  $G/H$  is discrete.

The *center* of a group  $G$  is the set  $C(G) = \{x \in G \mid xg = gx \text{ for each } g \in G\}$ .

**27.16x.** Each discrete normal subgroup  $H$  of a connected group  $G$  is contained in the center of  $G$ .

**27° 3x. Homomorphisms**

For topological groups, by a *homomorphism* one means a group homomorphism which is *continuous*.

**27.Nx.** Let  $G$  and  $H$  be two topological groups. A group homomorphism  $f : G \rightarrow H$  is continuous iff  $f$  is continuous at  $1_G$ .

Besides similar modifications, which can be summarized by the following principle: *everything is assumed to respect the topological structures*, the terminology of group theory passes over without changes. In particular, an *isomorphism* in group theory is an invertible homomorphism. Its inverse is a homomorphism (and hence an isomorphism) automatically. In the theory of topological groups, this must be included in the definition: an *isomorphism* of topological groups is an invertible homomorphism whose inverse is also a homomorphism. In other words, an isomorphism of topological groups is a map that is both a group isomorphism and a homeomorphism. Cf. Section 10.

**27.17x.** Prove that the map  $[0, 1) \rightarrow S^1 : x \mapsto e^{2\pi ix}$  is a topological group homomorphism.

**27.Ox.** An epimorphism  $f : G \rightarrow H$  is an open map iff the injective factor  $f/S(f) : G/\text{Ker } f \rightarrow H$  of  $f$  is an isomorphism.

**27.Px.** An epimorphism of a compact topological group onto a topological group with closed unity is open.

**27.Qx.** Prove that the quotient group  $\mathbb{R}/\mathbb{Z}$  of the additive group  $\mathbb{R}$  by the subgroup  $\mathbb{Z}$  is isomorphic to the multiplicative group  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$  of complex numbers with absolute value 1.

**27° 4x. Local Isomorphisms**

Let  $G$  and  $H$  be two topological groups. A *local isomorphism* from  $G$  to  $H$  is a homeomorphism  $f$  of a neighborhood  $U$  of  $1_G$  in  $G$  onto a neighborhood  $V$  of  $1_H$  in  $H$  such that

- $f(xy) = f(x)f(y)$  for any  $x, y \in U$  such that  $xy \in U$ ,
- $f^{-1}(zt) = f^{-1}(z)f^{-1}(t)$  for any  $z, t \in V$  such that  $zt \in V$ .

Two topological groups  $G$  and  $H$  are *locally isomorphic* if there exists a local isomorphism from  $G$  to  $H$ .

**27.Rx.** Isomorphic topological groups are locally isomorphic.

**27.Sx.** The additive group  $\mathbb{R}$  and the multiplicative group  $S^1 \subset \mathbb{C}$  are locally isomorphic, but not isomorphic.

**27.18x.** Prove that local isomorphism of topological groups is an equivalence relation.

**27.19x.** Find neighborhoods of unities in  $\mathbb{R}$  and  $S^1$  and a homeomorphism between them that satisfies the first condition in the definition of local isomorphism, but does not satisfy the second one.

**27.20x.** Prove that if a homeomorphism between neighborhoods of unities in two topological groups satisfies only the first condition in the definition of local isomorphism, then it has a submap that is a local isomorphism between these topological groups.

### 27°5x. Direct Products

Let  $G$  and  $H$  be two topological groups. In group theory, the product  $G \times H$  is given a group structure.<sup>1</sup> In topology, it is given a topological structure (see Section 19).

**27.Tx.** These two structures are compatible: the group operations in  $G \times H$  are continuous with respect to the product topology.

Thus,  $G \times H$  is a topological group. It is called the *direct product* of the topological groups  $G$  and  $H$ . There are canonical homomorphisms related to this: the inclusions  $i_G : G \rightarrow G \times H : x \mapsto (x, 1)$  and  $i_H : H \rightarrow G \times H : x \mapsto (1, x)$ , which are monomorphisms, and the projections  $\text{pr}_G : G \times H \rightarrow G : (x, y) \mapsto x$  and  $\text{pr}_H : G \times H \rightarrow H : (x, y) \mapsto y$ , which are epimorphisms.

**27.21x.** Prove that the topological groups  $(G \times H)/i_H(H)$  and  $G$  are isomorphic.

**27.22x.** The product operation is both commutative and associative:  $G \times H$  is (canonically) isomorphic to  $H \times G$ , while  $G \times (H \times K)$  is canonically isomorphic to  $(G \times H) \times K$ .

A topological group  $G$  *decomposes into a direct product of two subgroups*  $A$  and  $B$  if the map  $A \times B \rightarrow G : (x, y) \mapsto xy$  is a topological group isomorphism. If this is the case, the groups  $G$  and  $A \times B$  are usually identified via this isomorphism.

Recall that a similar definition exists in ordinary group theory. The only difference is that there an isomorphism is just an algebraic isomorphism. Furthermore, in that theory,  $G$  decomposes into a direct product of its subgroups  $A$  and  $B$  iff  $A$  and  $B$  generate  $G$ ,  $A$  and  $B$  are normal subgroups, and  $A \cap B = \{1\}$ . Therefore, if these conditions are fulfilled in the case of topological groups, then  $A \times B \rightarrow G : (x, y) \mapsto xy$  is a group isomorphism.

**27.23x.** Prove that in this situation the map  $A \times B \rightarrow G : (x, y) \mapsto xy$  is continuous. Find an example where the inverse group isomorphism is not continuous.

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<sup>1</sup>Recall that the multiplication in  $G \times H$  is defined by the formula  $(x, u)(y, v) = (xy, uv)$ .

**27.Ux.** Prove that if a compact Hausdorff group  $G$  decomposes algebraically into a direct product of two closed subgroups, then  $G$  also decomposes into a direct product of these subgroups as a topological group.

**27.24x.** Prove that the multiplicative group  $\mathbb{R} \setminus 0$  of nonzero reals is isomorphic (as a topological group) to the direct product of the multiplicative groups  $S^0 = \{1, -1\}$  and  $\mathbb{R}_{>0} = \{x \in \mathbb{R} \mid x > 0\}$ .

**27.25x.** Prove that the multiplicative group  $\mathbb{C} \setminus 0$  of nonzero complex numbers is isomorphic (as a topological group) to the direct product of the multiplicative groups  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$  and  $\mathbb{R}_{>0}$ .

**27.26x.** Prove that the multiplicative group  $\mathbb{H} \setminus 0$  of nonzero quaternions is isomorphic (as a topological group) to the direct product of the multiplicative groups  $S^3 = \{z \in \mathbb{H} : |z| = 1\}$  and  $\mathbb{R}_{>0}$ .

**27.27x.** Prove that the subgroup  $S^0 = \{1, -1\}$  of  $S^3 = \{z \in \mathbb{H} : |z| = 1\}$  is not a direct factor.

**27.28x.** Find a topological group homeomorphic to  $\mathbb{R}P^3$  (the three-dimensional real projective space).

Let a group  $G$  contain a normal subgroup  $A$  and a subgroup  $B$  such that  $AB = G$  and  $A \cap B = \{1_G\}$ . If  $B$  is also normal, then  $G$  is the direct product  $A \times B$ . Otherwise,  $G$  is a *semidirect product* of  $A$  and  $B$ .

**27.Vx.** Let a topological group  $G$  be a semidirect product of its subgroups  $A$  and  $B$ . If for any neighborhoods of unity,  $U \subset A$  and  $V \subset B$ , their product  $UV$  contains a neighborhood of  $1_G$ , then  $G$  is homeomorphic to  $A \times B$ .

### 27°6x. Groups of Homeomorphisms

For any topological space  $X$ , the auto-homeomorphisms of  $X$  form a group under composition as the group operation. We denote this group by  $\text{Top } X$ . To make this group topological, we slightly enlarge the topological structure induced on  $\text{Top } X$  by the compact-open topology of  $\mathcal{C}(X, X)$ .

**27.Wx.** The collection of the sets  $W(C, U)$  and  $(W(C, U))^{-1}$  taken over all compact  $C \subset X$  and open  $U \subset X$  is a subbase for the topological structure on  $\text{Top } X$ .

In what follows, we equip  $\text{Top } X$  with this topological structure.

**27.Xx.** If  $X$  is Hausdorff and locally compact, then  $\text{Top } X$  is a topological group.

**27.Xx.1.** If  $X$  is Hausdorff and locally compact, then the map  $\text{Top } X \times \text{Top } X \rightarrow \text{Top } X : (g, h) \mapsto g \circ h$  is continuous.

## 28x. Actions of Topological Groups

### 28°1x. Action of a Group on a Set

A *left action* of a group  $G$  on a set  $X$  is a map  $G \times X \rightarrow X : (g, x) \mapsto gx$  such that  $1x = x$  for any  $x \in X$  and  $(gh)x = g(hx)$  for any  $x \in X$  and  $g, h \in G$ . A set  $X$  equipped with such an action is a *left  $G$ -set*. Right  $G$ -sets are defined in a similar way.

**28.Ax.** If  $X$  is a left  $G$ -set, then  $G \times X \rightarrow X : (x, g) \mapsto g^{-1}x$  is a right action of  $G$  on  $X$ .

**28.Bx.** If  $X$  is a left  $G$ -set, then for any  $g \in G$  the map  $X \rightarrow X : x \mapsto gx$  is a bijection.

A left action of  $G$  on  $X$  is *effective* (or *faithful*) if for each  $g \in G \setminus 1$  the map  $G \rightarrow G : x \mapsto gx$  is not equal to  $\text{id}_G$ . Let  $X_1$  and  $X_2$  be two left  $G$ -sets. A map  $f : X_1 \rightarrow X_2$  is  *$G$ -equivariant* if  $f(gx) = gf(x)$  for any  $x \in X$  and  $g \in G$ .

We say that  $X$  is a *homogeneous left  $G$ -set*, or, what is the same, that  $G$  acts on  $X$  *transitively* if for any  $x, y \in X$  there exists  $g \in G$  such that  $y = gx$ .

The same terminology applies to right actions with obvious modifications.

**28.Cx.** The natural actions of  $G$  on  $G/H$  and  $H \backslash G$  transform  $G/H$  and  $H \backslash G$  into homogeneous left and, respectively, right  $G$ -sets.

Let  $X$  be a homogeneous left  $G$ -set. Consider a point  $x \in X$  and the set  $G^x = \{g \in G \mid gx = x\}$ . We easily see that  $G^x$  is a subgroup of  $G$ . It is called the *isotropy subgroup* of  $x$ .

**28.Dx.** Each homogeneous left (respectively, right)  $G$ -set  $X$  is isomorphic to  $G/H$  (respectively,  $H \backslash G$ ), where  $H$  is the isotropy group of a certain point in  $X$ .

**28.Dx.1.** All isotropy subgroups  $G^x$ ,  $x \in G$ , are pairwise conjugate.

Recall that the *normalizer*  $Nr(H)$  of a subgroup  $H$  of a group  $G$  consists of all elements  $g \in G$  such that  $gHg^{-1} = H$ . This is the largest subgroup of  $G$  containing  $H$  as a normal subgroup.

**28.Ex.** The group of all automorphisms of a homogeneous  $G$ -set  $X$  is isomorphic to  $N(H)/H$ , where  $H$  is the isotropy group of a certain point in  $X$ .

**28.Ex.1.** If two points  $x, y \in X$  have the same isotropy group, then there exists an automorphism of  $X$  that sends  $x$  to  $y$ .

**28°2x. Continuous Action**

We speak about a *left  $G$ -space*  $X$  if  $X$  is a topological space,  $G$  is a topological group acting on  $X$ , and the action  $G \times X \rightarrow X$  is continuous (as a map). All terminology (and definitions) concerning  $G$ -sets extends to  $G$ -spaces literally.

Note that if  $G$  is a discrete group, then any action of  $G$  by homeomorphisms is continuous and thus provides a  $G$ -space.

**28.Fx.** Let  $X$  be a left  $G$ -space. Then the natural map  $\phi : G \rightarrow \text{Top } X$  induced by this action is a group homomorphism.

**28.Gx.** If in the assumptions of Problem 28.Fx the  $G$ -space  $X$  is Hausdorff and locally compact, then the induced homomorphism  $\phi : G \rightarrow \text{Top } X$  is continuous.

**28.Ix.** In each of the following situations, check if we have a continuous action and a continuous homomorphism  $G \rightarrow \text{Top } X$ :

- (1)  $G$  is a topological group,  $X = G$ , and  $G$  acts on  $X$  by left (or right) translations, or by conjugation;
- (2)  $G$  is a topological group,  $H \subset G$  is a subgroup,  $X = G/H$ , and  $G$  acts on  $X$  via  $g(aH) = (ga)H$ ;
- (3)  $G = GL(n, K)$  (where  $K = \mathbb{R}, \mathbb{C}$ , or  $\mathbb{H}$ ), and  $G$  acts on  $K^n$  via matrix multiplication;
- (4)  $G = GL(n, K)$  (where  $K = \mathbb{R}, \mathbb{C}$ , or  $\mathbb{H}$ ), and  $G$  acts on  $KP^{n-1}$  via matrix multiplication;
- (5)  $G = O(n, \mathbb{R})$ , and  $G$  acts on  $S^{n-1}$  via matrix multiplication;
- (6) the (additive) group  $\mathbb{R}$  acts on the torus  $S^1 \times \cdots \times S^1$  according to formula  $(t, (w_1, \dots, w_r)) \mapsto (e^{2\pi i a_1 t} w_1, \dots, e^{2\pi i a_r t} w_r)$ ; this action is an *irrational flow* if  $a_1, \dots, a_r$  are linearly independent over  $\mathbb{Q}$ .

If the action of  $G$  on  $X$  is not effective, then we can consider its kernel

$$G^{\text{Ker}} = \{g \in G \mid gx = x \text{ for all } x \in X\}.$$

This kernel is a closed normal subgroup of  $G$ , and the topological group  $G/G^{\text{Ker}}$  acts naturally and effectively on  $X$ .

**28.Hx.** The formula  $gG^{\text{Ker}}(x) = gx$  determines an effective continuous action of  $G/G^{\text{Ker}}$  on  $X$ .

A group  $G$  acts *properly discontinuously* on  $X$  if for any compact set  $C \subset X$  the set  $\{g \in G \mid (gC) \cap C \neq \emptyset\}$  is finite.

**28.Ix.** If  $G$  acts properly discontinuously and effectively on a Hausdorff locally compact space  $X$ , then  $\phi(G)$  is a discrete subset of  $\text{Top } X$ . (Here, as before,  $\phi : G \rightarrow \text{Top } X$  is the monomorphism induced by the  $G$ -action.) In particular,  $G$  is a discrete group.

**28.2x.** List, up to similarity, all triangles  $T \subset \mathbb{R}^2$  such that the reflections in the sides of  $T$  generate a group acting on  $\mathbb{R}^2$  properly discontinuously.

**28°3x. Orbit Spaces**

Let  $X$  be a  $G$ -space. For  $x \in X$ , the set  $G(x) = \{gx \mid g \in G\}$  is the *orbit* of  $x$ . In terms of orbits, the action of  $G$  on  $X$  is transitive iff it has only one orbit. For  $A \subset X$  and  $E \subset G$ , we put  $E(A) = \{ga \mid g \in E, a \in A\}$ .

**28.Jx.** Let  $G$  be a compact topological group acting on a Hausdorff space  $X$ . Then for any  $x \in X$  the canonical map  $G/G^x \rightarrow G(x)$  is a homeomorphism.

**28.3x.** Give an example where  $X$  is Hausdorff, but  $G/G_x$  is not homeomorphic to  $G(x)$ .

**28.Kx.** If a compact topological group  $G$  acts on a compact Hausdorff space  $X$ , then  $X/G$  is a compact Hausdorff space.

**28.4x.** Let  $G$  be a compact group,  $X$  a Hausdorff  $G$ -space,  $A \subset X$ . If  $A$  is closed (respectively, compact), then so is  $G(A)$ .

**28.5x.** Consider the canonical action of  $G = \mathbb{R} \setminus 0$  on  $X = \mathbb{R}$  (by multiplication). Find all orbits and all isotropy subgroups of this action. Recognize  $X/G$  as a topological space.

**28.6x.** Let  $G$  be the group generated by reflections in the sides of a rectangle in  $\mathbb{R}^2$ . Recognize the quotient space  $\mathbb{R}^2/G$  as a topological space. Recognize the group  $G$ .

**28.7x.** Let  $G$  be the group from Problem 28.6x, and let  $H \subset G$  be the subgroup of index 2 constituted by the orientation-preserving elements in  $G$ . Recognize the quotient space  $\mathbb{R}^2/H$  as a topological space. Recognize the groups  $G$  and  $H$ .

**28.8x.** Consider the (diagonal) action of the torus  $G = (S^1)^{n+1}$  on  $X = \mathbb{C}P^n$  via  $(z_0, z_1, \dots, z_n) \mapsto (\theta_0 z_0, \theta_1 z_1, \dots, \theta_n z_n)$ . Find all orbits and isotropy subgroups. Recognize  $X/G$  as a topological space.

**28.9x.** Consider the canonical action (by permutations of coordinates) of the symmetric group  $G = \mathbb{S}_n$  on  $X = \mathbb{R}^n$  and  $X = \mathbb{C}^n$ , respectively. Recognize  $X/G$  as a topological space.

**28.10x.** Let  $G = SO(3)$  act on the space  $X$  of symmetric  $3 \times 3$  real matrices with trace 0 by conjugation  $x \mapsto gxg^{-1}$ . Recognize  $X/G$  as a topological space. Find all orbits and isotropy groups.

**28°4x. Homogeneous Spaces**

A  $G$ -space is *homogeneous* if the action of  $G$  is transitive.

**28.Lx.** Let  $G$  be a topological group,  $H \subset G$  a subgroup. Then  $G$  is a homogeneous  $H$ -space under the translation action of  $H$ . The quotient space  $G/H$  is a homogeneous  $G$ -space under the induced action of  $G$ .

**28.Mx.** Let  $X$  be a Hausdorff homogeneous  $G$ -space. If  $X$  and  $G$  are locally compact and  $G$  is second countable, then  $X$  is homeomorphic to  $G/G^x$  for any  $x \in X$ .

**28.Nx.** Let  $X$  be a homogeneous  $G$ -space. Then the canonical map  $G/G^x \rightarrow X$ ,  $x \in X$ , is a homeomorphism iff it is open.

**28.11x.** Show that  $O(n+1)/O(n) = S^n$  and  $U(n)/U(n-1) = S^{2n-1}$ .

**28.12x.** Show that  $O(n+1)/O(n) \times O(1) = \mathbb{R}P^n$  and  $U(n)/U(n-1) \times U(1) = \mathbb{C}P^n$ .

**28.13x.** Show that  $Sp(n)/Sp(n-1) = S^{4n-1}$ , where

$$Sp(n) = \{A \in GL(\mathbb{H}) \mid AA^* = I\}.$$

**28.14x.** Represent the torus  $S^1 \times S^1$  and the Klein bottle as homogeneous spaces.

**28.15x.** Give a geometric interpretation of the following homogeneous spaces: 1)  $O(n)/O(1)^n$ , 2)  $O(n)/O(k) \times O(n-k)$ , 3)  $O(n)/SO(k) \times O(n-k)$ , and 4)  $O(n)/O(k)$ .

**28.16x.** Represent  $S^2 \times S^2$  as a homogeneous space.

**28.17x.** Recognize  $SO(n,1)/SO(n)$  as a topological space.

## Proofs and Comments

**26.Ax** Use the fact that any auto-homeomorphism of a discrete space is continuous.

**26.Cx** Any translation is continuous, and the translations by  $a$  and  $a^{-1}$  are mutually inverse.

**26.Dx** Any conjugation is continuous, and the conjugations by  $g$  and  $g^{-1}$  are mutually inverse.

**26.Ex** The sets  $xU$ ,  $Ux$ , and  $U^{-1}$  are the images of  $U$  under the homeomorphisms  $L_x$  and  $R_x$  of the left and right translations through  $x$  and passage to the inverse element (i.e., reversing), respectively.

**26.Fx** Let  $V \subset G$  be an open set,  $a \in V$ . If a neighborhood  $U \in \Gamma$  is such that  $U \subset a^{-1}V$ , then  $aU \subset V$ . By Theorem 3.A,  $\Sigma$  is a base for topology of  $G$ .

**26.Gx** If  $U$  is a neighborhood of 1, then  $U \cap U^{-1}$  is a symmetric neighborhood of 1.

**26.Hx** By the continuity of multiplication, 1 has two neighborhoods  $V_1$  and  $V_2$  such that  $V_1V_2 \subset U$ . Put  $V = V_1 \cap V_2$ .

**26.Jx** Let  $W$  be a symmetric neighborhood such that  $1_G \in W$  and  $W^3 \subset U$ . Since  $C$  is compact,  $C$  is covered by finitely many sets of the form  $W_1 = x_1W, \dots, W_n = x_nW$  with  $x_1, \dots, x_n \in C$ . Put  $V = \bigcap (x_iWx_i^{-1})$ . Clearly,  $V$  is a neighborhood of  $1_G$ . If  $x \in C$ , then  $x = x_iw_i$  for suitable  $i, w_i \in W$ . Finally, we have

$$x^{-1}Vx = w_i^{-1}x_i^{-1}Vx_iw_i \subset w_i^{-1}Ww_i \subset W^3 \subset U.$$

**26.Kx** If  $1_G$  is closed, then all singletons in  $G$  are closed. Therefore,  $G$  satisfies  $T_1$  iff  $1_G$  is closed. Let us prove that in this case the group  $G$  is also Hausdorff. Consider  $g \neq 1$  and take a neighborhood  $U$  of  $1_G$  not containing  $g$ . By 26.15x,  $1_G$  has a symmetric neighborhood  $V$  such that  $V^2 \subset U$ . Verify that  $gV$  and  $V$  are disjoint, whence it follows that  $G$  is Hausdorff.

**26.Lx**  $(\Rightarrow)$  Use 14.C  $(\Leftarrow)$  In this case, each element of  $G$  is the intersection of its neighborhoods. Hence,  $G$  satisfies the first separation axiom, and it remains to apply 26.Kx.

**26.Mx.1** It suffices to take a symmetric neighborhood  $V$  such that  $V^2 \subset U$ . Indeed, then for any  $g \notin U$  the neighborhoods  $gV$  and  $V$  are disjoint, whence  $C1V \subset U$ .

**26.Ox** Let  $W$  be an open set,  $g \in W$ . Let  $V$  be a symmetric neighborhood of  $1_G$  with  $V^2 \subset W$ . There  $1_G$  has a neighborhood  $U \in \Gamma$  such

that  $U \subset V$ . There exists  $a \in S$  such that  $a \in gU^{-1}$ . Then  $g \in aU$  and  $a \in gU^{-1} \subset gV^{-1} = gV$ . Therefore,  $aU \subset aV \subset gV^2 \subset W$ .

**26.Px** This immediately follows from 26.Ox.

**27.Bx** This follows from 26.16x.

**27.Dx** If  $U$  is open, then  $UH$  (respectively,  $HU$ ) is open, see 26.11x.

**27.Ex** Let  $G$  be the group,  $H \subset G$  the subgroup. The space  $G/H$  of left cosets satisfies the first separation axiom since  $gH$  is closed in  $G$  for any  $g \in G$ . Observe that every open set in  $G/H$  has the form  $\{gH \mid g \in U\}$ , where  $U$  is an open set in  $G$ . Hence, it is sufficient to check that for every open neighborhood  $U$  of  $1_G$  in  $G$  the unity  $1_G$  has a neighborhood  $V$  in  $G$  such that  $\text{Cl}VH \subset UH$ . Pick a symmetric neighborhood  $V$  with  $V^2 \subset U$ , see 26.15x. Let  $x \in G$  belong to  $\text{Cl}VH$ . Then  $Vx$  contains a point  $vh$  with  $v \in V$  and  $h \in H$ , so that there exists  $v' \in V$  such that  $v'x = vh$ , whence  $x \in V^{-1}VH = V^2H \subset UH$ .

**27.Fx** (*Compactness*) First, we check that if  $H$  is compact, then the projection  $G \rightarrow G/H$  is a closed map. Let  $F \subset G$  be a closed set,  $x \notin FH$ . Since  $FH$  is closed (see 26.14x),  $x$  has a neighborhood  $U$  disjoint with  $FH$ . Then  $UH$  is disjoint with  $FH$ . Hence, the projection is closed. Now, consider a family of closed sets in  $G$  with finite intersection property. Their images also form a family of closed sets in  $G/H$  with finite intersection property. Since  $G/H$  is compact, the images have a nonempty intersection. Therefore, there is  $g \in G$  such that the traces of the closed sets in the family on  $gH$  have finite intersection property. Finally, since  $gH$  is compact, the closed sets in the family have a nonempty intersection.

(*Connectedness*) Let  $G = U \cup V$ , where  $U$  and  $V$  are disjoint open subsets of  $G$ . Since all cosets  $gH$ ,  $g \in G$ , are connected, each of them is contained either in  $U$  or in  $V$ . Hence,  $G$  is decomposed into  $UH$  and  $VH$ , which yields a decomposition of  $G/H$  in two disjoint open subsets. Since  $G/H$  is connected, either  $UH$  or  $VH$  is empty. Therefore, either  $U$  or  $V$  is empty.

**27.Hx** Let  $C$  be the connected component of  $1_G$  in a topological group  $G$ . Then  $C^{-1}$  is connected and contains  $1_G$ , whence  $C^{-1} \subset C$ . For any  $g \in C$ , the set  $gC$  is connected and meets  $C$ , whence  $gC \subset C$ . Therefore,  $C$  is a subgroup of  $G$ .  $C$  is closed since connected components are closed.  $C$  is normal since  $gCg^{-1}$  is connected and contains  $1_G$ , whatever  $g \in G$  is.

**27.Ix** Let  $G$  be a topological group,  $H$  a normal subgroup of  $G$ ,  $a, b \in G$  two elements. Let  $\overline{W}$  be a neighborhood of the coset  $abH$  in  $G/H$ . The preimage of  $\overline{W}$  in  $G$  is an open set  $W$  consisting of cosets of  $H$  and containing  $ab$ . In particular,  $W$  is a neighborhood of  $ab$ . Since the multiplication in  $G$  is continuous,  $a$  and  $b$  have neighborhoods  $U$  and  $V$ , respectively, such that  $UV \subset W$ . Then  $(UH)(VH) = (UV)H \subset WH$ . Therefore, multiplication of

elements in the quotient group determines a continuous map  $G/H \times G/H \rightarrow G/H$ . Prove on your own that the map  $G/H \times G/H : aH \rightarrow a^{-1}H$  is also continuous.

**27.Jx** This is special case of 27.Dx.

**27.Kx** If  $\{U_i\}$  is a countable (neighborhood) base in  $G$ , then  $\{U_iH\}$  is a countable (neighborhood) base in  $G/H$ .

**27.Lx** This is a special case of 27.Ex.

**27.Mx**  $\Leftrightarrow$  In this case, all cosets of  $H$  are also open. Therefore, each singleton in  $G/H$  is open.  $\Leftrightarrow$  If  $1_{G/H}$  is open in  $G/H$ , then  $H$  is open in  $G$  by the definition of the quotient topology.

**27.Nx**  $\Leftrightarrow$  Obvious.  $\Leftrightarrow$  Let  $a \in G$ , and let  $b = f(a) \in H$ . For any neighborhood  $U$  of  $b$ , the set  $b^{-1}U$  is a neighborhood of  $1_H$  in  $H$ . Therefore,  $1_G$  has a neighborhood  $V$  in  $G$  such that  $f(V) \subset b^{-1}U$ . Then  $aV$  is a neighborhood of  $a$ , and we have  $f(aV) = f(a)f(V) = bf(V) \subset bb^{-1}U = U$ . Hence,  $f$  is continuous at each point  $a \in G$ , i.e.,  $f$  is a topological group homomorphism.

**27.Ox**  $\Leftrightarrow$  Each open subset of  $G/\text{Ker } f$  has the form  $U \cdot \text{Ker } f$ , where  $U$  is an open subset of  $G$ . Since  $f/S(f)(U \cdot \text{Ker } f) = f(U)$ , the map  $f/S(f)$  is open.

$\Leftrightarrow$  Since the projection  $G \rightarrow G/\text{Ker } f$  is open (see 27.Dx), the map  $f$  is open if so is  $f/S(f)$ .

**27.Px** Combine 27.Ox, 26.Kx, and 16.Y.

**27.Qx** This follows from 27.Ox since the exponential map  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi xi}$  is open.

**27.Sx** The groups are not isomorphic since only one of them is compact. The exponential map  $x \mapsto e^{2\pi xi}$  determines a local isomorphism from  $\mathbb{R}$  to  $S^1$ .

**27.Vx** The map  $A \times B \rightarrow G : (a, b) \mapsto ab$  is a continuous bijection. To see that it is a homeomorphism, observe that it is open since for any neighborhoods of unity,  $U \subset A$  and  $V \subset B$ , and any points  $a \in A$  and  $b \in B$ , the product  $UaVb = abU'V'$ , where  $U' = b^{-1}a^{-1}Uab$  and  $V' = b^{-1}Vb$ , contains  $abW'$ , where  $W'$  is a neighborhood of  $1_G$  contained in  $U'V'$ .

**27.Wx** This immediately follows from 3.8.

**27.Xx** The map  $\text{Top } X \rightarrow \text{Top } X : g \mapsto g^{-1}$  is continuous because it preserves the subbase for the topological structure on  $\text{Top } X$ . It remains to apply 27.Xx.1.

**27.Xx.1** It suffices to check that the preimage of every element of a subbase is open. For  $W(C, U)$ , this is a special case of 24.Sx, where we showed that for any  $gh \in W(C, U)$  there is an open  $U'$ ,  $h(C) \subset U' \subset g^{-1}(U)$ , such that  $\text{Cl}U'$  is compact,  $h \in W(C, U')$ ,  $g \in W(\text{Cl}U', U)$ , and

$$gh \in W(\text{Cl}U', U) \circ W(C, U') \subset W(C, U).$$

The case of  $(W(C, U))^{-1}$  reduces to the previous one because for any  $gh \in (W(C, U))^{-1}$  we have  $h^{-1}g^{-1} \in W(C, U)$ , and so, applying the above construction, we obtain an open  $U'$  such that  $g^{-1}(C) \subset U' \subset h(U)$ ,  $\text{Cl}U'$  is compact,  $g^{-1} \in W(C, U')$ ,  $h^{-1} \in W(\text{Cl}U', U)$ , and

$$h^{-1}g^{-1} \in W(\text{Cl}U', U) \circ W(C, U') \subset W(C, U).$$

Finally, we have  $g \in (W(C, U'))^{-1}$ ,  $h \in (W(\text{Cl}U', U))^{-1}$ , and

$$gh \in (W(C, U'))^{-1} \circ (W(\text{Cl}U', U))^{-1} \subset (W(C, U))^{-1}.$$

We observe that the above map is continuous even for the pure compact-open topology on  $\text{Top } X$ .

**28.Gx** It suffices to check that the preimage of every element of a subbase is open. For  $W(C, U)$ , this is a special case of 24.Vx. Let  $\phi(g) \in (W(C, U))^{-1}$ . Then  $\phi(g^{-1}) \in W(C, U)$ , and therefore  $g^{-1}$  has an open neighborhood  $V$  in  $G$  with  $\phi(V) \subset W(C, U)$ . It follows that  $V^{-1}$  is an open neighborhood of  $g$  in  $G$  and  $\phi(V^{-1}) \subset (W(C, U))^{-1}$ . (The assumptions about  $X$  are needed only to ensure that  $\text{Top } X$  is a topological group.)

**28.Ix** Let us check that  $1_G$  is an isolated point of  $G$ . Consider an open set  $V$  with compact closure. Let  $U \subset V$  be an open subset with compact closure  $\text{Cl}U \subset V$ . Then, for each of finitely many  $g_k \in G$  with  $g_k(U) \cap V \neq \emptyset$ , let  $x_k \in X$  be a point with  $g_k(x_k) \neq x_k$ , and let  $U_k$  be an open neighborhood of  $x_k$  disjoint with  $g_k(x_k)$ . Finally,  $G \cap W(\text{Cl}U, V) \cap \bigcap W(x_k, U_k)$  contains only  $1_G$ .

**28.Jx** The space  $G/G^x$  is compact, the orbit  $G(x) \subset X$  is Hausdorff, and the map  $G/G^x \rightarrow G(x)$  is a continuous bijection. It remains to apply 16.Y.

**28.Kx** To prove that  $X/G$  is Hausdorff, consider two disjoint orbits,  $G(x)$  and  $G(y)$ . Since  $G(y)$  is compact, there are disjoint open sets  $U \ni x$  and  $V \supset G(y)$ . Since  $G(x)$  is compact, there is a finite number of elements  $g_k \in G$  such that  $\bigcup g_k U$  covers  $G(x)$ . Then  $\text{Cl}(\bigcup g_k U) = \bigcup \text{Cl}g_k U = \bigcup g_k \text{Cl}U$  is disjoint with  $G(y)$ , which shows that  $X/G$  is Hausdorff. (Note that this part of the proof does not involve the compactness of  $X$ .) Finally,  $X/G$  is compact as a quotient of the compact space  $X$ .

**28.Mx** It suffices to prove that the canonical map  $f : G/G^x \rightarrow X$  is open (see 28.Nx).

Take a neighborhood  $V \subset G$  of  $1_G$  with compact closure and a neighborhood  $U \subset G$  of  $1_G$  with  $\text{Cl}U \cdot \text{Cl}U \subset V$ . Since  $G$  contains a dense countable set, it follows that there is a sequence  $g_n \in G$  such that  $\{g_n U\}$  is an open cover of  $G$ . It remains to prove that at least one of the sets  $f(g_n U) = g_n f(U) = g_n U(x)$  has nonempty interior.

Assume the contrary. Then, using the local compactness of  $X$ , its Hausdorff property, and the compactness of  $f(g_n \text{Cl}U)$ , we construct by induction a sequence  $W_n \subset X$  of nested open sets with compact closure such that  $W_n$  is disjoint with  $g_k Ux$  with  $k < n$  and  $g_n Ux \cap W_n$  is closed in  $W_n$ . Finally, we obtain nonempty  $\bigcap W_n$  disjoint with  $G(x)$ , a contradiction.

**28.Nx** The canonical map  $G/G^x \rightarrow X$  is continuous and bijective. Hence, it is a homeomorphism iff it is open (and iff it is closed).



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*Part 2*

# Elements of Algebraic Topology

This part of the book can be considered an introduction to algebraic topology. The latter is a part of topology which relates topological and algebraic problems. The relationship is used in both directions, but the reduction of topological problems to algebra is more useful at first stages because algebra is usually easier.

The relation is established according to the following scheme. One invents a construction that assigns to each topological space  $X$  under consideration an algebraic object  $A(X)$ . The latter may be a group, a ring, a space with a quadratic form, an algebra, etc. Another construction assigns to a continuous map  $f : X \rightarrow Y$  a homomorphism  $A(f) : A(X) \rightarrow A(Y)$ . The constructions satisfy natural conditions (in particular, they form a functor), which make it possible to relate topological phenomena with their algebraic images obtained via the constructions.

There is an immense number of useful constructions of this kind. In this part we deal mostly with one of them which, historically, was the first one: the fundamental group of a topological space. It was invented by Henri Poincaré in the end of the XIXth century.

# Fundamental Group

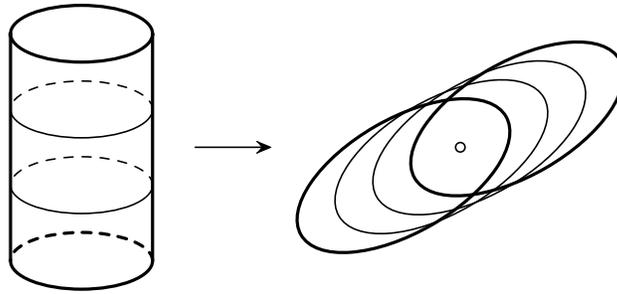
## 29. Homotopy

### 29°1. Continuous Deformations of Maps

**29.A.** Is it possible to deform continuously:

- (1) the identity map  $\text{id} : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  to the constant map  $\mathbb{R}^2 \rightarrow \mathbb{R}^2 : x \mapsto 0$ ,
- (2) the identity map  $\text{id} : S^1 \rightarrow S^1$  to the symmetry  $S^1 \rightarrow S^1 : x \mapsto -x$  (here  $x$  is considered a complex number because the circle  $S^1$  is  $\{x \in \mathbb{C} : |x| = 1\}$ ),
- (3) the identity map  $\text{id} : S^1 \rightarrow S^1$  to the constant map  $S^1 \rightarrow S^1 : x \mapsto 1$ ,
- (4) the identity map  $\text{id} : S^1 \rightarrow S^1$  to the two-fold wrapping  $S^1 \rightarrow S^1 : x \mapsto x^2$ ,
- (5) the inclusion  $S^1 \rightarrow \mathbb{R}^2$  to a constant map,
- (6) the inclusion  $S^1 \rightarrow \mathbb{R}^2 \setminus 0$  to a constant map?

**29.B. Riddle.** When you (tried to) solve the previous problem, what did you mean by “*deform continuously*”?



The present section is devoted to the notion of *homotopy* formalizing the naive idea of continuous deformation of a map.

### 29°2. Homotopy as Map and Family of Maps

Let  $f$  and  $g$  be two continuous maps of a topological space  $X$  to a topological space  $Y$ , and  $H : X \times I \rightarrow Y$  a continuous map such that  $H(x,0) = f(x)$  and  $H(x,1) = g(x)$  for any  $x \in X$ . Then  $f$  and  $g$  are *homotopic*, and  $H$  is a *homotopy* between  $f$  and  $g$ .

For  $x \in X$ ,  $t \in I$  denote  $H(x,t)$  by  $h_t(x)$ . This change of notation results in a change of the point of view of  $H$ . Indeed, for a fixed  $t$  the formula  $x \mapsto h_t(x)$  determines a map  $h_t : X \rightarrow Y$ , and  $H$  becomes a family of maps  $h_t$  enumerated by  $t \in I$ .

**29.C.** Each  $h_t$  is continuous.

**29.D.** Does continuity of all  $h_t$  imply continuity of  $H$ ?

The conditions  $H(x,0) = f(x)$  and  $H(x,1) = g(x)$  in the above definition of a homotopy can be reformulated as follows:  $h_0 = f$  and  $h_1 = g$ . Thus a homotopy between  $f$  and  $g$  can be regarded as a family of continuous maps that connects  $f$  and  $g$ . Continuity of a homotopy allows us to say that it is a *continuous family of continuous maps* (see 29°10).

### 29°3. Homotopy as Relation

**29.E.** *Homotopy of maps is an equivalence relation.*

**29.E.1.** If  $f : X \rightarrow Y$  is a continuous map, then  $H : X \times I \rightarrow Y : (x,t) \mapsto f(x)$  is a homotopy between  $f$  and  $f$ .

**29.E.2.** If  $H$  is a homotopy between  $f$  and  $g$ , then  $H'$  defined by  $H'(x,t) = H(x,1-t)$  is a homotopy between  $g$  and  $f$ .

**29.E.3.** If  $H$  is a homotopy between  $f$  and  $f'$  and  $H'$  is a homotopy between  $f'$  and  $f''$ , then  $H''$  defined by

$$H''(x,t) = \begin{cases} H(x,2t) & \text{if } t \in [0, \frac{1}{2}], \\ H'(x,2t-1) & \text{if } t \in [\frac{1}{2}, 1] \end{cases}$$

is a homotopy between  $f$  and  $f''$ .

Homotopy, being an equivalence relation by 29.E, splits the set  $\mathcal{C}(X, Y)$  of all continuous maps from a space  $X$  to a space  $Y$  into equivalence classes. The latter are *homotopy classes*. The set of homotopy classes of all continuous maps  $X \rightarrow Y$  is denoted by  $\pi(X, Y)$ . Map homotopic to a constant map are said to be *null-homotopic*.

**29.1.** Prove that for any  $X$ , the set  $\pi(X, I)$  has a single element.

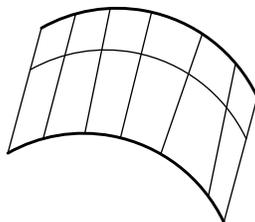
**29.2.** Prove that two constant maps  $Z \rightarrow X$  are homotopic iff their images lie in one path-connected component of  $X$ .

**29.3.** Prove that the number of elements of  $\pi(I, Y)$  is equal to the number of path connected components of  $Y$ .

#### 29°4. Rectilinear Homotopy

**29.F.** Any two continuous maps of the same space to  $\mathbb{R}^n$  are homotopic.

**29.G.** Solve the preceding problem by proving that for continuous maps  $f, g : X \rightarrow \mathbb{R}^n$  formula  $H(x, t) = (1 - t)f(x) + tg(x)$  determines a homotopy between  $f$  and  $g$ .



The homotopy defined in 29.G is a *rectilinear* homotopy.

**29.H.** Any two continuous maps of an arbitrary space to a convex subspace of  $\mathbb{R}^n$  are homotopic.

#### 29°5. Maps to Star-Shaped Sets

A set  $A \subset \mathbb{R}^n$  is *star-shaped* if there exists a point  $b \in A$  such that for any  $x \in A$  the whole segment  $[a, x]$  connecting  $x$  to  $a$  is contained in  $A$ . The point  $a$  is the *center* of the star. (Certainly, the center of the star is not uniquely determined.)

**29.4.** Prove that any two continuous maps of a space to a star-shaped subspace of  $\mathbb{R}^n$  are homotopic.

#### 29°6. Maps of Star-Shaped Sets

**29.5.** Prove that any continuous map of a star-shaped set  $C \subset \mathbb{R}^n$  to any space is null-homotopic.

**29.6.** Under what conditions (formulated in terms of known topological properties of a space  $X$ ) any two continuous maps of any star-shaped set to  $X$  are homotopic?

### 29°7. Easy Homotopies

**29.7.** Prove that each non-surjective map of any topological space to  $S^n$  is null-homotopic.

**29.8.** Prove that any two maps of a one-point space to  $\mathbb{R}^n \setminus 0$  with  $n > 1$  are homotopic.

**29.9.** Find two nonhomotopic maps from a one-point space to  $\mathbb{R} \setminus 0$ .

**29.10.** For various  $m, n$ , and  $k$ , calculate the number of homotopy classes of maps  $\{1, 2, \dots, m\} \rightarrow \mathbb{R}^n \setminus \{x_1, x_2, \dots, x_k\}$ , where  $\{1, 2, \dots, m\}$  is equipped with discrete topology.

**29.11.** Let  $f$  and  $g$  be two maps from a topological space  $X$  to  $\mathbb{C} \setminus 0$ . Prove that if  $|f(x) - g(x)| < |f(x)|$  for any  $x \in X$ , then  $f$  and  $g$  are homotopic.

**29.12.** Prove that for any polynomials  $p$  and  $q$  over  $\mathbb{C}$  of the same degree in one variable there exists  $r > 0$  such that for any  $R > r$  formulas  $z \mapsto p(z)$  and  $z \mapsto q(z)$  determine maps of the circle  $\{z \in \mathbb{C} : |z| = R\}$  to  $\mathbb{C} \setminus 0$  and these maps are homotopic.

**29.13.** Let  $f, g$  be maps of an arbitrary topological space  $X$  to  $S^n$ . Prove that if  $|f(a) - g(a)| < 2$  for each  $a \in X$ , then  $f$  is homotopic to  $g$ .

**29.14.** Let  $f : S^n \rightarrow S^n$  be a continuous map. Prove that if it is fixed-point-free, i.e.,  $f(x) \neq x$  for every  $x \in S^n$ , then  $f$  is homotopic to the symmetry  $x \mapsto -x$ .

### 29°8. Two Natural Properties of Homotopies

**29.I.** Let  $f, f' : X \rightarrow Y$ ,  $g : Y \rightarrow B$ ,  $h : A \rightarrow X$  be continuous maps and  $F : X \times I \rightarrow Y$  a homotopy between  $f$  and  $f'$ . Prove that then  $g \circ F \circ (h \times \text{id}_I)$  is a homotopy between  $g \circ f \circ h$  and  $g \circ f' \circ h$ .

**29.J. Riddle.** Under conditions of 29.I, define a natural map

$$\pi(X, Y) \rightarrow \pi(A, B).$$

How does it depend on  $g$  and  $h$ ? Write down all nice properties of this construction.

**29.K.** Prove that two maps  $f_0, f_1 : X \rightarrow Y \times Z$  are homotopic iff  $\text{pr}_Y \circ f_0$  is homotopic to  $\text{pr}_Y \circ f_1$  and  $\text{pr}_Z \circ f_0$  is homotopic to  $\text{pr}_Z \circ f_1$ .

### 29°9. Stationary Homotopy

Let  $A$  be a subset of  $X$ . A homotopy  $H : X \times I \rightarrow Y$  is *fixed* or *stationary* on  $A$ , or, briefly, an *A-homotopy* if  $H(x, t) = H(x, 0)$  for all  $x \in A$ ,  $t \in I$ . Two maps connected by an *A-homotopy* are *A-homotopic*.

Certainly, any two *A-homotopic* maps coincide on  $A$ . If we want to emphasize that a homotopy is not assumed to be fixed, then we say that it is *free*. If we want to emphasize the opposite (that the homotopy is fixed), then we say that it is *relative*.<sup>1</sup>

<sup>1</sup>Warning: there is a similar, but different kind of homotopy, which is also called relative.

**29.L.** Prove that, like free homotopy,  $A$ -homotopy is an equivalence relation.

The classes into which  $A$ -homotopy splits the set of continuous maps  $X \rightarrow Y$  that agree on  $A$  with a map  $f : A \rightarrow Y$  are  $A$ -homotopy classes of continuous extensions of  $f$  to  $X$ .

**29.M.** For what  $A$  is a rectilinear homotopy fixed on  $A$ ?

### 29°10. Homotopies and Paths

Recall that a *path* in a space  $X$  is a continuous map from the segment  $I$  to  $X$ . (See Section 13.)

**29.N. Riddle.** In what sense is any path a homotopy?

**29.O. Riddle.** In what sense does any homotopy consist of paths?

**29.P. Riddle.** In what sense is any homotopy a path?

Recall that the *compact-open topology* in  $\mathcal{C}(X, Y)$  is the topology generated by the sets  $\{\varphi \in \mathcal{C}(X, Y) \mid \varphi(A) \subset B\}$  for compact  $A \subset X$  and open  $B \subset Y$ .

**29.15.** Prove that any homotopy  $h_t : X \rightarrow Y$  determines (see 29°2) a path in  $\mathcal{C}(X, Y)$  with compact-open topology.

**29.16.** Prove that if  $X$  is locally compact and regular, then any path in  $\mathcal{C}(X, Y)$  with compact-open topology determines a homotopy.

### 29°11. Homotopy of Paths

**29.Q.** Prove that two paths in a space  $X$  are freely homotopic iff their images belong to the same path-connected component of  $X$ .

This shows that the notion of free homotopy in the case of paths is not interesting. On the other hand, there is a sort of relative homotopy playing a very important role. This is  $(0 \cup 1)$ -homotopy. This causes the following commonly accepted deviation from the terminology introduced above: homotopy of paths always means not a free homotopy, but a homotopy fixed on the endpoints of  $I$  (i.e., on  $0 \cup 1$ ).

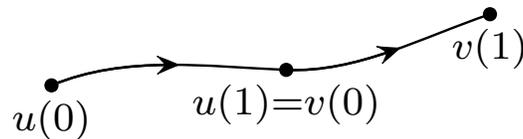
**Notation:** a homotopy class of a path  $s$  is denoted by  $[s]$ .

## 30. Homotopy Properties of Path Multiplication

### 30°1. Multiplication of Homotopy Classes of Paths

Recall (see Section 13) that two paths  $u$  and  $v$  in a space  $X$  can be multiplied, provided the initial point  $v(0)$  of  $v$  is the final point  $u(1)$  of  $u$ . The product  $uv$  is defined by

$$uv(t) = \begin{cases} u(2t) & \text{if } t \in [0, \frac{1}{2}], \\ v(2t - 1) & \text{if } t \in [\frac{1}{2}, 1]. \end{cases}$$



**30.A.** If a path  $u$  is homotopic to  $u'$ , a path  $v$  is homotopic to  $v'$ , and there exists the product  $uv$ , then  $u'v'$  exists and is homotopic to  $uv$ .

Define the product of homotopy classes of paths  $u$  and  $v$  as the homotopy class of  $uv$ . So,  $[u][v]$  is defined as  $[uv]$ , provided  $uv$  is defined. This is a definition requiring a proof.

**30.B.** The product of homotopy classes of paths is well defined.<sup>2</sup>

### 30°2. Associativity

**30.C.** Is multiplication of paths associative?

Certainly, this question might be formulated in more detail as follows.

**30.D.** Let  $u$ ,  $v$ , and  $w$  be paths in a certain space such that products  $uv$  and  $vw$  are defined (i.e.,  $u(1) = v(0)$  and  $v(1) = w(0)$ ). Is it true that  $(uv)w = u(vw)$ ?

**30.1.** Prove that for paths in a metric space  $(uv)w = u(vw)$  implies that  $u$ ,  $v$ , and  $w$  are constant maps.

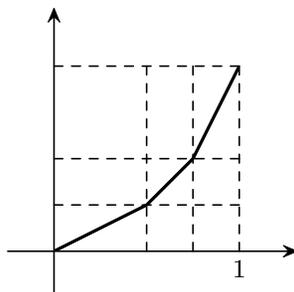
**30.2. Riddle.** Find nonconstant paths  $u$ ,  $v$ , and  $w$  in an indiscrete space such that  $(uv)w = u(vw)$ .

**30.E.** Multiplication of homotopy classes of paths is associative.

<sup>2</sup>Of course, when the initial point of paths in the first class is the final point of paths in the second class.

**30.E.1.** Reformulate Theorem 30.E in terms of paths and their homotopies.

**30.E.2.** Find a map  $\varphi : I \rightarrow I$  such that if  $u, v$ , and  $w$  are paths with  $u(1) = v(0)$  and  $v(1) = w(0)$ , then  $((uv)w) \circ \varphi = u(vw)$ .



**30.E.3.** Any path in  $I$  starting at 0 and ending at 1 is homotopic to  $\text{id} : I \rightarrow I$ .

**30.E.4.** Let  $u, v$  and  $w$  be paths in a space such that products  $uv$  and  $vw$  are defined (thus,  $u(1) = v(0)$  and  $v(1) = w(0)$ ). Then  $(uv)w$  is homotopic to  $u(vw)$ .

If you want to understand the essence of 30.E, then observe that the paths  $(uv)w$  and  $u(vw)$  have the same trajectories and differ only by the time spent in different fragments of the path. Therefore, in order to find a homotopy between them, we must find a continuous way to change one schedule to the other. The lemmas above suggest a formal way of such a change, but the same effect can be achieved in many other ways.

**30.3.** Present explicit formulas for the homotopy  $H$  between the paths  $(uv)w$  and  $u(vw)$ .

### 30°3. Unit

Let  $a$  be a point of a space  $X$ . Denote by  $e_a$  the path  $I \rightarrow X : t \mapsto a$ .

**30.F.** Is  $e_a$  a unit for multiplication of paths?

The same question in more detailed form:

**30.G.** For a path  $u$  with  $u(0) = a$  is  $e_a u = u$ ? For a path  $v$  with  $v(1) = a$  is  $v e_a = v$ ?

**30.4.** Prove that if  $e_a u = u$  and the space satisfies the first separation axiom, then  $u = e_a$ .

**30.H.** The homotopy class of  $e_a$  is a unit for multiplication of homotopy classes of paths.

**30°4. Inverse**

Recall that for a path  $u$  there is the inverse path  $u^{-1} : t \mapsto u(1-t)$  (see Section 13).

**30.I.** Is the inverse path inverse with respect to multiplication of paths?

In other words:

**30.J.** For a path  $u$  beginning in  $a$  and finishing in  $b$ , is it true that  $uu^{-1} = e_a$  and  $u^{-1}u = e_b$ ?

**30.5.** Prove that for a path  $u$  with  $u(0) = a$  equality  $uu^{-1} = e_a$  implies  $u = e_a$ .

**30.K.** For any path  $u$ , the homotopy class of the path  $u^{-1}$  is inverse to the homotopy class of  $u$ .

**30.K.1.** Find a map  $\varphi : I \rightarrow I$  such that  $uu^{-1} = u \circ \varphi$  for any path  $u$ .

**30.K.2.** Any path in  $I$  that starts and finishes at 0 is homotopic to the constant path  $e_0 : I \rightarrow I$ .

We see that from the algebraic point of view multiplication of paths is terrible, but it determines multiplication of homotopy classes of paths, which has nice algebraic properties. The only unfortunate property is that the multiplication of homotopy classes of paths is defined not for any two classes.

**30.L. Riddle.** How to select a subset of the set of homotopy classes of paths to obtain a group?

## 31. Fundamental Group

### 31°1. Definition of Fundamental Group

Let  $X$  be a topological space,  $x_0$  its point. A path in  $X$  which starts and ends at  $x_0$  is a *loop* in  $X$  at  $x_0$ . Denote by  $\Omega_1(X, x_0)$  the set of loops in  $X$  at  $x_0$ . Denote by  $\pi_1(X, x_0)$  the set of homotopy classes of loops in  $X$  at  $x_0$ .

Both  $\Omega_1(X, x_0)$  and  $\pi_1(X, x_0)$  are equipped with a multiplication.

**31.A.** For any topological space  $X$  and a point  $x_0 \in X$  the set  $\pi_1(X, x_0)$  of homotopy classes of loops at  $x_0$  with multiplication defined above in Section 30 is a group.

$\pi_1(X, x_0)$  is the *fundamental group* of the space  $X$  with base point  $x_0$ . It was introduced by Poincaré, and this is why it is also called the *Poincaré group*. The letter  $\pi$  in this notation is also due to Poincaré.

### 31°2. Why Index 1?

The index 1 in the notation  $\pi_1(X, x_0)$  appeared later than the letter  $\pi$ . It is related to one more name of the fundamental group: the first (or one-dimensional) homotopy group. There is an infinite series of groups  $\pi_r(X, x_0)$  with  $r = 1, 2, 3, \dots$  the fundamental group being one of them. The higher-dimensional homotopy groups were defined by Witold Hurewicz in 1935, thirty years after the fundamental group was defined. Roughly speaking, the general definition of  $\pi_r(X, x_0)$  is obtained from the definition of  $\pi_1(X, x_0)$  by replacing  $I$  with the cube  $I^r$ .

**31.B. Riddle.** How to generalize problems of this section in such a way that in each of them  $I$  would be replaced by  $I^r$ ?

There is even a “zero-dimensional homotopy group”  $\pi_0(X, x_0)$ , but it is not a group, as a rule. It is the set of path-connected components of  $X$ . Although there is no natural multiplication in  $\pi_0(X, x_0)$ , unless  $X$  is equipped with some special additional structures, there is a natural unit in  $\pi_0(X, x_0)$ . This is the component containing  $x_0$ .

### 31°3. Circular loops

Let  $X$  be a topological space,  $x_0$  its point. A continuous map  $l : S^1 \rightarrow X$  such that<sup>3</sup>  $l(1) = x_0$  is a (*circular*) *loop* at  $x_0$ . Assign to each circular loop  $l$  the composition of  $l$  with the exponential map  $I \rightarrow S^1 : t \mapsto e^{2\pi it}$ . This is a usual loop at the same point.

<sup>3</sup>Recall that  $S^1$  is regarded as a subset of the plane  $R^2$ , and the latter is identified in a canonical way with  $\mathbb{C}$ . Hence,  $1 \in S^1 = \{z \in \mathbb{C} : |z| = 1\}$ .

**31.C.** Prove that any loop can be obtained in this way from a circular loop.

Two circular loops  $l_1$  and  $l_2$  are *homotopic* if they are 1-homotopic. A homotopy of a circular loop not fixed at  $x_0$  is a *free* homotopy.

**31.D.** Prove that two circular loops are homotopic iff the corresponding ordinary loops are homotopic.

**31.1.** What kind of homotopy of loops corresponds to free homotopy of circular loops?

**31.2.** Describe the operation with circular loops corresponding to the multiplication of paths.

**31.3.** Let  $U$  and  $V$  be the circular loops with common base point  $U(1) = V(1)$  corresponding to the loops  $u$  and  $v$ . Prove that the circular loop

$$z \mapsto \begin{cases} U(z^2) & \text{if } \operatorname{Im}(z) \geq 0, \\ V(z^2) & \text{if } \operatorname{Im}(z) \leq 0 \end{cases}$$

corresponds to the product of  $u$  and  $v$ .

**31.4.** Outline a construction of fundamental group using circular loops.

### 31°4. The Very First Calculations

**31.E.** Prove that  $\pi_1(\mathbb{R}^n, 0)$  is a trivial group (i.e., consists of one element).

**31.F.** Generalize *31.E* to the situations suggested by *29.H* and *29.4*.

**31.5.** Calculate the fundamental group of an indiscrete space.

**31.6.** Calculate the fundamental group of the quotient space of disk  $D^2$  obtained by identification of each  $x \in D^2$  with  $-x$ .

**31.7.** Prove that if a two-point space  $X$  is path-connected, then  $X$  is simply connected.

**31.G.** Prove that  $\pi_1(S^n, (1, 0, \dots, 0))$  with  $n \geq 2$  is a trivial group.

Whether you have solved *31.G* or not, we recommend you to consider problems *31.G.1*, *31.G.2*, *31.G.4*, *31.G.5*, and *31.G.6* designed to give an approach to *31.G*, warn about a natural mistake and prepare an important tool for further calculations of fundamental groups.

**31.G.1.** Prove that any loop  $s : I \rightarrow S^n$  that does not fill the entire  $S^n$  (i.e.,  $s(I) \neq S^n$ ) is null-homotopic, provided  $n \geq 2$ . (Cf. Problem *29.7*.)

Warning: for any  $n$  there exists a loop filling  $S^n$ . See *9.Ox*.

**31.G.2.** Can a loop filling  $S^2$  be null-homotopic?

**31.G.3 Corollary of Lebesgue Lemma 16.W.** Let  $s : I \rightarrow X$  be a path, and  $\Gamma$  be an open cover of a topological space  $X$ . There exists a sequence of points  $a_1, \dots, a_N \in I$  with  $0 = a_1 < a_2 < \dots < a_{N-1} < a_N = 1$  such that  $s([a_i, a_{i+1}])$  is contained in an element of  $\Gamma$  for each  $i$ .

**31.G.4.** Prove that if  $n \geq 2$ , then for any path  $s : I \rightarrow S^n$  there exists a subdivision of  $I$  into a finite number of subintervals such that the restriction of  $s$  to each of the subintervals is homotopic to a map with nowhere-dense image via a homotopy fixed on the endpoints of the subinterval.

**31.G.5.** Prove that if  $n \geq 2$ , then any loop in  $S^n$  is homotopic to a non-surjective loop.

**31.G.6.** 1) Deduce 31.G from 31.G.1 and 31.G.5. 2) Find all points of the proof of 31.G obtained in this way, where the condition  $n \geq 2$  is used.

### 31°5. Fundamental Group of Product

**31.H.** The fundamental group of the product of topological spaces is canonically isomorphic to the product of the fundamental groups of the factors:

$$\pi_1(X \times Y, (x_0, y_0)) = \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

**31.8.** Consider a loop  $u : I \rightarrow X$  at  $x_0$ , a loop  $v : I \rightarrow Y$  at  $y_0$ , and the loop  $w = u \times v : I \rightarrow X \times Y$ . We introduce the loops  $u' : I \rightarrow X \times Y : t \mapsto (u(t), y_0)$  and  $v' : I \rightarrow X \times Y : t \mapsto (x_0, v(t))$ . Prove that  $u'v' \sim w \sim v'u'$ .

**31.9.** Prove that  $\pi_1(\mathbb{R}^n \setminus 0, (1, 0, \dots, 0))$  is trivial if  $n \geq 3$ .

### 31°6. Simply-Connectedness

A nonempty topological space  $X$  is *simply connected* (or *one-connected*) if  $X$  is path-connected and every loop in  $X$  is null-homotopic.

**31.I.** For a path-connected topological space  $X$ , the following statements are equivalent:

- (1)  $X$  is simply connected,
- (2) each continuous map  $f : S^1 \rightarrow X$  is (freely) null-homotopic,
- (3) each continuous map  $f : S^1 \rightarrow X$  extends to a continuous map  $D^2 \rightarrow X$ ,
- (4) any two paths  $s_1, s_2 : I \rightarrow X$  connecting the same points  $x_0$  and  $x_1$  are homotopic.

Theorem 31.I is closely related to Theorem 31.J below. Notice that since Theorem 31.J concerns not all loops, but an individual loop, it is applicable in a broader range of situations.

**31.J.** Let  $X$  be a topological space and  $s : S^1 \rightarrow X$  be a circular loop. Then the following statements are equivalent:

- (1)  $s$  is null-homotopic,
- (2)  $s$  is freely null-homotopic,
- (3)  $s$  extends to a continuous map  $D^2 \rightarrow X$ ,

(4) the paths  $s_+, s_- : I \rightarrow X$  defined by formula  $s_{\pm}(t) = s(e^{\pm\pi it})$  are homotopic.

**31.J.1. Riddle.** To prove that 4 statements are equivalent, we must prove at least 4 implications. What implications would you choose for the easiest proof of Theorem 31.J?

**31.J.2.** Does homotopy of circular loops imply that these circular loops are free homotopic?

**31.J.3.** A homotopy between a map of the circle and a constant map possesses a quotient map whose source space is homeomorphic to disk  $D^2$ .

**31.J.4.** Represent the problem of constructing of a homotopy between paths  $s_+$  and  $s_-$  as a problem of extension of a certain continuous map of the boundary of a square to a continuous of the whole square.

**31.J.5.** When we solve the extension problem obtained as a result of Problem 31.J.4, does it help to know that the circular loop  $S^1 \rightarrow X : t \mapsto s(e^{2\pi it})$  extends to a continuous map of a disk?

**31.10.** Which of the following spaces are simply connected:

- |                                  |                          |                      |
|----------------------------------|--------------------------|----------------------|
| (a) a discrete space;            | (b) an indiscrete space; | (c) $\mathbb{R}^n$ ; |
| (d) a convex set;                | (e) a star-shaped set;   | (f) $S^n$ ;          |
| (g) $\mathbb{R}^n \setminus 0$ ? |                          |                      |

**31.11.** Prove that if a topological space  $X$  is the union of two open simply connected sets  $U$  and  $V$  with path-connected intersection  $U \cap V$ , then  $X$  is simply connected.

**31.12.** Show that the assumption in 31.11 that  $U$  and  $V$  are open is necessary.

**31.13\*.** Let  $X$  be a topological space,  $U$  and  $V$  its open sets. Prove that if  $U \cup V$  and  $U \cap V$  are simply connected, then so are  $U$  and  $V$ .

### 31°7x. Fundamental Group of a Topological Group

Let  $G$  be a topological group. Given loops  $u, v : I \rightarrow G$  starting at the unity  $1 \in G$ , let us define a loop  $u \odot v : I \rightarrow G$  by the formula  $u \odot v(t) = u(t) \cdot v(t)$ , where  $\cdot$  denotes the group operation in  $G$ .

**31.Ax.** Prove that the set  $\Omega(G, 1)$  of all loops in  $G$  starting at 1 equipped with the operation  $\odot$  is a group.

**31.Bx.** Prove that the operation  $\odot$  on  $\Omega(G, 1)$  determines a group operation on  $\pi_1(G, 1)$ , which coincides with the standard group operation (determined by multiplication of paths).

**31.Bx.1.** For loops  $u, v \rightarrow G$  starting at 1, find  $(ue_1) \odot (e_1v)$ .

**31.Cx.** The fundamental group of a topological group is Abelian.

**31°8x. High Homotopy Groups**

Let  $X$  be a topological space and  $x_0$  its point. A continuous map  $I^r \rightarrow X$  mapping the boundary  $\partial I^r$  of  $I^r$  to  $x_0$  is a *spheroid of dimension  $r$*  of  $X$  at  $x_0$ , or just an  *$r$ -spheroid*. Two  $r$ -spheroids are *homotopic* if they are  $\partial I^r$ -homotopic. For two  $r$ -spheroids  $u$  and  $v$  of  $X$  at  $x_0$ ,  $r \geq 1$ , define the product  $uv$  by the formula

$$uv(t_1, t_2, \dots, t_r) = \begin{cases} u(2t_1, t_2, \dots, t_r) & \text{if } t_1 \in [0, \frac{1}{2}], \\ v(2t_1 - 1, t_2, \dots, t_r) & \text{if } t_1 \in [\frac{1}{2}, 1]. \end{cases}$$

The set of homotopy classes of  $r$ -spheroids of a space  $X$  at  $x_0$  is the  $r$ th (or  $r$ -dimensional) homotopy group  $\pi_r(X, x_0)$  of  $X$  at  $x_0$ . Thus,

$$\pi_r(X, x_0) = \pi(I^r, \partial I^r; X, x_0).$$

Multiplication of spheroids induces multiplication in  $\pi_r(X, x_0)$ , which makes  $\pi_r(X, x_0)$  a group.

**31.Dx.** Find  $\pi_r(\mathbb{R}^n, 0)$ .

**31.Ex.** For any  $X$  and  $x_0$  the group  $\pi_r(X, x_0)$  with  $r \geq 2$  is Abelian.

Similar to 31°3, higher-dimensional homotopy groups can be constructed not out of homotopy classes of maps  $(I^r, \partial I^r) \rightarrow (X, x_0)$ , but as

$$\pi(S^r, (1, 0, \dots, 0); X, x_0).$$

Another, also quite a popular way, is to define  $\pi_r(X, x_0)$  as

$$\pi(D^r, \partial D^r; X, x_0).$$

**31.Fx.** Construct natural bijections

$$\pi(I^r, \partial I^r; X, x_0) \rightarrow \pi(D^r, \partial D^r; X, x_0) \rightarrow \pi(S^r, (1, 0, \dots, 0); X, x_0)$$

**31.Gx. Riddle.** For any  $X, x_0$  and  $r \geq 2$  present group  $\pi_r(X, x_0)$  as the fundamental group of some space.

**31.Hx.** Prove the following generalization of 31.H:

$$\pi_r(X \times Y, (x_0, y_0)) = \pi_r(X, x_0) \times \pi_r(Y, y_0).$$

**31.Ix.** Formulate and prove analogs of Problems 31.Ax and 31.Bx for higher homotopy groups and  $\pi_0(G, 1)$ .

## 32. The Role of Base Point

### 32°1. Overview of the Role of Base Point

Sometimes the choice of the base point does not matter, sometimes it is obviously crucial, sometimes this is a delicate question. In this section, we have to clarify all subtleties related to the base point. We start with preliminary formulations describing the subject in its entirety, but without some necessary details.

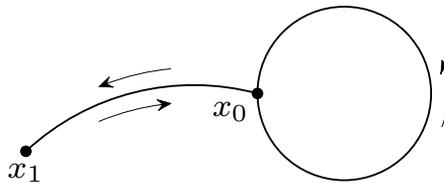
The role of the base point may be roughly described as follows:

- As the base point changes within the same path-connected component, the fundamental group remains in the same class of isomorphic groups.
- However, if the group is non-Abelian, it is impossible to find a natural isomorphism between the fundamental groups at different base points even in the same path-connected component.
- Fundamental groups of a space at base points belonging to different path-connected components have nothing to do to each other.

In this section these will be demonstrated. The proof involves useful constructions, whose importance extends far outside of the frameworks of our initial question on the role of base point.

### 32°2. Definition of Translation Maps

Let  $x_0$  and  $x_1$  be two points of a topological space  $X$ , and let  $s$  be a path connecting  $x_0$  with  $x_1$ . Denote by  $\sigma$  the homotopy class  $[s]$  of  $s$ . Define a map  $T_s : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  by the formula  $T_s(\alpha) = \sigma^{-1}\alpha\sigma$ .



**32.1.** Prove that for any loop  $a : I \rightarrow X$  representing  $\alpha \in \pi_1(X, x_0)$  and any path  $s : I \rightarrow X$  with  $s(0) = x_0$  there exists a free homotopy  $H : I \times I \rightarrow X$  between  $a$  and a loop representing  $T_s(\alpha)$  such that  $H(0, t) = H(1, t) = s(t)$  for  $t \in I$ .

**32.2.** Let  $a, b : I \rightarrow X$  be loops homotopic via a homotopy  $H : I \times I \rightarrow X$  such that  $H(0, t) = H(1, t)$  (i.e.,  $H$  is a free homotopy of loops: at each moment  $t \in I$ , it keeps the endpoints of the path coinciding). Set  $s(t) = H(0, t)$  (hence,  $s$  is the path run through by the initial point of the loop under the homotopy).

Prove that the homotopy class of  $b$  is the image of the homotopy class of  $a$  under  $T_s : \pi_1(X, s(0)) \rightarrow \pi_1(X, s(1))$ .

### 32°3. Properties of $T_s$

**32.A.**  $T_s$  is a (group) homomorphism.<sup>4</sup>

**32.B.** If  $u$  is a path connecting  $x_0$  to  $x_1$  and  $v$  is a path connecting  $x_1$  with  $x_2$ , then  $T_{uv} = T_v \circ T_u$ . In other words, the diagram

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{T_u} & \pi_1(X, x_1) \\ & T_{uv} \searrow & \downarrow T_v \\ & & \pi_1(X, x_2) \end{array}$$

is commutative.

**32.C.** If paths  $u$  and  $v$  are homotopic, then  $T_u = T_v$ .

**32.D.**  $T_{e_a} = \text{id} : \pi_1(X, a) \rightarrow \pi_1(X, a)$

**32.E.**  $T_{s^{-1}} = T_s^{-1}$ .

**32.F.**  $T_s$  is an isomorphism for any path  $s$ .

**32.G.** For any points  $x_0$  and  $x_1$  lying in the same path-connected component of  $X$  groups  $\pi_1(X, x_0)$  and  $\pi_1(X, x_1)$  are isomorphic.

In spite of the result of Theorem 32.G, we cannot write  $\pi_1(X)$  even if the topological space  $X$  is path-connected. The reason is that although the groups  $\pi_1(X, x_0)$  and  $\pi_1(X, x_1)$  are isomorphic, there may be no canonical isomorphism between them (see 32.J below).

**32.H.** The space  $X$  is simply connected iff  $X$  is path-connected and the group  $\pi_1(X, x_0)$  is trivial for a certain point  $x_0 \in X$ .

### 32°4. Role of Path

**32.I.** If a loop  $s$  represents an element  $\sigma$  of the fundamental group  $\pi_1(X, x_0)$ , then  $T_s$  is the inner automorphism of  $\pi_1(X, x_0)$  defined by  $\alpha \mapsto \sigma^{-1}\alpha\sigma$ .

**32.J.** Let  $x_0$  and  $x_1$  be points of a topological space  $X$  belonging to the same path-connected component. The isomorphisms  $T_s : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  do not depend on  $s$  iff  $\pi_1(X, x_0)$  is an Abelian group.

Theorem 32.J implies that if the fundamental group of a topological space  $X$  is Abelian, we may simply write  $\pi_1(X)$ .

<sup>4</sup>Recall that this means that  $T_s(\alpha\beta) = T_s(\alpha)T_s(\beta)$ .

**32°5x. In Topological Group**

In a topological group  $G$  there is another way to relate  $\pi_1(G, x_0)$  with  $\pi_1(G, x_1)$ : there are homeomorphisms  $L_g : G \rightarrow G : x \mapsto xg$  and  $R_g : G \rightarrow G : x \mapsto gx$ , so that there are the induced isomorphisms  $(L_{x_0^{-1}x_1})_* : \pi_1(G, x_0) \rightarrow \pi_1(G, x_1)$  and  $(R_{x_1x_0^{-1}})_* : \pi_1(G, x_0) \rightarrow \pi_1(G, x_1)$ .

**32.Ax.** Let  $G$  be a topological group,  $s : I \rightarrow G$  be a path. Prove that

$$T_s = (L_{s(0)^{-1}s(1)})_* = (R_{s(1)s(0)^{-1}})_* : \pi_1(G, s(0)) \rightarrow \pi_1(G, s(1)).$$

**32.Bx.** Deduce from 32.Ax that the fundamental group of a topological group is Abelian (cf. 31.Cx).

**32.1x.** Prove that the following spaces have Abelian fundamental groups:

- (1) the space of nondegenerate real  $n \times n$  matrices  $GL(n, \mathbb{R}) = \{A \mid \det A \neq 0\}$ ;
- (2) the space of orthogonal real  $n \times n$  matrices  $O(n, \mathbb{R}) = \{A \mid A \cdot ({}^t A) = \mathbb{E}\}$ ;
- (3) the space of special unitary complex  $n \times n$  matrices  $SU(n) = \{A \mid A \cdot ({}^t \bar{A}) = 1, \det A = 1\}$ .

**32°6x. In High Homotopy Groups**

**32.Cx. Riddle.** Guess how  $T_s$  is generalized to  $\pi_r(X, x_0)$  with any  $r$ .

Here is another form of the same question. We put it because its statement contains a greater piece of an answer.

**32.Dx. Riddle.** Given a path  $s : I \rightarrow X$  with  $s(0) = x_0$  and a spheroid  $f : I^r \rightarrow X$  at  $x_0$ , how to cook up a spheroid at  $x_1 = s(1)$  out of these?

**32.Ex.** Let  $s : I \rightarrow X$  be a path,  $f : I^r \rightarrow X$  a spheroid with  $f(\text{Fr } I^r) = s(0)$ . Prove that there exists a homotopy  $H : I^r \times I \rightarrow X$  of  $f$  such that  $H(\text{Fr } I^r \times t) = s(t)$  for any  $t \in I$ . Furthermore, the spheroid obtained by such a homotopy is unique up to homotopy and determines an element of  $\pi_r(X, s(1))$ , which is uniquely determined by the homotopy class of  $s$  and the element of  $\pi_r(X, s(0))$  represented by  $f$ .

Certainly, a solution of 32.Ex gives an answer to 32.Dx and 32.Cx. The map  $\pi_r(X, s(0)) \rightarrow \pi_r(X, s(1))$  defined by 32.Ex is denoted by  $T_s$ . By 32.2, this  $T_s$  generalizes  $T_s$  defined in the beginning of the section for the case  $r = 1$ .

**32.Fx.** Prove that the properties of  $T_s$  formulated in Problems 32.A – 32.F hold true in all dimensions.

**32.Gx. Riddle.** What are the counterparts of 32.Ax and 32.Bx for higher homotopy groups?

## Proofs and Comments

**29.A** (a), (b), (e): yes; (c), (d), (f): no. See *29.B*.

**29.B** See 29°2.

**29.C** The map  $h_t$  is continuous as the restriction of the homotopy  $H$  to the fiber  $X \times t \subset X \times I$ .

**29.D** Certainly, no, it does not.

**29.E** See *29.E.1*, *29.E.2*, and *29.E.3*.

**29.E.1** The map  $H$  is continuous as the composition of the projection  $p : X \times I \rightarrow X$  and the map  $f$ , and, furthermore,  $H(x, 0) = f(x) = H(x, 1)$ . Consequently,  $H$  is a homotopy.

**29.E.2** The map  $H'$  is continuous as the composition of the homeomorphism  $X \times I \rightarrow X \times I : (x, t) \mapsto (x, 1 - t)$  and the homotopy  $H$ , and, furthermore,  $H'(x, 0) = H(x, 1) = g(x)$  and  $H'(x, 1) = H(x, 0) = f(x)$ . Therefore,  $H'$  is a homotopy.

**29.E.3** Indeed,  $H''(x, 0) = f(x)$  and  $H''(x, 1) = H'(x, 1) = f''(x)$ .  $H''$  is continuous since the restriction of  $H''$  to each of the sets  $X \times [0, \frac{1}{2}]$  and  $X \times [\frac{1}{2}, 1]$  is continuous and these sets constitute a fundamental cover of  $X \times I$ .

Below we do not prove that the homotopies are continuous because this always follows from explicit formulas.

**29.F** Each of them is homotopic to the constant map mapping the entire space to the origin, for example, if  $H(x, t) = (1-t)f(x)$ , then  $H : X \times I \rightarrow \mathbb{R}^n$  is a homotopy between  $f$  and the constant map  $x \mapsto 0$ . (There is a more convenient homotopy between arbitrary maps to  $\mathbb{R}^n$ , see *29.G*.)

**29.G** Indeed,  $H(x, 0) = f(x)$  and  $H(x, 1) = g(x)$ . The map  $H$  is obviously continuous. For example, this follows from the inequality

$$|H(x, t) - H(x', t')| \leq |f(x) - f(x')| + |g(x) - g(x')| + (|f(x)| + |g(x)|)|t - t'|.$$

**29.H** Let  $K$  be a convex subset of  $\mathbb{R}^n$ ,  $f, g : X \rightarrow K$  two continuous maps, and  $H$  the rectilinear homotopy between  $f$  and  $g$ . Then  $H(x, t) \in K$  for all  $(x, t) \in X \times I$ , and we obtain a homotopy  $H : X \times I \rightarrow K$ .

**29.I** The map  $H = g \circ F \circ (h \times \text{id}_I) : A \times I \rightarrow B$  is continuous,  $H(a, 0) = g(F(h(a), 0)) = g(f(h(a)))$ , and  $H(a, 1) = g(F(h(a), 1)) = g(f'(h(a)))$ . Consequently,  $H$  is a homotopy.

**29.J** Take  $f : X \rightarrow Y$  to  $g \circ f \circ h : A \rightarrow B$ . Assertion *29.I* shows that this correspondence preserves the homotopy relation, and, hence, it can be

transferred to homotopy classes of maps. Thus, a map  $\pi(X, Y) \rightarrow \pi(A, B)$  is defined.

**29.K** Any map  $f : X \rightarrow Y \times Z$  is uniquely determined by its components  $\text{pr}_X \circ f$  and  $\text{pr}_Y \circ f$ .  $\Leftrightarrow$  If  $H$  is a homotopy between  $f$  and  $g$ , then  $\text{pr}_Y \circ H$  is a homotopy between  $\text{pr}_Y \circ f$  and  $\text{pr}_Y \circ g$ , and  $\text{pr}_Z \circ H$  is a homotopy between  $\text{pr}_Z \circ f$  and  $\text{pr}_Z \circ g$ .

$\Leftarrow$  If  $H_Y$  is a homotopy between  $\text{pr}_Y \circ f$  and  $\text{pr}_Y \circ g$  and  $H_Z$  is a homotopy between  $\text{pr}_Z \circ f$  and  $\text{pr}_Z \circ g$ , then a homotopy between  $f$  and  $g$  is determined by the formula  $H(x, t) = (H_Y(x, t), H_Z(x, t))$ .

**29.L** The proof does not differ from that of assertion 29.E.

**29.M** For the sets  $A$  such that  $f|_A = g|_A$  (i.e., for the sets contained in the coincidence set of  $f$  and  $g$ ).

**29.N** A path is a homotopy of a map of a point, cf. 29.8.

**29.O** For each point  $x \in X$ , the map  $u_x : I \rightarrow X : t \mapsto h(x, t)$  is a path.

**29.P** If  $H$  is a homotopy, then for each  $t \in I$  the formula  $h_t = H(x, t)$  determines a continuous map  $X \rightarrow Y$ . Thus, we obtain a map  $\mathcal{H} : I \rightarrow \mathcal{C}(X, Y)$  of the segment to the set of all continuous maps  $X \rightarrow Y$ . After that, see 29.15 and 29.16.

**29.15** This follows from 24.Vx.

**29.16** This follows from 24.Wx.

**29.Q** This follows from the solution of Problem 29.3.

**30.A** 1) We start with a visual description of the required homotopy. Let  $u_t : I \rightarrow X$  be a homotopy joining  $u$  and  $u'$ , and  $v_t : I \rightarrow X$  a homotopy joining  $v$  and  $v'$ . Then the paths  $u_t v_t$  with  $t \in [0, 1]$  form a homotopy between  $uv$  and  $u'v'$ .

2) Now we present a more formal argument. Since the product  $uv$  is defined, we have  $u(1) = v(0)$ . Since  $u \sim u'$ , we have  $u(1) = u'(1)$ , we similarly have  $v(0) = v'(0)$ . Therefore, the product  $u'v'$  is defined. The homotopy between  $uv$  and  $u'v'$  is the map

$$H : I \times I \rightarrow X : (s, t) \mapsto \begin{cases} H'(2s, t) & \text{if } s \in [0, \frac{1}{2}], \\ H''(2s - 1, t) & \text{if } s \in [\frac{1}{2}, 1]. \end{cases}$$

( $H$  is continuous because the sets  $[0, \frac{1}{2}] \times I$  and  $[\frac{1}{2}, 1] \times I$  constitute a fundamental cover of the square  $I \times I$ , and the restriction of  $H$  to each of these sets is continuous.)

**30.B** This is a straight-forward reformulation of 30.A.

**30.C** No; see 30.D, cf. 30.1.

**30.D** No, this is almost always wrong (see 30.1 and 30.2). Here is the simplest example. Let  $u(s) = 0$  and  $w(s) = 1$  for all  $s \in [0, 1]$  and  $v(s) = s$ . Then  $(uv)w(s) = 0$  only for  $s \in [0, \frac{1}{4}]$ , and  $u(vw)(s) = 0$  for  $s \in [0, \frac{1}{2}]$ .

**30.E.1** Reformulation: for any three paths  $u$ ,  $v$ , and  $w$  such that the products  $uv$  and  $vw$  are defined, the paths  $(uv)w$  and  $u(vw)$  are homotopic.

**30.E.2** Let

$$\varphi(s) = \begin{cases} \frac{s}{2} & \text{if } s \in [0, \frac{1}{2}], \\ s - \frac{1}{4} & \text{if } s \in [\frac{1}{2}, \frac{3}{4}], \\ 2s - 1 & \text{if } s \in [\frac{3}{4}, 1]. \end{cases}$$

Verify that  $\varphi$  is the required function, i.e.,  $((uv)w)(\varphi(s)) = u(vw)(s)$ .

**30.E.3** Consider the rectilinear homotopy, which is in addition fixed on  $\{0, 1\}$ .

**30.E.4** This follows from 29.I, 30.E.2, and 30.E.3.

**30.F** See 30.G.

**30.G** Generally speaking, no; see 30.4.

**30.H** Let

$$\varphi(s) = \begin{cases} 0 & \text{if } s \in [0, \frac{1}{2}], \\ 2s - 1 & \text{if } s \in [\frac{1}{2}, 1]. \end{cases}$$

Verify that  $e_a u = u \circ \varphi$ . Since  $\varphi \sim \text{id}_I$ , we have  $u \circ \varphi \sim u$ , whence

$$[e_a][u] = [e_a u] = [u \circ \varphi] = [u].$$

**30.I** See 30.J.

**30.J** Certainly not.

**30.K.1** Consider the map

$$\varphi(s) = \begin{cases} 2s & \text{if } s \in [0, \frac{1}{2}], \\ 2 - 2s & \text{if } s \in [\frac{1}{2}, 1], \end{cases}$$

**30.K.2** Consider the rectilinear homotopy.

**30.L** Groups are the sets of classes of paths  $u$  with  $u(0) = u(1) = x_0$ , where  $x_0$  is a certain marked point of  $X$ , as well as their subgroups.

**31.A** This immediately follows from 30.B, 30.E, 30.H, and 30.K.

**31.B** See 31°8x.

**31.C** If  $u : I \rightarrow X$  is a loop, then there exists a quotient map  $\tilde{u} : I/\{0, 1\} \rightarrow X$ . It remains to observe that  $I/\{0, 1\} \cong S^1$ .

**31.D**  $\Leftrightarrow$  If  $H : S^1 \times I \rightarrow X$  is a homotopy of circular loops, then the formula  $H'(s, t) = H(e^{2\pi is}, t)$  determines a homotopy  $H'$  between ordinary loops.

$\Leftrightarrow$  Homotopies of circular loops are quotient maps of homotopies of ordinary loops by the partition of the square induced by the relation  $(0, t) \sim (1, t)$ .

**31.E** This is true because there is a rectilinear homotopy between any loop in  $\mathbb{R}^n$  at the origin and a constant loop.

**31.F** Here is a possible generalization: for each convex (and even star-shaped) set  $V \subset \mathbb{R}^n$  and any point  $x_0 \in V$ , the fundamental group  $\pi_1(V, x_0)$  is trivial.

**31.G.1** Let  $p \in S^n \setminus u(I)$ . Consider the stereographic projection  $\tau : S^n \setminus p \rightarrow \mathbb{R}^n$ . The loop  $v = \tau \circ u$  is null-homotopic, let  $h$  be the corresponding homotopy. Then  $H = \tau^{-1} \circ h$  is a homotopy joining the loop  $u$  and a constant loop on the sphere.

**31.G.2** Such loops certainly exist. Indeed, if a loop  $u$  fills the entire sphere, then so does the loop  $uu^{-1}$ , which, however, is null-homotopic.

**31.G.4** Let  $x$  be an arbitrary point of the sphere. We cover the sphere by two open sets  $U = S^n \setminus x$  and  $V = S^n \setminus \{-x\}$ . By Lemma 31.G.3, there is a sequence of points  $a_1, \dots, a_N \in I$ , where  $0 = a_1 < a_2 < \dots < a_{N-1} < a_N = 1$ , such that for each  $i$  the image  $u([a_i, a_{i+1}])$  is entirely contained in  $U$  or in  $V$ . Since each of these sets is homeomorphic to  $\mathbb{R}^n$ , where any two paths with the same starting and ending points are homotopic, it follows that each of the restrictions  $u|_{[a_i, a_{i+1}]}$  is homotopic to a path the image of which is, e.g., an "arc of a great circle" of  $S^n$ . Thus, the path  $u$  is homotopic to a path the image of which does not fill the sphere, and even is nowhere dense.

**31.G.5** This immediately follows from Lemma 31.G.4.

**31.G.6** 1) This is immediate. 2) The assumption  $n \geq 2$  was used only in Lemma 31.G.4.

**31.H** Take a loop  $u : I \rightarrow X \times Y$  at the point  $(x_0, y_0)$  to the pair of loops in  $X$  and  $Y$  that are the components of  $u$ :  $u_1 = \text{pr}_X \circ u$  and  $u_2 = \text{pr}_Y \circ u$ . By assertion 29.I, the loops  $u$  and  $v$  are homotopic iff  $u_1 \sim v_1$  and  $u_2 \sim v_2$ . Consequently, taking the class of the loop  $u$  to the pair  $([u_1], [u_2])$ , we obtain a bijection between the fundamental group  $\pi_1(X \times Y, (x_0, y_0))$  of the product of the spaces and the product  $\pi_1(X, x_0) \times \pi_1(Y, y_0)$  of the fundamental groups of the factors. It remains to verify that the bijection constructed is a homomorphism, which is also obvious because  $\text{pr}_X \circ (uv) = (\text{pr}_X \circ u)(\text{pr}_X \circ v)$ .

**31.I** (a)  $\implies$  (b): The space  $X$  is simply connected  $\implies$  each loop in  $X$  is null-homotopic  $\implies$  each circular loop in  $X$  is relatively null-homotopic  $\implies$  each circular loop in  $X$  is freely null-homotopic.

(b)  $\implies$  (c): By assumption, for an arbitrary map  $f : S^1 \rightarrow X$  there is a homotopy  $h : S^1 \times I \rightarrow X$  such that  $h(p, 0) = f(p)$  and  $h(p, 1) = x_0$ . Consequently, there is a continuous map  $h' : S^1 \times I / (S^1 \times 1) \rightarrow X$  such that  $h = h' \circ \text{pr}$ . It remains to observe that  $S^1 \times I / (S^1 \times 1) \cong D^2$ .

(c)  $\implies$  (d): Put  $g(t, 0) = u_1(t)$ ,  $g(t, 1) = u_2(t)$ ,  $g(0, t) = x_0$ , and  $g(1, t) = x_1$  for  $t \in I$ . Thus, we mapped the boundary of the square  $I \times I$  to  $X$ . Since the square is homeomorphi to a disk and its boundary is homeomorphi to a circle, it follows that the map extends from the boundary to the entire square. The extension obtained is a homotopy between  $u_1$  and  $u_2$ .

(d)  $\implies$  (a): This is obvious.

**31.J.1** It is reasonable to consider the following implications: (a)  $\implies$  (b)  $\implies$  (c)  $\implies$  (d)  $\implies$  (a).

**31.J.2** It certainly does. Furthermore, since  $s$  is null-homotopic, it follows that the circular loop  $f$  is also null-homotopic, and the homotopy is even fixed at the point  $1 \in S^1$ . Thus, (a)  $\implies$  (b).

**31.J.3** The assertion suggests the main idea of the proof of the implication (b)  $\implies$  (c). A null-homotopy of a certain circular loop  $f$  is a map  $H : S^1 \times I \rightarrow X$  constant on the upper base of the cylinder. Consequently, there is a quotient map  $S^1 \times I / S^1 \times 1 \rightarrow X$ . It remains to observe that the quotient space of the cylinder by the upper base is homeomorphi to a disk.

**31.J.4** By the definition of a homotopy  $H : I \times I \rightarrow X$  between two paths, the restriction of  $H$  to the contour of the square is given. Consequently, the problem of constructing a homotopy between two paths is the problem of extending a map from the contour of the square to the entire square.

**31.J.5** All that remains to observe for the proof of the implication (c)  $\implies$  (d), is the following fact: if  $F : D^2 \rightarrow X$  is an extension of the circular loop  $f$ , then the formula  $H(t, \tau) = F(\cos \pi t, (2\tau - 1) \sin \pi t)$  determines a homotopy between  $s_+$  and  $s_-$ .

**31.J** In order to prove the theorem, it remains to prove the implication (d)  $\implies$  (a). Let us state this assertion without using the notion of circular loop. Let  $s : I \rightarrow X$  be a loop. Put  $s_+(t) = s(2t)$  and  $s_-(t) = s(1 - 2t)$ . Thus, we must prove that if the paths  $s_+$  and  $s_-$  are homotopic, then the loop  $s$  is null-homotopic. Try to prove this on your own.

**31.Ax** The associativity of  $\odot$  follows from that of the multiplication in  $G$ ; the unity in the set  $\Omega(G, 1)$  of all loops is the constant loop at the

unity of the group; the element inverse to the loop  $u$  is the path  $v$ , where  $v(s) = (u(s))^{-1}$ .

**31.Bx.1** Verify that  $(ue_1) \odot (e_1v) = uv$ .

**31.Bx** We prove that if  $u \sim u_1$ , then  $u \odot v \sim u_1 \odot v$ . For this purpose it suffices to check that if  $h$  is a homotopy between  $u$  and  $u_1$ , then the formula  $H(s, t) = h(s, t)v(s)$  determines a homotopy between  $u \odot v$  and  $u_1 \odot v$ . Further, since  $ue_1 \sim u$  and  $e_1v \sim v$ , we have  $uv = (ue_1) \odot (e_1v) \sim u \odot v$ , therefore, the paths  $uv$  and  $u \odot v$  lie in one homotopy class. Consequently, the operation  $\odot$  induces the standard group operation in the set of homotopy classes of paths.

**31.Cx** It is sufficient to prove that  $uv \sim vu$ , which fact follows from the following chain:

$$uv = (ue_1) \odot (e_1v) \sim u \odot v \sim (e_1u) \odot (ve_1) = vu.$$

**31.Dx** This group is also trivial. The proof is similar to that of assertion 31.E.

**32.A** Indeed, if  $\alpha = [u]$  and  $\beta = [v]$ , then

$$T_s(\alpha\beta) = \sigma^{-1}\alpha\beta\sigma = \sigma^{-1}\alpha\sigma\sigma^{-1}\beta\sigma = T_s(\alpha)T_s(\beta).$$

**32.B** Indeed,

$$T_{uv}(\alpha) = [uv]^{-1}\alpha[uv] = [v]^{-1}[u]^{-1}\alpha[u][v] = T_v(T_u(\alpha)).$$

**32.C** By the definition of translation along a path, the homomorphism  $T_s$  depends only on the homotopy class of  $s$ .

**32.D** This is so because  $T_{e_a}([u]) = [e_aue_a] = [u]$ .

**32.E** Since  $s^{-1}s \sim e_{x_1}$ , 32.B–32.D imply that

$$T_{s^{-1}} \circ T_s = T_{s^{-1}s} = T_{e_{x_1}} = \text{id}_{\pi_1(X, x_1)}.$$

Similarly, we have  $T_s \circ T_{s^{-1}} = \text{id}_{\pi_1(X, x_0)}$ , whence  $T_{s^{-1}} = T_s^{-1}$ .

**32.F** By 32.E, the homomorphism  $T_s$  has an inverse and, consequently, is an isomorphism.

**32.G** If  $x_0$  and  $x_1$  lie in one path-connected component, then they are joined by a path  $s$ . By 32.F,  $T_s : \pi_1(X, x_0) \rightarrow \pi_1(X, x_1)$  is an isomorphism.

**32.H** This immediately follows from Theorem 32.G.

**32.I** This directly follows from the definition of  $T_s$ .

**32.J**  $\Leftrightarrow$  Assume that the translation isomorphism does not depend on the path. In particular, the isomorphism of translation along any loop at  $x_0$  is trivial. Consider an arbitrary element  $\beta \in \pi_1(X, x_0)$  and a loop

$s$  in the homotopy class  $\beta$ . By assumption,  $\beta^{-1}\alpha\beta = T_s(\alpha) = \alpha$  for each  $\alpha \in \pi_1(X, x_0)$ . Therefore,  $\alpha\beta = \beta\alpha$  for any elements  $\alpha, \beta \in \pi_1(X, x_0)$ , which precisely means that the group  $\pi_1(X, x_0)$  is Abelian.

$\Leftrightarrow$  Consider two paths  $s_1$  and  $s_2$  joining  $x_0$  and  $x_1$ . Since  $T_{s_1s_2^{-1}} = T_{s_2}^{-1} \circ T_{s_1}$ , it follows that  $T_{s_1} = T_{s_2}$  iff  $T_{s_1s_2^{-1}} = \text{id}_{\pi_1(X, x_0)}$ . Let  $\beta \in \pi_1(X, x_0)$  be the class of the loop  $s_1s_2^{-1}$ . If the group  $\pi_1(X, x_0)$  is Abelian, then  $T_{s_1s_2^{-1}}(\alpha) = \beta^{-1}\alpha\beta = \alpha$ , whence  $T_{s_1s_2^{-1}} = \text{id}$ , and so  $T_{s_1} = T_{s_2}$ .

**32.Ax** Let  $u$  be a loop at  $s(0)$ . The formula  $H(\tau, t) = u(\tau)s(0)^{-1}s(1)$  determines a free homotopy between  $u$  and the loop  $L_{s(0)^{-1}s(1)}(u)$  such that  $H(0, t) = H(1, t) = s(t)$ . Therefore, by 32.2, the loops  $L_{s(0)^{-1}s(1)}(u)$  and  $s^{-1}us$  are homotopic, whence  $T_s = (L_{s(0)^{-1}s(1)})_*$ . The equality for  $R_{s(0)^{-1}s(1)}$  is proved in a similar way.

**32.Bx** By 32.Ax, we have  $T_s = (L_e)_* = \text{id}_{\pi_1(X, x_0)}$  for each loop  $s$  at  $x_0$ . Therefore, if  $\beta$  is the class of the loop  $s$ , then  $T_s(\alpha) = \beta^{-1}\alpha\beta = \alpha$ , whence  $\alpha\beta = \beta\alpha$ .



# Covering Spaces and Calculation of Fundamental Groups

## 33. Covering Spaces

### 33°1. Definition of Covering

Let  $X, B$  topological spaces,  $p : X \rightarrow B$  a continuous map. Assume that  $p$  is surjective and each point of  $B$  possesses a neighborhood  $U$  such that the preimage  $p^{-1}(U)$  of  $U$  is a disjoint union of open sets  $V_\alpha$  and  $p$  maps each  $V_\alpha$  homeomorphically onto  $U$ . Then  $p : X \rightarrow B$  is a *covering* (of  $B$ ), the space  $B$  is the *base* of this covering,  $X$  is the *covering space* for  $B$  and the *total space* of the covering. Neighborhoods like  $U$  are said to be *trivially covered*. The map  $p$  is a *covering map* or *covering projection*.

**33.A.** Let  $B$  be a topological space and  $F$  be a discrete space. Prove that the projection  $\text{pr}_B : B \times F \rightarrow B$  is a covering.

**33.1.** If  $U' \subset U \subset B$  and the neighborhood  $U$  is trivially covered, then the neighborhood  $U'$  is also trivially covered.

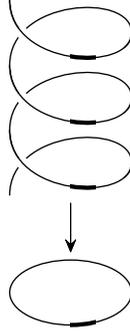
The following statement shows that in a certain sense any covering locally is organized as the covering of 33.A.

**33.B.** A continuous surjective map  $p : X \rightarrow B$  is a covering iff for each point  $a$  of  $B$  the preimage  $p^{-1}(a)$  is discrete and there exist a neighborhood  $U$  of  $a$

and a homeomorphism  $h : p^{-1}(U) \rightarrow U \times p^{-1}(a)$  such that  $p|_{p^{-1}(U)} = \text{pr}_U \circ h$ . Here, as usual,  $\text{pr}_U : U \times p^{-1}(a) \rightarrow U$ .

However, the coverings of  $\mathbb{R}P^1$  are not interesting. They are said to be *trivial*. Here is the first really interesting example.

**33.C.** Prove that  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi ix}$  is a covering.



To distinguish the most interesting examples, a covering with a connected total space is called a covering in a *narrow sense*. Of course, the covering of  $\mathbb{R}P^1$  is a covering in a narrow sense.

### 33°2. More Examples

**33.D.**  $\mathbb{R}^2 \rightarrow S^1 \times \mathbb{R} : (x, y) \mapsto (e^{2\pi ix}, y)$  is a covering.

**33.E.** Prove that if  $p : X \rightarrow B$  and  $p' : X' \rightarrow B'$  are coverings, then so is  $p \times p' : X \times X' \rightarrow B \times B'$ .

If  $p : X \rightarrow B$  and  $p' : X' \rightarrow B'$  are two coverings, then  $p \times p' : X \times X' \rightarrow B \times B'$  is the *product of the coverings*  $p$  and  $p'$ . The first example of the product of coverings is presented in  $\mathbb{R}P^2$ .

**33.F.**  $\mathbb{C} \rightarrow \mathbb{C} \setminus 0 : z \mapsto e^z$  is a covering.

**33.2. Riddle.** In what sense the coverings of  $\mathbb{R}P^2$  and  $\mathbb{C} \setminus 0$  are the same? Define an appropriate equivalence relation for coverings.

**33.G.**  $\mathbb{R}^2 \rightarrow S^1 \times S^1 : (x, y) \mapsto (e^{2\pi ix}, e^{2\pi iy})$  is a covering.

**33.H.** For any positive integer  $n$ , the map  $S^1 \rightarrow S^1 : z \mapsto z^n$  is a covering.

**33.3.** Prove that for each positive integer  $n$  the map  $\mathbb{C} \setminus 0 \rightarrow \mathbb{C} \setminus 0 : z \mapsto z^n$  is a covering.

**33.I.** For any positive integers  $p$  and  $q$ , the map  $S^1 \times S^1 \rightarrow S^1 \times S^1 : (z, w) \mapsto (z^p, w^q)$  is a covering.

**33.J.** The natural projection  $S^n \rightarrow \mathbb{R}P^n$  is a covering.

**33.K.** Is  $(0, 3) \rightarrow S^1 : x \mapsto e^{2\pi ix}$  a covering? (Cf. 33.14.)

**33.L.** Is the projection  $\mathbb{R}^2 \rightarrow \mathbb{R} : (x, y) \mapsto x$  a covering? Indeed, why is not an open interval  $(a, b) \subset \mathbb{R}$  a trivially covered neighborhood: its preimage  $(a, b) \times \mathbb{R}$  is the union of open intervals  $(a, b) \times \{y\}$ , which are homeomorphically projected onto  $(a, b)$  by the projection  $(x, y) \mapsto x$ ?

**33.4.** Find coverings of the Möbius strip by a cylinder.

**33.5.** Find nontrivial coverings of Möbius strip by itself.

**33.6.** Find a covering of the Klein bottle by a torus. Cf. Problem 21.14.

**33.7.** Find coverings of the Klein bottle by the plane  $\mathbb{R}^2$  and the cylinder  $S^1 \times \mathbb{R}$ , and a nontrivial covering of the Klein bottle by itself.

**33.8.** Describe explicitly the partition of  $\mathbb{R}^2$  into preimages of points under this covering.

**33.9\*.** Find a covering of a sphere with any number of crosscaps by a sphere with handles.

### 33°3. Local Homeomorphisms versus Coverings

**33.10.** Any covering is an open map.<sup>1</sup>

A map  $f : X \rightarrow Y$  is a *local homeomorphism* if each point of  $X$  has a neighborhood  $U$  such that the image  $f(U)$  is open in  $Y$  and the submap  $\text{ab}(f) : U \rightarrow f(U)$  is a homeomorphism.

**33.11.** Any covering is a local homeomorphism.

**33.12.** Find a local homeomorphism which is not a covering.

**33.13.** Prove that the restriction of a local homeomorphism to an open set is a local homeomorphism.

**33.14.** For which subsets of  $\mathbb{R}$  is the restriction of the map of Problem 33.C a covering?

**33.15.** Find a nontrivial covering  $X \rightarrow B$  with  $X$  homeomorphic to  $B$  and prove that it satisfies the definition of a covering.

### 33°4. Number of Sheets

Let  $p : X \rightarrow B$  be a covering. The cardinality (i.e., the number of points) of the preimage  $p^{-1}(a)$  of a point  $a \in B$  is the *multiplicity* of the covering at  $a$  or the *number of sheets of the covering over  $a$* .

**33.M.** If the base of a covering is connected, then the multiplicity of the covering at a point does not depend on the point.

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<sup>1</sup>We remind that a map is *open* if the image of any open set is open.

In the case of covering with connected base, the multiplicity is called the *number of sheets* of the covering. If the number of sheets is  $n$ , then the covering is *n-sheeted*, and we talk about an *n-fold* covering. Of course, unless the covering is trivial, it is impossible to distinguish the sheets of it, but this does not prevent us from speaking about the number of sheets. On the other hand, we adopt the following agreement. By definition, the preimage  $p^{-1}(U)$  of any trivially covered neighborhood  $U \subset B$  splits into open subsets:  $p^{-1}(U) = \cup V_\alpha$ , such that the restriction  $p|_{V_\alpha} : V_\alpha \rightarrow U$  is a homeomorphism. Each of the subsets  $V_\alpha$  is a *sheet* over  $U$ .

**33.16.** What are the numbers of sheets for the coverings from Section 33°2?

In problems 33.17–33.19 we did not assume that you would rigorously justify your answers. This will be done below, see problems 39.3–39.6.

**33.17.** What numbers can you realize as the number of sheets of a covering of the Möbius strip by the cylinder  $S^1 \times I$ ?

**33.18.** What numbers can you realize as the number of sheets of a covering of the Möbius strip by itself?

**33.19.** What numbers can you realize as the number of sheets of a covering of the Klein bottle by a torus?

**33.20.** What numbers can you realize as the number of sheets of a covering of the Klein bottle by itself?

**33.21.** Construct a  $d$ -fold covering of a sphere with  $p$  handles by a sphere with  $1 + d(p - 1)$  handles.

**33.22.** Let  $p : X \rightarrow Y$  and  $q : Y \rightarrow Z$  be coverings. Prove that if  $q$  has finitely many sheets, then  $q \circ p : X \rightarrow Z$  is a covering.

**33.23\*.** Is the hypothesis of finiteness of the number of sheets in Problem 33.22 necessary?

**33.24.** Let  $p : X \rightarrow B$  be a covering with compact base  $B$ . 1) Prove that if  $X$  is compact, then the covering is finite-sheeted. 2) If  $B$  is Hausdorff and the covering is finite-sheeted, then  $X$  is compact.

**33.25.** Let  $X$  be a topological space presentable as the union of two open connected sets  $U$  and  $V$ . Prove that if the intersection  $U \cap V$  is disconnected, then  $X$  has a connected infinite-sheeted covering.

### 33°5. Universal Coverings

A covering  $p : X \rightarrow B$  is *universal* if  $X$  is simply connected. The appearance of the word *universal* in this context is explained below in Section 39.

**33.N.** Which coverings of the problems stated above in this section are universal?

## 34. Theorems on Path Lifting

### 34°1. Lifting

Let  $p : X \rightarrow B$  and  $f : A \rightarrow B$  be arbitrary maps. A map  $g : A \rightarrow X$  such that  $p \circ g = f$  is said to *cover*  $f$  or be a *lifting* of  $f$ . Various topological problems can be phrased in terms of finding a continuous lifting of some continuous map. Problems of this sort are called *lifting problems*. They may involve additional requirements. For example, the desired lifting must coincide with a lifting already given on some subspace.

**34.A.** The identity map  $S^1 \rightarrow S^1$  does not admit a continuous lifting with respect to the covering  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi i x}$ . (In other words, there exists no continuous map  $g : S^1 \rightarrow \mathbb{R}$  such that  $e^{2\pi i g(x)} = x$  for  $x \in S^1$ .)

### 34°2. Path Lifting

**34.B Path Lifting Theorem.** Let  $p : X \rightarrow B$  be a covering,  $x_0 \in X$ ,  $b_0 \in B$  be points such that  $p(x_0) = b_0$ . Then for any path  $s : I \rightarrow B$  starting at  $b_0$  there exists a unique path  $\tilde{s} : I \rightarrow X$  starting at  $x_0$  and being a lifting of  $s$ . (In other words, there exists a unique path  $\tilde{s} : I \rightarrow X$  with  $\tilde{s}(0) = x_0$  and  $p \circ \tilde{s} = s$ .)

We can also prove a more general assertion than Theorem 34.B: see Problems 34.1–34.3.

**34.1.** Let  $p : X \rightarrow B$  be a trivial covering. Then for any continuous map  $f$  of any space  $A$  to  $B$  there exists a continuous lifting  $\tilde{f} : A \rightarrow X$ .

**34.2.** Let  $p : X \rightarrow B$  be a trivial covering and  $x_0 \in X$ ,  $b_0 \in B$  be points such that  $p(x_0) = b_0$ . Then for any continuous map  $f$  of a space  $A$  to  $B$  mapping a point  $a_0$  to  $b_0$ , a continuous lifting  $\tilde{f} : A \rightarrow X$  with  $\tilde{f}(a_0) = x_0$  is unique.

**34.3.** Let  $p : X \rightarrow B$  be a covering,  $A$  a connected and locally connected space. If  $f, g : A \rightarrow X$  are two continuous maps coinciding at some point and  $p \circ f = p \circ g$ , then  $f = g$ .

**34.4.** If we replace  $x_0$ ,  $b_0$ , and  $a_0$  in Problem 34.2 by pairs of points, then the lifting problem may happen to have no solution  $\tilde{f}$  with  $\tilde{f}(a_0) = x_0$ . Formulate a condition necessary and sufficient for existence of such a solution.

**34.5.** What goes wrong with the Path Lifting Theorem 34.B for the local homeomorphism of Problem 33.K?

**34.6.** Consider the covering  $\mathbb{C} \rightarrow \mathbb{C} \setminus 0 : z \mapsto e^z$ . Find liftings of the paths  $u(t) = 2 - t$  and  $v(t) = (1 + t)e^{2\pi i t}$  and their products  $uv$  and  $vu$ .

### 34°3. Homotopy Lifting

**34.C Path Homotopy Lifting Theorem.** Let  $p : X \rightarrow B$  be a covering,  $x_0 \in X$ ,  $b_0 \in B$  be points such that  $p(x_0) = b_0$ . Let  $u, v : I \rightarrow B$  be paths starting at  $b_0$  and  $\tilde{u}, \tilde{v} : I \rightarrow X$  be the lifting paths for  $u, v$  starting at  $x_0$ . If the paths  $u$  and  $v$  are homotopic, then the covering paths  $\tilde{u}$  and  $\tilde{v}$  are homotopic.

**34.D Corollary.** Under the assumptions of Theorem 34.C, the covering paths  $\tilde{u}$  and  $\tilde{v}$  have the same final point (i.e.,  $\tilde{u}(1) = \tilde{v}(1)$ ).

Notice that the paths in 34.C and 34.D are assumed to share the initial point  $x_0$ . In the statement of 34.D, we emphasize that then they also share the final point.

**34.E Corollary of 34.D.** Let  $p : X \rightarrow B$  be a covering and  $s : I \rightarrow B$  be a loop. If there exists a lifting  $\tilde{s} : I \rightarrow X$  of  $s$  with  $\tilde{s}(0) \neq \tilde{s}(1)$  (i.e., there exists a covering path which is not a loop), then  $s$  is not null-homotopic.

**34.F.** If a path-connected space  $B$  has a nontrivial path-connected covering space, then the fundamental group of  $B$  is nontrivial.

**34.7.** Prove that any covering  $p : X \rightarrow B$  with simply connected  $B$  and path connected  $X$  is a homeomorphism.

**34.8.** What corollaries can you deduce from 34.F and the examples of coverings presented above in Section 33?

**34.9. Riddle.** Is it really important in the hypothesis of Theorem 34.C that  $u$  and  $v$  are paths? To what class of maps can you generalize this theorem?

## 35. Calculation of Fundamental Groups Using Universal Coverings

### 35°1. Fundamental Group of Circle

For an integer  $n$ , denote by  $s_n$  the loop in  $S^1$  defined by the formula  $s_n(t) = e^{2\pi int}$ . The initial point of this loop is 1. Denote the homotopy class of  $s_1$  by  $\alpha$ . Thus,  $\alpha \in \pi_1(S^1, 1)$ .

**35.A.** The loop  $s_n$  represents  $\alpha^n \in \pi_1(S^1, 1)$ .

**35.B.** Find the paths in  $\mathbb{R}$  starting at  $0 \in \mathbb{R}$  and covering the loops  $s_n$  with respect to the universal covering  $\mathbb{R} \rightarrow S^1$ .

**35.C.** The homomorphism  $\mathbb{Z} \rightarrow \pi_1(S^1, 1) : n \mapsto \alpha^n$  is an isomorphism.

**35.C.1.** The formula  $n \mapsto \alpha^n$  determines a homomorphism  $\mathbb{Z} \rightarrow \pi_1(S^1, 1)$ .

**35.C.2.** Prove that a loop  $s : I \rightarrow S^1$  starting at 1 is homotopic to  $s_n$  if the path  $\tilde{s} : I \rightarrow \mathbb{R}$  covering  $s$  and starting at  $0 \in \mathbb{R}$  ends at  $n \in \mathbb{R}$  (i.e.,  $\tilde{s}(1) = n$ ).

**35.C.3.** Prove that if the loop  $s_n$  is null-homotopic, then  $n = 0$ .

**35.1.** Find the image of the homotopy class of the loop  $t \mapsto e^{2\pi it^2}$  under the isomorphism of Theorem 35.C.

Denote by  $\deg$  the isomorphism inverse to the isomorphism of Theorem 35.C.

**35.2.** For any loop  $s : I \rightarrow S^1$  starting at  $1 \in S^1$ , the integer  $\deg([s])$  is the final point of the path starting at  $0 \in \mathbb{R}$  and covering  $s$ .

**35.D Corollary of Theorem 35.C.** The fundamental group of  $(S^1)^n$  is a free Abelian group of rank  $n$  (i.e., isomorphic to  $\mathbb{Z}^n$ ).

**35.E.** On torus  $S^1 \times S^1$  find two loops whose homotopy classes generate the fundamental group of the torus.

**35.F Corollary of Theorem 35.C.** The fundamental group of punctured plane  $\mathbb{R}^2 \setminus 0$  is an infinite cyclic group.

**35.3.** Solve Problems 35.D – 35.F without reference to Theorems 35.C and 31.H, but using explicit constructions of the corresponding universal coverings.

### 35°2. Fundamental Group of Projective Space

The fundamental group of the projective line is an infinite cyclic group. It is calculated in the previous subsection since the projective line is a circle. The zero-dimensional projective space is a point, hence its fundamental

group is trivial. Now we calculate the fundamental groups of projective spaces of all other dimensions.

Let  $n \geq 2$ , and let  $l : I \rightarrow \mathbb{R}P^n$  be a loop covered by a path  $\tilde{l} : I \rightarrow S^n$  which connects two antipodal points of  $S^n$ , say the poles  $P_+ = (1, 0, \dots, 0)$  and  $P_- = (-1, 0, \dots, 0)$ . Denote by  $\lambda$  the homotopy class of  $l$ . It is an element of  $\pi_1(\mathbb{R}P^n, (1 : 0 : \dots : 0))$ .

**35.G.** For any  $n \geq 2$  group  $\pi_1(\mathbb{R}P^n, (1 : 0 : \dots : 0))$  is a cyclic group of order 2. It consists of two elements:  $\lambda$  and 1.

**35.G.1 Lemma.** Any loop in  $\mathbb{R}P^n$  at  $(1 : 0 : \dots : 0)$  is homotopic either to  $\lambda$  or constant. This depends on whether the covering path of the loop connects the poles  $P_+$  and  $P_-$ , or is a loop.

**35.4.** Where did we use the assumption  $n \geq 2$  in the proofs of Theorem 35.G and Lemma 35.G.1?

### 35°3. Fundamental Group of Bouquet of Circles

Consider a family of topological spaces  $\{X_\alpha\}$ . In each of the spaces, let a point  $x_\alpha$  be marked. Take the disjoint sum  $\bigsqcup_\alpha X_\alpha$  and identify all marked points. The resulting quotient space  $\bigvee_\alpha X_\alpha$  is the *bouquet* of  $\{X_\alpha\}$ . Hence a *bouquet of  $q$  circles* is a space which is a union of  $q$  copies of circle. The copies meet at a single common point, and this is the only common point for any two of them. The common point is the *center* of the bouquet.

Denote the bouquet of  $q$  circles by  $B_q$  and its center by  $c$ . Let  $u_1, \dots, u_q$  be loops in  $B_q$  starting at  $c$  and parameterizing the  $q$  copies of circle comprising  $B_q$ . Denote by  $\alpha_i$  the homotopy class of  $u_i$ .

**35.H.**  $\pi_1(B_q, c)$  is a free group freely generated by  $\alpha_1, \dots, \alpha_q$ .

### 35°4. Algebraic Digression: Free Groups

Recall that a group  $G$  is a free group freely generated by its elements  $a_1, \dots, a_q$  if:

- each element  $x \in G$  is a product of powers (with positive or negative integer exponents) of  $a_1, \dots, a_q$ , i.e.,

$$x = a_{i_1}^{e_1} a_{i_2}^{e_2} \dots a_{i_n}^{e_n}$$

and

- this expression is unique up to the following trivial ambiguity: we can insert or delete factors  $a_i a_i^{-1}$  and  $a_i^{-1} a_i$  or replace  $a_i^m$  by  $a_i^r a_i^s$  with  $r + s = m$ .

**35.I.** A free group is determined up to isomorphism by the number of its free generators.

The number of free generators is the *rank* of the free group. For a standard representative of the isomorphism class of free groups of rank  $q$ , we can take the group of words in an alphabet of  $q$  letters  $a_1, \dots, a_q$  and their inverses  $a_1^{-1}, \dots, a_q^{-1}$ . Two words represent the same element of the group iff they can be obtained from each other by a sequence of insertions or deletions of fragments  $a_i a_i^{-1}$  and  $a_i^{-1} a_i$ . This group is denoted by  $\mathbb{F}(a_1, \dots, a_q)$ , or just  $\mathbb{F}_q$ , when the notation for the generators is not to be emphasized.

**35.J.** *Each element of  $\mathbb{F}(a_1, \dots, a_q)$  has a unique shortest representative. This is a word without fragments that could have been deleted.*

The number  $l(x)$  of letters in the shortest representative of an element  $x \in \mathbb{F}(a_1, \dots, a_q)$  is the *length* of  $x$ . Certainly, this number is not well defined unless the generators are fixed.

**35.5.** Show that an automorphism of  $\mathbb{F}_q$  can map  $x \in \mathbb{F}_q$  to an element with different length. For what value of  $q$  does such an example not exist? Is it possible to change the length in this way arbitrarily?

**35.K.** *A group  $G$  is a free group freely generated by its elements  $a_1, \dots, a_q$  iff every map of the set  $\{a_1, \dots, a_q\}$  to any group  $X$  extends to a unique homomorphism  $G \rightarrow X$ .*

Theorem 35.K is sometimes taken as a definition of a free group. (Definitions of this sort emphasize relations among different groups, rather than the internal structure of a single group. Of course, relations among groups can tell everything about “internal affairs” of each group.)

Now we can reformulate Theorem 35.H as follows:

**35.L.** *The homomorphism*

$$\mathbb{F}(a_1, \dots, a_q) \rightarrow \pi_1(B_q, c)$$

*taking  $a_i$  to  $\alpha_i$  for  $i = 1, \dots, q$  is an isomorphism.*

First, for the sake of simplicity we restrict ourselves to the case where  $q = 2$ . This will allow us to avoid superfluous complications in notation and pictures. This is the simplest case, which really represents the general situation. The case  $q = 1$  is too special.

To take advantages of this, let us change the notation. Put  $B = B_2$ ,  $u = u_1$ ,  $v = u_2$ ,  $\alpha = \alpha_1$ , and  $\beta = \alpha_2$ .

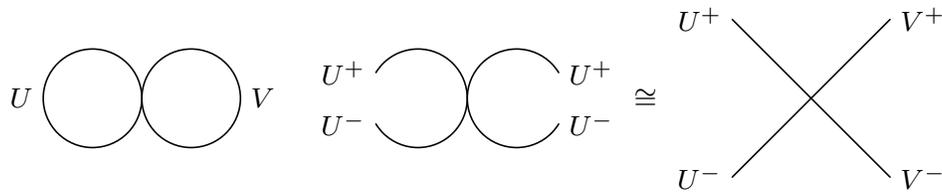
Now Theorem 35.L looks as follows:

*The homomorphism  $\mathbb{F}(a, b) \rightarrow \pi(B, c)$  taking  $a$  to  $\alpha$  and  $b$  to  $\beta$  is an isomorphism.*

This theorem can be proved like Theorems 35.C and 35.G, provided the universal covering of  $B$  is known.

### 35°5. Universal Covering for Bouquet of Circles

Denote by  $U$  and  $V$  the points antipodal to  $c$  on the circles of  $B$ . Cut  $B$  at these points, removing  $U$  and  $V$  and putting instead each of them two new points. Whatever this operation is, its result is a cross  $K$ , which is the union of four closed segments with a common endpoint  $c$ . There appears a natural map  $P : K \rightarrow B$  that takes the center  $c$  of the cross to the center  $c$  of  $B$  and homeomorphically maps the rays of the cross onto half-circles of  $B$ . Since the circles of  $B$  are parameterized by loops  $u$  and  $v$ , the halves of each of the circles are ordered: the corresponding loop passes first one of the halves and then the other one. Denote by  $U^+$  the point of  $P^{-1}(U)$  belonging to the ray mapped by  $P$  onto the second half of the circle, and by  $U^-$  the other point of  $P^{-1}(U)$ . We similarly denote points of  $P^{-1}(V)$  by  $V^+$  and  $V^-$ .



The restriction of  $P$  to  $K \setminus \{U^+, U^-, V^+, V^-\}$  maps this set homeomorphically onto  $B \setminus \{U, V\}$ . Therefore  $P$  provides a covering of  $B \setminus \{U, V\}$ . However, it fails to be a covering at  $U$  and  $V$ : none of these points has a trivially covered neighborhood. Furthermore, the preimage of each of these points consists of 2 points (the endpoints of the cross), where  $P$  is not even a local homeomorphism. To eliminate this defect, we can attach a copy of  $K$  at each of the 4 endpoints of  $K$  and extend  $P$  in a natural way to the result. But then 12 new endpoints appear at which the map is not a local homeomorphism. Well, we repeat the trick and recover the property of being a local homeomorphism at each of the 12 new endpoints. Then we do this at each of the 36 new points, etc. But if we repeat this infinitely many times, all bad points become nice ones.<sup>2</sup>

**35.M.** Formalize the construction of a covering for  $B$  described above.

<sup>2</sup>This sounds like a story about a battle with Hydra, but the happy ending demonstrates that modern mathematicians have a magic power of the sort that the heros of myths and tales could not even dream of. Indeed, we meet a Hydra  $K$  with 4 heads, chop off all the heads, but, according to the old tradition of the genre, 3 new heads appear in place of each of the original heads. We chop them off, and the story repeats. We do not even try to prevent this multiplication of heads. We just chop them off. But contrary to the real heros of tales, we act outside of Time and hence have no time limitations. Thus after infinite repetitions of the exercise with an exponentially growing number of heads we succeed! No heads left!

This is a typical success story about an infinite construction in mathematics. Sometimes, as in our case, such a construction can be replaced by a finite one, but dealing with infinite objects. However, there are important constructions in which an infinite fragment is unavoidable.

Consider  $\mathbb{F}(a, b)$  as a discrete topological space. Take  $K \times \mathbb{F}(a, b)$ . It can be thought of as a collection of copies of  $K$  enumerated by elements of  $\mathbb{F}(a, b)$ . Topologically this is a disjoint sum of the copies because  $\mathbb{F}(a, b)$  is equipped with discrete topology. In  $K \times \mathbb{F}(a, b)$ , we identify points  $(U^-, g)$  with  $(U^+, ga)$  and  $(V^-, g)$  with  $(V^+, gb)$  for each  $g \in \mathbb{F}(a, b)$ . Denote the resulting quotient space by  $X$ .

**35.N.** The composition of the projection  $K \times \mathbb{F}(a, b) \rightarrow K$  and  $P : K \rightarrow B$  determines a continuous quotient map  $p : X \rightarrow B$ .

**35.O.**  $p : X \rightarrow B$  is a covering.

**35.P.**  $X$  is path-connected. For any  $g \in \mathbb{F}(a, b)$ , there exists a path connecting  $(c, 1)$  with  $(c, g)$  and covering the loop obtained from  $g$  by replacing  $a$  with  $u$  and  $b$  with  $v$ .

**35.Q.**  $X$  is simply connected.

### 35°6. Fundamental Groups of Finite Topological Spaces

**35.6.** Prove that if a three-point space  $X$  is path-connected, then  $X$  is simply connected (cf. 31.7).

**35.7.** Consider a topological space  $X = \{a, b, c, d\}$  with topology determined by the base  $\{\{a\}, \{c\}, \{a, b, c\}, \{c, d, a\}\}$ . Prove that  $X$  is path-connected, but not simply connected.

**35.8.** Calculate  $\pi_1(X)$ .

**35.9.** Let  $X$  be a finite topological space with nontrivial fundamental group. Let  $n_0$  be the least possible cardinality of  $X$ . 1) Find  $n_0$ . 2) What nontrivial groups arise as fundamental groups of  $n_0$ -point spaces?

**35.10.** 1) Find a finite topological space with non-Abelian fundamental group. 2) What is the least possible cardinality of such a space?

**35.11\*.** Let a topological space  $X$  be the union of two open path-connected sets  $U$  and  $V$ . Prove that if  $U \cap V$  has at least three connected components, then the fundamental group of  $X$  is non-Abelian and, moreover, admits an epimorphism onto a free group of rank 2.

**35.12\*.** Find a finite topological space with fundamental group isomorphic to  $\mathbb{Z}_2$ .

## Proofs and Comments

**33.A** Let us show that the set  $B$  itself is trivially covered. Indeed,  $(\text{pr}_B)^{-1}(B) = X = \bigcup_{y \in F} (B \times y)$ , and since the topology in  $F$  is discrete, it follows that each of the sets  $B \times y$  is open in the total space of the covering, and the restriction of  $\text{pr}_B$  to each of them is a homeomorphism.

**33.B**  $\Rightarrow$  We construct a homeomorphism  $h : p^{-1}(U) \rightarrow U \times p^{-1}(a)$  for an arbitrary trivially covered neighborhood  $U \subset B$  of  $a$ . By the definition of a trivially covered neighborhood, we have  $p^{-1}(U) = \bigcup U_\alpha$ . Let  $x \in p^{-1}(U)$ , consider an open sets  $U_\alpha$  containing  $x$  and take  $x$  to the pair  $(p(x), c)$ , where  $\{c\} = p^{-1}(a) \cap U_\alpha$ . It is clear that the correspondence  $x \mapsto (p(x), c)$  determines a homeomorphism  $h : p^{-1}(U) \rightarrow U \times p^{-1}(a)$ .

$\Leftarrow$  By assertion 33.1,  $U$  is a trivially covered neighborhood, hence,  $p : X \rightarrow B$  is a covering.

**33.C** For each point  $z \in S^1$ , the set  $U_z = S^1 \setminus \{-z\}$  is a trivially covered neighborhood of  $z$ . Indeed, let  $z = e^{2\pi i x}$ . Then the preimage of  $U_z$  is the union  $\bigcup_{k \in \mathbb{Z}} (x + k - \frac{1}{2}, x + k + \frac{1}{2})$ , and the restriction of the covering to each of the above intervals is a homeomorphism.

**33.D** The product  $(S^1 \setminus \{-z\}) \times \mathbb{R}$  is a trivially covered neighborhood of a point  $(z, y) \in S^1 \times \mathbb{R}$ ; cf. 33.E.

**33.E** Verify that the product of trivially covered neighborhoods of points  $b \in B$  and  $b' \in B'$  is a trivially covered neighborhood of the point  $(b, b') \in B \times B'$ .

**33.F** Consider the diagram

$$\begin{array}{ccc} \mathbb{R}^2 & \xrightarrow{h} & \mathbb{C} \\ q \downarrow & & \downarrow p \\ S^1 \times \mathbb{R} & \xrightarrow{g} & \mathbb{C} \setminus 0, \end{array}$$

where  $g(z, x) = ze^x$ ,  $h(x, y) = y + 2\pi i x$ , and  $q(x, y) = (e^{2\pi i x}, y)$ . The equality  $g(q(x, y)) = e^{2\pi i x} \cdot e^y = e^{y+2\pi i x} = p(h(x, y))$  implies that the diagram is commutative. Clearly,  $g$  and  $h$  are homeomorphisms. Since  $q$  is a covering by 33.D,  $p$  is also a covering.

**33.G** By 33.E, this assertion follows from 33.C. Certainly, it is not difficult to prove it directly. The product  $(S^1 \setminus \{-z\}) \times (S^1 \setminus \{-z'\})$  is a trivially covered neighborhood of the point  $(z, z') \in S^1 \times S^1$ .

**33.H** Let  $z \in S^1$ . The preimage  $-z$  under the projection consists of  $n$  points, which partition the covering space into  $n$  arcs, and the restriction

of the projection to each of them determines a homeomorphism of this arc onto the neighborhood  $S^1 \setminus \{-z\}$  of  $z$ .

**33.I** By 33.E, this assertion follows from 33.H.

**33.J** The preimage of a point  $y \in \mathbb{R}P^n$  is a pair  $\{x, -x\} \subset S^n$  of antipodal points. The plane passing through the center of the sphere and orthogonal to the vector  $x$  splits the sphere into two open hemispheres, each of which is homeomorphically projected to a neighborhood (homeomorphic to  $\mathbb{R}^n$ ) of the point  $y \in \mathbb{R}P^n$ .

**33.K** No, it is not, because the point  $1 \in S^1$  has no trivially covered neighborhood.

**33.L** The open intervals mentioned in the statement are not open subsets of the plane. Furthermore, since the preimage of any interval is a connected set, it cannot be split into disjoint open subsets at all.

**33.M** Prove that the definition of a covering implies that the set of the points in the base with preimage of prescribed cardinality is open and use the fact that the base of the covering is connected.

**33.N** Those coverings where the covering space is  $\mathbb{R}^1, \mathbb{R}^2, \mathbb{R}^n \setminus 0$  with  $n \geq 3$ , and  $S^n$  with  $n \geq 2$ , i.e., a simply connected space.

**34.A** Assume that there exists a lifting  $g$  of the identity map  $S^1 \rightarrow S^1$ ; this is a continuous injection  $S^1 \rightarrow \mathbb{R}$ . We show that there are no such injections. Let  $g(S^1) = [a, b]$ . The Intermediate Value Theorem implies that each point  $x \in (a, b)$  is the image of at least two points of the circle. Consequently,  $g$  is not an injection.

**34.B** Cover the base by trivially covered neighborhoods and partition the segment  $[0, 1]$  by points  $0 = a_0 < a_1 < \dots < a_n = 1$ , such that the image  $s([a_i, a_{i+1}])$  is entirely contained in one of the trivially covered neighborhoods;  $s([a_i, a_{i+1}]) \subset U_i, i = 0, 1, \dots, n - 1$ . Since the restriction of the covering to  $p^{-1}(U_0)$  is a trivial covering and  $f([a_0, a_1]) \subset U_0$ , there exists a lifting of  $s|_{[a_0, a_1]}$  such that  $\tilde{s}(a_0) = x_0$ , let  $x_1 = \tilde{s}(a_1)$ . Similarly, there exists a unique lifting  $\tilde{s}|_{[a_1, a_2]}$  such that  $\tilde{s}(a_1) = x_1$ ; let  $x_2 = \tilde{s}(a_2)$ , and so on. Thus, there exists a lifting  $\tilde{s} : I \rightarrow X$ . Its uniqueness is obvious. If you do not agree, use induction.

**34.C** Let  $h : I \times I \rightarrow B$  be a homotopy between the paths  $u$  and  $v$ , thus,  $h(\tau, 0) = u(\tau), h(\tau, 1) = v(\tau), h(0, t) = b_0$ , and  $h(1, t) = b_1 \in B$ . We show that there exists a map  $\tilde{h} : I \times I \rightarrow X$  covering  $h$  and such that  $\tilde{h}(0, 0) = x_0$ . The proof of the existence of the covering homotopy is similar to that of the Path Lifting Theorem. We subdivide the square  $I \times I$  into smaller squares such that the  $h$ -image of each of them is contained in a certain trivially covered neighborhood in  $B$ . The restriction  $h_{k,l}$  of the homotopy  $h$  to each

of the “little” squares  $I_{k,l}$  is covered by the corresponding map  $\tilde{h}_{k,l}$ . In order to obtain a homotopy covering  $h$ , we must only ensure that these maps coincide on the intersections of these squares. By 34.3, it suffices to require that these maps coincide at least at one point. Let us make the first step: let  $h(I_{0,0}) \subset U_{b_0}$  and let  $\tilde{h}_{0,0} : I_{0,0} \rightarrow X$  be a covering map such that  $\tilde{h}_{0,0}(a_0, c_0) = x_0$ . Now we put  $b_1 = h(a_1, c_0)$  and  $x_1 = \tilde{h}(a_1, c_0)$ . There is a map  $\tilde{h}_{1,0} : I_{1,0} \rightarrow X$  covering  $h|_{I_{1,0}}$  such that  $\tilde{h}_{1,0}(a_1, c_0) = x_1$ . Proceeding in this way, we obtain a map  $\tilde{h}$  defined on the entire square. It remains to verify that  $\tilde{h}$  is a homotopy of paths. Consider the covering path  $\tilde{u} : t \mapsto \tilde{h}(0, t)$ . Since  $p \circ \tilde{u}$  is a constant path, the path  $\tilde{u}$  must also be constant, whence  $\tilde{h}(0, t) = x_0$ . Similarly,  $\tilde{h}(1, t) = x_1$  is a marked point of the covering space. Therefore,  $\tilde{h}$  is a homotopy of paths. In conclusion, we observe that the uniqueness of this homotopy follows, once more, from Lemma 34.3.

**34.D** Formally speaking, this is indeed a corollary, but actually we already proved this when proving Theorem 34.C.

**34.E** A constant path is covered by a constant path. By 34.D, each null-homotopic loop is covered by a loop.

**35.A** Consider the paths  $\tilde{s}_n : I \rightarrow \mathbb{R} : t \mapsto nt$ ,  $\tilde{s}_{n-1} : I \rightarrow \mathbb{R} : t \mapsto (n-1)t$ , and  $\tilde{s}_1 : I \rightarrow \mathbb{R} : t \mapsto n-1+t$  covering the paths  $s_n$ ,  $s_{n-1}$ , and  $s_1$ , respectively. Since the product  $\tilde{s}_{n-1}\tilde{s}_1$  is defined and has the same starting and ending points as the path  $\tilde{s}_n$ , we have  $\tilde{s}_n \sim \tilde{s}_{n-1}\tilde{s}_1$ , whence  $s_n \sim s_{n-1}s_1$ . Therefore,  $[s_n] = [s_{n-1}]\alpha$ . Reasoning by induction, we obtain the required equality  $[s_n] = \alpha^n$ .

**35.B** See the proof of assertion 35.A: this is the path defined by the formula  $\tilde{s}_n(t) = nt$ .

**35.C** By 35.C.1, the map in question is indeed a well-defined homomorphism. By 35.C.2, it is an epimorphism, and by 35.C.3 it is a monomorphism. Therefore, it is an isomorphism.

**35.C.1** If  $n \mapsto \alpha^n$  and  $k \mapsto \alpha^k$ , then  $n+k \mapsto \alpha^{n+k} = \alpha^n \cdot \alpha^k$ .

**35.C.2** Since  $\mathbb{R}$  is simply connected, the paths  $\tilde{s}$  and  $\tilde{s}_n$  are homotopic, therefore, the paths  $s$  and  $s_n$  are also homotopic, whence  $[s] = [s_n] = \alpha^n$ .

**35.C.3** If  $n \neq 0$ , then the path  $\tilde{s}_n$  ends at the point  $n$ , hence, it is not a loop. Consequently, the loop  $s_n$  is not null-homotopic.

**35.D** This follows from the above computation of the fundamental group of the circle and assertion 31.H:

$$\pi_1(\underbrace{S^1 \times \dots \times S^1}_{n \text{ factors}}, (1, 1, \dots, 1)) \cong \underbrace{\pi_1(S^1, 1) \times \dots \times \pi_1(S^1, 1)}_{n \text{ factors}} \cong \mathbb{Z}^n.$$

**35.E** Let  $S^1 \times S^1 = \{(z, w) : |z| = 1, |w| = 1\} \subset \mathbb{C} \times \mathbb{C}$ . The generators of  $\pi_1(S^1 \times S^1, (1, 1))$  are the loops  $s_1 : t \mapsto (e^{2\pi it}, 1)$  and  $s_2 : t \mapsto (1, e^{2\pi it})$ .

**35.F** Since  $\mathbb{R}^2 \setminus 0 \cong S^1 \times \mathbb{R}$ , we have  $\pi_1(\mathbb{R}^2 \setminus 0, (1, 0)) \cong \pi_1(S^1, 1) \times \pi_1(\mathbb{R}, 1) \cong \mathbb{Z}$ .

**35.G.1** Let  $u$  be a loop in  $\mathbb{R}P^n$ , and let  $\tilde{u}$  be the covering  $u$  the path in  $S^n$ . For  $n \geq 2$ , the sphere  $S^n$  is simply connected, and if  $\tilde{u}$  is a loop, then  $\tilde{u}$  and hence also  $u$  are null-homotopic. Now if  $\tilde{u}$  is not a loop, then, once more since  $S^n$  is simply connected, we have  $\tilde{u} \sim \tilde{l}$ , whence  $u \sim l$ .

**35.G** By 35.G.1, the fundamental group consists of two elements, therefore, it is a cyclic group of order two.

**35.H** See 35°5.

**35.M** See the paragraph following the present assertion.

**35.N** This obviously follows from the definition of  $P$ .

**35.O** This obviously follows from the definition of  $p$ .

**35.P** Use induction.

**35.Q** Use the fact that the image of any loop, as a compact set, intersects only a finite number of the segments constituting the covering space  $X$ , and use induction on the number of such segments.



# Fundamental Group and Maps

## 36. Induced Homomorphisms and Their First Applications

### 36°1. Homomorphisms Induced by a Continuous Map

Let  $f : X \rightarrow Y$  be a continuous map of a topological space  $X$  to a topological space  $Y$ . Let  $x_0 \in X$  and  $y_0 \in Y$  be points such that  $f(x_0) = y_0$ . The latter property of  $f$  is expressed by saying that  $f$  maps pair  $(X, x_0)$  to pair  $(Y, y_0)$  and writing  $f : (X, x_0) \rightarrow (Y, y_0)$ .

Consider the map  $f_{\#} : \Omega(X, x_0) \rightarrow \Omega(Y, y_0) : s \mapsto f \circ s$ . This map assigns to a loop its composition with  $f$ .

**36.A.**  $f_{\#}$  maps homotopic loops to homotopic loops.

Therefore,  $f_{\#}$  induces a map  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ .

**36.B.**  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  is a homomorphism for any continuous map  $f : (X, x_0) \rightarrow (Y, y_0)$ .

$f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$  is the homomorphism induced by  $f$ .

**36.C.** Let  $f : (X, x_0) \rightarrow (Y, y_0)$  and  $g : (Y, y_0) \rightarrow (Z, z_0)$  be (continuous) maps. Then

$$(g \circ f)_* = g_* \circ f_* : \pi_1(X, x_0) \rightarrow \pi_1(Z, z_0).$$

**36.D.** Let  $f, g : (X, x_0) \rightarrow (Y, y_0)$  be continuous maps homotopic via a homotopy fixed at  $x_0$ . Then  $f_* = g_*$ .

**36.E. Riddle.** How can we generalize Theorem 36.D to the case of freely homotopic  $f$  and  $g$ ?

**36.F.** Let  $f : X \rightarrow Y$  be a continuous map,  $x_0$  and  $x_1$  points of  $X$  connected by a path  $s : I \rightarrow X$ . Denote  $f(x_0)$  by  $y_0$  and  $f(x_1)$  by  $y_1$ . Then the diagram

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{f_*} & \pi_1(Y, y_0) \\ T_s \downarrow & & \downarrow T_{f \circ s} \\ \pi_1(X, x_1) & \xrightarrow{f_*} & \pi_1(Y, y_1) \end{array}$$

is commutative, i.e.,  $T_{f \circ s} \circ f_* = f_* \circ T_s$ .

**36.1.** Prove that the map  $\mathbb{C} \setminus 0 \rightarrow \mathbb{C} \setminus 0 : z \mapsto z^3$  is not homotopic to the identity map  $\mathbb{C} \setminus 0 \rightarrow \mathbb{C} \setminus 0 : z \mapsto z$ .

**36.2.** Let  $X$  be a subset of  $\mathbb{R}^n$ . Prove that if a continuous map  $f : X \rightarrow Y$  extends to a continuous map  $\mathbb{R}^n \rightarrow Y$ , then  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0))$  is a trivial homomorphism (i.e., maps everything to unit) for any  $x_0 \in X$ .

**36.3.** Prove that if a Hausdorff space  $X$  contains an open set homeomorphic to  $S^1 \times S^1 \setminus (1, 1)$ , then  $X$  has infinite noncyclic fundamental group.

**36.3.1.** Prove that a space  $X$  satisfying the conditions of 36.3 can be continuously mapped to a space with infinite noncyclic fundamental group in such a way that the map would induce an epimorphism of  $\pi_1(X)$  onto this infinite group.

**36.4.** Prove that the fundamental group of the space  $GL(n, \mathbb{C})$  of complex  $n \times n$ -matrices with nonzero determinant is infinite.

## 36°2. Fundamental Theorem of Algebra

Our goal here is to prove the following theorem, which at first glance has no relation to fundamental group.

**36.G Fundamental Theorem of Algebra.** *Every polynomial of positive degree in one variable with complex coefficients has a complex root.*

In more detail:

Let  $p(z) = z^n + a_1 z^{n-1} + \cdots + a_n$  be a polynomial of degree  $n > 0$  in  $z$  with complex coefficients. Then there exists a complex number  $w$  such that  $p(w) = 0$ .

Although it is formulated in an algebraic way and called “The Fundamental Theorem of Algebra,” it has no simple algebraic proof. Its proofs usually involve topological arguments or use complex analysis. This is so because the field  $\mathbb{C}$  of complex numbers as well as the field  $\mathbb{R}$  of reals is extremely difficult to describe in purely algebraic terms: all customary constructive descriptions involve a sort of completion construction, cf. Section 17.

**36.G.1 Reduction to Problem on a Map.** Deduce Theorem 36.G from the following statement:

For any complex polynomial  $p(z)$  of a positive degree, the zero belongs to the image of the map  $\mathbb{C} \rightarrow \mathbb{C} : z \mapsto p(z)$ . In other words, the formula  $z \mapsto p(z)$  does not determine a map  $\mathbb{C} \rightarrow \mathbb{C} \setminus 0$ .

**36.G.2 Estimate of Remainder.** Let  $p(z) = z^n + a_1 z^{n-1} + \dots + a_n$  be a complex polynomial,  $q(z) = z^n$ , and  $r(z) = p(z) - q(z)$ . Then there exists a positive real  $R$  such that  $|r(z)| < |q(z)| = R^n$  for any  $z$  with  $|z| = R$

**36.G.3 Lemma on Lady with Doggy.** (Cf. 29.11.) A lady  $q(z)$  and her dog  $p(z)$  walk on the punctured plane  $\mathbb{C} \setminus 0$  periodically (i.e., say, with  $z \in S^1$ ). Prove that if the lady does not let the dog to run further than by  $|q(z)|$  from her, then the doggy's loop  $S^1 \rightarrow \mathbb{C} \setminus 0 : z \mapsto p(z)$  is homotopic to the lady's loop  $S^1 \rightarrow \mathbb{C} \setminus 0 : z \mapsto q(z)$ .

**36.G.4 Lemma for Dummies.** (Cf. 29.12.) If  $f : X \rightarrow Y$  is a continuous map and  $s : S^1 \rightarrow X$  is a null-homotopic loop, then  $f \circ s : S^1 \rightarrow Y$  is also null-homotopic.

### 36°3x. Generalization of Intermediate Value Theorem

**36.Ax. Riddle.** How to generalize Intermediate Value Theorem 12.A to the case of maps  $f : D^n \rightarrow \mathbb{R}^n$ ?

**36.Bx.** Find out whether Intermediate Value Theorem 12.A is equivalent to the following statement:

Let  $f : D^1 \rightarrow \mathbb{R}^1$  be a continuous map. If  $0 \notin f(S^0)$  and the submap  $f|_{S^0} : S^0 \rightarrow \mathbb{R}^1 \setminus 0$  of  $f$  induces a nonconstant map  $\pi_0(S^0) \rightarrow \pi_0(\mathbb{R}^1 \setminus 0)$ , then there exists a point  $x \in D^1$  such that  $f(x) = 0$ .

**36.Cx. Riddle.** Suggest a generalization of Intermediate Value Theorem to maps  $D^n \rightarrow \mathbb{R}^n$  which would generalize its reformulation 36.Bx. To do it, you must give a definition of the induced homomorphism for homotopy groups.

**36.Dx.** Let  $f : D^n \rightarrow \mathbb{R}^n$  be a continuous map. If  $f(S^{n-1})$  does not contain  $0 \in \mathbb{R}^n$  and the submap  $f|_{S^{n-1}} : S^{n-1} \rightarrow \mathbb{R}^n \setminus 0$  of  $f$  induces a nonconstant map

$$\pi_{n-1}(S^{n-1}) \rightarrow \pi_{n-1}(\mathbb{R}^n \setminus 0),$$

then there exists a point  $x \in D^1$  such that  $f(x) = 0$ .

Usability of Theorem 36.Dx is impeded by a condition which is difficult to check if  $n > 0$ . For  $n = 1$ , this is still possible in the frameworks of the theory developed above.

**36.1x.** Let  $f : D^2 \rightarrow \mathbb{R}^2$  be a continuous map. If  $f(S^1)$  does not contain  $a \in \mathbb{R}^2$  and the circular loop  $f|_{S^1} : S^1 \rightarrow \mathbb{R}^2 \setminus a$  determines a nontrivial element of  $\pi_1(\mathbb{R}^2 \setminus a)$ , then there exists  $x \in D^2$  such that  $f(x) = a$ .

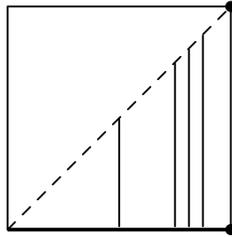
**36.2x.** Let  $f : D^2 \rightarrow \mathbb{R}^2$  be a continuous map that leaves fixed each point of the boundary circle  $S^1$ . Then  $f(D^2) \supset D^2$ .

**36.3x.** Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a continuous map and there exists a real number  $m$  such that  $|f(x) - x| \leq m$  for any  $x \in \mathbb{R}^2$ . Prove that  $f$  is a surjection.

**36.4x.** Let  $u, v : I \rightarrow I \times I$  be two paths such that  $u(0) = (0, 0)$ ,  $u(1) = (1, 1)$  and  $v(0) = (0, 1)$ ,  $v(1) = (1, 0)$ . Prove that  $u(I) \cap v(I) \neq \emptyset$ .

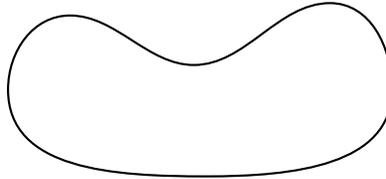
**36.4x.1.** Let  $u, v$  be as in 36.4x. Prove that  $0 \in \mathbb{R}^2$  is a value of the map  $w : I^2 \rightarrow \mathbb{R}^2 : (x, y) \mapsto u(x) - v(y)$ .

**36.5x.** Prove that there exist connected disjoint sets  $F, G \subset I^2$  such that  $(0, 0), (1, 1) \in F$  and  $(0, 1), (1, 0) \in G$ .



**36.6x.** Can we require in addition that the sets  $F$  and  $G$  satisfying the assumptions of Problem 36.5x be closed?

**36.7x.** Let  $C$  be a smooth simple closed curve on the plane with two inflection points. Prove that there is a line intersecting  $C$  in four points  $a, b, c$ , and  $d$  with segments  $[a, b]$ ,  $[b, c]$  and  $[c, d]$  of the same length.

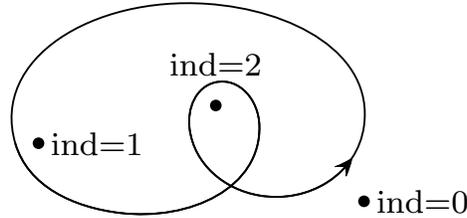


### 36°4x. Winding Number

As we know (see 35.F), the fundamental group of the punctured plane  $\mathbb{R}^2 \setminus 0$  is isomorphic to  $\mathbb{Z}$ . There are two isomorphisms, which differ by multiplication by  $-1$ . We choose that taking the homotopy class of the loop  $t \mapsto (\cos 2\pi t, \sin 2\pi t)$  to  $1 \in \mathbb{Z}$ . In terms of circular loops, the isomorphism means that to any loop  $f : S^1 \rightarrow \mathbb{R}^2 \setminus 0$  we assign an integer. Roughly speaking, it is the number of times the loop goes around 0 (with account of direction).

Now we change the viewpoint in this consideration, and fix the loop, but vary the point. Let  $f : S^1 \rightarrow \mathbb{R}^2$  be a circular loop and let  $x \in \mathbb{R}^2 \setminus f(S^1)$ . Then  $f$  determines an element in  $\pi_1(\mathbb{R}^2 \setminus x) = \mathbb{Z}$  (here we choose basically

the same identification of  $\pi_1(\mathbb{R}^2 \setminus x)$  with  $\mathbb{Z}$  that takes 1 to the homotopy class of  $t \mapsto x + (\cos 2\pi t, \sin 2\pi t)$ . This number is denoted by  $\text{ind}(f, x)$  and called the *winding number* or *index* of  $x$  with respect to  $f$ .



It is also convenient to characterize the number  $\text{ind}(u, x)$  as follows. Along with the circular loop  $u : S^1 \rightarrow \mathbb{R}^2 \setminus x$ , consider the map  $\varphi_{u,x} : S^1 \rightarrow S^1 : z \mapsto \frac{u(z)-x}{|u(z)-x|}$ . The homomorphism  $(\varphi_{u,x})_* : \pi_1(S^1) \rightarrow \pi_1(S^1)$  takes the generator  $\alpha$  of the fundamental group of the circle to the element  $k\alpha$ , where  $k = \text{ind}(u, x)$ .

**36.Ex.** The formula  $x \mapsto \text{ind}(u, x)$  defines a locally constant function on  $\mathbb{R}^2 \setminus u(S^1)$ .

**36.8x.** Let  $f : S^1 \rightarrow \mathbb{R}^2$  be a loop and  $x, y \in \mathbb{R}^2 \setminus f(S^1)$ . Prove that if  $\text{ind}(f, x) \neq \text{ind}(f, y)$ , then any path connecting  $x$  and  $y$  in  $\mathbb{R}^2$  meets  $f(S^1)$ .

**36.9x.** Prove that if  $u(S^1)$  is contained in a disk, while a point  $x$  is not, then  $\text{ind}(u, x) = 0$ .

**36.10x.** Find the set of values of function  $\text{ind} : \mathbb{R}^2 \setminus u(S^1) \rightarrow \mathbb{Z}$  for the following loops  $u$ :

a)  $u(z) = z$ ;    b)  $u(z) = \bar{z}$ ;    c)  $u(z) = z^2$ ;    d)  $u(z) = z + z^{-1} + z^2 - z^{-2}$   
(here  $z \in S^1 \subset \mathbb{C}$ ).

**36.11x.** Choose several loops  $u : S^1 \rightarrow \mathbb{R}^2$  such that  $u(S^1)$  is a bouquet of two circles (a “lemniscate”). Find the winding number with respect to these loops for various points.

**36.12x.** Find a loop  $f : S^1 \rightarrow \mathbb{R}^2$  such that there exist points  $x, y \in \mathbb{R}^2 \setminus f(S^1)$  with  $\text{ind}(f, x) = \text{ind}(f, y)$ , but belonging to different connected components of  $\mathbb{R}^2 \setminus f(S^1)$ .

**36.13x.** Prove that any ray  $R$  radiating from  $x$  meets  $f(S^1)$  at least at  $|\text{ind}(f, x)|$  points (i.e., the number of points in  $f^{-1}(R)$  is not less than  $|\text{ind}(f, x)|$ ).

**36.Fx.** If  $u : S^1 \rightarrow \mathbb{R}^2$  is a restriction of a continuous map  $F : D^2 \rightarrow \mathbb{R}^2$  and  $\text{ind}(u, x) \neq 0$ , then  $x \in F(D^2)$ .

**36.Gx.** If  $u$  and  $v$  are two circular loops in  $\mathbb{R}^2$  with common base point (i. e.,  $u(1) = v(1)$ ) and  $uv$  is their product, then  $\text{ind}(uv, x) = \text{ind}(u, x) + \text{ind}(v, x)$  for each  $x \in \mathbb{R}^2 \setminus uv(S^1)$ .

**36.Hx.** Let  $u$  and  $v$  be circular loops in  $\mathbb{R}^2$ , and  $x \in \mathbb{R}^2 \setminus (u(S^1) \cup v(S^1))$ . If there exists a (free) homotopy  $u_t$ ,  $t \in I$  connecting  $u$  and  $v$  such that  $x \in \mathbb{R}^2 \setminus u_t(S^1)$  for each  $t \in I$ , then  $\text{ind}(u, x) = \text{ind}(v, x)$ .

**36.Ix.** Let  $u : S^1 \rightarrow \mathbb{C}$  be a circular loop and  $a \in \mathbb{C}^2 \setminus u(S^1)$ . Then

$$\text{ind}(u, a) = \frac{1}{2\pi i} \int_{S^1} \frac{|u(z) - a|}{u(z) - a} dz.$$

**36.Jx.** Let  $p(z)$  be a polynomial with complex coefficients,  $R > 0$ , and let  $z_0 \in \mathbb{C}$ . Consider the circular loop  $u : S^1 \rightarrow \mathbb{C} : z \mapsto p(Rz)$ . If  $z_0 \in \mathbb{C} \setminus u(S^1)$ , then the polynomial  $p(z) - z_0$  has (counting the multiplicities) precisely  $\text{ind}(u, z_0)$  roots in the open disk  $B_R^2 = \{z : |z| < R\}$ .

**36.Kx. Riddle.** By what can we replace the circular loop  $u$ , the domain  $B_R$ , and the polynomial  $p(z)$  so that the assertion remain valid?

### 36°5x. Borsuk–Ulam Theorem

**36.Lx One-Dimensional Borsuk–Ulam.** For each continuous map  $f : S^1 \rightarrow \mathbb{R}^1$  there exists  $x \in S^1$  such that  $f(x) = f(-x)$ .

**36.Mx Two-Dimensional Borsuk–Ulam.** For each continuous map  $f : S^2 \rightarrow \mathbb{R}^2$  there exists  $x \in S^2$  such that  $f(x) = f(-x)$ .

**36.Mx.1 Lemma.** If there exists a continuous map  $f : S^2 \rightarrow \mathbb{R}^2$  such that  $f(x) \neq f(-x)$  for each  $x \in S^2$ , then there exists a continuous map  $\varphi : \mathbb{R}P^2 \rightarrow \mathbb{R}P^1$  inducing a nonzero homomorphism  $\pi_1(\mathbb{R}P^2) \rightarrow \pi_1(\mathbb{R}P^1)$ .

**36.14x.** Prove that at each instant of time, there is a pair of antipodal points on the earth's surface where the pressures and also the temperatures are equal.

Theorems 36.Lx and 36.Mx are special cases of the following general theorem. We do not assume the reader to be ready to prove Theorem 36.Nx in the full generality, but is there another easy special case?

**36.Nx Borsuk–Ulam Theorem.** For each continuous map  $f : S^n \rightarrow \mathbb{R}^n$  there exists  $x \in S^n$  such that  $f(x) = f(-x)$ .

## 37. Retractions and Fixed Points

### 37°1. Retractions and Retracts

A continuous map of a topological space onto a subspace is a *retraction* if the restriction of the map to the subspace is the identity map. In other words, if  $X$  is a topological space and  $A \subset X$ , then  $\rho : X \rightarrow A$  is a retraction if  $\rho$  is continuous and  $\rho|_A = \text{id}_A$ .

**37.A.** Let  $\rho$  be a continuous map of a space  $X$  onto its subspace  $A$ . Then the following statements are equivalent:

- (1)  $\rho$  is a retraction,
- (2)  $\rho(a) = a$  for any  $a \in A$ ,
- (3)  $\rho \circ \text{in} = \text{id}_A$ ,
- (4)  $\rho : X \rightarrow A$  is an extension of the identity map  $A \rightarrow A$ .

A subspace  $A$  of a space  $X$  is a *retract* of  $X$  if there exists a retraction  $X \rightarrow A$ .

**37.B.** Any one-point subset is a retract.

Two-point set may be a non-retract.

**37.C.** Any subset of  $\mathbb{R}$  consisting of two points is not a retract of  $\mathbb{R}$ .

**37.1.** If  $A$  is a retract of  $X$  and  $B$  is a retract of  $A$ , then  $B$  is a retract of  $X$ .

**37.2.** If  $A$  is a retract of  $X$  and  $B$  is a retract of  $Y$ , then  $A \times B$  is a retract of  $X \times Y$ .

**37.3.** A closed interval  $[a, b]$  is a retract of  $\mathbb{R}$ .

**37.4.** An open interval  $(a, b)$  is not a retract of  $\mathbb{R}$ .

**37.5.** What topological properties of ambient space are inherited by a retract?

**37.6.** Prove that a retract of a Hausdorff space is closed.

**37.7.** Prove that the union of  $Y$ -axis and the set  $\{(x, y) \in \mathbb{R}^2 \mid x > 0, y = \sin \frac{1}{x}\}$  is not a retract of  $\mathbb{R}^2$  and, moreover, is not a retract of any of its neighborhoods.

**37.D.**  $S^0$  is not a retract of  $D^1$ .

The role of the notion of retract is clarified by the following theorem.

**37.E.** A subset  $A$  of a topological space  $X$  is a retract of  $X$  iff for each space  $Y$  each continuous map  $A \rightarrow Y$  extends to a continuous map  $X \rightarrow Y$ .

### 37°2. Fundamental Group and Retractions

**37.F.** If  $\rho : X \rightarrow A$  is a retraction,  $i : A \rightarrow X$  is the inclusion, and  $x_0 \in A$ , then  $\rho_* : \pi_1(X, x_0) \rightarrow \pi_1(A, x_0)$  is an epimorphism and  $i_* : \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  is a monomorphism.

**37.G. Riddle.** Which of the two statements of Theorem 37.F (about  $\rho_*$  or  $i_*$ ) is easier to use for proving that a set  $A \subset X$  is not a retract of  $X$ ?

**37.H Borsuk Theorem in Dimension 2.**  $S^1$  is not a retract of  $D^2$ .

**37.8.** Is the projective line a retract of the projective plane?

The following problem is more difficult than 37.H in the sense that its solution is not a straightforward consequence of Theorem 37.F, but rather demands to reexamine the arguments used in proof of 37.F.

**37.9.** Prove that the boundary circle of Möbius band is not a retract of Möbius band.

**37.10.** Prove that the boundary circle of a handle is not a retract of the handle.

The Borsuk Theorem in its whole generality cannot be deduced like Theorem 37.H from Theorem 37.F. However, it can be proven using a generalization of 37.F to higher homotopy groups. Although we do not assume that you can successfully prove it now relying only on the tools provided above, we formulate it here.

**37.I Borsuk Theorem.** The  $(n - 1)$ -sphere  $S^{n-1}$  is not a retract of the  $n$ -disk  $D^n$ .

At first glance this theorem seems to be useless. Why could it be interesting to know that a map with a very special property of being a retraction does not exist in this situation? However, in mathematics nonexistence theorems are often closely related to theorems that may seem to be more attractive. For instance, the Borsuk Theorem implies the Brouwer Theorem discussed below. But prior to this we must introduce an important notion related to the Brouwer Theorem.

### 37°3. Fixed-Point Property

Let  $f : X \rightarrow X$  be a continuous map. A point  $a \in X$  is a *fixed point* of  $f$  if  $f(a) = a$ . A space  $X$  has the *fixed-point property* if every continuous map  $X \rightarrow X$  has a fixed point. The fixed point property implies solvability of a wide class of equations.

**37.11.** Prove that the fixed point property is a topological property.

**37.12.** A closed interval  $[a, b]$  has the fixed point property.

**37.13.** Prove that if a topological space has the fixed point property, then so does each of its retracts.

**37.14.** Let  $X$  and  $Y$  be two topological spaces,  $x_0 \in X$  and  $y_0 \in Y$ . Prove that  $X$  and  $Y$  have the fixed point property iff so does their bouquet  $X \vee Y = X \sqcup Y / [x_0 \sim y_0]$ .

**37.15.** Prove that any finite tree (i.e., a connected space obtained from a finite collection of closed intervals by some identifying of their endpoints such that deleting of an internal point of each of the segments makes the space disconnected, see 42° 4x) has the fixed-point property. Is this statement true for infinite trees?

**37.16.** Prove that  $\mathbb{R}^n$  with  $n > 0$  does not have the fixed point property.

**37.17.** Prove that  $S^n$  does not have the fixed point property.

**37.18.** Prove that  $\mathbb{R}P^n$  with odd  $n$  does not have the fixed point property.

**37.19\*.** Prove that  $\mathbb{C}P^n$  with odd  $n$  does not have the fixed point property.

**Information.**  $\mathbb{R}P^n$  and  $\mathbb{C}P^n$  with any even  $n$  have the fixed point property.

**37.J Brouwer Theorem.**  $D^n$  has the fixed point property.

**37.J.1.** Deduce from Borsuk Theorem in dimension  $n$  (i.e., from the statement that  $S^{n-1}$  is not a retract of  $D^n$ ) Brouwer Theorem in dimension  $n$  (i.e., the statement that any continuous map  $D^n \rightarrow D^n$  has a fixed point).

**37.K.** Derive the Borsuk Theorem from the Brouwer Theorem.

The existence of fixed points can follow not only from topological arguments.

**37.20.** Prove that if  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a periodic affine transformation (i.e.,  $\underbrace{f \circ \cdots \circ f}_p \text{ times} = \text{id}_{\mathbb{R}^n}$  for a certain  $p$ ), then  $f$  has a fixed point.

## 38. Homotopy Equivalences

### 38°1. Homotopy Equivalence as Map

Let  $X$  and  $Y$  be two topological spaces,  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  continuous maps. Consider the compositions  $f \circ g : Y \rightarrow Y$  and  $g \circ f : X \rightarrow X$ . They would be equal to the corresponding identity maps if  $f$  and  $g$  were mutually inverse homeomorphisms. If  $f \circ g$  and  $g \circ f$  are only homotopic to the identity maps, then  $f$  and  $g$  are said to be *homotopy inverse* to each other. If a continuous map  $f$  possesses a homotopy inverse map, then  $f$  is a *homotopy invertible map* or a *homotopy equivalence*.

**38.A.** Prove the following properties of homotopy equivalences:

- (1) any homeomorphism is a homotopy equivalence,
- (2) a map homotopy inverse to a homotopy equivalence is a homotopy equivalence,
- (3) the composition of two homotopy equivalences is a homotopy equivalence.

**38.1.** Find a homotopy equivalence that is not a homeomorphism.

### 38°2. Homotopy Equivalence as Relation

Two topological spaces  $X$  and  $Y$  are *homotopy equivalent* if there exists a homotopy equivalence  $X \rightarrow Y$ .

**38.B.** Homotopy equivalence of topological spaces is an equivalence relation.

The classes of homotopy equivalent spaces are *homotopy types*. Thus homotopy equivalent spaces are said to be of the same homotopy type.

**38.2.** Prove that homotopy equivalent spaces have the same number of path-connected components.

**38.3.** Prove that homotopy equivalent spaces have the same number of connected components.

**38.4.** Find an infinite series of topological spaces that belong to the same homotopy type, but are pairwise not homeomorphic.

### 38°3. Deformation Retraction

A retraction  $\rho : X \rightarrow A$  is a *deformation retraction* if its composition  $\text{in} \circ \rho$  with the inclusion  $\text{in} : A \rightarrow X$  is homotopic to the identity  $\text{id}_X$ . If  $\text{in} \circ \rho$  is  $A$ -homotopic to  $\text{id}_X$ , then  $\rho$  is a *strong deformation retraction*. If  $X$  admits a (strong) deformation retraction onto  $A$ , then  $A$  is a (*strong*) *deformation retract* of  $X$ .

**38.C.** Each deformation retraction is a homotopy equivalence.

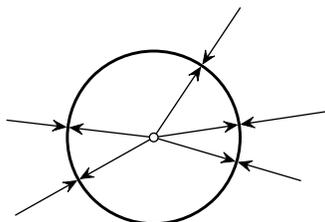
**38.D.** If  $A$  is a deformation retract of  $X$ , then  $A$  and  $X$  are homotopy equivalent.

**38.E.** Any two deformation retracts of one and the same space are homotopy equivalent.

**38.F.** If  $A$  is a deformation retract of  $X$  and  $B$  is a deformation retract of  $Y$ , then  $A \times B$  is a deformation retract of  $X \times Y$ .

#### 38°4. Examples

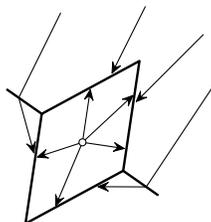
**38.G.** Circle  $S^1$  is a deformation retract of  $\mathbb{R}^2 \setminus 0$ .



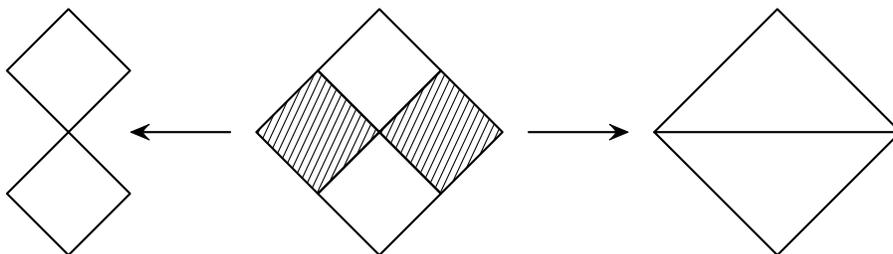
**38.5.** Prove that the Möbius strip is homotopy equivalent to a circle.

**38.6.** Classify letters of Latin alphabet up to homotopy equivalence.

**38.H.** Prove that a plane with  $s$  punctures is homotopy equivalent to a union of  $s$  circles intersecting in a single point.



**38.I.** Prove that the union of a diagonal of a square and the contour of the same square is homotopy equivalent to a union of two circles intersecting in a single point.



**38.7.** Prove that a handle is homotopy equivalent to a bouquet of two circles. (E.g., construct a deformation retraction of the handle to a union of two circles intersecting in a single point.)

**38.8.** Prove that a handle is homotopy equivalent to a union of three arcs with common endpoints (i.e., letter  $\theta$ ).

**38.9.** Prove that the space obtained from  $S^2$  by identification of a two (distinct) points is homotopy equivalent to the union of a two-sphere and a circle intersecting in a single point.

**38.10.** Prove that the space  $\{(p, q) \in \mathbb{C} : z^2 + pz + q \text{ has two distinct roots}\}$  of quadratic complex polynomials with distinct roots is homotopy equivalent to the circle.

**38.11.** Prove that the space  $GL(n, \mathbb{R})$  of invertible  $n \times n$  real matrices is homotopy equivalent to the subspace  $O(n)$  consisting of orthogonal matrices.

**38.12. Riddle.** Is there any relation between a solution of the preceding problem and the Gram–Schmidt orthogonalization? Can the Gram–Schmidt orthogonalization algorithm be considered a deformation retraction?

**38.13.** Construct the following deformation retractions: (a)  $\mathbb{R}^3 \setminus \mathbb{R}^1 \rightarrow S^1$ ; (b)  $\mathbb{R}^n \setminus \mathbb{R}^m \rightarrow S^{n-m-1}$ ; (c)  $S^3 \setminus S^1 \rightarrow S^1$ ; (d)  $S^n \setminus S^m \rightarrow S^{n-m-1}$  (e)  $\mathbb{R}P^n \setminus \mathbb{R}P^m \rightarrow \mathbb{R}P^{n-m-1}$ .

### 38°5. Deformation Retraction versus Homotopy Equivalence

**38.J.** Spaces of Problem 38.I cannot be embedded one to another. On the other hand, they can be embedded as deformation retracts in the plane with two punctures.

Deformation retractions comprise a special type of homotopy equivalences. For example, they are easier to visualize. However, as follows from 38.J, it may happen that two spaces are homotopy equivalent, but none of them can be embedded in the other one, and so none of them is homeomorphic to a deformation retract of the other one. Therefore, deformation retractions seem to be insufficient for establishing homotopy equivalences.

However, this is not the case:

**38.14\*.** Prove that any two homotopy equivalent spaces can be embedded as deformation retracts in the same topological space.

### 38°6. Contractible Spaces

A topological space  $X$  is *contractible* if the identity map  $\text{id} : X \rightarrow X$  is null-homotopic.

**38.15.** Show that  $\mathbb{R}$  and  $I$  are contractible.

**38.16.** Prove that any contractible space is path-connected.

**38.17.** Prove that the following three statements about a topological space  $X$  are equivalent:

- (1)  $X$  is contractible,
- (2)  $X$  is homotopy equivalent to a point,
- (3) there exists a deformation retraction of  $X$  onto a point,
- (4) any point  $a$  of  $X$  is a deformation retract of  $X$ ,
- (5) any continuous map of any topological space  $Y$  to  $X$  is null-homotopic,
- (6) any continuous map of  $X$  to any topological space  $Y$  is null-homotopic.

**38.18.** Is it true that if  $X$  is a contractible space, then for any topological space  $Y$

- (1) any two continuous maps  $X \rightarrow Y$  are homotopic?
- (2) any two continuous maps  $Y \rightarrow X$  are homotopic?

**38.19.** Find out if the spaces on the following list are contractible:

- (1)  $\mathbb{R}^n$ ,
- (2) a convex subset of  $\mathbb{R}^n$ ,
- (3) a star-shaped subset of  $\mathbb{R}^n$ ,
- (4)  $\{(x, y) \in \mathbb{R}^2 : x^2 - y^2 \leq 1\}$ ,
- (5) a finite tree (i.e., a connected space obtained from a finite collection of closed intervals by some identifying of their endpoints such that deleting of an internal point of each of the segments makes the space disconnected, see 42°4x.)

**38.20.** Prove that  $X \times Y$  is contractible iff both  $X$  and  $Y$  are contractible.

### 38°7. Fundamental Group and Homotopy Equivalences

**38.K.** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  be homotopy inverse maps, and let  $x_0 \in X$  and  $y_0 \in Y$  be two points such that  $f(x_0) = y_0$  and  $g(y_0) = x_0$  and, moreover, the homotopies relating  $f \circ g$  to  $\text{id}_Y$  and  $g \circ f$  to  $\text{id}_X$  are fixed at  $y_0$  and  $x_0$ , respectively. Then  $f_*$  and  $g_*$  are inverse to each other isomorphisms between groups  $\pi_1(X, x_0)$  and  $\pi_1(Y, y_0)$ .

**38.L Corollary.** If  $\rho : X \rightarrow A$  is a strong deformation retraction,  $x_0 \in A$ , then  $\rho_* : \pi_1(X, x_0) \rightarrow \pi_1(A, x_0)$  and  $\text{in}_* : \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  are mutually inverse isomorphisms.

**38.21.** Calculate the fundamental group of the following spaces:

- |   |   |   |                                    |
|---|---|---|------------------------------------|
| (a) $\mathbb{R}^3 \setminus \mathbb{R}^1$ , | (b) $\mathbb{R}^N \setminus \mathbb{R}^n$ , | (c) $\mathbb{R}^3 \setminus S^1$ ,            | (d) $\mathbb{R}^N \setminus S^n$ , |
| (e) $S^3 \setminus S^1$ ,                   | (f) $S^N \setminus S^k$ ,                   | (g) $\mathbb{R}P^3 \setminus \mathbb{R}P^1$ , | (h) handle,                        |
| (i) Möbius band,                            | (j) sphere with $s$ holes,                  |   |                                    |
| (k) Klein bottle with a point removed,      | (l) Möbius band with $s$ holes.             |   |                                    |

**38.22.** Prove that the boundary circle of the Möbius band standardly embedded in  $\mathbb{R}^3$  (see 21.18) could not be the boundary of a disk embedded in  $\mathbb{R}^3$  in such a way that its interior does not intersect the band.

**38.23.** 1) Calculate the fundamental group of the space  $Q$  of all complex polynomials  $ax^2 + bx + c$  with distinct roots. 2) Calculate the fundamental group of the subspace  $Q_1$  of  $Q$  consisting of polynomials with  $a = 1$  (unital polynomials).

**38.24. Riddle.** Can you solve 38.23 along the lines of deriving the customary formula for the roots of a quadratic trinomial?

**38.M.** Suppose that the assumptions of Theorem 38.K are weakened as follows:  $g(y_0) \neq x_0$  and/or the homotopies relating  $f \circ g$  to  $\text{id}_Y$  and  $g \circ f$  to  $\text{id}_X$  are *not* fixed at  $y_0$  and  $x_0$ , respectively. How would  $f_*$  and  $g_*$  be related? Would  $\pi_1(X, x_0)$  and  $\pi_1(Y, y_0)$  be isomorphic?

## 39. Covering Spaces via Fundamental Groups

### 39°1. Homomorphisms Induced by Covering Projections

**39.A.** Let  $p : X \rightarrow B$  be a covering,  $x_0 \in X$ ,  $b_0 = p(x_0)$ . Then  $p_* : \pi_1(X, x_0) \rightarrow \pi_1(B, b_0)$  is a monomorphism. Cf. 34.C.

The image of the monomorphism  $p_* : \pi_1(X, x_0) \rightarrow \pi_1(B, b_0)$  induced by the covering projection  $p : X \rightarrow B$  is the *group of the covering  $p$  with base point  $x_0$* .

**39.B. Riddle.** Is the group of covering determined by the covering?

**39.C Group of Covering versus Lifting of Loops.** Describe loops in the base space of a covering, whose homotopy classes belong to the group of the covering, in terms provided by Path Lifting Theorem 34.B.

**39.D.** Let  $p : X \rightarrow B$  be a covering, let  $x_0, x_1 \in X$  belong to the same path-component of  $X$ , and  $b_0 = p(x_0) = p(x_1)$ . Then  $p_*(\pi_1(X, x_0))$  and  $p_*(\pi_1(X, x_1))$  are conjugate subgroups of  $\pi_1(B, b_0)$  (i.e., there exists an  $\alpha \in \pi_1(B, b_0)$  such that  $p_*(\pi_1(X, x_1)) = \alpha^{-1}p_*(\pi_1(X, x_0))\alpha$ ).

**39.E.** Let  $p : X \rightarrow B$  be a covering,  $x_0 \in X$ ,  $b_0 = p(x_0)$ . For each  $\alpha \in \pi_1(B, b_0)$ , there exists an  $x_1 \in p^{-1}(b_0)$  such that  $p_*(\pi_1(X, x_1)) = \alpha^{-1}p_*(\pi_1(X, x_0))\alpha$ .

**39.F.** Let  $p : X \rightarrow B$  be a covering in a narrow sense,  $G \subset \pi_1(B, b_0)$  the group of this covering with a base point  $x_0$ . A subgroup  $H \subset \pi_1(B, b_0)$  is a group of the same covering iff  $H$  is conjugate to  $G$ .

### 39°2. Number of Sheets

**39.G Number of Sheets and Index of Subgroup.** Let  $p : X \rightarrow B$  be a covering in a narrow sense with finite number of sheets. Then the number of sheets is equal to the index of the group of this covering.

**39.H Sheets and Right Cosets.** Let  $p : X \rightarrow B$  be a covering in a narrow sense,  $b_0 \in B$ , and  $x_0 \in p^{-1}(b_0)$ . Construct a natural bijection of  $p^{-1}(b_0)$  and the set  $p_*(\pi_1(X, x_0)) \backslash \pi_1(B, b_0)$  of right cosets of the group of the covering in the fundamental group of the base space.

**39.1 Number of Sheets in Universal Covering.** The number of sheets of a universal covering equals the order of the fundamental group of the base space.

**39.2 Nontrivial Covering Means Nontrivial  $\pi_1$ .** Any topological space that has a nontrivial path-connected covering space has a nontrivial fundamental group.

**39.3.** What numbers can appear as the number of sheets of a covering of the Möbius strip by the cylinder  $S^1 \times I$ ?

**39.4.** What numbers can appear as the number of sheets of a covering of the Möbius strip by itself?

**39.5.** What numbers can appear as the number of sheets of a covering of the Klein bottle by torus?

**39.6.** What numbers can appear as the number of sheets of a covering of the Klein bottle by itself?

**39.7.** What numbers can appear as the numbers of sheets for a covering of the Klein bottle by plane  $\mathbb{R}^2$ ?

**39.8.** What numbers can appear as the numbers of sheets for a covering of the Klein bottle by  $S^1 \times \mathbb{R}$ ?

### 39°3. Hierarchy of Coverings

Let  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  be two coverings,  $x_0 \in X$ ,  $y_0 \in Y$ , and  $p(x_0) = q(y_0) = b_0$ . The covering  $q$  with base point  $y_0$  is *subordinate* to  $p$  with base point  $x_0$  if there exists a map  $\varphi : X \rightarrow Y$  such that  $q \circ \varphi = p$  and  $\varphi(x_0) = y_0$ . In this case, the map  $\varphi$  is a *subordination*.

**39.I.** A subordination is a covering map.

**39.J.** If a subordination exists, then it is unique. Cf. 34.B.

Two coverings  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  are *equivalent* if there exists a homeomorphism  $h : X \rightarrow Y$  such that  $p = q \circ h$ . In this case,  $h$  and  $h^{-1}$  are *equivalences*.

**39.K.** If two coverings are mutually subordinate, then the corresponding subordinations are equivalences.

**39.L.** The equivalence of coverings is, indeed, an equivalence relation in the set of coverings with a given base space.

**39.M.** Subordination determines a nonstrict partial order in the set of equivalence classes of coverings with a given base.

**39.9.** What equivalence class of coverings is minimal (i.e., subordinate to all other classes)?

**39.N.** Let  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  be coverings,  $x_0 \in X$ ,  $y_0 \in Y$  and  $p(x_0) = q(y_0) = b_0$ . If  $q$  with base point  $y_0$  is subordinate to  $p$  with base point  $x_0$ , then the group of covering  $p$  is contained in the group of covering  $q$ , i.e.,  $p_*(\pi_1(X, x_0)) \subset q_*(\pi_1(Y, y_0))$ .

**39°4x. Existence of Subordinations**

A topological space  $X$  is *locally path-connected* if for each point  $a \in X$  and each neighborhood  $U$  of  $a$  the point  $a$  has a path-connected neighborhood  $V \subset U$ .

**39.1x.** Find a path connected, but not locally path connected topological space.

**39.Ax.** Let  $B$  be a locally path-connected space,  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  be coverings in a narrow sense,  $x_0 \in X$ ,  $y_0 \in Y$  and  $p(x_0) = q(y_0) = b_0$ . If  $p_*(\pi_1(X, x_0)) \subset q_*(\pi_1(Y, y_0))$ , then  $q$  is subordinate to  $p$ .

**39.Ax.1.** Under the conditions of *39.Ax*, if two paths  $u, v : I \rightarrow X$  have the same initial point  $x_0$  and a common final point, then the paths that cover  $p \circ u$  and  $p \circ v$  and have the same initial point  $y_0$  also have the same final point.

**39.Ax.2.** Under the conditions of *39.Ax*, the map  $X \rightarrow Y$  defined by *39.Ax.1* (guess, what this map is!) is continuous.

**39.2x.** Construct an example proving that the hypothesis of local path connectedness in *39.Ax.2* and *39.Ax* is necessary.

**39.Bx.** Two coverings  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  with a common locally path-connected base are equivalent iff for some  $x_0 \in X$  and  $y_0 \in Y$  with  $p(x_0) = q(y_0) = b_0$  the groups  $p_*(\pi_1(X, x_0))$  and  $q_*(\pi_1(Y, y_0))$  are conjugate in  $\pi_1(B, b_0)$ .

**39.3x.** Construct an example proving that the assumption of local path connectedness of the base in *39.Bx* is necessary.

**39°5x. Micro Simply Connected Spaces**

A topological space  $X$  is *micro simply connected* if each point  $a \in X$  has a neighborhood  $U$  such that the inclusion homomorphism  $\pi_1(U, a) \rightarrow \pi_1(X, a)$  is trivial.

**39.4x.** Any simply connected space is micro simply connected.

**39.5x.** Find a micro simply connected, but not simply connected space.

A topological space is *locally contractible at point  $a$*  if each neighborhood  $U$  of  $a$  contains a neighborhood  $V$  of  $a$  such that the inclusion  $V \rightarrow U$  is null-homotopic. A topological space is *locally contractible* if it is locally contractible at each of its points.

**39.6x.** Any finite topological space is locally contractible.

**39.7x.** Any locally contractible space is micro simply connected.

**39.8x.** Find a space which is not micro simply connected.

In the literature, the micro simply connectedness is also called *weak local simply connectedness*, while a strong local simply connectedness is the following property: any neighborhood  $U$  of any point  $x$  contains a neighborhood  $V$  such that any loop at  $x$  in  $V$  is null-homotopic in  $U$ .

**39.9x.** Find a micro simply connected space which is not strong locally simply connected.

### 39°6x. Existence of Coverings

**39.Cx.** A space having a universal covering space is micro simply connected.

**39.Dx Existence of Covering With a Given Group.** If a topological space  $B$  is path connected, locally path connected, and micro simply connected, then for any  $b_0 \in B$  and any subgroup  $\pi$  of  $\pi_1(B, b_0)$  there exists a covering  $p : X \rightarrow B$  and a point  $x_0 \in X$  such that  $p(x_0) = b_0$  and  $p_*(\pi_1(X, x_0)) = \pi$ .

**39.Dx.1.** Suppose that in the assumptions of Theorem 39.Dx there exists a covering  $p : X \rightarrow B$  satisfying all requirements of this theorem. For each  $x \in X$ , describe all paths in  $B$  that are  $p$ -images of paths connecting  $x_0$  to  $x$  in  $X$ .

**39.Dx.2.** Does the solution of Problem 39.Dx.1 determine an equivalence relation in the set of all paths in  $B$  starting at  $b_0$ , so that we obtain a one-to-one correspondence between the set  $X$  and the set of equivalence classes?

**39.Dx.3.** Describe a topology in the set of equivalence classes from 39.Dx.2 such that the natural bijection between  $X$  and this set be a homeomorphism.

**39.Dx.4.** Prove that the reconstruction of  $X$  and  $p : X \rightarrow B$  provided by problems 39.Dx.1–39.Dx.4 under the assumptions of Theorem 39.Dx determine a covering whose existence is claimed by Theorem 39.Dx.

Essentially, assertions 39.Dx.1–39.Dx.3 imply the uniqueness of the covering with a given group. More precisely, the following assertion holds true.

**39.Ex Uniqueness of the Covering With a Given Group.** Assume that  $B$  is path-connected, locally path-connected, and micro simply connected. Let  $p : X \rightarrow B$  and  $q : Y \rightarrow B$  be two coverings, and let  $p_*(\pi_1(X, x_0)) = q_*(\pi_1(Y, y_0))$ . Then the coverings  $p$  and  $q$  are equivalent, i.e., there exists a homeomorphism  $f : X \rightarrow Y$  such that  $f(x_0) = y_0$  and  $p \circ f = q$ .

**39.Fx Classification of Coverings Over a Good Space.** There is a one-to-one correspondence between classes of equivalent coverings (in a narrow sense) over a path-connected, locally path-connected, and micro simply connected space  $B$  with base point  $b_0$ , on the one hand, and conjugacy classes of subgroups of  $\pi_1(B, b_0)$ , on the other hand. This correspondence identifies the hierarchy of coverings (ordered by subordination) with the hierarchy of subgroups (ordered by inclusion).

Under the correspondence of Theorem 39.Fx, the trivial subgroup corresponds to a covering with simply connected covering space. Since this covering subordinates any other covering with the same base space, it is said to be *universal*.

**39.10x.** Describe all coverings of the following spaces up to equivalence and subordination:

- (1) circle  $S^1$ ;
- (2) punctured plane  $\mathbb{R}^2 \setminus 0$ ;
- (3) Möbius strip;
- (4) four point digital circle (the space formed by 4 points,  $a, b, c, d$ ; with the base of open sets formed by  $\{a\}$ ,  $\{c\}$ ,  $\{a, b, c\}$  and  $\{c, d, a\}$ )
- (5) torus  $S^1 \times S^1$ ;

### 39°7x. Action of Fundamental Group on Fiber

**39.Gx Action of  $\pi_1$  on Fiber.** Let  $p : X \rightarrow B$  be a covering,  $b_0 \in B$ . Construct a natural right action of  $\pi_1(B, b_0)$  on  $p^{-1}(b_0)$ .

**39.Hx.** When the action in 39.Gx is transitive?

### 39°8x. Automorphisms of Covering

A homeomorphism  $\varphi : X \rightarrow X$  is an *automorphism* of a covering  $p : X \rightarrow B$  if  $p \circ \varphi = p$ .

**39.Ix.** Automorphisms of a covering form a group.

Denote the group of automorphisms of a covering  $p : X \rightarrow B$  by  $\text{Aut}(p)$ .

**39.Jx.** An automorphism  $\varphi : X \rightarrow X$  of covering  $p : X \rightarrow B$  is recovered from the image  $\varphi(x_0)$  of any  $x_0 \in X$ . Cf. 39.J.

**39.Kx.** Any two-fold covering has a nontrivial automorphism.

**39.11x.** Find a three-fold covering without nontrivial automorphisms.

Let  $G$  be a group and  $H$  its subgroup. Recall that the *normalizer*  $Nr(H)$  of  $H$  is the subset of  $G$  consisting of  $g \in G$  such that  $g^{-1}Hg = H$ . This is a subgroup of  $G$ , which contains  $H$  as a normal subgroup. So,  $Nr(H)/H$  is a group.

**39.Lx.** Let  $p : X \rightarrow B$  be a covering,  $x_0 \in X$  and  $b_0 = p(x_0)$ . Construct a map  $\pi_1(B, b_0) \rightarrow p^{-1}(b_0)$  which induces a bijection of the set  $p_*(\pi_1(X, x_0)) \setminus \pi_1(B, b_0)$  of right cosets onto  $p^{-1}(b_0)$ .

**39.Mx.** Show that the bijection  $p_*(\pi_1(X, x_0)) \setminus \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$  from 39.Lx maps the set of images of a point  $x_0$  under all automorphisms of a covering  $p : X \rightarrow B$  to the group  $Nr(p_*(\pi_1(X, x_0)))/p_*(\pi_1(X, x_0))$ .

**39.Nx.** For any covering  $p : X \rightarrow B$  in a narrow sense, there is a natural injective map  $\text{Aut}(p)$  to the group  $Nr(p_*(\pi_1(X, x_0)))/p_*(\pi_1(X, x_0))$ . This map is an antihomomorphism.<sup>1</sup>

**39.Ox.** Under assumptions of Theorem 39.Nx, if  $B$  is locally path connected, then the antihomomorphism  $\text{Aut}(p) \rightarrow Nr(p_*(\pi_1(X, x_0)))/p_*(\pi_1(X, x_0))$  is bijective.

### 39°9x. Regular Coverings

**39.Px Regularity of Covering.** Let  $p : X \rightarrow B$  be a covering in a narrow sense,  $b_0 \in B$ ,  $x_0 \in p^{-1}(b_0)$ . The following conditions are equivalent:

- (1)  $p_*(\pi_1(X, x_0))$  is a normal subgroup of  $\pi_1(B, b_0)$ ;
- (2)  $p_*(\pi_1(X, x))$  is a normal subgroup of  $\pi_1(B, p(x))$  for each  $x \in X$ ;
- (3) all groups  $p_*\pi_1(X, x)$  for  $x \in p^{-1}(b)$  are the same;
- (4) for any loop  $s : I \rightarrow B$  either every path in  $X$  covering  $s$  is a loop (independent on the its initial point) or none of them is a loop;
- (5) the automorphism group acts transitively on  $p^{-1}(b_0)$ .

A covering satisfying to (any of) the equivalent conditions of Theorem 39.Px is said to be *regular*.

**39.12x.** The coverings  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi ix}$  and  $S^1 \rightarrow S^1 : z \mapsto z^n$  for integer  $n > 0$  are regular.

**39.Qx.** The automorphism group of a regular covering  $p : X \rightarrow B$  is naturally anti-isomorphic to the quotient group  $\pi_1(B, b_0)/p_*\pi_1(X, x_0)$  of the group  $\pi_1(B, b_0)$  by the group of the covering for any  $x_0 \in p^{-1}(b_0)$ .

**39.Rx Classification of Regular Coverings Over a Good Base.** There is a one-to-one correspondence between classes of equivalent coverings (in a narrow sense) over a path connected, locally path connected, and micro simply connected space  $B$  with a base point  $b_0$ , on one hand, and anti-epimorphisms  $\pi_1(B, b_0) \rightarrow G$ , on the other hand.

Algebraic properties of the automorphism group of a regular covering are often referred to as if they were properties of the covering itself. For instance, a *cyclic covering* is a regular covering with cyclic automorphism group, an *Abelian covering* is a regular covering with Abelian automorphism group, etc.

<sup>1</sup>Recall that a map  $\varphi : G \rightarrow H$  from a group  $G$  to a group  $H$  is an *antihomomorphism* if  $\varphi(ab) = \varphi(b)\varphi(a)$  for any  $a, b \in G$ .

**39.13x.** Any two-fold covering is regular.

**39.14x.** Which coverings considered in Problems of Section 33 are regular? Is out there any nonregular covering?

**39.15x.** Find a three-fold nonregular covering of a bouquet of two circles.

**39.16x.** Let  $p : X \rightarrow B$  be a regular covering,  $Y \subset X$ ,  $C \subset B$ , and let  $q : Y \rightarrow C$  be a submap of  $p$ . Prove that if  $q$  is a covering, then this covering is regular.

### 39°10x. Lifting and Covering Maps

**39.Sx. Riddle.** Let  $p : X \rightarrow B$  and  $f : Y \rightarrow B$  be continuous maps. Let  $x_0 \in X$  and  $y_0 \in Y$  be points such that  $p(x_0) = f(y_0)$ . Formulate in terms of homomorphisms  $p_* : \pi_1(X, x_0) \rightarrow \pi_1(B, p(x_0))$  and  $f_* : \pi_1(Y, y_0) \rightarrow \pi_1(B, f(y_0))$  a necessary condition for existence of a lifting  $\tilde{f} : Y \rightarrow X$  of  $f$  such that  $\tilde{f}(y_0) = x_0$ . Find an example where this condition is not sufficient. What additional assumptions can make it sufficient?

**39.Tx Theorem on Lifting a Map.** Let  $p : X \rightarrow B$  be a covering in a narrow sense and  $f : Y \rightarrow B$  be a continuous map. Let  $x_0 \in X$  and  $y_0 \in Y$  be points such that  $p(x_0) = f(y_0)$ . If  $Y$  is a locally path-connected space and  $f_*\pi_1(Y, y_0) \subset p_*\pi_1(X, x_0)$ , then there exists a unique continuous map  $\tilde{f} : Y \rightarrow X$  such that  $p \circ \tilde{f} = f$  and  $\tilde{f}(y_0) = x_0$ .

**39.Ux.** Let  $p : X \rightarrow B$  and  $q : Y \rightarrow C$  be coverings in a narrow sense and  $f : B \rightarrow C$  be a continuous map. Let  $x_0 \in X$  and  $y_0 \in Y$  be points such that  $fp(x_0) = q(y_0)$ . If there exists a continuous map  $F : X \rightarrow Y$  such that  $fp = qF$  and  $F(x_0) = y_0$ , then  $f_*p_*\pi_1(X, x_0) \subset q_*\pi_1(Y, y_0)$ .

**39.Vx Theorem on Covering of a Map.** Let  $p : X \rightarrow B$  and  $q : Y \rightarrow C$  be coverings in a narrow sense and  $f : B \rightarrow C$  be a continuous map. Let  $x_0 \in X$  and  $y_0 \in Y$  be points such that  $fp(x_0) = q(y_0)$ . If  $Y$  is locally path connected and  $f_*p_*\pi_1(X, x_0) \subset q_*\pi_1(Y, y_0)$ , then there exists a unique continuous map  $F : X \rightarrow Y$  such that  $fp = qF$  and  $F(x_0) = y_0$ .

### 39°11x. Induced Coverings

**39.Wx.** Let  $p : X \rightarrow B$  be a covering and  $f : A \rightarrow B$  a continuous map. Denote by  $W$  a subspace of  $A \times X$  consisting of points  $(a, x)$  such that  $f(a) = p(x)$ . Let  $q : W \rightarrow A$  be a restriction of  $A \times X \rightarrow A$ . Then  $q : W \rightarrow A$  is a covering with the same number of sheets as  $p$ .

A covering  $q : W \rightarrow A$  obtained as in Theorem 39.Wx is said to be *induced* from  $p : X \rightarrow B$  by  $f : A \rightarrow B$ .

**39.17x.** Represent coverings from problems 33.D and 33.F as induced from  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi ix}$ .

**39.18x.** Which of the coverings considered above can be induced from the covering of Problem 35.7?

**39°12x. High-Dimensional Homotopy Groups of Covering Space**

**39.Xx.** Let  $p : X \rightarrow B$  be a covering. Then for any continuous map  $s : I^n \rightarrow B$  and a lifting  $u : I^{n-1} \rightarrow X$  of the restriction  $s|_{I^{n-1}}$  there exists a unique lifting of  $s$  extending  $u$ .

**39.Yx.** For any covering  $p : X \rightarrow B$  and points  $x_0 \in X$ ,  $b_0 \in B$  such that  $p(x_0) = b_0$  the homotopy groups  $\pi_r(X, x_0)$  and  $\pi_r(B, b_0)$  with  $r > 1$  are canonically isomorphic.

**39.Zx.** Prove that homotopy groups of dimensions greater than 1 of circle, torus, Klein bottle and Möbius strip are trivial.

## Proofs and Comments

**36.A** This follows from 29.I.

**36.B** Let  $[u], [v] \in \pi_1(X, x_0)$ . Since  $f \circ (uv) = (f \circ u)(f \circ v)$ , we have  $f_{\#}(uv) = f_{\#}(u)f_{\#}(v)$  and

$$\begin{aligned} f_*([u][v]) &= f_*([uv]) = [f_{\#}(uv)] = [f_{\#}(u)f_{\#}(v)] = \\ &= [f_{\#}(u)][f_{\#}(v)] = f_*([u])f_*([v]). \end{aligned}$$

**36.C** Let  $[u] \in \pi_1(X, x_0)$ . Since  $(g \circ f)_{\#}(u) = g \circ f \circ u = g_{\#}(f_{\#}(u))$ , consequently,

$$(g \circ f)_*([u]) = [(g \circ f)_{\#}(u)] = [g_{\#}(f_{\#}(u))] = g_*([f_{\#}(u)]) = g_*(f_*([u])),$$

thus,  $(g \circ f)_* = g_* \circ f_*$ .

**36.D** Let  $H : X \times I \rightarrow Y$  be a homotopy between  $f$  and  $g$ , and let  $H(x_0, t) = y_0$  for all  $t \in I$ ;  $u$  is a certain loop in  $X$ . Consider a map  $h = H \circ (u \times \text{id}_I)$ , thus,  $h : (\tau, t) \mapsto H(u(\tau), t)$ . Then  $h(\tau, 0) = H(u(\tau), 0) = f(u(\tau))$  and  $h(\tau, 1) = H(u(\tau), 1) = g(u(\tau))$ , so that  $h$  is a homotopy between the loops  $f \circ u$  and  $g \circ u$ . Furthermore,  $h(0, t) = H(u(0), t) = H(x_0, t) = y_0$ , and we similarly have  $h(1, t) = y_0$ , therefore,  $h$  is a homotopy between the loops  $f_{\#}(u)$  and  $g_{\#}(u)$ , whence

$$f_*([u]) = [f_{\#}(u)] = [g_{\#}(u)] = g_*([u]).$$

**36.E** Let  $H$  be a homotopy between the maps  $f$  and  $g$  and the loop  $s$  is defined by the formula  $s(t) = H(x_0, t)$ . By assertion 32.2,  $g_* = T_s \circ f_*$ .

**36.F** This obviously follows from the equality

$$f_{\#}(s^{-1}us) = (f \circ s)^{-1}f_{\#}(u)(f \circ s).$$

**36.G.1** This is the assertion of Theorem 36.G.

**36.G.2** For example, it is sufficient to take  $R$  such that

$$R > \max\{1, |a_1| + |a_2| + \dots + |a_n|\}.$$

**36.G.3** Use the rectilinear homotopy  $h(z, t) = tp(z) + (1-t)q(z)$ . It remains to verify that  $h(z, t) \neq 0$  for all  $z$  and  $t$ . Indeed, since  $|p(z) - q(z)| < q(z)$  by assumption, we have

$$|h(z, t)| \geq |q(z)| - t|p(z) - q(z)| \geq |q(z)| - |p(z) - q(z)| > 0.$$

**36.G.4** Indeed, this is a quite obvious lemma; see 36.A.

**36.G** Take a number  $R$  satisfying the assumptions of assertion 36.G.2 and consider the loop  $u : u(t) = Re^{2\pi it}$ . The loop  $u$ , certainly, is null-homotopic in  $\mathbb{C}$ . Now we assume that  $p(z) \neq 0$  for all  $z$  with  $|z| \leq R$ . Then the loop  $p \circ u$  is null-homotopic in  $\mathbb{C} \setminus 0$ , by 36.G.3, and the loop  $q \circ u$  is null-homotopic in  $\mathbb{C} \setminus 0$ . However,  $(q \circ u)(t) = R^n e^{2\pi int}$ , therefore, this loop is not null-homotopic. A contradiction.

**36.Ax** See 36.Dx.

**36.Bx** Yes, it is.

**36.Cx** See 36.Dx.

**36.Dx** Let  $i : S^{n-1} \rightarrow D^n$  be the inclusion. Assume that  $f(x) \neq 0$  for all  $x \in D^n$ . We preserve the designation  $f$  for the submap  $D^n \rightarrow \mathbb{R}^n \setminus 0$  and consider the inclusion homomorphisms  $i_* : \pi_{n-1}(S^{n-1}) \rightarrow \pi_{n-1}(D^n)$  and  $f_* : \pi_{n-1}(D^n) \rightarrow \pi_{n-1}(\mathbb{R}^n \setminus 0)$ . Since all homotopy groups of  $D^n$  are trivial, the composition  $(f \circ i)_* = f_* \circ i_*$  is a zero homomorphism. However, the composition  $f \circ i$  is the map  $f_0$ , which, by assumption, induces a nonzero homomorphism  $\pi_{n-1}(S^{n-1}) \rightarrow \pi_{n-1}(\mathbb{R}^n \setminus 0)$ .

**36.Ex** Consider a circular neighborhood  $U$  of  $x$  disjoint with the image  $u(S^1)$  of the circular loop under consideration and let  $y \in U$ . Join  $x$  and  $y$  by a rectilinear path  $s : t \mapsto ty + (1-t)x$ . Then

$$h(z, t) = \varphi_{u, s(t)}(z) = \frac{u(z) - s(t)}{|u(z) - s(t)|}$$

determines a homotopy between  $\varphi_{u, x}$  and  $\varphi_{u, y}$ , whence  $(\varphi_{u, x})_* = (\varphi_{u, y})_*$ , whence it follows that  $\text{ind}(u, y) = \text{ind}(u, x)$  for any point  $y \in U$ . Consequently, the function  $\text{ind} : x \mapsto \text{ind}(u, x)$  is constant on  $U$ .

**36.Fx** If  $x \notin F(D^2)$ , then the circular loop  $u$  is null-homotopic in  $\mathbb{R}^2 \setminus x$  because  $u = F \circ i$ , where  $i$  is the standard embedding  $S^1 \rightarrow D^2$ , and  $i$  is null-homotopic in  $D^2$ .

**36.Gx** This is true because we have  $[uv] = [u][v]$  and  $\pi_1(\mathbb{R}^2 \setminus x) \rightarrow \mathbb{Z}$  is a homomorphism.

**36.Hx** The formula

$$h(z, t) = \varphi_{u_t, x}(z) = \frac{u_t(z) - x}{|u_t(z) - x|}$$

determines a homotopy between  $\varphi_{u, x}$  and  $\varphi_{v, x}$ , whence  $\text{ind}(u, x) = \text{ind}(v, x)$ ; cf. 36.Ex.

**36.Lx** We define a map  $\varphi : S^1 \rightarrow \mathbb{R} : x \mapsto f(x) - f(-x)$ . Then

$$\varphi(-x) = f(-x) - f(x) = -(f(x) - f(-x)) = -\varphi(x),$$

thus  $\varphi$  is an odd map. Consequently, if, for example,  $\varphi(1) \neq 0$ , then the image  $\varphi(S^1)$  contains values with distinct signs. Since the circle is connected, there is a point  $x \in S^1$  such that  $f(x) - f(-x) = \varphi(x) = 0$ .

**36.Mx.1** Assume that  $f(x) \neq f(-x)$  for all  $x \in S^2$ . In this case, the formula  $g(x) = \frac{f(x)-f(-x)}{|f(x)-f(-x)|}$  determines a map  $g : S^2 \rightarrow S^1$ . Since  $g(-x) = -g(x)$ , it follows that  $g$  takes antipodal points of  $S^2$  to antipodal points of  $S^1$ . The quotient map of  $g$  is a continuous map  $\varphi : \mathbb{R}P^2 \rightarrow \mathbb{R}P^1$ . We show that the induced homomorphism  $\varphi_* : \pi_1(\mathbb{R}P^2) \rightarrow \pi_1(\mathbb{R}P^1)$  is nontrivial. The generator  $\lambda$  of the group  $\pi_1(\mathbb{R}P^2)$  is the class of the loop  $l$  covered by the path  $\tilde{l}$  joining two opposite points of  $S^2$ . The path  $g \circ \tilde{l}$  also joins two opposite points lying on the circle, consequently, the loop  $\varphi \circ l$  covered by  $g \circ \tilde{l}$  is not null-homotopic. Thus,  $\varphi_*(\lambda)$  is a nontrivial element of  $\pi_1(\mathbb{R}P^1)$ .

**36.Mx** To prove the Borsuk–Ulam Theorem, it only remains to observe that there are no nontrivial homomorphisms  $\pi_1(\mathbb{R}P^2) \rightarrow \pi_1(\mathbb{R}P^1)$  because the first of these groups is isomorphic to  $\mathbb{Z}_2$ , while the second one is isomorphic to  $\mathbb{Z}$ .

**37.A** Prove this assertion on your own.

**37.B** Since any map to a singleton is continuous, the map  $\rho : X \rightarrow \{x_0\}$  is a retraction.

**37.C** The line is connected. Therefore, its retract (being its continuous image) is connected, too. However, a pair of points in the line is not connected.

**37.D** See the proof of assertion 37.C.

**37.E**  $\Rightarrow$  Let  $\rho : X \rightarrow A$  be a retraction. and let  $f : A \rightarrow Y$  be a continuous map. Then the composition  $F = f \circ \rho : X \rightarrow Y$  extends  $f$ .

$\Leftarrow$  Consider the identity map  $\text{id} : A \rightarrow A$ . Its continuous extension to  $X$  is the required retraction  $\rho : X \rightarrow A$ .

**37.F** Since  $\rho_* \circ i_* = (\rho \circ i)_* = (\text{id}_A)_* = \text{id}_{\pi_1(A, x_0)}$ , it follows that the homomorphism  $\rho_*$  is an epimorphism, and the homomorphism  $i_*$  is a monomorphism.

**37.G** About  $i_*$ ; for example, see the proof of the following assertion.

**37.H** Since the group  $\pi_1(D^2)$  is trivial, while  $\pi_1(S^1)$  is not, it follows that  $i_* : \pi_1(S^1, 1) \rightarrow \pi_1(D^2, 1)$  cannot be a monomorphism. Consequently, by assertion 37.F, the disk  $D^2$  cannot be retracted to its boundary  $S^1$ .

**37.I** The proof word by word repeats that of Theorem 37.H, only instead of fundamental groups we must use  $(n - 1)$ -dimensional homotopy

groups. The reason for this is that the group  $\pi_{n-1}(D^n)$  is trivial, while  $\pi_{n-1}(S^{n-1}) \cong \mathbb{Z}$  (i.e., this group is nontrivial).

**37.J** Assume that a map  $f : D^n \rightarrow D^n$  has no fixed points. For each  $x \in D^n$ , consider the ray starting at  $f(x) \in D^n$  and passing through  $x$ , and denote by  $\rho(x)$  the point of its intersection with the boundary sphere  $S^{n-1}$ . It is clear that  $\rho(x) = x$  for  $x \in S^{n-1}$ . Prove that the map  $\rho$  is continuous. Therefore,  $\rho : D^n \rightarrow S^{n-1}$  is a retraction. However, this contradicts the Borsuk Theorem.

**38.A** Prove this assertion on your own.

**38.B** This immediately follows from assertion 38.A.

**38.C** Since  $\rho$  is a retraction, it follows that one of the conditions in the definition of homotopically inverse maps is automatically fulfilled:  $\rho \circ \text{in} = \text{id}_A$ . The second requirement:  $\text{in} \circ \rho$  is homotopic to  $\text{id}_X$ , is fulfilled by assumption.

**38.D** This immediately follows from assertion 38.C.

**38.E** This follows from 38.D and 38.B.

**38.F** Let  $\rho_1 : X \rightarrow A$  and  $\rho_2 : Y \rightarrow B$  be deformation retractions. Prove that  $\rho_1 \times \rho_2$  is a deformation retraction.

**38.G** Let the map  $\rho : \mathbb{R}^2 \setminus 0 \rightarrow S^1$  be defined by the formula  $\rho(x) = \frac{x}{|x|}$ . The formula  $h(x, t) = (1 - t)x + t\frac{x}{|x|}$  determines a rectilinear homotopy between the identity map of  $\mathbb{R}^2 \setminus 0$  and the composition  $\rho \circ i$ , where  $i$  is the standard inclusion  $S^1 \rightarrow \mathbb{R}^2 \setminus 0$ .

**38.H** The topological type of  $\mathbb{R}^2 \setminus \{x_1, x_2, \dots, x_s\}$  does not depend on the position of the points  $x_1, x_2, \dots, x_s$  in the plane. We put them on the unit circle: for example, let them be roots of unity of degree  $s$ . Consider  $s$  simple closed curves on the plane each of which encloses exactly one of the points and passes through the origin, and which have no other common points except the origin. Instead of curves, maybe it is simpler to take, e.g., rhombi with centers at our points. It remains to prove that the union of the curves (or rhombi) is a deformation retract of the plane with  $s$  punctures. Clearly, it makes little sense to write down explicit formulas, although this is possible. Consider an individual rhombus  $R$  and its center  $c$ . The central projection maps  $R \setminus c$  to the boundary of  $R$ , and there is a rectilinear homotopy between the projection and the identical map of  $R \setminus c$ . It remains to show that the part of the plane lying outside the union of the rhombi also admits a deformation retraction to the union of their boundaries. What can we do in order to make the argument look more like a proof? First consider the polygon  $P$  whose vertices are the vertices of the rhombi opposite to the origin. We easily see that  $P$  is a strong deformation retract of the plane (as

well as the disk is). It remains to show that the union of the rhombi is a deformation retract of  $P$ , which is obvious, is not it?

**38.I** We subdivide the square into four parts by two midlines and consider the set  $K$  formed by the contour, the midlines, and the two quarters of the square containing one of the diagonals. Show that each of the following sets is a deformation retract of  $K$ : the union of the contour and the mentioned diagonal of the square; the union of the contours of the “empty” quarters of this square.

**38.J** 1) None of these spaces can be embedded in another. Prove this on your own, using the following lemma. Let  $J_n$  be the union of  $n$  segments with a common endpoint. Then  $J_n$  cannot be embedded in  $J_k$  for any  $n > k \geq 2$ . 2) The second question is answered in the affirmative; see the proof of assertion 38.I.

**38.K** Since the composition  $g \circ f$  is  $x_0$ -null-homotopic, we have  $g_* \circ f_* = (g \circ f)_* = \text{id}_{\pi_1(X, x_0)}$ . Similarly,  $f_* \circ g_* = \text{id}_{\pi_1(Y, y_0)}$ . Thus,  $f_*$  and  $g_*$  are mutually inverse homomorphisms.

**38.L** Indeed, this immediately follows from Theorem 38.K.

**38.M** Let  $x_1 = g(x_0)$ . For any homotopy  $h$  between  $\text{id}_X$  and  $g \circ f$ , the formula  $s(t) = h(x_0, t)$  determines a path at  $x_0$ . By the answer to Riddle 36.E, the composition  $g_* \circ f_* = T_s$  is an isomorphism. Similarly, the composition  $f_* \circ g_*$  is an isomorphism. Therefore,  $f_*$  and  $g_*$  are isomorphisms.

**39.A** If  $u$  is a loop in  $X$  such that the loop  $p \circ u$  in  $B$  is null-homotopic, then by the Path Homotopy Lifting Theorem 34.C the loop  $u$  is also null-homotopic. Thus, if  $p_*([u]) = [p \circ u] = 0$ , then  $[u] = 0$ , which precisely means that  $p_*$  is a monomorphism.

**39.B** No, it is not. If  $p(x_0) = p(x_1) = b_0$ ,  $x_0 \neq x_1$ , and the group  $\pi_1(B, b_0)$  is non-Abelian, then the subgroups  $p_*(\pi_1(X, x_0))$  and  $p_*(\pi_1(X, x_1))$  can easily be distinct (see 39.D).

**39.C** The group  $p_*(\pi_1(X, x_0))$  of the covering consists of the homotopy classes of those loops at  $b_0$  whose covering path starting at  $x_0$  is a loop.

**39.D** Let  $s$  be a path in  $X$  joining  $x_0$  and  $x_1$ . Denote by  $\alpha$  the class of the loop  $p \circ s$  and consider the inner automorphism  $\varphi : \pi_1(B, b_0) \rightarrow \pi_1(B, b_0) : \beta \mapsto \alpha^{-1}\beta\alpha$ . We prove that the following diagram is commutative:

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{T_s} & \pi_1(X, x_1) \\ p_* \downarrow & & \downarrow p_* \\ \pi_1(B, b_0) & \xrightarrow{\varphi} & \pi_1(B, b_0). \end{array}$$

Indeed, since  $T_s([u]) = [s^{-1}us]$ , we have

$$p_*(T_s([u])) = [p \circ (s^{-1}us)] = [(p \circ s^{-1})(p \circ u)(p \circ s)] = \alpha^{-1}p_*([u])\alpha.$$

Since the diagram is commutative and  $T_s$  is an isomorphism, it follows that

$$p_*(\pi_1(X, x_1)) = \varphi(p_*(\pi_1(X, x_0))) = \alpha^{-1}p_*(\pi_1(X, x_0))\alpha,$$

thus, the groups  $p_*(\pi_1(X, x_0))$  and  $p_*(\pi_1(X, x_1))$  are conjugate.

**39.E** Let  $s$  be a loop in  $X$  representing the class  $\alpha \in \pi_1(B, b_0)$ . Let the path  $\tilde{s}$  cover  $s$  and start at  $x_0$ . If we put  $x_1 = \tilde{s}(1)$ , then, as it follows from the proof of assertion 39.D, we have  $p_*(\pi_1(X, x_1)) = \alpha^{-1}p_*(\pi_1(X, x_0))\alpha$ .

**39.F** This follows from 39.D and 39.E.

**39.G** See 39.H.

**39.H** For brevity, put  $H = p_*(\pi_1(X, x_0))$ . Consider an arbitrary point  $x_1 \in p^{-1}(b_0)$ ; let  $s$  be the path starting at  $x_0$  and ending at  $x_1$ , and  $\alpha = [p \circ s]$ . Take  $x_1$  to the right coset  $H\alpha \subset \pi_1(B, b_0)$ . Let us verify that this definition is correct. Let  $s_1$  be another path from  $x_0$  to  $x_1$ ,  $\alpha_1 = [p \circ s_1]$ . The path  $ss_1^{-1}$  is a loop, so that  $\alpha\alpha_1^{-1} \in H$ , whence  $H\alpha = H\alpha_1$ . Now we prove that the described correspondence is a surjection. Let  $H\alpha$  be a coset. Consider a loop  $u$  representing the class  $\alpha$ , let  $\tilde{u}$  be the path covering  $u$  and starting at  $x_0$ , and  $x_1 = \tilde{u}(1) \in p^{-1}(b_0)$ . By construction,  $x_1$  is taken to the coset  $H\alpha$ , therefore, the above correspondence is surjective. Finally, let us prove that it is injective. Let  $x_1, x_2 \in p^{-1}(b_0)$ , and let  $s_1$  and  $s_2$  be two paths joining  $x_0$  with  $x_1$  and  $x_2$ , respectively; let  $\alpha_i = [p \circ s_i]$ ,  $i = 1, 2$ . Assume that  $H\alpha_1 = H\alpha_2$  and show that then  $x_1 = x_2$ . Consider a loop  $u = (p \circ s_1)(p \circ s_2^{-1})$  and the path  $\tilde{u}$  covering  $u$ , which is a loop because  $\alpha_1\alpha_2^{-1} \in H$ . It remains to observe that the paths  $s'_1$  and  $s'_2$ , where  $s'_1(t) = u(\frac{t}{2})$  and  $s'_2(t) = u(1 - \frac{t}{2})$ , start at  $x_0$  and cover the paths  $p \circ s_1$  and  $p \circ s_2$ , respectively. Therefore,  $s_1 = s'_1$  and  $s_2 = s'_2$ , thus,

$$x_1 = s_1(1) = s'_1(1) = \tilde{u}(\frac{1}{2}) = s'_2(1) = s_2(1) = x_2.$$

**39.I** Consider an arbitrary point  $y \in Y$ , let  $b = q(y)$ , and let  $U_b$  be a neighborhood of  $b$  that is trivially covered for both  $p$  and  $q$ . Further, let  $V$  be the sheet over  $U_b$  containing  $y$ , and let  $\{W_\alpha\}$  be the collection of sheets over  $U_b$  the union of which is  $\varphi^{-1}(V)$ . Clearly, the map  $\varphi|_{W_\alpha} = (q|_V)^{-1} \circ p|_{W_\alpha}$  is a homeomorphism.

**39.J** Let  $p$  and  $q$  be two coverings. Consider an arbitrary point  $x \in X$  and a path  $s$  joining the marked point  $x_0$  with  $x$ . Let  $u = p \circ s$ . By assertion 34.B, there exists a unique path  $\tilde{u} : I \rightarrow Y$  covering  $u$  and starting at  $y_0$ . Therefore,  $\tilde{u} = \varphi \circ s$ , consequently, the point  $\varphi(x) = \varphi(s(1)) = \tilde{u}(1)$  is uniquely determined.

**39.K** Let  $\varphi : X \rightarrow Y$  and  $\psi : Y \rightarrow X$  be subordinations, and let  $\varphi(x_0) = y_0$  and  $\psi(y_0) = x_0$ . Clearly, the composition  $\psi \circ \varphi$  is a subordination of the covering  $p : X \rightarrow B$  to itself. Consequently, by the uniqueness of a subordination (see 39.J), we have  $\psi \circ \varphi = \text{id}_X$ . Similarly,  $\varphi \circ \psi = \text{id}_Y$ , which precisely means that the subordinations  $\varphi$  and  $\psi$  are mutually inverse equivalences.

**39.L** This relation is obviously symmetric, reflexive, and transitive.

**39.M** It is clear that if two coverings  $p$  and  $p'$  are equivalent and  $q$  is subordinate to  $p$ , then  $q$  is also subordinate to  $p'$ , therefore, the subordination relation is transferred from coverings to their equivalence classes. This relation is obviously reflexive and transitive, and it is proved in 39.K that two coverings subordinate to each other are equivalent, therefore this relation is antisymmetric.

**39.N** Since  $p_* = (q \circ \varphi)_* = q_* \circ \varphi_*$ , we have

$$p_*(\pi_1(X, x_0)) = q_*(\varphi_*(\pi_1(X, x_0))) \subset q_*(\pi_1(Y, y_0)).$$

**39.Ax.1** Denote by  $\tilde{u}, \tilde{v} : I \rightarrow Y$  the paths starting at  $y_0$  and covering the paths  $p \circ u$  and  $p \circ v$ , respectively. Consider the path  $uv^{-1}$ , which is a loop at  $x_0$  by assumption, the loop  $(p \circ u)(p \circ v)^{-1} = p \circ (uv^{-1})$ , and its class  $\alpha \in p_*(\pi_1(X, x_0)) \subset q_*(\pi_1(Y, y_0))$ . Thus,  $\alpha \in q_*(\pi_1(Y, y_0))$ , therefore, the path starting at  $y_0$  and covering the loop  $(p \circ u)(p \circ v)^{-1}$  is also a loop. Consequently, the paths covering  $p \circ u$  and  $p \circ v$  and starting at  $y_0$  end at one and the same point. It remains to observe that they are the paths  $\tilde{u}$  and  $\tilde{v}$ .

**39.Ax.2** We define the map  $\varphi : X \rightarrow Y$  as follows. Let  $x \in X$ ,  $u$  – a path joining  $x_0$  and  $x$ . Then  $\varphi(x) = y$ , where  $y$  is the endpoint of the path  $\tilde{u} : I \rightarrow Y$  covering the path  $p \circ u$ . By assertion 39.Ax.1, the map  $\varphi$  is well defined. We prove that  $\varphi : X \rightarrow Y$  is continuous. Let  $x_1 \in X$ ,  $b_1 = p(x_1)$  and  $y_1 = \varphi(x_1)$ ; by construction, we have  $q(y_1) = b_1$ . Consider an arbitrary neighborhood  $V$  of  $y_1$ . We can assume that  $V$  is a sheet over a trivially covered path-connected neighborhood  $U$  of  $b_1$ . Let  $W$  be the sheet over  $U$  containing  $x_1$ , thus, the neighborhood  $W$  is also path-connected. Consider an arbitrary point  $x \in W$ . Let a path  $v : I \rightarrow W$  join  $x_1$  and  $x$ . It is clear that the image of the path  $\tilde{v}$  starting at  $y_1$  and covering the path  $p \circ v$  is contained in the neighborhood  $V$ , whence  $\varphi(x) \in V$ . Thus,  $\varphi(W) \subset V$ , consequently,  $\varphi$  is continuous at  $x$ .

**39.Bx** This follows from 39.E, 39.Ax, and 39.K.

**39.Cx** Let  $X \rightarrow B$  be a universal covering,  $U$  a trivially covered neighborhood of a point  $a \in B$ , and  $V$  one of the “sheets” over  $U$ . Then the

inclusion  $i : U \rightarrow B$  is the composition  $p \circ j \circ (p|_V)^{-1}$ , where  $j$  is the inclusion  $V \rightarrow X$ . Since the group  $\pi_1(X)$  is trivial, the inclusion homomorphism  $i_* : \pi_1(U, a) \rightarrow \pi_1(B, a)$  is also trivial.

**39.Dx.1** Let two paths  $u_1$  and  $u_2$  join  $b_0$  and  $b$ . The paths covering them and starting at  $x_0$  end at one and the same point  $x$  iff the class of the loop  $u_1 u_2^{-1}$  lies in the subgroup  $\pi$ .

**39.Dx.2** Yes, it does. Consider the set of all paths in  $B$  starting at  $b_0$ , endow it with the following equivalence relation:  $u_1 \sim u_2$  if  $[u_1 u_2^{-1}] \in \pi$ , and let  $\tilde{X}$  be the quotient set by this relation. A natural bijection between  $X$  and  $\tilde{X}$  is constructed as follows. For each point  $x \in X$ , we consider a path  $u$  joining the marked point  $x_0$  with of a point  $x$ . The class of the path  $p \circ u$  in  $\tilde{X}$  is the image of  $x$ . The described correspondence is obviously a bijection  $f : X \rightarrow \tilde{X}$ . The map  $g : \tilde{X} \rightarrow X$  inverse to  $f$  has the following structure. Let  $u : I \rightarrow B$  represent a class  $y \in \tilde{X}$ . Consider the path  $v : I \rightarrow X$  covering  $u$  and starting at  $x_0$ . Then  $g(y) = v(1)$ .

**39.Dx.3** We define a base for the topology in  $\tilde{X}$ . For each pair  $(U, x)$ , where  $U$  is an open set in  $B$  and  $x \in \tilde{X}$ , the set  $U_x$  consists of the classes of all possible paths  $uv$ , where  $u$  is a path in the class  $x$ , and  $v$  is a path in  $U$  starting at  $u(1)$ . It is not difficult to prove that for each point  $y \in U_x$  we have the identity  $U_y = U_x$ , whence it follows that the collection of the sets of the form  $U_x$  is a base for the topology in  $\tilde{X}$ . In order to prove that  $f$  and  $g$  are homeomorphisms, it is sufficient to verify that each of them maps each set in a certain base for the topology to an open set. Consider the base consisting of trivially covered neighborhoods  $U \subset B$ , each of which, firstly, is path-connected, and, secondly, each loop in which is null-homotopic in  $B$ .

**39.Dx.4** The space  $\tilde{X}$  is defined in 39.Dx.2. The projection  $p : \tilde{X} \rightarrow B$  is defined as follows:  $p(y) = u(1)$ , where  $u$  is a path in the class  $y \in \tilde{X}$ . The map  $p$  is continuous without any assumptions on the properties of  $B$ . Prove that if a set  $U$  in  $B$  is open and path-connected and each loop in  $U$  is null-homotopic in  $B$ , then  $U$  is a trivially covered neighborhood.

**39.Fx** Consider the subgroups  $\pi \subset \pi_0 \subset \pi_1(B, b_0)$  and let  $p : \tilde{Y} \rightarrow B$  and  $q : \tilde{Y} \rightarrow B$  be the coverings constructed by  $\pi$  and  $\pi_0$ , respectively. The construction of the covering implies that there exists a map  $f : \tilde{X} \rightarrow \tilde{Y}$ . Show that  $f$  is the required subordination.

**39.Gx** We say that the group  $G$  acts from the right on a set  $F$  if each element  $\alpha \in G$  determines a map  $\varphi_\alpha : F \rightarrow F$  so that: 1)  $\varphi_{\alpha\beta} = \varphi_\alpha \circ \varphi_\beta$ ; 2)

if  $e$  is the unity of the group  $G$ , then  $\varphi_e = \text{id}_F$ . Put  $F = p^{-1}(b_0)$ . For each  $\alpha \in \pi_1(B, b_0)$ , we define a map  $\varphi_\alpha : F \rightarrow F$  as follows. Let  $x \in F$ . Consider a loop  $u$  at  $b_0$ , such that  $[u] = \alpha$ . Let the path  $\tilde{u}$  cover  $u$  and start at  $x$ . Put  $\varphi_\alpha(x) = \tilde{u}(1)$ .

The Path Homotopy Lifting Theorem implies that the map  $\varphi_\alpha$  depends only on the homotopy class of  $u$ , therefore, the definition is correct. If  $[u] = e$ , i.e., the loop  $u$  is null-homotopic, then the path  $\tilde{u}$  is also a loop, whence  $\tilde{u}(1) = x$ , thus,  $\varphi_e = \text{id}_F$ . Verify that the first property in the definition of an action of a group on a set is also fulfilled.

**39.Hx** See *39.Px*.

**39.Ix** The group operation in the set of all automorphisms is their composition.

**39.Jx** This follows from *39.J*.

**39.Kx** Show that the map transposing the two points in the preimage of each point in the base, is a homeomorphism.

**39.Lx** This is assertion *39.H*.

**39.Qx** This follows from *39.Nx* and *39.Px*.



# Cellular Techniques

## 40. Cellular Spaces

### 40°1. Definition of Cellular Spaces

In this section, we study a class of topological spaces that play a very important role in algebraic topology. Their role in the context of this book is more restricted: this is the class of spaces for which we learn how to calculate the fundamental group.<sup>1</sup>

A *zero-dimensional cellular space* is just a discrete space. Points of a 0-dimensional cellular space are also called (*zero-dimensional*) *cells*, or *0-cells*.

A *one-dimensional cellular space* is a space that can be obtained as follows. Take any 0-dimensional cellular space  $X_0$ . Take a family of maps  $\varphi_\alpha : S^0 \rightarrow X_0$ . Attach to  $X_0$  via  $\varphi_\alpha$  the sum of a family of copies of  $D^1$  (indexed by the same indices  $\alpha$  as the maps  $\varphi_\alpha$ ):

$$X_0 \cup_{\sqcup \varphi_\alpha} \left( \bigsqcup_{\alpha} D^1 \right).$$

---

<sup>1</sup>This class of spaces was introduced by J. H. C. Whitehead. He called these spaces *CW-complexes*, and they are known under this name. However, it is not a good name for plenty of reasons. With very rare exceptions (one of which is *CW-complex*, the other is simplicial complex), the word *complex* is used nowadays for various algebraic notions, but not for spaces. We have decided to use the term *cellular space* instead of *CW-complex*, following D. B. Fuchs and V. A. Rokhlin [6].

The images of the interior parts of copies of  $D^1$  are called (*open*) *1-dimensional cells*, *1-cells*, *one-cells*, or *edges*. The subsets obtained from  $D^1$  are *closed 1-cells*. The cells of  $X_0$  (i.e., points of  $X_0$ ) are also called *vertices*. Open 1-cells and 0-cells constitute a partition of a one-dimensional cellular space. This partition is included in the notion of cellular space, i.e., a one-dimensional cellular space is a topological space equipped with a partition that can be obtained in this way.<sup>2</sup>

A *two-dimensional cellular space* is a space that can be obtained as follows. Take any cellular space  $X_1$  of dimension 0 or 1. Take a family of continuous<sup>3</sup> maps  $\varphi_\alpha : S^1 \rightarrow X_1$ . Attach the sum of a family of copies of  $D^2$  to  $X_1$  via  $\varphi_\alpha$ :

$$X_1 \cup_{\sqcup \varphi_\alpha} \left( \bigsqcup_{\alpha} D^2 \right).$$

The images of the interior parts of copies of  $D^2$  are (*open*) *2-dimensional cells*, *2-cells*, *two-cells*, or *faces*. The cells of  $X_1$  are also regarded as cells of the 2-dimensional cellular space. Open cells of both kinds constitute a partition of a 2-dimensional cellular space. This partition is included in the notion of cellular space, i.e., a two-dimensional cellular space is a topological space equipped with a partition that can be obtained in the way described above. The set obtained out of a copy of the whole  $D^2$  is a *closed 2-cell*.

A *cellular space of dimension  $n$*  is defined in a similar way: This is a space equipped with a partition. It is obtained from a cellular space  $X_{n-1}$  of dimension less than  $n$  by attaching a family of copies of the  $n$ -disk  $D^n$  via a family of continuous maps of their boundary spheres:

$$X_{n-1} \cup_{\sqcup \varphi_\alpha} \left( \bigsqcup_{\alpha} D^n \right).$$

---

<sup>2</sup>One-dimensional cellular spaces are also associated with the word *graph*. However, rather often this word is used for objects of other classes. For example, one can call in this way one-dimensional cellular spaces in which attaching maps of different one-cells are not allowed to coincide, or the boundary of a one-cell is prohibited to consist of a single vertex. When one-dimensional cellular spaces are to be considered anyway, despite of this terminological disregard, they are called *multigraphs* or *pseudographs*. Furthermore, sometimes one includes into the notion of graph an additional structure. Say, a choice of orientation on each edge. Certainly, all these variations contradict a general tendency in mathematical terminology to call in a simpler way decent objects of a more general nature, passing to more complicated terms along with adding structures and imposing restrictions. However, in this specific situation there is no hope to implement that tendency. Any attempt to fix a meaning for the word *graph* apparently only contributes to this chaos, and we just keep this word away from important formulations, using it as a short informal synonym for more formal term of one-dimensional cellular space. (Other overused common words, like *curve* and *surface*, also deserve this sort of caution.)

<sup>3</sup>In the above definition of a 1-dimensional cellular space, the attaching maps  $\varphi_\alpha$  also were continuous, although their continuity was not required since any map of  $S^0$  to any space is continuous.

The images of the interiors of the attached  $n$ -disks are (*open*)  $n$ -dimensional cells or simply  $n$ -cells. The images of the entire  $n$ -disks are *closed*  $n$ -cells. Cells of  $X_{n-1}$  are also regarded as cells of the  $n$ -dimensional cellular space. The mappings  $\varphi_\alpha$  are the *attaching maps*, and the restrictions of the factorization map to the  $n$ -disks  $D^n$  are the *characteristic maps*.

A *cellular space* is obtained as a union of increasing sequence of cellular spaces  $X_0 \subset X_1 \subset \cdots \subset X_n \subset \cdots$  obtained in this way from each other. The sequence may be finite or infinite. In the latter case, the topological structure is introduced by saying that the cover of the union by  $X_n$ 's is fundamental, i.e., a set  $U \subset \bigcup_{n=0}^{\infty} X_n$  is open iff its intersection  $U \cap X_n$  with each  $X_n$  is open in  $X_n$ .

The partition of a cellular space into its open cells is a *cellular decomposition*. The union of all cells of dimension less than or equal to  $n$  of a cellular space  $X$  is the  $n$ -dimensional skeleton of  $X$ . This term may be misleading since the  $n$ -dimensional skeleton may contain no  $n$ -cells, and so it may coincide with the  $(n-1)$ -dimensional skeleton. Thus, the  $n$ -dimensional skeleton may have dimension less than  $n$ . For this reason, it is better to speak about the  $n$ th skeleton or  $n$ -skeleton.

**40.1.** In a cellular space, skeletons are closed.

A cellular space is *finite* if it contains a finite number of cells. A cellular space is *countable* if it contains a countable number of cells. A cellular space is *locally finite* if each of its points has a neighborhood intersecting finitely many cells.

Let  $X$  be a cellular space. A subspace  $A \subset X$  is a *cellular subspace* of  $X$  if  $A$  is a union of open cells and together with each cell  $e$  contains the closed cell  $\bar{e}$ . This definition admits various equivalent reformulations. For instance,  $A \subset X$  is a *cellular subspace* of  $X$  iff  $A$  is both a union of closed cells and a union of open cells. Another option: together with each point  $x \in A$  the subspace  $A$  contains the closed cell  $\bar{e} \in x$ . Certainly,  $A$  is equipped with a partition into the open cells of  $X$  contained in  $A$ . Obviously, the  $k$ -skeleton of a cellular space  $X$  is a cellular subspace of  $X$ .

**40.2.** Prove that the union and intersection of any collection of cellular subspaces are cellular subspaces.

**40.A.** Prove that a cellular subspace of a cellular space is a cellular space. (Probably, your proof will involve assertion 40.Gx.)

**40.A.1.** Let  $X$  be a topological space, and let  $X_1 \subset X_2 \subset \cdots$  be an increasing sequence of subsets constituting a fundamental cover of  $X$ . Let  $A \subset X$  be a subspace, put  $A_i = A \cap X_i$ . Let one of the following conditions be fulfilled:

- 1)  $X_i$  are open in  $X$ ;
- 2)  $A_i$  are open in  $X$ ;

- 3)  $A_i$  are closed in  $X$ .  
Then  $\{A_i\}$  is a fundamental cover of  $A$ .

#### 40°2. First Examples

**40.B.** A cellular space consisting of two cells, one of which is a 0-cell and the other one is an  $n$ -cell, is homeomorphic to  $S^n$ .

**40.C.** Represent  $D^n$  with  $n > 0$  as a cellular space made of three cells.

**40.D.** A cellular space consisting of a single 0-cell and  $q$  one-cells is a bouquet of  $q$  circles.

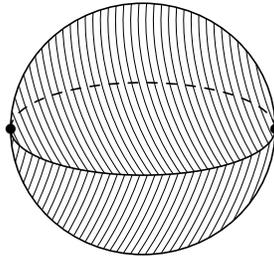
**40.E.** Represent torus  $S^1 \times S^1$  as a cellular space with one 0-cell, two 1-cells, and one 2-cell.

**40.F.** How to obtain a presentation of torus  $S^1 \times S^1$  as a cellular space with 4 cells from a presentation of  $S^1$  as a cellular space with 2 cells?

**40.3.** Prove that if  $X$  and  $Y$  are finite cellular spaces, then  $X \times Y$  has a natural structure of a finite cellular space.

**40.4\*.** Does the statement of 40.3 remain true if we skip the finiteness condition in it? If yes, prove this; if no, find an example where the product is not a cellular space.

**40.G.** Represent sphere  $S^n$  as a cellular space such that spheres  $S^0 \subset S^1 \subset S^2 \subset \dots \subset S^{n-1}$  are its skeletons.



**40.H.** Represent  $\mathbb{R}P^n$  as a cellular space with  $n + 1$  cells. Describe the attaching maps of the cells.

**40.5.** Represent  $\mathbb{C}P^n$  as a cellular space with  $n + 1$  cells. Describe the attaching maps of its cells.

**40.6.** Represent the following topological spaces as cellular ones

- |                              |                                |                      |
|------------------------------|--------------------------------|----------------------|
| (a) handle;                  | (b) Möbius strip;              | (c) $S^1 \times I$ , |
| (d) sphere with $p$ handles; | (e) sphere with $p$ crosscaps. |                      |

**40.7.** What is the minimal number of cells in a cellular space homeomorphic to

- |                   |                              |                                |
|-------------------|------------------------------|--------------------------------|
| (a) Möbius strip; | (b) sphere with $p$ handles; | (c) sphere with $p$ crosscaps? |
|-------------------|------------------------------|--------------------------------|

**40.8.** Find a cellular space where the closure of a cell is not equal to a union of other cells. What is the minimal number of cells in a space containing a cell of this sort?

**40.9.** Consider the disjoint sum of a countable collection of copies of closed interval  $I$  and identify the copies of 0 in all of them. Represent the result (which is the bouquet of the countable family of intervals) as a countable cellular space. Prove that this space is not first countable.

**40.I.** Represent  $\mathbb{R}^1$  as a cellular space.

**40.10.** Prove that for any two cellular spaces homeomorphic to  $\mathbb{R}^1$  there exists a homeomorphism between them homeomorphically mapping each cell of one of them onto a cell of the other one.

**40.J.** Represent  $\mathbb{R}^n$  as a cellular space.

Denote by  $\mathbb{R}^\infty$  the union of the sequence of Euclidean spaces  $\mathbb{R}^0 \subset \mathbb{R}^1 \subset \dots \subset \mathbb{R}^n \subset$  canonically included to each other:  $\mathbb{R}^n = \{x \in \mathbb{R}^{n+1} : x_{n+1} = 0\}$ . Equip  $\mathbb{R}^\infty$  with the topological structure for which the spaces  $\mathbb{R}^n$  constitute a fundamental cover.

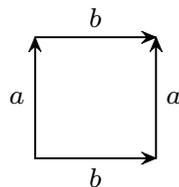
**40.K.** Represent  $\mathbb{R}^\infty$  as a cellular space.

**40.11.** Show that  $\mathbb{R}^\infty$  is not metrizable.

### 40°3. Further Two-Dimensional Examples

Let us consider a class of 2-dimensional cellular spaces that admit a simple combinatorial description. Each space in this class is a quotient space of a finite family of convex polygons by identification of sides via affine homeomorphisms. The identification of vertices is determined by the identification of the sides. The quotient space has a natural decomposition into 0-cells, which are the images of vertices, 1-cells, which are the images of sides, and faces, the images of the interior parts of the polygons.

To describe such a space, we need, first, to show, what sides are identified. Usually this is indicated by writing the same letters at the sides to be identified. There are only two affine homeomorphisms between two closed intervals. To specify one of them, it suffices to show the orientations of the intervals that are identified by the homeomorphism. Usually this is done by drawing arrows on the sides. Here is a description of this sort for the standard presentation of torus  $S^1 \times S^1$  as the quotient space of square:



We can replace a picture by a combinatorial description. To do this, put letters on *all* sides of polygon, go around the polygons counterclockwise and write down the letters that stay at the sides of polygon along the contour. The letters corresponding to the sides whose orientation is opposite to the counterclockwise direction are put with exponent  $-1$ . This yields a collection of words, which contains sufficient information about the family of polygons and the partition. For instance, the presentation of the torus shown above is encoded by the word  $ab^{-1}a^{-1}b$ .

**40.12.** Prove that:

- (1) the word  $a^{-1}a$  describes a cellular space homeomorphic to  $S^2$ ,
- (2) the word  $aa$  describes a cellular space homeomorphic to  $\mathbb{R}P^2$ ,
- (3) the word  $aba^{-1}b^{-1}c$  describes a handle,
- (4) the word  $abc b^{-1}$  describes cylinder  $S^1 \times I$ ,
- (5) each of the words  $aab$  and  $abac$  describe Möbius strip,
- (6) the word  $abab$  describes a cellular space homeomorphic to  $\mathbb{R}P^2$ ,
- (7) each of the words  $aabb$  and  $ab^{-1}ab$  describe Klein bottle,
- (8) the word

$$a_1 b_1 a_1^{-1} b_1^{-1} a_2 b_2 a_2^{-1} b_2^{-1} \dots a_g b_g a_g^{-1} b_g^{-1}.$$

describes sphere with  $g$  handles,

- (9) the word  $a_1 a_1 a_2 a_2 \dots a_g a_g$  describes sphere with  $g$  crosscaps.

#### 40°4. Embedding to Euclidean Space

**40.L.** Any countable 0-dimensional cellular space can be embedded into  $\mathbb{R}$ .

**40.M.** Any countable locally finite 1-dimensional cellular space can be embedded into  $\mathbb{R}^3$ .

**40.13.** Find a 1-dimensional cellular space which you cannot embed into  $\mathbb{R}^2$ . (We do not ask you to prove rigorously that no embedding is possible.)

**40.N.** Any finite dimensional countable locally finite cellular space can be embedded into Euclidean space of sufficiently high dimension.

**40.N.1.** Let  $X$  and  $Y$  be topological spaces such that  $X$  can be embedded into  $\mathbb{R}^p$  and  $Y$  can be embedded into  $\mathbb{R}^q$ , and both embeddings are proper maps (see 18°3x; in particular, their images are closed in  $\mathbb{R}^p$  and  $\mathbb{R}^q$ , respectively). Let  $A$  be a closed subset of  $Y$ . Assume that  $A$  has a neighborhood  $U$  in  $Y$  such that there exists a homeomorphism  $h : \text{Cl}U \rightarrow A \times I$  mapping  $A$  to  $A \times 0$ . Let  $\varphi : A \rightarrow X$  be a proper continuous map. Then the initial embedding  $X \rightarrow \mathbb{R}^p$  extends to an embedding  $X \cup_{\varphi} Y \rightarrow \mathbb{R}^{p+q+1}$ .

**40.N.2.** Let  $X$  be a locally finite countable  $k$ -dimensional cellular space and  $A$  be the  $(k-1)$ -skeleton of  $X$ . Prove that if  $A$  can be embedded to  $\mathbb{R}^p$ , then  $X$  can be embedded into  $\mathbb{R}^{p+k+1}$ .

**40.O.** Any countable locally finite cellular space can be embedded into  $\mathbb{R}^{\infty}$ .

**40.P.** Any finite cellular space is metrizable.

**40.Q.** Any finite cellular space is normal.

**40.R.** Any countable cellular space can be embedded into  $\mathbb{R}^\infty$ .

**40.S.** Any cellular space is normal.

**40.T.** Any locally finite cellular space is metrizable.

#### 40°5x. Simplicial Spaces

Recall that in 23°3x we introduced a class of topological spaces: simplicial spaces. Each simplicial space is equipped with a partition into subsets, called open simplices, which are indeed homeomorphic to open simplices of Euclidean space.

**40.Ax.** Any simplicial space is cellular, and its partition into open simplices is the corresponding partition into open cells.

#### 40°6x. Topological Properties of Cellular Spaces

The present section contains assertions of mixed character. For example, we study conditions ensuring that a cellular space is compact (**40.Kx**) or separable (**40.Ox**). We also prove that a cellular space  $X$  is connected, iff  $X$  is path-connected (**40.Sx**), iff the 1-skeleton of  $X$  is path-connected (**40.Vx**). On the other hand, we study the cellular topological structure as such. For example, any cellular space is Hausdorff (**40.Bx**). Further, it is not obvious at all from the definition of a cellular space that a closed cell is the closure of the corresponding open cell (or that closed cells are closed at all). In this connection, the present section includes assertions of technical character. (We do not formulate them as lemmas to individual theorems because often they are lemmas for several assertions.) For example: closed cells constitute a fundamental cover of a cellular space (**40.Dx**).

We notice that, say, in the textbook [FR], a cellular space is defined as a Hausdorff topological space equipped by a cellular partition with two properties:

(C) each closed cell intersects only a finite number of (open) cells;

(W) closed cells constitute a fundamental cover of the space. The results of assertions **40.Bx**, **40.Cx**, and **40.Fx** imply that cellular spaces in the sense of the above definition are cellular spaces in the sense of Rokhlin–Fuchs' textbook (i.e., in the standard sense), the possibility of inductive construction for which is proved in [RF]. Thus, both definitions of a cellular space are equivalent.

An advice to the reader: first try to prove the above assertions for finite cellular spaces.

- 40.Bx.** Each cellular space is a Hausdorff topological space.
- 40.Cx.** In a cellular space, the closure of any cell  $e$  is the closed cell  $\bar{e}$ .
- 40.Dx.** Closed cells constitute a fundamental cover of a cellular space.
- 40.Ex.** *Each cover of a cellular space by cellular subspaces is fundamental.*
- 40.Fx.** In a cellular space, any closed cell intersects only a finite number of open cells.
- 40.Gx.** If  $A$  is cellular subspace of a cellular space  $X$ , then  $A$  is closed in  $X$ .
- 40.Hx.** The space obtained as a result of pasting two cellular subspaces together along their common subspace, is cellular.
- 40.Ix.** If a subset  $A$  of a cellular space  $X$  intersects each open cell along a finite set, then  $A$  is closed. Furthermore, the induced topology on  $A$  is discrete.
- 40.Jx.** Prove that any compact subset of a cellular space intersects a finite number of cells.
- 40.Kx Corollary.** *A cellular space is compact iff it is finite.*
- 40.Lx.** Any cell of a cellular space is contained in a finite cellular subspace of this space.
- 40.Mx.** Any compact subset of a cellular space is contained in a finite cellular subspace.
- 40.Nx.** *A subset of a cellular space is compact iff it is closed and intersects only a finite number of open cells.*
- 40.Ox.** A cellular space is separable iff it is countable.
- 40.Px.** Any path-connected component of a cellular space is a cellular subspace.
- 40.Qx.** A cellular space is locally path-connected.
- 40.Rx.** Any path-connected component of a cellular space is both open and closed. It is a connected component.
- 40.Sx.** A cellular space is connected iff it is path connected.
- 40.Tx.** A locally finite cellular space is countable iff it has countable 0-skeleton.
- 40.Ux.** Any connected locally finite cellular space is countable.
- 40.Vx.** *A cellular space is connected iff its 1-skeleton is connected.*

## 41. Cellular Constructions

### 41°1. Euler Characteristic

Let  $X$  be a finite cellular space. Let  $c_i(X)$  denote the number of its cells of dimension  $i$ . The *Euler characteristic* of  $X$  is the alternating sum of  $c_i(X)$ :

$$\chi(X) = c_0(X) - c_1(X) + c_2(X) - \cdots + (-1)^i c_i(X) + \cdots$$

**41.A.** Prove that Euler characteristic is additive in the following sense: for any cellular space  $X$  and its finite cellular subspaces  $A$  and  $B$  we have

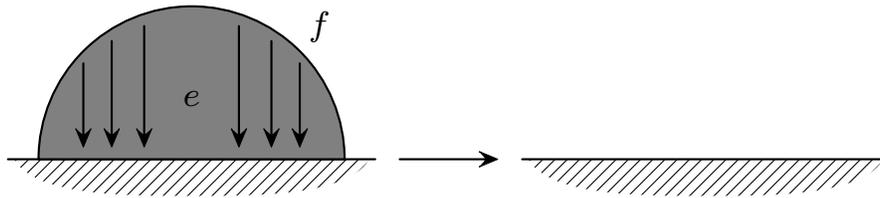
$$\chi(A \cup B) = \chi(A) + \chi(B) - \chi(A \cap B).$$

**41.B.** Prove that Euler characteristic is multiplicative in the following sense: for any finite cellular spaces  $X$  and  $Y$  the Euler characteristic of their product  $X \times Y$  is  $\chi(X)\chi(Y)$ .

### 41°2. Collapse and Generalized Collapse

Let  $X$  be a cellular space,  $e$  and  $f$  its open cells of dimensions  $n$  and  $n-1$ , respectively. Suppose:

- the attaching map  $\varphi_e : S^{n-1} \rightarrow X_{n-1}$  of  $e$  determines a homeomorphism of the open upper hemisphere  $S_+^{n-1}$  onto  $f$ ,
- $f$  does not meet images of attaching maps of cells, distinct from  $e$ ,
- the cell  $e$  is disjoint from the image of attaching map of any cell.



**41.C.**  $X \setminus (e \cup f)$  is a cellular subspace of  $X$ .

**41.D.**  $X \setminus (e \cup f)$  is a deformation retract of  $X$ .

We say that  $X \setminus (e \cup f)$  is obtained from  $X$  by an *elementary collapse*, and we write  $X \searrow X \setminus (e \cup f)$ .

If a cellular subspace  $A$  of a cellular space  $X$  is obtained from  $X$  by a sequence of elementary collapses, then we say that  $X$  is *collapsed* onto  $A$  and also write  $X \searrow A$ .

**41.E.** *Collapsing does not change the Euler characteristic: if  $X$  is a finite cellular space and  $X \searrow A$ , then  $\chi(A) = \chi(X)$ .*

As above, let  $X$  be a cellular space, let  $e$  and  $f$  be its open cells of dimensions  $n$  and  $n-1$ , respectively, and let the attaching map  $\varphi_e : S^n \rightarrow X_{n-1}$  of  $e$  determine a homeomorphism  $S_+^{n-1}$  on  $f$ . Unlike the preceding situation, here we assume neither that  $f$  is disjoint from the images of attaching maps of cells different from  $e$ , nor that  $e$  is disjoint from the images of attaching maps of whatever cells. Let  $\chi_e : D^n \rightarrow X$  be a characteristic map of  $e$ . Furthermore, let  $\psi : D^n \rightarrow S^{n-1} \setminus \varphi_e^{-1}(f) = S^{n-1} \setminus S_+^{n-1}$  be a deformation retraction.

**41.F.** Under these conditions, the quotient space  $X/[\chi_e(x) \sim \varphi_e(\psi(x))]$  of  $X$  is a cellular space where the cells are the images under the natural projections of all cells of  $X$  except  $e$  and  $f$ .

Cellular space  $X/[\chi_e(x) \sim \varphi_e(\psi(x))]$  is said to be obtained by *cancellation of cells*  $e$  and  $f$ .

**41.G.** The projection  $X \rightarrow X/[\chi_e(x) \sim \varphi_e(\psi(x))]$  is a homotopy equivalence.

**41.G.1.** Find a cellular subspace  $Y$  of a cellular space  $X$  such that the projection  $Y \rightarrow Y/[\chi_e(x) \sim \varphi_e(\psi(x))]$  would be a homotopy equivalence by Theorem 41.D.

**41.G.2.** Extend the map  $Y \rightarrow Y \setminus (e \cup f)$  to a map  $X \rightarrow X'$ , which is a homotopy equivalence by 41.6x.

### 41°3x. Homotopy Equivalences of Cellular Spaces

**41.1x.** Let  $X = A \cup_\varphi D^n$  be the space obtained by attaching an  $n$ -disk to a topological space  $A$  via a continuous map  $\varphi : S^{n-1} \rightarrow A$ . Prove that the complement  $X \setminus x$  of any point  $x \in X \setminus A$  admits a (strong) deformation retraction to  $A$ .

**41.2x.** Let  $X$  be an  $n$ -dimensional cellular space, and let  $K$  be a set intersecting each of the open  $n$ -cells of  $X$  at a single point. Prove that the  $(n-1)$ -skeleton  $X_{n-1}$  of  $X$  is a deformation retract of  $X \setminus K$ .

**41.3x.** Prove that the complement  $\mathbb{R}P^n \setminus \text{point}$  is homotopy equivalent to  $\mathbb{R}P^{n-1}$ ; the complement  $\mathbb{C}P^n \setminus \text{point}$  is homotopy equivalent to  $\mathbb{C}P^{n-1}$ .

**41.4x.** Prove that the punctured solid torus  $D^2 \times S^1 \setminus \text{point}$ , where point is an arbitrary interior point, is homotopy equivalent to a torus with a disk attached along the meridian  $S^1 \times 1$ .

**41.5x.** Let  $A$  be cellular space of dimension  $n$ , let  $\varphi : S^n \rightarrow A$  and  $\psi : S^n \rightarrow A$  be continuous maps. Prove that if  $\varphi$  and  $\psi$  are homotopic, then the spaces  $X_\varphi = A \cup_\varphi D^{n+1}$  and  $X_\psi = A \cup_\psi D^{n+1}$  are homotopy equivalent.

Below we need a more general fact.

**41.6x.** Let  $f : X \rightarrow Y$  be a homotopy equivalence,  $\varphi : S^{n-1} \rightarrow X$  and  $\varphi' : S^{n-1} \rightarrow Y$  continuous maps. Prove that if  $f \circ \varphi \sim \varphi'$ , then  $X \cup_\varphi D^n \simeq Y \cup_{\varphi'} D^n$ .

**41.7x.** Let  $X$  be a space obtained from a circle by attaching of two copies of disk by maps  $S^1 \rightarrow S^1 : z \mapsto z^2$  and  $S^1 \rightarrow S^1 : z \mapsto z^3$ , respectively. Find a cellular space homotopy equivalent to  $X$  with smallest possible number of cells.

**41.8x. Riddle.** Generalize the result of Problem 41.7x.

**41.9x.** Prove that if we attach a disk to the torus  $S^1 \times S^1$  along the parallel  $S^1 \times 1$ , then the space  $K$  obtained is homotopy equivalent to the bouquet  $S^2 \vee S^1$ .

**41.10x.** Prove that the torus  $S^1 \times S^1$  with two disks attached along the meridian  $\{1\} \times S^1$  and parallel  $S^1 \times 1$ , respectively, is homotopy equivalent to  $S^2$ .

**41.11x.** Consider three circles in  $\mathbb{R}^3$ :  $S_1 = \{x^2 + y^2 = 1, z = 0\}$ ,  $S_2 = \{x^2 + y^2 = 1, z = 1\}$ , and  $S_3 = \{z^2 + (y - 1)^2 = 1, x = 0\}$ . Since  $\mathbb{R}^3 \cong S^3 \setminus \text{point}$ , we can assume that  $S_1, S_2$ , and  $S_3$  lie in  $S^3$ . Prove that the space  $X = S^3 \setminus (S_1 \cup S_2)$  is not homotopy equivalent to the space  $Y = S^3 \setminus (S_1 \cup S_3)$ .

**41.Ax.** Let  $X$  be a cellular space,  $A \subset X$  a cellular subspace. Then the union  $(X \times 0) \cup (A \times I)$  is a retract of the cylinder  $X \times I$ .

**41.Bx.** Let  $X$  be a cellular space,  $A \subset X$  a cellular subspace. Assume that we are given a map  $F : X \rightarrow Y$  and a homotopy  $h : A \times I \rightarrow Y$  of the restriction  $f = F|_A$ . Then the homotopy  $h$  extends to a homotopy  $H : X \times I \rightarrow Y$  of  $F$ .

**41.Cx.** Let  $X$  be a cellular space,  $A \subset X$  a contractible cellular subspace. Then the projection  $\text{pr} : X \rightarrow X/A$  is a homotopy equivalence.

Problem 41.Cx implies the following assertions.

**41.Dx.** If a cellular space  $X$  contains a closed 1-cell  $e$  homeomorphic to  $I$ , then  $X$  is homotopy equivalent to the cellular space  $X/e$  obtained by contraction of  $e$ .

**41.Ex.** Any connected cellular space is homotopy equivalent to a cellular space with one-point 0-skeleton.

**41.Fx.** A simply connected finite 2-dimensional cellular space is homotopy equivalent to a cellular space with one-point 1-skeleton.

**41.12x.** Solve Problem 41.9x with the help of Theorem 41.Cx.

**41.13x.** Prove that the quotient space

$$\mathbb{C}P^2 / [(z_0 : z_1 : z_2) \sim (\overline{z_0} : \overline{z_1} : \overline{z_2})]$$

of the complex projective plane  $\mathbb{C}P^2$  is homotopy equivalent to  $S^4$ .

**Information.** We have  $\mathbb{C}P^2 / [z \sim \tau(z)] \cong S^4$ .

**41.Gx.** Let  $X$  be a cellular space, and let  $A$  be a cellular subspace of  $X$  such that the inclusion  $\text{in} : A \rightarrow X$  is a homotopy equivalence. Then  $A$  is a deformation retract of  $X$ .

## 42. One-Dimensional Cellular Spaces

### 42°1. Homotopy Classification

**42.A.** Any connected finite 1-dimensional cellular space is homotopy equivalent to a bouquet of circles.

**42.A.1 Lemma.** Let  $X$  be a 1-dimensional cellular space,  $e$  a 1-cell of  $X$  attached by an injective map  $S^0 \rightarrow X_0$  (i.e.,  $e$  has two distinct endpoints). Prove that the projection  $X \rightarrow X/e$  is a homotopy equivalence. Describe the homotopy inverse map explicitly.

**42.B.** A finite connected cellular space  $X$  of dimension one is homotopy equivalent to the bouquet of  $1 - \chi(X)$  circles, and its fundamental group is a free group of rank  $1 - \chi(X)$ .

**42.C Corollary.** The Euler characteristic of a finite connected one-dimensional cellular space is invariant under homotopy equivalence. It is not greater than one. It equals one iff the space is homotopy equivalent to a point.

**42.D Corollary.** The Euler characteristic of a finite one-dimensional cellular space is not greater than the number of its connected components. It is equal to this number iff each of its connected components is homotopy equivalent to a point.

**42.E Homotopy Classification of Finite 1-Dimensional Cellular Spaces.** Finite connected one-dimensional cellular spaces are homotopy equivalent, iff their fundamental groups are isomorphic, iff their Euler characteristics are equal.

**42.1.** The fundamental group of a 2-sphere punctured at  $n$  points is a free group of rank  $n - 1$ .

**42.2.** Prove that the Euler characteristic of a cellular space homeomorphic to  $S^2$  is equal to 2.

**42.3 The Euler Theorem.** For any convex polyhedron in  $\mathbb{R}^3$ , the sum of the number of its vertices and the number of its faces equals the number of its edges plus two.

**42.4.** Prove the Euler Theorem without using fundamental groups.

**42.5.** Prove that the Euler characteristic of any cellular space homeomorphic to the torus is equal to 0.

**Information.** The Euler characteristic is homotopy invariant, but the usual proof of this fact involves the machinery of singular homology theory, which lies far beyond the scope of our book.

**42° 2. Spanning Trees**

A one-dimensional cellular space is a *tree* if it is connected, while the complement of each of its (open) 1-cells is disconnected. A cellular subspace  $A$  of a cellular space  $X$  is a *spanning tree* of  $X$  if  $A$  is a tree and is not contained in any other cellular subspace  $B \subset X$  which is a tree.

**42.F.** Any finite connected one-dimensional cellular space contains a spanning tree.

**42.G.** Prove that a cellular subspace  $A$  of a cellular space  $X$  is a spanning tree iff  $A$  is a tree and contains all vertices of  $X$ .

Theorem 42.G explains the term *spanning tree*.

**42.H.** Prove that a cellular subspace  $A$  of a cellular space  $X$  is a spanning tree iff it is a tree and the quotient space  $X/A$  is a bouquet of circles.

**42.I.** Let  $X$  be a one-dimensional cellular space and  $A$  its cellular subspace. Prove that if  $A$  is a tree, then the projection  $X \rightarrow X/A$  is a homotopy equivalence.

Problems 42.F, 42.I, and 42.H provide one more proof of Theorem 42.A.

**42° 3x. Dividing Cells**

**42.Ax.** *In a one-dimensional connected cellular space each connected component of the complement of an edge meets the closure of the edge. The complement has at most two connected components.*

A complete local characterization of a vertex in a one-dimensional cellular space is its *valency*. This is the total number of points in the preimages of the vertex under attaching maps of all one-cells of the space. It is more traditional to define the degree of a vertex  $v$  as the number of edges incident to  $v$ , counting with multiplicity 2 the edges that are incident only to  $v$ .

**42.Bx.** *1) Each connected component of the complement of a vertex in a connected one-dimensional cellular space contains an edge with boundary containing the vertex. 2) The complement of a vertex of valency  $m$  has at most  $m$  connected components.*

**42° 4x. Trees and Forests**

A one-dimensional cellular space is a *tree* if it is connected, while the complement of each of its (open) 1-cells is disconnected. A one-dimensional cellular space is a *forest* if each of its connected components is a tree.

**42.Cx.** Any cellular subspace of a forest is a forest. In particular, any connected cellular subspace of a tree is a tree.

**42.Dx.** In a tree the complement of an edge consists of two connected components.

**42.Ex.** In a tree, the complement of a vertex of valency  $m$  has consists of  $m$  connected components.

**42.Fx.** A finite tree has there exists a vertex of valency one.

**42.Gx.** Any finite tree collapses to a point and has Euler characteristic one.

**42.Hx.** Prove that any point of a tree is its deformation retract.

**42.Ix.** Any finite one-dimensional cellular space that can be collapsed to a point is a tree.

**42.Jx.** In any finite one-dimensional cellular space the sum of valencies of all vertices is equal to the number of edges multiplied by two.

**42.Kx.** A finite connected one-dimensional cellular space with Euler characteristic one has a vertex of valency one.

**42.Lx.** A finite connected one-dimensional cellular space with Euler characteristic one collapses to a point.

#### 42° 5x. Simple Paths

Let  $X$  be a one-dimensional cellular space. A *simple path of length  $n$*  in  $X$  is a finite sequence  $(v_1, e_1, v_2, e_2, \dots, e_n, v_{n+1})$ , formed by vertices  $v_i$  and edges  $e_i$  of  $X$  such that each term appears in it only once and the boundary of every edge  $e_i$  consists of the preceding and subsequent vertices  $v_i$  and  $v_{i+1}$ . The vertex  $v_1$  is the *initial* vertex, and  $v_{n+1}$  is the *final* one. The simple path *connects* these vertices. They are connected by a path  $I \rightarrow X$ , which is a topological embedding with image contained in the union of all cells involved in the simple path. The union of these cells is a cellular subspace of  $X$ . It is called a *simple broken line*.

**42.Mx.** In a connected one-dimensional cellular space, any two vertices are connected by a simple path.

**42.Nx Corollary.** In a connected one-dimensional cellular space  $X$ , any two points are connected by a path  $I \rightarrow X$  which is a topological embedding.

**42.1x.** Can a path-connected space contain two distinct points that cannot be connected by a path which is a topological embedding?

**42.2x.** Can you find a Hausdorff space with this property?

**42.Ox.** A connected one-dimensional cellular space  $X$  is a tree iff there exists no topological embedding  $S^1 \rightarrow X$ .

**42.Px.** *In a one-dimensional cellular space  $X$  there exists a loop  $S^1 \rightarrow X$  that is not null-homotopic iff there exists a topological embedding  $S^1 \rightarrow X$ .*

**42.Qx.** *A one-dimensional cellular space is a tree iff any two distinct vertices are connected in it by a unique simple path.*

**42.3x.** Prove that any finite tree has fixed point property.

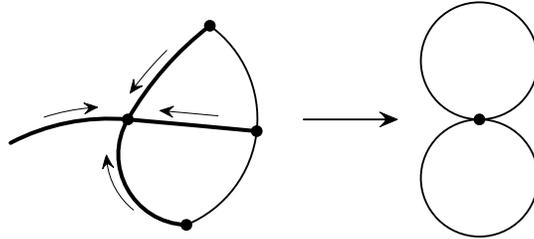
Cf. 37.12, 37.13, and 37.14.

**42.4x.** Is this true for any tree; for any finite connected one-dimensional cellular space?

## 43. Fundamental Group of a Cellular Space

### 43°1. One-Dimensional Cellular Spaces

**43.A.** The fundamental group of a connected finite one-dimensional cellular space  $X$  is a free group of rank  $1 - \chi(X)$ .



**43.B.** Let  $X$  be a finite connected one-dimensional cellular space,  $T$  a spanning tree of  $X$ , and  $x_0 \in T$ . For each 1-cell  $e \in X \setminus T$ , choose a loop  $s_e$  that starts at  $x_0$ , goes inside  $T$  to  $e$ , then goes once along  $e$ , and then returns to  $x_0$  in  $T$ . Prove that  $\pi_1(X, x_0)$  is freely generated by the homotopy classes of  $s_e$ .

### 43°2. Generators

**43.C.** Let  $A$  be a topological space,  $x_0 \in A$ . Let  $\varphi : S^{k-1} \rightarrow A$  be a continuous map,  $X = A \cup_{\varphi} D^k$ . If  $k > 1$ , then the inclusion homomorphism  $\pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$  is surjective. Cf. 43.G.4 and 43.G.5.

**43.D.** Let  $X$  be a cellular space,  $x_0$  its 0-cell and  $X_1$  the 1-skeleton of  $X$ . Then the inclusion homomorphism

$$\pi_1(X_1, x_0) \rightarrow \pi_1(X, x_0)$$

is surjective.

**43.E.** Let  $X$  be a finite cellular space,  $T$  a spanning tree of  $X_1$ , and  $x_0 \in T$ . For each cell  $e \in X_1 \setminus T$ , choose a loop  $s_e$  that starts at  $x_0$ , goes inside  $T$  to  $e$ , then goes once along  $e$ , and finally returns to  $x_0$  in  $T$ . Prove that  $\pi_1(X, x_0)$  is generated by the homotopy classes of  $s_e$ .

**43.1.** Deduce Theorem 31.G from Theorem 43.D.

**43.2.** Find  $\pi_1(\mathbb{C}P^n)$ .

### 43°3. Relations

Let  $X$  be a cellular space,  $x_0$  its 0-cell. Denote by  $X_n$  the  $n$ -skeleton of  $X$ . Recall that  $X_2$  is obtained from  $X_1$  by attaching copies of the disk

$D^2$  via continuous maps  $\varphi_\alpha : S^1 \rightarrow X_1$ . The attaching maps are circular loops in  $X_1$ . For each  $\alpha$ , choose a path  $s_\alpha : I \rightarrow X_1$  connecting  $\varphi_\alpha(1)$  with  $x_0$ . Denote by  $N$  the normal subgroup of  $\pi_1(X, x_0)$  generated (as a normal subgroup<sup>4</sup>) by the elements

$$T_{s_\alpha}[\varphi_\alpha] \in \pi_1(X_1, x_0).$$

**43.F.**  $N$  does not depend on the choice of the paths  $s_\alpha$ .

**43.G.** The normal subgroup  $N$  is the kernel of the inclusion homomorphism  $\text{in}_* : \pi_1(X_1, x_0) \rightarrow \pi_1(X, x_0)$ .

Theorem 43.G can be proved in various ways. For example, we can derive it from the Seifert–van Kampen Theorem (see 43.4x). Here we prove Theorem 43.G by constructing a “rightful” covering space. The inclusion  $N \subset \text{Ker in}_*$  is rather obvious (see 43.G.1). The proof of the converse inclusion involves the existence of a covering  $p : Y \rightarrow X$ , whose submap over the 1-skeleton of  $X$  is a covering  $p_1 : Y_1 \rightarrow X_1$  with group  $N$ , and the fact that  $\text{Ker in}_*$  is contained in the group of each covering over  $X_1$  that extends to a covering over the entire  $X$ . The scheme of argument suggested in Lemmas 1–7 can also be modified. The thing is that the inclusion  $X_2 \rightarrow X$  induces an isomorphism of fundamental groups. It is not difficult to prove this, but the techniques involved, though quite general and natural, nevertheless lie beyond the scope of our book. Here we just want to emphasize that this result replaces Lemmas 4 and 5.

**43.G.1 Lemma 1.**  $N \subset \text{Ker } i_*$ , cf. 31.J (3).

**43.G.2 Lemma 2.** Let  $p_1 : Y_1 \rightarrow X_1$  be a covering with covering group  $N$ . Then for any  $\alpha$  and a point  $y \in p_1^{-1}(\varphi_\alpha(1))$  there exists a lifting  $\tilde{\varphi}_\alpha : S^1 \rightarrow Y_1$  of  $\varphi_\alpha$  with  $\tilde{\varphi}_\alpha(1) = y$ .

**43.G.3 Lemma 3.** Let  $Y_2$  be a cellular space obtained by attaching copies of disk to  $Y_1$  by all liftings of attaching maps  $\varphi_\alpha$ . Then there exists a map  $p_2 : Y_2 \rightarrow X_2$  extending  $p_1$  which is a covering.

**43.G.4 Lemma 4.** Attaching maps of  $n$ -cells with  $n \geq 3$  are lift to any covering space. Cf. 39.Xx and 39.Yx.

**43.G.5 Lemma 5.** Covering  $p_2 : Y_2 \rightarrow X_2$  extends to a covering of the whole  $X$ .

**43.G.6 Lemma 6.** Any loop  $s : I \rightarrow X_1$  realizing an element of  $\text{Ker } i_*$  (i.e., null-homotopic in  $X$ ) is covered by a loop of  $Y$ . The covering loop is contained in  $Y_1$ .

**43.G.7 Lemma 7.**  $N = \text{Ker in}_*$ .

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<sup>4</sup>Recall that a subgroup  $N$  is *normal* if  $N$  coincides with all conjugate subgroups of  $N$ . The normal subgroup  $N$  generated by a set  $A$  is the minimal normal subgroup containing  $A$ . As a subgroup,  $N$  is generated by elements of  $A$  and elements conjugate to them. This means that each element of  $N$  is a product of elements conjugate to elements of  $A$ .

**43.H.** The inclusion  $\text{in}_2 : X_2 \rightarrow X$  induces an isomorphism between the fundamental groups of a cellular space and its 2-skeleton.

**43.3.** Check that the covering over the cellular space  $X$  constructed in the proof of Theorem 43.G is universal.

#### 43°4. Writing Down Generators and Relations

Theorems 43.E and 43.G imply the following recipe for writing down a presentation for the fundamental group of a finite dimensional cellular space by generators and relations:

Let  $X$  be a finite cellular space,  $x_0$  a 0-cell of  $X$ . Let  $T$  a spanning tree of the 1-skeleton of  $X$ . For each 1-cell  $e \notin T$  of  $X$ , choose a loop  $s_e$  that starts at  $x_0$ , goes inside  $T$  to  $e$ , goes once along  $e$ , and then returns to  $x_0$  in  $T$ . Let  $g_1, \dots, g_m$  be the homotopy classes of these loops. Let  $\varphi_1, \dots, \varphi_n : S^1 \rightarrow X_1$  be the attaching maps of 2-cells of  $X$ . For each  $\varphi_i$  choose a path  $s_i$  connecting  $\varphi_i(1)$  with  $x_0$  in the 1-skeleton of  $X$ . Express the homotopy class of the loop  $s_i^{-1}\varphi_i s_i$  as a product of powers of generators  $g_j$ . Let  $r_1, \dots, r_n$  are the words in letters  $g_1, \dots, g_m$  obtained in this way. The fundamental group of  $X$  is generated by  $g_1, \dots, g_m$ , which satisfy the defining relations  $r_1 = 1, \dots, r_n = 1$ .

**43.I.** Check that this rule gives correct answers in the cases of  $\mathbb{R}P^n$  and  $S^1 \times S^1$  for the cellular presentations of these spaces provided in Problems 40.H and 40.E.

In assertion 41.Fx proved above we assumed that the cellular space is 2-dimensional. The reason for this was that at that moment we did not know that the inclusion  $X_2 \rightarrow X$  induces an isomorphism of fundamental groups.

**43.J.** Each finite simply connected cellular space is homotopy equivalent to a cellular space with one-point 1-skeleton.

#### 43°5. Fundamental Groups of Basic Surfaces

**43.K.** The fundamental group of a sphere with  $g$  handles admits presentation

$$\langle a_1, b_1, a_2, b_2, \dots, a_g, b_g \mid a_1 b_1 a_1^{-1} b_1^{-1} a_2 b_2 a_2^{-1} b_2^{-1} \dots a_g b_g a_g^{-1} b_g^{-1} = 1 \rangle.$$

**43.L.** The fundamental group of a sphere with  $g$  crosscaps admits the following presentation

$$\langle a_1, a_2, \dots, a_g \mid a_1^2 a_2^2 \dots a_g^2 = 1 \rangle.$$

**43.M.** Fundamental groups of spheres with different numbers of handles are not isomorphic.

When we want to prove that two finitely presented groups are not isomorphic, one of the first natural moves is to abelianize the groups. (Recall that to *abelianize* a group  $G$  means to quotient it out by the commutator subgroup. The commutator subgroup  $[G, G]$  is the normal subgroup generated by the commutators  $a^{-1}b^{-1}ab$  for all  $a, b \in G$ . Abelianization means adding relations that  $ab = ba$  for any  $a, b \in G$ .)

Abelian finitely generated groups are well known. Any finitely generated Abelian group is isomorphic to a product of a finite number of cyclic groups. If the abelianized groups are not isomorphic, then the original groups are not isomorphic as well.

**43.M.1.** *The abelianized fundamental group of a sphere with  $g$  handles is a free Abelian group of rank  $2g$  (i.e., is isomorphic to  $\mathbb{Z}^{2g}$ ).*

**43.N.** *Fundamental groups of spheres with different numbers of crosscaps are not isomorphic.*

**43.N.1.** *The abelianized fundamental group of a sphere with  $g$  crosscaps is isomorphic to  $\mathbb{Z}^{g-1} \times \mathbb{Z}_2$ .*

**43.O.** *Spheres with different numbers of handles are not homotopy equivalent.*

**43.P.** *Spheres with different numbers of crosscaps are not homotopy equivalent.*

**43.Q.** *A sphere with handles is not homotopy equivalent to a sphere with crosscaps.*

If  $X$  is a path-connected space, then the abelianized fundamental group of  $X$  is the *1-dimensional* (or *first*) *homology group* of  $X$  and denoted by  $H_1(X)$ . If  $X$  is not path-connected, then  $H_1(X)$  is the direct sum of the first homology groups of all path-connected components of  $X$ . Thus 43.M.1 can be rephrased as follows: if  $F_g$  is a sphere with  $g$  handles, then  $H_1(F_g) = \mathbb{Z}^{2g}$ .

### 43°6x. Seifert–van Kampen Theorem

To calculate fundamental group, one often uses the Seifert–van Kampen Theorem, instead of the cellular techniques presented above.

**43.Ax Seifert–van Kampen Theorem.** *Let  $X$  be a path-connected topological space,  $A$  and  $B$  be its open path-connected subspaces covering  $X$ , and let  $C = A \cap B$  be also path-connected. Then  $\pi_1(X)$  can be presented as amalgamated product of  $\pi_1(A)$  and  $\pi_1(B)$  with identified subgroup  $\pi_1(C)$ . In other words, if  $x_0 \in C$ ,*

$$\pi_1(A, x_0) = \langle \alpha_1, \dots, \alpha_p \mid \rho_1 = \dots = \rho_r = 1 \rangle,$$

$$\pi_1(B, x_0) = \langle \beta_1, \dots, \beta_q \mid \sigma_1 = \dots = \sigma_s = 1 \rangle,$$

$\pi_1(C, x_0)$  is generated by its elements  $\gamma_1, \dots, \gamma_t$ , and  $\text{in}_A : C \rightarrow A$  and  $\text{in}_B : C \rightarrow B$  are inclusions, then  $\pi_1(X, x_0)$  can be presented as

$$\begin{aligned} &\langle \alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_q \mid \\ &\quad \rho_1 = \dots = \rho_r = \sigma_1 = \dots = \sigma_s = 1, \\ &\quad \text{in}_{A^*}(\gamma_1) = \text{in}_{B^*}(\gamma_1), \dots, \text{in}_{A^*}(\gamma_t) = \text{in}_{B^*}(\gamma_t) \rangle. \end{aligned}$$

Now we consider the situation where the space  $X$  and its subsets  $A$  and  $B$  are cellular.

**43.Bx.** Assume that  $X$  is a connected finite cellular space, and  $A$  and  $B$  are two cellular subspaces of  $X$  covering  $X$ . Denote  $A \cap B$  by  $C$ . How are the fundamental groups of  $X$ ,  $A$ ,  $B$ , and  $C$  related to each other?

**43.Cx Seifert–van Kampen Theorem.** Let  $X$  be a connected finite cellular space,  $A$  and  $B$  – connected cellular subspaces covering  $X$ ,  $C = A \cap B$ . Assume that  $C$  is also connected. Let  $x_0 \in C$  be a 0-cell,

$$\pi_1(A, x_0) = \langle \alpha_1, \dots, \alpha_p \mid \rho_1 = \dots = \rho_r = 1 \rangle,$$

$$\pi_1(B, x_0) = \langle \beta_1, \dots, \beta_q \mid \sigma_1 = \dots = \sigma_s = 1 \rangle,$$

and let the group  $\pi_1(C, x_0)$  be generated by the elements  $\gamma_1, \dots, \gamma_t$ . Denote by  $\xi_i(\alpha_1, \dots, \alpha_p)$  and  $\eta_i(\beta_1, \dots, \beta_q)$  the images of the elements  $\gamma_i$  (more precisely, their expression via the generators) under the inclusion homomorphisms

$$\pi_1(C, x_0) \rightarrow \pi_1(A, x_0) \text{ and, respectively, } \pi_1(C, x_0) \rightarrow \pi_1(B, x_0).$$

Then

$$\begin{aligned} \pi_1(X, x_0) = &\langle \alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_q \mid \\ &\quad \rho_1 = \dots = \rho_r = \sigma_1 = \dots = \sigma_s = 1, \\ &\quad \xi_1 = \eta_1, \dots, \xi_t = \eta_t \rangle. \end{aligned}$$

**43.1x.** Let  $X$ ,  $A$ ,  $B$ , and  $C$  be as above. Assume that  $A$  and  $B$  are simply connected and  $C$  consists of two connected components. Prove that  $\pi_1(X)$  is isomorphic to  $\mathbb{Z}$ .

**43.2x.** Is Theorem 43.Cx a special case of Theorem 43.Ax?

**43.3x.** May the assumption of openness of  $A$  and  $B$  in 43.Ax be omitted?

**43.4x.** Deduce Theorem 43.G from the Seifert–van Kampen Theorem 43.Ax.

**43.5x.** Compute the fundamental group of the *lens space*, which is obtained by pasting together two solid tori via the homeomorphism  $S^1 \times S^1 \rightarrow S^1 \times S^1 : (u, v) \mapsto (u^k v^l, u^m v^n)$ , where  $kn - lm = 1$ .

**43.6x.** Determine the homotopy and the topological type of the lens space for  $m = 0, 1$ .

**43.7x.** Find a presentation for the fundamental group of the complement in  $\mathbb{R}^3$  of a torus knot  $K$  of type  $(p, q)$ , where  $p$  and  $q$  are relatively prime positive integers. This knot lies on the revolution torus  $T$ , which is described by parametric equations

$$\begin{cases} x = (2 + \cos 2\pi u) \cos 2\pi v \\ y = (2 + \cos 2\pi u) \sin 2\pi v \\ z = \sin 2\pi u, \end{cases}$$

and  $K$  is described on  $T$  by equation  $pu = qv$ .

**43.8x.** Let  $(X, x_0)$  and  $(Y, y_0)$  be two simply connected topological spaces with marked points, and let  $Z = X \vee Y$  be their bouquet.

- (1) Prove that if  $X$  and  $Y$  are cellular spaces, then  $Z$  is simply connected.
- (2) Prove that if  $x_0$  and  $y_0$  have neighborhoods  $U_{x_0} \subset X$  and  $V_{y_0} \subset Y$  that admit strong deformation retractions to  $x_0$  and  $y_0$ , respectively, then  $Z$  is simply connected.
- (3) Construct two simply connected topological spaces  $X$  and  $Y$  with a non-simply connected bouquet.

### 43°7x. Group-Theoretic Digression: Amalgamated Product of Groups

At first glance, description of the fundamental group of  $X$  given above in the statement of Seifert - van Kampen Theorem is far from being invariant: it depends on the choice of generators and relations of other groups involved. However, this is actually a detailed description of a group - theoretic construction in terms of generators and relations. By solving the next problem, you will get a more complete picture of the subject.

**43.Dx.** Let  $A$  and  $B$  be groups,

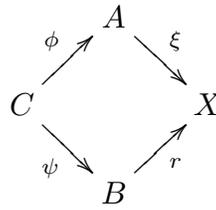
$$A = \langle \alpha_1, \dots, \alpha_p \mid \rho_1 = \dots = \rho_r = 1 \rangle,$$

$$B = \langle \beta_1, \dots, \beta_q \mid \sigma_1 = \dots = \sigma_s = 1 \rangle,$$

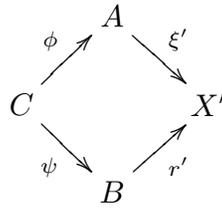
and  $C$  be a group generated by  $\gamma_1, \dots, \gamma_t$ . Let  $\xi : C \rightarrow A$  and  $\eta : C \rightarrow B$  be arbitrary homomorphisms. Then

$$\begin{aligned} X = \langle \alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_q \mid \\ \rho_1 = \dots = \rho_r = \sigma_1 = \dots = \sigma_s = 1, \\ \xi(\gamma_1) = \eta(\gamma_1), \dots, \xi(\gamma_t) = \eta(\gamma_t) \rangle. \end{aligned}$$

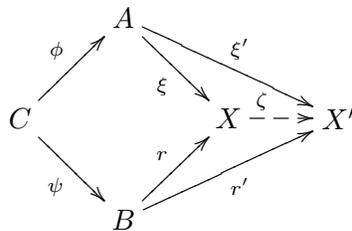
and homomorphisms  $\phi : A \rightarrow X : \alpha_i \mapsto \alpha_i, i = 1, \dots, p$  and  $\psi : B \rightarrow X : \beta_j \mapsto \beta_j, j = 1, \dots, q$  take part in commutative diagram



and for each group  $X'$  and homomorphisms  $\varphi' : A \rightarrow X'$  and  $\psi' : B \rightarrow X'$  involved in commutative diagram



there exists a unique homomorphism  $\zeta : X \rightarrow X'$  such that diagram



is commutative. The latter determines the group  $X$  up to isomorphism.

The group  $X$  described in 43.Dx is a *free product of  $A$  and  $B$  with amalgamated subgroup  $C$* , it is denoted by  $A *_C B$ . Notice that the name is not quite precise, as it ignores the role of the homomorphisms  $\phi$  and  $\psi$  and the possibility that they may be not injective.

If the group  $C$  is trivial, then  $A *_C B$  is denoted by  $A * B$  and called the *free product of  $A$  and  $B$* .

**43.9x.** Is a free group of rank  $n$  a free product of  $n$  copies of  $\mathbb{Z}$ ?

**43.10x.** Represent the fundamental group of Klein bottle as  $\mathbb{Z} *_z \mathbb{Z}$ . Does this decomposition correspond to a decomposition of Klein bottle?

**43.11x. Riddle.** Define a free product as a set of equivalence classes of words in which the letters are elements of the factors.

**43.12x.** Investigate algebraic properties of free multiplication of groups: is it associative, commutative and, if it is, then in what sense? Do homomorphisms of the factors determine a homomorphism of the product?

**43.13x\*.** Find decomposition of modular group  $Mod = SL(2, \mathbb{Z}) / \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  as free product  $\mathbb{Z}_2 * \mathbb{Z}_3$ .

### 43°8x. Addendum to Seifert–van Kampen Theorem

Seifert–van Kampen Theorem appeared and used mainly as a tool for calculation of fundamental groups. However, it helps not in any situation. For example, it does not work under assumptions of the following theorem.

**43.Ex.** Let  $X$  be a topological space,  $A$  and  $B$  open sets covering  $X$  and  $C = A \cap B$ . Assume that  $A$  and  $B$  are simply connected and  $C$  consists of two connected components. Then  $\pi_1(X)$  is isomorphic to  $\mathbb{Z}$ .

Theorem 43.Ex also holds true if we assume that  $C$  consists of two path-connected components. The difference seems to be immaterial, but the proof becomes incomparably more technical.

Seifert and van Kampen needed more universal tool for calculation of fundamental group, and theorems published by them were much more general than 43.Ax. Theorem 43.Ax is all that could penetrate from there original papers to textbooks. Theorem 43.1x is another special case of their results. The most general formulation is cumbersome, and we restrict ourselves to one more special case, which was distinguished by van Kampen. Together with 43.Ax, it allows one to calculate fundamental groups in all situations that are available with the most general formulations by van Kampen, although not that fast. We formulate the original version of this theorem, but recommend, first, to restrict to a cellular version, in which the results presented in the beginning of this section allow one to obtain a complete answer about calculation of fundamental groups, and only after that to consider the general situation.

First, let us describe the situation common for both formulations. Let  $A$  be a topological space,  $B$  its closed subset and  $U$  a neighborhood of  $B$  in  $A$  such that  $U \setminus B$  is a union of two disjoint sets,  $M_1$  and  $M_2$ , open in  $A$ . Put  $N_i = B \cup M_i$ . Let  $C$  be a topological space that can be represented as  $(A \setminus U) \cup (N_1 \sqcup N_2)$  and in which the sets  $(A \setminus U) \cup N_1$  and  $(A \setminus U) \cup N_2$  with the topology induced from  $A$  form a fundamental cover. There are two copies of  $B$  in  $C$ , which come from  $N_1$  and  $N_2$ . The space  $A$  can be identified with the quotient space of  $C$  obtained by identification of the two copies of  $B$  via the natural homeomorphism. However, our description begins with  $A$ , since this is the space whose fundamental group we want to calculate, while the space  $B$  is auxiliary constructed out of  $A$  (see Figure 1).

In the cellular version of the statement formulated below, space  $A$  is supposed to be cellular, and  $B$  its cellular subspace. Then  $C$  is also equipped with a natural cellular structure such that the natural map  $C \rightarrow A$  is cellular.

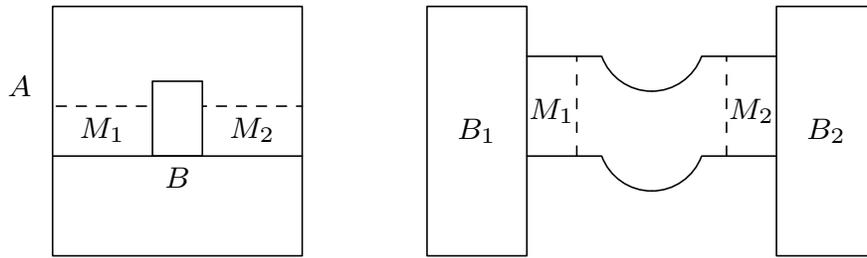


Figure 1

**43.Fx.** Let in the situation described above  $C$  is path-connected and  $x_0 \in C \setminus (B_1 \cup B_2)$ . Let  $\pi_1(C, x_0)$  is presented by generators  $\alpha_1, \dots, \alpha_n$  and relations  $\psi_1 = 1, \dots, \psi_m = 1$ . Assume that base points  $y_i \in B_i$  are mapped to the same point  $y$  under the map  $C \rightarrow A$ , and  $\sigma_i$  is a homotopy class of a path connecting  $x_0$  with  $y_i$  in  $C$ . Let  $\beta_1, \dots, \beta_p$  be generators of  $\pi_1(B, y)$ , and  $\beta_{1i}, \dots, \beta_{pi}$  the corresponding elements of  $\pi_1(B_i, y_i)$ . Denote by  $\varphi_{li}$  a word representing  $\sigma_i \beta_{li} \sigma_i^{-1}$  in terms of  $\alpha_1, \dots, \alpha_n$ . Then  $\pi_1(A, x_0)$  has the following presentation:

$$\langle \alpha_1, \dots, \alpha_n, \gamma \mid \psi_1 = \dots = \psi_m = 1, \gamma \varphi_{11} = \varphi_{12} \gamma, \dots, \gamma \varphi_{p1} = \varphi_{p2} \gamma \rangle.$$

**43.14x.** Using 43.Fx, calculate fundamental groups of torus and Klein bottle.

**43.15x.** Using 43.Fx, calculate the fundamental groups of basic surfaces.

**43.16x.** Deduce Theorem 43.1x from 43.Ax and 43.Fx.

**43.17x. Riddle.** Develop an algebraic theory of group-theoretic construction contained in Theorem 43.Fx.

## Proofs and Comments

**40.A** Let  $A$  be a cellular subspace of a cellular space  $X$ . For  $n = 0, 1, \dots$ , we see that  $A \cap X_{n+1}$  is obtained from  $A \cap X_n$  by attaching the  $(n + 1)$ -cells contained in  $A$ . Therefore, if  $A$  is contained in a certain skeleton, then  $A$  certainly is a cellular space and the intersections  $A_n = A \cap X_n$ ,  $n = 0, 1, \dots$ , are the skeletons of  $A$ . In the general case, we must verify that the cover of  $A$  by the sets  $A_n$  is fundamental, which follows from assertion 3 of Lemma 40.A.1 below, Problem 40.1, and assertion 40.Gx.

**40.A.1** We prove only assertion 3 because it is needed for the proof of the theorem. Assume that a subset  $F \subset A$  intersects each of the sets  $A_i$  along a set closed in  $A_i$ . Since  $F \cap X_i = F \cap A_i$  is closed in  $A_i$ , it follows that this set is closed in  $X_i$ . Therefore,  $F$  is closed in  $X$  since the cover  $\{X_i\}$  is fundamental. Consequently,  $F$  is also closed in  $A$ , which proves that the cover  $\{A_i\}$  is fundamental.

**40.B** This is true because attaching  $D^n$  to a point along the boundary sphere we obtain the quotient space  $D^n/S^{n-1} \cong S^n$ .

**40.C** These (open) cells are: a point, the  $(n - 1)$ -sphere  $S^{n-1}$  without this point, the  $n$ -ball  $B^n$  bounded by  $S^{n-1}$ :  $e^0 = x \in S^{n-1} \subset D^n$ ,  $e^{n-1} = S^n \setminus x$ ,  $e^n = B^n$ .

**40.D** Indeed, factorizing the disjoint union of segments by the set of all of their endpoints, we obtain a bouquet of circles.

**40.E** We present the product  $I \times I$  as a cellular space consisting of 9 cells: four 0-cells – the vertices of the square, four 1-cells – the sides of the square, and a 2-cell – the interior of the square. After the standard factorization under which the square becomes a torus, from the four 0-cells we obtain one 0-cell, and from the four 1-cells we obtain two 1-cells.

**40.F** Each open cell of the product is a product of open cells of the factors, see Problem 40.3.

**40.G** Let  $S^k = S^n \cap \mathbb{R}^{k+1}$ , where

$$\mathbb{R}^{k+1} = \{(x_1, x_2, \dots, x_{k+1}, 0, \dots, 0)\} \subset \mathbb{R}^{n+1}.$$

If we present  $S^n$  as the union of the constructed spheres of smaller dimensions:  $S^n = \bigcup_{k=0}^n S^k$ , then for each  $k \in \{1, \dots, n\}$  the difference  $S^k \setminus S^{k-1}$  consists of exactly two  $k$ -cells: open hemispheres.

**40.H** Consider the cellular partition of  $S^n$  described in the solution of Problem 40.G. Then the factorization  $S^n \rightarrow \mathbb{R}P^n$  identifies both cells in each dimension into one. Each of the attaching maps is the projection  $D^k \rightarrow \mathbb{R}P^k$  mapping the boundary sphere  $S^{k-1}$  onto  $\mathbb{R}P^{k-1}$ .

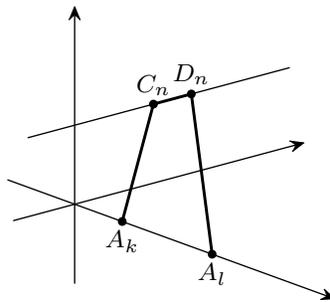
**40.I** 0-cells are all integer points, and 1-cells are the open intervals  $(k, k + 1)$ ,  $k \in \mathbb{Z}$ .

**40.J** Since  $\mathbb{R}^n = \mathbb{R} \times \dots \times \mathbb{R}$  ( $n$  factors), the cellular structure of  $\mathbb{R}^n$  can be determined by those of the factors (see 40.3). Thus, the 0-cells are the points with integer coordinates. The 1-cells are open intervals with endpoints  $(k_1, \dots, k_i, \dots, k_n)$  and  $(k_1, \dots, k_i + 1, \dots, k_n)$ , i.e., segments parallel to the coordinate axes. The 2-cells are squares parallel to the coordinate 2-planes, etc.

**40.K** See the solution of Problem 40.J.

**40.L** This is obvious: each infinite countable 0-dimensional space is homeomorphi to  $\mathbb{N} \subset \mathbb{R}$ .

**40.M** We map 0-cells to integer points  $A_k(k, 0, 0)$  on the  $x$  axis. The embeddings of 1-cells will be piecewise linear and performed as follows. Take the  $n$ th 1-cell of  $X$  to the pair of points with coordinates  $C_n(0, 2n - 1, 1)$  and  $D_n(0, 2n, 1)$ ,  $n \in \mathbb{N}$ . If the endpoints of the 1-cell are mapped to  $A_k$  and  $A_l$ , then the image of the 1-cell is the three-link polyline  $A_k C_n D_n A_l$  (possibly, closed). We easily see that the images of distinct open cells are disjoint (because their outer third parts lie on two skew lines). We have thus constructed an injection  $f : X \rightarrow \mathbb{R}^3$ , which is obviously continuous. The inverse map is continuous because it is continuous on each of the constructed polylines, which in addition constitute a closed locally-finite cover of  $f(X)$ , which is fundamental by 9.U.



**40.N** Use induction on skeletons and 40.N.2. The argument is simplified a great deal in the case where the cellular space is finite.

**40.N.1** We assume that  $X \subset \mathbb{R}^p \subset \mathbb{R}^{p+q+1}$ , where  $\mathbb{R}^p$  is the coordinate space of the first  $p$  coordinate lines in  $\mathbb{R}^{p+q+1}$ , and  $Y \subset \mathbb{R}^q \subset \mathbb{R}^{p+q+1}$ , where  $\mathbb{R}^q$  is the coordinate space of the last  $q$  coordinate lines in  $\mathbb{R}^{p+q+1}$ . Now we define a map  $f : X \sqcup Y \rightarrow \mathbb{R}^{p+q+1}$ . Put  $f(x) = x$  if  $x \in X$ , and  $f(y) = (0, \dots, 0, 1, y)$  if  $y \notin V = h^{-1}(A \times [0, \frac{1}{2}))$ . Finally, if  $y \in U$ ,

$h(y) = (a, t)$ , and  $t \in [0, \frac{1}{2}]$ , then we put

$$f(y) = ((1 - 2t)\varphi(a), 2t, 2ty).$$

We easily see that  $f$  is a proper map. The quotient map  $\widehat{f} : X \cup_{\varphi} Y \rightarrow \mathbb{R}^{p+q+1}$  is a proper injection, therefore,  $\widehat{f}$  is an embedding by 18.Ox (cf. 18.Px).

**40.N.2** By the definition of a cellular space,  $X$  is obtained by attaching a disjoint union of closed  $k$ -disks to the  $(k - 1)$ -skeleton of  $X$ . Let  $Y$  be a countable union of  $k$ -balls,  $A$  the union of their boundary spheres. (The assumptions of Lemma 40.N.1 is obviously fulfilled: let the neighborhood  $U$  be the complement of the union of concentric disks with radius  $\frac{1}{2}$ .) Thus, Lemma 40.N.2 follows from 40.N.1.

**40.O** This follows from 40.N.2 by the definition of the cellular topology.

**40.P** This follows from 40.O and 40.N.

**40.Q** This follows from 40.P.

**40.R** Try to prove this assertion at least for 1-dimensional spaces.

**40.S** This can be proved by somewhat complicating the argument used in the proof of 40.Bx.

**40.T** See, [FR, p. 93].

**40.Ax** We easily see that the closure of any open simplex is canonically homeomorphi to the closed  $n$ -simplex. and, since any simplicial space  $\Sigma$  is Hausdorff,  $\Sigma$  is homeomorphi to the quotient space obtained from a disjoint union of several closed simplices by pasting them together along entire faces via affine homeomorphisms. Since each simplex  $\Delta$  is a cellular space and the faces of  $\Delta$  are cellular subspaces of  $\Delta$ , it remains to use Problem 40.Hx.

**40.Bx** Let  $X$  be a cellular space,  $x, y \in X$ . Let  $n$  be the smallest number such that  $x, y \in X_n$ . We construct their disjoint neighborhoods  $U_n$  and  $V_n$  in  $X_n$ . Let, for example,  $x \in e$ , where  $e$  is an open  $n$ -cell. Then let  $U_n$  be a small ball centered at  $x$ , and let  $V_n$  be the complement (in  $X_n$ ) of the closure of  $U_n$ . Now let  $a$  be the center of an  $(n + 1)$ -cell,  $\varphi : S^n \rightarrow X_n$  the attaching map. Consider the open cones over  $\varphi^{-1}(U_n)$  and  $\varphi^{-1}(V_n)$  with vertex  $a$ . Let  $U_{n+1}$  and  $V_{n+1}$  be the unions of the images of such cones over all  $(n + 1)$ -cells of  $X$ . Clearly, they are disjoint neighborhoods of  $x$  and  $y$  in  $X_{n+1}$ . The sets  $U = \cup_{k=n}^{\infty} U_k$  and  $V = \cup_{k=n}^{\infty} V_k$  are disjoint neighborhoods of  $x$  and  $y$  in  $X$ .

**40.Cx** Let  $X$  be a cellular space,  $e \subset X$  a cell of  $X$ ,  $\psi : D^n \rightarrow X$  the characteristic map of  $e$ ,  $B = B^n \subset D^n$  the open unit ball. Since the map  $\psi$  is continuous, we have  $\bar{e} = \psi(D^n) = \psi(\text{Cl } B) \subset \text{Cl}(\psi(B)) = \text{Cl}(e)$ . On the other hand,  $\psi(D^n)$  is a compact set, which is closed by 40.Bx, whence  $\bar{e} = \psi(D^n) \supset \text{Cl}(e)$ .

**40.Dx** Let  $X$  be a cellular space,  $X_n$  the  $n$ -skeleton of  $X$ ,  $n \in \mathbb{N}$ . The definition of the quotient topology easily implies that  $X_{n-1}$  and closed  $n$ -cells of  $X$  form a fundamental cover of  $X_n$ . Starting with  $n = 0$  and reasoning by induction, we prove that the cover of  $X_n$  by closed  $k$ -cells with  $k \leq n$  is fundamental. And since the cover of  $X$  by the skeletons  $X_n$  is fundamental by the definition of the cellular topology, so is the cover of  $X$  by closed cells (see 9.31).

**40.Ex** This follows from assertion 40.Dx, the fact that, by the definition of a cellular subspace, each closed cell is contained in an element of the cover, and assertion 9.31.

**40.Fx** Let  $X$  be a cellular space,  $X_k$  the  $k$ -skeleton of  $X$ . First, we prove that each compact set  $K \subset X_k$  intersects only a finite number of open cells in  $X_k$ . We use induction on the dimension of the skeleton. Since the topology on the 0-skeleton is discrete, each compact set can contain only a finite number of 0-cells of  $X$ . Let us perform the step of induction. Consider a compact set  $K \subset X_n$ . For each  $n$ -cell  $e_\alpha$  meeting  $K$ , take an open ball  $U_\alpha \subset e_\alpha$  such that  $K \cap U_\alpha \neq \emptyset$ . Consider the cover  $\Gamma = \{e_\alpha, X_n \setminus \cup \text{Cl}(U_\alpha)\}$ . It is clear that  $\Gamma$  is an open cover of  $K$ . Since  $K$  is compact,  $\Gamma$  contains a finite subcovering. Therefore,  $K$  intersects finitely many  $n$ -cells. The intersection of  $K$  with the  $(n-1)$ -skeleton is closed, therefore, it is compact. By the inductive hypothesis, this set (i.e.,  $K \cap X_{n-1}$ ) intersects finitely many open cells. Therefore, the set  $K$  also intersects finitely many open cells. Now let  $\varphi : S^{n-1} \rightarrow X_{n-1}$  be the attaching map for the  $n$ -cell,  $F = \varphi(S^{n-1}) \subset X_{n-1}$ . Since  $F$  is compact,  $F$  can intersect only a finite number of open cells. Thus we see that each closed cell intersects only a finite number of open cells.

**40.Gx** Let  $A$  be a cellular subspace of  $X$ . By 40.Dx, it is sufficient to verify that  $A \cap \bar{e}$  is closed for each cell  $e$  of  $X$ . Since a cellular subspace is a union of open (as well as of closed) cells, i.e.,  $A = \cup e_\alpha = \cup \bar{e}_\alpha$ , it follows from 40.Fx that we have

$$A \cap \bar{e} = (\cup e_\alpha) \cap \bar{e} = (\cup_{i=1}^n e_{\alpha_i}) \cap \bar{e} \subset (\cup_{i=1}^n \bar{e}_{\alpha_i}) \cap \bar{e} \subset A \cap \bar{e}$$

and, consequently, the inclusions in this chain are equalities. Consequently, by 40.Cx, the set  $A \cap \bar{e} = \cup_{i=1}^n (\bar{e}_{\alpha_i} \cap \bar{e})$  is closed as a union of a finite number of closed sets.

**40.Ix** Since, by 40.Fx, each closed cell intersects only a finite number of open cells, it follows that the intersection of any closed cell  $\bar{e}$  with  $A$  is finite and consequently (since cellular spaces are Hausdorff) closed, both in  $X$ , and *a fortiori* in  $\bar{e}$ . Since, by 40.Dx, closed cells constitute a fundamental cover, the set  $A$  itself is also closed. Similarly, each subset of  $A$  is also closed in  $X$  and *a fortiori* in  $A$ . Thus, indeed, the induced topology in  $A$  is discrete.

**40.Jx** Let  $K \subset X$  be a compact subset. In each of the cells  $e_\alpha$  meeting  $K$ , we take a point  $x_\alpha \in e_\alpha \cap K$  and consider the set  $A = \{x_\alpha\}$ . By 40.Ix, the set  $A$  is closed, and the topology on  $A$  is discrete. Since  $A$  is compact as a closed subset of a compact set, therefore,  $A$  is finite. Consequently,  $K$  intersects only a finite number of open cells.

**40.Kx**  $\Rightarrow$  Use 40.Jx.  $\Leftarrow$  A finite cellular space is compact as a union of a finite number of compact sets – closed cells.

**40.Lx** We can use induction on the dimension of the cell because the closure of any cell intersects finitely many cells of smaller dimension. Notice that the closure itself is not necessarily a cellular subspace.

**40.Mx** This follows from 40.Jx, 40.Lx, and 40.2.

**40.Nx**  $\Rightarrow$  Let  $K$  be a compact subset of a cellular space. Then  $K$  is closed because each cellular space is Hausdorff. Assertion 40.Jx implies that  $K$  meets only a finite number of open cells.

$\Leftarrow$  If  $K$  intersects finitely many open cells, then by 40.Lx  $K$  lies in a finite cellular subspace  $Y$ , which is compact by 40.Kx, and  $K$  is a closed subset of  $Y$ .

**40.Ox** Let  $X$  be a cellular space.  $\Rightarrow$  We argue by contradiction. Let  $X$  contain an uncountable set of  $n$ -cells  $e_\alpha^n$ . Put  $U_\alpha^n = e_\alpha^n$ . Each of the sets  $U_\alpha^n$  is open in the  $n$ -skeleton  $X_n$  of  $X$ . Now we construct an uncountable collection of disjoint open sets in  $X$ . Let  $a$  be the center of a certain  $(n+1)$ -cell,  $\varphi : S^n \rightarrow X_n$  the attaching map of the cell. We construct the cone over  $\varphi^{-1}(U_\alpha^n)$  with vertex at  $a$  and denote by  $U_\alpha^{n+1}$  the union of such cones over all  $(n+1)$ -cells of  $X$ . It is clear that  $\{U_\alpha^{n+1}\}$  is an uncountable collection of sets open in  $X_{n+1}$ . Then the sets  $U_\alpha = \bigcup_{k=n}^\infty U_\alpha^k$  constitute an uncountable collection of disjoint sets that are open in the entire  $X$ . Therefore,  $X$  is not second countable and, therefore, nonseparable.

$\Leftarrow$  If  $X$  has a countable set of cells, then, taking in each cell a countable everywhere dense set and uniting them, we obtain a countable set dense in the entire  $X$  (check this!). Thus,  $X$  is separable.

**40.Px** Indeed, any path-connected component  $Y$  of a cellular space together with each point  $x \in Y$  entirely contains each closed cell containing  $x$  and, in particular, it contains the closure of the open cell containing  $x$ .

**40.Rx** Cf. the argument used in the solution of Problem 40.Ox.

**40.Rx** This is so because a cellular space is locally path-connected, see 40.Qx.

**40.Sx** This follows from 40.Rx.

**40.Tx**  $\Rightarrow$  Obvious.  $\Leftarrow$  We show by induction that the number of cells in each dimension is countable. For this purpose, it is sufficient to prove

that each cell intersects finitely many closed cells. It is more convenient to prove a stronger assertion: any closed cell  $\bar{e}$  intersects finitely many closed cells. It is clear that any neighborhood meeting the closed cell also meets the cell itself. Consider the cover of  $\bar{e}$  by neighborhoods each of which intersects finitely many closed cells. It remains to use the fact that  $\bar{e}$  is compact.

**40.Ux** By Problem 40.Tx, the 1-skeleton of  $X$  is connected. The result of Problem 40.Tx implies that it is sufficient to prove that the 0-skeleton of  $X$  is countable. Fix a 0-cell  $x_0$ . Denote by  $A_1$  the union of all closed 1-cells containing  $x_0$ . Now we consider the set  $A_2$  – the union of all closed 1-cells meeting  $A_1$ . Since  $X$  is locally finite, each of the sets  $A_1$  and  $A_2$  contains a finite number of cells. Proceeding in a similar way, we obtain an increasing sequence of 1-dimensional cellular subspaces  $A_1 \subset A_2 \subset \dots \subset A_n \subset \dots$ , each of which is finite. Put  $A = \bigcup_{k=1}^{\infty} A_k$ . The set  $A$  contains countably many cells. The definition of the cellular topology implies that  $A$  is both open and closed in  $X_1$ . Since  $X_1$  is connected, we have  $A = X_1$ .

**40.Vx**  $\Leftrightarrow$  Assume the contrary: let the 1-skeleton  $X_1$  be disconnected. Then  $X_1$  is the union of two closed sets:  $X_1 = X'_1 \cup X''_1$ . Each 2-cell is attached to one of these sets, whence  $X_2 = X'_2 \cup X''_2$ . A similar argument shows that for each positive integer  $n$  the  $n$ -skeleton is a union of its closed subsets. Put  $X' = \bigcup_{n=0}^{\infty} X'_n$  and  $X'' = \bigcup_{n=0}^{\infty} X''_n$ . By the definition of the cellular topology,  $X'$  and  $X''$  are closed, consequently,  $X$  is disconnected.  $\Leftarrow$  This is obvious.

**41.A** This immediately follows from the obvious equality  $c_i(A \cup B) = c_i(A) + c_i(B) - c_i(A \cap B)$ .

**41.B** Here we use the following artificial trick. We introduce the polynomial  $\chi_A(t) = c_0(A) + c_1(A)t + \dots + c_i(A)t^i + \dots$ . This is the *Poincaré polynomial*, and its most important property for us here is that  $\chi(X) = \chi_X(-1)$ .

Since  $c_k(X \times Y) = \sum_{i=0}^k c_i(X)c_{k-i}(Y)$ , we have

$$\chi_{X \times Y}(t) = \chi_X(t) \cdot \chi_Y(t),$$

whence  $\chi(X \times Y) = \chi_{X \times Y}(-1) = \chi_X(-1) \cdot \chi_Y(-1) = \chi(X) \cdot \chi(Y)$ .

**41.C** Set  $X' = X \setminus (e \cup f)$ . It follows from the definition that the union of all open cells in  $X'$  coincides with the union of all closed cells in  $X'$ , consequently,  $X'$  is a cellular subspace of  $X$ .

**41.D** The deformation retraction of  $D^n$  to the lower closed hemisphere  $S_-^{n-1}$  determines a deformation retraction  $X \rightarrow X \setminus (e \cup f)$ .

**41.E** The assertion is obvious because each elementary combinatorial collapse decreases by one the number of cells in each of two neighboring dimensions.

**41.F** Let  $p : X \rightarrow X'$  be the factorization map. The space  $X'$  has the same open cells as  $X$  except  $e$  and  $f$ . The attaching map for each of them is the composition of the initial attaching map and  $p$ .

**41.G.1** Put  $Y = X_{n-1} \cup_{\varphi_e} D^n$ . Clearly,  $Y' \cong Y \setminus (e \cup f)$ , and so we identify these spaces. Then the projection  $p' : Y \rightarrow Y'$  is a homotopy equivalence by 41.D.

**41.G.2** Let  $\{e_\alpha\}$  be a collection of  $n$ -cells of  $X$  distinct from the cell  $e$ ,  $\varphi_\alpha$  – the corresponding attaching maps. Consider the map  $p' : Y \rightarrow Y'$ . Since

$$X_n = Y \cup_{(\bigsqcup_\alpha \varphi_\alpha)} \left( \bigsqcup_\alpha D_\alpha^n \right),$$

we have

$$X'_n = Y' \cup_{(\bigsqcup_\alpha p' \circ \varphi_\alpha)} \left( \bigsqcup_\alpha D_\alpha^n \right).$$

Since  $p'$  is a homotopy equivalence by 41.G.1, the result of 41.6x implies that  $p'$  extends to a homotopy equivalence  $p_n : X_n \rightarrow X'_n$ . Using induction on skeletons, we obtain the required assertion.

**41.Ax** We use induction on the dimension. Clearly, we should consider only those cells which do not lie in  $A$ . If there is a retraction

$$\rho_{n-1} : (X_{n-1} \cup A) \times I \rightarrow (X_{n-1} \times 0) \cup (A \times I),$$

and we construct a retraction

$$\tilde{\rho}_n : (X_n \cup A) \times I \rightarrow (X_n \times 0) \cup ((X_{n-1} \cup A) \times I),$$

then it is obvious how, using their “composition”, we can obtain a retraction

$$\rho_n : (X_n \cup A) \times I \rightarrow (X_n \times 0) \cup (A \times I).$$

We need the standard retraction  $\rho : D^n \times I \rightarrow (D^n \times 0) \cup (S^{n-1} \times I)$ . (It is most easy to define  $\rho$  geometrically. Place the cylinder in a standard way in  $\mathbb{R}^{n+1}$  and consider a point  $p$  lying over the center of the upper base. For  $z \in D^n \times I$ , let  $\rho(z)$  be the point of intersection of the ray starting at  $p$  and passing through  $z$  with the union of the base  $D^n \times 0$  and the lateral area  $S^{n-1} \times I$  of the cylinder.) The quotient map  $\rho$  is a map  $\bar{e} \times I \rightarrow (X_n \times 0) \cup (X_{n-1} \times I)$ . Extending it identically to  $X_{n-1} \times I$ , we obtain a map

$$\rho_e : (\bar{e} \times I) \cup (X_{n-1} \times I) \rightarrow (X_n \times 0) \cup (X_{n-1} \times I).$$

Since the closed cells constitute a fundamental cover of a cellular space, the retraction  $\tilde{\rho}_n$  is thus defined.

**41.Bx** The formulas  $\tilde{H}(x, 0) = F(x)$  for  $x \in X$  and  $\tilde{H}(x, t) = h(x, t)$  for  $(x, t) \in A \times I$  determine a map  $\tilde{H} : (X \times 0) \cup (A \times I) \rightarrow Y$ . By 41.Ax, there is a retraction  $\rho : X \times I \rightarrow (X \times 0) \cup (A \times I)$ . The composition  $H = \tilde{H} \circ \rho$  is the required homotopy.

**41.Cx** Denote by  $h : A \times I \rightarrow A$  a homotopy between the identity map of  $A$  and the constant map  $A \rightarrow A : a \mapsto x_0$ . Consider the homotopy  $\tilde{h} = i \circ h : A \times I \rightarrow X$ . By Theorem 41.Bx,  $\tilde{h}$  extends to a homotopy  $H : X \times I \rightarrow X$  of the identity map of the entire  $X$ . Consider the map  $f : X \rightarrow X$ ,  $f(x) = H(x, 1)$ . By the construction of the homotopy  $\tilde{h}$ , we have  $f(A) = \{x_0\}$ , consequently, the quotient map of  $f$  is a continuous map  $g : X/A \rightarrow X$ . We prove that  $\text{pr}$  and  $g$  are mutually inverse homotopy equivalences. To do this we must verify that  $g \circ \text{pr} \sim \text{id}_X$  and  $\text{pr} \circ g \sim \text{id}_{X/A}$ .

1) We observe that  $H(x, 1) = g(\text{pr}(x))$  by the definition of  $g$ . Since  $H(x, 0) = x$  for all  $x \in X$ , it follows that  $H$  is a homotopy between  $\text{id}_X$  and the composition  $g \circ \text{pr}$ .

2) If we factorize each fiber  $X \times t$  by  $A \times t$ , then, since  $H(x, t) \in A$  for all  $x \in A$  and  $t \in I$ , the homotopy  $H$  determines a homotopy  $\tilde{H} : X/A \rightarrow X/A$  between  $\text{id}_{X/A}$  and the composition  $p \circ g$ .

**41.Fx** Let  $X$  be the space. By 41.Ex, we can assume that  $X$  has one 0-cell, and therefore the 1-skeleton  $X_1$  is a bouquet of circles. Consider the characteristic map  $\psi : I \rightarrow X_1$  of a certain 1-cell. Instead of the loop  $\psi$ , it is more convenient to consider the circular loop  $S^1 \rightarrow X_1$ , which we denote by the same letter. Since  $X$  is simply connected, the loop  $\psi$  extends to a map  $f : D^2 \rightarrow X$ . Now consider the disk  $D^3$ . To simplify the notation, we assume that  $f$  is defined on the lower hemisphere  $S^2_- \subset D^3$ . Put  $Y = X \cup_f D^3 \simeq X$ . The space  $Y$  is cellular and is obtained by adding two cells to  $X$ : a 2- and a 3-cell. The new 2-cell  $e$ , i.e., the image of the upper hemisphere in  $D^3$ , is a contractible cellular space. Therefore, we have  $Y/e \simeq Y$ , and  $Y/e$  contains one 1-cell less than the initial space  $X$ . Proceeding in this way, we obtain a space with one-point 2-skeleton. Notice that our construction yielded a 3-dimensional cellular space. Actually, in our assumptions the space is homotopy equivalent to: a point, a 2-sphere, or a bouquet of 2-spheres, but the proof of this fact involves more sophisticated techniques (the homology).

**41.Gx** Let the map  $f : X \rightarrow A$  be homotopically inverse to the inclusion  $\text{in}_A$ . By assumption, the restriction of  $f$  to the subspace  $A$ , i.e., the composition  $f \circ \text{in}_A$ , is homotopic to the identity map  $\text{id}_A$ . By Theorem 41.Bx, this homotopy extends to a homotopy  $H : X \times I \rightarrow A$  of  $f$ . Put  $\rho(x) = H(x, 1)$ ; then  $\rho(x, 1) = x$  for all  $x \in A$ . Consequently,  $\rho$  is a retraction. It remains to observe that, since  $\rho$  is homotopic to  $f$ , it follows

that  $\text{in} \circ \rho$  is homotopic to the composition  $\text{in}_A \circ f$ , which is homotopic to  $\text{id}_X$  because  $f$  and  $\text{in}$  are homotopically inverse by assumption.

**42.A** Prove this by induction, using Lemma 42.A.1.

**42.A.1** Certainly, the fact that the projection is a homotopy equivalence is a special case of assertions 41.Dx and 41.G. However, here we present an independent argument, which is more visual in the 1-dimensional case. All homotopies will be fixed outside a neighborhood of the 1-cell  $e$  of the initial cellular space  $X$  and outside a neighborhood of the 0-cell  $x_0$ , which is the image of  $e$  in the quotient space  $Y = X/e$ . For this reason, we consider only the closures of such neighborhoods. Furthermore, to simplify the notation, we assume that the spaces under consideration coincide with these neighborhoods. In this case,  $X$  is the 1-cell  $e$  with the segments  $I_1, I_2, \dots, I_k$  (respectively,  $J_1, J_2, \dots, J_n$ ) attached to the left endpoint, (respectively, to the right endpoint). The space  $Y$  is simply a bouquet of all these segments with a common point  $x_0$ . The map  $f : X \rightarrow Y$  has the following structure: each of the segments  $I_i$  and  $J_j$  is mapped onto itself identically, and the cell  $e$  is mapped to  $x_0$ . The map  $g : Y \rightarrow X$  takes  $x_0$  to the midpoint of  $e$  and maps a half of each of the segments  $I_s$  and  $J_t$  to the left and to the right half of  $e$ , respectively. Finally, the remaining half of each of these segments is mapped (with double stretching) onto the entire segment. We prove that the described maps are homotopically inverse. Here it is important that the homotopies be fixed on the free endpoints of  $I_s$  and  $J_t$ . The composition  $f \circ g : Y \rightarrow Y$  has the following structure. The restriction of  $f \circ g$  to each of the segments in the bouquet is, strictly speaking, the product of the identical path and the constant path, which is known to be homotopic to the identical path. Furthermore, the homotopy is fixed both on the free endpoints of the segments and on  $x_0$ . The composition  $g \circ f$  maps the entire cell  $e$  to the midpoint of  $e$ , while the halves of each of the segments  $I_s$  and  $J_t$  adjacent to  $e$  are mapped a half of  $e$ , and their remaining parts are doubly stretched and mapped onto the entire corresponding segment. Certainly, the map under consideration is homotopic to the identity.

**42.B** By 42.A.1, each connected 1-dimensional finite cellular space  $X$  is homotopy equivalent to a space  $X'$ , where the number of 0- and 1-cells is one less than in  $X$ , whence  $\chi(X) = \chi(X')$ . Reasoning by induction, we obtain as a result a space with a single 0-cell and with Euler characteristic equal to  $\chi(X)$  (cf. 41.E). Let  $k$  be the number of 1-cells in this space. Then  $\chi(X) = 1 - k$ , whence  $k = 1 - \chi(X)$ . It remains to observe that  $k$  is precisely the rang of  $\pi_1(X)$ .

**42.C** This follows from 42.B because the fundamental group of a space is invariant with respect to homotopy equivalences.

**42.D** This follows from 42.C.

**42.E** By 42.B, if two finite connected 1-dimensional cellular spaces have isomorphic fundamental groups (or equal Euler characteristics), then each of them is homotopy equivalent to a bouquet consisting of one and the same number of circles, therefore, the spaces are homotopy equivalent. If the spaces are homotopy equivalent, then, certainly, their fundamental groups are isomorphic, and, by 42.C, their Euler characteristics are also equal.

**42.Ax** Let  $e$  be an open cell. If the image  $\varphi_e(S^0)$  of the attaching map of  $e$  is one-point, then  $X \setminus e$  is obviously connected. Assume that  $\varphi_e(S^0) = \{x_0, x_1\}$ . Prove that each connected component of  $X \setminus e$  contains at least one of the points  $x_0$  and  $x_1$ .

**42.Bx** 1) Let  $X$  be a connected 1-dimensional cellular space,  $x \in X$  a vertex. If a connected component of  $X \setminus x$  contains no edges whose closure contains  $x$ , then, since cellular spaces are locally connected, the component is both open and closed in the entire  $X$ , contrary to the connectedness of  $X$ . 2) This follows from the fact that a vertex of degree  $m$  lies in the closure of at most  $m$  distinct edges.

**43.A** See 42.B.

**43.B** This follows from 42.I (or 41.Cx) because of 35.L.

**43.C** It is sufficient to prove that each loop  $u : I \rightarrow X$  is homotopic to a loop  $v$  with  $v(I) \subset A$ . Let  $U \subset D^k$  be the open ball with radius  $\frac{2}{3}$ , and let  $V$  be the complement in  $X$  of a closed disk with radius  $\frac{1}{3}$ . By the Lebesgue Lemma 16.W, the segment  $I$  can be subdivided segments  $I_1, \dots, I_N$  the image of each of which is entirely contained in one of the sets  $U$  or  $V$ . Assume that  $u(I_l) \subset U$ . Since in  $D^k$  any two paths with the same starting and ending points are homotopic, it follows that the restriction  $u|_{I_l}$  is homotopic to a path that does not meet the center  $a \in D^k$ . Therefore, the loop  $u$  is homotopic to a loop  $u'$  whose image does not contain  $a$ . It remains to observe that the space  $A$  is a deformation retract of  $X \setminus a$ , therefore,  $u'$  is homotopic to a loop  $v$  with image lying in  $A$ .

**43.D** Let  $s$  be a loop at  $x_0$ . Since the set  $s(I)$  is compact,  $s(I)$  is contained in a finite cellular subspace  $Y$  of  $X$ . It remains to apply assertion 43.C and use induction on the number of cells in  $Y$ .

**43.E** This follows from 43.D and 43.B.

**43.F** If we take another collection of paths  $s'_\alpha$ , then the elements  $T_{s_\alpha}[\varphi_\alpha]$  and  $T_{s'_\alpha}[\varphi_\alpha]$  will be conjugate in  $\pi_1(X_1, x_0)$ , and since the subgroup  $N$  is normal,  $N$  contains the collection of elements  $\{T_{s_\alpha}[\varphi_\alpha]\}$  iff  $N$  contains the collection  $\{T_{s'_\alpha}[\varphi_\alpha]\}$ .

**43.G** We can assume that the 0-skeleton of  $X$  is the singleton  $\{x_0\}$ , so that the 1-skeleton  $X_1$  is a bouquet of circles. Consider a covering

$p_1 : Y_1 \rightarrow X_1$  with group  $N$ . Its existence follows from the more general Theorem 39.Dx on the existence of a covering with given group. In the case considered, the covering space is a 1-dimensional cellular space. Now the proof of the theorem consists of several steps, each of which is the proof of one of the following seven lemmas. It will also be convenient to assume that  $\varphi_\alpha(1) = x_0$ , so that  $T_{s_\alpha}[\varphi_\alpha] = [\varphi_\alpha]$ .

**43.G.1** Since, clearly,  $\text{in}_*([\varphi_\alpha]) = 1$  in  $\pi_1(X, x_0)$ , we have  $\text{in}_*([\varphi_\alpha]) = 1$  in  $\pi_1(X, x_0)$ , therefore, each of the elements  $[\varphi_\alpha] \in \text{Ker } i_*$ . Since the subgroup  $\text{Ker } i_*$  is normal, it contains  $N$ , which is the smallest subgroup generated by these elements.

**43.G.2** This follows from 39.Px.

**43.G.3** Let  $F = p_1^{-1}(x_0)$  be the fiber over  $x_0$ . The map  $p_2$  is a quotient map

$$Y_1 \sqcup \left( \bigsqcup_{\alpha} \bigsqcup_{y \in F_\alpha} D_{\alpha,y}^2 \right) \rightarrow X_1 \sqcup \left( \bigsqcup_{\alpha} D_{\alpha}^2 \right),$$

whose submap  $Y_1 \rightarrow X_1$  is  $p_1$ , and the maps  $\bigsqcup_{y \in F_\alpha} D_{\alpha,y}^2 \rightarrow D_{\alpha}^2$  are identities on each of the disks  $D_{\alpha}^2$ . It is clear that for each point  $x \in \text{Int } D_{\alpha}^2 \subset X_2$  the entire interior of the disk is a trivially covered neighborhood. Now assume that for point  $x \in X_1$  the set  $U_1$  is a trivially covered neighborhood of  $x$  with respect to the covering  $p_1$ . Put  $U = U_1 \cup (\bigcup_{\alpha'} \psi_{\alpha'}(B_{\alpha'}))$ , where  $B_{\alpha'}$  is the open cone with vertex at the center of  $D_{\alpha'}^2$  and base  $\varphi_{\alpha'}^{-1}(U)$ . The set  $U$  is a trivially covered neighborhood of  $x$  with respect to  $p_2$ .

**43.G.4** First, we prove this for  $n = 3$ . So, let  $p : X \rightarrow B$  be an arbitrary covering,  $\varphi : S^2 \rightarrow B$  an arbitrary map. Consider the subset  $A = S^1 \times 0 \cup 1 \times I \cup S^1 \times 1$  of the cylinder  $S^1 \times I$ , and let  $q : S^1 \times I \rightarrow S^1 \times I/A$  be the factorization map. We easily see that  $S^1 \times I/A \cong S^2$ . Therefore, we assume that  $q : S^1 \times I \rightarrow S^2$ . The composition  $h = \varphi \circ q : S^1 \times I \rightarrow B$  is a homotopy between one and the same constant loop in the base of the covering. By the Path Homotopy Lifting Theorem 34.C, the homotopy  $h$  is covered by the map  $\tilde{h}$ , which also is a homotopy between two constant paths, therefore, the quotient map of  $\tilde{h}$  is the map  $\tilde{\varphi} : S^2 \rightarrow X$  covering  $\varphi$ . For  $n > 3$ , use 39.Yx.

**43.G.5** The proof is similar to that of Lemma 3.

**43.G.6** Since the loop in  $\circ s : I \rightarrow X$  is null-homotopic, it is covered by a loop, the image of which automatically lies in  $Y_1$ .

**43.G.7** Let  $s$  be a loop in  $X_1$  such that  $[s] \in \text{Ker}(i_1)_*$ . Lemma 6 implies that  $s$  is covered by a loop  $\tilde{s} : I \rightarrow Y_1$ , whence  $[s] = (p_1)_*([\tilde{s}]) \in N$ . Therefore,  $\text{Ker in}_* \subset N$ , whence  $N = \text{Ker in}_*$  by Lemma 1.

**43.I** For example,  $\mathbb{R}P^2$  is obtained by attaching  $D^2$  to  $S^1$  via the map  $\varphi : S^1 \rightarrow S^1 : z \mapsto z^2$ . The class of the loop  $\varphi$  in  $\pi_1(S^1) = \mathbb{Z}$  is the doubled generator, whence  $\pi_1(\mathbb{R}P^2) \cong \mathbb{Z}_2$ , as it should have been expected. The torus  $S^1 \times S^1$  is obtained by attaching  $D^2$  to the bouquet  $S^1 \vee S^1$  via a map  $\varphi$  representing the commutator of the generators of  $\pi_1(S^1 \vee S^1)$ . Therefore, as it should have been expected, the fundamental group of the torus is  $\mathbb{Z}^2$ .

**43.K** See 40.12 (h).

**43.L** See 40.12 (i).

**43.M.1** Indeed, the single relation in the fundamental group of the sphere with  $g$  handles means that the product of  $g$  commutators of the generators  $a_i$  and  $b_i$  equals 1, and so it “vanishes” after the abelianization.

**43.N.1** Taking the elements  $a_1, \dots, a_{g-1}$ , and  $b_n = a_1 a_2 \dots a_g$  as generators in the commuted group, we obtain an Abelian group with a single relation  $b_n^2 = 1$ .

**43.O** This follows from 43.M.1.

**43.O** This follows from 43.N.1.

**43.Q** This follows from 43.M.1 and 43.N.1.

**43.Ax** We do not assume that you can prove this theorem on your own. The proof can be found, for example, in [Massey].

**43.Bx** Draw a commutative diagram comprising all inclusion homomorphisms induced by all inclusions occurring in this situation.

**43.Cx** Since, as we will see in Section 43°7x, the group presented as above, actually, up to canonical isomorphism does not depend on the choice of generators and relations in  $\pi_1(A, x_0)$  and  $\pi_1(B, x_0)$  and the choice of generators in  $\pi_1(C, x_0)$ , we can use the presentation which is most convenient for us. We derive the theorem from Theorems 43.D and 43.G. First of all, it is convenient to replace  $X$ ,  $A$ ,  $B$ , and  $C$  by homotopy equivalent spaces with one-point 0-skeletons. We do this with the help of the following construction. Let  $T_C$  be a spanning tree in the 1-skeleton of  $C$ . We complete  $T_C$  to a spanning tree  $T_A \supset T_C$  in  $A$ , and also complete  $T_C$  to a spanning tree  $T_B \supset T_C$ . The union  $T = T_A \cup T_B$  is a spanning tree in  $X$ . It remains to replace each of the spaces under consideration with its quotient space by a spanning tree. Thus, the 1-skeleton of each of the spaces  $X$ ,  $A$ ,  $B$ , and  $C$  either coincides with the 0-cell  $x_0$ , or is a bouquet of circles. Each of the circles of the bouquets determines a generator of the fundamental group of the corresponding space. The image of  $\gamma_i \in \pi_1(C, x_0)$  under the inclusion homomorphism is one of the generators, let it be  $\alpha_i$  ( $\beta_i$ ) in  $\pi_1(A, x_0)$

(respectively, in  $\pi_1(B, x_0)$ ). Thus,  $\xi_i = \alpha_i$  and  $\eta_i = \beta_i$ . The relations  $\xi_i = \eta_i$ , and, in this case,  $\alpha_i = \beta_i$ ,  $i = 1, \dots, t$  arise because each of the circles lying in  $C$  determines a generator of  $\pi_1(X, x_0)$ . All the remaining relations, as it follows from assertion 43.G, are determined by the attaching maps of the 2-cells of  $X$ , each of which lies in at least one of the sets  $A$  or  $B$ , and hence is a relation between the generators of the fundamental groups of these spaces.

**43.Dx** Let  $\mathcal{F}$  be a free group with generators  $\alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_q$ . By definition, the group  $X$  is the quotient group of  $F$  by the normal hull  $N$  of the elements

$$\{\rho_1, \dots, \rho_r, \sigma_1, \dots, \sigma_s, \xi(\gamma_1)\eta(\gamma_1)^{-1}, \dots, \xi(\gamma_t)\eta(\gamma_t)^{-1}\}.$$

Since the first diagram is commutative, it follows that the subgroup  $N$  lies in the kernel of the homomorphism  $F \rightarrow X' : \alpha_i \mapsto \varphi'(\alpha_i), \beta_i \mapsto \psi'(\alpha_i)$ , consequently, there is a homomorphism  $\zeta : X \rightarrow X'$ . Its uniqueness is obvious. Prove the last assertion of the theorem on your own.

**43.Ex** Construct a universal covering of  $X$ .



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*Part 3*

# Topological Manifolds

This part is devoted to study of the most important topological spaces, the spaces which provide a scene for most of geometric branches in mathematics such as Differential Geometry and Analytical Mechanics.

# Manifolds

## 44. Locally Euclidean Spaces

### 44°1. Definition of Locally Euclidean Space

Let  $n$  be a non-negative integer. A topological space  $X$  is called a *locally Euclidean space of dimension  $n$*  if each point of  $X$  has a neighborhood homeomorphic either to  $\mathbb{R}^n$  or  $\mathbb{R}_+^n$ . Recall that  $\mathbb{R}_+^n = \{x \in \mathbb{R}^n : x_1 \geq 0\}$ , it is defined for  $n \geq 1$ .

**44.A.** The notion of 0-dimensional locally Euclidean space coincides with the notion of discrete topological space.

**44.B.** Prove that the following spaces are locally Euclidean:

- (1)  $\mathbb{R}^n$ ,
- (2) any open subset of  $\mathbb{R}^n$ ,
- (3)  $S^n$ ,
- (4)  $\mathbb{R}P^n$ ,
- (5)  $\mathbb{C}P^n$ ,
- (6)  $\mathbb{R}_+^n$ ,
- (7) any open subset of  $\mathbb{R}_+^n$ ,
- (8)  $D^n$ ,
- (9) torus  $S^1 \times S^1$ ,
- (10) handle,
- (11) sphere with handles,

- (12) sphere with holes,
- (13) Klein bottle,
- (14) sphere with crosscaps.

**44.1.** Prove that an open subspace of a locally Euclidean space of dimension  $n$  is a locally Euclidean space of dimension  $n$ .

**44.2.** Prove that a bouquet of two circles is not locally Euclidean.

**44.C.** If  $X$  is a locally Euclidean space of dimension  $p$  and  $Y$  is a locally Euclidean space of dimension  $q$  then  $X \times Y$  is a locally Euclidean space of dimension  $p + q$ .

#### 44°2. Dimension

**44.D.** Can a topological space be simultaneously a locally Euclidean space of dimension both 0 and  $n > 0$ ?

**44.E.** Can a topological space be simultaneously a locally Euclidean space of dimension both 1 and  $n > 1$ ?

**44.3.** Prove that any nonempty open connected subset of a locally Euclidean space of dimension 1 can be made disconnected by removing two points.

**44.4.** Prove that any nonempty locally Euclidean space of dimension  $n > 1$  contains a nonempty open set, which cannot be made disconnected by removing any two points.

**44.F.** Can a topological space be simultaneously a locally Euclidean space of dimension both 2 and  $n > 2$ ?

**44.G.** Let  $U$  be an open subset of  $\mathbb{R}^2$  and a  $p \in U$ . Prove that  $\pi_1(U \setminus \{p\})$  admits an epimorphism onto  $\mathbb{Z}$ .

**44.H.** Deduce from **44.G** that a topological space cannot be simultaneously a locally Euclidean space of dimension both 2 and  $n > 2$ .

We see that dimension of locally Euclidean topological space is a topological invariant at least for the cases when it is not greater than 2. In fact, this holds true without that restriction. However, one needs some technique to prove this. One possibility is provided by dimension theory, see, e.g., W. Hurewicz and H. Wallman, *Dimension Theory* Princeton, NJ, 1941. Other possibility is to generalize the arguments used in **44.H** to higher dimensions. However, this demands a knowledge of high-dimensional homotopy groups.

**44.5.** Deduce that a topological space cannot be simultaneously a locally Euclidean space of dimension both  $n$  and  $p > n$  from the fact that  $\pi_{n-1}(S^{n-1}) = \mathbb{Z}$ . Cf. **44.H**

**44°3. Interior and Boundary**

A point  $a$  of a locally Euclidean space  $X$  is said to be an *interior* point of  $X$  if  $a$  has a neighborhood (in  $X$ ) homeomorphic to  $\mathbb{R}^n$ . A point  $a \in X$ , which is not interior, is called a *boundary* point of  $X$ .

**44.6.** Which points of  $\mathbb{R}_+^n$  have a neighborhood homeomorphic to  $\mathbb{R}_+^n$ ?

**44.I.** Formulate a definition of boundary point independent of a definition for interior point.

Let  $X$  be a locally Euclidean space of dimension  $n$ . The set of all interior points of  $X$  is called the *interior* of  $X$  and denoted by  $\text{int } X$ . The set of all boundary points of  $X$  is called the *boundary* of  $X$  and denoted by  $\partial X$ .

These terms (interior and boundary) are used also with different meaning. The notions of boundary and interior points of a set in a topological space and the interior part and boundary of a set in a topological space are introduced in general topology, see Section 6. They have almost nothing to do with the notions discussed here. In both senses the terminology is classical, which is impossible to change. This does not create usually a danger of confusion.

Notations are not as commonly accepted as words. We take an easy opportunity to select unambiguous notations: we denote the interior part of a set  $A$  in a topological space  $X$  by  $\text{Int}_X A$  or  $\text{Int } A$ , while the interior of a locally Euclidean space  $X$  is denoted by  $\text{int } X$ ; the boundary of a set in a topological space is denoted by symbol  $\text{Fr}$ , while the boundary of locally Euclidean space is denoted by symbol  $\partial$ .

**44.J.** For a locally Euclidean space  $X$  the interior  $\text{int } X$  is an open dense subset of  $X$ , the boundary  $\partial X$  is a closed nowhere dense subset of  $X$ .

**44.K.** The interior of a locally Euclidean space of dimension  $n$  is a locally Euclidean space of dimension  $n$  without boundary (i.e., with empty boundary; in symbols:  $\partial(\text{int } X) = \emptyset$ ).

**44.L.** The boundary of a locally Euclidean space of dimension  $n$  is a locally Euclidean space of dimension  $n - 1$  without boundary (i.e., with empty boundary; in symbols:  $\partial(\partial X) = \emptyset$ ).

**44.M.**  $\text{int } \mathbb{R}_+^n \supset \{x \in \mathbb{R}^n : x_1 > 0\}$  and

$$\partial \mathbb{R}_+^n \subset \{x \in \mathbb{R}^n : x_1 = 0\}.$$

**44.7.** For any  $x, y \in \{x \in \mathbb{R}^n : x_1 = 0\}$ , there exists a homeomorphism  $f : \mathbb{R}_+^n \rightarrow \mathbb{R}_+^n$  with  $f(x) = y$ .

**44.N.** Either  $\partial \mathbb{R}_+^n = \emptyset$  (and then  $\partial X = \emptyset$  for any locally Euclidean space  $X$  of dimension  $n$ ), or  $\partial \mathbb{R}_+^n = \{x \in \mathbb{R}^n : x_1 = 0\}$ .

In fact, the second alternative holds true. However, this is not easy to prove for any dimension.

**44.O.** Prove that  $\partial\mathbb{R}_+^1 = \{0\}$ .

**44.P.** Prove that  $\partial\mathbb{R}_+^2 = \{x \in \mathbb{R}^2 : x_1 = 0\}$ . (Cf. 44.G.)

**44.8.** Deduce that  $\partial\mathbb{R}_+^n = \{x \in \mathbb{R}^n : x_1 = 0\}$  from  $\pi_{n-1}(S^{n-1}) = \mathbb{Z}$ . (Cf. 44.P, 44.5)

**44.Q.** Deduce from  $\partial\mathbb{R}_+^n = \{x \in \mathbb{R}^n : x_1 = 0\}$  for all  $n \geq 1$  that

$$\text{int}(X \times Y) = \text{int } X \times \text{int } Y$$

and

$$\partial(X \times Y) = (\partial(X) \times Y) \cup (X \times \partial Y).$$

The last formula resembles Leibniz formula for derivative of a product.

**44.R. Riddle.** Can this be a matter of chance?

**44.S.** Prove that

$$(1) \partial(I \times I) = (\partial I \times I) \cup (I \times \partial I),$$

$$(2) \partial D^n = S^{n-1},$$

$$(3) \partial(S^1 \times I) = S^1 \times \partial I = S^1 \amalg S^1,$$

(4) the boundary of Möbius strip is homeomorphic to circle.

**44.T Corollary.** Möbius strip is not homeomorphic to cylinder  $S^1 \times I$ .

## 45. Manifolds

### 45°1. Definition of Manifold

A topological space is called a *manifold* of dimension  $n$  if it is

- locally Euclidean of dimension  $n$ ,
- second countable,
- Hausdorff.

**45.A.** Prove that the three conditions of the definition are independent (i.e., there exist spaces not satisfying any one of the three conditions and satisfying the other two.)

**45.A.1.** Prove that  $\mathbb{R} \cup_i \mathbb{R}$ , where  $i : \{x \in \mathbb{R} : x < 0\} \rightarrow \mathbb{R}$  is the inclusion, is a non-Hausdorff locally Euclidean space of dimension one.

**45.B.** Check whether the spaces listed in Problem 44.B are manifolds.

A compact manifold without boundary is said to be *closed*. As in the case of interior and boundary, this term coincides with one of the basic terms of general topology. Of course, the image of a closed manifold under embedding into a Hausdorff space is a closed subset of this Hausdorff space (as any compact subset of a Hausdorff space). However absence of boundary does not work here, and even non-compact manifolds may be closed subsets. They are closed in themselves, as any space. Here we meet again an ambiguity of classical terminology. In the context of manifolds the term closed relates rather to the idea of a closed surface.

### 45°2. Components of Manifold

**45.C.** A connected component of a manifold is a manifold.

**45.D.** A connected component of a manifold is path-connected.

**45.E.** A connected component of a manifold is open in the manifold.

**45.F.** A manifold is the sum of its connected components.

**45.G.** The set of connected components of any manifold is countable. If the manifold is compact, then the number of the components is finite.

**45.1.** Prove that a manifold is connected, iff its interior is connected.

**45.H.** The fundamental group of a manifold is countable.

### 45°3. Making New Manifolds out of Old Ones

**45.I.** Prove that an open subspace of a manifold of dimension  $n$  is a manifold of dimension  $n$ .

**45.J.** The interior of a manifold of dimension  $n$  is a manifold of dimension  $n$  without boundary.

**45.K.** The boundary of a manifold of dimension  $n$  is a manifold of dimension  $n - 1$  without boundary.

**45.2.** The boundary of a compact manifold of dimension  $n$  is a closed manifold of dimension  $n - 1$ .

**45.L.** If  $X$  is a manifold of dimension  $p$  and  $Y$  is a manifold of dimension  $q$  then  $X \times Y$  is a manifold of dimension  $p + q$ .

**45.M.** Prove that a covering space (in narrow sense) of a manifold is a manifold of the same dimension.

**45.N.** Prove that if the total space of a covering is a manifold then the base is a manifold of the same dimension.

**45.O.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ ,  $A$  and  $B$  components of  $\partial X$  and  $\partial Y$  respectively. Then for any homeomorphism  $h : B \rightarrow A$  the space  $X \cup_h Y$  is a manifold of dimension  $n$ .

**45.O.1.** Prove that the result of gluing of two copy of  $\mathbb{R}_+^n$  by the identity map of the boundary hyperplane is homeomorphic to  $\mathbb{R}^n$ .

**45.P.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ ,  $A$  and  $B$  closed subsets of  $\partial X$  and  $\partial Y$  respectively. If  $A$  and  $B$  are manifolds of dimension  $n - 1$  then for any homeomorphism  $h : B \rightarrow A$  the space  $X \cup_h Y$  is a manifold of dimension  $n$ .

### 45°4. Double

**45.Q.** Can a manifold be embedded into a manifold of the same dimension without boundary?

Let  $X$  be a manifold. Denote by  $DX$  the space  $X \cup_{\text{id}_{\partial X}} X$  obtained by gluing of two copies of  $X$  by the identity mapping  $\text{id}_{\partial X} : \partial X \rightarrow \partial X$  of the boundary.

**45.R.** Prove that  $DX$  is a manifold without boundary of the same dimension as  $X$ .

$DX$  is called the *double* of  $X$ .

**45.S.** Prove that a double of a manifold is compact, iff the original manifold is compact.

**45°5x. Collars and Bites**

Let  $X$  be a manifold. An embedding  $c : \partial X \times I \rightarrow X$  such that  $c(x, 0) = x$  for each  $x \in \partial X$  is called a *collar* of  $X$ . A collar can be thought of as a neighborhood of the boundary presented as a cylinder over boundary.

**45.Ax.** *Every manifold has a collar.*

Let  $U$  be an open set in the boundary of a manifold  $X$ . For a continuous function  $\varphi : \partial X \rightarrow \mathbb{R}_+$  with  $\varphi^{-1}(0, \infty) = U$  set

$$B_\varphi = \{(x, t) \in \partial X \times \mathbb{R}_+ : t \leq \varphi(x)\}.$$

A *bite* on  $X$  at  $U$  is an embedding  $b : B_\varphi \rightarrow X$  with some  $\varphi : \partial X \rightarrow \mathbb{R}_+$  such that  $b(x, 0) = x$  for each  $x \in \partial X$ .

This is a generalization of collar. Indeed, a collar is a bite at  $U = \partial X$  with  $\varphi = 1$ .

**45.Ax.1.** Prove that if  $U \subset \partial X$  is contained in an open subset of  $X$  homeomorphic to  $\mathbb{R}_+^n$ , then there exists a bite of  $X$  at  $U$ .

**45.Ax.2.** Prove that for any bite  $b : B \rightarrow X$  of a manifold  $X$  the closure of  $X \setminus b(B)$  is a manifold.

**45.Ax.3.** Let  $b_1 : B_1 \rightarrow X$  be a bite of  $X$  and  $b_2 : B_2 \rightarrow \text{Cl}(X \setminus b_1(B_1))$  be a bite of  $\text{Cl}(X \setminus b_1(B_1))$ . Construct a bite  $b : B \rightarrow X$  of  $X$  with  $b(B) = b_1(B_1) \cup b_2(B_2)$ .

**45.Ax.4.** Prove that if there exists a bite of  $X$  at  $\partial X$  then there exists a collar of  $X$ .

**45.Bx.** *For any two collars  $c_1, c_2 : \partial X \times I \rightarrow X$  there exists a homeomorphism  $h : X \rightarrow X$  with  $h(x) = x$  for  $x \in \partial X$  such that  $h \circ c_1 = c_2$ .*

This means that a collar is unique up to homeomorphism.

**45.Bx.1.** For any collar  $c : \partial X \times I \rightarrow X$  there exists a collar  $c' : \partial X \times I \rightarrow X$  such that  $c(x, t) = c'(x, t/2)$ .

**45.Bx.2.** For any collar  $c : \partial X \times I \rightarrow X$  there exists a homeomorphism

$$h : X \rightarrow X \cup_{x \mapsto (x,1)} \partial X \times I$$

with  $h(c(x, t)) = (x, t)$ .

## 46. Isotopy

### 46°1. Isotopy of Homeomorphisms

Let  $X$  and  $Y$  be topological spaces,  $h, h' : X \rightarrow Y$  homeomorphisms. A homotopy  $h_t : X \rightarrow Y$ ,  $t \in [0, 1]$  connecting  $h$  and  $h'$  (i.e., with  $h_0 = h$ ,  $h_1 = h'$ ) is called an *isotopy* between  $h$  and  $h'$  if  $h_t$  is a homeomorphism for each  $t \in [0, 1]$ . Homeomorphisms  $h, h'$  are said to be *isotopic* if there exists an isotopy between  $h$  and  $h'$ .

**46.A.** Being isotopic is an equivalence relation on the set of homeomorphisms  $X \rightarrow Y$ .

**46.B.** Find a topological space  $X$  such that homotopy between homeomorphisms  $X \rightarrow X$  does not imply isotopy.

This means that isotopy classification of homeomorphisms can be more refined than homotopy classification of them.

**46.1.** Classify homeomorphisms of circle  $S^1$  to itself up to isotopy.

**46.2.** Classify homeomorphisms of line  $\mathbb{R}^1$  to itself up to isotopy.

The set of isotopy classes of homeomorphisms  $X \rightarrow X$  (i.e. the quotient of the set of self-homeomorphisms of  $X$  by isotopy relation) is called the *mapping class group* or *homeotopy group* of  $X$ .

**46.C.** For any topological space  $X$ , the mapping class group of  $X$  is a group under the operation induced by composition of homeomorphisms.

**46.3.** Find the mapping class group of the union of the coordinate lines in the plane.

**46.4.** Find the mapping class group of the union of bouquet of two circles.

### 46°2. Isotopy of Embeddings and Sets

Homeomorphisms are topological embeddings of special kind. The notion of isotopy of homeomorphism is extended in an obvious way to the case of embeddings. Let  $X$  and  $Y$  be topological spaces,  $h, h' : X \rightarrow Y$  topological embeddings. A homotopy  $h_t : X \rightarrow Y$ ,  $t \in [0, 1]$  connecting  $h$  and  $h'$  (i.e., with  $h_0 = h$ ,  $h_1 = h'$ ) is called an (*embedding*) *isotopy* between  $h$  and  $h'$  if  $h_t$  is an embedding for each  $t \in [0, 1]$ . Embeddings  $h, h'$  are said to be *isotopic* if there exists an isotopy between  $h$  and  $h'$ .

**46.D.** Being isotopic is an equivalence relation on the set of embeddings  $X \rightarrow Y$ .

A family  $A_t$ ,  $t \in I$  of subsets of a topological space  $X$  is called an *isotopy of the set*  $A = A_0$ , if the graph  $\Gamma = \{(x, t) \in X \times I \mid x \in A_t\}$  of the family is fibrewise homeomorphic to the cylinder  $A \times I$ , i. e. there exists a homeomorphism  $A \times I \rightarrow \Gamma$  mapping  $A \times \{t\}$  to  $\Gamma \cap X \times \{t\}$  for any  $t \in I$ . Such a homeomorphism gives rise to an isotopy of embeddings  $\Phi_t : A \rightarrow X$ ,  $t \in I$  with  $\Phi_0 = \text{id}$ ,  $\Phi_t(A) = A_t$ . An isotopy of a subset is also called a *subset isotopy*. Subsets  $A$  and  $A'$  of the same topological space  $X$  are said to be *isotopic in  $X$* , if there exists a subset isotopy  $A_t$  of  $A$  with  $A' = A_1$ .

**46.E.** It is easy to see that this is an equivalence relation on the set of subsets of  $X$ .

As it follows immediately from the definitions, any embedding isotopy determines an isotopy of the image of the initial embedding and any subset isotopy is accompanied with an embedding isotopy. However the relation between the notions of subset isotopy and embedding isotopy is not too close because of the following two reasons:

- (1) an isotopy  $\Phi_t$  accompanying a subset isotopy  $A_t$  starts with the inclusion of  $A_0$  (while arbitrary isotopy may start with any embedding);
- (2) an isotopy accompanying a subset isotopy is determined by the subset isotopy only up to composition with an isotopy of the identity homeomorphism  $A \rightarrow A$  (an isotopy of a homeomorphism is a special case of embedding isotopies, since homeomorphisms can be considered as a sort of embeddings).

An isotopy of a subset  $A$  in  $X$  is said to be *ambient*, if it may be accompanied with an embedding isotopy  $\Phi_t : A \rightarrow X$  extendible to an isotopy  $\tilde{\Phi}_t : X \rightarrow X$  of the identity homeomorphism of the space  $X$ . The isotopy  $\tilde{\Phi}_t$  is said to be *ambient* for  $\Phi_t$ . This gives rise to obvious refinements of the equivalence relations for subsets and embeddings introduced above.

**46.F.** Find isotopic, but not ambiently isotopic sets in  $[0, 1]$ .

**46.G.** If sets  $A_1, A_2 \subset X$  are ambiently isotopic then the complements  $X \setminus A_1$  and  $X \setminus A_2$  are homeomorphic and hence homotopy equivalent.

**46.5.** Find isotopic, but not ambiently isotopic sets in  $\mathbb{R}$ .

**46.6.** Prove that any isotopic compact subsets of  $\mathbb{R}$  are ambiently isotopic.

**46.7.** Find isotopic, but not ambiently isotopic compact sets in  $\mathbb{R}^3$ .

**46.8.** Prove that any two embeddings  $S^1 \rightarrow \mathbb{R}^3$  are isotopic. Find embeddings  $S^1 \rightarrow \mathbb{R}^3$  that are not ambiently isotopic.

**46°3. Isotopies and Attaching**

**46.Ax.** Any isotopy  $h_t : \partial X \rightarrow \partial X$  extends to an isotopy  $H_t : X \rightarrow X$ .

**46.Bx.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ ,  $A$  and  $B$  components of  $\partial X$  and  $\partial Y$  respectively. Then for any isotopic homeomorphisms  $f, g : B \rightarrow A$  the manifolds  $X \cup_f Y$  and  $X \cup_g Y$  are homeomorphic.

**46.Cx.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ , let  $B$  be a compact subset of  $\partial Y$ . If  $B$  is a manifold of dimension  $n-1$  then for any embeddings  $f, g : B \rightarrow \partial X$  ambiently isotopic in  $\partial X$  the manifolds  $X \cup_f Y$  and  $X \cup_g Y$  are homeomorphic.

**46°4. Connected Sums**

**46.H.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ , and  $\varphi : \mathbb{R}^n \rightarrow X$ ,  $\psi : \mathbb{R}^n \rightarrow Y$  be embeddings. Then

$$X \setminus \varphi(\text{Int } D^n) \cup_{\psi(S^n) \rightarrow X \setminus \varphi(\text{Int } D^n); \psi(a) \mapsto \varphi(a)} Y \setminus \psi(\text{Int } D^n)$$

is a manifold of dimension  $n$ .

This manifold is called a *connected sum* of  $X$  and  $Y$ .

**46.I.** Show that the topological type of the connected sum of  $X$  and  $Y$  depends not only on the topological types of  $X$  and  $Y$ .

**46.J.** Let  $X$  and  $Y$  be manifolds of dimension  $n$ , and  $\varphi : \mathbb{R}^n \rightarrow X$ ,  $\psi : \mathbb{R}^n \rightarrow Y$  be embeddings. Let  $h : X \rightarrow X$  be a homeomorphism. Then the connected sums of  $X$  and  $Y$  defined via  $\psi$  and  $\varphi$ , on one hand, and via  $\psi$  and  $h \circ \varphi$ , on the other hand, are homeomorphic.

**46.9.** Find pairs of manifolds connected sums of which are homeomorphic to

- (1)  $S^1$ ,
- (2) Klein bottle,
- (3) sphere with three crosscaps.

**46.10.** Find a disconnected connected sum of connected manifolds. Describe, under what circumstances this can happen.

## Proofs and Comments

**44.A** Each point in a 0-dimensional locally Euclidean space has a neighborhood homeomorphic to  $\mathbb{R}^0$  and hence consisting of a single point. Therefore each point is open.



# Classifications in Low Dimensions

In different geometric subjects there are different ideas which dimensions are low and which high. In topology of manifolds low dimension means at most 4. However, in this chapter only dimensions up to 2 will be considered, and even most of two-dimensional topology will not be touched. Manifolds of dimension 4 are the most mysterious objects of the field. Dimensions higher than 4 are easier: there is enough room for most of the constructions that topology needs.

## 47. One-Dimensional Manifolds

### 47°1. Zero-Dimensional Manifolds

This section is devoted to topological classification of manifolds of dimension one. We could skip the case of 0-dimensional manifolds due to triviality of the problem.

*47.A. Two 0-dimensional manifolds are homeomorphic iff they have the same number of points.*

The case of 1-dimensional manifolds is also simple, but requires more detailed considerations. Surprisingly, many textbooks manage to ignore 1-dimensional manifolds absolutely.

**47°2. Reduction to Connected Manifolds**

**47.B.** Two manifolds are homeomorphic iff there exists a one-to-one correspondence between their components such that the corresponding components are homeomorphic.

Thus, for topological classification of  $n$ -dimensional manifolds it suffices to classify only *connected*  $n$ -dimensional manifolds.

**47°3. Examples**

**47.C.** What connected 1-manifolds do you know?

- (1) Do you know any *closed* connected 1-manifold?
- (2) Do you know a connected *compact* 1-manifold, which is not closed?
- (3) What *non-compact* connected 1-manifolds do you know?
- (4) Is there a *non-compact* connected 1-manifolds with boundary?

**47°4. How to Distinguish Them From Each Other?**

**47.D.** Fill the following table with pluses and minuses.

Manifold $X$	Is $X$ compact?	Is $\partial X$ empty?
$S^1$		
$\mathbb{R}^1$		
$I$		
$\mathbb{R}_+^1$		

**47°5. Statements of Main Theorems**

**47.E.** Any connected manifold of dimension 1 is homeomorphic to one of the following for manifolds:

- circle  $S^1$ ,
- line  $\mathbb{R}^1$ ,
- interval  $I$ ,
- half-line  $\mathbb{R}_+^1$ .

This theorem may be splitted into the following four theorems:

**47.F.** Any closed connected manifold of dimension 1 is homeomorphic to circle  $S^1$ .

**47.G.** Any non-compact connected manifold of dimension 1 without boundary is homeomorphic to line  $\mathbb{R}^1$ .

**47.H.** Any compact connected manifold of dimension 1 with nonempty boundary is homeomorphic to interval  $I$ .

**47.I.** Any non-compact connected manifold of dimension one with nonempty boundary is homeomorphic to half-line  $\mathbb{R}_+^1$ .

#### 47°6. Lemma on 1-Manifold Covered with Two Lines

**47.J Lemma.** Any connected manifold of dimension 1 covered with two open sets homeomorphic to  $\mathbb{R}^1$  is homeomorphic either to  $\mathbb{R}^1$ , or  $S^1$ .

Let  $X$  be a connected manifold of dimension 1 and  $U, V \subset X$  be its open subsets homeomorphic to  $\mathbb{R}$ . Denote by  $W$  the intersection  $U \cap V$ . Let  $\varphi : U \rightarrow \mathbb{R}$  and  $\psi : V \rightarrow \mathbb{R}$  be homeomorphisms.

**47.J.1.** Prove that each connected component of  $\varphi(W)$  is either an open interval, or an open ray, or the whole  $\mathbb{R}$ .

**47.J.2.** Prove that a homeomorphism between two open connected subsets of  $\mathbb{R}$  is a (strictly) monotone continuous function.

**47.J.3.** Prove that if a sequence  $x_n$  of points of  $W$  converges to a point  $a \in U \setminus W$  then it does not converge in  $V$ .

**47.J.4.** Prove that if there exists a bounded connected component  $C$  of  $\varphi(W)$  then  $C = \varphi(W)$ ,  $V = W$ ,  $X = U$  and hence  $X$  is homeomorphic to  $\mathbb{R}$ .

**47.J.5.** In the case of connected  $W$  and  $U \neq V$ , construct a homeomorphism  $X \rightarrow \mathbb{R}$  which takes:

- $W$  to  $(0, 1)$ ,
- $U$  to  $(0, +\infty)$ , and
- $V$  to  $(-\infty, 1)$ .

**47.J.6.** In the case of  $W$  consisting of two connected components, construct a homeomorphism  $X \rightarrow S^1$ , which takes:

- $W$  to  $\{z \in S^1 : -1/\sqrt{2} < \text{Im}(z) < 1/\sqrt{2}\}$ ,
- $U$  to  $\{z \in S^1 : -1/\sqrt{2} < \text{Im}(z)\}$ , and
- $V$  to  $\{z \in S^1 : \text{Im}(z) < 1/\sqrt{2}\}$ .

#### 47°7. Without Boundary

**47.F.1.** Deduce Theorem 47.F from Lemma 47.I.

**47.G.1.** Deduce from Lemma 47.I that for any connected non-compact one-dimensional manifold  $X$  without a boundary there exists an embedding  $X \rightarrow \mathbb{R}$  with open image.

**47.G.2.** Deduce Theorem 47.G from 47.G.1.

**47°8. With Boundary**

**47.H.1.** Prove that any compact connected manifold of dimension 1 can be embedded into  $S^1$ .

**47.H.2.** List all connected subsets of  $S^1$ .

**47.H.3.** Deduce Theorem 47.H from 47.H.2, and 47.H.1.

**47.I.1.** Prove that any non-compact connected manifold of dimension 1 can be embedded into  $\mathbb{R}^1$ .

**47.I.2.** Deduce Theorem 47.I from 47.I.1.

**47°9. Corollaries of Classification**

**47.K.** Prove that connected sum of closed 1-manifolds is defined up homeomorphism by topological types of summands.

**47.L.** Which 0-manifolds bound a compact 1-manifold?

**47°10. Orientations of 1-manifolds**

*Orientation* of a *connected non-closed* 1-manifold is a linear order on the set of its points such that the corresponding interval topology (see. 7.P.) coincides with the topology of this manifold.

*Orientation* of a *connected closed* 1-manifold is a cyclic order on the set of its points such that the topology of this cyclic order (see ??) coincides with the topology of the 1-manifold.

*Orientation* of an *arbitrary* 1-manifold is a collection of orientations of its connected components (each component is equipped with an orientation).

**47.M.** Any 1-manifold admits an orientation.

**47.N.** An orientation of 1-manifold induces an orientation (i.e., a linear ordering of points) on each subspace homeomorphic to  $\mathbb{R}$  or  $\mathbb{R}_+$ . Vice versa, an orientation of a 1-manifold is determined by a collection of orientations of its open subspaces homeomorphic to  $\mathbb{R}$  or  $\mathbb{R}_+$ , if the subspaces cover the manifold and the orientations agree with each other: the orientations of any two subspaces define the same orientation on each connected component of their intersection.

**47.O.** Let  $X$  be a cyclicly ordered set,  $a \in X$  and  $B \subset X \setminus \{a\}$ . Define in  $X \setminus \{a\}$  a linear order induced, as in ??, by the cyclic order on  $X \setminus \{a\}$ , and equip  $B$  with the linear order induced by this linear order on  $X \setminus \{a\}$ . Prove that if  $B$  admits a bijective monotone map onto  $\mathbb{R}$ , or  $[0; 1]$ , or  $(0; 1)$ , then this linear order on  $B$  does not depend on  $a$ .

The construction of 47.O allows one to define an orientation on any 1-manifold which is a subspace of an *oriented closed* 1-manifold. A 1-manifold,

which is a subspace of an *oriented non-closed* 1-manifold  $X$ , inherits from  $X$  an orientation as a linear order. Thus, any 1-manifold, which is a subspace of an *oriented* 1-manifold  $X$ , inherits from  $X$  an orientation. This orientation is said to be *induced* by the orientation of  $X$ .

A topological embedding  $X \rightarrow Y$  of an oriented 1-manifold to another one is said to *preserve* the orientation if it maps the orientation of  $X$  to the orientation induced on the image by the orientation of  $Y$ .

**47.P.** *Any two orientation preserving embeddings of an oriented connected 1-manifold  $X$  to an oriented connected 1-manifold  $Y$  are isotopic.*

**47.Q.** *If two embeddings of an oriented 1-manifold  $X$  to an oriented 1-manifold  $Y$  are isotopic and one of the embeddings preserves the orientation, then the other one also preserves the orientation*

**47.R.** [*Corollary*] *Orientation of a closed segment is determined by the ordering of its end points.*

An orientation of a segment is shown by an arrow directed from the initial end point to the final one.

**47.S.** *A connected 1-manifold admits two orientations. A 1-manifold consisting of  $n$  connected components admits  $2^n$  orientations.*

#### 47°11. Mapping Class Groups

**47.T.** Find the mapping class groups of

- (1)  $S^1$ ,
- (2)  $\mathbb{R}^1$ ,
- (3)  $\mathbb{R}_+^1$ ,
- (4)  $[0, 1]$ ,
- (5)  $S^1 \amalg S^1$ ,
- (6)  $\mathbb{R}_+^1 \amalg \mathbb{R}_+^1$ .

**47.1.** Find the mapping class group of an arbitrary 1-manifold with finite number of components.

## 48. Two-Dimensional Manifolds: General Picture

### 48°1. Examples

**48.A.** What connected 2-manifolds do you know?

- (1) List *closed* connected 2-manifold that you know.
- (2) Do you know a connected *compact* 2-manifold, which is not closed?
- (3) What *non-compact* connected 2-manifolds do you know?
- (4) Is there a *non-compact* connected 2-manifolds with non-empty boundary?

**48.1.** Construct non-homeomorphic non-compact connected manifolds of dimension two without boundary and with isomorphic infinitely generated fundamental group.

For notions relevant to this problem see what follows.

### 48°2x. Ends and Odds

Let  $X$  be a non-compact Hausdorff topological space, which is a union of an increasing sequence of its compact subspaces

$$C_1 \subset C_2 \subset \cdots \subset C_n \subset \cdots \subset X.$$

Each connected component  $U$  of  $X \setminus C_n$  is contained in some connected component of  $X \setminus C_{n-1}$ . A decreasing sequence  $U_1 \supset U_2 \supset \cdots \supset U_n \supset \cdots$  of connected components of

$$(X \setminus C_1) \supset (X \setminus C_2) \supset \cdots \supset (X \setminus C_n) \supset \cdots$$

respectively is called an *end of  $X$  with respect to  $C_1 \subset \cdots \subset C_n \subset \cdots$*

**48.Ax.** Let  $X$  and  $C_n$  be as above,  $D$  be a compact set in  $X$  and  $V$  a connected component of  $X \setminus D$ . Prove that there exists  $n$  such that  $D \subset C_n$ .

**48.Bx.** Let  $X$  and  $C_n$  be as above,  $D_n$  be an increasing sequence of compact sets of  $X$  with  $X = \bigcup_{n=1}^{\infty} D_n$ . Prove that for any end  $U_1 \supset \cdots \supset U_n \supset \cdots$  of  $X$  with respect to  $C_n$  there exists a unique end  $V_1 \supset \cdots \supset V_n \supset \cdots$  of  $X$  with respect to  $D_n$  such that for any  $p$  there exists  $q$  such that  $V_q \subset U_p$ .

**48.Cx.** Let  $X$ ,  $C_n$  and  $D_n$  be as above. Then the map of the set of ends of  $X$  with respect to  $C_n$  to the set of ends of  $X$  with respect to  $D_n$  defined by the statement of 48.Bx is a bijection.

Theorem 48.Cx allows one to speak about *ends* of  $X$  without specifying a system of compact sets

$$C_1 \subset C_2 \subset \cdots \subset C_n \subset \cdots \subset X$$

with  $X = \cup_{n=1}^{\infty} C_n$ . Indeed, 48.Bx and 48.Cx establish a canonical one-to-one correspondence between ends of  $X$  with respect to any two systems of this kind.

**48.Dx.** Prove that  $\mathbb{R}^1$  has two ends,  $\mathbb{R}^n$  with  $n > 1$  has only one end.

**48.Ex.** Find the number of ends for the universal covering space of the bouquet of two circles.

**48.Fx.** Does there exist a 2-manifold with a finite number of ends which cannot be embedded into a compact 2-manifold?

**48.Gx.** Prove that for any compact set  $K \subset S^2$  with connected complement  $S^2 \setminus K$  there is a natural map of the set of ends of  $S^2 \setminus K$  to the set of connected components of  $K$ .

Let  $W$  be an open set of  $X$ . The set of ends  $U_1 \supset \cdots \supset U_n \supset \cdots$  of  $X$  such that  $U_n \subset W$  for sufficiently large  $n$  is said to be *open*.

**48.Hx.** Prove that this defines a topological structure in the set of ends of  $X$ .

The set of ends of  $X$  equipped with this topological structure is called the *space of ends* of  $X$ . Denote this space by  $\mathcal{E}(X)$ .

**48.1.1.** Construct non-compact connected manifolds of dimension two without boundary and with isomorphic infinitely generated fundamental group, but with non-homeomorphic spaces of ends.

**48.1.2.** Construct non-compact connected manifolds of dimension two without boundary and with isomorphic infinitely generated fundamental group, but with different number of ends.

**48.1.3.** Construct non-compact connected manifolds of dimension two without boundary with isomorphic infinitely generated fundamental group and the same number of ends, but with different topology in the space of ends.

**48.1.4.** Let  $K$  be a completely disconnected closed set in  $S^2$ . Prove that the map  $\mathcal{E}(S^2 \setminus K) \rightarrow K$  defined in 48.Gx is continuous.

**48.1.5.** Construct a completely disconnected closed set  $K \subset S^2$  such that this map is a homeomorphism.

**48.Ix.** Prove that there exists an uncountable family of pairwise nonhomeomorphic connected 2-manifolds without boundary.

The examples of non-compact manifolds dimension 2 presented above show that there are too many non-compact connected 2-manifolds. This makes impossible any really useful topological classification of non-compact 2-manifolds. Theorems reducing the homeomorphism problem for 2-manifolds of this type to the homeomorphism problem for their spaces of ends do not seem to be useful: spaces of ends look not much simpler than the surfaces themselves.

However, there is a special class of non-compact 2-manifolds, which admits a simple and useful classification theorem. This is the class of *simply connected* non-compact 2-manifolds without boundary. We postpone its consideration to section 53°4x. Now we turn to the case, which is the simplest and most useful for applications.

### 48°3. Closed Surfaces

*48.B. Any connected closed manifold of dimension two is homeomorphic either to sphere  $S^2$ , or sphere with handles, or sphere with crosscaps.*

Recall that according to Theorem 43.O the basic surfaces represent pairwise distinct topological (and even homotopy) types. Therefore, 43.O and 48.B together give topological and homotopy classifications of closed 2-dimensional manifolds.

We do not recommend to have a try at proving Theorem 48.B immediately and, especially, in the form given above. All known proofs of 48.B can be decomposed into two main stages: firstly, a manifold under consideration is equipped with some additional structure (like triangulation or smooth structure); then using this structure a required homeomorphism is constructed. Although the first stage appears in the proof necessarily and is rather difficult, it is not useful outside the proof. Indeed, any closed 2-manifold, which we meet in a concrete mathematical context, is either equipped, or can be easily equipped with the additional structure. The methods of imposing the additional structure are much easier, than a general proof of existence for such a structure in an arbitrary 2-manifold.

Therefore, we suggest for the first case to restrict ourselves to the second stage of the proof of Theorem 48.B, prefacing it with general notions related to the most classical additional structure, which can be used for this purpose.

### 48°4. Compact Surfaces with Boundary

As in the case of one-dimensional manifolds, classification of compact two-dimensional manifolds with boundary can be easily reduced to the classification of closed manifolds. In the case of one-dimensional manifolds it

was very useful to double a manifold. In two-dimensional case there is a construction providing a closed manifold related to a compact manifold with boundary even closer than the double.

*48.C. Contracting to a point each connected component of the boundary of a two-dimensional compact manifold with boundary gives rise to a closed two-dimensional manifold.*

*48.2.* A space homeomorphic to the quotient space of *48.C* can be constructed by attaching copies of  $D^2$  one to each connected component of the boundary.

*48.D. Any connected compact manifold of dimension 2 with nonempty boundary is homeomorphic either to sphere with holes, or sphere with handles and holes, or sphere with crosscaps and holes.*

## 49. Triangulations

### 49°1. Triangulations of Surfaces

By an *Euclidean triangle* we mean the convex hull of three non-collinear points of Euclidean space. Of course, it is homeomorphic to disk  $D^2$ , but it is not solely the topological structure that is relevant now. The boundary of a triangle contains three distinguished points, its *vertices*, which divide the boundary into three pieces, its *edges*. A *topological triangle* in a topological space  $X$  is an embedding of an Euclidean triangle into  $X$ . A *vertex* (respectively, *edge*) of a topological triangle  $T \rightarrow X$  is the image of a vertex (respectively, edge) of  $T$  in  $X$ .

A set of topological triangles in a 2-manifold  $X$  is a *triangulation* of  $X$  provided the images of these triangles form a fundamental cover of  $X$  and any two of the images either are disjoint or intersect in a common side or in a common vertex.

**49.A.** Prove that in the case of compact  $X$  the former condition (about fundamental cover) means that the number of triangles is finite.

**49.B.** Prove that the condition about fundamental cover means that the cover is locally finite.

### 49°2. Triangulation as cellular decomposition

**49.C.** A triangulation of a 2-manifold turns it into a cellular space, 0-cells of which are the vertices of all triangles of the triangulation, 1-cells are the sides of the triangles, and 2-cells are the interiors of the triangles.

This result allows us to apply all the terms introduced above for cellular spaces. In particular, we can speak about skeletons, cellular subspaces and cells. However, in the latter two cases we rather use terms *triangulated subspace* and *simplex*. Triangulations and terminology related to them appeared long before cellular spaces. Therefore in this context the adjective *cellular* is replaced usually by adjectives *triangulated* or *simplicial*.

### 49°3. Two Properties of Triangulations of Surfaces

**49.D Unramified.** Let  $E$  be a side of a triangle involved into a triangulation of a 2-manifold  $X$ . Prove that there exist at most two triangles of this triangulation for which  $E$  is a side. Cf. 44.G, 44.H and 44.P.

**49.E Local strong connectedness.** Let  $V$  be a vertex of a triangle involved into a triangulation of a 2-manifold  $X$  and  $T, T'$  be two triangles of the triangulation adjacent to  $V$ . Prove that there exists a sequence

$T = T_1, T_2, \dots, T_n = T'$  of triangles of the triangulation such that  $V$  is a vertex of each of them and triangles  $T_i, T_{i+1}$  have common side for each  $i = 1, \dots, n - 1$ .

Triangulations  
present a surface  
combinatorially.

#### 49°4x. Scheme of Triangulation

Let  $X$  be a 2-manifold and  $\mathcal{T}$  a triangulation of  $X$ . Denote the set of vertices of  $\mathcal{T}$  by  $V$ . Denote by  $\Sigma_2$  the set of triples of vertices, which are vertices of a triangle of  $\mathcal{T}$ . Denote by  $\Sigma_1$  the set of pairs of vertices, which are vertices of a side of  $\mathcal{T}$ . Put  $\Sigma_0 = S$ . This is the set of vertices of  $\mathcal{T}$ . Put  $\Sigma = \Sigma_2 \cup \Sigma_1 \cup \Sigma_0$ . The pair  $(V, \Sigma)$  is called the (*combinatorial*) *scheme* of  $\mathcal{T}$ .

**49.Ax.** Prove that the combinatorial scheme  $(V, \Sigma)$  of a triangulation of a 2-manifold has the following properties:

- (1)  $\Sigma$  is a set consisting of subsets of  $V$ ,
- (2) each element of  $\Sigma$  consists of at most 3 elements of  $V$ ,
- (3) three-element elements of  $\Sigma$  cover  $V$ ,
- (4) any subset of an element of  $\Sigma$  belongs to  $\Sigma$ ,
- (5) intersection of any collection of elements of  $\Sigma$  belongs to  $\Sigma$ ,
- (6) for any two-element element of  $\Sigma$  there exist exactly two three-element elements of  $\Sigma$  containing it.

Recall that objects of this kind appeared above, in Section 23°3x. Let  $V$  be a set and  $\Sigma$  is a set of finite subsets of  $V$ . The pair  $(V, \Sigma)$  is called a *triangulation scheme* if

- any subset of an element of  $\Sigma$  belongs to  $\Sigma$ ,
- intersection of any collection of elements of  $\Sigma$  belongs to  $\Sigma$ ,
- any one element subset of  $V$  belongs to  $\Sigma$ .

For any simplicial scheme  $(V, \Sigma)$  in 23°3x a topological space  $S(V, \Sigma)$  was constructed. This is, in fact, a cellular space, see 40.Ax.

**49.Bx.** Prove that if  $(V, \Sigma)$  is the combinatorial scheme of a triangulation of a 2-manifold  $X$  then  $S(V, \Sigma)$  is homeomorphic to  $X$ .

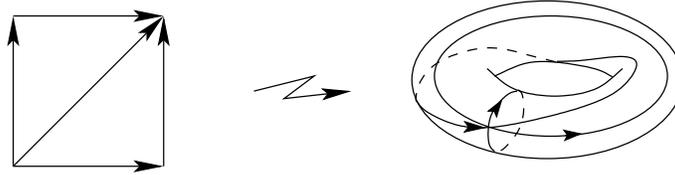
**49.Cx.** Let  $(V, \Sigma)$  be a triangulation scheme such that

- (1)  $V$  is countable,
- (2) each element of  $\Sigma$  consists of at most 3 elements of  $V$ ,
- (3) three-element elements of  $\Sigma$  cover  $V$ ,
- (4) for any two-element element of  $\Sigma$  there exist exactly two three-element elements of  $\Sigma$  containing it

Prove that  $(V, \Sigma)$  is a combinatorial scheme of a triangulation of a 2-manifold.

#### 49°5. Examples

**49.1.** Consider the cover of torus obtained in the obvious way from the cover of the square by its halves separated by a diagonal of the square.



Is it a triangulation of torus? Why not?

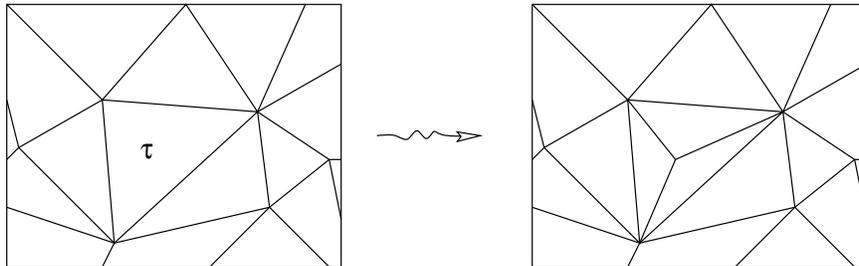
**49.2.** Prove that the simplest triangulation of  $S^2$  consists of 4 triangles.

**49.3\*.** Prove that a triangulation of torus  $S^1 \times S^1$  contains at least 14 triangles, and a triangulation of the projective plane contains at least 10 triangles.

#### 49°6. Subdivision of a Triangulation

A triangulation  $\mathcal{S}$  of a 2-manifold  $X$  is said to be a *subdivision* of a triangulation  $\mathcal{T}$ , if each triangle of  $\mathcal{S}$  is contained in some triangle<sup>1</sup> of  $\mathcal{T}$ . Then  $\mathcal{S}$  is also called a *refinement* of  $\mathcal{T}$ .

There are several standard ways to subdivide a triangulation. Here is one of the simplest of them. Choose a point inside a triangle  $\tau$ , call it a new vertex, connect it by disjoint arcs with vertices of  $\tau$  and call these arcs new edges. These arcs divide  $\tau$  to three new triangles. In the original triangulation replace  $\tau$  by these three new triangles. This operation is called a *star subdivision centered at  $\tau$* . See Figure 1.

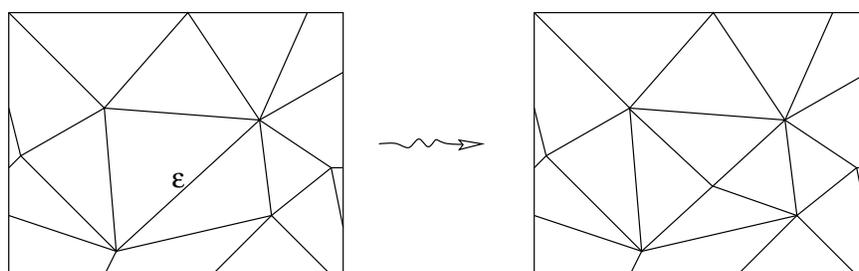


**Figure 1.** Star subdivision centered at triangle  $\tau$

<sup>1</sup>Although triangles which form a triangulation of  $X$  have been defined as topological embeddings, we hope that a reader guess that when one of such triangles is said to be contained in another one this means that the image of the embedding which is the former triangle is contained in the image of the other embedding which is the latter.

**49.F.** Give a formal description of a star subdivision centered at a triangle  $\tau$ . I.e., present it as a change of a triangulation thought of as a collection of topological triangles. What three embeddings of Euclidean triangles are to replace  $\tau$ ? Show that the replacement gives rise to a triangulation. Describe the corresponding operation on the combinatorial scheme.

Here is another subdivision defined locally. One adds a new vertex taken on an edge  $\varepsilon$  of a given triangulation. One connects the new vertex by two new edges to the vertices of the two triangles adjacent to  $\varepsilon$ . The new edges divide these triangles, each to two new triangles. The rest of triangles of the original triangulation are not affected. This operation is called a *star subdivision centered at  $\varepsilon$* . See Figure 2.



**Figure 2.** Star subdivision centered at edge  $\varepsilon$ .

**49.G.** Give a formal description of a star subdivision centered at edge  $\varepsilon$ . What four embeddings of Euclidean triangles are to replace the topological triangles with edge  $\varepsilon$ ? Show that the replacement gives rise to a triangulation. Describe the corresponding operation on the combinatorial scheme.

**49.4.** Find a triangulation and its subdivision, which cannot be presented as a composition of star subdivisions at edges or triangles.

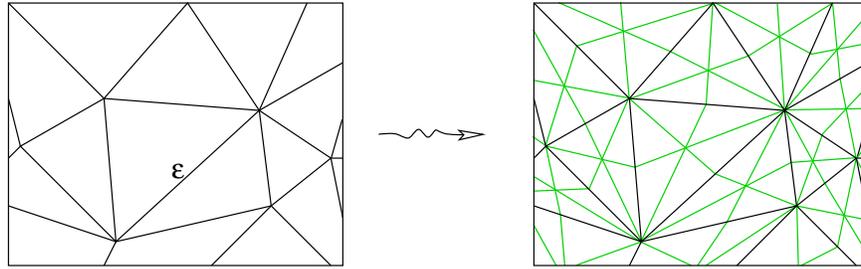
**49.5\*.** Prove that any subdivision of a triangulation of a compact surface can be presented as a composition of a finite sequences of star subdivisions centered at edges or triangles and operations inverse to such subdivisions.

By a *baricentric subdivision* of a triangle we call a composition of a star subdivision centered at this triangle followed by star subdivisions at each of its edges. See Figure 3.



**Figure 3.** Baricentric subdivision of a triangle.

*Barcentric subdivision* of a triangulation of 2-manifold is a subdivision which is a simultaneous barcentric subdivision of all triangles of this triangulation. See Figure 4.



**Figure 4.** Barcentric subdivision of a triangulation.

**49.H.** Establish a natural one-to-one correspondence between vertices of a barcentric subdivision and simplices (i.e., vertices, edges and triangles) of the original triangulation.

**49.I.** Establish a natural one-to-one correspondence between triangles of a barcentric subdivision and triples each of which is formed of a triangle of the original triangulation, an edge of this triangle and a vertex of this edge.

The expression *barcentric subdivision* has appeared in a different context, see Section 20. Let us relate the two notions sharing this name .

**49.Dx** *Barcentric subdivision of a triangulation and its scheme.* Prove that the combinatorial scheme of the barcentric subdivision of a triangulation of a 2-manifold coincides with the barcentric subdivision of the scheme of the original triangulation (see 23° 4x).

#### **49°7. Homotopy Type of Compact Surface with Non-Empty Boundary**

**49.J.** Any compact connected triangulated 2-manifold with non-empty boundary collapses to a one-dimensional simplicial subspace.

**49.K.** Any compact connected triangulated 2-manifold with non-empty boundary is homotopy equivalent to a bouquet of circles.

**49.L.** The Euler characteristic of a triangulated compact connected 2-manifold with non-empty boundary does not depend on triangulation. It is equal to  $1 - r$ , where  $r$  is the rank of the one-dimensional homology group of the 2-manifold.

**49.M.** The Euler characteristic of a triangulated compact connected 2-manifold with non-empty boundary is not greater than 1.

**49.N.** The Euler characteristic of a triangulated closed connected 2-manifold with non-empty boundary is not greater than 2.

### 49°8. Triangulations in dimension one

By an *Euclidean segment* we mean the convex hull of two different points of a Euclidean space. It is homeomorphic to  $I$ . A *topological segment* or *topological edge* in a topological space  $X$  is a topological embedding of an Euclidean segment into  $X$ . A set of topological segments in a 1-manifold  $X$  is a *triangulation* of  $X$  if the images of these topological segments constitute a fundamental cover of  $X$  and any two of the images either are disjoint or intersect in one common end point.

Triangulations of 1-manifolds are similar to triangulations of 2-manifolds considered above.

**49.O.** Find counter-parts for theorems above. Which of them have no counter-parts? What is a counter-part for the property 49.D? What are counter-parts for star and barycentric subdivisions?

**49.P.** Find homotopy classification of triangulated compact 1-manifolds using arguments similar to the ones from Section 49°7. Compare with the topological classification of 1-manifolds obtained in Section 47.

**49.Q.** What values take the Euler characteristic on compact 1-manifolds?

**49.R.** What is relation of the Euler characteristic of a compact triangulated 1-manifold  $X$  and the number of  $\partial X$ ?

**49.S.** *Triangulation of a 2-manifold  $X$  gives rise to a triangulation of its boundary  $\partial X$ . Namely, the edges of the triangulation of  $\partial X$  are the sides of triangles of the original triangulation which lie in  $\partial X$ .*

### 49°9. Triangulations in higher dimensions

**49.T.** Generalize everything presented above in this section to the case of manifolds of higher dimensions.

## 50. Handle Decomposition

### 50°1. Handles and Their Anatomy

Together with triangulations, it is useful to consider representations of a manifold as a union of balls of the same dimension, but adjacent to each other as if they were thickening of cells of a cellular space

A space  $D^p \times D^{n-p}$  is called a (*standard*) *handle of dimension  $n$  and index  $p$* . Its subset  $D^p \times \{0\} \subset D^p \times D^{n-p}$  is called the *core* of handle  $D^p \times D^{n-p}$ , and a subset  $\{0\} \times D^{n-p} \subset D^p \times D^{n-p}$  is called its *cocore*. The boundary  $\partial(D^p \times D^{n-p}) =$  of the handle  $D^p \times D^{n-p}$  can be presented as union of its *base*  $D^p \times S^{n-p-1}$  and *cobase*  $S^{p-1} \times D^{n-p}$ .

**50.A.** Draw all standard handles of dimensions  $\leq 3$ .

A topological embedding  $h$  of the standard handle  $D^p \times D^{n-p}$  of dimension  $n$  and index  $p$  into a manifold of the same dimension  $n$  is called a *handle of dimension  $n$  and index  $p$* . The image under  $h$  of  $\text{Int } D^p \times \text{Int } D^{n-p}$  is called the *interior* of  $h$ , the image of the core  $h(D^p \times \{0\})$  of the standard handle is called the *core* of  $h$ , the image  $h(\{0\} \times D^{n-p})$  of cocore, the *cocore*, etc.

### 50°2. Handle Decomposition of Manifold

Let  $X$  be a manifold of dimension  $n$ . A collection of  $n$ -dimensional handles in  $X$  is called a *handle decomposition of  $X$* , if

- (1) the images of these handles constitute a locally finite cover of  $X$ ,
- (2) the interiors of these handles are pairwise disjoint,
- (3) the base of each of the handles is contained in the union of cobases of the handles of smaller indices.

Let  $X$  be a manifold of dimension  $n$  with boundary. A collection of  $n$ -dimensional handles in  $X$  is called a *handle decomposition of  $X$  modulo boundary*, if

- (1) the images of these handles constitute a locally finite cover of  $X$ ,
- (2) the interiors of these handles are pairwise disjoint,
- (3) the base of each of the handles is contained in the union of  $\partial X$  and cobases of the handles of smaller indices.

A composition of a handle  $h : D^p \times D^{n-p} \rightarrow X$  with the homeomorphism of transposition of the factors  $D^p \times D^{n-p} \rightarrow D^{n-p} \times D^p$  turns the handle  $h$  of index  $p$  into a handle of the same dimension  $n$ , but of the complementary index  $n - p$ . The core of the handle turns into the cocore, while the base, to cobase.

**50.B.** Composing each handle with the homeomorphism transposing the factors turns a handle decomposition of manifold into a handle decomposition modulo boundary of the same manifold. Vice versa, a handle decomposition modulo boundary turns into a handle decomposition of the same manifold.

Handle decompositions obtained from each other in this way are said to be *dual* to each other.

**50.C. Riddle.** For  $n$ -dimensional manifold with boundary split into two  $(n-1)$ -dimensional manifolds with disjoint closures, define handle decomposition modulo one of these manifolds so that the dual handle decomposition would be modulo the complementary part of the boundary.

**50.1.** Find handle decompositions with a minimal number of handles for the following manifolds:

- |                              |  |  |
|------------------------------|--|--|
| (a) circle $S^1$ ;           | (b) sphere $S^n$ ;                     | (c) ball $D^n$                         |
| (d) torus $S^1 \times S^1$ ; | (e) handle;                            | (f) cylinder $S^1 \times I$ ;          |
| (g) Möbius band;             | (h) projective plane $\mathbb{R}P^2$ ; | (i) projective space $\mathbb{R}P^n$ ; |
| (j) sphere with $p$ handles; | (k) sphere with $p$ cross-caps;        | (l) sphere with $n$ holes.             |

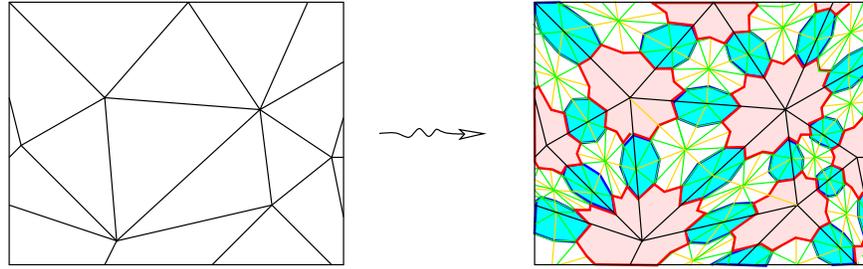
### 50°3. Handle Decomposition and Triangulation

Let  $X$  be a 2-manifold,  $\tau$  its triangulation,  $\tau'$  its barycentric subdivision, and  $\tau''$  the barycentric subdivision of  $\tau'$ . For each simplex  $S$  of  $\tau$  denote by  $H_S$  the union of all simplices of  $\tau''$  which contain the unique vertex of  $\tau'$  that lies in  $\int S$ . Thus, if  $S$  is a vertex then  $H_S$  is the union of all triangles of  $\tau''$  containing this vertex, if  $S$  is an edge then  $H_S$  is the union all of the triangles of  $\tau''$  which intersect with  $S$  but do not contain any of its vertices, and, finally, if  $S$  is a triangle of  $\tau$  then  $H_S$  is the union of all triangles of  $\tau''$  which lie in  $S$  but do not intersect its boundary.

**50.D Handle Decomposition out of a Triangulation.** Sets  $H_S$  constitute a handle decomposition of  $X$ . The index of  $H_S$  equals the dimension of  $S$ .

**50.E.** Can every handle decomposition of a 2-manifold be constructed from a triangulation as indicated in 50.D?

**50.F.** How to triangulate a 2-manifold which is equipped with a handle decomposition?



**Figure 5.** Construction of a handle decomposition from a triangulation.

#### 50°4. Regular Neighborhoods

Let  $X$  be a 2-manifold,  $\tau$  its triangulation, and  $A$  be a simplicial subspace of  $X$ . The union of all those simplices of the double barycentric subdivision  $\tau''$  of  $\tau$  which intersect  $A$  is called the *regular* or *second barycentric neighborhood* of  $A$  (with respect to  $\tau$ ).

Of course, usually regular neighborhood is not open in  $X$ , since it is the union of simplices, which are closed. So, it is a neighborhood of  $A$  only in wide sense (its interior contains  $A$ ).

**50.G.** A regular neighborhood of  $A$  in  $X$  is a 2-manifold. It coincides with the union of handles corresponding to the simplices contained in  $A$ . These handles constitute a handle decomposition of the regular neighborhood.

**50.H Collaps Induces Homomorphism.** Let  $X$  be a triangulated 2-manifold and  $A \subset X$  be its triangulated subspace. If  $X \searrow A$  then  $X$  is homeomorphic to a regular neighborhood of  $A$ .

**50.I.** Any triangulated compact connected 2-manifold with non-empty boundary is homeomorphic to a regular neighborhood of some of its 1-dimensional triangulated subspaces.

**50.J.** In a triangulated 2-manifold, any triangulated subspace which is a tree has regular neighborhood homeomorphic to disk.

**50.K.** In a triangulated 2-manifold, any triangulated subspace homeomorphic to circle has regular neighborhood homeomorphic either to the Möbius band or cylinder  $S^1 \times I$ .

In the former case the circle is said to be *one-sided*, in the latter, *two-sided*.

#### 50°5. Cutting 2-Manifold Along a Curve

**50.L Cut Along a Curve.** Let  $F$  be a triangulated surface and  $C \subset F$  be a compact one-dimensional manifold contained in the 1-skeleton of  $F$  and

satisfying condition  $\partial C = \partial F \cap C$ . Prove that there exists a 2-manifold  $T$  and surjective map  $p : T \rightarrow F$  such that:

- (1)  $p| : T \setminus p^{-1}(C) \rightarrow F \setminus C$  is a homeomorphism,
- (2)  $p| : p^{-1}(C) \rightarrow C$  is a two-fold covering.

**50.M Uniqueness of Cut.** The 2-manifold  $T$  and map  $p$  which exist according to Theorem 50.L, are unique up to homeomorphism: if  $\tilde{T}$  and  $\tilde{p}$  are other 2-manifold and map satisfying the same hypothesis then there exists a homeomorphism  $h : \tilde{T} \rightarrow T$  such that  $p \circ h = \tilde{p}$ .

The 2-manifold  $T$  described in 50.L is called the result of *cutting of  $F$  along  $C$* . It is denoted by  $F \underset{\times}{\searrow} C$ . This is not at all the complement  $F \setminus C$ , although a copy of  $F \setminus C$  is contained in  $F \underset{\times}{\searrow} C$  as a dense subset homotopy equivalent to the whole  $F \underset{\times}{\searrow} C$ .

**50.N Triangulation of Cut Result.**  $F \underset{\times}{\searrow} C$  possesses a unique triangulation such that the natural map  $F \underset{\times}{\searrow} C \rightarrow F$  maps homeomorphically edges and triangles of this triangulation onto edges and, respectively, triangles of the original triangulation of  $F$ .

**50.O.** Let  $X$  be a triangulated 2-manifold,  $C$  be its triangulated subspace homeomorphic to circle, and let  $F$  be a regular neighborhood of  $C$  in  $X$ . Prove

- (1)  $F \underset{\times}{\searrow} C$  consists of two connected components, if  $C$  is two-sided on  $X$ , it is connected if  $C$  is one-sided;
- (2) the inverse image of  $C$  under the natural map  $X \underset{\times}{\searrow} C \rightarrow X$  consists of two connected components if  $C$  is two-sided on  $X$ , it is connected if  $C$  is one-sided on  $X$ .

This proposition discloses the meaning of words *one-sided* and *two-sided* circle on a 2-manifold. Indeed, both connected components of the result of cutting of a regular neighborhood, and connected components of the inverse image of the circle can claim its right to be called a *side* of the circle or a *side of the cut*.

**50.2.** Describe the topological type of  $F \underset{\times}{\searrow} C$  for the following  $F$  and  $C$ :

- (1)  $F$  is sphere  $S^2$ , and  $C$  is its equator;
- (2)  $F$  is a Möbius strip, and  $C$  is its middle circle (deformation retract);
- (3)  $F = S^1 \times S^1$ ,  $C = S^1 \times 1$ ;
- (4)  $F$  is torus  $S^1 \times S^1$  standardly embedded into  $\mathbb{R}^3$ , and  $C$  is the trefoil knot lying on  $F$ , that is  $\{(z, w) \in S^1 \times S^1 \mid z^2 = w^3\}$ ;
- (5)  $F$  is a Möbius strip,  $C$  is a segment: find two topologically different position of  $C$  on  $F$  and describe  $F \underset{\times}{\searrow} C$  for each of them;
- (6)  $F = \mathbb{R}P^2$ ,  $C = \mathbb{R}P^1$ .

- (7)  $F = \mathbb{R}P^2$ ,  $C$  is homeomorphic to circle: find two topologically different position  $C$  on  $F$  and describe  $F \underset{\times}{\searrow} C$  for each of them.

**50.P Euler Characteristic and Cut.** Let  $F$  be a triangulated compact 2-manifold and  $C \subset \int F$  be a closed one-dimensional contained in the 1-skeleton of the triangulation of  $F$ . Then  $\chi(F \underset{\times}{\searrow} C) = \chi F$ .

**50.Q.** Find the Euler characteristic of  $F \underset{\times}{\searrow} C$ , if  $\partial C \neq \emptyset$ .

**50.R Generalized Cut (Incise).** Let  $F$  be a triangulated 2-manifold and  $C \subset F$  be a compact 1-dimensional manifold contained in 1-skeleton of  $F$  and satisfying condition  $\partial F \cap C \subset \partial C$ . Let  $D = C \setminus (\partial C \setminus \partial F)$ . Prove that there exist a 2-manifold  $T$  and surjective continuous map  $p : T \rightarrow F$  such that:

- (1)  $p| : T \setminus p^{-1}(D) \rightarrow F \setminus D$  is a homeomorphism,
- (2)  $p| : p^{-1}(D) \rightarrow D$  is a two-fold covering.

**50.S Uniqueness of Cut.** The 2-manifold  $T$  and map  $p$ , which exist according to Theorem 50.R, are unique up to homeomorphism: if  $\tilde{T}$  and  $\tilde{p}$  are other 2-manifold and map satisfying the same hypothesis then there exists a homeomorphism  $h : \tilde{T} \rightarrow T$  such that  $p \circ h = \tilde{p}$ .

The 2-Manifold  $T$  described in 50.R is also called the result of *cutting of  $F$  along  $C$*  and denoted by  $F \underset{\times}{\searrow} C$ .

**50.3.** Show that if  $C$  is a segment contained in the interior of a 2-manifold  $F$  then  $F \underset{\times}{\searrow} C$  is homeomorphic to  $F \setminus \text{Int } B$ , where  $B$  is the subset of  $\int F$  homeomorphic to disk.

**50.4.** Show that if  $C$  is a segment such that one of its end points is in  $\int F$  and the other one is on  $\partial F$  then  $F \underset{\times}{\searrow} C$  is homeomorphic to  $F$ .

## 50°6. Orientations

Recall that an *orientation of a segment* is a linear order of the set of its points. It is determined by its restriction to the set of its end points, see 47.R. To describe an orientation of a segment it suffices to say which of its end points is initial and which is final.

Similarly, orientation of a triangle can be described in a number of ways, each of which can be chosen as the definition. By an *orientation of a triangle* one means a collection of orientations of its edges such that each vertex of the triangle is the final point for one of the edges adjacent to it and initial point for the other edge. Thus, an orientation of a triangle defines an orientation on each of its sides.

A segment admits two orientations. A triangle also admits two orientations: one is obtained from another one by change of the orientation on

each side of the triangle. Therefore an orientation of any side of a triangle defines an orientation of the triangle.

Vertices of an oriented triangle are cyclicly ordered: a vertex  $A$  follows immediately the vertex  $B$  which is the initial vertex of the edge which finishes at  $A$ . Similarly the edges of an oriented triangle are cyclicly ordered: a side  $a$  follows immediately the side  $b$  which final end point is the initial point of  $a$ .

Vice versa, each of these cyclic orders defines an orientation of the triangle.

An *orientation of a triangulation* of a 2-manifold is a collection of orientations of all triangles constituting the triangulation such that for each edge the orientations defined on it by the orientations of the two adjacent triangles are opposite to each other. A triangulation is said to be *orientable*, if it admits an orientation.

**50.T Number of Orientations.** *A triangulation of a connected 2-manifold is either non-orientable or admits exactly two orientations. These two orientations are opposite to each other. Each of them can be recovered from the orientation of any triangle involved in the triangulation.*

**50.U Lifting of Triangulation.** Let  $B$  be a triangulated surface and  $p : X \rightarrow B$  be a covering. Can you equip  $X$  with a triangulation?

**50.V Lifting of Orientation.** Let  $B$  be an oriented triangulated surface and  $p : X \rightarrow B$  be a covering. Equip  $X$  with a triangulation such that  $p$  maps each simplex of this triangulation homeomorphically onto a simplex of the original triangulation of  $B$ . Is this triangulation orientable?

**50.W.** Let  $X$  be a triangulated surface,  $C \subset X$  be a 1-dimensional manifold contained in 1-skeleton of  $X$ . If the triangulation of  $X$  is orientable, then  $C$  is two-sided.

## 51. Topological Classification of Compact Triangulated 2-Manifolds

### 51°1. Spines and Their Regular Neighborhoods

Let  $X$  be a triangulated compact connected 2-manifold with non-empty boundary. A simplicial subspace  $S$  of the 1-skeleton of  $X$  is a *spine* of  $X$  if  $X$  collapses to  $S$ .

**51.A.** *Let  $X$  be a triangulated compact connected 2-manifold with non-empty boundary. Then a regular neighborhood of its spine is homeomorphic to  $X$ .*

**51.B Corollary.** *A triangulated compact connected 2-manifold with non-empty boundary admits a handle decomposition without handles of index 2.*

A *spine* of a closed connected 2-manifold is a spine of this manifold with an interior of a triangle from the triangulation removed.

**51.C.** *A triangulated closed connected 2-manifold admits a handle decomposition with exactly one handle of index 2.*

**51.D.** *A spine of a triangulated closed connected 2-manifold is connected.*

**51.E Corollary.** *The Euler characteristic of a closed connected triangulated 2-manifold is not greater than 2. If it is equal to 2, then the 2-manifold is homeomorphic to  $S^2$ .*

**51.F Corollary: Extremal Case.** *Let  $X$  be a closed connected triangulated 2-manifold  $X$ . If  $\chi(X) = 2$ , then  $X$  is homeomorphic to  $S^2$ .*

### 51°2. Simply connected compact 2-manifolds

**51.G.** *A simply connected compact triangulated 2-manifold with non-empty boundary collapses to a point.*

**51.H Corollary.** *A simply connected compact triangulated 2-manifold with non-empty boundary is homeomorphic to disk  $D^2$ .*

**51.I Corollary.** *Let  $X$  be a compact connected triangulated 2-manifold  $X$  with  $\partial X \neq \emptyset$ . If  $\chi(X) = 1$ , then  $X$  is homeomorphic to  $D^2$ .*

### 51°3. Splitting off crosscaps and handles

**51.J.** *A non-orientable triangulated 2-manifold  $X$  is a connected sum of  $\mathbb{R}P^2$  and a triangulated 2-manifold  $Y$ . If  $X$  is connected, then  $Y$  is also connected.*

**51.K.** Under conditions of Theorem 51.J, if  $X$  is compact then  $Y$  is compact and  $\chi(Y) = \chi(X) + 1$ .

**51.L.** If on an orientable connected triangulated 2-manifold  $X$  there is a simple closed curve  $C$  contained in the 1-skeleton of  $X$  such that  $X \setminus C$  is connected, then  $C$  is contained in a simplicial subspace  $H$  of  $X$  homeomorphic to torus with a hole and  $X$  is a connected sum of a torus and a triangulated connected orientable 2-manifold  $Y$ .

If  $X$  is compact, then  $Y$  is compact and  $\chi(Y) = \chi(X) + 2$ .

**51.M.** A compact connected triangulated 2-manifold with non-empty connected boundary is a connected sum of a disk and some number of copies of the projective plane and/or torus.

**51.N Corollary.** A simply connected closed triangulated 2-manifold is homeomorphic to  $S^2$ .

**51.O.** A compact connected triangulated 2-manifold with non-empty boundary is a connected sum of a sphere with holes and some number of copies of the projective plane and/or torus.

**51.P.** A closed connected triangulated 2-manifold is a connected sum of some number of copies of the projective plane and/or torus.

#### 51°4. Splitting of a Handle on a Non-Orientable 2-Manifold

**51.Q.** A connected sum of torus and projective plane is homeomorphic to a connected sum of three copies of the projective plane.

**51.Q.1.** On torus there are 3 simple closed curves which meet at a single point transversal to each other.

**51.Q.2.** A connected sum of a surface  $S$  with  $\mathbb{R}P^2$  can be obtained by deleting an open disk from  $S$  and identifying antipodal points on the boundary of the hole.

**51.Q.3.** On a connected sum of torus and projective plane there exist three disjoint one-sided simple closed curves.

#### 51°5. Final Formulations

**51.R.** Any connected closed triangulated 2-manifold is homeomorphic either to sphere, or sphere with handles, or sphere with crosscaps.

**51.S.** Any connected compact triangulated 2-manifold with non-empty boundary is homeomorphic either to sphere with holes, or sphere with holes and handles, or sphere with holes and crosscaps.

**51.1.** Find the place for the Klein Bottle in the above classification.

**51.2.** Prove that any closed triangulated surface with non-orientable triangulation is homeomorphic either to projective plane number of handles or Klein bottle with handles. (Here the number of handles is allowed to be null.)

## 52. Cellular Approach to Topological Classification of Compact surfaces

In this section we consider another, more classical and detailed solution of the same problem. We classify compact triangulated 2-manifolds in a way which provides also an algorithm building a homeomorphism between a given surface and one of the standard surfaces.

### 52°1. Families of Polygons

Triangulations provide a combinatorial description of 2-dimensional manifolds, but this description is usually too bulky. Here we will study other, more practical way to present 2-dimensional manifolds combinatorially. The main idea is to use larger building blocks.

Let  $\mathcal{F}$  be a collection of convex polygons  $P_1, P_2, \dots$ . Let the sides of these polygons be oriented and paired off. Then we say that this is a *family of polygons*. There is a natural quotient space of the sum of polygons involved in a family: one identifies each side with its pair-mate by a homeomorphism, which respects the orientations of the sides. This quotient space is called just the *quotient of the family*.

**52.A.** Prove that the quotient of the family of polygons is a 2-manifold without boundary.

**52.B.** Prove that the topological type of the quotient of a family does not change when the homeomorphism between the sides of a distinguished pair is replaced by other homeomorphism which respects the orientations.

**52.C.** Prove that any triangulation of a 2-manifold gives rise to a family of polygons whose quotient is homeomorphic to the 2-manifold.

A family of polygons can be described combinatorially: Assign a letter to each distinguished pair of sides. Go around the polygons writing down the letters assigned to the sides and equipping a letter with exponent  $-1$  if the side is oriented against the direction in which we go around the polygon. At each polygon we write a word. The word depends on the side from which we started and on the direction of going around the polygon. Therefore it is defined up to cyclic permutation and inversion. The collection of words assigned to all the polygons of the family is called a *phrase associated with the family of polygons*. It describes the family to the extend sufficient to recovering the topological type of the quotient.

**52.1.** Prove that the quotient of the family of polygons associated with phrase  $aba^{-1}b^{-1}$  is homeomorphic to  $S^1 \times S^1$ .

**52.2.** Identify the topological type of the quotient of the family of polygons associated with phrases

- (1)  $aa^{-1}$ ;
- (2)  $ab, ab$ ;
- (3)  $aa$ ;
- (4)  $abab^{-1}$ ;
- (5)  $abab$ ;
- (6)  $abcabc$ ;
- (7)  $aabb$ ;
- (8)  $a_1b_1a_1^{-1}b_1^{-1}a_2b_2a_2^{-1}b_2^{-1}\dots a_gb_ga_g^{-1}b_g^{-1}$ ;
- (9)  $a_1a_1a_2a_2\dots a_ga_g$ .

**52.D.** A collection of words is a phrase associated with a family of polygons, iff each letter appears twice in the words.

A family of polygons is called *irreducible* if the quotient is connected.

**52.E.** A family of polygons is irreducible, iff a phrase associated with it does not admit a division into two collections of words such that there is no letter involved in both collections.

### 52°2. Operations on Family of Polygons

Although any family of polygons defines a 2-manifold, there are many families defining the same 2-manifold. There are simple operations which change a family, but do not change the topological type of the quotient of the family. Here are the most obvious and elementary of these operations.

- (1) Simultaneous reversing orientations of sides belonging to one of the pairs.
- (2) Select a pair of sides and subdivide each side in the pair into two sides. The orientations of the original sides define the orderings of the halves. Unite the first halves into one new pair of sides, and the second halves into the other new pair. The orientations of the original sides define in an obvious way orientations of their halves. This operation is called *1-subdivision*. In the quotient it effects in subdivision of a 1-cell (which is the image of the selected pair of sides) into two 1-cells. This 1-cell is replaced by two 1-cells and one 0-cell.
- (3) The inverse operation to 1-subdivision. It is called *1-consolidation*.
- (4) Cut one of the polygons along its diagonal into two polygons. The sides of the cut constitute a new pair. They are equipped with an orientation such that gluing the polygons by a homeomorphism respecting these orientations recovers the original polygon. This operation is called *2-subdivision*. In the quotient it effects in subdivision of a 2-cell into two new 2-cells along an arc whose end-points

are 0-cells (may be coinciding). The original 2-cell is replaced by two 2-cells and one 1-cell.

(5) The inverse operation to 2-subdivision. It is called *2-consolidation*.

### 52°3. Topological and Homotopy Classification of Closed Surfaces

**52.F Reduction Theorem.** Any finite irreducible family of polygons can be reduced by the five elementary operations to one of the following standard families:

- (1)  $aa^{-1}$
- (2)  $a_1b_1a_1^{-1}b_1^{-1}a_2b_2a_2^{-1}b_2^{-1} \dots a_gb_ga_g^{-1}b_g^{-1}$
- (3)  $a_1a_1a_2a_2 \dots a_ga_g$  for some natural  $g$ .

**52.G Corollary, see 51.R.** Any triangulated closed connected manifold of dimension 2 is homeomorphic to either sphere, or sphere with handles, or sphere with crosscaps.

Theorems 52.G and 43.O provide classifications of triangulated closed connected 2-manifolds up to homeomorphisms and homotopy equivalence.

**52.F.1 Reduction to Single Polygon.** Any finite irreducible family of polygons can be reduced by elementary operations to a family consisting of a single polygon.

**52.F.2 Cancellation.** A family of polygons corresponding to a phrase containing a fragment  $aa^{-1}$  or  $a^{-1}a$ , where  $a$  is any letter, can be transformed by elementary operations to a family corresponding to the phrase obtained from the original one by erasing this fragment, unless the latter is the whole original phrase.

**52.F.3 Reduction to Single Vertex.** An irreducible family of polygons can be turned by elementary transformations to a polygon such that all its vertices are projected to a single point of the quotient.

**52.F.4 Separation of Crosscap.** A family corresponding to a phrase consisting of a word  $XaYa$ , where  $X$  and  $Y$  are words and  $a$  is a letter, can be transformed to the family corresponding to the phrase  $bbY^{-1}X$ .

**52.F.5.** If a family, whose quotient has a single vertex in the natural cell decomposition, corresponds to a phrase consisting of a word  $XaYa^{-1}$ , where  $X$  and  $Y$  are nonempty words and  $a$  is a letter, then  $X = UbU'$  and  $Y = Vb^{-1}V'$ .

**52.F.6 Separation of Handle.** A family corresponding to a phrase consisting of a word  $UbU'aVb^{-1}V'a^{-1}$ , where  $U$ ,  $U'$ ,  $V$ , and  $V'$  are words and  $a$ ,  $b$  are letters, can be transformed to the family presented by phrase  $dcd^{-1}c^{-1}UV'VU'$ .

**52.F.7 Handle plus Crosscap Equals 3 Crosscaps.** A family corresponding to phrase  $aba^{-1}b^{-1}ccX$  can be transformed by elementary transformations to the family corresponding to phrase  $abdbadX$ .

## 53. Recognizing Closed Surfaces

**53.A.** What is the topological type of the 2-manifold, which can be obtained as follows: Take two disjoint copies of disk. Attach three parallel strips connecting the disks and twisted by  $\pi$ . The resulting surface  $S$  has a connected boundary. Attach a copy of disk along its boundary by a homeomorphism onto the boundary of the  $S$ . This is the space to recognize.

**53.B.** *Euler characteristic of the cellular space obtained as quotient of a family of polygons is invariant under homotopy equivalences.*

**53.1.** How can 53.B help to solve 53.A?

**53.2.** Let  $X$  be a closed connected surface. What values of  $\chi(X)$  allow to recover the topological type of  $X$ ? What ambiguity is left for other values of  $\chi(X)$ ?

### 53°1. Orientations

By an *orientation of a polygon* one means orientation of all its sides such that each vertex is the final end point for one of the adjacent sides and initial for the other one. Thus an orientation of a polygon includes orientation of all its sides. Each segment can be oriented in two ways, and each polygon can be oriented in two ways.

An orientation of a family of polygons is a collection of orientations of all the polygons comprising the family such that for each pair of sides one of the pair-mates has the orientation inherited from the orientation of the polygon containing it while the other pair-mate has the orientation opposite to the inherited orientation. A family of polygons is said to be *orientable* if it admits an orientation.

**53.3.** Which of the families of polygons from Problem 52.2 are orientable?

**53.4.** Prove that a family of polygons associated with a word is orientable iff each letter appear in the word once with exponent  $-1$  and once with exponent  $1$ .

**53.C.** *Orientality of a family of polygons is preserved by the elementary operations.*

A surface is said to be *orientable* if it can be presented as the quotient of an orientable family of polygons.

**53.D.** A surface  $S$  is orientable, iff any family of polygons whose quotient is homeomorphic to  $S$  is orientable.

**53.E.** Spheres with handles are orientable. Spheres with crosscaps are not.

**53°2. More About Recognizing Closed Surfaces**

**53.5.** How can the notion of orientability and *53.C* help to solve *53.A*?

**53.F.** *Two closed connected manifolds of dimension two are homeomorphic iff they have the same Euler characteristic and either are both orientable or both non-orientable.*

**53°3. Recognizing Compact Surfaces with Boundary**

**53.G. Riddle.** Generalize orientability to the case of nonclosed manifolds of dimension two. (Give as many generalization as you can and prove that they are equivalent. The main criterium of success is that the generalized orientability should help to recognize the topological type.)

**53.H.** *Two compact connected manifolds of dimension two are homeomorphic iff they have the same Euler characteristic, are both orientable or both nonorientable and their boundaries have the same number of connected components.*

**53°4x. Simply Connected Surfaces**

**53.Ax Theorem\*.** *Any simply connected non-compact manifold of dimension two without boundary is homeomorphic to  $\mathbb{R}^2$ .*

**53°4x.1.** Any simply connected triangulated non-compact manifold without boundary can be presented as the union of an increasing sequence of compact simplicial subspaces  $C_0 \supset C_1 \supset C_2 \supset \dots \supset C_n \supset \dots$  such that each of them is a 2-manifold with boundary and  $\text{Int } C_n \subset C_{n+1}$  for each  $n$ .

**53°4x.2.** Under conditions of *53°4x.1* the sequence  $C_n$  can be modified in such a way that each  $C_n$  becomes simply connected.

**53.Bx Corollary.** *The universal covering of any surface with empty boundary and infinite fundamental group is homeomorphic to  $R^2$ .*

## Proofs and Comments

**47.A** Indeed, any 0-dimensional manifold is just a countable discrete topological space, and the only topological invariant needed for topological classification of 0-manifolds is the number of points.

**47.B** Each manifold is the sum of its connected components.

**47.C**

(1)  $S^1$ ,

(2)  $I$ ,

(3)  $\mathbb{R}, \mathbb{R}_+$ ,

(4)  $\mathbb{R}_+$ .

**47.D**

Manifold $X$	Is $X$ compact?	Is $\partial X$ empty?
$S^1$	+	+
$\mathbb{R}^1$	–	+
$I$	+	–
$\mathbb{R}_+^1$	–	–

**48.Fx** Yes, for example, a plane with infinite number of handles.

**49.Q** All non-negative integers.

**49.R**  $\chi(X) = \frac{1}{2}\chi(\partial X) = \frac{1}{2}\#(\partial X)$ . To prove this, consider double  $DX$  of  $X$ , and observe that  $\chi(DX) = 2\chi(X) - \chi(\partial X)$ , while  $\chi(DX) = 0$ , since  $DX$  is a closed 1-manifold.

**50.V** Yes, it is orientable. An orientation can be obtained by taking on each triangle of  $X$  the orientation which is mapped by  $p$  to the orientation of its image.

**51.Q.1** Represent the torus as the quotient space of the unit square. Take the images of a diagonal of the square and the two segments connecting the midpoints of the opposite sides of the square.

# Surfaces Beyond Classification

In most of the textbooks which present topological classification of compact surfaces the classification is the top result. However the topology of 2-manifolds does not stop, but rather begins with it. Below we discuss few topics which are not included usually.

## 54. Curves and Graphs on Surfaces

### 54°1. Genus of Surface

In mathematical literature one of the most frequently mentioned invariants of compact 2-manifolds cannot be seriously discussed without the classification theorem, although it was introduced by Riemann before the was formulated.

The *genus* of a surface  $X$  is the maximal number of disjoint simple closed curves  $C_1, \dots, C_g$  on  $X$  which do not divide  $X$  (i.e., such that  $X \setminus \cup_{i=1}^g C_i$  is connected). The genus of  $X$  is denoted by  $g(X)$ .

In what follows we assume all the surfaces triangulated and curves simplicial. Let us calculate genus for closed surfaces.

**54.A Genus of Sphere with Handles.** *The genus of sphere with  $h$  handles is  $h$ .*

**54.A.1.** Find  $h$  disjoint simple closed curves which do not divide a sphere with  $h$  handles.

**54.A.2.** Cutting an orientable 2-manifold along a system of  $k$  disjoint simple closed curves creates  $2k$  new connected components of the boundary and does not change the Euler characteristic.

**54.A.3.** Attaching a disk to a 2-manifold along a boundary component homeomorphic to  $S^1$  by a homeomorphism of the boundary circle of the disk to the boundary component increases the Euler characteristic of the surface by 1.

**54.A.4.** The Euler characteristic of a closed connected surface cannot be greater than 2.

**54.B Genus of Sphere with Crosscaps.** *The genus of sphere with  $h$  crosscaps is  $h$ .*

**54.B.1.** Find  $h$  disjoint simple closed curves which do not divide a sphere with  $h$  crosscaps.

**54.B.2.** Cutting a 2-manifold along a collection of  $k$  disjoint one-sided simple closed curves creates  $k$  new connected components of the boundary and does not change the Euler characteristic.

**54.1.** A collection of  $k$  disjoint simple closed curves on a connected 2-manifold of genus  $g$  divides the 2-manifold to at least  $\max(1, k - g + 1)$  connected pieces.

**54.2.** To what number of connected pieces does a collection of disjoint simple closed curves can divide a connected 2-manifold of genus  $g$ , if the collection consists of  $p$  two-sided and  $q$  one-sided curves?

**54°2x. Polygonal Jordan, Schönflies and Annulus Theorems**

The following two famous theorems which in a simplicial case are straightforward corollaries of the topological classification of compact 2-manifolds.

**54.Ax Jordan Theorem.** *The complement of any simple closed curve on the plane consists of two connected components.*

**54.Bx Schönflies Theorem.** *Under conditions of the Jordan Theorem the closure of one of the components of the complement is homeomorphic to  $D^2$ , the other one is homeomorphic to  $D^2 \setminus 0$ .*

Without assumption of simpliciality of the simple closed curve these theorems can be deduced from their simplicial versions and appropriate versions of approximation theorems, or can be proven independently. The simplest proof of the general Schönflies Theorem is based on the Riemann mapping theorem.

**Information:** Jordan Theorem is a very special corollary of general homological duality theorems (Alexander duality). Its straightforward generalizations hold true in higher dimensions.

Schönflies Theorem is much more delicate. Its literal generalizations without additional assumptions just in general topological setup do not hold true in dimensions  $\geq 3$ . For any  $n \geq 3$  there is a topological embedding  $i : S^{n-1} \rightarrow \mathbb{R}^n$  such that none of the connected components of  $\mathbb{R}^n \setminus i(S^{n-1})$  is simply connected. The first examples of this kind were constructed by J. W. Alexander, they are known as *Alexander horned spheres*.

Here is another classical theorem of the same flavor. As for the Jordan and Schönflies theorems, the tools provided by the material given above allows one to prove only its simplicial version, although they hold true as formulated below, without any assumption of triangulability.

**54.Cx Annulus Theorem.** *For any two disjoint simple closed curves  $A$  and  $B$  on  $S^2$ , the complement  $S^2 \setminus (A \cup B)$  consists of three connected components. The closure of one of them is homeomorphic to the annulus  $S^1 \times I$ , the closures of the others are homeomorphic to disk  $D^2$ .*

**54°3x. Planarity of Graphs**

A one-dimensional cellular space is *planar* if it can be embedded to  $\mathbb{R}^2$ .

**54.Dx.** A one-dimensional cellular space is planar iff it can be embedded to  $S^2$ .

**54.1x.** Find a non-planar 1-dimensional cellular space.

Denote by  $G_n$  a one-dimensional cellular space formed by  $n$  vertices and  $\binom{n}{2}$  edges, with an edge connecting each pair of vertices. This space is

called a *complete graph* with  $n$  vertices. This is the 1-skeleton of an  $(n - 1)$ -dimensional simplex.

**54.Ex.** Space  $G_n$  is planar iff  $n \leq 4$ .

**54.Ex.1.**  $G_4$  is planar. Any its topological embedding to  $S^2$  is equivalent to the embedding of 1-skeleton to 2-skeleton of a tetrahedron.

Denote by  $G_{m,n}$  a one-dimensional cellular space formed by  $m+n$  vertices divided to two sets consisting of  $m$  and  $n$  vertices respectively, in which any vertex from one set connected with a single edge to each vertex of another one, while no vertices of the same set are connected with an edge.

**54.Fx.**  $G_{3,3}$  is not a planar graph.

**54.Fx.1.**  $G_{3,2}$  is a planar graph. Any two its topological embeddings to  $S^2$  are equivalent.

**54.2x.** Which  $G_{m,n}$  are planar, which are not?

**54.Gx Kuratowski Theorem\*.** A one-dimensional cellular space  $X$  is not a planar graph iff either  $G_5$  or  $G_{3,3}$  can be embedded to  $X$ .

**54.3x.** Does there exist a connected 2-manifold  $U$  such that any connected finite 1-dimensional cellular space can be topologically embedded to  $U$ ?

**54.4x.** Does there exist a connected compact 2-manifold  $U$  such that any connected finite 1-dimensional cellular space can be topologically embedded to  $U$ ?

**54.5x.** Find a 1-dimensional cellular space which is not embeddable to torus  $S^1 \times S^1$ .

## 55x. Coverings and Branched Coverings

### 55°1x. Finite Coverings of Closed Surfaces

For which closed connected 2-manifolds  $X$  and  $Y$  does there exist a covering  $X \rightarrow Y$ ?

**55.Ax Revise and Recollect.** We have done some steps towards solution of this problem. Examine the material above and find relevant results.

**55.Bx Coverings of Torus.** Any covering space of torus  $S^1 \times S^1$  with finite number of sheets is homeomorphic to  $S^1 \times S^1$ . There exists a covering  $S^1 \times S^1 \rightarrow S^1 \times S^1$  with any finite number of sheets.

**55.Cx Euler Characteristic of Covering Space.** If  $X$  and  $Y$  are finite simplicial spaces and  $X \rightarrow Y$  is a simplicial map which is an  $n$ -fold covering, then  $\chi(X) = n\chi(Y)$ .

**55.Dx Coverings of Orientable Closed Surface.** Let  $X$  and  $Y$  be closed connected orientable triangulated 2-manifolds. Covering  $X \rightarrow Y$  exists iff  $\chi(X)$  divides  $\chi(Y)$ .

**55.1x.** Let  $X$  and  $Y$  be closed connected orientable triangulated 2-manifolds with  $\chi(Y) = d\chi(X)$ . Prove that there exist a regular  $d$ -fold covering  $X \rightarrow Y$  in a narrow sense with the automorphism group  $\mathbb{Z}_d$ .

**55.2x.** Let  $X$  and  $Y$  be closed connected orientable triangulated 2-manifolds and  $p, q : X \rightarrow Y$  be regular coverings (in narrow sense) with the automorphism group  $\mathbb{Z}_d$ . Then there exist homeomorphisms  $f : X \rightarrow X$  and  $g : Y \rightarrow Y$  such that  $q \circ f = g \circ p$ , that is the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & X \\ p \downarrow & & \downarrow q \\ Y & \xrightarrow{g} & Y \end{array}$$

is commutative.

**55.3x.** Find regular coverings  $p, q : X \rightarrow Y$  in narrow sense with the same number of sheets, where  $X$  and  $Y$  are orientable closed connected 2-manifolds, for which there exist no homeomorphisms  $f : X \rightarrow X$  and  $g : Y \rightarrow Y$  with  $q \circ f = g \circ p$ . What is the minimal possible number of sheets?

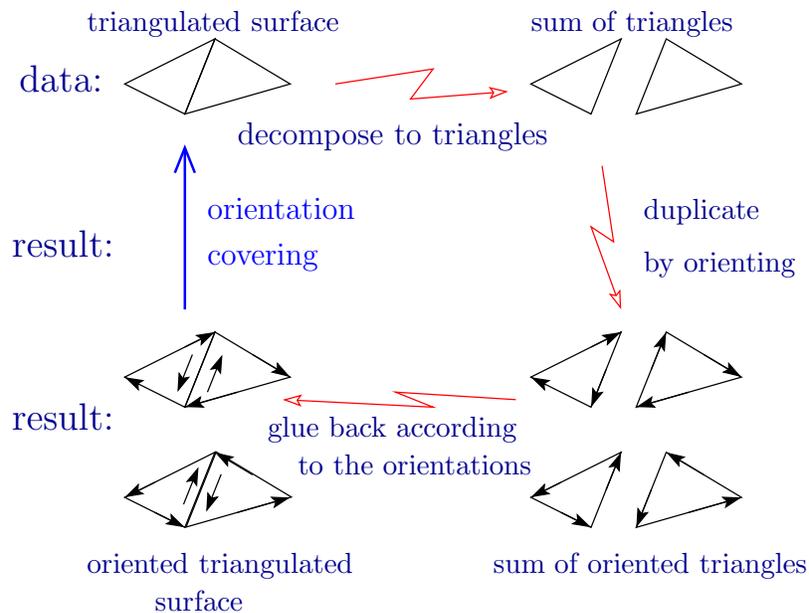
**55.Ex Corollary.** Sphere with two handles does not cover any orientable closed surface.

**55.4x.** Does sphere with two handles cover a non-orientable closed surface?

Let  $X$  be a triangulated 2-manifold. For any triangle  $\tau$  of its triangulation consider two copies of  $\tau$  equipped with orientations opposite each other.

These copies are marked by the orientations, so we may think about them as about pairs  $(\tau, o_1)$  and  $(\tau, o_2)$ , where  $o_i$  is an orientation of  $\tau$ , but we rather need to think about them as about duplicates of the triangles marked with the orientations.

Let us factorize the sum of all these duplicates according to the following rule: we identify sides of duplicates of two triangles if the sides are duplicates of the same edge in  $X$  and the orientations associated with the duplicates of the triangles induce opposite orientations on the side. Denote the quotient space by  $X^{or}$  (warning: this notation is not commonly accepted, but a commonly accepted notation does not exist). The identity maps of the copies of triangles to the original triangles induce map  $X^{or} \rightarrow X$ . It is called *orientation covering* of  $X$ . See Figure 1.



**Figure 1.** Construction of the orientation covering.

**55.Fx Theorem on Orientation Covering.** For any triangulated 2-manifold  $X$  the construction above gives an *oriented triangulated 2-manifold*  $X^{or}$  and a 2-fold covering  $X^{or} \rightarrow X$ . The non-trivial automorphism of this covering reverses the orientation.

**55.Gx Orientability Versus Orientation Covering.** A triangulated 2-manifold is orientable iff its orientation covering is trivial.

**55.Hx.** Any covering  $p : X \rightarrow B$  of a non-orientable connected triangulated 2-manifold  $B$  with orientable covering space  $X$  can be factorize through the

orientation covering of  $B$ : there exists a covering  $q : X \rightarrow B^{or}$  such that the composition  $X \xrightarrow{q} B^{or} \rightarrow B$  is  $p : X \rightarrow B$ .

**55.Ix Corollary.** Let  $X$  be an orientable closed connected 2-manifold and  $Y$  be a non-orientable closed connected 2-manifold. There exists a covering  $X \rightarrow Y$  iff  $\chi(X)$  divides  $2\chi(Y)$ .

**55.Jx.** Let  $X$  and  $Y$  be non-orientable closed connected 2-manifolds. There exists a covering  $X \rightarrow Y$  iff  $\chi(X)$  divides  $\chi(Y)$ .

**55.Jx.1.** There is a covering of Klein bottle by itself with any number of sheets.

### 55°2x. Branched Coverings

The notion of branched covering is more general and more classical than the notion of covering. Branched coverings are not that useful for calculation of fundamental groups and higher homotopy groups. This is why it would be pointless to study them in part 2 of this book, where the main goal was to calculate fundamental groups.

Let  $U$  and  $V$  be 2-manifolds and  $m$  a natural number. A map  $p : V \rightarrow U$  is called a *model  $m$ -fold branched covering*, if there exist homeomorphisms  $g : U \rightarrow \mathbb{C}$  and  $h : V \rightarrow \mathbb{C}$  such that  $h \circ p \circ h^{-1}(z) = z^m$ .

A map  $p : Y \rightarrow X$  is called a *branched covering*, if for any  $a \in X$  there exists a neighborhood  $U$  of  $a$  in  $X$  such that  $p^{-1}(U)$  is the union of disjoint open sets  $V_\alpha$  such that for each  $\alpha$  the submap  $V_\alpha \rightarrow U$  of  $p : Y \rightarrow X$  is a standard branched covering. The manifold  $X$  is called the *base* of the branched covering  $p : Y \rightarrow X$  and  $Y$  the covering space. A point of the base is called *branch point*, if among model branched coverings of its neighborhood there is an  $m$ -fold covering with  $m > 1$ .

**55.Kx.** A branched covering without branch points is a covering.

Branched coverings appear first in Complex Analysis. The following theorem provides a good reason for this.

**55.Lx.** For any analytic function  $f : \mathbb{C} \rightarrow \mathbb{C}$  with  $f(a) = b$  there exist neighborhoods  $U$  and  $V$  of  $a$  and  $b$ , respectively, and homeomorphisms  $\alpha : U \rightarrow D^2$  and  $\beta : V \rightarrow D^2$  such that  $\beta \circ f \circ \alpha^{-1}(z) = z^m$  for some natural  $m$ .

**55.Mx Corollary 1.** Any non-constant complex polynomial  $p$  in one variable defines a branched covering  $\mathbb{C} \rightarrow \mathbb{C}$ .

**55.Nx Corollary 2.** Let  $X$  and  $Y$  be closed complex 1-manifolds (closed Riemann surfaces). Any holomorphic map  $Y \rightarrow X$  is a branched covering.

A branched covering without branch points is a covering.

## Proofs and Comments

**54.A.1** Is the collection of one meridian curve taken from each handle good for this?

**54.B.1** Is the collection of one middle one-sided curve taken from each crosscap good for this?

**55.Ax** According to the solution of Problem 50.V, if  $Y$  is orientable than  $X$  is orientable, too. By Theorem 39.A,  $\pi_1(X)$  is isomorphic to a subgroup of  $\pi_1(Y)$ . Since  $X$  is closed, it is compact and hence the fiber of a covering, being a discrete subspace of a compact Hausdorff space  $X$  should be finite. Therefore, by Theorem 39.G, the subgroup of  $\pi_1(Y)$  isomorphic to  $\pi_1(X)$  has a finite index. Vice versa, according to Theorems 45.M and 39.Dx, for any subgroup  $G \subset \pi_1(Y)$  of finite index there exists a covering  $X \rightarrow Y$  with  $\pi_1(X)$  isomorphic to  $G$ .

**55.Bx** Among closed surfaces only torus has commutative fundamental group. Therefore only torus can cover torus. The map

$$S^1 \times S^1 \rightarrow S^1 \times S^1 : (z, w) \mapsto (z^n, w)$$

is an  $n$ -fold covering.

**55.Dx**  $\Leftrightarrow$  It follows from Theorem .  $\Leftrightarrow$  Set  $d = \chi(X) : \chi(Y)$ . Represent  $Y$  as a connected sum of torus with some other closed surface. I.e., find a simple closed curve on  $Y$  which divides  $Y$  into a handle  $H$  and a disk with handles  $D$ . Take a  $d$ -fold covering of  $H$  (say, the one induced by a  $d$ -fold covering of the torus which is obtained from  $H$  by attaching a disk to the boundary). The covering space has  $d$  boundary components. Fill each of them with a copy of  $D$  and extend the covering by the homeomorphisms of these copies to  $D$ . Calculate the Euler characteristic of the covering space. It equals  $\chi(X)$ . Since the covering space and  $X$  are orientable closed connected orientable 2-surfaces with the same Euler characteristic, they are homeomorphic.

**55.Jx**  $\Leftrightarrow$  See Theorem 54°1.  $\Leftrightarrow$  A non-orientable closed connected 2-manifold either is homeomorphic to  $\mathbb{R}P^2$  or is a connected sum of the Klein bottle with some closed non-orientable manifold. If  $Y$  is homeomorphic to  $\mathbb{R}P^2$ , then  $\chi(Y) = 1$  and  $\chi(X) = 1$ . Hence  $Y$  is homeomorphic to  $\mathbb{R}P^2$  and for the covering one can take the identity map. For the other cases, it suffices to construct a covering of Klein bottle by itself with any natural number of sheets.

# One-Dimensional Homology

## 56x. One-Dimensional Homology and Cohomology

### 56°1x. Why and What for

Sometimes the fundamental group contains too much information to deal with, and it is handy to ignore a part of this information. A regular way to do this is to use instead of the fundamental group some of its natural quotient groups. One of them, the abelianized fundamental group, was introduced and used in Section 43 to prove, in particular, that spheres with different numbers of handles are not homotopy equivalent, see Problems 43.M, 43.M.1-43.N.1 and 43.O.

In this Section we will study the one-dimensional homology and its closest relatives. Usually they are studied in the framework of homology theory together with their high-dimensional generalizations. This general theory requires much more algebra and takes more time and efforts. On the other hand, one-dimensional case is useful on its own, involves a lot of specific details and provides a geometric intuition, which is useful, in particular, for studying the high-dimensional homology.

**56°2x. One-Dimensional Integer Homology**

Recall that for a path-connected space  $X$  the abelianized fundamental group of  $X$  is called its one-dimensional homology group and denoted by  $H_1(X)$ . If  $X$  is an arbitrary topological space then  $H_1(X)$  is the direct sum of the one-dimensional homology groups of all the connected components of  $X$ .

**56.1x.** Find  $H_1(X)$  for the following spaces

- (1) Möbius strip,
- (2) handle,
- (3) sphere with  $p$  handles and  $r$  holes,
- (4) sphere with  $p$  crosscaps  $r$  holes,
- (5) the complement in  $\mathbb{R}^3$  of the circles  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 0, x^2 + y^2 = 1\}$  and  $\{(x, y, z) \in \mathbb{R}^3 \mid x = 0, z^2 + (y - 1)^2 = 1\}$ ,
- (6) the complement in  $\mathbb{R}^3$  of the circles  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 0, x^2 + y^2 = 1\}$  and  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 1, x^2 + y^2 = 1\}$ ,

The name of  $H_1(X)$  appears often with the adjective *integer* or expression *with coefficients in  $\mathbb{Z}$* , so it comes as *one-dimensional integer homology group* of  $X$ , or *one-dimensional homology group of  $X$  with coefficients in  $\mathbb{Z}$* . This is done to distinguish  $H_1(X)$  from its generalizations, one-dimensional homology groups with coefficients in any abelian group  $G$ . The case of  $G = \mathbb{Z}_2$  is considered below, but we will not study these generalizations in full generality.

The group operation in  $H_1(X)$  (as well as in other homology groups) is written additively and called *addition*. Thus the product of loops represents the *sum* of the homology classes represented by the loops multiplied.

Few more new words. An element of a homology group is called a *homology class*. The homology classes really admit several interpretations as equivalence classes of objects of various nature. For example, according to the definition we start with, a homology class is a coset consisting of elements of the fundamental group. In turn, each element of the fundamental group consists of loops. Thus, we can think of a homology class as of a set of loops.

**56°3x. Null-Homologous Loops and Disks with Handles**

A loop which belongs to the zero homology class is said to be *null-homologous*. Loops, which belong to the same homology class, are said to be *homologous* to each other.

**56.Ax Null-Homologous Loop.** *Let  $X$  be a topological space. A circular loop  $s : S^1 \rightarrow X$  is null-homologous, iff there exist a continuous map  $f$  of a disk  $D$  with handles (i.e., a sphere with a hole and handles) to  $X$  and a homeomorphism  $h$  of  $S^1$  onto the boundary circle of  $D$  such that  $f \circ h = s$ .*

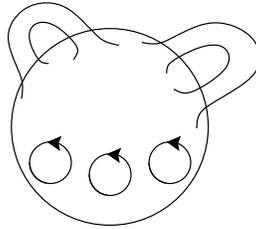
**56.Ax.1.** In the fundamental group of a disk with handles, a loop, whose homotopy class generates the fundamental group of the boundary circle, is homotopic to a product of commutators of meridian and longitude loops of the handles.

A homotopy between a loop and a product of commutators of loops can be thought of as an extension of the loop to a continuous map of a sphere with handles and a hole.

#### 56°4x. Description of $H_1(X)$ in Terms of Free Circular Loops

Factorization by the commutator subgroup kills the difference between translation maps defined by different paths. Therefore the abelianized fundamental groups of a path-connected space can be naturally identified. Hence each free loop defines a homology class. This suggests that  $H_1(X)$  can be defined starting with free loops, rather than loops at a base point.

**56.Bx.** On the sphere with two handles and three holes shown in Figure 1 the sum of the homology classes of the three loops, which go counter-clockwise around the three holes, is zero.



**Figure 1.** Sphere with two handles and three holes. The boundary circles of the holes are equipped with arrows showing the counter-clockwise orientation.

**56.Cx Zero-Homologous Collections of Loops.** Let  $X$  be a pathwise connected space and  $s_1, \dots, s_n : S^1 \rightarrow X$  be a collection of  $n$  free loops. Prove that the sum of homology classes of  $s_1, \dots, s_n$  is equal to zero, iff there exist a continuous map  $f : F \rightarrow X$ , where  $F$  is a sphere with handles and  $n$  holes, and embeddings  $i_1, \dots, i_n : S^1 \rightarrow F$  parametrizing the boundary circles of the holes in the counter-clockwise direction (as in Figure 1) such that  $s_k = f \circ i_k$  for  $k = 1, \dots, n$ .

**56.Dx Homologous Collections of Loops.** In a topological space  $X$  any class  $\xi \in H_1(X)$  can be represented by a finite collection of free circular loops. Collections  $\{u_1, \dots, u_p\}$  and  $\{v_1, \dots, v_q\}$  of free circular loops in  $X$  define the same homology class, iff there exist a continuous map  $f : F \rightarrow X$ , where  $F$  is a disjoint sum of several spheres with handles and holes with the total number of holes equal  $p + q$ , and embeddings  $i_1, \dots, i_{p+q} : S^1 \rightarrow F$

parametrizing the boundary circles of all the holes of  $F$  in the counter-clockwise direction such that  $u_k = f \circ i_k$  for  $k = 1, \dots, p$  and  $v_k^{-1} = f \circ i_{k+p}$  for  $k = 1, \dots, q$ .

### 56°5x. Homology and Continuous Maps

Let  $X$  be a path connected topological space with a base point  $x_0 \in X$ . The factorization map  $\pi_1(X, x_0) \rightarrow H_1(X)$  is usually called the *Hurewicz homomorphism*<sup>1</sup> and denoted by  $H$ . If  $X$  is not path connected and  $X_0$  is its path connected component containing  $x_0$ , then the inclusion  $X_0 \hookrightarrow X$  defines an isomorphism  $\pi_1(X_0, x_0) \rightarrow \pi_1(X, x_0)$ . On the other hand,  $H_1(X_0)$  is contained in  $H_1(X)$  as a direct summand. This allows one to define the Hurewicz homomorphism  $\pi_1(X, x_0) \rightarrow H_1(X)$  as a composition of the Hurewicz homomorphism  $H : \pi_1(X_0, x_0) \rightarrow H_1(X_0)$  (which is already defined above), isomorphism  $\text{in}^{-1} : \pi_1(X, x_0) \rightarrow \pi_1(X_0, x_0)$  (inverse to the inclusion isomorphism), and inclusion  $H_1(X_0) \hookrightarrow H_1(X)$ .

**56.Ex.** Let  $f : (X, x_0) \rightarrow (Y, y_0)$  be a continuous map. If  $X$  is path connected, then the diagram

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{f_*} & \pi(Y, y_0) \\ H \downarrow & & H \downarrow \\ H_1(X) & & H_1(Y) \end{array}$$

is completed in a unique way to a commutative diagram

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{f_*} & \pi(Y, y_0) \\ H \downarrow & & H \downarrow \\ H_1(X) & \longrightarrow & H_1(Y) \end{array}$$

The homomorphism  $H_1(X) \rightarrow H_1(Y)$  completing the diagram in *56.Ex* is denoted by the same symbol  $f_*$  as the homomorphism  $f_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ . It is also called a *homomorphism induced by  $f$* .

**56.Fx.** Extend the definition of  $f_* : H_1(X) \rightarrow H_1(Y)$  given in *56.Ex* to the case when  $X$  is not path connected.

**56.Gx.** For any continuous map  $f : X \rightarrow Y$  and any loop  $\varphi : S^1 \rightarrow X$ , the image under  $f_* : H_1(X) \rightarrow H_1(Y)$  of the homology class represented by  $\varphi$  is the homology class represented by  $f \circ \varphi$ .

<sup>1</sup>Witold Hurewicz has introduced a high dimensional generalization of this homomorphism,  $\pi_n(X, x_0) \rightarrow H_n(X)$ , which we cannot discuss here for you are not assumed to be familiar with  $H_n(X)$ . The homomorphism  $\pi_1(X, x_0) \rightarrow H_1(X)$  should be rather attributed to Henry Poincaré, although the group  $H_1(X)$  was introduced long after he died.

**56.2x.** Look through 36, 37, 38, 39 and 43 and find all the theorems about homomorphisms of fundamental groups which gives rise to similar theorems about homomorphisms of one-dimensional homology groups. In which applications the fundamental groups can be replaced by one-dimensional homology groups?

**56.3x Homology Group of a Cellular Space.** Deduce from the calculation of the fundamental group of a cellular space (see 43) an algorithm for calculation of  $H_1(X)$  for a cellular space  $X$ .

### 56°6x. One-Dimensional Cohomology

Let  $X$  be a path-connected topological space and  $G$  a commutative group.

**56.Hx.** The homomorphisms  $\pi_1(X, x_0) \rightarrow G$  comprise a commutative group in which the group operation is the pointwise addition.

The group  $\text{Hom}(\pi_1(X, x_0), G)$  of all the homomorphisms  $\pi_1(X, x_0) \rightarrow G$  is called *one-dimensional cohomology group of  $X$  with coefficients in  $G$*  and denoted by  $H^1(X; G)$ .

For an arbitrary topological space  $X$ , the one-dimensional cohomology group of  $X$  with coefficients in  $G$  is defined as the direct product of one-dimensional cohomology group with coefficients in  $G$  of all the path-connected components of  $X$ .

**56.Ix Cohomology via Homology.**  $H^1(X; G) = \text{Hom}(H_1(X), G)$ .

**56.Jx Cohomology and Regular Coverings.** This map is a bijection of the set of all the regular  $G$ -coverings of  $X$  onto  $H^1(X; G)$ .

**56.4x Addition of  $G$ -Coverings.** What operation on the set of regular  $G$ -coverings corresponds to addition of cohomology classes?

### 56°7x. Integer Cohomology and Maps to $S^1$

Let  $X$  be a topological space and  $f : X \rightarrow S^1$  a continuous map. It induces a homomorphism  $f_* : H_1(X) \rightarrow H_1(S^1) = \mathbb{Z}$ . Therefore it defines an element of  $H^1(X; \mathbb{Z})$ .

**56.Kx.** This construction defines a bijection of the set of all the homotopy classes of maps  $X \rightarrow S^1$  onto  $H^1(X; \mathbb{Z})$ .

**56.Lx Addition of Maps to Circle.** What operation on the set of homotopy classes of maps to  $S^1$  corresponds to the addition in  $H^1(X; \mathbb{Z})$ ?

**56.Mx.** What regular  $\mathbb{Z}$ -covering of  $X$  corresponds to a homotopy class of mappings  $X \rightarrow S^1$  under the compositions of the bijections described in 56.Kx and 56.Jx

**56°8x. One-Dimensional Homology Modulo 2**

Here we define yet another natural quotient group of the fundamental group. It is even simpler than  $H_1(X)$ .

For a path-connected  $X$ , consider the quotient group of  $\pi_1(X)$  by the normal subgroup generated by squares of all the elements of  $\pi_1(X)$ . It is denoted by  $H_1(X; \mathbb{Z}_2)$  and called *one-dimensional homology group of  $X$  with coefficients in  $\mathbb{Z}_2$*  or *the first  $\mathbb{Z}_2$ -homology group of  $X$* . For an arbitrary  $X$ , the group  $H_1(X; \mathbb{Z}_2)$  is defined as the sum of one-dimensional homology group with coefficients in  $\mathbb{Z}_2$  of all the path-connected components of  $X$ .

Elements of  $H_1(X; \mathbb{Z}_2)$  are called *one-dimensional homology classes modulo 2* or *one-dimensional homology classes with coefficients in  $\mathbb{Z}_2$* . They can be thought of as classes of elements of the fundamental groups or classes of loops. A loop defining the zero homology class modulo 2 is said to be *null-homologous modulo 2*.

**56.Nx.** In a disk with crosscaps the boundary loop is null-homologous modulo 2.

**56.Ox Loops Zero-Homologous Modulo 2.** Prove that a circular loop  $s : S^1 \rightarrow X$  is null-homologous modulo 2, iff there exist a continuous map  $f$  of a disk with crosscaps  $D$  to  $X$  and a homeomorphism  $h$  of  $S^1$  onto the boundary circle of  $D$  such that  $f \circ h = s$ .

**56.Px.** If a loop is null-homologous then it is null-homologous modulo 2.

**56.Qx Homology and Mod 2 Homology.**  $H_1(X; \mathbb{Z}_2)$  is commutative for any  $X$ , and can be obtained as the quotient group of  $H_1(X)$  by the subgroup of all even homology classes, i.e. elements of  $H_1(X)$  of the form  $2\xi$  with  $\xi \in H_1(X)$ . Each element of  $H_1(X; \mathbb{Z}_2)$  is of order 2 and  $H_1(X; \mathbb{Z}_2)$  is a vector space over the field of two elements  $\mathbb{Z}_2$ .

**56.5x.** Find  $H_1(X; \mathbb{Z}_2)$  for the following spaces

- (1) Möbius strip,
- (2) handle,
- (3) sphere with  $p$  handles,
- (4) sphere with  $p$  crosscaps,
- (5) sphere with  $p$  handles and  $r$  holes,
- (6) sphere with  $p$  crosscaps and  $r$  holes,
- (7) the complement in  $\mathbb{R}^3$  of the circles  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 0, x^2 + y^2 = 1\}$  and  $\{(x, y, z) \in \mathbb{R}^3 \mid x = 0, z^2 + (y - 1)^2 = 1\}$ ,
- (8) the complement in  $\mathbb{R}^3$  of the circles  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 0, x^2 + y^2 = 1\}$  and  $\{(x, y, z) \in \mathbb{R}^3 \mid z = 1, x^2 + y^2 = 1\}$ ,

**56.6x  $\mathbb{Z}_2$ -Homology of Cellular Space.** Deduce from the calculation of the fundamental group of a cellular space (see Section 43) an algorithm for calculation of the one-dimensional homology group with  $\mathbb{Z}_2$  coefficients of a cellular space.

**56.Rx Collections of Loops Homologous Mod 2.** Let  $X$  be a topological space. Any class  $\xi \in H_1(X; \mathbb{Z}_2)$  can be represented by a finite collection of free circular loops in  $X$ . Collections  $\{u_1, \dots, u_p\}$  and  $\{v_1, \dots, v_q\}$  of free circular loops in  $X$  define the same homology class modulo 2, iff there exist a continuous map  $f : F \rightarrow X$ , where  $F$  is a disjoint sum of several spheres with crosscaps and holes with the total number of holes equal  $p + q$ , and embeddings  $i_1, \dots, i_{p+q} : S^1 \rightarrow F$  parametrizing the boundary circles of all the holes of  $F$  such that  $u_k = f \circ i_k$  for  $k = 1, \dots, p$  and  $v_k = f \circ i_{k+p}$  for  $k = 1, \dots, q$ .

**56.7x.** Compare 56.Rx with 56.Dx. Why in 56.Rx the counter-clockwise direction has not appeared? In what other aspects 56.Rx is simpler than 56.Dx and why?

**56.Sx Duality Between Mod 2 Homology and Cohomology.**

$$H^1(X; \mathbb{Z}_2) = \text{Hom}(H_1(X; \mathbb{Z}_2), \mathbb{Z}_2) = \text{Hom}_{\mathbb{Z}_2}(H_1(X; \mathbb{Z}_2), \mathbb{Z}_2)$$

for any space  $X$ . If  $H_1(X; \mathbb{Z}_2)$  is finite then  $H_1(X; \mathbb{Z}_2)$  and  $H^1(X; \mathbb{Z}_2)$  are finite-dimensional vector spaces over  $\mathbb{Z}_2$  dual to each other.

**56.8x.** A loop is null-homologous modulo 2 in  $X$ , iff it is covered by a loop in any two-fold covering space of  $X$ .

**56.Tx. Riddle. Homology Modulo  $n$ ?** Generalize all the theory above about  $\mathbb{Z}_2$ -homology to define and study  $\mathbb{Z}_n$ -homology for any natural  $n$ .

## 57. One-Dimensional mod 2-Homology of Surfaces

### 57°1. Polygonal Paths on Surface

Let  $F$  be a triangulated surface. A path  $s : I \rightarrow F$  is said to be *polygonal* if  $s(I)$  is contained in the one-dimensional skeleton of the triangulation of  $F$ , the preimage of any vertex of the triangulation is finite, and the restriction of  $s$  to a segment between any two consecutive points which are mapped to vertices is an affine homeomorphism onto an edge of the triangulation. In terms of kinematics, a polygonal path represents a moving point, which goes only along edges, does not stay anywhere, and, whenever it appears on an edge, it goes along the edge with a constant speed to the opposite end-point. A circular loop  $l : S^1 \rightarrow F$  is said to be *polygonal* if the corresponding path  $I \xrightarrow{t \mapsto \exp(2\pi it)} S^1 \xrightarrow{l} F$  is polygonal.

**57.A.** Let  $F$  be a triangulated surface. Any path  $s : I \rightarrow F$  connecting vertices of the triangulation is homotopic to a polygonal path. Any circular loop  $l : S^1 \rightarrow F$  is freely homotopic to a polygonal one.

A polygonal path is a combinatorial object:

**57.B.** To describe a polygonal path up to homotopy, it is enough to specify the order in which it passes through vertices.

On the other hand, pushing a path to the one-dimensional skeleton can create new double points. Some edges may appear several times in the same edge.

**57.1.** Let  $F$  be a triangulated surface and  $\alpha$  be an element of  $\pi_1(F)$  different from 1. Prove that there exists a natural  $N$  such that for any  $n \geq N$  each polygonal loop representing  $\alpha^n$  passes through some edge of the triangulation more than once.

### 57°2. Bringing Loops to General Position

To avoid a congestion of paths on edges, one can add new edges, i.e., subdivide the triangulation, see Section 49°6.

**57.C.** Let  $F$  be a triangulated and  $u, v$  polygonal circular loops on  $F$ . Then there exist a subdivision of the triangulation of  $F$  and polygonal loops  $u', v'$  homotopic to  $u$  and  $v$ , respectively, such that  $u'(I) \cap v'(I)$  is finite.

**57.D.** Let  $F$  be a triangulated and  $u$  a polygonal circular loop on  $F$ . Then there exist a subdivision of the triangulation of  $F$  and a polygonal loop  $v$

homotopic to  $u$  such that  $v$  maps the preimage  $v^{-1}(\varepsilon)$  of any edge  $\varepsilon \subset v(I)$  homeomorphically onto  $\varepsilon$ . (In other words,  $v$  passes along each edge at most once).

Let  $u, v$  be polygonal circular loops on a triangulated surface  $F$  and  $a$  be an isolated point of  $u(I) \cap v(I)$ . Suppose  $u^{-1}(a)$  and  $v^{-1}(a)$  are one point sets. One says that  $u$  intersects  $v$  *transversally* at  $a$  if there exist a neighborhood  $U$  of  $a$  in  $F$  and a homeomorphism  $U \rightarrow \mathbb{R}^2$  which maps  $u(I) \cap U$  onto the  $x$ -axes and  $v(I) \cap U$  to  $y$ -axes.

Polygonal circular loops  $u, v$  on a triangulated surface are said to be in *general position* with respect each other, if  $u(I) \cap v(I)$  is finite, for each point  $a \in u(I) \cap v(I)$  each of the sets  $u^{-1}(a)$  and  $v^{-1}(a)$  contains a single point and  $u, v$  are transversal at  $a$ .

**57.E.** Any two circular loops on a triangulated surface are homotopic to circular loops, which are polygonal with respect to some subdivision of the triangulation and in general position with respect to each other.

For a map  $f : X \rightarrow Y$  denote by  $S_k(f)$  the set

$$\{a \in X \mid f^{-1}f(a) \text{ consists of } k \text{ elements}\}$$

and put

$$S(f) = \{a \in X \mid f^{-1}f(a) \text{ consists of more than 1 element}\}.$$

A polygonal circular loop  $l$  on a triangulated surface  $F$  is said to be *generic* if

- (1)  $S(l)$  is finite,
- (2)  $S(l) = S_2(l)$ ,
- (3) at each  $a \in l(S_2(l))$  the two branches of  $s(I)$  intersecting at  $a$  are transversal, that is  $a$  has a neighborhood  $U$  in  $F$  such that there exists a homeomorphism  $U \rightarrow \mathbb{R}^2$  mapping the images under  $s$  of the connected components of  $s^{-1}(U)$  to the coordinate axis.

**57.F.** Any circular loop on a triangulated surface is homotopic to a circular loop, which is polygonal with respect to some subdivision of the triangulation and generic.

Generic circular loops are especially suitable for graphic representation, because the image of a circular loop defines it to a great extend:

**57.G.** Let  $l$  be a generic polygonal loop on a triangulated surface. Then any generic polygonal loop  $k$  with  $k(S^1) = l(S^1)$  is homotopic in  $l(S^1)$  to either  $l$  or  $l^{-1}$ .

Thus, to describe a generic circular loop up to a reparametrization homotopic to identity, it is sufficient to draw the image of the loop on the surface and specify the direction in which the loop runs along the image.

The image of a generic polygonal loop is called a *generic (polygonal) closed connected curve*. A union of a finite collection of generic closed connected polygonal curves is called a *generic (polygonal) closed curve*. A generic closed connected curve without double points (i.e., an embedded oriented circle contained in the one-dimensional skeleton of a triangulated surface) is called a *simple polygonal closed curve*.

The adjective *closed* in the definitions above appears because there is a version of the definitions with (non-closed) paths instead of loops.

**57.H. Riddle.** What modifications in Problems 57.C – 57.G and corresponding definitions should be done to replace loops by paths everywhere?

By a *generic polygonal curve* we will mean a union of a finite collection of pairwise disjoint images of generic polygonal loops and paths.

### 57°3. Curves on Surfaces and Two-Fold Coverings

Let  $F$  be a two-dimensional triangulated surface and  $C \subset F$  a manifold of dimension one contained in the 1-skeleton of the triangulation of  $F$ . Let  $\partial C = \partial F \cap C$ . Since the preimage  $\tilde{C}$  of  $C$  under the natural projection  $F \times_{\mathbb{Z}_2} C \rightarrow F$  is a two-fold covering space of  $C$ , there is an involution  $\tau : \tilde{C} \rightarrow \tilde{C}$  which is the only nontrivial automorphism of this covering. Take two copies of  $F \times_{\mathbb{Z}_2} C$  and identify each  $x \in \tilde{C}$  in one of them with  $\tau(x)$  in the other copy. The resulting space is denoted by  $F^{\approx C}$ .

**57.I.** The natural projection  $F \times_{\mathbb{Z}_2} C \rightarrow F$  defines a continuous map  $F^{\approx C} \rightarrow F$ . This is a two-fold covering. Its restriction over  $F \setminus C$  is trivial.

### 57°4. One-Dimensional $\mathbb{Z}_2$ -Cohomology of Surface

By 56.Jx, a two-fold covering of  $F$  can be thought of as an element of  $H^1(F; \mathbb{Z}_2)$ . Thus any one-dimensional manifold  $C$  contained in the 1-skeleton of  $F$  and such that  $\partial C = \partial F \cap C$  defines a cohomology class of  $F$  with coefficients in  $\mathbb{Z}_2$ . This class is said to be *realized* by  $C$ .

**57.J.** The cohomology class with coefficients in  $\mathbb{Z}_2$  realized by  $C$  in a compact surface  $F$  is zero, iff  $C$  divides  $F$ , that is,  $F = G \cup H$ , where  $G$  and  $H$  are compact two-dimensional manifolds with  $G \cap H = C$ .

Recall that the cohomology group of a path-connected space  $X$  with coefficients in  $\mathbb{Z}_2$  is defined above in Section 56x as  $\text{Hom}(\pi_1(X), \mathbb{Z}_2)$ .

**57.K.** Let  $F$  be a triangulated connected surface, let  $C \subset F$  be a manifold of dimension one with  $\partial C = \partial F \cap C$  contained in the 1-skeleton of  $F$ . Let  $l$  be a polygonal loop on  $F$  which is in general position with respect to  $C$ . Then the value which the cohomology class with coefficients in  $\mathbb{Z}_2$  defined by  $C$  takes on the element of  $\pi_1(F)$  realized by  $l$  equals the number of points of  $l \cap C$  reduced modulo 2.

### 57°5. One-Dimensional $\mathbb{Z}_2$ -Homology of Surface

**57.L  $\mathbb{Z}_2$ -Classes via Simple Closed Curves.** Let  $F$  be a triangulated connected two-dimensional manifold. Every homology class  $\xi \in H_1(F; \mathbb{Z}_2)$  can be represented by a polygonal simple closed curve.

**57.M.** A  $\mathbb{Z}_2$ -homology class of a triangulated two-dimensional manifold  $F$  represented by a polygonal simple closed curve  $A \subset F$  is zero, iff there exists a compact two-dimensional manifold  $G \subset F$  such that  $A = \partial G$ .

Of course, the “if” part of 57.M follows straightforwardly from 56.Ox. The “only if” part requires trickier arguments.

**57.M.1.** If  $A$  is a polygonal simple closed curve on  $F$ , which does not bound in  $F$  a compact 2-manifold, then there exists a connected compact 1-manifold  $C \subset F$  with  $\partial C = \partial F \cap C$ , which intersects  $A$  in a single point transversally.

**57.M.2.** Let  $F$  be a two-dimensional triangulated surface and  $C \subset F$  a manifold of dimension one contained in the 1-skeleton of the triangulation of  $F$ . Let  $\partial C = \partial F \cap C$ . Any polygonal loop  $f : S^1 \rightarrow F$ , which intersects  $C$  in an odd number of points and transversally at each of them, is covered in  $F \approx C$  by a path with distinct end-points.

**57.M.3.** See 56.8x.

### 57°6. Poincaré Duality

*To be written!*

### 57°7. One-Sided and Two-Sided Simple Closed Curves on Surfaces

*To be written!*

### 57°8. Orientation Covering and First Stiefel-Whitney Class

*To be written!*

### 57°9. Relative Homology

*To be written!*



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# Hints, Comments, Advises, Solutions, and Answers

**1.1** The set  $\{\emptyset\}$  consists of one element, which is the empty set  $\emptyset$ . Of course, this element itself is the empty set and contains no elements, but the set  $\{\emptyset\}$  consists of a single element  $\emptyset$ .

**1.2** 1) and 2) are correct, while 3) is not.

**1.3** Yes, the set  $\{\{\emptyset\}\}$  is a singleton, its single element is the the set  $\{\emptyset\}$ .

**1.4** 2, 3, 1, 2, 2, 2, 1, 2 for  $x \neq \frac{1}{2}$  and 1 if  $x = \frac{1}{2}$ .

**1.5** (a)  $\{1, 2, 3, 4\}$ ; (b)  $\{\}$ ; (c)  $\{-1, -2, -3, -4, -5, -6, \dots\}$

**1.8** The set of solutions for a system of equations is equal to the intersection of the sets of solutions of individual equations belonging to the system.

**2.1** The solution involves the equality  $\cup(a_\alpha; +\infty) = (\inf a_\alpha; +\infty)$ . Prove it. By the way, the collection of closed rays  $[a; +\infty)$  is not a topological structure since it may happen that  $\cup[a_\alpha; +\infty) = (a_0; +\infty)$  (give an example).

**2.2** Yes, it is. A proof coincides almost literally with the solution of the preceding problem.

**2.3** The main point here is to realize that the axioms of topological structure are conditions on the *collection* of subsets, and if these conditions

are fulfilled, then the collection is a topological structure. The second collection is not a topological structure because it contains the sets  $\{a\}$ ,  $\{b, d\}$ , but does not contain  $\{a, b, d\} = \{a\} \cup \{b, d\}$ . Find two elements of the third collection such that their intersection does not belong to it. By this you would prove that this is not a topology. Finally, we easily see that all unions and intersections of elements of the first collection still belong to the first collection.

**2.10** The following sets are closed

- (1) in a discrete space: all sets;
- (2) in an indiscrete space: only the sets that are also open, i.e., the empty set and the whole space;
- (3) in the arrow:  $\emptyset$ , the whole space and segments of the form  $[0, a]$ ;
- (4) in  $\mathfrak{V}$ : the sets  $X, \emptyset, \{b, c, d\}, \{a, c, d\}, \{b, d\}, \{d\},$  and  $\{c, d\}$ ;
- (5) in  $\mathbb{R}_{T_1}$ : all finite sets and the whole  $\mathbb{R}$ .

**2.11** Here it is important to overcome the feeling that the question is completely obvious. Why is not  $(0, 1]$  open? If  $(0, 1] = \cup(a_\alpha, b_\alpha)$ , then  $1 \in (a_{\alpha_0}, b_{\alpha_0})$  for some  $\alpha_0$ , whence  $b_{\alpha_0} > 1$ , and it follows that  $\cup(a_\alpha, b_\alpha) \neq (0, 1]$ . The set

$$\mathbb{R} \setminus (0, 1] = (-\infty, 0] \cup (1, +\infty)$$

is not open for similar reasons. On the other hand, we have

$$(0, 1] = \bigcup_{n=1}^{\infty} \left[ \frac{1}{n}, 1 \right] = \bigcap_{n=1}^{\infty} \left( 0, \frac{n+1}{n} \right).$$

**2.13** Verify that  $\Omega = \{U \mid X \setminus U \in \mathcal{F}\}$  is a topological structure.

**2.14** A control sum: the number of such collections is 14.

**2.15** By this point, you must already know everything needed for solving this problem, so solve it on your own. Please, don't be lazy.

**3.1** Certainly not! A topological structure is recovered from its base as the set of unions of all collections of sets belonging to the base.

**3.2**

- (1) A discrete space admits the base consisting of all one-point subsets of the space and this base is minimal. (Why?)
- (2) For a base in  $\mathfrak{V}$ , we can take, say,  $\{\{a\}, \{b\}, \{a, c\}, \{a, b, c, d\}\}$ .
- (3) The minimal base in indiscrete space is formed by a single set: the whole space.
- (4) In the arrow,  $\{[0, +\infty), (r, +\infty)\}_{r \in \mathbb{Q}_+}$  is a base.

**3.3** We will show that, removing any element from any base of the standard topology of the line, we obtain a base of the same topology! Let  $U$  be an arbitrary element of a base. It can be presented as a union of open intervals that are shorter than the distance between some two points of  $U$ . We would need at least two such intervals. Each of the intervals, in turn, is a union of sets of the base under consideration.  $U$  is not involved into these unions since  $U$  is not contained in so short intervals. Hence,  $U$  is a union of elements of the base distinct from  $U$ , and it can be replaced by this union in a presentation of an open set as a union of elements of the base.

**3.4** The whole topological structure is its own base. So, the question is when this is the only base. No open set in such a space is a union of two open sets distinct from it. Hence, open sets are linearly ordered by inclusion. Furthermore, the space should contain no increasing infinite sequence of open sets since otherwise an open set could be obtained as a union of sets in such a sequence.

**3.5, 3.6** In solution of each of these problems the following easy lemma may be of use:  $A = \bigcup B_\alpha$ , where  $B_\alpha \in \mathcal{B}$  iff  $\forall x \in A \exists B_x \in \mathcal{B} : x \in B_x \subset A$ .

**3.7** The statement: “ $\mathcal{B}$  is a base of a topological structure” is equivalent to the following: the set of unions of all collections of sets belonging to  $\mathcal{B}$  is a topological structure.  $\Sigma^1$  is a base of some topology by 3.5 and 3.6. So, you must to prove analogs of 3.6 for  $\Sigma^2$  and  $\Sigma^\infty$ . To prove the coincidence of the structures determined, say, by the bases  $\Sigma^1$  and  $\Sigma^2$ , you need to prove that a union of disks can be presented as a union of squares, and vice versa. Is it sufficient to prove that a disk is a union of squares? What is the simplest way to do this? (Cf. our advice concerning 3.5 and 3.6.)

**3.9** Observe that the intersection of several arithmetic progressions is an arithmetic progression.

**3.10** Since the sets  $\{i, i+d, i+2d, \dots\}$ ,  $i = 1, \dots, d$ , are open, pairwise disjoint and cover the whole  $\mathbb{N}$ , it follows that each of them is closed. In particular, for each prime number  $p$  the set  $\{p, 2p, 3p, \dots\}$  is closed. All together, the sets of the form  $\{p, 2p, 3p, \dots\}$  cover  $\mathbb{N} \setminus \{1\}$ . Hence, if the set of prime numbers were finite, then the set  $\{1\}$  would be open. However, it is not a union of arithmetic progressions.

**3.11** The inclusion  $\Omega_1 \subset \Omega_2$  means that a set open in the first topology (i.e., belonging to  $\Omega_1$ ) also belongs to  $\Omega_2$ . Therefore, you must only prove that  $\mathbb{R} \setminus \{x_i\}_{i=1}^n$  is open in the canonical topology of the line.

**4.2** Cf. 4.B.

**4.4** Look for the answer to 4.7.

**4.7** Squares with sides parallel to the coordinate axes and bisectors of the coordinate angles, respectively.

**4.8** We have  $D_1(a) = X$ ,  $D_{1/2}(a) = \{a\}$ , and  $S_{1/2}(a) = \emptyset$ .

**4.9** For example, let  $X = D_1(0) \subset \mathbb{R}^1$ . Then  $D_{3/2}(5/6) \subset D_1(0)$ .

**4.10** Three points suffice.

**4.11** Let  $R > r$  and  $D_R(b) \subset D_r(a)$ . Take  $c \in D_R(b)$  and use the triangle inequality  $\rho(b, c) \leq \rho(b, a) + \rho(a, c)$ .

**4.12** Put  $u = b - x$  and  $t = x - a$ . The Cauchy inequality becomes an equality iff the vectors  $u$  and  $t$  have the same direction, i.e.,  $x$  lies on the segment connecting  $a$  and  $b$ .

**4.13** For the metric  $\rho^{(p)}$  with  $p > 1$ , this set is the segment connecting  $a$  and  $b$ , while for the metric  $\rho^{(1)}$  it is a rectangular parallelepiped whose opposite vertices are  $a$  and  $b$ .

**4.14** See the proof of 4.F.

**4.19** The discrete one.

**4.20** Just recall that you need to prove that  $X \setminus D_r(a) = \{x \mid \rho(x, a) > r\}$  is open.

**4.23** Use the obvious equality  $X \setminus S_r(a) = B_r(a) \cup (X \setminus D_r(a))$  and the result of 4.20.

**4.25** Only the line and discrete spaces.

**4.26** By 3.7, for  $n = 2$  metrics  $\rho^{(2)}$ ,  $\rho^{(1)}$ , and  $\rho^{(\infty)}$  are equivalent; similar arguments work for  $n > 2$ , too. Cf. 4.30.

**4.27** First, we prove that  $\Omega_2 \subset \Omega_1$  provided that  $\rho_2(x, y) \leq C\rho_1(x, y)$ .

Indeed, the inequality  $\rho_2 \leq C\rho_1$  implies  $B_r^{(\rho_1)}(a) \subset B_{Cr}^{(\rho_2)}$ . Now let us use Theorem 4.I. The inequality  $c\rho_1(x, y) \leq \rho_2(x, y)$  can be written as  $\rho_1(x, y) \leq \frac{1}{c}\rho_2(x, y)$ . Hence,  $\Omega_1 \subset \Omega_2$ .

**4.28** The metrics  $\rho_1(x, y) = |x - y|$  and  $\rho_2(x, y) = \arctan |x - y|$  on the line are equivalent, but obviously there is no constant  $C$  such that  $\rho_1 \leq C\rho_2$ .

**4.29** Two metrics  $\rho_1$  and  $\rho_2$  are equivalent if there exist  $c, C, d > 0$  such that  $\rho_1(x, y) \leq d$  implies  $c\rho_1(x, y) \leq \rho_2(x, y) \leq C\rho_1(x, y)$ .

**4.30** Use the result of Problem 4.27. Show that for any pair of metrics  $\rho^{(p)}$ ,  $1 \leq p \leq \infty$  there exist appropriate constants  $c$  and  $C$ .

**4.31** We have  $\Omega_1 \subset \Omega_C$  because  $\rho_1(f, g) \leq \rho_C(f, g)$ . On the other hand, there is no  $\rho_1$ -ball centered at the origin is contained in  $B_1^{(\rho_C)}(0)$  since for each  $\varepsilon > 0$  there exists a function  $f$  such that  $\int_0^1 |f(x)| dx < \varepsilon$  and  $\max_{[0,1]} |f(x)| \geq 1$ , so  $\Omega_C \not\subset \Omega_1$ .

**4.32** Clearly, in all five cases the only thing which is to be proved and is not completely obvious is the triangle inequality. It is also obvious for  $\rho_1 + \rho_2$ . Furthermore,

$$\rho_1(x, y) \leq \rho_1(x, z) + \rho_1(z, y) \leq \max\{\rho_1(x, z), \rho_2(x, z)\} + \max\{\rho_1(y, z), \rho_2(y, z)\}.$$

A similar inequality holds true for  $\rho_2(x, y)$ , therefore  $\max\{\rho_1, \rho_2\}$  is a metric. Construct examples which would prove that neither  $\min\{\rho_1, \rho_2\}$ , nor  $\frac{\rho_1}{\rho_2}$ , nor  $\rho_1\rho_2$  is a metric. (To do this, it would suffice to find three points with appropriate pairwise distances.)

**4.33** Assertion (c) is quite obvious. Assertions (a) and (b) follow from (c) for  $f(t) = \frac{t}{1+t}$  and  $f(t) = \min\{1, t\}$ , respectively. Thus, it suffices to check that these functions satisfy the assumptions of the assertion (c).

**4.34** Since  $\frac{\rho}{1+\rho} \leq \rho$ , and the inequality  $\frac{1}{2}\rho(x, y) \leq \frac{\rho(x, y)}{1+\rho(x, y)}$  holds true for  $\rho(x, y) \leq 1$ , the statement follows from the result of 4.29.

**5.1** In the same way as the relative topology: if  $\Sigma$  is a base in  $X$ , then  $\Sigma_A = \{A \cap V \mid V \in \Sigma\}$  is a base of the relative topology in  $A$ .

## 5.2

- (1) Discrete, because  $(n-1, n+1) \cap \mathbb{N} = \{n\}$ ;
- (2)  $\Omega_{\mathbb{N}} = \{(k, k+1, k+2, \dots)\}_{k \in \mathbb{N}}$ ;
- (3) discrete;
- (4)  $\Omega = \{\emptyset, \{2\}, \{1, 2\}\}$ .

**5.3** Yes, it is open since  $[0, 1) = (-1, 1) \cap [0, 2]$ , and  $(-1, 1)$  is open on the line.

**5.5**  $\Leftrightarrow$  Set  $V = U$ .  $\Leftarrow$  Use Problem 5.E.

**5.6** Consider the interval  $(-1, 1) \subset \mathbb{R} \subset \mathbb{R}^2$  and the open disk with radius 1 and center at  $(0, 0)$  on the plane  $\mathbb{R}^2$ . Another solution is suggested by the following general statement: any open set is locally closed. Indeed, if  $U$  is open in  $X$ , then  $U$  is a neighborhood of each of its points, while  $U \cap U$  is closed in  $U$ .

**5.7** The metric topology in  $A$  is determined by the base  $\Sigma_1 = \{B_r^A(a) \mid a \in A\}$ , where  $B_r^A(a) = \{x \in A \mid \rho(x, a) < r\}$  is the open ball in  $A$  with center  $a$  and radius  $r$ . The second topology is determined by the base  $\Sigma_2 = \{A \cap B_r(x) \mid x \in X\}$ , where  $B_r(x)$  is an open ball in  $X$ . Obviously,  $B_r^A(a) = A \cap B_r(a)$  for  $a \in A$ . Therefore  $\Sigma_1 \subset \Sigma_2$ , whence  $\Omega_1 \subset \Omega_2$ . However, it may happen that  $\Sigma_1 \neq \Sigma_2$ . It remains to prove that elements of

$\Sigma_2$  are open in the topology determined by  $\Sigma_1$ . For this purpose, check that for each point  $x$  of an element  $U \in \Sigma_2$ , there is  $V \in \Sigma_1$  such that  $x \in V \subset U$ .

**6.1** We have  $\text{Int}\{a, b, d\} = \{a, b\}$  since this is really the greatest set that is open in  $\mathfrak{V}$  and contained in  $\{a, b, d\}$ .

**6.2** The interior of the interval  $(0, 1)$  on the line with the Zariski topology is empty because no nonempty open set of this space is contained in  $(0, 1)$ .

**6.3** Indeed,

$$\text{Cl}_A B = \bigcap_{\substack{F \supset B, \\ A \setminus F \in \Omega_A}} F = \bigcap_{\substack{H \supset B, \\ X \setminus H \in \Omega}} (H \cap A) = A \cap \bigcap_{\substack{H \supset B, \\ X \setminus H \in \Omega}} H = A \cap \text{Cl}_X B.$$

The second equality may be obviously violated. Indeed, let  $X = \mathbb{R}^2$ ,  $A = B = \mathbb{R}^1$ . Then  $\text{Int}_A B = \mathbb{R}^1 \neq \emptyset = (\text{Int}_X B) \cap A$ .

**6.4**  $\text{Cl}\{a\} = \{a, c, d\}$ .

**6.5**  $\text{Fr}\{a\} = \{c, d\}$ .

**6.6** 1) This follows from 6.K. 2) See 6.7.

**6.8** In  $(X, \Omega_1)$  there are less open sets, and hence less closed sets than in  $(X, \Omega_2)$ . Therefore the intersection of all sets closed in  $(X, \Omega_1)$  and containing  $A$  cannot be smaller than the intersection of all sets closed in  $(X, \Omega_2)$  and containing  $A$ .

**6.9**  $\text{Int}_1 A \subset \text{Int}_2 A$ .

**6.10** Since  $\text{Int} A$  is an open set contained in  $B$ , it is contained in  $\text{Int} B$ , which is the greatest one of such sets.

**6.11** Since the set  $\text{Int} A$  is open, it coincides with its interior.

**6.12** (8) Obvious inclusion  $\text{Int} A \cap \text{Int} B \subset A \cap B$  implies  $\text{Int} A \cap \text{Int} B \subset \text{Int}(A \cap B)$ . Further, we have  $\text{Int} A \supset \text{Int}(A \cap B)$  since  $A \supset A \cap B$ . Similarly,  $\text{Int} B \supset \text{Int}(A \cap B)$ . Therefore,  $\text{Int} A \cap \text{Int} B \supset \text{Int}(A \cap B)$ . (9) The second statement is not correct, see Problem 6.13.

**6.13**  $\text{Int}([-1, 0] \cup [0, 1]) = (-1, 1) \neq (-1, 0) \cup (0, 1) = \text{Int}[-1, 0] \cup \text{Int}[0, 1]$ .

**6.14**  $\text{Int} A \cup \text{Int} B$  is an open set contained in  $A \cup B$ , hence  $\text{Int} A \cup \text{Int} B$  is contained in the interior of  $A \cup B$ . Thus,  $\text{Int} A \cup \text{Int} B \subset \text{Int}(A \cup B)$ .

**6.15** If  $A \subset B$ , then we have  $\text{Cl} A \subset \text{Cl} B$ ,  $\text{Cl} \text{Cl} A = \text{Cl} A$ ,  $\text{Cl} A \cup \text{Cl} B = \text{Cl}(A \cup B)$ , and  $\text{Cl} A \cap \text{Cl} B \supset \text{Cl}(A \cap B)$ .

**6.16**  $\text{Cl}\{1\} = [0, 1]$ ,  $\text{Int}[0, 1] = \emptyset$ ,  $\text{Fr}(2, +\infty) = [0, 2]$ .

**6.17**  $\text{Int}((0, 1] \cup \{2\}) = (0, 1)$ ,  $\text{Cl}\{\frac{1}{n} \mid n \in \mathbb{N}\} = \{0\} \cup \{\frac{1}{n} \mid n \in \mathbb{N}\}$ ,  $\text{Fr} \mathbb{Q} = \mathbb{R}$ .

**6.18**  $\text{Cl}\mathbb{N} = \mathbb{R}$ ,  $\text{Int}(0, 1) = \emptyset$ , and  $\text{Fr}[0, 1] = \mathbb{R}$ . Indeed, in  $\mathbb{R}_{T_1}$  closed sets are either a finite set or the whole line. Therefore the closure of any infinite set is ...

**6.19** Yes, it does. Indeed, since  $D_r(x)$  is closed, we have  $\text{Cl}B_r(x) \subset D_r(x)$ , whence

$$\text{Fr}B_r(x) = \text{Cl}B_r(x) \setminus B_r(x) \subset D_r(x) \setminus B_r(x) = S_r(x).$$

**6.20** Yes, it does. Indeed, since  $B_r(x)$  is open, we have  $\text{Int}D_r(x) \supset B_r(x)$ , whence

$$\text{Fr}D_r(x) = D_r(x) \setminus \text{Int}D_r(x) \subset D_r(x) \setminus B_r(x) = S_r(x).$$

**6.21** Let  $X = [0, 1] \cup \{2\}$  with metric  $\rho(x, y) = |x - y|$ . Then  $S_2(0) = \{2\}$  and  $\text{Cl}B_2(0) = [0, 1]$ .

**6.22.1** For instance,  $A = [0, 1)$ .

**6.22.2** Take  $A = [0, 1) \cup (1, 2] \cup (\mathbb{Q} \cap [3, 4]) \cup \{5\}$ .

**6.22.3** Since  $\text{Int}A \subset \text{ClInt}A$  and  $\text{Int}A$  is open, it follows that  $\text{Int}A \subset \text{IntClInt}A$ . Therefore,  $\text{ClInt}A \subset \text{ClIntClInt}A$ . Since  $\text{IntClInt}A \subset \text{ClInt}A$  and  $\text{ClInt}A$  is closed, it follows that  $\text{ClInt}A \supset \text{ClIntClInt}A$ .

**6.23** Let us consecutively construct sets  $J_n$ ,  $n \geq 1$ , such that  $J_n$  is a union of intervals of length  $3^{-n}$ . Put  $J_0 = \bigcup_{n \in \mathbb{Z}} (2n, 2n + 1)$ . If the sets  $J_0, \dots, J_{n-1}$  are constructed, then let  $J_n$  be the union of the middle thirds of the segments constituting  $\mathbb{R} \setminus \bigcup_{k=0}^{n-1} J_k$ . If  $A = \bigcup_{k=0}^{\infty} J_{3k}$ ,  $B = \bigcup_{k=0}^{\infty} J_{3k+1}$ , and  $C = \bigcup_{k=0}^{\infty} J_{3k+2}$ , then  $\text{Fr}A = \text{Fr}B = \text{Fr}C = \text{Cl}(\bigcup_{k=0}^{\infty} \text{Cl}J_k)$ . (In a similar way, we easily construct an infinite family of open sets with common boundary.)

**6.24** If the endpoints of two segments are close to each other, then each point on one of them is close to a point on the other one. If two points belong to the interior of a convex set, then the convex set contains a cylindrical neighborhood of the segment connecting the points.

**6.27** By (1),  $X \in \Omega$ . From (2) it follows that  $\text{Cl}_*X = X$ , whence  $\emptyset \in \Omega$ . For  $U_1, U_2 \in \Omega$ , (3) implies that  $U_1 \cap U_2 \in \Omega$ . Prior to checking that the 1st axiom of topological structure is fulfilled, show that it implies monotonicity of  $\text{Cl}_*$ : if  $A \subset B$ , then  $\text{Cl}_*A \subset \text{Cl}_*B$ , and deduce that  $\text{Cl}_*(\bigcap_{\alpha} A_{\alpha}) \subset \bigcap_{\alpha} \text{Cl}_*A_{\alpha}$  for any family of sets  $A_{\alpha}$ .

To prove that the operations  $\text{Cl}_*$  and the closure coincide, we recommend, as usual, to replace equality of sets by two inclusions and use the fact that a set  $F$  is closed iff  $F = \text{Cl}_*F$ . (You must use property (4) somewhere!)

**6.29** 1) Nonempty sets; 2) unbounded sets; 3) infinite sets.

**6.30**  $\Leftrightarrow$  In a discrete space, each set is closed, hence the only everywhere-dense set is the whole space.  $\Leftarrow$  Argue by contradiction. If the space  $X$  is not discrete, then there exists a point  $x$  such that the singleton  $\{x\}$  is not open, and hence  $X \setminus x$  is everywhere dense, as well as the entire  $X$ .

**6.31** There are many ways to formulate this property. For example, the intersection of all nonempty open sets is nonempty. See 2.6.

**6.32** 1) Yes, it is. This follows from monotonicity of closure. 2) No, it is not. The easiest counter-example can be constructed in an indiscrete space. We recommend to construct a counter-example in  $\mathbb{R}$  and take  $\mathbb{Q}$  as one of the sets.

**6.33** Let  $A$  and  $B$  be two open everywhere-dense sets,  $U$  an open set. Hint:  $U \cap (A \cap B) = (U \cap A) \cap B$ .

**6.34** Only one of two sets needs to be open.

**6.35** 1) Let  $\{U_k\}$  be a countable family of open everywhere-dense sets,  $V$  a nonempty open set on the line. Construct a sequence of nested segments  $[a_1, b_1] \supset \cdots \supset [a_n, b_n] \supset \dots$  such that  $[a_n, b_n] \subset V \cap \bigcap_{k=1}^n U_k$  and  $b_n - a_n \rightarrow 0$ . The point  $\sup\{a_n\} = \inf b_n$  belongs to each of the segments. Therefore,  $V \cap \bigcap_{k=1}^{\infty} U_k \neq \emptyset$ , and hence  $\bigcap_{k=1}^{\infty} U_k$  is everywhere dense. 2) The second question is answered in the negative.

**6.36** Let  $U_n \supset \mathbb{Q}$ ,  $n \in \mathbb{N}$ , be open sets. Since they contain  $\mathbb{Q}$ , all of them are everywhere dense. First, we enumerate all rational numbers: let  $\mathbb{Q} = \{x_n \mid n \in \mathbb{N}\}$ . After that, we find a segment  $[a_1, b_1] \subset U_1$  such that  $x_1 \notin [a_1, b_1]$ . Since  $U_2$  is everywhere dense, it contains a segment  $[a_2, b_2] \subset [a_1, b_1] \cap U_2$  such that  $x_2 \notin [a_2, b_2]$ . Proceeding further in this way, we obtain a nested sequence  $\{[a_n, b_n]\}$  of segments such that 1)  $[a_n, b_n] \subset U_n$  and 2)  $x_n \notin [a_n, b_n]$ . By a standard theorem of Calculus, there exists a point  $c \in \bigcap_1^{\infty} [a_n, b_n]$ . Obviously,  $c \in (\bigcap U_n) \setminus \mathbb{Q}$ .

**6.37** Of course, it cannot, because the exterior of an everywhere dense set is empty (We assume that  $X \neq \emptyset$ ).

**6.38** It is empty.

**6.39** Yes, it is.

**6.40** It suffices to observe we have  $X \setminus \text{Int Cl } A = \text{Cl}(X \setminus \text{Cl } A) = \text{Cl}(\text{Int}(X \setminus A)) = X$ .

**6.41** 1) Let  $F$  be a closed set in a space  $X$ . Then  $\text{Fr } F$  has the exterior  $X \setminus \text{Int Fr } F = (X \setminus F) \cup \text{Int } F$ . Therefore,  $\text{Cl}(X \setminus \text{Int Fr } F) = \text{Cl}((X \setminus F) \cup \text{Int } F)$

$\text{Int } F) = X$  because  $\text{Cl}(X \setminus F) = (X \setminus F) \cup \text{Fr } F$ .

2) Yes, this is also true. The boundary of an open set  $U$  is nowhere dense since  $\text{Fr } U$  is also the boundary of the closed set  $X \setminus U$ .

3) For arbitrary sets the statement is not true, in general: for instance,  $\text{Fr } \mathbb{Q} = \mathbb{R}$ .

**6.42** Clearly,

$$X \setminus \text{Cl}(\cup A_i) = X \setminus \cup \text{Cl} A_i = \cap (X \setminus \text{Cl} A_i).$$

Now the result follows from 6.33.

**6.43** This set is  $\text{Int } \text{Cl } A$ .

**6.44** Let  $Y_n \subset \mathbb{R}$ ,  $n \in \mathbb{N}$ , be nowhere-dense sets. Since  $Y_1$  is nowhere dense, there is a segment  $[a_1, b_1] \subset \mathbb{R} \setminus Y_1$ . Since  $Y_2$  also is nowhere dense,  $[a_1, b_1]$  contains a segment  $[a_2, b_2] \subset \mathbb{R} \setminus Y_2$ , and so on. Proceeding further in this way, we obtain a sequence of nested segments  $\{[a_n, b_n]\}$  such that  $[a_n, b_n] \subset \mathbb{R} \setminus Y_n$ . By a standard theorem of Calculus, there exists a point  $c \in \cap_1^\infty [a_n, b_n]$ . Obviously,  $c \in \mathbb{R} \setminus \cap_{n=1}^\infty Y_n \neq \emptyset$ .

**6.45** For example, each point of a finite subset  $A$  of the line is an adherent point of  $A$ , but not a limit point.

**6.47** The set of limit points of  $\mathbb{N}$  in  $\mathbb{R}_{T_1}$  is the whole  $\mathbb{R}_{T_1}$ .

**6.48** (1)  $\implies$  (2): Consider  $V = \bigcup_{x \in A} U_x$ , where  $U_x$  are the neighborhoods that exist by the definition of local closeness, and show that  $A = V \cap \text{Cl } A$ .

(2)  $\implies$  (3): Use the definition of the relative topology induced on a subset.

(3)  $\implies$  (1): For neighborhoods  $U_x$ , one can take a set independent on  $x$ .

**7.1** No, because it is not antisymmetric. Indeed,  $-1|1$  and  $1|-1$ , but  $-1 \neq 1$ .

**7.2** The hypotheses of Theorem 7.J turn into the following restrictions on  $C$ :  $C$  is closed with respect to addition, contains the zero, and no non-identity translation maps  $C$  bijectively onto itself.

**7.6** 1) Obviously, the greatest element is maximal and the smallest one is minimal, but the converse statements are not true. 2) These notions coincide for any subset of a poset, iff any two elements of the poset are comparable (i.e., one of them is greater than the other).  $\Leftrightarrow$  Indeed, consider, e.g., a two-element subset. If the two elements were incomparable, then each of them would be maximal, and hence, by assumption, the greatest. However, the greatest element is unique. A contradiction.  $\Leftarrow$  Comparability of any two elements obviously implies that in any subset any maximal element is the greatest one, and any minimal element is the smallest one.

**7.9** The relation of inclusion in the set of all subsets of  $X$  is a linear order iff  $X$  is either empty or one-point.

**7.11** Consider, say, the following condition: for arbitrary  $a$ ,  $b$ , and  $c$  such that  $a \prec c$  and  $b \prec c$ , there exists an element  $d$  such that  $a \preceq d$ ,  $b \preceq d$ , and  $d \prec c$ . Show that this condition implies that the right rays form a base of a topology; show that it holds true in any linearly ordered set. Also show that this condition holds true if the right rays form a base of a topology.

**7.13** A point open in the poset topology is maximal in the entire poset. Similarly, a point closed in the poset topology is minimal in the entire poset.

**7.14** Rays of the forms  $(a, \infty)$  and  $[a, \infty)$ , the empty set, and the whole line.

**7.16** The lower cone of the point.

**7.17** A singleton consisting of an element that is greater than any other element of the entire poset.

**8.1** Yes, they do. Let us prove, for example, the latter equality. Let  $x \in f^{-1}(Y \setminus A)$ . Then  $f(x) \in Y \setminus A$ , whence  $f(x) \notin A$ . Therefore,  $x \notin f^{-1}(A)$  and  $x \in X \setminus f^{-1}(A)$ . We have thus proved that  $f^{-1}(Y \setminus A) \subset X \setminus f^{-1}(A)$ . Each step in this argument is reversible. The reversing gives rise to the opposite inclusion.

**8.2** Let us prove (13). If  $y \in f(A \cup B)$ , then we can find  $x \in A \cup B$  such that  $f(x) = y$ . If  $x \in A$ , then  $y \in f(A)$ , while if  $x \in B$ , then  $y \in f(B)$ . In both cases we have  $y \in f(A) \cup f(B)$ . The inverse inclusion has even simpler proof. Inclusion  $A \subset A \cup B$  implies  $f(A) \subset f(A \cup B)$ . Similarly,  $f(B) \subset f(A \cup B)$ . Thus  $f(A) \cup f(B) \subset f(A \cup B)$ . The other two equalities may happen to be wrong, see 8.3 and 8.4.

**8.3** Consider the constant map  $f : \{0, 1\} \rightarrow \{0\}$ . Let  $A = \{0\}$  and  $B = \{1\}$ . Then  $f(A) \cap f(B) = \{0\}$ , while  $f(A \cap B) = f(\emptyset) = \emptyset$ . Similarly,  $f(X \setminus A) = f(B) = \{0\} \neq \emptyset$ , although  $Y \setminus f(A) = \emptyset$ .

**8.4** We have  $f(A \cap B) \subset f(A) \cap f(B)$ . (Prove this!) However, there is no natural inclusion between  $f(X \setminus A)$  and  $Y \setminus f(A)$ . Indeed, we can arbitrarily change a map on  $X \setminus A$  without changing it on  $A$ , and hence without changing  $Y \setminus f(A)$ .

**8.5** The bijectivity of  $f$  suffices for any equality of this kind. The Injectivity is necessary and sufficient for (14), but the surjectivity is necessary for (15). Thus, the bijectivity of  $f$  is necessary to make correct all equalities of 8.2.

**8.6** We probe only the inclusion  $\subset$ . Let  $y \in B \cap f(A)$ . Then  $y = f(x)$ , where  $x \in A$ . On the other hand,  $x \in f^{-1}(B)$ , whence  $x \in f^{-1}(B) \cap A$ , and therefore  $y \in f(f^{-1}(B) \cap A)$ . Prove the opposite inclusion on your own.

**8.7** No, not necessarily. Example:  $f : \{0\} \rightarrow \{0, 1\}$ ,  $g : \{0, 1\} \rightarrow \{0\}$ . Surely,  $f$  must be injective (see 8.K), and  $g$  surjective (see 8.M).

**9.1** The map  $\text{id}$  is continuous iff  $U = \text{id}^{-1}(U) \in \Omega_1$  for each  $U \in \Omega_2$ , i.e.,  $\Omega_2 \subset \Omega_1$ .

**9.2** (a), (d): Yes, it is. (b), (c): Not necessarily.

**9.3** 1) Any map  $X \rightarrow Y$  is continuous. 2) A map  $Y \rightarrow X$  is continuous iff the preimage of each point is open. Only constant maps  $Y \rightarrow X$  (i.e., the maps that map the whole  $Y$  to a single point of  $X$ ) can be surely said to be continuous.

**9.4** 1) A map  $X \rightarrow Y$  is continuous iff its image is indiscrete. Therefore only constant maps  $X \rightarrow Y$  are continuous independently on the topology in  $Y$ . 2) All maps  $Y \rightarrow X$  are continuous.

**9.5**  $\Omega' = \{f^{-1}(U) \mid U \in \Omega\}$  is a topology in  $A$ . Furthermore, this is the coarsest topology in  $A$  with respect to which  $f$  is continuous.

**9.6**  $\Rightarrow$   $A \subset \text{Cl} A$  for any  $A$ . Hence  $f^{-1}(A) \subset f^{-1}(\text{Cl} A)$ . If  $f$  is continuous, then  $f^{-1}(\text{Cl} A)$  is closed, and  $f^{-1}(A) \subset f^{-1}(\text{Cl} A)$  implies  $\text{Cl} f^{-1}(A) \subset f^{-1}(\text{Cl} A)$ .  $\Leftarrow$  For  $A$  closed, we have  $\text{Cl} f^{-1}(A) \subset f^{-1}(A)$ . Therefore,  $f^{-1}(A)$  coincides with its closure, and hence is closed. Thus the preimage of any closed set is closed. By 9.A, the map  $f$  is continuous.

**9.7**  $f$  is continuous, iff

- $\text{Int} f^{-1}(A) \supset f^{-1}(\text{Int} A)$  for any  $A \subset Y$ , iff
- $\text{Cl} f(A) \supset f(\text{Cl} A)$  for any  $A \subset X$ , iff
- $\text{Int} f(A) \subset f(\text{Int} A)$  for any  $A \subset X$ .

**9.8**  $\Rightarrow$  By definition.  $\Leftarrow$  Use the fact that the preimage of an open set is a union of preimages of base sets.

**9.9** An experience with continuous functions gained in Calculus and a natural expectation that the continuity studied in Calculus is not too different from the continuity studied here give a strong evidence in favor of a negative answer. The following argument based on the above definition also provides it: the set  $U = (1, 2]$  is open in  $[0, 2]$ , but its preimage  $f^{-1}((1, 2]) = [1, 2)$  is not.

**9.10** Yes,  $f$  is continuous. Consider what a set  $f^{-1}(a, +\infty)$  (i.e., the preimage of a set open in the arrow) can be. By the way, what about continuity of map  $g$  coinciding with  $f$  everywhere besides at  $x = 1$ , and with  $g(1) = 2$ ?

**9.11** Constant maps. If, for instance,  $0, 1 \in f(\mathbb{R}_Z)$ , then consider the sets  $f^{-1}(-\infty, \frac{1}{2})$  and  $f^{-1}(\frac{1}{2}, +\infty)$ . Can both of them be open?

**9.12** Constant maps and maps such that the preimage of each point is finite.

**9.13** The functions that are monotonically increasing and continuous from the left. (Recall that a monotonically increasing function  $f$  is continuous from the left if  $\sup\{f(x) \mid x < a\} = f(a)$  for each  $a$ .)

**9.14** The map  $f$  is continuous, while  $g^{-1}$  is not. Indeed, the topology on  $\mathbb{Z}_+$  is discrete, while the singleton  $\{0\}$  is not open in the topology on  $f(\mathbb{Z}_+)$ .

**9.15** Let  $A$  be an everywhere dense subset of a space  $X$ , and let  $f : X \rightarrow Y$  be a continuous surjection. By Theorem 6.M, it suffices to prove that  $f(A)$  meets any nonempty open subset  $U$  of  $Y$ . Since  $f$  is surjective and continuous, the preimage  $f^{-1}(U)$  of such a set is also nonempty and open. Therefore, its intersection with everywhere dense subset  $A$  of  $X$  is nonempty. Hence,  $U \cap f(A)$  is nonempty.

**9.16** Of course, it is not true. For example, the projection  $\mathbb{R}^2 \rightarrow \mathbb{R} : (x, y) \mapsto x$  maps the line  $\{(x, y) \in \mathbb{R}^2 \mid y = 0\}$ , which is nowhere dense in  $\mathbb{R}^2$ , onto the whole target space.

**9.17** Yes, such a set exists. Take for  $A$  the Cantor set and consider the map that sends the number  $\sum_{i=1}^{+\infty} \frac{a_i}{3^i}$ , where  $a_i = 0; 2$ , to the number  $\sum_{i=1}^{+\infty} \frac{a_i}{2^{i+1}}$ .

It must be checked that this map is continuous. Please, do this on your own.

**9.18** Let us prove the first statement. Let  $U_a$  be a neighborhood of  $a \in X$  such that  $f(U_a) \subset (-\frac{\varepsilon}{2} + f(a), f(a) + \frac{\varepsilon}{2})$ , and let  $V_a$  be a similar neighborhood for  $g$ . Taking  $W_a = U_a \cap V_a$ , we obtain  $(f + g)(W_a) \subset (-\varepsilon + f(a), f(a) + \varepsilon)$ .

**9.20** Put

$$f_i(x) = \begin{cases} 0 & x \leq 0, \\ ix & 0 \leq x \leq \frac{1}{i}, \\ 1 & x \geq \frac{1}{i}. \end{cases}$$

Then the formula  $x \mapsto \sup\{f_i(x) \mid i \in \mathbb{N}\}$  determines a function that takes value 0 at  $x \leq 0$  and 1 at  $x > 0$ .

**9.21** The topology in  $\mathbb{R}^n$  is generated by the metric

$$\rho^{(\infty)}(x, y) = \max\{|x_1 - y_1|, \dots, |x_n - y_n|\}$$

(see 4.26). Observe that  $\rho^{(\infty)}(f(x), f(a)) < \varepsilon$  iff  $|f_i(x) - f_i(a)| < \varepsilon$  for all  $i = 1, 2, \dots, n$ .

**9.22** Use 9.21 and 9.18.

**9.23** Use 9.21, 9.18, and 9.19.

**9.24** If  $\Omega'$  is a topology such that the map  $x \mapsto \rho(x, A)$  is continuous for each  $A$ , then  $\Omega'$  contains all open balls. Therefore,  $\Omega'$  contains all sets open in the metric topology.

**9.25** If  $\rho(x, a) < \varepsilon$ , then  $\rho(f(x), f(a)) \leq \alpha\varepsilon < \varepsilon$ .

**9.27** Where we deal with closed sets.

**9.28** Use the following property of polynomials: a polynomial  $P$  with real coefficients that takes value 0 on a nonempty open set identically vanishes. For polynomials in one variable, this property easily follows from the Bezout theorem, while for polynomials in many variables it is proved by induction on the number of variables. The continuity of the function  $x \mapsto P(x)$  on  $\mathbb{R}^n$  implies that the set of zeros  $\{x \mid P(x) = 0\}$  of  $P$  is closed. Cf. 9.O.

**9.29** In cases (a), (c), and (d), this is not true. Consider functions constant on each element of these covers, but not constant on the whole space.

In case (b), this is true. Try to prove this using arguments that you know from calculus. (Cf. 9.T.)

**9.31** If the intersection of a set  $U$  with each element of  $\Gamma$  is open in this element, then the same is true for any element of  $\Gamma'$ . Since, by assumption,  $\Gamma'$  is a fundamental cover, it follows that  $U$  is open in the whole space. Thus, the cover  $\Gamma$  is fundamental.

**9.32** If  $B \cap U$  is open in  $U$  for each  $U \in \Gamma$ , and  $A \in \Delta$ , then  $(B \cap U) \cap A = (B \cap A) \cap (U \cap A)$  is open in  $U \cap A$ . Hence,  $B \cap A$  is open in  $A$ . Since the cover  $\Delta$  is fundamental,  $B$  is open in  $X$ .

**9.33** This follows from the preceding statement. What cover should be taken as  $\Delta$ ?

**9.1x** Consider map  $f : [0, 2] \rightarrow \mathbb{R}$  with  $f(x) = x$  for  $x \in [0, 1]$  and  $f(x) = x + 1$  for  $x \in (1, 2]$ .

**9.2x** No. Here are two of many counterexamples. First, the map  $f : \{\pm\frac{1}{n}, 0\}_{n=1}^{\infty} \rightarrow \{-1, 0, 1\}$ , which maps positive numbers to 1, negative, to  $-1$ , and 0 to 0. Secondly, consider  $\mathbb{R}^2$  with relation

$$(a, b) \prec (a', b') \text{ if } a < a' \text{ or } a = a' \text{ and } b < b'$$

This is a linear order (check!). The projection  $\mathbb{R}^2 \rightarrow \mathbb{R} : (x, y) \mapsto x$  is monotone (but not strictly monotone) with respect to  $\prec$  and  $<$ , but the preimage of any proper open subset  $U \subset \mathbb{R}$  is not open in the interval topology determined by  $\prec$ .

**9.3x** Yes, it is. Furthermore, it suffices to require only that  $f$  be non-strictly monotone.

**10.1** Statements *10.C–10.E* imply that homeomorphism is an equivalence relation: *10.C* implies reflexivity of homeomorphism, *10.D* implies transitivity, and *10.E* implies symmetricity.

**10.2** Show that  $\tau \circ \tau = \text{id}$ , whence  $\tau^{-1} = \tau$ . To see that the inversion is continuous, write  $\tau$  down in coordinates and use *9.18*, *9.19*, and *9.21*.

**10.3** Show that  $\text{Im}(f(x + iy)) = (ad - bc)y/|cz + d|^2$ , whence  $f(\mathcal{H}) \subset \mathcal{H}$ . Find the inverse map (it is determined by a similar formula). Use *9.18*, *9.19*, and *9.21* to prove the continuity.

**10.4**  $\Leftrightarrow$  Use Intermediate Value Theorem.  $\Leftarrow$  Use *10.M*.

**10.5** Cf. *10.H*. 1), 2) This is obvious. 3) Any bijection  $\mathbb{R}_Z \rightarrow \mathbb{R}_Z$  establishes a one-to-one correspondence between finite (i.e., closed!) subsets.

**10.6** Only the identity map of  $\mathfrak{V}$  is a homeomorphism.

**10.7** Use *9.13* and *10.M*.

**10.8** Let  $X = Y = \bigcup_{k=0}^{\infty} [2k, 2k + 1)$  and consider the bijection

$$X \rightarrow Y : x \mapsto \begin{cases} \frac{x}{2} & \text{if } x \in [0, 1), \\ \frac{x-1}{2} & \text{if } x \in [2, 3), \\ x - 2 & \text{if } x \geq 4. \end{cases}$$

**10.10** To solve all assertions, except (f) and (i), apply maps used in the solution of Problem *10.O*. To solve (f) and (i), use polar coordinates.

**10.11** In assertion (b): each nonempty open convex set in  $\mathbb{R}^2$  is homeomorphic to  $\mathbb{R}^2$ .

**10.12** Every such a set is homeomorphic to one of the following sets: a point, a segment, a ray, a disk, a strip, a half-plane, a plane. (Prove this!)

**10.13** In Problems *10.T* and *10.11*, it is sufficient to replace the 2-disk  $D^2$  by the  $n$ -disk  $D^n$  and the open 2-disk  $B^2$  by the open  $n$ -ball  $B^n$ . The situation with Problem *10.12* is more complicated. Let  $K \subset \mathbb{R}^n$  be a closed convex set. First, we can assume that  $\text{Int } K \neq \emptyset$  because otherwise  $K$  is isometric to a subset of  $\mathbb{R}^k$  with  $k < n$ . Secondly, we assume that  $K$  is unbounded. (Otherwise,  $K$  is homeomorphic to a closed disk, see above.) If  $K$  does not contain a line, then  $K$  is homeomorphic to a half-space. If  $K$  contains a line, then  $K$  is isometric to a “cylinder” with convex closed “base” in  $\mathbb{R}^{n-1}$  and “elements” parallel to the  $n$ th coordinate axis, which allows us to use induction on dimension. Try to formulate a complete answer.

**10.14** Map each link of the polygon homeomorphically to a suitable arc of the circle.

**10.15** Map each link of the polyline homeomorphically to a suitable part of the segment. (Cf. the preceding problem. The homeomorphism can easily be chosen piecewise linear.)

**10.16** Accurately plug in the definitions!

**10.17** Combining the techniques of Problems 10.S and 10.O (assertion (e)), show that the “infinite cross” is homeomorphic to the set  $K = \{|x| + |y| \leq 2\} \setminus \{(0, \pm 2), (\pm 2, 0)\}$  (another square without vertices).

**10.18** The proof is elementary, but rather complicated!

**10.19** Using homeomorphisms of Problem 10.O, you can construct, e.g., the following homeomorphisms: (a)  $\cong$  (d)  $\cong$  (f), (d)  $\cong$  (e)  $\cong$  (h)  $\cong$  (b), (h)  $\cong$  (g)  $\cong$  (c).

**10.20** Using homeomorphisms of Problem 10.O, you can construct, e.g., the following homeomorphisms: (c)  $\cong$  (b)  $\cong$  (a)  $\cong$  (d)  $\cong$  (e)  $\cong$  (g). The prove that, e.g., (d)  $\cong$  (f).

**10.21** For the case of one segment, this is assertion 10.20 (f). In the general case, use 10.19 (i.e., the fact that (l)  $\cong$  (h)); observe that the homeomorphism can be fixed on the boundary of the square). Surround the segments by disjoint rhombi and apply the above homeomorphism in each of them.

**10.22** Use induction on the number of links of the polyline  $X$ . Each time, applying the argument used in the solution of the Problem 10.21 to the outer link of  $X$ , we homeomorphically map  $\mathbb{R}^2 \setminus X$  onto the complement of a polyline with smaller number of links.

**10.23** Prove that for any  $p, q \in \text{Int } D^2$  there is a homeomorphism  $f : D^2 \rightarrow D^2$  such that  $f(p) = f(q)$  and  $\text{ab}(f) : S^1 \rightarrow S^1$  is the identity. After that, use induction.

Here is a more explicit construction. Let  $K = \{(x_i, y_i)\}_{i=1}^n$ . We can assume that  $x_i$ 's are pairwise distinct. (Why?) Take any continuous function  $f : \mathbb{R} \rightarrow \mathbb{R}$  such that  $f(x_i) = y_i$ ,  $i = 1, \dots, n$ . Then  $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2 : (x, y) \mapsto (x, y - f(x))$  is a homeomorphism with  $F(K) \subset \mathbb{R}^1$ . There is a homeomorphism  $g : \mathbb{R} \rightarrow \mathbb{R}$  such that  $g(x_i) = i$ ,  $i = 1, \dots, n$ . Consider the homeomorphism  $G : \mathbb{R}^2 \rightarrow \mathbb{R}^2 : (x, y) \mapsto (g(x), y)$ . Then  $(G \circ F)(K) = \{1, \dots, n\}$ , whence  $\mathbb{R}^2 \setminus K \cong \mathbb{R}^2 \setminus \{1, \dots, n\}$ .

**10.24** Use the homeomorphism (b)  $\cong$  (c) in Problem 10.20.

**10.25** Use Problems 10.24 and 10.23.

**10.26** Use the homeomorphism  $(x, t) \mapsto (x, (1 - t)f(x) + tg(x))$ .

**10.27** The first question is as follows: what is the mug from the mathematical point of view? How is it presented? Actually, there is a precise approach to describing similar objects and introduce the corresponding class

of spaces (“manifolds”), but for now we use the “common sense”. We start with a cylinder, which is homeomorphic to a closed 3-disk, which in turn is homeomorphic to a half-disk, is not it? Further, if we delete from the half-disk a concentric half-disk of smaller radius, then the rest (i.e., the “skin of a half of a water-melon”) is still homeomorphic to the half-disk. (We can prove this quite rigorously, and even give the required formulas.) The remaining “skin” is a mug without a handle, which is thus homeomorphic to a cylinder. Furthermore, we can assume that the “disks” along which the handle adjoins the mug correspond to the bases of the cylinder, cf. 10.25, while the handle is a (deformed) cylinder itself. “Pasting together” two cylinders, we certainly obtain a doughnut as a result!

**10.28** The following objects are homeomorphic to a coin: a saucer, a glass, a spoon, a fork, a knife, a plate, a nail, a screw, a bolt, a nut, a drill. The remaining objects are homeomorphic to a wedding ring: a cup, a flower pot, a key.

**10.29** Formulate and prove the plane version of the problem. After that use rotation. An intermediate shape here is a 3-disk in which a thin cylinder is drilled out. We can also single out the following useful lemma. Let  $C_0$  be a cylinder,  $C \subset C_0$  a smaller cylinder with upper base lying inside that of  $C_0$ . Then there exists a homeomorphism  $f : \text{Cl}(C_0 \setminus C) \rightarrow C_0$  identical on  $\text{Fr } C_0 \setminus C$ .

**10.30** Our argument will be close to that used in the solution of Problem 10.27. Repeating the first step of the solution to Problem 10.29, we “get rid” of the large spherical hole at the end of the “tube”. After that, we observe that the knotted tube has a neighborhood homeomorphic to a cylinder. Applying the lemma formulated in the above solution, we obtain a homeomorphism between the ball with a knotted hole and the whole ball.

**10.31** In Figure, we have a sequence of images, where any two neighboring ones are connected by an (easy to imagine) homeomorphism. (The latter is actually a result of a “deformation”.) It remains to take the composition.

**10.32** Use the sequence of images depicted in Figure. (Cf. the solution to the previous problem.)

**10.33** Both spaces are homeomorphic to  $S^3 \setminus (S^1 \cup \text{point})$ . To see this, use the homeomorphism  $\mathbb{R}^3 \cong S^3 \setminus \text{point}$  of Problem 10.R. (The second time, take the point to be deleted on the circle  $S^1$ .) In the general case of  $\mathbb{R}^n$ , this argument also works. But what happens if we replace  $S^1$  by  $S^k$ ?

**10.34** The stereographic projection  $S^n \setminus (0, \dots, 0, 1) \rightarrow \mathbb{R}^n$  maps our set to a (spherically symmetric) neighborhood of  $S^{k-1}$ , which is easily seen to be homeomorphic to  $\mathbb{R}^n \setminus \mathbb{R}^{n-k}$ .

**10.35** Here are properties that distinguish each of the spaces from the remaining ones:  $\mathbb{Z}$  is discrete,  $\mathbb{Q}$  is countable, each proper closed subset of  $\mathbb{R}_{T_1}$  is finite, and, finally, any two nonempty open sets in the arrow have nonempty intersection.

**10.36** Set  $X = \{k\}_{-\infty}^{-1} \cup \bigcup_{k=0}^{\infty} [2k; 2k+1)$  and  $Y = X \cup \{1\}$  and consider the bijections

$$X \rightarrow Y : x \mapsto \begin{cases} x+1 & \text{if } x \leq -2, \\ 1 & \text{if } x = -1, \\ x & \text{if } x \geq 0; \end{cases} \quad Y \rightarrow X : x \mapsto \begin{cases} x & \text{if } x < 0, \\ \frac{x}{2} & \text{if } x \in [0, 1], \\ \frac{x-1}{2} & \text{if } x \in [2, 3), \\ x-2 & \text{if } x \geq 4. \end{cases}$$

Similar tricks are called “Hilbert’s hotel”. Guess why.

**10.37** This is indeed very simple. Take  $[0, 1]$  and  $\mathbb{R}$ . (Actually, any two nonhomeomorphic subsets of  $\mathbb{R}$  with nonempty interiors would do.)

**10.38** The topology in  $\mathbb{Q}$  is not discrete.

**10.39** 1), 2) If the discrete space is not one-point, this is impossible.

**10.40** See 10.35.

**11.1** 1)–3) Yes: in each of these spaces, two nonempty open sets always have nonempty intersection.

**11.2** The empty space and a singleton.

**11.3** A disconnected two-point space is obviously discrete.

**11.4** 1) No,  $\mathbb{Q}$  is not connected since, for instance,  $\mathbb{Q} = (\mathbb{Q} \cap (-\infty, \sqrt{2})) \cup (\mathbb{Q} \cap (\sqrt{2}, +\infty))$ . 2)  $\mathbb{R} \setminus \mathbb{Q}$  is also disconnected for a similar (and even simpler) reason.

**11.5** 1) Yes, if  $(X, \Omega_1)$  is connected, then so is  $(X, \Omega_2)$ : if  $X = U \cup V$ , where  $U, V \in \Omega_1$ , then  $U, V \in \Omega_2$ . 2) No, the connectedness of  $(X, \Omega_1)$  does not imply that of  $(X, \Omega_2)$ : consider the case where  $\Omega_1$  is indiscrete,  $\Omega_2$  is discrete, and  $X$  contains more than one point.

**11.6** A subset  $A$  of a space  $X$  is disconnected iff there exist open subsets  $U, V \subset X$  such that  $A \subset U \cup V$ ,  $U \cap V \cap A = \emptyset$ ,  $U \cap A \neq \emptyset$ , and  $V \cap A \neq \emptyset$ .

**11.7** 1), 3): No, it is not, because the relative topology on  $\{0, 1\}$  is discrete (see 11.2). 2): Yes, it is, because the relative topology on  $\{0, 1\}$  is not discrete (see 11.3).

**11.8** 1) Every subset of the arrow is connected. 2) A subset of  $\mathbb{R}_{T_1}$  is connected iff it is empty, one-point, or infinite.

**11.9** Show that  $[0, 1]$  is both open and closed in  $[0, 1] \cup (2, 3]$ .

**11.10** Given  $x, y \in A \subset \mathbb{R}$ ,  $z \in (x, y)$ , and  $z \notin A$ , produce two nonempty sets open in  $A$  that partition  $A$ . Cf. 11.4.

**11.11**  $\Leftrightarrow$  Let  $B$  and  $C$  be two nonempty subsets of  $A$  open in  $A$  that partition  $A$ .  $\Leftrightarrow$  Use the fact that if  $B \cap \text{Cl}_X C = \emptyset$ , then  $B = A \cap (X \setminus \text{Cl}_X C)$ .

**11.12** Let  $X = A \cup x_*$ ,  $x_* \notin A$ , and let  $\Omega_*$  consist of the empty set and all sets containing  $x_*$ . Is this a topological structure in  $X$ ? What topology does it induce on  $A$ ?

**11.13** Let  $A$  be disconnected, and let  $B$  and  $C$  satisfy the hypothesis of 11.11. Then we can put

$$U = \{x \in \mathbb{R}^n \mid \rho(x, B) < \rho(x, C)\} \quad \text{and} \quad V = \{x \in \mathbb{R}^n \mid \rho(x, B) > \rho(x, C)\}.$$

Notice that the conclusion of 11.13 would still hold true if in the hypothesis we replaced  $\mathbb{R}^n$  by an arbitrary space where every open subspace is normal, see Section 14.

**11.15** Obvious. (Cf. 11.6.)

**11.15** The set  $A$  is dense in  $B$  equipped with the relative topology induced from the ambient space. Therefore, we can apply 11.B.

**11.16** Assume the contrary: let  $A \cup B$  be disconnected. Then there exist open subsets  $U$  and  $V$  of the ambient space such that  $A \cup B \subset U \cup V$ ,  $U \cap (A \cup B) \neq \emptyset$ ,  $V \cap (A \cup B) \neq \emptyset$ , and  $U \cap V \cap (A \cup B) = \emptyset$  (cf. the solution of Problem 11.6). Since  $A \cup B \subset U \cup V$ , the set  $A$  meets at least one of the sets  $U$  and  $V$ . Without loss of generality, we can assume that  $A \cap U \neq \emptyset$ . Then  $A \cap V = \emptyset$  by the connectedness of  $A$ , whence  $A \subset U$ . Since  $U$  is a neighborhood of any point of  $A \cap \text{Cl} B$ , it meets  $B$ . The set  $V$  also meets  $B$  since  $V \cap (A \cup B) \neq \emptyset$ , while  $A \cap V = \emptyset$ . This contradicts the connectedness of  $B$  since  $B \cap U$  and  $B \cap V$  form a partition of  $B$  into two nonempty sets open in  $B$ .

**11.17** If  $A \cup B$  is disconnected, then there exist sets  $U$  and  $V$  open in  $X$  such that  $U \cup V \supset A \cup B$ ,  $U \cap (A \cup B) \neq \emptyset$ ,  $V \cap (A \cup B) \neq \emptyset$ , and  $U \cap V \cap (A \cup B) = \emptyset$ . Since  $A$  is connected,  $A$  is contained in  $U$  or  $V$ . Without loss of generality we may assume that  $A \subset U$ . Set  $B_1 = B \cap V$ . Since  $B$  is open in  $X \setminus A$  and  $V \subset X \setminus A$ , the set  $B_1$  is open in  $V$ . Therefore,  $B_1$  is open in  $X$ . Furthermore, we have  $B_1 \subset X \setminus U \subset X \setminus A$ , therefore  $B_1$  is closed in  $X \setminus U$  and hence also in  $X$ . Thus  $B_1$  is both open and closed in  $X$ , contrary to the connectedness of  $X$ .

**11.18** No, it does not. Example: put  $A = \mathbb{Q}$  and  $B = \mathbb{R} \setminus \mathbb{Q}$ .

**11.19** 1) If  $A$  and  $B$  are open and  $A$  is disconnected, then  $A = U \cup V$ , where  $U$  and  $V$  are disjoint nonempty sets open in  $A$ . Since  $A \cap B$  is connected, then either  $A \cap B \subset U$ , or  $A \cap B \subset V$ . Without loss of generality,

we can assume that  $A \cap B \subset U$ . Then  $\{V, U \cup B\}$  is a partition of  $A \cup B$  into nonempty open sets. ( $U$  and  $V$  are open in  $A \cup B$  because an open subset of an open set is open.) This contradicts the connectedness of  $A \cup B$ .

2) In the case of closed  $A$  and  $B$ , the same arguments work if openness is everywhere replaced by closedness.

**11.20** Not necessarily. Consider the closed sets  $K_n = \{(x, y) \mid x \geq 0, y \in \{0, 1\}\} \cup \{(x, y) \mid x \in \mathbb{N}, x \geq n, y \in [-1, 1]\}$ ,  $n \in \mathbb{N}$ . (An infinite ladder, railroad, fence, hedge, handrail, balustrade, or banisters, whichever you prefer.) Their intersection is the union of the rays  $\{y = 1, x \geq 0\}$  and  $\{y = -1, x \geq 0\}$ .

**11.21** Let  $C$  be a connected component of  $X$ ,  $x \in C$  an arbitrary point. If  $U_x$  is a connected neighborhood of  $x$ , then  $U_x$  lies entirely in  $C$ , and so  $x$  is an interior point of  $C$ , which is thus open.

**11.22** Theorem 11.1 allows us to transform the statement under consideration into the following obvious statement: if a set  $M$  is connected and  $A$  is both open and closed, then either  $M \subset A$ , or  $M \subset X \setminus A$ .

**11.23** See the next problem.

**11.24** Prove that any two points in the Cantor set cannot belong to the same connected component.

**11.25** If  $\text{Fr } A = \emptyset$ , then  $A = \text{Cl } A = \text{Int } A$  is a nontrivial open-closed set.

**11.26** If  $F \cap \text{Fr } A = \emptyset$ , then  $F = (F \cap \text{Cl } A) \cup (F \cap \text{Cl}(X \setminus A))$  and  $F \cap \text{Cl } A \cap \text{Cl}(X \setminus A) = \emptyset$ .

**11.27** If  $\text{Cl } A$  is disconnected, then  $\text{Cl } A = F_1 \cup F_2$ , where  $F_1$  and  $F_2$  are nonempty disjoint sets closed in  $X$ . Each of them meets  $A$  since  $F_1 \cup F_2$  is the smallest closed set containing  $A$ . Therefore  $A$  splits into the union of nonempty sets  $A_1 = A \cap F_1$  and  $A_2 = A \cap F_2$ , whose boundaries  $\text{Fr } A_1$  and  $\text{Fr } A_2$  are nonempty by 11.25. This contradicts the connectedness of  $\text{Fr } A = \text{Fr } A_1 \cup \text{Fr } A_2$ .

**11.29** Combine 11.N and 11.10.

**11.30** Let  $M$  be the connected component of unity. For each  $x \in M$ , the set  $x \cdot M$  is connected and contains  $x = x \cdot 1$ . Therefore  $x \cdot M$  meets  $M$ , whence  $x \cdot M \subset M$ . Thus  $M$  is a subgroup of  $X$ . Furthermore, for each  $x \in X$  the set  $x^{-1} \cdot M \cdot x$  is connected and contains the unity. Consequently  $x^{-1} \cdot M \cdot x \subset M$ . Hence the subgroup  $M$  is normal.

**11.31** Let  $U \subset \mathbb{R}$  be an open set. For each  $x \in U$ , let  $(m_x, M_x) \subset U$  be the largest open interval containing  $x$ . (Take the union of all open intervals in  $U$  that contain  $x$ .) Any two such intervals either coincide or are disjoint, i.e., they constitute a partition of  $U$ .

**11.32** 1) Certainly, it is connected because if  $l$  is the spiral, then  $Cl l = l \cup S^1$ . 2) Obviously, the answer will not change if we add to the spiral only a part of the limit circle.

**11.33** (a) This set is disconnected since, for example, so is its projection to the  $x$  axis.

(b) This set is connected because any two of its points are joined by a broken line (with at most two segments).

(c) This set is connected. Consider the set  $X \subset \mathbb{R}^2$  defined as the union of lines  $y = kx$  with  $k \in \mathbb{Q}$ . Clearly, the coordinates  $(x, y)$  of any point of  $X$  are either both rational or both irrational. Obviously  $X$  is connected, while the set under consideration is contained in the closure of  $X$  (coinciding with the whole plane).

**13.17** Let  $A \subset \mathbb{R}^n$  be the connected set. Use the fact that balls in  $\mathbb{R}^n$  are connected by 11.U (or by 11.V) and apply 11.E to the family  $\{A\} \cup \{B_\varepsilon(x)\}_{x \in A}$ .

**11.35** For  $x \in A$ , let  $V_x \subset U$  be a spherical neighborhood of  $x$ . Consider the neighborhood  $\bigcup_{x \in A} V_x$  of  $A$ . To show that it is connected, use the fact that balls in  $\mathbb{R}^n$  are connected by 11.U (or by 11.V) and apply 11.E to the family  $\{A\} \cup \{V_x\}_{x \in A}$ .

**11.36** Let

$$X = \{(0, 0), (0, 1)\} \cup \{(x, y) \mid x \in [0, 1], y = \frac{1}{n}, n \in \mathbb{N}\} \subset \mathbb{R}^2.$$

Prove that any open and closed set contains both points  $A(0, 0)$  and  $B(1, 0)$ .

**12.1** This is an immediate corollary of Theorem 12.A. Indeed any real polynomial of odd degree takes both positive and negative values (for values of the argument with sufficiently large absolute values).

**12.2** Combine 11.Z, 12.B, and 12.E.

**12.3** There are nine topological types, namely: (1) A, R; (2) B; (3) C, G, I, J, L, M, N, S, U, V, W, Z; (4) D, O; (5) E, F, T, Y; (6) H, K; (8) P; (9) Q; (7) X. Notice that the answer depends on the graphics of the letters. For example, we can draw letter R homeomorphic not to A, but to Q. To prove that letters of different types are not homeomorphic, use arguments similar to that in the solution of 12.E.

**12.4** A square with any of its points removed is still connected (prove this!), while the segment is not. (We emphasize that the sentence “Because a square cannot be partitioned into two nonempty open sets.” cannot serve as a proof of the mentioned fact. The simplest approach would be to use 11.I.)

**12.5** Use 10.R.

**12.2x** This is so because for any  $x_0 \in X$  the set  $\{x \mid f(x) = f(x_0)\}$  is both open and closed (prove this!). Here is another version of the argument. For each point  $y$  in the source space the preimage  $f^{-1}(y)$  is open.

**12.4x** Fix  $h \in H$  and consider the map  $x \mapsto xhx^{-1}$ . Since  $H$  is a normal subgroup, the image of  $G$  is contained in  $H$ . Since  $H$  is discrete, this map is locally constant. Therefore, by 12.2x, it is constant. Since the unity is mapped to  $h$ , it follows that  $xhx^{-1} = h$  for any  $x \in G$ . Therefore  $gh = hg$  for any  $g \in G$  and  $h \in H$ .

**12.5x** Consider the union of all sets with property  $\mathcal{E}$  containing a point  $a$ . (Is not it natural to call this set a *component of  $a$  in the sense of  $\mathcal{E}$ ?*) Prove that such sets constitute an open partition of  $X$ . Therefore, if  $X$  is connected, any such a set is the whole  $X$ .

**12.7x** Introduce a coordinate system with  $y$ -axis  $l$ , and consider the function  $f$  sending  $t \in \mathbb{R}$  to the area of the part  $A$  that lies to the left of the line  $x = t$ . Prove that  $f$  is continuous. Observe that the set of values of  $f$  is the segment  $[0; S]$ , where  $S$  is the area of  $A$ , and apply the Intermediate Value Theorem.

**12.8x** If  $A$  is connected, then the function introduced in the solution of Problem 12.7x is strictly monotone on  $f^{-1}((0, S))$ .

**12.9x** Fix a Cartesian coordinate system on the plane and, for any  $\varphi \in [0, \pi]$ , consider also the coordinate system obtained by rotating the fixed one through an angle of  $\varphi$  around the origin. Let  $f_A$  and  $f_B$  be functions defined by the following property: the line defined by  $x = f_A(\varphi)$  (respectively,  $x = f_B(\varphi)$ ) in the corresponding coordinate system divides  $A$  (respectively,  $B$ ) into two parts of equal areas. Put  $g(\varphi) = f_A(\varphi) - f_B(\varphi)$ . Clearly,  $g(\pi) = -g(0)$ . Hence, if we proved the continuity of  $f_A$  and  $f_B$ , then Intermediate Value Theorem would imply existence of  $\varphi_0$  such that  $g(\varphi_0) = 0$ . The corresponding line  $x = f_A(\varphi_0)$  divides each of the figures into two parts of equal areas. Prove continuity of  $f_A$  and  $f_B$ !

**12.10x** The idea of solution is close to the idea of solution of the preceding problem. Find an appropriate function whose zero would give rise to the desired lines, while the existence of a zero follows from Intermediate Value Theorem.

**13.1** Combine 11.R and 11.N.

**13.2** Combine 13.1 and 11.26.

**13.3**  $\Rightarrow$  This is obvious since  $\text{in}_A$  is continuous.

$\Leftarrow$  Indeed,  $u$  is continuous as a submap of the continuous map  $\text{in}_A \circ u$ .

**13.4** A one-point discrete space, an indiscrete space, the arrow, and  $\mathbb{R}_{T_1}$  are path-connected. Also notice that the points  $a$  and  $c$  in  $\mathfrak{Y}$  can be connected by a path!

**13.5** Use 13.3.

**13.6** Combine (the formula of) 13.C and 13.5.

**13.8** Indeed, let  $u : I \rightarrow X$  be a path. Then any two points  $u(x), u(y) \in u(I)$  are connected by the path defined as the composition of  $u$  and  $I \rightarrow I : t \mapsto (1-t)x + ty$ .

**13.9** A path in the space of polygons looks as a deformation of a polygon. Let us join an arbitrary polygon  $P$  with a regular triangle  $T$ . We take a vertex  $V$  of  $P$  and move it to (say, the midpoint of) the diagonal of  $P$  joining the neighboring vertices of  $V$ , thus reducing the number of vertices of  $P$ . Proceeding by induction, we come to a triangle, which is easy to deform into  $T$ .

It is also easy to see that any convex  $n$ -gon can be deformed to a regular  $n$ -gon in the space of convex  $n$ -gons.

**13.11** We consider the case where  $A$  and  $B$  are open and prove that  $A$  is path-connected. Let  $x, y \in A$ , and let  $u$  be a path joining  $x$  and  $y$  in  $A \cup B$ . If  $u(I) \not\subset A$ , then we set  $\bar{t} = \sup\{t \mid u([0, t]) \subset A\}$ . Since  $A$  is open,  $u(\bar{t}) \in B$ . Since  $B$  is open, there is  $t_0 < \bar{t}$  with  $u(t_0) \in B$ , whence  $u(t_0) \in A \cap B$ . In a similar way, we find  $t_1 \in I$  such that  $u(t_1) \in A \cap B$  and  $u([t_1, 1]) \subset A$ . It remains to join  $u(t_0)$  and  $u(t_1)$  by a path in  $A \cap B$ .

**13.12** 1), 2) The assertion about the boundary is trivial, and an example is easy to find in  $\mathbb{R}^1$ . It is also easy to find a path-connected set in  $\mathbb{R}^2$  with disconnected interior. (Why are there no such examples in  $\mathbb{R}^1$ ?)

**13.13** Let  $x, y \in \text{Cl} A$ . Assume that  $x, y \in \text{Int} A$ . (Otherwise, the argument becomes even simpler.) Then we join  $x$  and  $y$  with points  $x', y' \in \text{Fr} A$  by segments and join  $x'$  and  $y'$  by a path in  $\text{Fr} A$ .

**13.16**  $\Leftrightarrow$  This is 13.M.  $\Leftarrow$  Combine the result of 11.Y with 13.6 (or 13.B).

**13.17** Combine Problem 11.34 and Theorem 13.U.

**13.18** Combine Problem 11.35 and Theorem 13.U.

**13.1x** Use multiplication of paths.

**13.2x** Obvious.

**13.3x** Obvious.

**13.4x** Define polygon-connected components and show that they are open for open sets in  $\mathbb{R}^n$ .

**13.5x** For example, set  $A = S^1$ .

**13.6x** Let  $x, y \in \mathbb{R}^2 \setminus X$ . Draw two nonparallel lines through  $x$  and  $y$  that do not intersect  $X$ .

**13.7x** Let  $x, y \in \mathbb{R}^n \setminus X$ . Draw a plane through  $x$  and  $y$  that intersects each of the affine subspaces at most at one point and apply Problem 13.6x. (In order to find such a plane, use the orthogonal projection of  $\mathbb{R}^n$  to the orthogonal complement of the line through  $x$  and  $y$ .)

**13.8x** Let  $w_1, w_2 \in \mathbb{C}^n \setminus X$ . Observe that the complex line through  $w_1$  and  $w_2$  intersects each of the algebraic subsets at a finite number of points and apply Problem 13.6x.

**13.9x** The set  $\text{Symm}(n; \mathbb{R}) = \{A \mid {}^tA = A\}$  is a linear subspace in the space of all matrices, hence, it is path-connected. To handle the other subspaces, use the function  $A \mapsto \det A$ . Since (obviously) it is continuous and takes in each case both positive and negative values, but never vanishes, it immediately follows that  $GL(n; \mathbb{R})$ ,  $O(n; \mathbb{R})$ ,  $\text{Symm}(n; \mathbb{R}) \cap GL(n; \mathbb{R})$ , and  $\{A \mid A^2 = \mathbb{E}\}$  are disconnected. In fact, each of them has two path-connected components. Let us show, for example, that  $GL_+(n; \mathbb{R}) = \{A \mid \det A > 0\}$  is path-connected. The following assertion is of use here, as well as below. For each basis  $\{e_i\}$  in  $\mathbb{R}^n$  there exist paths  $e_i : I \rightarrow \mathbb{R}^n$  such that: 1) for each  $t \in [0, 1]$  the collection  $\{e_i(t)\}$  is a basis; 2)  $e_i(0) = e_i$ ,  $i = 1, \dots, n$ ; 3)  $\{e_i(1)\}$  is an orthonormal basis. (Prove this.)

**13.10x**  $GL(n, \mathbb{C})$  is even polygon-connected by 13.8x since  $\det A = 0$  is an algebraic equation in  $\mathbb{C}^{n^2}$ . The other spaces are path-connected.

**14.1** Only the discrete space is Hausdorff (and, formally, indiscrete singletons).

**14.2** Read the following formula written with quantifiers:  $\exists U_b \forall N \in \mathbb{N} \exists n > N : a_n \in X \setminus U_b$ .

**14.4** Let  $f, g : X \rightarrow Y$  be two continuous maps and let  $Y$  be a Hausdorff space. To prove that the coincidence set  $C(f, g)$  is closed, we show that its complement is open. If  $x \in X \setminus C(f, g)$ , then  $f(x) \neq g(x)$ . Since  $Y$  is Hausdorff,  $f(x)$  and  $g(x)$  have disjoint neighborhoods  $U$  and  $V$ . For each  $y \in f^{-1}(U) \cap g^{-1}(V)$ , we obviously have  $f(y) \neq g(y)$ , whence  $f^{-1}(U) \cap g^{-1}(V) \subset X \setminus C(f, g)$ . Since  $f$  and  $g$  are continuous, this intersection is a neighborhood of  $y$ .

**14.5** Consider the following two maps from  $I$  to the arrow:  $x \mapsto 1$  and  $x \mapsto \text{sgn } x$ . (Here,  $\text{sgn} : \mathbb{R} \rightarrow \mathbb{R}$  is the function that takes negative numbers to  $-1$ , 0 to 0, and positive numbers to 1.)

**14.6** This follows from 14.4 because, obviously, the fixed point set of  $f$  is  $C(f, \text{id}_X)$ .

**14.7** Let  $X$  be the arrow. Consider the map  $f : X \rightarrow X : x \mapsto x + \sin x$ . What is the fixed point set of  $f$ ? Is it closed in  $X$ ?

**14.8** By 14.4, the coincidence set  $C(f, g)$  of  $f$  and  $g$  is closed in  $X$ . Since  $C(f, g)$  contains the everywhere-dense set  $A$ , it coincides with the entire  $X$ .

**14.10** Only the first two properties are hereditary.

**14.11** We have  $\{x\} = \bigcap_{U \ni x} U$  iff for each  $y \neq x$  the point  $x$  has a neighborhood  $U$  that does not contain  $y$ , which is precisely  $T_1$ .

**14.12** This is obvious.

**14.13** See 14.J.

**14.14** Consider a neighborhood of  $f(a)$  that does not contain  $f(b)$  and take its preimage.

**14.15** Otherwise, the indiscrete space would contain nontrivial closed subsets (preimages of singletons).

**14.16** This is a complete analog of the topology on  $\mathbb{R}_{T_1}$ : only finite sets and the entire space are closed.

**14.17** Consider the coarsest topology on  $\mathbb{R}$  that contains the usual topology and is such that the set  $A = \{\frac{1}{n} \mid n \in \mathbb{N}\}$  is closed. Show that in this space the point 0 and the set  $A$  cannot be separated by neighborhoods.

**14.18** An obvious example is the indiscrete space. A more instructive example is the “real line with two zeros”, which is also of interest in some other cases: let  $X = \mathbb{R} \cup 0'$ , and let the base of the topology in  $X$  consist of all usual open intervals  $(a, b) \subset \mathbb{R}$  and of “modified intervals”  $(a, b)' := (a, 0) \cup 0' \cup (0, b)$ , where  $a < 0 < b$ . (Verify that this is indeed a base.) Axiom  $T_3$  is fulfilled, but 0 and  $0'$  have no disjoint neighborhoods in  $X$ .

**14.19**  $\Rightarrow$  Let a space  $X$  satisfy  $T_3$ . If  $b \in X$  and  $W$  is a neighborhood of  $b$ , then, applying  $T_3$  to  $b$  and  $X \setminus W$ , we obtain disjoint open sets  $U$  and  $V$  such that  $b \in U$  and  $X \setminus W \subset V$ . Obviously,  $\text{Cl}(U) \subset X \setminus V \subset W$ .

$\Leftarrow$  Let  $X$  be the space, let  $F \subset X$  be a closed set, and let  $b \in X \setminus F$ . Then  $X \setminus F$  is a neighborhood of  $x$ , and we can find a neighborhood  $U$  of  $x$  with  $\text{Cl}(U) \subset X \setminus F$ . Then  $X \setminus \text{Cl}(U)$  is the required neighborhood of  $F$  disjoint with  $U$ .

**14.20** Let  $X$  be a space,  $A \subset X$  a subspace,  $B$  a closed subset of  $A$ . If  $x \notin B$ , then  $x \notin F$ , where  $F$  is closed in  $X$  and  $F \cap A = B$ . The rest is obvious.

**14.21** For example, consider an indiscrete space or the arrow.

**14.22** Cf. the proof of assertion 14.19.  $\Rightarrow$  Let a space  $X$  satisfy  $T_4$ . If  $F \subset X$  is a closed set and  $W$  is a neighborhood of  $F$ , then, applying  $T_4$  to  $F$  and  $X \setminus W$ , we obtain disjoint open sets  $U$  and  $V$  such that  $F \subset U$  and  $X \setminus W \subset V$ . Obviously,  $\text{Cl}(U) \subset X \setminus V \subset W$ .

$\Leftarrow$  Let  $X$  be the space, and let  $F, G \subset X$  be two disjoint closed sets.

Then  $X \setminus G$  is a neighborhood of  $F$ , and we can find a neighborhood  $U$  of  $F$  with  $\text{Cl}(U) \subset X \setminus G$ . Then  $X \setminus \text{Cl}(U)$  is the required neighborhood of  $F$  disjoint with  $U$ .

**14.23** Use the fact that a closed subset of a closed subspace is closed in the entire space and recall the definition of the relative topology.

**14.24** For example, consider  $A = \mathbb{N}$  and  $B = \{n + \frac{1}{n}\}_1^\infty$  in  $\mathbb{R}$ .

**14.25** Let  $F_1, F_2 \subset Y$  be disjoint closed sets. Since  $f$  is continuous, their preimages  $f^{-1}(F_1)$  and  $f^{-1}(F_2)$  are also closed in  $X$ . Since  $X$  satisfies  $T_4$ , the preimages have disjoint neighborhoods  $W_1$  and  $W_2$ . By assumption, the closed sets  $A_i = X \setminus W_i$ ,  $i = 1, 2$ , have closed images  $B_i$ . Since  $B_1 \cup B_2 = f(A_1) \cup f(A_2) = f(A_1 \cup A_2) = f(X) = Y$ , the open sets  $U_1 = Y \setminus B_1$  and  $U_2 = Y \setminus B_2$  are disjoint. Check that  $F_i \subset U_i$ ,  $i = 1, 2$ .

**14.1x** Let  $x, y \in \mathcal{N}$  be two distinct points. If at least one of them lies in  $\mathcal{H}$ , then, obviously, they have disjoint neighborhoods. Now if  $x, y \in \mathbb{R}^1$ , then they are separated by certain disjoint disks  $D_x$  and  $D_y$ .

**14.2x** Verify that if an open disk  $D \subset \mathcal{H}$  touches  $\mathbb{R}^1$  at a point  $x$ , then  $\text{Cl}(D \cup x) = \text{Cl}D$ . After that, use 14.19.

**14.3x** The discrete structure.

**14.4x** Since  $\mathbb{R}^1$  is closed in  $\mathcal{N}$  and the relative topology on  $\mathbb{R}^1$  is discrete, each subset of  $\mathbb{R}^1$  is closed in  $\mathcal{N}$ . Let us prove that the closed sets  $\{(x, 0) \mid x \in \mathbb{Q}\}$  and  $\{(x, 0) \mid x \in \mathbb{R} \setminus \mathbb{Q}\}$  have no disjoint neighborhoods in  $\mathcal{N}$ . Let  $U$  be a Niemytski neighborhood of  $\mathbb{R}^1 \setminus \mathbb{Q}$ . For each  $x \in \mathbb{R}^1 \setminus \mathbb{Q}$ , fix an  $r(x)$  such that an open disk  $D_{r(x)} \subset U$  of radius  $r(x)$  touches  $\mathbb{R}^1$  at  $x$ .

Put  $Z_n = \{x \in \mathbb{R}^1 \mid r(x) > 1/n\}$ . Since, obviously,  $\mathbb{Q} \cup \bigcup_{n=1}^\infty Z_n = \mathbb{R}^1$ , the result of 6.44 implies that there is (sufficiently large)  $n$  such that  $Z_n$  is *not* nowhere dense. Therefore,  $\text{Cl}Z_n$  contains a segment  $[a, b] \subset \mathbb{R}^1$ , whence it follows that  $U \cup [a, b]$  contains a whole neighborhood of  $[a, b]$ , which meets any neighborhood in  $\mathcal{N}$  of any rational in  $[a, b]$ . Hence,  $U$  meets each neighborhood of  $\mathbb{Q}$ , and so, indeed,  $\mathcal{N}$  is not normal.

**14.6x** Add a point  $x_*$  to  $\mathcal{N}$ :  $\mathcal{N}^* = \mathcal{N} \cup x_*$ . The topology  $\Omega^*$  on  $\mathcal{N}^*$  is obtained from the topology  $\Omega$  on  $\mathcal{N}$  by adding sets of the form  $x_* \cup U$ , where  $U \in \Omega$  contains all points in  $\mathbb{R}^1$  except a finite number. Verify that  $(\mathcal{N}^*, \Omega^*)$  is a normal space.

**14.8x** Set  $f(x) = \frac{\rho(x, A)}{\rho(x, A) + \rho(x, B)}$ .

**14.9x.1** Set  $A = f^{-1}([-1, -\frac{1}{3}])$  and  $B = f^{-1}([\frac{1}{3}, 1])$ . Use 14.8x to prove that there exists a function  $g : X \rightarrow [-\frac{2}{3}, \frac{2}{3}]$  such that  $g(A) = -\frac{1}{3}$  and  $g(B) = \frac{1}{3}$ .

**14.9x** By 14.9x.1, there is a function  $g_1 : X \rightarrow [-\frac{1}{3}, \frac{1}{3}]$  such that  $|f(x) - g_1(x)| \leq \frac{2}{3}$  for every  $x \in F$ . Put  $f_1(x) = f(x) - g_1(x)$ . Slightly modifying the proof of 14.9x.1 we obtain a function  $g_2 : X \rightarrow [-\frac{2}{9}, \frac{2}{9}]$  such that  $|f_1(x) - g_2(x)| \leq \frac{4}{9}$  for every  $x \in F$ , i.e.  $|f(x) - g_1(x) - g_2(x)| \leq \frac{4}{9}$ . Repeating this process, we construct a sequence of functions  $g_n : X \rightarrow [-\frac{2^{n-1}}{3^n}, \frac{2^{n-1}}{3^n}]$  such that

$$|f(x) - g_1(x) - \dots - g_n(x)| \leq \frac{2^n}{3^n}.$$

Use 24.Hx to prove that the sum  $g_1(x) + \dots + g_n(x)$  converges to a continuous function  $g : X \rightarrow [-1, 1]$ . Obviously,  $g|_F = f$ .

**15.1** This is obvious.

**15.2** Sending each curve  $C$  in  $\Sigma$  to a pair of points in  $\mathbb{Q}^2 \subset \mathbb{R}^2$  lying inside two “halves” of  $C$ , we obtain an injection  $\Sigma \rightarrow \mathbb{Q}^4$ . It remains to observe that  $\mathbb{Q}^4$  is countable and use 15.1. (In order to show that  $\mathbb{Q}^4$  is countable, use 15.F and 15.E.)

**15.3** The arrow is second countable:  $\{(x, +\infty) \mid x \in \mathbb{Q}\}$  is a countable base. (Use 15.F.) Use 15.G to show that  $\mathbb{R}_{T_1}$  is not second countable.

**15.4** Yes, they are:  $\mathbb{N}$  is dense both in the arrow and in  $\mathbb{R}_{T_1}$ .

**15.5** Consider the space from Problem 2.6.

**15.6** Take an uncountable set (e.g.,  $\mathbb{R}$ ) with all distances between distinct points equal to 1. (See 4.A.)

**15.7** Let  $X$  be a separable space, let  $\{U_\alpha\}_{\alpha \in J}$  be the collection of pairwise disjoint open sets of  $X$ , and let  $A \subset X$  be a countable everywhere-dense subset. Taking for each  $\alpha \in J$  a point  $p(\alpha) \in A \cap U_\alpha \neq \emptyset$ , we obtain an injection  $J \rightarrow A$ .

**15.8** Use 11.H, 13.U, 13.S, 15.M, and 15.7.

**15.9** Consider  $\text{id} : \mathbb{R} \rightarrow \mathbb{R}_{T_1}$  and use 15.M and the result of 15.3.

**15.10** Let  $X$  be the space,  $B_0$  a countable base of  $X$ , and  $B$  an arbitrary base of  $X$ . By the Lindelöf Theorem 15.O, each set in  $B_0$  is the union of a countable collection of sets in  $B$ . It remains to use 15.E.

**15.12** Obviously, it suffices to prove only the last assertion. If  $U$  is an open set and  $a \in U$ , then there is  $r > 0$  such that  $B_r(a) \subset U$ . Since  $r_n \rightarrow 0$ , there is  $k \in \mathbb{N}$  such that  $r_k < r$ , whence  $B_{r_k}(a) \subset U$ .

**15.13** If  $X$  is a discrete (respectively, indiscrete) space, then the minimal base at a point  $x \in X$  is  $\{\{x\}\}$  (respectively,  $\{X\}$ ).

**15.14** All spaces except  $\mathbb{R}_{T_1}$ , cf. 15.3.

**15.15** Equip  $\mathbb{R}$  with the topology determined by the base  $\{[a, b] \mid a, b \in \mathbb{R}, a < b\}$ .

**15.16** If  $\{V_i\}_1^\infty$  is a countable neighborhood base, then put  $U_i = \bigcap_1^n V_i$ .

**15.17** In this space,  $x_n \rightarrow a$  iff  $x_n = a$  for all sufficiently large  $n$ . It follows that  $\text{SCl}A = A$  for each  $A \subset \mathbb{R}$ . Check that  $\text{SCl}[0, 1] = [0, 1] \neq \text{Cl}[0, 1] = \mathbb{R}$ .

**15.18** Consider the identical map of the space from Problem 15.17 to  $\mathbb{R}$ .

**16.1** 1) If  $(X, \Omega_2)$  is compact, then, obviously, so is  $(X, \Omega_1)$ . 2) The converse is wrong in general.

**16.2** The arrow is compact. (Which set must belong to each cover of the arrow?) The space  $\mathbb{R}_{T_1}$  is also compact: if  $\Gamma$  is an open cover of  $\mathbb{R}_{T_1}$ , then any nonempty element of  $\Gamma$  covers the entire  $\mathbb{R}_{T_1}$  except a finite number of points, each of which, in turn, is covered by an element of  $\Gamma$ .

**16.3** This set is not compact in  $\mathbb{R}$  since, e.g., the cover  $\{(0, 2 - \frac{1}{n})\}_{n \in \mathbb{N}}$  contains no finite subcovering.

**16.4** The set  $[1, 2)$  is compact in the arrow because any open set containing 1 (i.e., a ray  $(a, +\infty)$  with  $a < 1$ , or even  $[0, +\infty)$  itself) contains the entire  $[1, 2)$ . Notice that the set  $(1, 2]$  is not compact (to prove this, use 16.D).

**16.5**  $A$  is compact in the arrow iff  $\inf A \in A$ .

**16.6** See the solution of 16.2.

**16.7** 1) If  $\Gamma$  covers  $A \cup B$ , then  $\Gamma$  covers both  $A$  and  $B$ . Therefore,  $\Gamma$  contains both a finite subcovering of  $A$  and a finite subcovering of  $B$ , whose union is a finite cover of  $A \cup B$ . 2) The set  $A \cap B$  is not necessarily compact (use 16.5 to construct the corresponding example). Unfortunately, sometimes students present a “proof” of the fact that  $A \cap B$  is compact. Here is a typical argument. “Since  $A$  is compact,  $A$  has a finite cover, and since  $B$  is compact,  $B$  also has a finite cover. Taking pairwise intersections of the elements of these covers, we obtain a finite cover of the intersection  $A \cap B$ .” Why does not this argument imply in any way that the intersection of two compact sets is compact?

**16.8** Take an open cover  $\Gamma$  of  $A$ , and let  $U_0 \in \Gamma$  be an open set containing 0. Then  $U_0$  covers the entire  $A$  except a finite number of points, each of which, in turn, is covered by an element of  $\Gamma$ . (Cf. the solution of 16.2.)

**16.9** Consider an indiscrete two-point space and its one-point subset.

**16.10** Combine 16.K, 2.F, and 16.J.

**16.11** Take any  $\lambda_0 \in \Lambda$ . Then  $\{X \setminus K_\lambda\}_{\lambda \in \Lambda}$  is an open cover of the compact set  $K_{\lambda_0} \setminus U$ . If  $\{X \setminus K_{\lambda_i}\}_1^n$  is a finite subcovering, then  $U \supset \bigcap_1^n K_{\lambda_i}$ .

**16.12** By 16.K, all sets  $K_n$  are closed subsets of  $K_1$ . Since the collection  $\{K_n\}$  obviously has the finite intersection property and  $K_1$  is compact, we have  $\bigcap_1^\infty K_n \neq \emptyset$  is nonempty (see Theorem 16.G). Assume the contrary: let  $\bigcap K_n = F_1 \cup F_2$ , where  $F_1$  and  $F_2$  are two disjoint nonempty closed sets. By Theorem 13.17 and 16.O, they have disjoint neighborhoods  $U_1$  and  $U_2$ . Applying 16.11 to  $U_1 \cup U_2$ , we see that for some  $n$  we have  $U_1 \cup U_2 \supset K_n \supset F_1 \cup F_2$ , which contradicts the connectedness of  $K_n$ .

**16.13** Only if the space is finite.

**16.14** From 16.T it follows that  $S^1$ ,  $S^n$ , and the ellipsoid are compact. The remaining sets are not compact:  $[0, 1)$  and  $[0, 1) \cap \mathbb{Q}$  are not closed in  $\mathbb{R}$ , while the ray and the hyperboloid are unbounded.

**16.15**  $GL(n)$  is not even closed in  $L(n, n) = \mathbb{R}^{n^2}$ , while  $SL(n)$  and space (d) are not bounded. Therefore, only  $O(n)$  is compact because it is both closed and bounded (check this).

**16.16** By 12.C and Theorems 16.P and 16.U,  $f(I)$  is a compact interval, i.e., a segment.

**16.17**  $\Leftrightarrow$  This is 16.V.  $\Leftarrow$  Since the function  $A \rightarrow \mathbb{R} : x \mapsto \rho(0, x)$  is bounded,  $A$  is bounded. Let us prove that  $A$  is closed. Assume the contrary: let  $x_0 \in \text{Cl } A \setminus A$ . Then the function  $A \rightarrow \mathbb{R} : x \mapsto 1/\rho(x, x_0)$  is unbounded, a contradiction. Since  $A$  is closed and bounded, it is compact by 16.T.

**16.18** Consider the function  $f : G \rightarrow \mathbb{R} : x \mapsto \rho(x, F)$ . By 4.35,  $f$  is continuous. Since  $\rho(G, F) = \inf_{x \in G} f(x)$ , it remains to apply 16.V. Recall that  $f$  takes only positive values! (See 4.L.)

**16.19** Use 16.18 and, e.g., put  $\varepsilon = \rho(A, X \setminus U)$ .

**16.20** Prove that if  $A \subset \mathbb{R}^n$  is a closed set, then for each  $x \in \mathbb{R}^n$  there is  $y \in A$  such that  $\rho(x, y) = \rho(x, A)$ , whence  $V = \bigcup_{x \in A} D_\varepsilon(x)$ . The set  $\bigcup_{x \in A} B_\varepsilon(x)$  is path-connected as a connected open subset of  $\mathbb{R}^n$ , which implies that  $V$  is also path-connected.

**16.22** Consider the function  $\varphi : X \rightarrow \mathbb{R} : x \mapsto \rho(x, f(x))$ . If  $f(x) \neq x$ , then, by assumption, we have  $\varphi(f(x)) = \rho(f(x), f(f(x))) < \rho(x, f(x)) = \varphi(x)$ . Prove that  $\varphi$  is continuous. Since  $X$  is compact,  $\varphi$  attains its minimal value at a certain point  $x_0$  by 16.V. However, if  $f(x_0) \neq x_0$ , then  $\varphi(f(x_0)) < \varphi(x_0)$ , and so  $\varphi(x_0)$  is not the minimal value of  $\varphi$ , a contradiction.

**16.23** Let  $U_1, \dots, U_n$  be a finite subcovering of the initial cover. We put  $f_i(x) = \rho(x, X \setminus U_i)$ . Since the functions  $f_i(x)$  are continuous, so is the

function  $\varphi : x \mapsto \max\{f_i(x)\}_1^n$ . Since  $X$  is compact,  $\varphi$  attains its minimal value  $r$ . Since  $U_i$  cover  $X$ , we have  $r > 0$ .

**16.24** Obvious.

**16.25** If  $X$  is not compact, then use, e.g., 10.B. If  $Y$  is not Hausdorff, then consider, e.g., the identical map  $\text{id}$  of  $I$  with the usual topology to  $I$  with the Zariski topology, or simply the identical map of a discrete space to the same set with indiscrete topology.

**16.26** No, there is no such subspace. Let  $A \subset \mathbb{R}^n$  be a noncompact set. If  $A$  is not closed, then the inclusion  $\text{id} : A \rightarrow \mathbb{R}^n$  is not a closed map. If  $A = \mathbb{R}^n$ , then there exists a homeomorphism  $\mathbb{R}^n \rightarrow \{x \in \mathbb{R}^n \mid x_1 > 0\}$ . If  $A$  is closed, but not bounded, then take  $x_0 \notin A$  and consider an inversion with center  $x_0$ .

**16.27** Use 5.F: closed sets of a closed subspace are closed in the ambient space.

**16.1x** Let  $p : \mathbb{R}^n \rightarrow \mathbb{R}$  be a norm. The inequality

$$p(x) = p\left(\sum x_i e_i\right) \leq \sum p(x_i e_i) = \sum |x_i| p(e_i) = \sum \lambda_i |x_i|$$

implies that  $p$  is continuous at zero (here,  $\{e_i\}$  is the standard basis in  $\mathbb{R}^n$ ). Show that  $p$  is also continuous at any other point of  $\mathbb{R}^n$ .

**16.2x** Since the sphere is compact, there are real numbers  $c, C > 0$  such that  $c|x| \leq p(x) \leq C|x|$ , where  $|\cdot|$  is the usual Euclidean norm. Now use 4.27.

**16.3x** Certainly not!

**16.4x** Consider a cover of  $X$  by neighborhoods on which  $f$  is bounded.

**17.1** This obviously follows from 17.E.

**17.2** By the Zorn lemma, there exists a maximal set in which the distances between the points are at least  $\varepsilon$ ; this set will be the required  $\varepsilon$ -net.

**17.1x** No, they are not compact. Consider the sequence  $\{e_n\}$ , where  $e_n$  is the unit basis vector. What are the pairwise distances between these points?

**17.2x** This set is compact because the set

$$A = \{x \in l^\infty \mid |x_n| \leq 2^{-n} \text{ for } n \leq k, x_n = 0 \text{ for } n > k\}$$

is a  $2^{-k}$ -net in the set.

**17.4x** No, there does not exist such normed space. Prove that if  $E$  is a finite-dimensional subspace of a normed space  $(X, p)$ ,  $x \notin E$ , and  $y \in E$  is a point in  $E$  closest to  $x$ , then the point  $x_0 = \frac{x-y}{|x-y|}$  is such that  $p(x_0 - z) \geq 1$ .

(This fact is called the “Lemma on a Perpendicular”.) Using this assertion, we can construct by induction a sequence  $x_n \in X$  such that  $p(x_n) = 1$ ,  $p(x_n - x_k) \geq 1$  for  $n \neq k$ . It is clear that it has no convergent subsequence.

**17.5x** See 4.Ix.

**17.6x** If  $x = a_0 + a_1p + \dots$  and  $y = a_0 + a_1p + \dots + a_kp^k$ , then  $\rho(x, y) \leq p^{-k-1}$ .

**17.7x** Yes,  $\mathbb{Z}_p$  is complete. To prove this, use the following assertion: if  $x = a_0 + a_1p + \dots$ ,  $y = b_0 + b_1p + \dots$ , and  $\rho(x, y) < p^{-k}$ , then  $a_i = b_i$  for all  $i = 1, \dots, k$ .

**17.8x** Yes,  $\mathbb{Z}_p$  is compact. Since the finite set  $A = \{y = a_0 + a_1p + \dots + a_kp^k\}$  is a  $p^{-k-1}$ -net in  $\mathbb{Z}_p$ , the completeness of  $\mathbb{Z}_p$  proved in 17.7x implies that it is compact.

**17.9x** Use the Hausdorff metric.

**17.10x** We can view  $\mathbb{R}^{2n}$  as the space of  $n$ -tuples of points in the plane. Each  $n$ -tuple has a convex hull, which is a convex polygon with at most  $n$  vertices. Let  $\mathcal{K} \subset \mathbb{R}^{2n}$  be the set of all  $n$ -tuples with convex hulls contained in  $\mathcal{P}_n$ . We easily see that  $\mathcal{K}$  is bounded and closed, i.e.,  $\mathcal{K}$  is compact. The map  $\mathcal{K} \rightarrow \mathcal{P}_n$  taking an  $n$ -tuple to its convex hull is obviously continuous and surjective, whence it follows that  $\mathcal{P}_n$  is compact.

**17.11x** Use the fact that  $\mathcal{P}_n$  is compact and the area determines a continuous function  $S : \mathcal{P}_n \rightarrow \mathbb{R}$ .

**17.12x** It is sufficient to show that if a polygon  $P \subset D$  is not regular, then we can find a polygon  $P' \subset D$  that has perimeter at most  $p$  and area greater than that of  $P$ , or perimeter less than  $p$  and area at least that of  $P$ . 1) First, it is convenient to assume that  $P$  (as well as  $P'$ ) contains the center of  $D$ . 2) If  $P$  has two neighboring sides of different length, then we can make them equal of smaller length without changing the area. 3) If  $P$  is equilateral, but has different angles, we once more enlarge the area, this time even decreasing the perimeter.

**17.13x** As in 17.9x, the Hausdorff metric would do.

**17.14x** Consider a sequence consisting of regular polygons of perimeter  $p$  with increasing number of vertices. Show that this sequence has no limit in  $\mathcal{P}_\infty$ . Therefore, no such a sequence contains a convergent sequence, and so  $\mathcal{P}_\infty$  is not even sequentially compact.

**17.15x** Once more, use the Hausdorff metric, as in 17.9x and 17.13x.

**17.16x** By 17.N, it suffices to show that 1)  $\mathcal{P}$  contains a compact  $\varepsilon$ -net for each (arbitrarily small)  $\varepsilon > 0$ , and 2)  $\mathcal{P}$  is complete. 1)  $\mathcal{P}_n$

with sufficiently large  $n$  would do. (What finite  $\varepsilon$ -net would you suggest?) 2) Let  $K_1, K_2, \dots$  be a Cauchy sequence in  $\mathcal{P}$ . Show that  $K_* := \text{Cl}(\bigcup_{n=1}^{\infty} (\bigcap_{i=n}^{\infty} K_i))$  is a convex set in  $\mathcal{P}$ , and  $K_i \rightarrow K_*$  as  $i \rightarrow \infty$ .

**17.17x** This follows from 17.16x and the continuity of the area function  $S : \mathcal{P} \rightarrow \mathbb{R}$ . (Cf. 17.11x.)

**17.18x** Similarly to 17.12x, it suffices to show that we can increase the area of a compact set  $X$  distinct from a disk without increasing the perimeter of  $X$ . 1) First, we take two points  $A, B \in \text{Fr } X$  that divide  $\text{Fr } X$  in two parts of equal length. 2) The line  $AB$  splits  $X$  into two parts,  $X_1$  and  $X_2$ . Suppose that the area of  $X_1$  is at least that of  $X_2$ . Then, if we replace  $X_2$  by a mirror reflection of  $X_1$ , we do not decrease  $S(X)$ . If  $X_1$  is not a half-disk, then there is a point  $C \in X_1 \cap \text{Fr } X$  such that  $\angle ACB \neq \pi/2$ , and we easily increase  $S(X)$ .

**18.1x** Obvious.

**18.2x** All of them, except  $\mathbb{Q}$ .

**18.3x** Let  $A = \bigcup_{n=1}^{\infty} (\frac{1}{n+1}, \frac{1}{n})$  and  $B = \{0\}$ . The sets  $A$  and  $B$  are discrete and so locally compact, but the point  $0 \in A \cup B$  has no neighborhood with compact closure (in  $A \cup B$ ).

**18.4x** See 18.Lx.

**18.7x** This is obvious since an open set  $U$  meets an  $A \in \Gamma$  iff  $U$  meets  $\text{Cl } A$ .

**18.8x** This immediately follows from 18.Qx.

**18.9x** Use 18.8x.

**18.11x** Let  $X$  be a locally compact space. Then  $X$  has a base consisting of open sets with compact closures. By the Lindelöf theorem, the base (being an open cover of  $X$ ) contains a countable subcovering of  $X$ . It remains to use assertion 18.Xx.

**18.12x** Repeat the proof of a similar fact about compactness.

**18.13x** This is obvious. (Recall the definitions.)

**18.14x** Consider the cover  $\Gamma' = \{X \setminus F, U_\alpha\}$  of  $X$ . Let  $\{V_\alpha\}$  be a locally finite refinement of  $\Gamma'$ . Then  $\Delta = \{V_\alpha \mid V_\alpha \cap F \neq \emptyset\}$  is cover of  $F$ . Put  $W = \bigcup_{V_\alpha \in \Delta} V_\alpha$ . Since  $\Delta$  is locally finite,  $K = \bigcup_{V_\alpha \in \Delta} \text{Cl } V_\alpha$  is a closed set. Then  $W$  and  $X \setminus K$  are the required disjoint neighborhoods of  $F$  and  $M$ .

**18.15x** This immediately follows from 18.14x (or 18.16x).

**18.16x** This immediately follows from 18.14x.

**18.17x** Since  $X$  is Hausdorff and locally compact, each point  $x \in U_\alpha \in \Gamma$  has a neighborhood  $V_{\alpha,x}$  with compact closure lying in  $U_\alpha$ . Since  $X$  is

paracompact, the open cover  $\{V_{\alpha,x}\}$  of  $X$  has a locally finite refinement  $\Delta$ , as required.

**18.18x** The argument involves the Zorn lemma. Consider the set  $\mathcal{M}$  of all open covers  $\Delta$  of  $X$  such that for each  $V \in \Delta$  either  $V \in \Gamma$ , or  $\text{Cl} V$  is contained in an element of  $\Gamma$ . We assign to  $\Delta \in \mathcal{M}$  the subset  $A_\Delta = \{V_\alpha \mid \text{Cl} V_\alpha \subset U_\alpha\} \subset \Gamma$ . Introduce a natural order on the set  $\{A_\Delta \mid \Delta \in \mathcal{M}\}$ , show that this set has a largest element  $A_{\Delta_0}$ , which coincides with the entire  $\Gamma$ , and, therefore,  $\Delta_0$  is the required cover.

**18.20x** Next to obvious.

**19.1**  $\text{pr}_Y^{-1}(B) = X \times B$ .

**19.2** We have:

$$\text{pr}_Y(\Gamma_f \cap (A \times Y)) = \text{pr}_Y(\{(x, f(x)) \mid x \in A\}) = \{f(x) \mid x \in A\} = f(A).$$

Prove the second identity on your own.

**19.3** Indeed,  $(A \times B) \cap \Delta = \{(x, y) \mid x \in A, y \in B, x = y\} = \{(x, x) \mid x \in A \cap B\}$ .

**19.4**  $\text{pr}_X \upharpoonright_{\Gamma_f} : (x, f(x)) \leftrightarrow x$ .

**19.5** Indeed,  $f(x_1) = f(x_2)$  iff  $\text{pr}_Y(x_1, f(x_1)) = \text{pr}_Y(x_2, f(x_2))$ .

**19.6** This obviously follows from the relation  $T(x, f(x)) = (f(x), x) = (y, f^{-1}(y))$ .

**19.7** Use the formula

$$(A \times B) \cap \bigcup_{\alpha} (U_{\alpha} \times V_{\alpha}) = \bigcup_{\alpha} ((A \times B) \cap (U_{\alpha} \times V_{\alpha})) = \bigcup_{\alpha} ((A \cap U_{\alpha}) \times (B \cap V_{\alpha})).$$

**19.8** Use the third formula of 19.A:

$$(X \times Y) \setminus (A \times B) = ((X \setminus A) \times Y) \cup (X \times (Y \setminus B)) \in \Omega_{X \times Y}.$$

**19.9** As usual, we check the two inclusions.  $\square$  Use 19.8.

$\square$  If  $x$  and  $y$  are adherent points of  $A$  and  $B$ , respectively, then, obviously,  $(x, y)$  is an adherent point of  $A \times B$ .

**19.10** Yes, this is true. Once more, we check two inclusions.  $\square$

This is obvious.  $\square$  If  $z = (x, y) \in \text{Int}(A \times B)$ , then  $z$  has an elementary neighborhood:  $z \in W = U \times V \subset A \times B$ , which means that  $x$  has a neighborhood  $U_x \subset A$  and  $y$  has a neighborhood  $V_y \subset B$ , i.e.,  $x \in \text{Int} A$  and  $y \in \text{Int} B$ , whence  $z = (x, y) \in \text{Int} A \times \text{Int} B$ .

**19.11** Certainly not! For instance, the boundary of the square  $I \times I \subset \mathbb{R}^2$  is the contour of the square, while the product  $\text{Fr} I \times \text{Fr} I$  consists of four points.

**19.12** No, it is not in general; consider the set  $(-1, 1) \times (-1, 1) \subset \mathbb{R}^2$ .

**19.13** Since  $A$  and  $B$  are closed, we have  $\text{Fr } A = A \setminus \text{Int } A$  and  $\text{Fr } B = B \setminus \text{Int } B$ . The set  $A \times B$  is also closed by 19.8, whence by the third formula in 19.A we have

$$\begin{aligned} \text{Fr}(A \times B) &= (A \times B) \setminus \text{Int}(A \times B) = (A \times B) \setminus (\text{Int } A \times \text{Int } B) \\ &= ((A \setminus \text{Int } A) \times B) \cup (A \times (B \setminus \text{Int } B)) = (\text{Fr } A \times B) \cup (A \times \text{Fr } B). \end{aligned} \tag{23}$$

**19.14** Using 19.9, 19.10, and the third formula of 19.A, we obtain

$$\begin{aligned} \text{Fr}(A \times B) &= \text{Cl}(A \times B) \setminus \text{Int}(A \times B) = (\text{Cl } A \times \text{Cl } B) \setminus (\text{Int } A \times \text{Int } B) \\ &= ((\text{Cl } A \setminus \text{Int } A) \times \text{Cl } B) \cup (\text{Cl } A \times (\text{Cl } B \setminus \text{Int } B)) = (\text{Fr } A \times \text{Cl } B) \cup (\text{Cl } A \times \text{Fr } B) \\ &= (\text{Fr } A \times (B \cup \text{Fr } B)) \cup ((A \cup \text{Fr } A) \times \text{Fr } B) = (\text{Fr } A \times B) \cup (\text{Fr } A \times \text{Fr } B) \cup (A \times \text{Fr } B). \end{aligned}$$

**19.15** It is sufficient to show that each elementary set in the product topology of  $X \times Y$  is a union of sets of such form. Indeed,

$$\bigcup_{\alpha} U_{\alpha} \times \bigcup_{\beta} V_{\beta} = \bigcup_{\alpha, \beta} (U_{\alpha} \times V_{\beta}).$$

**19.16**  $\Leftrightarrow$  The restriction  $\text{pr}_X |_{\Gamma_f}$  is obviously a continuous bijection. The inverse map  $X \rightarrow \Gamma_f : x \mapsto (x, f(x))$  is continuous iff so is the map  $g : X \rightarrow X \times Y : x \mapsto (x, f(x))$ , which is true because  $g^{-1}(U \times V) = U \cap f^{-1}(V)$ .

$\Leftrightarrow$  Use the relation  $f = \text{pr}_Y \circ (\text{pr}_X |_{\Gamma_f})^{-1}$ .

**19.17** Indeed,  $\text{pr}_X(W) = \text{pr}_X(\bigcup_{\alpha} (U_{\alpha} \times V_{\alpha})) = \bigcup_{\alpha} \text{pr}_X(U_{\alpha} \times V_{\alpha}) = \bigcup_{\alpha} U_{\alpha}$ . (We assumed that  $V_{\alpha} \neq \emptyset$ .)

**19.18** No, it is not; consider the projection of the hyperbola  $A = \{(x, y) \mid xy = 1\} \subset \mathbb{R}^2$  to the  $x$  axis.

**19.19** Let  $F \subset X \times Y$  be a closed set and let  $x \notin \text{pr}_X(F)$ . Then  $(x \times Y) \cap F = \emptyset$ , and for each  $y \in Y$  the point  $(x, y)$  has an elementary neighborhood  $U_x(y) \times V_y \subset (X \times Y) \setminus F$ . Since the fiber  $x \times Y$  is compact, there is a finite subcovering  $\{V_{y_i}\}_{i=1}^n$ . The neighborhood  $U = \bigcap_1^n U_x(y_i)$  is obviously disjoint with  $\text{pr}_X(F)$ . Therefore, the complement of  $\text{pr}_X(F)$  is open, and so  $\text{pr}_X(F)$  is closed.

**19.20** Plug in the definitions.

**19.21** This is rather straightforward.

**19.22** This is also quite straightforward.

**19.23** Recall the definition of the product topology and use 19.21.

**19.24** Let us check that  $\rho$  is continuous at each point  $(x_1, x_2) \in X \times X$ . Indeed, let  $d = \rho(x_1, x_2)$ ,  $\varepsilon > 0$ . Then, using the triangle inequality, we easily see that  $\rho(B_{\varepsilon/2}(x_1) \times B_{\varepsilon/2}(x_2)) \subset (d - \varepsilon, d + \varepsilon)$ .

**19.25** This is quite straightforward.

**19.26**  $\Rightarrow$  Let  $(x, y) \notin \Delta$ . Then the points  $x$  and  $y$  are distinct, and so they have disjoint neighborhoods:  $U_x \cap V_y = \emptyset$ . Then  $(U_x \times V_y) \cap \Delta = \emptyset$  by 19.3, i.e.,  $U_x \times V_y \subset X \times X \setminus \Delta$ . Therefore,  $(X \times X) \setminus \Delta$  is open.

$\Leftarrow$  Let  $x$  and  $y$  be two distinct points of  $X$ . Then  $(x, y) \in (X \times X) \setminus \Delta$ , and, since  $\Delta$  is closed,  $(x, y)$  has an elementary neighborhood  $U_x \times V_y \subset X \times X \setminus \Delta$ . It follows that  $U_x \times V_y$  is disjoint with  $\Delta$ , whence  $U_x \cap V_y = \emptyset$  by 19.3, as required.

**19.27** Combine 19.26 and 19.25.

**19.28** The projection  $\text{pr}_X : X \rightarrow Y$  is a closed map by 19.19. Therefore, the restriction  $\text{pr}_X|_{\Gamma} : \Gamma \rightarrow X$  is also closed by 16.27, it is a homeomorphism by 16.24, and so  $f$  is continuous by 19.16.

Another option: use 19.19 and the identity  $f^{-1}(F) = \text{pr}_X(\Gamma_f \cap (X \times F))$ .

**19.29** Consider the map  $\mathbb{R} \rightarrow \mathbb{R} : x \mapsto \begin{cases} 0 & \text{if } x = 0, \\ 1/x, & \text{otherwise.} \end{cases}$

**19.32** Only the path-connectedness implies the continuity. The functions described in the Problem 19.31 provide counterexamples to other assertions.

**19.36** No, they are not.

**19.37** It is convenient to use the following property, which is equivalent to the regularity of a space (see 14.19). For each neighborhood  $W$  of  $(x, y)$ , there is a neighborhood  $U$  of  $(x, y)$  such that  $\text{Cl}U \subset W$ . It is sufficient to consider the case where  $W$  is an elementary neighborhood. Use the regularity of  $X$  and  $Y$  and Problem 19.9.

**19.38.1** Let  $A$  and  $B$  be disjoint closed sets. For each  $a \in A$ , there exists an open set  $U_a = [a, x_a) \subset X \setminus B$ . Put  $U = \bigcup_{a \in A} U_a$ . The neighborhood  $V \supset B$  is defined similarly. If  $U \cap V \neq \emptyset$ , then for some  $a \in A$  and  $b \in B$  we have  $[a, x_a) \cap [b, y_b) \neq \emptyset$ . Let, say,  $a < b$ . Then  $b \in [a, x_a)$ , a contradiction.

**19.38.2** The set  $\nabla$  is closed in  $\mathbb{R}^2$ , a fortiori  $\nabla$  is closed in  $\mathcal{R} \times \mathcal{R}$ . Since  $\{(x, -x)\} = \nabla \cap ([x, x+1) \times [-x, -x+1))$ , it follows that each point of  $\nabla$  is open in  $\nabla$ .

**19.38.3** See 14.4x.

**19.39** Modify the argument used in the proof of assertion 19.S.

**19.40** This follows from 19.U and 19.9.

**19.43**  $\mathbb{R}^n \setminus \mathbb{R}^k \cong (\mathbb{R}^{n-k} \setminus 0) \times \mathbb{R}^k \cong (S^{n-k-1} \times \mathbb{R}) \times \mathbb{R}^k \cong S^{n-k-1} \times \mathbb{R}^{k+1}$ .

**19.45** The space  $O(n)$  is the union of  $SO(n)$  and a disjoint open subset homeomorphic to  $SO(n)$ . Therefore,  $O(n)$  is homeomorphic to  $SO(n) \times \{-1, 1\} \cong SO(n) \times O(1)$ .

**19.46** It is sufficient to show that  $GL_+(n) = \{A \mid \det A > 0\}$  is homeomorphic to  $SL(n) \times (0, +\infty)$ . The required homeomorphism takes a matrix  $A \in GL_+(n)$  to the pair  $(\frac{1}{\sqrt{\det A}}A, \det A)$ .

**19.48** The existence of such a homeomorphism is directly connected with the existence of quaternions (see the last subsection in 22), and therefore in the proof we also use properties of quaternions. Let  $\{x_0, x_1, x_2, x_3\}$  be a quadruple of pairwise orthogonal unit quaternions determining a point in  $SO(4)$ . The required homeomorphism takes this quadruple to the pair consisting of the unit quaternion  $x_0 \in S^3$  and the triple  $\{x_0^{-1}x_1, x_0^{-1}x_2, x_0^{-1}x_3\}$  of pairwise orthogonal vectors in  $\mathbb{R}^3$ , which determines an element in  $SO(3)$ . (Notice that, e.g.,  $SO(5)$  is not homeomorphic to  $S^4 \times SO(4)$ !)

**20.2** The map  $\text{pr}$  takes each point to the element of the partition (regarded as an element of the quotient set) containing the point, and so the preimage  $\text{pr}^{-1}(\text{point}) = \text{pr}^{-1}(\text{pr}(x))$  is also the element of the partition containing the point  $x \in X$ .

**20.3** Let  $X/S = \{a, b, c\}$ , where  $p^{-1}(a) = [0, \frac{1}{3}]$ ,  $p^{-1}(b) = (\frac{1}{3}, \frac{2}{3}]$ , and  $p^{-1}(c) = (\frac{2}{3}, 1]$ . Then  $\Omega_{X/S} = \{\emptyset, \{c\}, \{b, c\}, \{a, b, c\}\}$ .

**20.4** All elements of the partition are open in  $X$ .

**20.6** Let  $X = \mathbb{N} \times I$ . Let the partition  $S$  consist of the fiber  $N = \mathbb{N} \times 0$  and singletons. Let  $\text{pr}(N) = x_* \in X/S$ , let us prove that the point  $x_*$  has no countable neighborhood base. Assume the contrary: let  $\{U_k\}$  be a countable neighborhood base at  $x_*$ . Each of the sets  $\text{pr}^{-1}(U_k)$  is open in  $X$  and contains each of the points  $x_n = (n, 0) \in X$ . For each of these points,  $X$  contains an open set  $V_n$  such that  $x_n \in V_n \subset \text{pr}^{-1}(U_n)$ . It remains to observe that  $W = \text{pr}(\cup V_n)$  is a neighborhood of  $x_*$  that is not contained in any of the neighborhoods  $U_n$  of  $x_*$ , a contradiction.

**20.7** For each open set  $U \subset X/S$ , the image  $f/S(U) = f(\text{pr}^{-1}(U))$  is open as the image of the open set  $\text{pr}^{-1}(U)$  under the open map  $f$ .

**20.1x**  $(\Rightarrow)$  If  $F$  is a closed set in  $X$ , then  $F = \text{pr}^{-1}(\text{pr}(F))$ , hence,  $\text{pr}(F)$  is closed.  $(\Leftarrow)$  This follows from the fact that for each closed set  $F$  in  $X$  the set  $\text{pr}^{-1}(\text{pr}(F))$ , first, is closed, because  $\text{pr}$  is continuous, and, secondly, is a saturation of  $F$ .

**20.2x** Let  $A$  be the closed element of the partition that is not one-point. The saturation of any closed set  $F$  is either  $F$  itself, or the union  $F \cup A$ , i.e., a closed set.

**20.3x** This is similar to 20.1x.

**20.4x** If  $A$  is saturated, then for each subset  $U \subset A$  the saturation of  $U$  is also a subset of  $A$ . Consequently, the saturation of  $\text{Int } A$  lies in  $A$ , and, since the saturation is open, it coincides with  $\text{Int } A$ . Since  $X \setminus A$  is also saturated,  $\text{Int}(X \setminus A) = X \setminus \text{Cl } A$  is saturated, too, and so  $\text{Cl } A$  is also saturated.

**21.1** Here is a partition of the segment with quotient space homeomorphic to the letter A. It consists of the two-point sets  $\{\frac{1}{6}, 1\}$ ,  $\{\frac{2}{3} - x, \frac{2}{3} + x\}$  for  $x \in (0, \frac{1}{6}]$ ; the other elements are singletons. The idea of the proof is the same as that used in 21.2: we construct a continuous surjection of the segment onto the letter A. Consider the map defined by the following formulas:

$$f(t) = \begin{cases} (3t, 6t) & \text{if } x \in [0, \frac{1}{3}], \\ (3t, 4 - 6t) & \text{if } x \in [\frac{1}{3}, \frac{1}{2}], \\ (\frac{9}{2} - 6t, 1) & \text{if } x \in [\frac{1}{2}, \frac{2}{3}], \\ (6t - \frac{7}{2}, 1) & \text{if } x \in [\frac{2}{3}, \frac{5}{6}], \\ (3t - 1, 6 - 6t) & \text{if } x \in [\frac{5}{6}, 1]. \end{cases}$$

Show that  $f(I)$  is precisely the letter A, and the partition into the preimages under  $f$  is the partition described in the beginning of the solution.

**21.2** Let  $u : I \rightarrow I \times I$  be a Peano curve, i.e., a continuous surjection. Then the injective factor of the map  $u$  is a homeomorphism of a certain quotient space of the segment onto the square.

**21.3** Let  $S$  be the partition of  $A$  into  $A \cap B$  and singletons in  $X \setminus B = A \setminus B$ ,  $T$  the partition of  $X$  into  $B$  and singletons in  $X \setminus B$ ,  $\text{pr}_A : A \rightarrow A/S$  and  $\text{pr}_X : X \rightarrow X/T$  the projections. Since the quotient map  $q : A/A \cap B \rightarrow X/B$  is obviously a continuous bijection, to prove that  $q$  is a homeomorphism, it suffices to check that  $q$  is an open map. Let  $U \subset A/A \cap B$  be an open set,  $V = \text{pr}_A^{-1} U$ . Then  $V$  is open in  $A$  and saturated in  $X$ . If  $V \cap B = \emptyset$ , then  $V$  is also open in  $X$  because  $\{A, B\}$  is a fundamental cover of  $X$ , and so  $q(U) = \text{pr}_X(V)$  is open in  $X/B$ . If  $V \cap B \neq \emptyset$ , then, obviously,  $V \supset A \cap B$ , and so the saturated set  $W = V \cup B$  is open in  $X$ . In this case,  $q(U) = \text{pr}_X(W)$  is also open in  $X/B$ .

**21.4** Consider the map  $f : I \rightarrow I$ , where

$$f(x) = \begin{cases} \frac{3}{2}x & \text{if } x \in [0, \frac{1}{3}], \\ \frac{1}{2} & \text{if } x \in [\frac{1}{3}, \frac{2}{3}], \\ \frac{3x-1}{2} & \text{if } x \in [\frac{2}{3}, 1], \end{cases}$$

and prove that  $S(f)$  is the given partition. Therefore,  $f/S(f) : I/S(f) \cong I$ .

**21.5** Consider the function  $\varphi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  that vanishes for  $t \in [0, 1]$  and is equal to  $t - 1$  for  $t \geq 1$  and the map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ , where  $f(x, y) = (\frac{\varphi(r)}{r}x, \frac{\varphi(r)}{r}y)$ ; here, as before,  $r = \sqrt{x^2 + y^2}$ . By construction,  $\mathbb{R}^2/D^2 = \mathbb{R}^2/S(f)$ . The map  $f/S(f)$  is a continuous bijection. In order to see that  $f/S(f)$  is a homeomorphism, use *18.Ox* (*18.Px*). In order to see that  $\mathbb{R}^2$  is also homeomorphic to other spaces, use the constructions described in the solutions of Problems *10.20–10.22*.

**21.6** Let  $S$  be the partition of  $X$  into  $A$  and singletons in  $X \setminus A$ . Let  $T$  be the partition of  $Y$  into  $f(A)$  and singletons in  $Y \setminus f(A)$ . Show that  $f/(S, T)$  is a homeomorphism.

**21.7** No, it is not. The quotient space  $\mathbb{R}^2/A$  has no countable base at the image of  $A$ , while  $\text{Int } D^2 \cup \{(0, 1)\}$  is first countable as a subspace of  $\mathbb{R}^2$ . We can construct a continuous map  $\mathbb{R}^2 \rightarrow \text{Int } D^2 \cup \{(0, 1)\}$  that maps  $A$  to  $(0, 1)$  and determines a homeomorphism  $\mathbb{R}^2 \setminus A \rightarrow \text{Int } D^2$ . This map determines a continuous map  $\mathbb{R}^2/A \rightarrow D^2 \cup \{(0, 1)\}$ , but the inverse map is not continuous.

**21.8** The partition  $S(\varphi)$ , where  $\varphi : S^1 \rightarrow S^1 \subset \mathbb{C} : z \mapsto z^3$ , is precisely the partition into given triples, whence  $S^1/S \cong S^1$ .

**21.9** For the first equivalence relation, consider the map  $\varphi(z) = z^2$ .

**21.10** Notice: the quotient space of  $D^n$  by the equivalence relation  $x \sim y \iff x_i = -y_i$  is not homeomorphic to  $D^n$ !

**21.11** Consider  $f : \mathbb{R} \rightarrow S^1 : x \mapsto (\cos 2\pi x, \sin 2\pi x)$ . It is clear that  $x \sim y \iff f(x) = f(y)$ , and so the partition  $S(f)$  is the given one. Unfortunately, here we cannot simply apply Theorem *16.Y* because  $\mathbb{R}$  is not compact. Prove, that, nevertheless, this quotient space is compact.

**21.12** The quotient space of the cylinder by the equivalence relation  $(x, p) \sim (y, q)$  if  $x + y = 1$  and  $p = -q$  (here  $x, y \in [0, 1]$  and  $p, q \in S^1$ ), is homeomorphic to the Möbius strip.

**21.13** Use the transitivity of factorization (Theorem *21.H*). Let  $S$  be the partition of the square into pairs of points on vertical sides lying on one

horizontal line; all the remaining elements of the partition are singletons. We see that the quotient space  $I^2/S$  is homeomorphic to the cylinder. Now let  $S'$  be the partition of the cylinder into pairs of points on the bases symmetric with respect to the center of the cylinder; the other elements are singletons. Then the partition  $T$  of the square into the preimages under the map  $p : I^2 \rightarrow I^2/S$  of the preimages of elements of  $S'$  coincides with the partition the quotient space by which is the Klein bottle.

**21.17** The first assertion follows from the fact that the open sets in the topology induced from  $\bigsqcup_{\alpha \in A} X_\alpha$  on the image  $\text{in}_\beta(X_\beta)$  have the form  $\{(x, \beta) \mid x \in U\}$ , where  $U$  is an open set in  $X_\beta$ , and so  $\text{ab in}_\beta : X_\beta \rightarrow \text{in}_\beta(X_\beta)$  a homeomorphism. Furthermore, each of these images is open in the sum of the spaces (because each of its  $\text{in}_\alpha$ -preimages is either empty, or equal to  $X_\beta$ ), and hence is also closed.

**21.18** The separation axioms and the first axiom of countability are inherited. The separability and the second axiom of countability require that the index set be countable. The space  $\bigsqcup_{\alpha \in A} X_\alpha$  is disconnected if the number of summands is greater than one. The space is compact if the number of summands is finite and each of the summands is compact.

**21.19** The composition  $\varphi = \text{pr} \circ \text{in}_2$  is injective because each element of the partition in  $X_1 \sqcup X_2$  contains at most one point in  $\text{in}_2(X_2)$ . The continuity of  $\varphi$  is obvious. Consider an open set  $U \subset X_2$ . The set  $\text{in}_1(X_1) \cup \text{in}_2(U)$  is open in  $X_1 \sqcup X_2$  and saturated, and so its image  $W$  is open in  $X_2 \cup_f X_1$ . Since the intersection  $W \cap \varphi(X_2) = \varphi(U)$  is open in  $\varphi(X_2)$ , it follows that  $\varphi$  is a topological embedding.

**21.20** Thus,  $X = \{*\}$ . Put  $Y' = Y \sqcup \{*\}$  and  $A' = A \sqcup \{*\}$ . It is clear that the factor  $g : Y/A \rightarrow Y'/A'$  of the injection  $\text{in} : Y \rightarrow Y'$  is a continuous bijection. Prove that the map  $g$  is open.

**21.21** Cut the square in order to obtain (after factorization) two Möbius strips, which must be glued together along their boundary circles.

**21.22** Use the map

$$(\text{id}_{S^1} \times i_+) \sqcup (\text{id}_{S^1} \times i_-) : (S^1 \times I) \sqcup (S^1 \times I) \rightarrow S^1 \times S^1,$$

where  $i_\pm$  are embeddings of  $I$  in  $S^1$  onto the upper and, respectively, lower semicircle.

**21.23** See 21.M and 21.22.

**21.24** If the square, whose quotient space is the Klein bottle, is cut by a vertical segment in two rectangles, then gluing together the horizontal sides we obtain two cylinders.

**21.25** Let  $S^3 = \{(z_1, z_2) \mid |z_1|^2 + |z_2|^2 = 1\} \subset \mathbb{C}^2$ . The subset of the sphere determined by the equation  $|z_1| = |z_2|$  consists of all pairs  $(z_1, z_2)$  such

that  $|z_1| = |z_2| = \frac{1}{\sqrt{2}}$ , therefore, the set is a torus. Now consider the subset  $T_1$  determined by the inequality  $|z_1| \leq |z_2|$  and the map taking  $(z_1, z_2) \in T_1$  to  $(u, v) = \left(\frac{z_1}{|z_2|}, \frac{z_2}{|z_2|}\right) \in \mathbb{C}^2$ . Show that this map is a homeomorphism of  $T_1$  onto  $D^2 \times S^1$  and complete the argument on your own.

**21.26** The cylinder or the Möbius strip. Consider a homeomorphism  $g$  between the vertical sides of the square, let  $g : (0, x) \mapsto (1, f(x))$ . The map  $f$  is a homeomorphism  $I \rightarrow I$ , therefore,  $f$  is a (strictly) monotone function. Assume that the function  $f$  is increasing, in particular,  $f(0) = 0$  and  $f(1) = 1$ . Let us show that there is a homeomorphism  $h : I^2 \rightarrow I^2$  such that  $h(0, x) = x$  and  $h(1, x) = (1, f(x))$  for all  $x \in I$ . For this purpose, we subdivide the square by the diagonals in four parts, and define  $h$  on the right-hand triangle by the formula

$$h\left(\frac{1+t}{2}, \frac{1-t}{2} + tx\right) = \left(\frac{1+t}{2}, \frac{1-t}{2} + tf(x)\right),$$

$t, x \in I$ . On the remaining three triangles,  $h$  is identical. It is clear that that the homeomorphism takes the element  $\{(0, x), (1, x)\}$  of the partition to the element  $\{(0, x), (1, f(x))\}$ , therefore, there exists a continuous bijection of the cylinder (consequently, a homeomorphism) onto the result of gluing together the square by the homeomorphism  $g$  of its vertical sides. If the function  $f$  is decreasing, then, arguing in a similar way, we see that the result of this gluing is the Möbius strip.

**21.27** The torus and the Klein bottle; similarly to 21.26.

**21.28** Show that any homeomorphism of the boundary circle extends to the entire Möbius strip.

**21.29** See 21.27.

**21.30** Show that each auto-homeomorphism of the boundary circle of a handle extends to an auto-homeomorphism of the entire handle. (Compare Problem 21.28. When solving both problems, it is convenient to use the following fact: each auto-homeomorphism of the outer boundary circle of a ring extends to an auto-homeomorphism of the entire ring that is fixed on the inner boundary circle or determines a mirror symmetry of it.)

**21.31** See the solutions to Problems 21.28–21.30.

**21.32** We can assume that the holes are split into the pairs of holes connected by “tubes”. (Compare the solution to Problem 21.V.) Together with a disk surrounding such a pair, each tube either forms a handle or a Klein bottle with a hole. If each of the tubes forms a handle, then we obtain a sphere with handles. Otherwise, we transform all handles into Klein bottles with holes (see the solution to Problem 21.V) and obtain a sphere with films.

**22.1** There exists a natural one-to-one correspondence between lines in the plane that are determined by equations of the form  $ax + by + c = 0$  and points  $(a : b : c)$  in  $\mathbb{R}P^2$ . Observe that the complement of the image of the set of all lines is the singleton  $\{(0 : 0 : 1)\}$ .

**23.1x** Yes, it is. A number  $a$  always divides  $a$  (formally speaking, even 0 divides 0). Further, if  $a$  divides  $b$  and  $b$  divides  $c$ , then  $a$  divides  $c$ .

**23.2x**  $a \sim b$  iff  $a = \pm b$ .

**23.3x** This is obvious because  $A \subset \text{Cl } B$  iff  $\text{Cl } A \subset \text{Cl } B$ .

**24.1x** This is obvious. (Cf. Problem 24.2x.)

**24.2x** Taking each point  $y \in Y$  to the constant map  $X \rightarrow Y : x \mapsto y$ , we obtain an injection  $Y \rightarrow \mathcal{C}(X, Y)$ .

**24.4x** The correspondence  $f \mapsto f^{-1}(0)$  determines a bijection  $\mathcal{C}(X, Y) \rightarrow \Omega_X$ .

**24.5x** Since  $X$  is a discrete space, each map  $f : X \rightarrow Y$  is continuous. If  $X = \{x_1, x_2, \dots, x_n\}$ , then  $f$  is uniquely determined by the collection  $\{f(x_1), \dots, f(x_n)\} \in Y^n$ .

**24.6x** The set  $X$  has two connected components.

**24.7x** It is clear (prove this) that the topological structures  $\mathcal{C}(I, I)$  and  $\mathcal{C}^{(pw)}(I, I)$  are distinct, and, consequently, the identical map of the set  $\mathcal{C}(I, I)$  is not a homeomorphism. In order to prove that the spaces considered are not homeomorphic, we must find a topological property such that one of the spaces satisfies it, while the other does not. Show that  $\mathcal{C}(I, I)$  satisfies the first axiom of countability, while  $\mathcal{C}^{(pw)}(I, I)$  does not.

**24.8x** We identify  $Y$  with  $\text{Const}(X, Y)$  via the map  $y \mapsto f_y : x \mapsto y$ . Consider the intersections of sets in the subbase with the image of  $Y$  under the above map. We have  $W(x, U) \cap \text{Const}(X, Y) = U$ , hence, the intersection of  $Y$  with any subbase set in the topology of pointwise convergence is open in  $Y$ . Conversely, for each open set  $U$  in  $Y$  and for each  $x \in X$  we have  $U = W(x, U) \cap \text{Const}(X, Y)$ . The same argument is also valid in the case of the compact-open topology.

**24.9x** The mapping  $f \mapsto (f(x_1), f(x_2), \dots, f(x_n))$  maps the subbase set  $W(x_1, U_1) \cap W(x_2, U_2) \cap \dots \cap W(x_n, U_n)$  to the base set  $U_1 \times U_2 \times \dots \times U_n$  of the product topology. Finally, it is clear that if  $X$  is finite, then the topologies  $\Omega^{\text{co}}(X, Y)$  and  $\Omega^{\text{pw}}(X, Y)$  coincide.

**24.10x**  $\Rightarrow$  Use 24.Wx.  $\Leftarrow$  Since  $X$  is a path-connected space, any two paths in  $X$  are freely homotopic. Consider a homotopy  $h : I \times I \rightarrow X$ . By 24.Vx, the map  $\tilde{h} : I \rightarrow \mathcal{C}(I, X)$  defined by the formula  $\tilde{h}(t)(s) = h(t, s)$ , is continuous. Therefore, any two paths in  $X$  are joined by

a path in the space of paths, which precisely means that the space  $\mathcal{C}(I, X)$  is path-connected.

**24.11x** The space  $\mathcal{C}^{(pw)}(I, I)$  is noncompact since the sequence of functions  $f_n(x) = x^n$  has no accumulation points in this space. The same sequence has no limit points in  $\mathcal{C}(I, I)$ , and, hence, this space also is not compact.

**24.12x** Let

$$d_n(f, g) = \max\{|f(x) - g(x)| : x \in [-n, n]\}, \quad n \in \mathbb{N}.$$

Put

$$d(f, g) = \sum_{n=1}^{\infty} \frac{d_n(f, g)}{2^n(1 + d_n(f, g))}.$$

We easily see that  $d$  is a metric. Show that  $d$  generates the compact-open topology.

**24.13x** The proof is similar to that of assertion 24.12x. We only need to observe that since, obviously,  $X = \bigcup_{i=1}^{\infty} \text{Int } X_i$ , for each compact set  $K \subset X$  there is  $n$  such that  $K \subset X_n$ .

**25.1x** 1) No, it cannot. 2) Yes, it can.

**26.1x** Use the fact that 1)  $\beta(x, y) = \omega(x, \alpha(y))$ , and 2)  $\alpha(x) = \beta(1, x)$  and  $\omega(x, y) = \beta(x, \alpha(y))$ .  $\Leftrightarrow$  Use the continuity of compositions.  $\Leftarrow$  Write  $b^{-1} = 1 \cdot b^{-1}$  and  $ab = a \cdot (1 \cdot b^{-1})^{-1}$ .

**26.2x** In the notation used in the proof of assertion 26.1x,  $\alpha$  is a continuous map inverse to itself. Therefore,  $\alpha$  is a homeomorphism.

**26.3x** Use the fact that the former map is the composition  $\omega \circ (f \times g)$ , while the latter is the composition  $\alpha \circ f$  (in the notation used in the proof of 26.1x).

**26.4x** Yes, it is. In order to prove this, use the fact that any auto-homeomorphism of an indiscrete space is continuous.

**26.5x** If the topology in a group is induced by the standard topology of the Euclidean space, then in order to verify that the maps  $(x, y) \mapsto xy$  and  $x \mapsto x^{-1}$  are continuous it suffices to check that they are determined by continuous functions. If  $x = a + ib$  and  $y = c + id$ , then  $xy = (ac - bd) + i(ad + bc)$ . Therefore, the multiplication is determined by the function  $(a, b, c, d) \mapsto (ac - bd, ad + bc)$ , which is obviously continuous. The passage to the inverse element is also determined by the continuous function (on  $\mathbb{R}^2 \setminus 0$ )

$$\mathbb{R}^2 \setminus 0 \rightarrow \mathbb{R}^2 \setminus 0 : (a, b) \mapsto \left( \frac{a}{a^2 + b^2}, \frac{-b}{a^2 + b^2} \right).$$

**26.6x** Use the idea of the solution to Problem 26.5x and the fact that addition, multiplication, and their compositions are continuous.

**26.7x** Consider, e.g., the *cofinite* topology of Problem 2.5, or, what would be more interesting, the topology of an irrational flow  $\mathbb{R} \rightarrow T^2$ . (See 28.1x (f).)

**26.8x** Consider any two (algebraically) nonisomorphic discrete finite groups of equal order. Here is a more meaningful example: the topological group  $GL_+(2, \mathbb{R}) \subset GL(2, \mathbb{R})$  of invertible  $2 \times 2$  matrices with positive determinant is homeomorphic to  $O_+(2) \times \mathbb{R}^3$ . (Here,  $O_+(2) = O(2) \cap GL_+(2, \mathbb{R})$ .) The two groups are not isomorphic because the first one is not Abelian, while the second one is.

**26.10x** Yes, it does. (For the same reason as in 26.Ex.)

**26.11x** Use the fact that  $UV = \bigcup_{x \in V} Ux$  and  $VU = \bigcup_{x \in V} xU$ .

**26.12x** No, it will not. A counterexample is given by a point by point sum  $U + V$  of a singleton  $U \subset \mathbb{R}$  with an open interval  $V \in \mathbb{R}$ . A counterexample where both  $U$  and  $V$  are closed is given in 26.13x

**26.13x** (a), (b) Yes. (c) No. This group is everywhere dense, but obviously does not coincide with  $\mathbb{R}$ . (For example, because it is countable, while  $\mathbb{R}$  is not.)

**26.14x** Let  $x \notin UV$ . Then  $U$  and  $xV^{-1}$  are disjoint. Apply 26.14x.1 and take a neighborhood  $W$  of  $1_G$  such that  $WU$  does not meet  $xV^{-1}$ . Then  $W^{-1}x$  does not meet  $UV$ .

**26.14x.1** For each  $x \in C$ , the unity  $1_G$  has a neighborhood  $V_x$  such that  $xV_x$  does not meet  $F$ . By 26.Hx,  $1_G$  has a neighborhood  $W_x$  such that  $W_x^2 \subset V_x$ . Since  $C$  is compact,  $C$  is covered by finitely many sets of the form  $W_1 = x_1W_{x_1}, \dots, W_n = x_nW_{x_n}$ . Put  $V_1 = \bigcap_1^n W_{x_i}$ . Then  $CV_1 \subset \bigcup W_iV \subset \bigcup x_iW_{x_i}^2 \subset \bigcup x_iV_{x_i}$ , so that  $CV$  does not meet  $F$ . In a similar way, we construct a neighborhood  $V_2$  of  $1_G$  such that  $V_2C$  does not meet  $F$ . The neighborhood  $V = V_1 \cap V_2$  possesses the required property. If  $G$  is a locally compact group, then we choose the neighborhood  $V_x$  with compact closure and then proceed as before.

**26.15x** By 26.Hx,  $1_G$  has a neighborhood  $V'$  with  $V'V' \subset U$ . By 26.Gx,  $V'$  contains a symmetric neighborhood  $V_2$  of  $1_G$ . Then  $V_2V_2 \subset V'V' \subset U$ . After that, proceed by induction, replacing  $U$  by  $V_2$  and choosing as  $V_n$  a symmetric neighborhood  $V$  of  $1_G$  such that  $V^{n-1} \subset V_2$ . Then  $V^n \subset V_2^2 \subset U$ . Observe that  $V \subset VV$ .

**26.16x** The set  $H = \bigcup_{n=1}^{\infty} V^n$  is open. Clearly,  $1 \in H$ ,  $H^{-1} \subset H$ , and  $HH \subset H$ . Hence,  $H$  is a subgroup. It remains to observe that an open subgroup is always closed (see 27.3x).

**26.18x** Let  $N$  be the intersection of all neighborhoods of  $1_G$ . Since  $G$  is finite, there are only finitely many neighborhoods involved, and hence  $N$  is open. From 26.Gx and 26.Hx it follows that  $N = N^{-1}$  and  $N^2 = N$ . Hence,  $N$  is a subgroup. It is normal since otherwise  $N \cap gNg^{-1}$  would be a smaller neighborhood of  $1_G$  than  $N$ .

**27.2x**  $\Leftrightarrow$  Obvious. (Consider the unity.)  $\Leftarrow$  Let  $H$  be the subgroup,  $U$  an open set,  $g \in U \subset H$ . Then  $h \in hg^{-1}U \subset H$  for each  $h \in H$ , therefore, each point of  $H$  is inner.

**27.3x** For any subgroup  $H$  and any  $g \notin H$ , the sets  $H$  and  $gH$  are disjoint. Hence, the complement of  $H$  is the union of  $gH$  over all  $g \notin H$ . Therefore, the complement of  $H$  is open if  $H$  is open.

**27.4x** Use the same argument as in the solution to Problem 27.3x and observe that in the case of finite index there are only finitely many distinct cosets  $gH$  such that  $g \notin H$ .

**27.5x** Consider  $\mathbb{Z} \subset \mathbb{R}$  and, respectively,  $\mathbb{Q} \subset \mathbb{R}$ .

**27.6x** Show that if  $H$  contains an isolated point, then all points of  $H$  are isolated.

**27.7x** Let  $U \subset G$  be an open set such that  $U \cap H = U \cap \text{Cl} H \neq \emptyset$ . If  $g \notin H$  and  $gH \cap U \neq \emptyset$ , then  $g$  belongs to the open set  $\bigcup_{h \in H} h(U \setminus H)$  disjoint with  $H$ . If  $gH$  is disjoint with  $U$ , take  $h' \in H \cap U$  and a symmetric open neighborhood  $V$  of 1 such that  $Vh' \subset U$ . Then  $Vg$  is an open neighborhood of  $g$  disjoint with  $H$ . (Otherwise,  $vg = h$  implies  $gh^{-1}h' = v^{-1}h' \in Vh'$ .)

**27.8x** By 27.7x, the closure of  $\text{Cl} H \setminus H$  contains  $H$ .

**27.9x** Use the fact that  $(\text{Cl} H)^{-1} = \text{Cl} H^{-1}$  and  $\text{Cl} H \cdot \text{Cl} H \subset \text{Cl}(H \cdot H) = \text{Cl} H$ .

**27.10x** This is true if the interior is nonempty, see 27.2x.

**27.12x** Repeat the argument used in the solution to 27.Fx.

**27.13x** We identify elements of  $SO(n)$  with positively oriented orthonormal bases in  $\mathbb{R}^n$ . The map  $p : SO(n) \rightarrow S^{n-1}$  sends each basis to its last vector. The preimage of a point  $x \in S^{n-1}$  is the right coset of  $SO(n-1)$  (prove this). Clearly,  $p$  is continuous. The quotient map of  $p$  is a continuous bijection  $\hat{p} : SO(n)/SO(n-1) \rightarrow S^{n-1}$ . Since  $SO(n)$  is compact and  $S^{n-1}$  is Hausdorff,  $\hat{p}$  is a homeomorphism.

**27.14x** 1) The groups  $SO(n)$ ,  $U(n)$ ,  $SU(n)$ , and  $Sp(n)$  are bounded closed subsets of the corresponding matrix spaces. Therefore, they are compact.

2) To check that  $SO(n)$  is connected, combine 27.13x and 27.Fx, and then use induction (we observe that the group  $SO(2) \cong S^1$  is connected).

(Another, more hand-operated, method consists in using normal forms. For example, for any  $x \in SO(n)$  there is  $g \in SO(n)$  such that the matrix  $gxg^{-1}$  consists of diagonal blocks of  $SO(1)$  and  $SO(2)$  matrices. The latter block matrices belong to the connected component  $C$  of the unity in  $SO(n)$ . Since  $C$  is a normal subgroup (see 27.Hx), it follows that  $x \in C$ .) In order to prove that  $U(n)$ ,  $SU(n)$ , and  $Sp(n)$  are connected, state and prove the corresponding counterparts of 27.13x and then use 27.Fx.

3) The group  $O(n)$  has two connected components:  $SO(n)$  and its complement (the only nontrivial coset of  $SO(n)$ ). The group  $O(p, q)$  has four connected components if  $p > 0$  and  $q > 0$ . To check this, use induction on  $p$  and  $q$ , at each step using 27.12x and 18.Ox.

**27.15x** See the solution to 27.Hx.

**27.16x** Let  $h \in H$ . Since  $H$  is normal, we have a map  $\eta : G \rightarrow H : g \mapsto ghg^{-1}$ . Since  $G$  is connected, the image of  $\eta$  is a connected subset of  $H$ . Since  $H$  is discrete, it is a point, and so  $\eta$  is constant. Since  $\eta(1) = h$ , we have  $ghg^{-1} = \eta(g) = h$  for all  $g \in G$ . Therefore,  $gh = hg$  for all  $g \in G$ , i.e.,  $h \in C(G)$ .

**27.19x** Consider the exponential map  $\mathbb{R} \rightarrow S^1 : x \mapsto e^{2\pi xi}$  and an open interval in  $\mathbb{R}$  containing 0 and  $\frac{1}{2}$ .

**27.20x** Let  $U$  and  $V$  be neighborhoods of unity in topological groups  $G$  and  $H$ , respectively. Let  $f : U \rightarrow V$  be a homeomorphism such that  $f(xy) = f(x)f(y)$  for any  $x, y \in U$ . By 26.Hx,  $1_G$  has a neighborhood  $\widehat{U}$  in  $G$  such that  $\widehat{U}^2 \subset U$ . Since  $\widehat{U} \subset U$ , we have  $f(xy) = f(x)f(y)$  for any  $x, y \in \widehat{U}$  with  $xy \in \widehat{U}$ . Put  $\widehat{V} = f(\widehat{U})$  and consider  $z, t \in \widehat{V}$  with  $zt \in \widehat{V}$ . Then  $z = f(x)$  and  $t = f(y)$ , where  $x, y \in \widehat{U}$ , whence  $xy \in U$ , and so  $f(xy) = f(x)f(y) = zt$ . Therefore, we have  $x = f^{-1}(z)$  and  $y = f^{-1}(t)$ , whence  $f^{-1}(z)f^{-1}(t) = xy = f^{-1}(zt)$ .

**27.21x** This follows from 27.Ox because the projection  $\text{pr}_G : G \times H \rightarrow G$  is an open map.

**27.23x** The map is continuous as a restriction of the continuous map  $G \times G \rightarrow G : (x, y) \mapsto xy$ . As an example, consider the case where  $G = \mathbb{R}$ ,  $A = \mathbb{Q}$ , and  $B$  is generated by the irrational elements of a Hamel basis of  $\mathbb{R}$  (i.e., a basis of  $\mathbb{R}$  as of a vector space over  $\mathbb{Q}$ ). The inverse group isomorphism  $\mathbb{R} \rightarrow A \times B$  here is not continuous since, e.g.,  $\mathbb{R}$  is connected, while  $A \times B$  is not.

**27.Ux** Let a compact Hausdorff group  $G$  be the direct product of two closed subgroups  $A$  and  $B$ . Then  $A$  and  $B$  are compact and Hausdorff, and

so  $A \times B \rightarrow G : (a, b) \mapsto ab$  is a continuous bijection from a compact space to a Hausdorff one. By 16.Y, it is a homeomorphism.

**27.24x** An isomorphism is  $S^0 \times \mathbb{R}_{>0} \rightarrow \mathbb{R} \setminus 0 : (s, r) \mapsto rs$ .

**27.25x** An isomorphism is  $S^1 \times \mathbb{R}_{>0} \rightarrow \mathbb{C} \setminus 0 : (s, r) \mapsto rs$ .

**27.26x** An isomorphism is  $S^3 \times \mathbb{R}_{>0} \rightarrow \mathbb{H} \setminus 0 : (s, r) \mapsto rs$ .

**27.27x** This is obvious because the 3-sphere  $S^3$  is connected, while  $S^0$  is not. However, the subgroup  $S^0 = \{1, -1\}$  of  $S^3 = \{z \in \mathbb{H} : |z| = 1\}$  is not a direct factor even group-theoretically. Indeed, otherwise any value  $\pm 1$  of the projection  $S^3 \rightarrow S^0$  on the standard generators  $i, j$ , and  $k$  would lead to a contradiction.

**27.28x** Take the quotient group in 27.27x.

**28.1x** In (1) and (2), the map  $G \rightarrow \text{Top } X$  is continuous (see the solution to 28.Gx). However, if we require  $\text{Top } X$  to be a topological group, then we need additional assumptions, e.g., the Hausdorff axiom and local compactness.

**28.2x** Each of the angles has the form  $\pi/n, n \in \mathbb{N}$ . Therefore, there are only two solutions:  $(\pi/2, \pi/3, \pi/6)$  and  $(\pi/3, \pi/3, \pi/3)$ .

**28.3x** Such examples are given by the irrational flow (see 28.1x (f)), or by the action of  $\mathbb{Z} + \sqrt{2}\mathbb{Z}$  regarded as a discrete group acting by translations on  $\mathbb{R}$ . In the latter case, we have  $G = G/G^x$ , while  $G(x)$  is not discrete. (Cf. 26.13x.)

**28.4x** Let  $A$  be closed. In order to prove that  $G(A)$  is closed, consider an orbit  $G(x)$  disjoint with  $G(A)$ . For each  $g \in G$ , let  $U(g) \subset X$  and  $V(g) \subset G$  be neighborhoods of  $x$  and  $g$ , respectively, such that  $V(g)U(g)$  is disjoint with  $G(A)$ . Since  $G$  is compact, there is a finite number of elements  $g_k \in G$  such that  $V(g_k)$  cover  $G$ . Then the saturation of  $\bigcap U(g_k)$  is an open set disjoint with  $G(A)$  and containing  $G(x)$ .

If  $A$  is compact, then so is  $G(A)$  as the image of the compact space  $G \times A$  under the continuous action  $G \times A \rightarrow X$ .

**28.5x** There are two orbits:  $\{0\}$  and  $\mathbb{R} \setminus 0$ . The corresponding isotropy subgroups are  $G$  and  $\{1_G\}$ . The quotient space is a two-point set, say  $\{0, 1\}$ , with nontrivial topology (neither discrete, nor indiscrete).

**28.6x** The quotient space is canonically homeomorphic to the rectangle itself. A homeomorphism is induced by the inclusion of the rectangle to  $\mathbb{R}^2$  (a continuous section of the quotient map). The group  $G$  is described in Problem 28.7x.

**28.7x** Using the transitivity of factorization, replace  $\mathbb{R}^2/G$  by the quotient of two adjacent rectangles that is obtained by identifying the points on their distinct edges via the reflection in their common edge. The latter

quotient is homeomorphic to  $S^2$  (a “pillow”).

The group  $G$  is the direct square  $C \times C$  of the free product  $C$  of two copies of  $\mathbb{Z}/2$  (see 43°7x), and  $H \subset G$  is a subgroup of elements of even degree.

**28.8x** Two points belong to the same orbit iff their vectors of absolute values  $|z_0|, \dots, |z_n|$  are proportional. In other words, the orbits correspond in a one-to-one manner to “positive quadrant” directions in  $\mathbb{R}^{n+1}$ . The isotropy subgroups are coordinate subtori, i.e., the subtori of  $G$  where some of the coordinates vanish: the same coordinates as the zero coordinates of the points in the orbit. By transitivity of factorization,  $X/G$  is homeomorphic to the projectivization of the “positive quadrant”  $\mathbb{R}_{>0}^{n+1}/\mathbb{R}_{>0}$ . The latter is a closed  $n$ -simplex.

**28.9x** Two points belong to the same orbit iff all symmetric functions of their coordinates coincide. Thus, at least set-theoretically, the Vieta map evaluating the unitary (i.e., with leading coefficient 1) polynomial equation of degree  $n$  with given  $n$  roots identifies  $X/G$  with the space of unitary polynomials of degree  $n$ , i.e.,  $\mathbb{C}^n$ . Since both spaces are locally compact and the group  $G = \mathbb{S}_n$  is compact (even finite), the quotient map  $X/G \rightarrow \mathbb{C}^n$  is a homeomorphism.

**28.10x** Two such matrices belong to the same orbit iff the matrices have the same eigenvalues, counting the multiplicities. Thus, at least set-theoretically, the map evaluating the eigenvalues in decreasing order,  $\lambda_1 \geq \lambda_2 \geq \lambda_3$ , identifies  $X/G$  with the subspace of  $\mathbb{R}^3$  determined by the above inequalities and the relation  $\lambda_1 + \lambda_2 + \lambda_3 = 0$ . Since this map has a continuous section (that given by diagonal matrices), it follows that  $X/G$  is homeomorphic to the above subspace of  $\mathbb{R}^3$ , which is a plane region bounded by two rays making an angle of  $\frac{2\pi}{3}$ . The isotropy group of an interior point in the region is  $\mathbb{Z}/2 \oplus \mathbb{Z}/2$ . For interior points of the rays, the isotropy group is the normalizer of  $SO(2)$ , and the orbits are real projective planes. For  $\lambda_1 = \lambda_2 = \lambda_3 = 0$ , the isotropy group is the entire  $SO(3)$ , while the orbit is one-point.

**28.11x** The sphere  $S^n \subset \mathbb{R}^{n+1}$  (respectively,  $S^{2n-1} \subset \mathbb{C}^n$ ) is a Hausdorff homogeneous  $G$ -space, on which  $G = O(n+1)$  (respectively,  $G = U(n)$ ) acts naturally. For any point  $x \in S^n$  (respectively,  $x \in S^{2n-1}$ ), the isotropy group is a standardly embedded  $O(n) \subset O(n+1)$  (respectively,  $U(n-1) \subset U(n)$ ). So, it remains to apply 28.Mx.

**28.12x** The above action of  $O(n+1)$  (respectively,  $U(n)$ ) descends to  $\mathbb{R}P^n$  (respectively,  $\mathbb{C}P^{n-1}$ ). For any point  $x \in S^n$  (respectively,  $x \in S^{2n-1}$ ), the isotropy group is  $O(n) \times O(1)$  (respectively,  $U(n-1) \times U(1)$ ).

**28.13x** Similarly to 28.11x, this follows from the representation of  $S^{4n-1} \subset \mathbb{H}^n$  as a homogeneous  $Sp(n)$ -space.

**28.14x** The torus is  $\mathbb{R}^2/H$ , where  $H = \mathbb{Z}^2 \subset \mathbb{R}^2$ . To obtain the Klein bottle in the form  $\mathbb{R}^2/G$ , add to  $H$  the reflection  $(x, y) \mapsto (1-x, y)$ .

**28.15x** 1) The space of  $n$ -tuples  $(L_1, \dots, L_n)$  of pairwise orthogonal vector lines  $L_k$  in  $\mathbb{R}^n$ .

2) The Grassmannian of (non-oriented) vector  $k$ -planes in  $\mathbb{R}^n$ .

3) The Grassmannian of oriented vector  $k$ -planes in  $\mathbb{R}^n$ .

4) The Stiefel variety of  $(n-k)$ -orthogonal unit frames in  $\mathbb{R}^n$ .

**28.16x** 1) Use the fact that the product of two homogeneous spaces is a homogeneous space. (Over what group?) 2) A more interesting option: show that  $S^2 \times S^2$  is homeomorphic to the Grassmannian of oriented vector 2-planes in  $\mathbb{R}^4$ .

**28.17x** By definition, the group  $SO(n, 1)$  acts transitively on the quadric  $Q$  in  $\mathbb{R}^{n+1}$  given by the equation  $-x_0^2 + x_1^2 + \dots + x_n^2 = 0$ . The isotropy group of any point of  $Q$  is the standardly embedded  $SO(n) \subset SO(n, 1)$ . By 28.Mx, the quotient space  $SO(n, 1)/SO(n)$  is homeomorphic to  $Q$ , which in turn is homeomorphic to a disjoint sum of two open  $n$ -balls.

**29.1** For each continuous map  $f : X \rightarrow I$ , the map  $H : H(x, t) = (1-t)f(x)$  is a homotopy between  $f$  and the constant map  $h_0 : x \mapsto 0$ .

**29.2** Let  $f_0, f_1 : Z \rightarrow X$  be two constant maps with  $f_0(Z) = \{x_0\}$  and  $f_1(Z) = \{x_1\}$ .  $\Leftrightarrow$  If  $H$  is a homotopy between  $f_0$  and  $f_1$ , then for any  $z_* \in Z$  the path  $u : t \mapsto H(z_*, t)$  joins  $x_0$  and  $x_1$ , which thus lie in one path-connected component of  $X$ .

$\Leftarrow$  If  $x_0$  and  $x_1$  are joined by a path  $u : I \rightarrow X$ , then  $Z \times I \rightarrow X : (z, t) \mapsto u(t)$  is a homotopy between  $f_0$  and  $f_1$ .

**29.3** Let us show that an arbitrary map  $f : I \rightarrow Y$  is null-homotopic. Indeed, if  $H(s, t) = f(s \cdot (1-t))$ , then  $H(s, 0) = f(s)$  and  $H(s, 1) = f(0)$ . Consider two continuous maps  $f, g : I \rightarrow Y$ . We show that if  $f(I)$  and  $g(I)$  lie in one and the same path-connected component of  $Y$ , then they are homotopic. Each of the maps  $f$  and  $g$  is null-homotopic, therefore, they are homotopic due to the transitivity of the homotopy relation and the result of Problem 29.2. To make the picture complete, we present an explicit homotopy joining  $f$  and  $g$ :

$$H(s, t) = \begin{cases} f(s \cdot (1-3t)) & \text{for } t \in [0, \frac{1}{3}], \\ u(3s-1) & \text{for } t \in [\frac{1}{3}, \frac{2}{3}], \\ g(s \cdot (3t-2)) & \text{for } t \in [\frac{2}{3}, 1]. \end{cases}$$

**29.4** Prove that each continuous map to a star-shaped set is homotopic to the constant map with image equal to the center of the star.

**29.5** Let  $f : C \rightarrow X$  be a continuous map. Let  $a$  be the center of the set  $C$ . Then the required homotopy  $H : C \times I \rightarrow X$  is defined by the formula  $H(c, t) = f(ta + (1 - t)c)$ .

**29.6** The space  $X$  is path-connected.

**29.7** Use assertion *29.F* and the fact that  $S^n \setminus \text{point} \cong \mathbb{R}^n$ .

**29.8** If a path  $u : I \rightarrow \mathbb{R}^n \setminus 0$  joins  $x = f(0)$  and  $y = g(0)$ , then  $u$  determines a homotopy between  $f$  and  $g$  because  $0 \times I \cong I$ .

**29.9** Consider the maps  $f$  and  $g$  defined by the formulas  $f(0) = -1$  and  $g(0) = 1$ . They are not homotopic because the points 1 and  $-1$  lie in distinct path-connected components of  $\mathbb{R} \setminus 0$ .

**29.10** If  $n > 1$ , then there is a unique homotopy class. For  $n = 1$ , there are  $(k + 1)^m$  such classes.

**29.11** Since for each point  $x \in X$  and each real  $t \in I$  we have the inequality

$$|(1 - t)f(x) + tg(x)| = |f(x) + t(g(x) - f(x))| \geq |f(x)| - |g(x) - f(x)| > 0,$$

it follows that the image of the rectilinear homotopy joining  $f$  and  $g$  lies in  $\mathbb{R}^n \setminus 0$ , therefore, these maps are homotopic.

**29.12** For the simplicity, we assume that the leading coefficients of  $p$  and  $q$  are equal to 1. Use *29.11* to show that the maps determined by the polynomial  $p(x)$  of degree  $n$  and the monomial  $z^n$  are homotopic.

**29.13** The required homotopy is given by the formula

$$H(x, t) = \frac{(1 - t)f(x) + tg(x)}{\|(1 - t)f(x) + tg(x)\|}.$$

How do you think, where have we used the assumption  $|f(x) - g(x)| < 2$ ?

**29.14** This immediately follows from *29.13*.

**30.1** To shorten the notation, put  $\alpha = (uv)w$  and  $\beta = u(vw)$ ; by assumption,  $\alpha(s) = \beta(s)$  for all  $s \in [0, 1]$ . In the proof of assertion *30.E.2*, we construct a function  $\varphi$  such that  $\alpha \circ \varphi = \beta$ . Consequently,  $\alpha(s) = \alpha(\varphi(s))$ , whence  $\alpha(s) = \alpha(\varphi^n(s))$  for all  $s \in [0, 1]$  and  $n \in \mathbb{N}$  (here  $\varphi^n$  is the  $n$ -fold composition of  $\varphi$ ). Since  $\varphi(s) < s$  for  $s \in (0, 1)$ , it follows that the sequence  $\varphi^n(s)$  is monotone decreasing, and we easily see that it tends to zero for each  $s \in (0, 1)$ . By assumption,  $\alpha : I \rightarrow X$ , therefore,  $\alpha(s) = \alpha(\varphi^n(s)) \rightarrow \alpha(0) = x_0$  for all  $s \in [0, 1)$ , whence  $\alpha(s) = x_0$  also for all  $s \in [0, 1)$ . Consequently, we also have  $\alpha(1) = x_0$ .

**30.2** The solution of Problem *30.D* implies that we must construct three paths  $u$ ,  $v$ , and  $w$  in a certain space such that  $\alpha(\varphi(s)) = \alpha(s)$  for all  $s \in [0, 1]$  (here, as in *30.1*,  $\alpha = (uv)w$ ). Consider, for example, the paths  $I \rightarrow [0, 3]$  defined by the formulas  $u(s) = s$ ,  $v(s) = s + 1$ , and  $w(s) = s + 2$ ;

the path  $\alpha : [0, 1] \rightarrow [0, 3]$  is a bijection. We introduce in  $[0, 3]$  the following equivalence relation:  $x \sim y$  if there are  $n, k \in \mathbb{N}$  such that  $x = \alpha(\varphi^k(s))$  and  $y = \alpha(\varphi^n(s))$ . Let  $X$  be the quotient space of  $[0, 3]$  by this relation. Then the paths  $u' = \text{pr} \circ u$ ,  $v' = \text{pr} \circ v$ , and  $w' = \text{pr} \circ w$  satisfy  $(u'v')w' = u'(v'w')$ .

**30.4** If  $u(s) = e_a u(s)$ , then

$$u(s) = \begin{cases} a & \text{if } s \in [0, \frac{1}{2}], \\ u(2s-1) & \text{if } s \in [\frac{1}{2}, 1]. \end{cases}$$

Thus,  $u(s) = a$  for all  $s \in [0, \frac{1}{2}]$ . Further, if  $s \in [\frac{1}{2}, \frac{3}{4}]$ , then  $2s-1 \in [0, \frac{1}{2}]$ , whence it follows that  $u(s) = u(2s-1) = a$  also for all  $s \in [\frac{1}{2}, \frac{3}{4}]$ . Reasoning further in a similar way, we see as a result that  $u(s) = a$  for all  $s \in [0, 1]$ . If we put no restrictions on the space  $X$ , then it is quite possible that  $u(1) = x \neq a$  (show this). Also show that the assumptions of the problem imply that  $u(1) = a$  (cf. 30.1).

**30.5** This is quite obvious.

**31.1** The homotopies  $h$  such that  $h(0, t) = h(1, t)$  for all  $t \in I$ .

**31.2** See Problem 31.3.

**31.3** If  $z = e^{2\pi is}$ , then

$$uv(e^{2\pi is}) = \begin{cases} u(e^{4\pi is}) & \text{if } s \in [0, \frac{1}{2}], \\ v(e^{4\pi is}) & \text{if } s \in [\frac{1}{2}, 1] \end{cases} = \begin{cases} U(z^2) & \text{if } \text{Im}z \geq 0, \\ V(z^2) & \text{if } \text{Im}z \leq 0. \end{cases}$$

**31.4** Consider the set of homotopy classes of circular loops at a certain point  $x_0$ , where the operation is defined as in Problem 31.3.

**31.5** The group is trivial because any map to such a space is continuous, and so any two loops (at the same point) are homotopic.

**31.6** This group is trivial because the quotient space in question is homeomorphic to  $D^2$ .

**31.7** Up to homeomorphism, a two-point set admits only three topological structures: the indiscrete one, the discrete one, and the topology where only one point of the two is open. The first case is considered in 31.5, while the discrete space is not path-connected. Therefore, we should only consider the case where  $\Omega_X = \{\emptyset, X, \{a\}\}$ ,  $a \in X$ . Let  $u$  be a loop at  $a$ . The formula

$$h(s, t) = \begin{cases} u(s) & \text{if } t = 0, \\ a & \text{if } t \in (0, 1] \end{cases}$$

determines a homotopy between  $u$  and a constant loop. Indeed, the continuity of  $h$  follows from the fact that the set  $h^{-1}(a) = (u^{-1}(a) \times I) \cup (I \times (0, 1])$  is open in the square  $I \times I$ .

**31.9** Use Theorem 31.H, the fact that  $\mathbb{R}^n \setminus 0 \cong \mathbb{R} \times S^{n-1}$ , and Theorem 31.G.

**31.10** A discrete space is simply connected iff it is a singleton. An indiscrete space,  $\mathbb{R}^n$ , a convex set, and a star-shaped set are simply connected. The sphere  $S^n$  is simply connected iff  $n \geq 2$ . The space  $\mathbb{R}^n \setminus 0$  is simply connected iff  $n \geq 3$ .

**31.11** We observe that since the space  $X$  is path-connected, we have  $U \cap V \neq \emptyset$ . Consider a loop  $u : I \rightarrow X$ , for the sake of definiteness, let  $u(0) = u(1) = x_0 \in U$ . By 31.G.3, there is a sequence of points  $a_1, \dots, a_N \in I$ , where  $0 = a_1 < a_2 < \dots < a_{N-1} < a_N = 1$ , such that for each  $i$  the image  $u([a_i, a_{i+1}])$  is contained in  $U$  or in  $V$ . Furthermore, (uniting the segments) we can assume that if  $u([a_{k-1}, a_k]) \not\subset U$  (or  $V$ ), then  $u([a_k, a_{k+1}]) \subset U$  (respectively,  $U$ ), whence  $u(a_k) \in U \cap V$  for all  $k = 1, 2, \dots, N-1$ . Consider the segment  $[a_k, a_{k+1}]$  such that  $u([a_k, a_{k+1}]) \subset V$ . The points  $u(a_k)$  and  $u(a_{k+1})$  are joined by a path  $v_k : [a_k, a_{k+1}] \rightarrow U \cap V$ . Since  $V$  is simply connected, there exists a homotopy  $h_k : [a_k, a_{k+1}] \times I \rightarrow V$  joining  $u|_{[a_k, a_{k+1}]}$  and  $v_k$ , consequently,  $u$  is homotopic to a loop  $v : I \rightarrow U$ . Since the set  $U$  is also simply connected, it follows that  $v$  is null-homotopic, thus,  $X$  is simply connected.

**31.12** Actually, at the moment we cannot give a complete solution of the problem because up to now we have not seen any example of a non-simply connected space. In what follows, we prove, e.g., that the circle is not simply connected. Put

$$U = \{(x, y) \in S^1 \mid y > 0\} \cup \{(1, 0)\}, \quad V = \{(x, y) \in S^1 \mid y \leq 0\}.$$

Each of the sets is homeomorphic to an interval, therefore, they are simply connected, and their intersection is a singleton, which is path-connected. However, the space  $U \cup V = S^1$  is not simply connected.

**31.13** Consider an arbitrary loop  $s : I \rightarrow U$ . Since  $U \cup V$  is simply connected, it follows that this loop is null-homotopic in  $U \cup V$ , therefore, there exists a homotopy  $H : I \times I \rightarrow U \cup V$  between  $s$  and a constant path. We subdivide the unit square  $I \times I$  by segments parallel to its sides into smaller squares  $K_n$  so that the image of each of these squares be entirely contained in  $U$  or  $V$ . Consider the union  $K$  of those squares of the partition whose images are contained in  $V$ . Let  $L$  be a contour consisting of the boundaries of the squares in  $K$ , enclosing a certain part of  $K$ . It is clear that  $L \subset U \cap V \subset U$ , therefore, the homotopy  $H$  extends from  $L$  to the set

bounded by  $L$  so that the image of the set be contained in  $U$ . Reasoning further in a similar way, we obtain a homotopy  $H' : I \times I \rightarrow U$ .

**32.1** It is easy to describe a family of loops  $a_t$  constituting a free homotopy between the loop  $a$  and a loop representing the element  $T_s(\alpha)$ . Namely, the loop  $a_t$  starts at  $s(t)$ , it reaches the point  $x_0 = s(0)$  at the moment  $\frac{t}{3}$ , after that it runs along the path  $a$  and returns to the point  $x_0$  at the moment  $1 - \frac{t}{3}$ , and, finally, returns to the point  $s(t)$ . In this case, the loop  $a_0$  is the initial loop  $a$ . The loop  $a_1$  is defined by the formulas

$$a_1(\tau) = \begin{cases} s(1 - 3\tau) & \text{if } \tau \in [0, \frac{1}{3}], \\ a(3\tau - 1) & \text{if } \tau \in [\frac{1}{3}, \frac{2}{3}], \\ s(3\tau - 2) & \text{if } \tau \in [\frac{2}{3}, 1], \end{cases}$$

and, consequently, the homotopy class of  $a_1$  is that of  $\sigma^{-1}\alpha\sigma$ . To complete the argument, we present a formula for the above homotopy:

$$H(\tau, t) = \begin{cases} s(t - 3\tau) & \text{if } \tau \in [0, \frac{t}{3}], \\ a(\frac{3\tau - t}{3 - 2t}) & \text{if } \tau \in [\frac{t}{3}, \frac{3-t}{3}], \\ s(3\tau + t - 3) & \text{if } \tau \in [\frac{3-t}{3}, 1]. \end{cases}$$

**32.2** Consider the homotopy defined by the formula

$$H'(\tau, t) = \begin{cases} s(1 - 3\tau) & \text{if } \tau \in [0, \frac{1-t}{3}], \\ H(\frac{3\tau + t - 1}{2t + 1}, t) & \text{if } \tau \in [\frac{1-t}{3}, \frac{t+2}{3}], \\ s(3\tau - 2) & \text{if } \tau \in [\frac{t+2}{3}, 1], \end{cases}$$

and verify that  $H'(\tau, 1) = b(\tau)$ , and the correspondence  $\tau \mapsto H'(\tau, 0)$  determines a path in the homotopy class  $[s^{-1}as]$ .

**32.1x** This immediately follows from assertion 32.Bx.

**33.1** If  $p|_{V_\alpha} : V_\alpha \rightarrow U$  is a homeomorphism, then  $p$  homeomorphically maps  $V_\alpha \cap p^{-1}(U')$  onto  $U'$ .

**33.2** See the proof of assertion 33.F; the coverings  $p$  and  $q$  are said to be isomorphic.

**33.3** This follows from 33.H and 33.E because  $\mathbb{C} \setminus 0 \cong S^1 \times \mathbb{R}$  and  $p' : \mathbb{R} \rightarrow \mathbb{R} : x \mapsto nx$  is a trivial covering. Also sketch a trivially covered neighborhood of a point  $z \in \mathbb{C} \setminus 0$ .

**33.4** Consider the following two partitions of the rectangle  $K = [0, 2] \times [0, 1]$ . The partition  $R$  consists of the two-point sets  $\{(0, y), (2, y) \mid y \in [0, 1]\}$ , all the remaining elements of  $R$  are singletons. The partition  $R'$  consists of the two-point sets  $\{(x, y), (x + 1, 1 - y) \mid x \in (0, 1), y \in [0, 1]\}$

and the three-point sets  $\{(0, y), (1, 1 - y), (2, y) \mid x \in (0, 1), y \in [0, 1]\}$ . Since each element of the first partition is contained in a certain element of the second partition, it follows that a quotient map  $p : K/R \rightarrow K/R'$  is defined, which is the required covering of the Möbius strip by a cylinder. There is also a simpler option. We introduce an equivalence relation on  $S^1 \times I : (z, t) \sim (-z, 1 - t)$ . Verify that the quotient space by this relation is homeomorphi to the Möbius strip, and the factorization projection is a covering.

**33.5** The solution is similar to that of Problem 33.4. Consider two partitions of the rectangle  $K = [0, 3] \times [0, 1]$ . The two-point elements of the first of them are the pairs  $\{(0, y), (3, 1 - y) \mid y \in [0, 1]\}$ , and the four-point elements of the second one are quadruples  $\{(0, y), (1, 1 - y), (2, y), (3, 1 - y) \mid x \in (0, 1), y \in [0, 1]\}$ .

**33.6** Modify the solution of Problem 33.4, including into the partition  $R$  the quadruple of the vertices of the rectangle  $K$  and the pairs  $\{(x, 0), (x, 1) \mid x \in (0, 2)\}$ . Another approach to constructing the same covering involves introducing the following equivalence relation in  $S^1 \times S^1 : (z, w) \sim (-z, \bar{w})$  (see the solution of Problem 33.4).

**33.7** There are standard coverings  $\mathbb{R} \times S^1 \rightarrow S^1 \times S^1$  and  $\mathbb{R} \times \mathbb{R} \rightarrow S^1 \times S^1$  such that their compositions with the covering whose construction was outlined in the solution of Problem 33.6 are coverings of the Klein bottle by a cylinder and by the plane. Modifying the solution of Problem 33.5, we obtain a nontrivial covering of the Klein bottle by the Klein bottle. We also present a more geometric description of the required covering. Let  $q : M \rightarrow M$  be a covering of the Möbius strip by the Möbius strip, let  $M_1$  and  $M_2$  be two copies of the Möbius strip, and let  $q_1 : M_1 \rightarrow M_1$  and  $q_2 : M_2 \rightarrow M_2$  be two copies of  $q$ . If we paste  $M_1$  and  $M_2$  together along their common boundary, then we obtain the Klein bottle. It is clear that as a result we construct a covering of the Klein bottle by the Klein bottle.

**33.8** The preimages of points have the form  $\{(x + k, \frac{1}{2} + (-1)^{k-1}(\frac{1}{2} - y) + l) \mid k, l \in \mathbb{Z}\}$ .

**33.9** We already have coverings  $S^2 \rightarrow \mathbb{R}P^2$  and  $S^1 \times S^1 \rightarrow K$ , where  $K$  is the Klein bottle, thus, we have coverings of the sphere with  $k$  crosscaps by a sphere with  $k - 1$  handles for  $k = 1, 2$ . We prove that such a covering exists for each  $k$ . Let  $S_1$  and  $S_2$  be two copies of the sphere with  $k$  holes. Denote by  $S$  the “basic” sphere with  $k$  holes and consider the map  $p' : S_1 \sqcup S_2 \rightarrow S$ . Now we fill the holes in  $S$  by crosscaps (i.e., by Möbius strips), and we fill the pairs of holes in  $S_1$  and, respectively,  $S_2$  by the cylinders  $S^1 \times I$ . As a result, we obtain  $K$ , which is a sphere with  $k$  crosscaps, and  $S_1 \sqcup S_2$  with  $k$  attached cylinders is homeomorphi to the sphere  $M$  with  $k - 1$  handles.

Since the Möbius strip is covered by a cylinder,  $p'$  extends to a two-fold covering  $p : M \rightarrow K$ .

**33.10** Actually, we prove that each local homeomorphism is an open map, and, as it follows from 33.11, each covering is a local homeomorphism. So, let the set  $V$  be open in  $X$ ,  $V' = p(V)$ . Consider a point  $b = p(x) \in V'$ , where  $x \in V$ . By the definition of a local homeomorphism,  $x$  has a neighborhood  $U$  such that  $p(U)$  is an open set and  $p|_U : U \rightarrow p(U)$  is a homeomorphism. Therefore, the set  $p(U \cap V)$  is open in  $V'$ , thus, it is open in  $B$ , and hence it is a neighborhood of  $b$  lying in  $p(V)$ . Thus,  $p(U)$  is an open set.

**33.11** If  $x \in X$ ,  $U$  is a trivially covered neighborhood of the point  $b = p(x)$ , and  $p^{-1}(U) = \bigcup V_\alpha$ , then there is a set  $V_\alpha$  containing  $x$ . By the definition of a covering,  $p|_{V_\alpha} : V_\alpha \rightarrow U$  is a homeomorphism.

**33.12** See, e.g., 33.K.

**33.13** Let  $f : X \rightarrow Y$  be a local homeomorphism, let  $G$  be an open subset of  $X$ , and let  $x \in G$ . Assume that  $U$  is a neighborhood of  $x$  (in  $X$ ) such that  $f(U)$  is open in  $Y$  and the restriction  $f|_U : U \rightarrow f(U)$  is a homeomorphism. If  $V = W \cap U$ , then  $f(W)$  is open in  $f(U)$ , therefore,  $f(W)$  is also open in  $Y$ . It is clear that  $f|_W : W \rightarrow f(W)$  is a homeomorphism.

**33.14** Only for the entire line. We show that if  $A$  is a proper subset of  $\mathbb{R}$ , then  $p|_A : A \rightarrow S^1$  is not a covering. Indeed,  $A$  has a boundary point  $x_0$ , let  $b_0 = p(x_0)$ . We easily see that  $b_0$  has no trivially covered (for  $p|_A$ ) neighborhood.

**33.15** See, for example, 33.H.

**33.16** For example, the covering of Problem 33.I is  $pq$ -fold. In many examples, the number of sheets is infinite (countable).

**33.17** All even positive integers and only they. The first assertion is obvious (cf. 33.4), but at the moment we actually cannot prove the second one. The argument below involves methods and results presented in subsequent sections (cf. 39.3). Consider the homomorphism  $p_* : \pi_1(S^1 \times I) \rightarrow \pi_1(M)$ , which is a monomorphism. It is known that  $\pi_1(S^1 \times I) \cong \mathbb{Z} \cong \pi_1(M)$ , and, furthermore, the generator of  $\pi_1(S^1 \times I)$  is taken to the  $2k$ -fold generator of  $\pi_1(M)$ . Consequently, by 39.G (or 39.H), the covering has an even number of sheets.

**33.18** All odd positive integers (cf. 33.5) and only them (see 39.4).

**33.19** All even positive integers (cf. 33.6) and only them (see 39.5).

**33.20** All positive integers (cf. 33.7).

**33.21** Consider the covering  $T_1 = S^1 \times S^1 \rightarrow T_2 = S^1 \times S^1 : (z, w) \mapsto (z^d, w)$ . Denote by  $S_2$  the surface obtained from the torus  $T_2$  by making  $p-1$

holes. The preimage of  $S_2$  under this covering is a surface  $S_1$  homeomorphic to a torus with  $d(p-1)$  holes. If we fill each of the holes (in  $S_1$  and  $S_2$ ) by a handle, then we attach  $p-1$  handles to  $S_2$ , and as a result we obtain a surface  $M_2$ , which is a sphere with  $p$  handles, and we attach  $d(p-1)$  handles to  $S_1$  thus obtaining a surface  $M_1$ , which is a sphere with  $d(p-1)+1$  handles. It is clear that the covering  $S_1 \rightarrow S_2$  extends to a  $d$ -fold covering  $M_1 \rightarrow M_2$ .

**33.22** Consider an arbitrary point  $z \in Z$ , let  $q^{-1}(z) = \{y_1, y_2, \dots, y_d\}$ . If a neighborhood  $V$  of  $z$  is trivially covered with respect to the projection  $q$ , and  $W_k$  are neighborhoods of the points  $y_k$ ,  $k = 1, 2, \dots, d$ , trivially covered with respect to the projection  $p$ , then  $U = \bigcap_{k=1}^d q(W_k \cap q^{-1}(V))$  is a neighborhood of  $z$  trivially covered with respect to the projection  $q \circ p$ . Therefore,  $q \circ p : X \rightarrow Z$  is a covering.

**33.23** Let  $Z$  be the union of an infinite set of the circles determined by the equations  $x^2 + y^2 = \frac{2x}{n}$ ,  $n \in \mathbb{N}$ , and let  $Y$  be the union of the  $y$  axis and the “twice” infinite family  $x^2 + (y-k)^2 = \frac{2x}{n}$ , where  $n \in \mathbb{N}$ ,  $n > 1$ ,  $k \in \mathbb{Z}$ . The covering  $q : Y \rightarrow Z$  has the following structure: the  $y$  axis covers the outer circle of  $Z$ , while the restrictions of  $q$  to the other circles are parallel translations. Construct a covering  $p : X \rightarrow Y$  whose composition with  $q$  is not a covering. Furthermore, the covering  $p$  can even be two-fold.

**33.24** 1) We observe that the topology in the fiber (induced from  $X$ ) is discrete. Therefore, if  $X$  is compact, then the fiber  $F = p^{-1}(b)$  is closed in  $X$  and, consequently, is compact. Therefore, the set  $F$  is finite, thus the covering is finite-sheeted. 2) Since  $B$  is compact and Hausdorff, it follows that  $B$  is regular, therefore, each point has a neighborhood  $U_x$  such that the compact closure  $\text{Cl}U_x$  lies in a certain trivially covered neighborhood. Since the base is compact, we have  $B = \bigcup U_{x_i}$ ,  $X = \bigcup p^{-1}(\text{Cl}U_{x_i})$ . Since the covering is finite-sheeted,  $X$  is thus covered by a finite number of compact sets, therefore,  $X$  is compact itself.

**33.25** Let  $U \cap V = G_0 \cup G_1$ , where  $G_0$  and  $G_1$  are open subsets. Consider the product  $X \times \mathbb{Z}$  and the subset

$$Y = \{(x, k) \mid x \in U, k \text{ even}\} \cup \{(x, k) \mid x \in V, k \text{ odd}\},$$

which is a disjoint union of countably many copies of  $U$  and  $V$ . We introduce in  $Y$  the following relation:

$$\begin{aligned} (x, k) &\sim (x, k+1) && \text{if } x \in G_1, k \text{ even,} \\ (x, k) &\sim (x, k-1) && \text{if } x \in G_0, k \text{ odd.} \end{aligned}$$

Consider the partition of  $Y$  into the pairs of points equivalent to each other and into singletons in  $(Y \setminus (U \cap V)) \times \mathbb{Z}$ . Denote by  $Z$  the quotient space by this partition. Let  $p : Z \rightarrow X$  be the factorization of the restriction  $\text{pr}_X|_Y$ ,

where  $\text{pr}_X : X \times \mathbb{Z} \rightarrow X$  is the standard projection. Verify that  $p : Z \rightarrow X$  is an infinite-sheeted covering. Apply the described construction to the circle  $S^1$ , which is the union of two open arcs with disconnected intersection; what covering will result?

**34.1** By assumption, we have  $X = B \times F$ , where  $F$  is a discrete space, and  $p = \text{pr}_B$ . Let  $y_0 \in F$  be the second coordinate of the point  $x_0$ . The correspondence  $a \mapsto (f(a), y_0)$  determines a continuous lifting  $\tilde{f} : A \rightarrow X$  of  $f$ .

**34.2** Let  $x_0 = (b_0, y_0) \in B \times F = X$ . Consider the map  $g = \text{pr}_F \circ \tilde{f} : A \rightarrow F$ . Since the set  $A$  is connected and the topology in  $F$  is discrete, it follows that  $g$  is a constant map. Therefore,  $\tilde{f}(a) = (f(a), y_0)$ , consequently, the lifting is unique.

**34.3** Consider the coincidence set  $G = \{a \in A \mid f(a) = g(a)\}$  of  $f$  and  $g$ ; by assumption,  $G \neq \emptyset$ . For each point  $a \in A$ , take a connected neighborhood  $V_a \subset \varphi^{-1}(U_b)$ , where  $U_b$  is a certain trivially covered neighborhood of  $b = \varphi(a)$ . If  $V_a \cap G \neq \emptyset$ , then  $V_a \subset G$  by 34.2. In particular, if  $a \in G$ , then  $V_a \subset G$ , consequently, the set  $G$  is open. Similarly, if  $a \notin G$ , then  $V_a \cap G = \emptyset$ , i.e.,  $V_a \subset A \setminus G$ , therefore, the set  $A \setminus G$  is also open. By assumption,  $A$  is connected and  $G \neq \emptyset$ , whence  $A = G$ .

**34.5** Show that if  $b_0 = -1$ ,  $x_0 = \frac{1}{2}$ , then the path  $u : t \mapsto e^{3\pi it}$  has no lifting.

**34.6** We have:  $\tilde{u}(t) = \ln(2-t)$ ,  $\tilde{v}(t) = \ln(1+t) + 2\pi it$ ,  $\tilde{u}\tilde{v} = \tilde{u}\tilde{v}$ , and  $\tilde{v}\tilde{u} = \tilde{v}\tilde{u}$ , where  $\tilde{u} = \ln(2-t) + 2\pi i$ .

**34.F** If the covering is nontrivial and the covering space is path-connected, then there exists a path  $s$  joining two distinct points  $x_0, x_1 \in p^{-1}(b_0)$ . By assertion 34.E, the loop  $p \circ s$  is not null-homotopic, therefore,  $B$  is not simply connected.

**34.7** This follows from 34.F.

**34.8** For example,  $\mathbb{R}P^2$  is not simply connected.

**34.9** For example, generalize Theorem 34.C to the case of maps  $f : S^n \rightarrow B$  with  $n > 1$  (cf. 39.Xx and 39.Yx).

**35.1** This is the class  $\alpha$ . Indeed, the path  $\tilde{s}(t) = t^2$  covering the loop ends at the point  $1 \in \mathbb{R}$ , therefore,  $\tilde{s}$  is homotopic to  $s_1$ .

**35.2** If  $[s] = \alpha^n$ , then  $s \sim s_n$ , therefore, the paths  $\tilde{s}$  and  $\tilde{s}_n$  end at the same point.

**35.3** The universal covering space for the  $n$ -dimensional torus is  $\mathbb{R}^n$ , the covering  $p$  is defined by the formula  $p(x_1, \dots, x_n) = (e^{2\pi i x_1}, \dots, e^{2\pi i x_n})$ . The map  $\text{deg} : \pi_1((S^1)^n, (1, 1, \dots, 1)) \rightarrow \mathbb{Z}^n$  is defined as follows. If  $u$  is a

loop on the torus and  $\tilde{u}$  is the path covering  $u$  and starting at the origin, then  $\deg([u]) = \tilde{u}(1) \in \mathbb{Z}^n \subset \mathbb{R}^n$ . Prove that this map is well defined and is an isomorphism.

**35.4** This assumption was used where we used the fact that the  $n$ -sphere is simply connected, in other words, the covering  $S^n \rightarrow \mathbb{R}P^2$  is universal only for  $n \geq 2$ .

**31.7** Consider the following three cases, where  $X$ : 1) contains no open singletons (i.e., no “open points”); 2) contains a unique open singleton; 3) contains two open singletons.

**35.7** For example, construct an infinite-sheeted covering (in a narrow sense) of  $X$  (see 7.V).

**35.8** Let us show that  $\pi_1(X) \cong \mathbb{Z}$ . The universal covering space of  $X$  is  $\mathcal{Z} = (\mathbb{Z}, \Omega_4)$ , where the topology  $\Omega_4$  is determined by the base consisting of singletons  $\{2k\}$ ,  $k \in \mathbb{Z}$ , and 3-point sets  $\{2k, 2k+1, 2k+2\}$ ,  $k \in \mathbb{Z}$ . The projection  $p: \mathcal{Z} \rightarrow X$  is such that

$$\begin{aligned} p^{-1}(a) &= \{4k \mid k \in \mathbb{Z}\}, & p^{-1}(b) &= \{4k+1 \mid k \in \mathbb{Z}\}, \\ p^{-1}(c) &= \{4k+2 \mid k \in \mathbb{Z}\}, & p^{-1}(d) &= \{4k+3 \mid k \in \mathbb{Z}\}. \end{aligned}$$

As when calculating the fundamental group of the circle, it suffices to show that  $\mathcal{Z}$  is simply connected. We can start, e.g., with the fact that the sets  $U = \{0, 1, 2\}$  and  $V = \{2, 3, 4\}$  are open in  $U \cup V$  and simply connected, and their intersection  $U \cap V$  is path connected. Therefore, their union  $U \cup V$  is also simply connected (see 31.11). After that, use induction. Here is another argument showing that  $\mathcal{Z}$  is simply connected. Put  $J_n = \{0, 1, \dots, 2n\}$  and define  $H_n: J_n \times I \rightarrow J_n$  as follows:

$$H_n(x, t) = x \text{ for } x \in J_{n-1}, \quad H_n(2n-1, t) = \begin{cases} 2n-1 & \text{if } t = 0, \\ 2n-2 & \text{if } t \in (0, 1], \end{cases}$$

$$H_n(2n, t) = \begin{cases} 2n & \text{if } t \in [0, \frac{1}{3}), \\ 2n-1 & \text{if } t \in [\frac{1}{3}, \frac{2}{3}], \\ 2n-2 & \text{if } t \in (\frac{2}{3}, 1]. \end{cases}$$

Let  $u$  be a loop at 0 with image lying in  $J_n$ . Then the formula  $h_n(s, t) = H_n(u(s), t)$  determines a homotopy between  $u$  and a loop with image lying in  $J_{n-1}$ . Using induction, we see that  $u$  is null-homotopic.

**35.9** 1) The results of Problems 31.7, 35.6, and 35.7 imply that  $n_0 = 4$ .  
2) The computation presented in the solution of Problem 35.8 implies that  $\mathbb{Z}$  is the fundamental group of a certain 4-point space. Show that is the only option.

**35.10** 1) Consider the 7-point space  $Z = \{a, b, c, d, e, f, g\}$ , where the topology is determined by the base  $\{\{a\}, \{b\}, \{c\}, \{a, b, d\}, \{b, c, e\}, \{a, b, f\}, \{b, c, g\}\}$ . To see that  $Z$  is not simply connected, observe that the universal covering of  $Z$  is constructed in the same way as that of the bouquet of two circles, with minor changes only. Instead of the “cross”  $K$ , use the space  $\tilde{K} = \{a, b_+, b_-, c_+, c_-, d, e, f, g\}$ . 2) By 35.9, at least five points are needed. Consider the 5-point space  $Y = \{a, b, c, d, e\}$ , where the topology is determined by the base  $\{\{a\}, \{c\}, \{a, b, c\}, \{a, c, d\}, \{a, c, e\}\}$ . Verify that the fundamental group of  $Y$  is a free group with two generators.

**35.12** Consider a topological space

$$X = \{a_0, b_0, c_0, a_1, a'_1, b_1, b'_1, c_1, c'_1, a_2, b_2, c_2, d_2\}$$

with topology determined by the base

$$\begin{aligned} &\{a_0\}, \{a_0, b_0, c_1\}, \{a_0, b_0, c'_1\}, \{a_0, b_0, c_0, a_1, b'_1, c'_1, a_2\}, \\ &\{b_0\}, \{a_0, b_1, c_0\}, \{a_0, b'_1, c_0\}, \{a_0, b_0, c_0, a'_1, b_1, c'_1, b_2\}, \\ &\{c_0\}, \{a_1, b_0, c_0\}, \{a'_1, b_0, c_0\}, \{a_0, b_0, c_0, a'_1, b'_1, c_1, c_2\}, \\ &\{a_0, b_0, c_0, a_1, b_1, c_1, d_2\}. \end{aligned}$$

**36.1** First of all, we observe that, since the fundamental group of the punctured plane is Abelian, the operator of translation along any loop is the identity homomorphism. Consequently, two homotopic maps  $f, g : \mathbb{C} \setminus 0 \rightarrow \mathbb{C} \setminus 0$  induce the same homomorphism on the level of fundamental groups. Let  $f$  be the map  $z \mapsto z^3$ . The generator of the group  $\pi_1(\mathbb{C} \setminus 0, 1)$  is the class  $\alpha$  of the loop  $s(t) = e^{2\pi it}$ . The image of  $f_*(\alpha)$  is the class of the loop  $f_{\#}(u) = f \circ u$ , therefore,  $f_{\#}(u)(t) = e^{6\pi it}$ , whence  $f_*(\alpha) = \alpha^3 \neq \alpha$ . Consequently,  $f_* \neq \text{id}_{\pi_1(\mathbb{C} \setminus 0, 1)}$ , whence it follows that  $f$  is not homotopic to the identity.

**36.2** Denote by  $i$  the inclusion  $X \rightarrow \mathbb{R}^n$ . If the map  $f$  extends to  $F : \mathbb{R}^n \rightarrow Y$ , then  $f = F \circ i$ , whence  $f_* = F_* \circ i_*$ . However, since  $\mathbb{R}^n$  is simply connected, it follows that the homomorphism  $F_*$  is trivial, consequently, so is the homomorphism  $f_*$ .

**36.3.1** Denote by  $\varphi$  a homeomorphism of an open set  $U \subset X$  onto  $S^1 \times S^1 \setminus (1, 1)$ . If  $X = U$ , then the assertion is obvious because the group  $\pi_1(S^1 \times S^1 \setminus (1, 1))$  is a free group with two generators. Otherwise, we define  $f : X \rightarrow S^1 \times S^1$  by letting

$$f(x) = \begin{cases} \varphi(x) & \text{for } x \in U, \\ (1, 1) & \text{for } x \notin U. \end{cases}$$

Verify that  $f$  is a continuous map. Now we take a point  $x_0 \in U$  and consider the homomorphism

$$f_* : \pi_1(X, x_0) \rightarrow \pi_1(S^1 \times S^1, f(x_0)).$$

We easily see that  $f_*$  is an epimorphism.

**36.4** Let  $f(z) = \text{diag}\{z, 1, 1, \dots, 1\}$  for each point  $z \in S^1$ , and let  $g(A) = \frac{\det(A)}{|\det(A)|}$  for each matrix  $A \in GL(n, \mathbb{C})$ . We have thus defined the maps  $f : S^1 \rightarrow GL(n, \mathbb{C})$  and  $g : GL(n, \mathbb{C}) \rightarrow S^1$  whose composition  $g \circ f$  is the identity map. Since  $g_* \circ f_* = (g \circ f)_* = \text{id}_{\pi_1(S^1)}$ , it follows that  $g_*$  is an isomorphism, consequently, the fundamental group of  $GL(n, \mathbb{C})$  is infinite.

**36.1x** This is assertion 36.Dx.

**36.2x** By 36.1x, it is sufficient to check that if  $a \in \text{Int } D^2$  and  $i$  is the standard embedding of the standard circle  $S^1$  into  $\mathbb{R}^2 \setminus a$ , then the circular loop  $i$  determines a nontrivial element in the group  $\pi_1(\mathbb{R}^2 \setminus a)$ . Indeed, the formula  $h(z, t) = z + ta$  determines a homotopy between  $i$  and a circular loop whose class obviously generates the fundamental group of  $\mathbb{R}^2 \setminus a$ .

**36.3x** Take an arbitrary point  $a \in \mathbb{R}^2$ , let  $R > |a| + m$ . Consider the circular loops  $\varphi : S^1 \rightarrow \mathbb{R}^2 \setminus a : z \mapsto f(Rz)$  and  $i_R : S^1 \rightarrow \mathbb{R}^2 \setminus a : z \mapsto Rz$ . If  $h(z, t) = t\varphi(z) + (1-t)i_R(z)$ , then

$$|h(z, t)| = |Rz + t(f(Rz) - Rz)| \geq R - |f(Rz) - Rz| \geq R - m > |a|,$$

therefore,  $h$  determines a homotopy between  $\varphi$  and  $i_R$  in  $\mathbb{R}^2 \setminus a$ . Since the loop  $i_R$  is not null-homotopic in  $\mathbb{R}^2 \setminus a$ , it follows that  $\varphi$  is also not null-homotopic. By 36.1x,  $a = f(Rz)$ , where  $|z| < 1$ , thus, the point  $a$  belongs to the image of  $f$ .

**36.4x.1** The easiest way here would be to check that the corresponding circular loop is not null-homotopic in  $\mathbb{R}^2 \setminus 0$  and to use Theorem 36.1x. Certainly, the latter theorem concerns a disk, and not a square, but the square is homeomorphic to a disk, so that from the topological point of view there is no difference between the pairs  $(I^2, \text{Fr } I^2)$  and  $(D^2, S^1)$ . However, to help the reader better grasp the main idea of the proof of Theorem 36.1x, we also present a solution making no use of the theorem. Assume that  $w(x, y) \neq 0$  for all  $(x, y) \in I^2$ . Consider the following paths going along the sides of the square:

$$s_1(\tau) = (1, \tau); \quad s_2(\tau) = (1 - \tau, 1); \quad s_3(\tau) = (0, 1 - \tau); \quad s_4(\tau) = (\tau, 0).$$

It is clear that the product  $s = s_1 s_2 s_3 s_4$  is defined, which is a null-homotopic loop in the square  $I^2$ . Now we consider the loop  $w \circ s$  and show that it is not null-homotopic in the punctured plane  $\mathbb{R}^2 \setminus 0$ . Since  $w(s_1(\tau)) = u(1) - v(\tau)$ ,

the image of the path  $w \circ s_1$  lies in the first quadrant. It starts at the point  $u(1) - v(0) = (1, 0)$  and ends at the point  $u(1) - v(1) = (0, 1)$ . Since the first quadrant is a simply connected set, it follows that the path  $w \circ s_1$  is homotopic there to any path joining the same points, for example, the paths  $\varphi_1(t) = e^{\pi it/2}$ . Similarly, the path  $w \circ s_2$  lies in the second quadrant and is homotopic there to the path  $\varphi_2(t) = e^{\pi i(t+1)/2}$ . Thus, the path  $w \circ s$  is homotopic in  $\mathbb{R}^2 \setminus 0$  to the path  $\varphi = \varphi_1\varphi_2\varphi_3\varphi_4$  defined by the formula  $\varphi(\tau) = e^{2\pi i\tau}$ . Consequently, the class of the loop  $w \circ s$  generates  $\pi_1(\mathbb{R}^2 \setminus (1, 0))$ , in particular, this loop is not null-homotopic. On the other hand, the loop  $w \circ s$  is null-homotopic in  $\mathbb{R}^2 \setminus 0$  by 36.G.4. The contradiction obtained proves that  $u(x) - v(y) = w(x, y) = 0$  for certain  $x \in I$  and  $y \in I$ , i.e., the paths  $u$  and  $v$  intersect.

**36.5x** For example, consider the sets

$$F = \{(1, 1)\} \cup ([0, 1) \times 0) \cup \bigcup_{n=1}^{\infty} \left(\frac{2n-1}{2n} \times [0, \frac{2n-1}{2n}]\right)$$

$$G = \{(1, 0)\} \cup ([0, 1) \times 1) \cup \bigcup_{n=1}^{\infty} \left(\frac{2n}{2n+1} \times [\frac{1}{2n+1}, 1]\right).$$

**36.6x** No, we cannot. We argue by contradiction. Let  $\varepsilon = \rho(F, G) > 0$ . The result of Problem 13.17 implies that the points  $(0, 0), (1, 1) \in F$  are joined by a path  $u$  with image in the  $\varepsilon/2$ -neighborhood of  $F$ , and the points  $(0, 1), (1, 0) \in G$  are joined by a path  $v$  with image in the  $\varepsilon/2$ -neighborhood of  $G$ . Furthermore,  $u(I) \cap v(I) = \emptyset$  by our choice of  $\varepsilon$ , which contradicts the assertion of Problem 36.4x.

Now we also present another solution of this problem. The result of Problem 13.4x implies that there exists a simple broken line joining  $(0, 0)$  and  $(1, 1)$  and disjoint with  $G$ . Consider the polygon  $K_0 \dots K_n PQR$ . One of the remaining vertices lies inside the polygon, while the other one lies outside, whence these points cannot belong to a connected set disjoint with the polygon.

**36.8x** We prove that if  $x$  and  $y$  are joined by a path that does not intersect the set  $u(S^1)$ , then  $\text{ind}(u, x) = \text{ind}(u, y)$ . Indeed, if there exists such a path  $s$ , then the formula

$$h(z, t) = \varphi_{u, s(t)}(z) = \frac{u(z) - s(t)}{|u(z) - s(t)|}$$

determines a homotopy between  $\varphi_{u, x}$  and  $\varphi_{u, y}$ ; we proceed further as in the proof of 36.Ex. Thus, if  $\text{ind}(u, x) \neq \text{ind}(u, y)$ , then  $x$  and  $y$  cannot be joined by a path whose image not meet the set  $u(S^1)$ .

**36.9x** Assume for the simplicity that the disk contains the origin. The formula

$$h(z, t) = \frac{(1-t)u(z) - x}{|(1-t)u(z) - x|}$$

shows that  $\varphi_{u,x}$  is null-homotopic, whence  $\text{ind}(u, x) = 0$ .

**36.10x** (a)  $\text{ind}(u, x) = 1$  if  $|x| < 1$ , and  $\text{ind}(u, x) = 0$  if  $|x| > 1$ . (b)  $\text{ind}(u, x) = -1$  if  $|x| < 1$ , and  $\text{ind}(u, x) = 0$  if  $|x| > 1$ . (c)  $\{\text{ind}(u, x) \mid x \in \mathbb{R}^2 \setminus u(S^1)\} = \{0, 1, -1\}$ .

**36.11x** The lemniscate  $L$  splits the plane in three components. The index of any loop with image  $L$  with respect to any point in the unbounded component is equal to zero. For each pair  $(k, l)$  of integers, there is a loop  $u$  such that the index of  $u$  with respect to points in one bounded component is equal to  $k$ , while the index of  $u$  with respect to points in the other bounded component is equal to  $l$ .

**36.12x** See the solution of Problem 36.11x.

**36.13x** We can assume that  $x$  is the origin and the ray  $R$  is the positive half of the  $x$  axis. It is more convenient to consider the loop  $u : I \rightarrow S^1$ ,  $u(t) = \frac{f(e^{2\pi it})}{|f(e^{2\pi it})|}$ . Assume that the set  $f^{-1}(R)$  is finite and consists of  $n$  points. Consequently,  $u^{-1}(1) = \{t_0, t_1, \dots, t_n\}$ , and we have  $t_0 = 0$  and  $t_n = 1$ . The loop  $u$  is homotopic to the product of loops  $u_i$ ,  $i = 1, 2, \dots, n$ , each of which has the following property:  $u_i(t) = 1$  only for  $t = 0, 1$ . Prove that  $[u_i]$  is equal either to zero, or to a generator of  $\pi_1(S^1)$ . Therefore, if the integer  $k_i$  is the image of  $[u_i]$  under the isomorphism  $\pi_1(S^1) \rightarrow \mathbb{Z}$  and  $k = \text{ind}(f, x)$  is the image of  $[u]$  under this isomorphism, then

$$|k| = |k_1 + k_2 + \dots + k_n| \leq |k_1| + |k_2| + \dots + |k_n| \leq n$$

because each of the numbers  $k_i$  is 0 or  $\pm 1$ .

**36.14x** Apply the Borsuk–Ulam Theorem to the function taking each point on the surface of Earth to the pair of numbers  $(t, p)$ , where  $t$  is the temperature at the point and  $p$  is the pressure.

**37.1** If  $\rho_1 : X \rightarrow A$  and  $\rho_2 : A \rightarrow B$  are retractions, then  $\rho_2 \circ \rho_1 : X \rightarrow B$  is also a retraction.

**37.2** If  $\rho_1 : X \rightarrow A$  and  $\rho_2 : Y \rightarrow B$  are retractions, then so is  $\rho_1 \times \rho_2 : X \times Y \rightarrow A \times B$ .

**37.3** Put  $f(x) = a$  for  $x \leq a$ ,  $f(x) = x$  for  $x \in [a, b]$ ,  $f(x) = b$  for  $x \geq b$  (i.e.,  $f(x) = \max\{a, \min\{x, b\}\}$ ). Then  $f : \mathbb{R} \rightarrow [a, b]$  is a retraction.

**37.4** This follows from 37.6, or, in a more customary way: if  $f(x) = x$  for all  $x \in (a, b)$ , then the continuity of  $f$  implies that  $f(b) = b$ , thus, there exists no continuous function on  $\mathbb{R}$  with image  $(a, b)$ .

**37.5** The properties that are transferred from topological spaces to their subspaces and (or) to continuous images. For example, the Hausdorff axiom, connectedness, compactness, etc.

**37.6** This follows from 14.4.

**37.7** Since this space is not path-connected.

**37.8** No, it is not. Indeed, the group  $\pi_1(\mathbb{R}P^2) \cong \mathbb{Z}_2$  is finite, while the group  $\pi_1(\mathbb{R}P^1) = \pi_1(S^1) \cong \mathbb{Z}$  is infinite, consequently, the former group admits no epimorphism onto the latter one (there also is no monomorphism in the opposite direction). Therefore, by assertion 37.F, there exists no retraction  $\mathbb{R}P^2 \rightarrow \mathbb{R}P^1$ .

**37.9** Let  $L$  be the boundary circle of a Möbius strip  $M$ . It is clear that  $\pi_1(L) \cong \pi_1(M) \cong \mathbb{Z}$ . However (cf. 33.4), we easily see (verify this!), that the homomorphism  $i_*$  induced by the inclusion  $i : L \rightarrow M$  takes the generator  $\alpha \in \pi_1(L)$  to the element  $2\beta$ , where  $\beta$  is the generator of  $\pi_1(M) \cong \mathbb{Z}$ . If there exists a retraction  $\rho : M \rightarrow L$ , then the composition  $\rho_* \circ i_*$  takes the generator  $\alpha \in \pi_1(L)$  to the element  $2\rho_*(\beta) \neq \alpha$ , contrary to the fact that this composition is the identical isomorphism of  $\pi_1(L)$ .

**37.10** Let  $L$  be the boundary circle of a handle  $K$ . It is clear that  $\pi_1(L) \cong \mathbb{Z}$ , and  $\pi_1(K)$  is a free group with two generators  $a$  and  $b$ . Furthermore, it can be checked (do it!), that the inclusion homomorphism  $i_* : \pi_1(L) \rightarrow \pi_1(K)$  takes the generator  $\alpha \in \pi_1(L)$  to the commutator  $aba^{-1}b^{-1}$ . Assume the contrary: let  $\rho : K \rightarrow L$  be a retraction. Then the composition  $\rho_* \circ i_*$  takes the generator  $\alpha \in \pi_1(L)$  to the neutral element of  $\pi_1(L)$  because the element

$$\rho_* \circ i_*(\alpha) = \rho_*(aba^{-1}b^{-1}) = \rho_*(a)\rho_*(b)\rho_*(a)^{-1}\rho_*(b)^{-1}$$

is neutral since the group  $\mathbb{Z}$  is Abelian. On the other hand, this composition must coincide with  $\text{id}_{\pi_1(L)}$ . A contradiction.

**37.11** The assertion is obvious because each property stated in topological terms is topological. However, the following question is of interest. Let a space  $X$  have the fixed point property, and let  $h : X \rightarrow Y$  be a homeomorphism. Thus, we know that each continuous map  $f : X \rightarrow X$  has a fixed point. How, knowing this, can we prove that an arbitrary continuous map  $g : Y \rightarrow Y$  also has a fixed point? Show that one of the fixed points of  $g$  is  $h(x)$ , where  $x$  is a fixed point of a certain map  $X \rightarrow X$ .

**37.12** Consider a continuous function  $f : [a, b] \rightarrow [a, b]$  and the auxiliary function  $g(x) = f(x) - x$ . Since  $g(a) = f(a) - a \geq 0$  and  $g(b) = f(b) - b \leq 0$ , there is a point  $x \in [a, b]$  such that  $g(x) = 0$ . Thus,  $f(x) = x$ , i.e.,  $x$  is a fixed point of  $f$ .

**37.13** Let  $\rho : X \rightarrow A$  be a retraction. Consider an arbitrary continuous map  $f : A \rightarrow A$  and the composition  $g = \text{id}_A \circ f \circ \rho : X \rightarrow X$ . Let  $x$  be a

fixed point of  $g$ , whence  $x = f(\rho(x))$ . Since  $\rho(x) \in A$ , we also have  $x \in A$ , so that  $\rho(x) = x$ , whence  $x = f(x)$ .

**37.14** Denote by  $\omega$  the point of the bouquet which is the image of the pair  $\{x_0, y_0\}$  under the factorization map.  $(\Rightarrow)$  This follows from 37.13.  $(\Leftarrow)$  Consider an arbitrary continuous map  $f : X \vee Y \rightarrow X \vee Y$ . For the sake of definiteness, assume that  $f(\omega) \in X$ . Let  $i : X \rightarrow X \vee Y$  be the standard inclusion, and let  $\rho : X \vee Y \rightarrow X$  be a retraction mapping the entire  $Y$  to the point  $\omega$ . By assumption, the map  $\rho \circ f \circ i$  has a fixed point  $x \in X$ ,  $\rho(f(i(x))) = x$ , so that  $\rho(f(x)) = x$ . If  $f(x) \in Y$ , then  $\rho(f(x)) = \omega$ , so that  $x = \omega$ . On the other hand, we assumed that  $f(\omega) \in X$ , consequently,  $f(\omega) = \omega$  is a fixed point of  $f$ . Now we assume that  $f(x) \in X$ . In this case, we have

$$x = (\rho \circ f \circ i)(x) = \rho(f(x)) = f(x),$$

therefore,  $x$  is a fixed point of  $f$ .

**37.15** Since the segment has the fixed point property (see 37.12), hence, by 37.14, reasoning by induction, we see that each finite tree has this property. An arbitrary infinite tree does not necessarily have this property; an example is the real line. However, try to state an additional assumption under which an infinite tree also has the fixed point property.

**37.16** For example, a parallel translation has no fixed points.

**37.17** For example, the antipodal map  $x \mapsto -x$  has no fixed points.

**37.18** Let  $n = 2k - 1$ . For example, the map

$$(x_1 : x_2 : \dots : x_{2k-1} : x_{2k}) \mapsto (-x_2 : x_1 : \dots : -x_{2k} : x_{2k-1})$$

has no fixed points.

**37.19** Let  $n = 2k - 1$ . For example, the map

$$(z_1 : z_2 : \dots : z_{2k-1} : z_{2k}) \mapsto (-\bar{z}_2 : \bar{z}_1 : \dots : -\bar{z}_{2k} : \bar{z}_{2k-1})$$

has no fixed points.

**38.1** The map  $f : [0, 1] \rightarrow \{0\}$  is a homotopy equivalence; the corresponding homotopically inverse map is, for example, the inclusion  $i : \{0\} \rightarrow [0, 1]$ . The composition  $i \circ f$  is homotopic to  $\text{id}_I$  because any two continuous maps  $I \rightarrow I$  are homotopic, and the composition  $f \circ i : \{0\} \rightarrow \{0\}$  is the identity map itself. Certainly,  $f$  is not a homeomorphism.

**38.2** Let  $X$  and  $Y$  be two homotopy equivalent spaces and denote by  $\pi_0(X)$  and  $\pi_0(Y)$  the sets of path-connected components of  $X$  and  $Y$ , respectively. Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  be two mutually inverse homotopy equivalences. Since  $f$  is a continuous map, it maps path-connected sets to path-connected ones. Consequently,  $f$  and  $g$  induce maps  $\hat{f} : \pi_0(X) \rightarrow \pi_0(Y)$  and  $\hat{g} : \pi_0(Y) \rightarrow \pi_0(X)$ . Since the composition  $g \circ f$  is

homotopic to  $\text{id}_X$ , it follows that each point  $x \in X$  lies in the same path-connected component as the point  $g(f(x))$ . Consequently, the composition  $\widehat{g} \circ \widehat{f}$  is the identity map. Similarly,  $\widehat{f} \circ \widehat{g}$  is also identical. Consequently,  $\widehat{f}$  and  $\widehat{g}$  are mutually inverse maps, in particular, the sets  $\pi_0(X)$  and  $\pi_0(Y)$  have equal cardinalities.

**38.3** The proof is similar to that of 38.2.

**38.4** For example, consider: a point, a segment, a bouquet of  $n$  segments with  $n \geq 3$ .

**38.5** We prove that the midline  $L$  of the Möbius strip  $M$  (i.e., the image of the segment  $I \times \frac{1}{2}$  under factorization  $I \times I \rightarrow M$ ) is a strong deformation retract of  $M$ . The geometric argument is obvious: we define  $h_t$  as the contraction of  $M$  towards  $L$  with ratio  $1 - t$ . Thus,  $h_0$  is identical, while  $h_1$  maps  $M$  to  $L$ . Now we present the corresponding formulas. Since  $M$  is a quotient space of the square, first, consider the homotopy

$$H : I \times I \times I \rightarrow I \times I : (u, v, t) \mapsto (u, (1 - t)v + \frac{t}{2}).$$

Furthermore, we have  $H(u, \frac{1}{2}, t) = (u, \frac{1}{2})$  for all  $t \in I$ . Since  $(1 - t)v + \frac{t}{2} + (1 - t)(1 - v) + \frac{t}{2} = 1$ , it follows that this homotopy is compatible with the factorization and thus induces a homotopy  $h : M \times I \rightarrow M$ . We have  $H(u, v, 0) = (u, v)$ , whence  $h_0 = \text{id}_M$  and  $H_1(u, v) = (u, \frac{1}{2})$ .

**38.6** The letters  $E, F, G, H, I, J, K, L, M, N, S, T, U, V, W, X, Y, Z$  are homotopy equivalent to a point;  $A, O, P, Q, R$  are homotopy equivalent to a circle; finally,  $B$  is homotopy equivalent to a bouquet of two circles.

**38.7** This can be proved in various ways. For example, we can produce circles lying in the handle  $\mathcal{H}$  whose union is a strong deformation retract of  $\mathcal{H}$ . For this purpose, we present the handle as a result of factorizing the annulus  $A = \{z \mid \frac{1}{2} \leq |z| \leq 1\}$  by the following relation:  $e^{i\varphi} \sim -e^{-i\varphi}$  for  $\varphi \in [-\frac{\pi}{4}, \frac{\pi}{4}]$ , and  $e^{i\varphi} \sim e^{-i\varphi}$  for  $\varphi \in [\frac{\pi}{4}, \frac{3\pi}{4}]$ . The image of the standard unit circle under the factorization by the above equivalence relation is the required bouquet of two circles lying in of the handle. The formula  $H(z, t) = (1 - t)z + t\frac{z}{|z|}$  determines a homotopy between the identity map of  $A$  and the map  $z \mapsto \frac{z}{|z|}$  of  $A$  onto the outer rim of  $A$ , and  $H(z, t) = z$  for all  $z \in S^1$  and  $t \in I$ . The quotient map of  $H$  is the required homotopy.

**38.8** This follows from 38.7 and 38.1.

**38.9** Embed each of these spaces in  $\mathbb{R}^3 \setminus S^1$  so that the image of the embedding be a deformation retract of  $\mathbb{R}^3 \setminus S^1$ . Let us present one more space homotopy equivalent to our two spaces: the union  $X$  of  $S^2$  with one

of the diameters. This  $X$  can also be embedded in  $\mathbb{R}^3 \setminus S^1$  as a deformation retract.

**38.10** Put  $A = \{(z_1, z_2) \mid 4z_2 = z_1^2\} \subset \mathbb{C}^2$ . Consider the map  $f : \mathbb{C} \times (\mathbb{C} \setminus 0) \rightarrow \mathbb{C}^2 \setminus A : (z_1, z_2) \mapsto (z_1, z_2 + \frac{z_1^2}{4})$ . Verify that  $f$  is a homeomorphism and  $\mathbb{C}^2 \setminus A \simeq \mathbb{C} \times (\mathbb{C} \setminus 0) \simeq S^1$ . Furthermore, the circle can be embedded in  $\mathbb{C} \setminus A$  as a deformation retract.

**38.11** We prove that  $O(n)$  is a deformation retract of  $GL(n, \mathbb{R})$ . Let  $(\mathbf{f}_1, \mathbf{f}_2, \dots, \mathbf{f}_n)$  be the collection of columns of a matrix  $A \in GL(n, \mathbb{R})$ , each of which is regarded as an element of  $\mathbb{R}^n$ . Let  $(\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n)$  be a result of the Gram–Schmidt orthogonalization procedure. Thus the matrix with columns formed by the coordinates of these vectors is orthogonal. The vectors  $\mathbf{e}_k$  are expressed via  $\mathbf{f}_k$  by the formulas

$$\begin{aligned} \mathbf{e}_1 &= \lambda_{11}\mathbf{f}_1, \\ \mathbf{e}_2 &= \lambda_{21}\mathbf{f}_1 + \lambda_{22}\mathbf{f}_2, \\ &\dots, \\ \mathbf{e}_n &= \lambda_{n1}\mathbf{f}_1 + \lambda_{n2}\mathbf{f}_2 + \dots + \lambda_{nn}\mathbf{f}_n, \end{aligned}$$

where  $\lambda_{kk} > 0$  for all  $k = 1, 2, \dots, n$ .

We introduce the vectors

$$\mathbf{w}_k(t) = t(\lambda_{n1}\mathbf{f}_1 + \lambda_{n2}\mathbf{f}_2 + \dots + \lambda_{kk-1}\mathbf{f}_{k-1}) + (t\lambda_{kk} + 1 - t)\mathbf{f}_k$$

and consider the matrix  $h(A, t)$  with columns consisting of the coordinates of these vectors. It is clear that the correspondence  $(A, t) \mapsto h(A, t)$  determines a continuous map  $GL(n, \mathbb{R}) \times I \rightarrow GL(n, \mathbb{R})$ . We easily see that  $h(A, 0) = A$ ,  $h(A, 1) \in O(n)$ , and  $h(B, t) = B$  for all  $B \in O(n)$ . Thus, the map  $A \mapsto h(A, 1)$  is the required deformation retraction.

**38.13** Use, e.g., 19.43.

**38.14** We need the notion of the cylinder  $Z_f$  of a continuous map  $f : X \rightarrow Y$ . By definition,  $Z_f$  is obtained by attaching the ordinary cylinder  $X \times I$  to  $Y$  via the map  $X \times 0 \rightarrow Y$ ,  $(x, 0) \mapsto f(x)$ . Hence,  $Z_f$  is a result of factorization of the disjoint union  $(X \times I) \sqcup Y$ , under which the point  $(x, 0) \in X \times 0$  is identified with the point  $f(x) \in Y$ . We identify  $X$  and  $X \times 1 \subset Z_f$ , and it is also natural to assume that the space  $Y$  lies in the mapping cylinder. There is an obvious strong deformation retraction  $p_Y : Z_f \rightarrow Y$ , which leaves  $Y$  fixed and takes the point  $(x, t) \in X \times (0, 1)$  to  $f(x)$ . It remains to prove that if  $f$  is a homotopy equivalence, then  $X$  is also a deformation retract of  $Z_f$ . Let  $g : Y \rightarrow X$  be a homotopy equivalence inverse to  $f$ . Thus, there exists a homotopy  $H : X \times I \rightarrow X$  such that  $H(x, 0) = g(f(x))$  and  $H(x, 1) = x$ . We define the retraction  $\rho : Z_f \rightarrow X$  as a quotient map of the map  $(X \times I) \sqcup Y \rightarrow X : (x, t) \mapsto h(x, t), y \mapsto g(y)$ . It

remains to prove that the map  $\rho$  is a deformation retraction, i.e., to verify that  $\text{id}_X \circ \rho$  is homotopic to  $\text{id}_{Z_f}$ . This follows from the following chain, where the  $\sim$  sign denotes a homotopy between compositions of homotopic maps:

$$\begin{aligned} \text{id}_X \circ \rho &= \bar{\rho} = \bar{\rho} \circ \text{id}_{Z_f} \sim \bar{\rho} \circ p_Y = g \circ p_Y = \text{id}_{Z_f} \circ (g \circ p_Y) \sim \\ &\sim p_Y \circ (g \circ p_Y) = (p_Y \circ g) \circ p_Y = (f \circ g) \circ p_Y \sim \text{id}_Y \circ p_Y = p_Y \sim \text{id}_{Z_f}. \end{aligned}$$

**38.15** Use the rectilinear homotopies.

**38.16** Let  $h : X \times I \rightarrow X$  be a homotopy between  $\text{id}_X$  and the constant map  $x \mapsto x_0$ . The formula  $u_x(t) = h(x, t)$  determines a path joining (an arbitrary) point  $x$  in  $X$  with  $x_0$ . Consequently,  $X$  is path-connected.

**38.17** Assertions (a)–(d) are obviously pairwise equivalent. We prove that they are also equivalent to assertions (e) and (f).

(a)  $\implies$  (e): Let  $h : X \times I \rightarrow X$  be a homotopy between  $\text{id}_X$  and a constant map. For each continuous map  $f : Y \rightarrow X$ , the formula  $H = h \circ (f \times \text{id}_I)$  (or, in a different way:  $H(y, t) = h(f(y), t)$ ) determines a homotopy between  $f$  and a constant map.

(e)  $\implies$  (a): Put  $Y = X$  and  $f = \text{id}_X$ .

(a)  $\implies$  (f): Let  $h$  be the same as before. The formula  $H = f \circ h$  determines a homotopy between  $f : X \rightarrow Y$  and a constant map.

(f)  $\implies$  (a): Put  $Y = X$  and  $f = \text{id}_X$ .

**38.18** Assertion (b) is true; assertion (a) holds true iff  $Y$  is path-connected.

**38.19** Each of the spaces (a)–(e) is contractible.

**38.20**  $\implies$  Let  $H$  be a homotopy between  $\text{id}_{X \times Y}$  and a constant map  $(x, y) \mapsto (x_0, y_0)$ . Then  $X \times I : (x, t) \mapsto \text{pr}_X(H(x, y_0, t))$  is a homotopy between  $\text{id}_X$  and the constant map  $x \mapsto x_0$ . The contractibility of  $Y$  is proved in a similar way.

$\impliedby$  Assume that  $X$  and  $Y$  are contractible,  $h$  is a homotopy between  $\text{id}_X$  and the constant map  $x \mapsto x_0$ , and  $g$  is a homotopy between  $\text{id}_Y$  and the constant map  $y \mapsto y_0$ . The formula  $H(x, y, t) = (h(x, t), g(y, t))$  determines a homotopy between  $\text{id}_{X \times Y}$  and the constant map  $(x, y) \mapsto (x_0, y_0)$ .

**38.21** (a) Since  $X = \mathbb{R}^3 \setminus \mathbb{R}^1 \cong (\mathbb{R}^2 \setminus 0) \times \mathbb{R}^1 \simeq S^1$ , we have  $\pi_1(X) \cong \mathbb{Z}$ .

(b) It is clear that  $X = \mathbb{R}^N \setminus \mathbb{R}^n \cong (\mathbb{R}^{N-n} \setminus 0) \times \mathbb{R}^n \simeq S^{N-n-1}$ . Consequently, if  $N = n + 1$ , then  $X \simeq S^0$ ; if  $N = n + 2$ , then  $X \simeq S^1$ , whence  $\pi_1(X) \cong \mathbb{Z}$ ; if  $N > n + 2$ , then  $X$  is simply connected.

(c) Since  $S^3 \setminus S^1 \cong \mathbb{R}^2 \times S^1$ , we have  $\pi_1(S^3 \setminus S^1) \cong \mathbb{Z}$ .

(d) If  $N = n + 1$ , then  $X = \mathbb{R}^N \setminus S^{N-1}$  has two components, one of which is an open  $N$ -ball, and hence is contractible, while the second one is homotopy equivalent to  $S^{N-1}$ . If  $N > n + 1$ , then  $X$  is homotopy equivalent to the

bouquet  $S^{N-1} \vee S^{N-n-1}$ . Consequently, for  $N = 2$  and  $n = 0$   $\pi_1(X)$  is a free group with two generators; for  $N > 2$  or  $N = n + 2$ , we obtain the group  $\mathbb{Z}$ ; in all remaining cases,  $X$  is simply connected.

(e)  $\mathbb{R}^3 \setminus S^1$  admits a deformation retraction to a sphere with two points identified, which is homotopy equivalent to the bouquet  $X = S^1 \vee S^2$  by 38.9. The universal covering of  $X$  is the real line  $\mathbb{R}^1$ , to which at all of the integer points 2-spheres are attached (a “garland”). Therefore,  $\pi_1(\mathbb{R}^3 \setminus S^1) \cong \pi_1(X) \cong \mathbb{Z}$ .

(f) If  $N = k + 1$ , then  $S^N \setminus S^{N-1}$  is homeomorphic to the union of two open  $N$ -balls, so that each of its two components is simply connected. Certainly, this fact is a consequence from the following general result:  $S^N \setminus S^k \cong S^{N-k-1} \times \mathbb{R}^{k+1}$ , whence  $\pi_1(S^N \setminus S^k) \cong \mathbb{Z}$  for  $N = k + 2$  and this group is trivial in other cases.

(g) It can be shown that  $\mathbb{R}P^3 \setminus \mathbb{R}P^1 \cong \mathbb{R}^2 \times S^1$ , but it is easier to show that this space admits a deformation retraction to  $S^1$ . In both cases, it is clear that  $\pi_1(\mathbb{R}P^3 \setminus \mathbb{R}P^1) \cong \mathbb{Z}$ .

(h) Since a handle is homotopy equivalent to a bouquet of two circles, it has free fundamental group with two generators.

(i) The midline (the core circle) of the Möbius strip  $M$  is a deformation retract of  $M$ , therefore, the fundamental group of  $M$  is isomorphic to  $\mathbb{Z}$ .

(j) The sphere with  $s$  holes is homotopy equivalent to a bouquet of  $s - 1$  circles and so has free fundamental group with  $s - 1$  generators (which, certainly, is trivial for  $s = 1$ ).

(k) The punctured Klein bottle is homotopy equivalent to a bouquet of two circles, and so has free fundamental group with two generators.

(l) the punctured Möbius strip is homotopy equivalent to the letter  $\theta$ , which, in turn, is homotopy equivalent to a bouquet of two circles. The Möbius strip with  $s$  punctures is homotopy equivalent to a bouquet of  $s + 1$  circles and thus has free fundamental group with  $s + 1$  generators.

**38.22** Let  $K$  be the boundary circle of a Möbius strip  $M$ ,  $L$  the midline of  $M$ , and  $T$  a solid torus whose boundary contains  $K$ . Consider the embeddings  $i : K \rightarrow T \setminus S$  and  $j : T \setminus S \rightarrow \mathbb{R}^3 \setminus S$ . Since  $T \setminus S \cong (D^2 \setminus 0) \times S^1$ , we have  $\pi_1(T \setminus S) \cong \mathbb{Z} \oplus \mathbb{Z}$ . Denote by  $a$  and  $b$  the generators of the group  $\pi_1(T \setminus S)$ . Let  $\alpha$  be the generator of  $\pi_1 K \cong \mathbb{Z}$ , then  $i_*(\alpha) = a + 2b$ . Furthermore,  $j_*(a)$  is a generator of  $\pi_1(\mathbb{R}^3 \setminus S)$ , and  $j_*(b) = 0$ . Therefore,  $j_*(i_*(\alpha)) \neq 0$ . If there existed a disk  $D$  spanning  $K$  and having no other common points with  $M$ , then we would have  $D \subset \mathbb{R}^3 \setminus S$ . Consequently,  $K$  would determine a null-homotopic loop in  $\mathbb{R}^3 \setminus S$ . However,  $j_*(i_*(\alpha)) \neq 0$ .

**38.23** 1) Using the notation introduced in 38.10, consider the map

$$Q \rightarrow (\mathbb{C} \setminus 0) \times (\mathbb{C}^2 \setminus A) \simeq S^1 \times S^1 : (a, b, c) \mapsto (a, \frac{b}{a}, \frac{c}{a}).$$

This is a homeomorphism. Therefore, the fundamental group of  $Q$  is isomorphic to  $\mathbb{Z} \oplus \mathbb{Z}$ .

2) The result of Problem 38.10 implies that  $Q_1$  is homotopy equivalent to the circle, and, consequently, has fundamental group isomorphic to  $\mathbb{Z}$ .

**39.1** This follows from 39.H since the group  $p_*(\pi_1(X, x_0))$  of the universal covering is trivial, and therefore its index is equal to the order of the fundamental group  $\pi_1(B, b_0)$  of the base of the covering.

**39.2** This follows from 39.H because a group having a subgroup of nonzero index is obviously nontrivial.

**39.3** All even positive integers. It can be proved that each of the boundary circles of the cylinder is mapped onto the boundary  $S$  of the Möbius strip  $M$ . Let  $\alpha$  be the generator of the group  $\pi_1(S^1 \times I)$ , then  $p_*(1) = b^k$ , where the element  $b \in \pi_1(M)$  is the image of the generator of  $\pi_1(S)$  under the embedding  $S \rightarrow M$ . It remains to observe that  $b = a^2$ , where  $a$  is the generator of the group  $\pi_1(M) \cong \mathbb{Z}$ . Thus,  $p_*(\alpha) = a^{2k}$ , consequently, the index of  $p_*(\pi_1(S^1 \times I))$  is an even positive integer. We easily see that there are coverings with an arbitrary even number of sheets (see 33.4).

**39.4** All odd positive integers, see 39.10x.

**39.5** All even positive numbers, see 39.10x.

**39.6** All positive integers, see 39.10x.

**39.7** If the base of the covering is compact, while the covering space is not, then the covering is infinite-sheeted by 33.24.

**39.8** See the hint to Problem 39.7.

**39.9** The class of the identity map.

**39.1x** For example, consider the union of the standard unit segments on the  $x$  and  $y$  axes and of the segments  $I_n = \{(\frac{1}{n}, y) \mid y \in I\}$ ,  $n \in \mathbb{N}$  (the “hair comb”).

**39.4x** This is obvious because the group  $\pi_1(X, a)$  is trivial, and we can put  $U = X$ .

**39.5x** Consider the circle.

**39.6x** Let  $V$  be the smallest neighborhood of  $a$ . Therefore, the topology on  $V$  is indiscrete. Let  $h_t(x) = x$  for  $t < 1$ ,  $h_1(x) = a$ . Prove that  $h : V \times I \rightarrow V$  is a homotopy.

**39.7x** This is true because already the inclusion homomorphism  $\pi_1(V, a) \rightarrow \pi_1(U, a)$  is trivial.

**39.8x** For example, such a space is  $D^2 \setminus \{(\frac{1}{n}, 0) \mid n \in \mathbb{N}\}$  (consider the point  $(0, 0)$ ).

**39.9x** Consider the cone over the space of Problem 39.8x.

**39.10x** By Theorem 39.Fx, it suffices to describe the hierarchy of the classes of conjugate subgroups in the fundamental group of the base and present coverings with a given subgroup. In all examples except (e), the fundamental group of the space in question (the base) is Abelian. Therefore, it is sufficient to list all subgroups of the fundamental group and to determine their order with respect to the inclusion. In each case, all coverings are subordinate to the universal covering, and the trivial covering is subordinate to all coverings.

(a) The universal covering is the map  $p : \mathbb{R} \rightarrow S^1$ . The covering  $p_k : S^1 \rightarrow S^1 : z \mapsto z^k$ , where  $k \in \mathbb{N}$ , is subordinate to the covering  $p_l$  iff  $k$  divides  $l$ , and the subordination is the covering  $p_{l/k}$ .

(b) Since  $\mathbb{R}^2 \setminus 0 \cong S^1 \times \mathbb{R}$ , the answer is similar to the preceding one.

(c) If  $M$  is a Möbius strip, then  $\pi_1(M) \cong \mathbb{Z}$ . Thus, as in the first example, all subgroups of the fundamental group of the base have the form  $k\mathbb{Z}$ . The difference is as follows: if  $k$  is odd, then the covering space is the Möbius strip, while if  $k$  is even, then the covering space is the cylinder  $S^1 \times I$ .

(d) The universal covering was constructed in the solution of Problem 35.7. Since the fundamental group of this space is isomorphic to  $\mathbb{Z}$ , it is sufficient to present coverings with group  $k\mathbb{Z} \subset \mathbb{Z}$ . Construct them on your own. In contrast to example (a), the total spaces are not homeomorphic because each of them has its own number of points.

(e) The universal covering of the torus is the map  $p : \mathbb{R}^1 \times \mathbb{R}^1 \rightarrow S^1 \times S^1 : (x, y) \mapsto (e^{2\pi ix}, e^{2\pi iy})$ . An example of a covering with group  $k\mathbb{Z} \oplus l\mathbb{Z}$  is the following map of the torus to itself:

$$p_k \times p_l : S^1 \times S^1 \rightarrow S^1 \times S^1 : (z, w) \mapsto (z^k, w^l).$$

More generally, for each integer matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  we can consider the

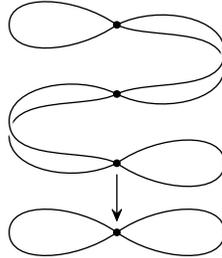
covering  $p_A : S^1 \times S^1 \rightarrow S^1 \times S^1 : (z, w) \mapsto (z^a w^b, z^c w^d)$ , the group of which is the lattice  $L \subset \mathbb{Z} \oplus \mathbb{Z}$  with basis vectors  $\mathbf{a}(a, c)$  and  $\mathbf{b}(b, d)$ . The covering  $p_A$  is subordinate to the covering  $p_{A'}$  determined by the matrix

$A' = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$  if the lattice  $L'$  with basis vectors  $\mathbf{a}'(a', c')$  and  $\mathbf{b}'(b', d')$  is

contained in the lattice  $L$ . In this case, the bases  $\{\mathbf{a}, \mathbf{b}\}$  in  $L$  and  $\{\mathbf{a}', \mathbf{b}'\}$  in  $L'$  can be chosen to be coordinated, i.e., so that  $\mathbf{a}' = k\mathbf{a}$  and  $\mathbf{b}' = l\mathbf{b}$  for certain  $k, l \in \mathbb{N}$ . The subordination here is the covering  $p_k \times p_l$ . Infinite-sheeted coverings are described up to equivalence by cyclic subgroups in  $\mathbb{Z} \times \mathbb{Z}$ , i.e., by the cyclic vectors  $\mathbf{a}(a, c) \in \mathbb{Z} \times \mathbb{Z}$ . Every such a vector determines the map  $p_{\mathbf{a}} : S^1 \times \mathbb{R} \rightarrow S^1 \times S^1 : (z, t) \mapsto (z^a e^{2\pi it}, z^b)$ . The covering  $p_{\mathbf{a}}$  is subordinate to the covering  $p_{\mathbf{b}}$  if  $\mathbf{b} = k\mathbf{a}$ ,  $k \in \mathbb{Z}$ . In this case, the subordination has

the form  $S^1 \times \mathbb{R} \rightarrow S^1 \times \mathbb{R} : (z, t) \mapsto (z^k, t)$ . Description of subordinations between finite-sheeted and infinite-sheeted coverings is left to the reader as an exercise.

**39.11x** See the figure.



**39.12x** Indeed, any subgroup of an Abelian group is normal. We can also verify directly that for each loop  $s : I \rightarrow B$  either each path in  $X$  covering  $s$  is a loop (independently of the starting point), or none of these paths is a loop.

**39.13x** This is true because any subgroup of index two is normal.

**39.15x** See the example constructed in the solution of Problem 39.11x.

**39.16x** This follows from assertion 39.Px, (d).

**40.3** The cellular partition of  $Z$  is obvious: if  $e^m$  is an open cell in  $X$  and  $e^n$  is an open cell in  $Y$ , then  $e^m \times e^n$  is an open cell in  $Z$  because  $B^m \times B^n \cong B^{m+n}$ . Thus, the  $n$ -skeleton of  $Z$  is the union of pairwise products of all cells in  $X$  and  $Y$  whose of dimensions is at most  $n$ . Now we must describe the attaching maps of the corresponding closed cells. In order to construct the cellular space  $X$ , we start with a discrete topological space  $X_0$ , and then for each  $m \in \mathbb{N}$  we construct the space  $X_m$  by attaching to  $X_{m-1}$  the disjoint union of  $m$ -disks  $D_{X,\alpha}^m$  via an attaching map  $\bigsqcup_{\alpha} S_{X,\alpha}^{m-1} \rightarrow X_{m-1}$ . Clearly,  $X$  is a result of a simultaneous factorization of the disjoint union  $\bigsqcup_{m,\alpha} D_{X,\alpha}^m$  by a certain single identification. The same is true for  $Y$ . Since in the present case the operations of factorization and multiplication of topological spaces commute (see 24.Tx), the product  $X \times Y$  is homeomorphi to a result of factorizing the disjoint union

$$\bigsqcup_{\substack{m,\alpha \\ n,\beta}} D_{X,\alpha}^m \times D_{Y,\beta}^n$$

of pairwise products of disks involved in the construction of  $X$  and  $Y$ . It remains to observe that this factorization, in turn, can be performed “by skeletons”, starting with a discrete topological space  $Z_0 = \bigsqcup (D_{X,\alpha}^0 \times D_{Y,\beta}^0)$ .

Attaching to  $Z_0$  1-cells of the form  $D_{X,\alpha}^1 \times D_{Y,\beta}^0$  and  $D_{X,\alpha}^0 \times D_{Y,\beta}^1$ , we obtain the 1-skeleton  $Z_1$ , etc. In dimensions greater than 1, Description of the attaching maps can cause difficulties. Consider a cell of the form  $e^m \times e^n$ . Its characteristic map  $D^m \times D^n \rightarrow X \times Y$  is simply the product of the characteristic maps of the cells  $e^m$  and  $e^n$ , which maps the image of the boundary sphere of the “disk”  $D^m \times D^n$  to the skeleton  $Z_{n+m-1}$ , which is already constructed. We have thus defined the attaching map  $\omega : S^{n+m-1} \rightarrow Z_{n+m-1}$ . Let us also give an explicit description of  $\omega$ . To do this, we need the standard homeomorphism  $\kappa : D^{m+n} \rightarrow D^m \times D^n$  with  $\kappa(S^{m+n-1}) = (S^{m-1} \times D^n) \cup (D^m \times S^{n-1})$ . Let  $\varphi_1 : S^{m-1} \rightarrow X_{m-1}$  and  $\varphi_2 : S^{n-1} \rightarrow Y_{n-1}$  be the attaching maps of the cells  $e^m$  and  $e^n$ . Then  $\omega$  can be described as a composition

$$\begin{aligned} S^{m+n-1} &\rightarrow (D^m \times S^{n-1}) \cup (S^{m-1} \times D^n) \rightarrow \\ &\rightarrow [(X_{m-1} \cup_{\varphi_1} D^m) \times Y_{n-1}] \cup [X_{m-1} \times (Y_{n-1} \cup_{\varphi_2} D^n)] \hookrightarrow Z_{m+n-1}, \end{aligned}$$

where the first map is a submap of the homeomorphism  $\kappa$ , the second one is the obvious map defined on each part as the product of the characteristic and the attaching map, and the third one is an inclusion.

**40.4** No, it does not. Show that the product topology on the product of two copies of the cellular space of Problem 40.9 is not cellular.

**40.5** Actually, when solving Problem 40.H, we used, firstly, the presentation  $\mathbb{R}P^n = \bigcup_{k=0}^n \mathbb{R}P^k$ , secondly, the fact that  $\mathbb{R}P^k \setminus \mathbb{R}P^{k-1}$  is an open  $k$ -cell. Use the presentation  $\mathbb{C}P^n = \bigcup_{k=0}^n \mathbb{C}P^k$ . Prove that for all integer  $k \geq 0$  the difference  $\mathbb{C}P^k \setminus \mathbb{C}P^{k-1} \cong B^{2k}$ . Furthermore, it is clear that the attaching map  $S^{2k-1} \rightarrow \mathbb{C}P^{k-1}$  is the factorization map.

**40.6** (a) Delete from the square a set homeomorphic to the open disk and bounded by a curve starting and ending at a certain vertex of the square  $I^2$ . The rest splits into 10 cells, and the quotient space of the complement splits into 5 cells and is homeomorphic to a handle.

(b) The Möbius strip is the quotient space of the square, which has a cellular partition consisting of 9 cells. After factorization, we obtain a partition of the Möbius strip consisting of 6 cells.

(c) As well as the space in the preceding item,  $S^1 \times I$  is a quotient space of the square. Or, differently, see 40.3.

(d)–(e) See 40.12.

**40.7** (a) 4 cells: present the Möbius strip as a result of factorization of a triangle under which all three vertices are identified into one. Show that one 1-cell is insufficient.

(b)  $2p+2$  cells; (c)  $q+2$  cells. See 40.12. In order to show that this number

of cells is the smallest possible, use the computation of the fundamental groups of the above spaces, see 43°5.

**40.8** We need at least three cells: a 0-cell, a 1-cell, and one more cell.

**40.9** See 20.6.

**40.11** Notice that since any two points in  $\mathbb{R}^\infty$  lie in a certain subspace  $\mathbb{R}^N$ , the distance between them is easy to define. Thus, we have a metric in  $\mathbb{R}^\infty$ , but it generates in  $\mathbb{R}^\infty$  a wrong topology. To show that the topology in  $\mathbb{R}^\infty$  is not generated by any metric, use the fact that  $\mathbb{R}^\infty$  is not first countable (prove this).

**40.12** We prove several assertions in this list.

(a) The word  $aa^{-1}$  describes the quotient space of  $D^2$  by the partition into pairs of points of  $S^1$  that are symmetric with respect to one of the diameters. This quotient space is homeomorphi to  $S^2$ . The cellular partition has two 0-cells, a 1-cell, and a 2-cell.

(b) The word  $aa$  describes the quotient space of  $D^2$  by the partition into pairs of centrally symmetric points of the circle (and singletons formed by the remaining points). It is homeomorphi to the projective plane. The cellular partition consists of three cells: a 0-cell, a 1-cell, and a 2-cell.

(g) Consider the  $p$ -gon  $P$  with vertices at the common endpoints of the pairs of edges marked by  $a_1$  and  $b_p^{-1}$ ,  $a_2$  and  $b_1^{-1}$ ,  $\dots$ ,  $a_p$  and  $b_{p-1}^{-1}$ , and cut the initial  $4p$ -gon along the sides of  $P$ . Factorizing  $P$ , we obtain a sphere with  $p$  holes. Factorizing the remaining pentagons, we obtain  $p$  handles.

**40.13** For example, consider the so-called complete 5-graph  $K_5$ , i.e., the space with 5 vertices pairwise joined by edges. To prove that it cannot be embedded in  $\mathbb{R}^2$ , use the Euler Theorem 42.3.

**41.1x** Let  $\psi : D^n \rightarrow X$  be the characteristic map of the attached cell, let  $i : A \rightarrow X$  be the inclusion. We can assume that  $x = \psi(0)$ , where 0 is the center of  $D^n$ . We introduce the map

$$g : X \setminus x \rightarrow A : g(z) = \begin{cases} z & \text{if } z \in A, \\ \varphi(\psi^{-1}(z)/|\psi^{-1}(z)|) & \text{if } z \notin A. \end{cases}$$

We prove that the maps  $\text{id}_{X \setminus x}$  and  $i \circ g$  are  $A$ -homotopic. Consider the rectilinear homotopy  $\tilde{h} : (D^n \setminus x) \times I \rightarrow D^n \setminus x$  between the identity map and the projection  $\rho : D^n \setminus x \rightarrow D^n \setminus x : z \mapsto \frac{z}{|z|}$ . We define the homotopy

$$h : (A \sqcup (D^n \setminus x)) \times I \rightarrow A \sqcup (D^n \setminus x)$$

by letting

$$h(z, t) = \begin{cases} z & \text{if } z \in A, \\ \tilde{h}(z, t) & \text{if } z \in D^n. \end{cases}$$

The quotient map  $H : (X \setminus x) \times I \rightarrow X \setminus x$  of  $h$  is the required  $A$ -homotopy between  $\text{id}_{X \setminus A}$  and  $i \circ g$ .

**41.2x** This follows from 41.1x because closed  $n$ -cells together with  $X_{n-1}$  constitute a fundamental cover of  $X$ .

**41.3x** The assertion on  $\mathbb{R}P^n$  follows from 41.1x because  $\mathbb{R}P^n$  is a result of attaching an  $n$ -cell to  $\mathbb{R}P^{n-1}$ , see 40.H. The assertion about  $\mathbb{C}P^n$  is proved in a similar way; see 40.5. On the other hand, try to find explicit formulas for deformation retractions  $\mathbb{R}P^n \setminus \text{point} \rightarrow \mathbb{R}P^{n-1}$  and  $\mathbb{C}P^n \setminus \text{point} \rightarrow \mathbb{C}P^{n-1}$ .

**41.4x** Consider a cellular partition of the solid torus that has one 3-cell and 2-skeleton homeomorphic to a torus with a disk attached along the meridian  $S^1 \times 1$ , and apply assertion 41.1x.

**41.5x** Denote by  $e_\varphi : D^{n+1} \rightarrow X_\varphi$  and  $e_\psi : D^{n+1} \rightarrow X_\psi$  the characteristic maps of the  $(n+1)$ -cell attached to  $Y$ . Let  $h : S^n \times I \rightarrow Y$  be a homotopy joining  $\varphi$  and  $\psi$ . Consider the maps  $f' : Y \sqcup D^{n+1} \rightarrow X_\varphi$  and  $g' : Y \sqcup D^{n+1} \rightarrow X_\psi$  that are the standard embeddings on  $Y$ , and are defined on the disks  $D^{n+1}$  by the formulas

$$f'(x) = \begin{cases} e_\psi(2x) & \text{for } |x| \leq \frac{1}{2}, \\ h\left(\frac{x}{|x|}, 2(1-|x|)\right) & \text{for } \frac{1}{2} \leq |x| \leq 1, \end{cases}$$

$$g'(x) = \begin{cases} e_\varphi(2x) & \text{for } |x| \leq \frac{1}{2}, \\ h\left(\frac{x}{|x|}, 2|x|-1\right) & \text{for } \frac{1}{2} \leq |x| \leq 1, \end{cases}$$

We easily see that the quotient maps  $f : X_\varphi \rightarrow X_\psi$  and  $g : X_\psi \rightarrow X_\varphi$  of  $f'$  and  $g'$  are defined. Show that  $f$  and  $g$  are mutually inverse homotopy equivalences.

**41.6x** Slightly modify the argument used in the solution of Problem 41.5x.

**41.7x** Let  $A$  be the space obtained by attaching a disk to the circle via the map  $\alpha : S^1 \rightarrow S^1$ ,  $\alpha(z) = z^2$ . Then  $A \cong \mathbb{R}P^2$ , whence  $\pi_1(A) \cong \mathbb{Z}_2$ . Consequently, the map  $\varphi : S^1 \rightarrow A : z \mapsto z^3$  is homotopic to  $\psi = \text{id}_{S^1}$ . By 41.5x,  $X$  is homotopy equivalent to the space  $A \cup_\psi D^2$ , which coincides with  $D^2 \cup_\alpha D^2$ . Since the map  $\alpha : S^1 \rightarrow D^2$  is null-homotopic, it follows (also by 41.5x) that  $X$  is homotopy equivalent to the bouquet  $D^2 \vee S^2$ , which is homotopy equivalent to  $S^2$ :

$$X \simeq A \cup_\psi D^2 \simeq D^2 \cup_\alpha D^2 \simeq D^2 \vee S^2 \simeq S^2.$$

The sphere has a partition consisting of two cells, which, obviously, is the smallest possible number of cells.

**41.9x** The torus  $S^1 \times S^1$  is obtained from the bouquet  $S^1 \vee S^1$  by attaching a 2-cell via a certain map  $\varphi : S^1 \rightarrow S^1 \vee S^1$ . Denote by  $i$  the inclusion  $S^1 \vee S^1 \rightarrow A = (1 \times S^1) \cup (D^2 \times 1)$  and show that the composition  $i \circ \varphi : S^1 \rightarrow A$  is null-homotopic. Indeed, let  $\alpha, \beta$  be the standard generators of  $\pi_1(S^1 \vee S^1)$ . Then  $[\varphi] = \alpha\beta\alpha^{-1}\beta^{-1}$ , and

$$[i \circ \varphi] = i_*([\varphi]) = i_*(\alpha\beta\alpha^{-1}\beta^{-1}) = i_*(\alpha)i_*(\beta)i_*(\alpha)^{-1}i_*(\beta)^{-1} = i_*(\alpha)i_*(\alpha)^{-1} = 1,$$

because  $i_*(\beta) = 1 \in \pi_1(A)$ . By Theorem 41.5x,

$$A \cup_{\varphi} D^2 \simeq A \vee S^2 = S^1 \vee D^2 \vee S^2 \simeq S^1 \vee S^2.$$

**41.10x** Use the result of Problem 41.9x and assertion 41.5x.

**41.11x** Prove that  $X \simeq S^1 \vee S^1 \vee S^2$ , whence  $\pi_1(X) \cong \mathcal{F}_2$ , while  $Y \simeq S^1 \times S^1$ , so that  $\pi_1(Y) \cong \mathbb{Z}^2$ . Since  $\pi_1(X) \not\cong \pi_1(Y)$ ,  $X$  and  $Y$  are not homotopy equivalent.

**41.13x** Consider a cellular partition of  $\mathbb{C}P^2$  consisting of one 0-cell, one 1-cell, two 2-cells, and one 4-cell. Furthermore, we can assume that the 2-skeleton of the cellular space obtained is  $\mathbb{C}P^1 \subset \mathbb{C}P^2$ , while the 1-skeleton is the real part  $RP^1 \subset \mathbb{C}P^1$ . Let  $\tau : \mathbb{C}P^2 \rightarrow \mathbb{C}P^2$  be the involution of complex conjugation, by which we factorize. Clearly,  $\mathbb{C}P^1/[z \sim \tau(z)] \cong D^2$ . Consider the characteristic map  $\psi : D^4 \rightarrow \mathbb{C}P^1$  of the 4-cell of the initial cellular partition. The quotient space  $D^4/[z \sim \tau(z)]$  is obviously homeomorphic to  $D^4$ . Therefore, the quotient map

$$D^4/[z \sim \tau(z)] \rightarrow \mathbb{C}P^1/[z \sim \tau(z)]$$

is the characteristic map for the 4-cell of  $X$ . Thus,  $X$  is a cellular space with 2-skeleton  $D^2$ . Therefore, by 41.Cx, we have  $X \simeq S^4$ .

**42.1** See 38.21.

**42.2** Let  $X \cong S^2$ . Denote by  $v = c_0(X)$ ,  $e = c_1(X)$ , and  $f = c_2(X)$  the number of 0-, 1-, and 2-cells in  $X$ , respectively. Deleting a point in each 2-cell of  $X$ , we obtain a space  $X'$  admitting a deformation retraction to its 1-skeleton. On the one hand, by 42.1,  $\pi_1(X')$  is a free group of rank  $f - 1$ . On the other hand, we have  $\pi_1(X') \cong \pi_1(X_1)$ , and the rank of the latter group is equal to  $1 - \chi(X_1) = 1 - v + e$  by 42.B. Thus,  $f - 1 = 1 - e + v$ , whence it follows that  $\chi(X) = v - e + f = 2$ .

**42.3** This follows from 42.2.

**43.1** The fundamental group of  $S^n$  with  $n > 1$  is trivial because there is a cellular partition of  $S^n$  with one-point 1-skeleton.

**43.2** The group  $\pi_1(\mathbb{C}P^n)$  is trivial for the same reason.

**43.1x** Take a point  $(x_0$  and  $x_1)$  in each connected component of  $C$  so that we could join them in the 1-skeleton  $X_1$  by two embedded segments  $\bar{e}_A \subset A$  and  $\bar{e}_B \subset B$ , whose only common points are  $x_0$  and  $x_1$ . The idea is to replace all the spaces by homotopy equivalent ones so that the 1-skeleton of  $X$  be the circle formed by the segments  $\bar{e}_A$  and  $\bar{e}_B$ . For this purpose, we can use the techniques used in the solution of Problem 41.Fx. As a result, we obtain a space having 1-skeleton with fundamental group isomorphic to  $\mathbb{Z}$ . It remains to observe that the image of the attaching map  $\varphi$  of a 2-cell cannot be the whole 1-skeleton since this cell lies either in  $A$ , or  $B$ , but not in both. Therefore,  $\varphi$  is null-homotopic, and, consequently, when we attach a 2-cell, no relations arise.

**43.2x** No, because in Theorem 43.Ax the sets  $A$  and  $B$  are open in  $X$ , while in Theorem 43.2x they are cellular subspaces, which are open only in exceptional cases. On the other hand, we can derive Theorem 43.Cx from 43.Ax if we construct neighborhoods of the cellular subspaces  $A$ ,  $B$ , and  $C$  that admit deformation retractions to the spaces themselves.

**43.3x** Generally speaking, no, it may not (give an example).

**43.4x** Let us see how the fundamental group changes when we attach 2-cells to the 1-skeleton of  $X$ . We assume that the 0-skeleton is  $\{x_0\}$ . At the first step, we attach a 2-cell  $e$  to  $X_1$ , let  $\varphi : S^1 \rightarrow X_1$  be the attaching map, and let  $\chi : D^2 \rightarrow X_2$  be the characteristic map of  $e$ . Let  $F \subset D^2$  be a closed disk (for example, of radius  $\frac{1}{2}$ ),  $S$  the boundary of  $F$ ,  $A = \chi(D^2 \setminus \text{Int } F) \cup X_1$ ,  $B = \chi(F)$ , then  $C = \chi(S) \cong S^1$ . It is clear that  $X_1$  is a (strong) deformation retract of the set  $A$ . Therefore, the group  $\pi_1(A) \cong \pi_1(X_1)$  is a free group with generators  $\alpha_i$ . On the other hand, we have  $B \cong D^2$ . Therefore,  $B$  is simply connected. The map  $\chi|_S$  is homotopic to  $\varphi$ , consequently, the image of the generator of  $\pi_1(C)$  is the class  $\rho = [\varphi] \in \pi_1(X, x_0)$  of the attaching map of  $e$ . Consequently, in the fundamental group  $\pi_1(X, x_0)$  there is a relation  $\rho = 1$ . When we attach cells of the highest dimension, no new relations on this group arise, because in this case the space  $C \cong S^k$  is simply connected since  $k > 1$ . The Seifert–van Kampen theorem implies that the relations  $[\varphi_i] = 1$  exhaust all relations between the standard generators of the fundamental group of the space.

**43.5x** If  $m \neq 0$ , then the fundamental group is a cyclic group of order  $|m|$ ; if  $m = 0$ , then the fundamental group is isomorphic to  $\mathbb{Z}$ .

**43.6x** These spaces are homeomorphic to  $S^2 \times S^1$  and  $S^3$ , respectively.

**43.7x** Instead of the complement of  $K$ , we consider the complement of a certain open neighborhood  $U$  of  $K$  homeomorphi to  $\text{Int } D^2 \times S^1$ , for which  $K$  is the axial circle. It is more convenient to assume that all sets under consideration lie not in  $\mathbb{R}^3$ , but in  $S^3$ . Let  $X = S^3 \setminus U$ . The torus  $T$

splits  $S^3$  into two solid tori  $G = D^2 \times S^1$  and  $F = S^1 \times D^2$ . Put  $A = G \setminus U$  and  $B = F \setminus U$ . Then  $X = A \cup B$ , and  $C = A \cap B$  is the complement in  $T$  of the open strip, which is a neighborhood of the curve determined on  $T$  by the equation  $pu = qv$ , whence  $\pi_1(C) \cong \pi_1(A) \cong \pi_1(B) \cong \mathbb{Z}$ . By the Seifert–van Kampen Theorem, we have  $\pi_1(X) = \langle \alpha, \beta \mid i_*(\gamma) = j_*(\gamma) \rangle$ , where  $i$  and  $j$  are the inclusions  $i : C \rightarrow A$  and  $j : C \rightarrow B$ . The loop in  $C$  representing the generator of  $\pi_1(C)$   $p$  times passes the torus along the parallel and  $q$  times along the meridian, whence  $i_*(\gamma) = a^p$  and  $j_*(\gamma) = b^q$ . Therefore,  $\pi_1(X) = \langle a, b \mid a^p = b^q \rangle$ . Show that  $H_1(X) \cong \mathbb{Z}$  (do not forget that  $p$  and  $q$  are co-prime).

**43.8x** (a) This immediately follows from Theorem 43 (or Theorem 43.Cx).

(b) Since the sets  $A = X \vee V_{y_0}$  and  $B = U_{x_0} \vee Y$  constitute an open cover of  $Z$  and their intersection  $A \cap B = U_{x_0} \vee V_{y_0}$  is connected, we see that the fact that  $Z$  is simply connected follows from the result of Problem 31.11.

(c)\* Let  $X \subset \mathbb{R}^3$  be the cone with vertex  $(-1, 0, 1)$  over the union of the circles determined in the plane  $\mathbb{R}^2$  by the equations  $x^2 + \frac{2x}{n} + y^2 = 0$ ,  $n \in \mathbb{N}$ , and let  $Y$  be symmetric to  $X$  with respect to the  $z$  axis. Both  $X$  and  $Y$  are obviously contractible and, therefore, simply connected. Try to prove (this is not easy at all) that their union  $X \cup Y$  is not simply connected.

**43.9x** Yes, it is.

**43.10x** The Klein bottle is a union of two Möbius strips pasted together along their the boundary circles.

**43.13x** Verify that the class of the matrix  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  has order 2, and the class of  $\begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}$  has order 3.

**43.14x** Let us cut the torus (respectively, the Klein bottle) along a circle  $B$  so that as a result we obtain a cylinder, which will be our space  $C$ . Denote by  $\beta$  the generator of  $\pi_1(B) \cong \mathbb{Z}$ , and by  $\alpha$  the generator of  $\pi_1(C) \cong \mathbb{Z}$ . In the case of torus, we have  $\varphi_1 = \varphi_2 = \alpha$ , while for the Klein bottle we have  $\varphi_1 = \alpha = \varphi_2^{-1}$ . Thus, by Theorem 43.Fx, we obtain a presentation of the fundamental group of the torus  $\langle \alpha, \gamma \mid \gamma\alpha = \alpha\gamma \rangle$  and of the Klein bottle  $\langle \alpha, \gamma \mid \gamma\alpha = \alpha\gamma^{-1} \rangle$ .

**55.1x** The construction from the proof of Theorem 55.Dx provides a covering with the required properties.

**55.2x** Prove that for arbitrary covering of this sort there exist a splitting to a covering in the narrow sense of a handle and the trivial covering of the rest, see the proof of 55.Dx. Use such splittings to construct the homeomorphisms.

**55.3x** The simplest example is a pair of coverings  $S^1 \times S^1 \rightarrow S^1 \times S^1$  defined by formulas  $(z, w) \mapsto (z^4, w)$  and  $(z, w) \mapsto (z^2, w^2)$  with automorphism groups  $\mathbb{Z}_4$  and  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , respectively.

**55.4x** Yes, it covers a sphere with three crosscaps via the orientation covering. Another way to obtain the covering is to consider factorization by the action of symmetry with respect to a point. For this observe that the two handles can be attached to sphere in a symmetric way. Prove that the orbit space of the symmetry is non-orientable.

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