Lecture 37:
How can computation... sort data faster for you?

Previous Lecture
Two intuitive, but slow sorting algorithms

Selection sort:
• Repeat for each key in list
  - Find minimum key in unsorted portion
  - Move to next position of sorted portion

Insertion sort:
• Repeat for each key in unsorted list
  - Insert into its correct position in sorted portion

Both algorithms $O(N^2)$ where $N$ is length of list

Sorting Algorithms:
Speed Comparison

Recursive Algorithms
Algorithm is recursive if can be defined by:
• Simple base case
• Set of rules reducing other cases toward base case

Recursion: If you still don’t get it, see: “Recursion”.
Recursive Definition of Factorial
Example: Fact(5) = 5! = 5 * 4 * 3 * 2 * 1
Recursive definition:
• Fact(1) = 1 [base case]
• For all integers n > 1: Fact(n) = n * Fact(n-1)
Fact(5) = ??
= 5 * Fact (4)
= 5 * 4 * Fact(3)
= 5 * 4 * 3 * Fact(2)
= 5 * 4 * 3 * 2 * Fact (1)
= 5 * 4 * 3 * 2 * 1  Recursion ends!

Merge Sort Algorithm: Uses Recursion
Base case:
• If list of length 0 or 1, done (sorted)
Otherwise:
• Divide unsorted list of size M into two sublists of size M/2
• Sort each sublist recursively using mergesort
• Merge two sublists back into one sorted list

How to merge two lists into one?

Merging Two Sorted Runs

Algorithm: Compare 1st element of each list, remove the smaller as next element of sorted run
Very efficient! Very few comparisons needed for merge
How many comparisons needed to create list of size N?
O(N) comparisons

Merge Sort: Example
Sort keys: 2 8 19 72 35 14 10 66 14 15 11 46 5 89 16 13
Merge Sort:
How many comparisons?
2, 5, 8, 10, 11, 13, 14, 15, 16, 19, 35, 46, 66, 72, 89

2, 8, 10, 14, 19, 35, 46, 66, 72
5, 11, 13, 15, 16, 46, 89

How high (or deep) is the tree?
* Log N

How many comparisons to create next level? (last run? 2nd-to-last two runs?)
• last run: N, 2nd to last two runs: 2 * N/2, next: 4 * N/4 ... always N!
Total comparisons?
• N Log N

Which runs can be merged independently of others?
• All runs at same level are independent!
• Must create runs lower in the tree first!
Why is this a good property?
• Can merge runs in parallel!
• Great for multiprocessors, multi-cores, clusters of machines

Algorithm Comparison

Quicksort (Qsort) Algorithm: Recursive
Base case: list of size one is sorted by definition
Otherwise:
Pick an element (pivot) from list
Reorder:
• All keys < pivot → move key before pivot
• All keys > pivot → move key after pivot
  - Equal values can go either way
• Pivot is now in its final sorted position
Recursively sort (w/ quick sort!) two sub-lists
**Quicksort Demo**

**Quicksort Example**

**Quicksort:** How many comparisons?

<table>
<thead>
<tr>
<th>2, 8, 19, 72, 35, 14, 10, 66, 14, 15, 11, 46, 5, 89, 16, 13</th>
</tr>
</thead>
<tbody>
<tr>
<td>2, 8, 10, 11, 5</td>
</tr>
<tr>
<td>2 5 8, 10, 11</td>
</tr>
<tr>
<td>8, 10 11</td>
</tr>
<tr>
<td>8 10</td>
</tr>
</tbody>
</table>

What is height of tree?  
If pivot divides keys into two equal groups?  
\log N  
How many comparisons to form new level of tree?  
N  
Total comparisons?  
N \log N

**Sorting Algorithm Comparison**

<table>
<thead>
<tr>
<th></th>
<th>Selection Sort</th>
<th>Insertion Sort</th>
<th>Merge Sort</th>
<th>Quick Sort</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Worst case?</strong></td>
<td>(O(N^2))</td>
<td>(O(N^2))</td>
<td>(O(N \log N))</td>
<td>(O(N)) If pick bad pivot</td>
</tr>
<tr>
<td><strong>Best case?</strong></td>
<td>(O(N^2))</td>
<td>(O(N))</td>
<td>(O(N \log N))</td>
<td>(O(N \log N))</td>
</tr>
<tr>
<td><strong>Average case?</strong></td>
<td>(O(N^2))</td>
<td>(O(N^2))</td>
<td>(O(N \log N))</td>
<td>(O(N \log N))</td>
</tr>
</tbody>
</table>
Why does Complexity matter?

* N * N
* N Log N
* N

Number of comparisons (or iterations)

0  20  40  60  80  100

N Relatively small values of N

Still relatively small values of N

Large values of N

How long to sort 60,000,0000 keys?
Assume 3 billion (3 * 10^9) comparisons per second
With N * N algorithm: Approx 3 * 10^15 comparisons
Requires 10^6 seconds = 280 hours! More than 10 days!
With N Log N algorithm: Approx 1.5 * 10^10 comparisons
Requires 0.5 seconds!
N Log N grows very slowly with N... Practical for large N

NOW-Sort: World Record Holder

Sorted 1 million keys (1997)
- Disk-to-disk
- < 2.5 seconds
- 100 machines on network

Merge sort works well here
- Each machine starts with 1/100 of keys (and data!) on local disk
- Sorts its own keys
- Each sends sorted run of keys (and data!) to destination machine
- After receive all keys, each machine:
  - Merge 100 sorted runs
Today’s Summary

Sorting algorithms
- \(O(N^2)\) sorting algorithms
  - Selection sort: Find minimum and make next
  - Insertion sort: Take next and insert in correct place
- \(O(N \log N)\) sorting algorithms (expected, not worst-case)
  - Merge sort: Recursively combine sub-lists into larger lists
  - Quicksort: Recursively partition list into sub-lists around pivot

Reading: 3.3.4 for Order of Magnitude

Announcements
- Exam 2 Solutions posted
- Homework 8 and 9 available (due Friday 12/3 and Wed 12/8)
- Homework 10: Project 2 Website Comments and Demo attendance
  - Uploaded by Thursday 12/9; Comment by Fri 12/10
- Project 2: Due with In-class demos Monday 12/13