# CS 640 Introduction to Computer Networks

CS 640

#### Today's lecture

- Transport layer
  - UDP
  - TCP (except congestion control)

CS 640

# Layering and Encapsulation Revisited

- Each layer relies on layers below to provide services in black box fashion
  - Layering makes complex systems easier to understand & specify
  - Makes implementation more flexible
  - Can make implementation bigger and less efficient
  - Layers are implemented by protocols rules for communication
- Data from applications moves up and down protocol stack
  - Application level data is chopped into packets (segments)
  - $-\,$  Encapsulation deals with attaching headers at layers 2, 3, 4


#### **End-to-End Protocols**

- Underlying network is best-effort so it can:
  - drop messages
  - re-orders messages
  - delivers duplicate copies of a given message
  - deliver messages after an arbitrarily long delay
- Common end-to-end services do:
  - guarantee message delivery
  - deliver messages in the same order they are sent
  - deliver at most one copy of each message
  - support synchronization
  - allow the receiver to flow control the sender
  - support multiple application processes on each host

### Basic function of transport layer

- How can processes on different systems get the right messages?
- Ports are numeric locators which enable messages to be demultiplexed to proper process.
  - Ports are addresses on individual hosts, not across the Internet
- Ports are established using well-know values first
  - Port 80 =http, port 53 =DNS
- · Ports are typically implemented as message queues
- Simplest function of the transport layer is multiplexing/demultiplexing of messages

CS 640

#### Other transport layer functions

- · Connection control
  - Setting up and tearing down communication between processes
- · Error detection within packets
  - Checksums
- Reliable, in order delivery of packets
  - Acknowledgement schemes
- Flow control
  - Matching sending and receiving rates between end hosts
- · Congestion control
  - Managing congestion in the network

-		

#### Today's lecture

- Transport layer
  - UDP
  - TCP (except congestion control)

CS 640

### User Datagram Protocol (UDP)

- Unreliable and unordered datagram service
- · Adds multiplexing/demultiplexing
- · Adds reliability through optional checksum
- No flow or congestion control
- · Endpoints identified by ports
  - servers have well-known ports
  - see /etc/services on Unix
- · Header format
- 0 16 3
  SrcPort DstPort
  Checksum Length
  Data
- · Optional checksum
  - Computed over pseudo header + UDP header + data

CS 640

#### **UDP Checksums**

- Optional in current Internet
- Covers payload + pseudoheader
- Pseudoheader consists of 3 fields from IP header: protocol number (TCP or UDP), IP src, IP dst and UDP length field
  - Pseudoheader enables verification that message was delivered between correct source and destination.
  - IP dest address was changed during delivery, checksum would reflect this
- UDP uses the same checksum algorithm as IP

	-	٠
	•	1
		٠

#### UDP in practice

- Minimal requirements make UDP very flexible
  - Any end-to-end protocol can be implemented
    - Remote Procedure Calls (RPC)
    - TCP can be implemented using UDP
- Examples
  - Most commonly used in multimedia applications
    - These are frequently more robust to loss
  - RPCs
  - Many others...

CS 640

#### Today's lecture

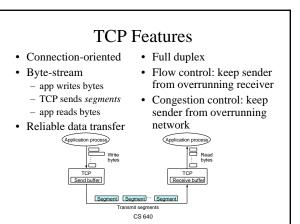
- Transport layer
  - UDP
  - TCP (except congestion control)

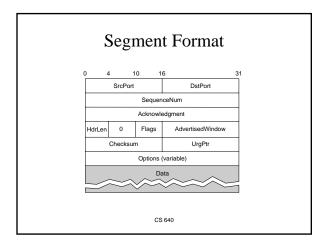
CS 640

#### **TCP** Overview

- TCP is the most widely used transport protocol
  - Web, Peer-to-peer, FTP, telnet, ...
  - A focus of intense study for many years
- A two way, reliable, byte stream oriented end-toend protocol
- Closely tied to the Internet Protocol (IP)
- Our goal is to understand the RENO version of TCP (most widely used TCP today)
  - mainly specifies mechanisms for dealing with congestion

-		
-		
-		
-		
-		
-		
_		
-		
-		
-		
_		
-		
-		
•		
•		
-		
•		
-		
•		
-		





# Segment Format (cont) • Each connection identified with 4-tuple: - (SrcPort, SrcIPAddr, DsrPort, DstIPAddr) • Sliding window + flow control - Ack., SequenceNum, AdvertisedWindow Data(SequenceNum) Receive Acknowledgment + AdvertisedWindow • Flags - SYN, FIN, RESET, PUSH, URG, ACK • Checksum is the same as UDP - pseudo header + TCP header + data CS 640

#### Sequence Numbers

- 32 bit sequence numbers
  - Wrap around supported
- TCP breaks byte stream from application into packets (limited by Max. Segment Size)
- Each byte in the data stream is considered
- Each packet has a sequence number
  - Initial number selected at connection time
  - Subsequent numbers give first data byte in packet
- ACKs indicate next byte expected

CS 640

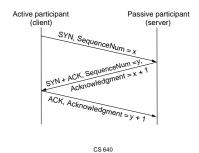
# Sequence Number Wrap Around

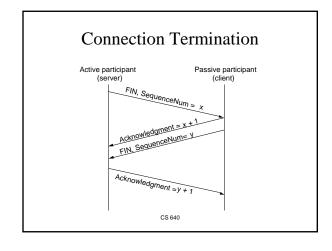
D 1 111	TD: TT -:11337 A 1
Bandwidth	Time Until Wrap Around
T1 (1.5 Mbps)	6.4 hours
Ethernet (10 Mbps)	57 minutes
T3 (45 Mbps)	13 minutes
FDDI (100 Mbps)	6 minutes
STS-3 (155 Mbps)	4 minutes
STS-12 (622 Mbps)	55 seconds
STS-24 (1.2 Gbps)	28 seconds

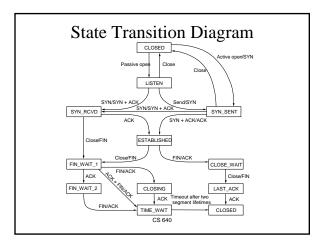
• Protect against this by adding a 32-bit timestamp to TCP header

CS 640

#### **Connection Establishment**



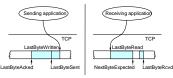




# Reliability in TCP

- Checksum used to detect bit level errors
- Sequence numbers help detect sequencing errors
  - Duplicates are ignored
  - Out of order packets are reordered (or dropped)
  - Lost packets are retransmitted
- Timeouts used to detect lost packets
  - Requires RTO calculation
  - Requires sender to maintain data until it is ACKed

#### Sliding Window Revisited



- · Sending side
  - LastByteAcked <=</pre> LastByteSent
  - LastByteSent <= LastByteWritten
  - buffer bytes between  ${\tt LastByteAcked} \ {\tt and} \\$ LastByteWritten CS 640
- · Receiving side
  - LastByteRead < NextByteExpected
  - NextByteExpected <</pre>
  - = LastByteRcvd +1
  - buffer bytes between NextByteRead and  ${\tt LastByteRcvd}$

#### Flow Control in TCP

- Send buffer size: MaxSendBuffer
- Receive buffer size: MaxRcvBuffer
- · Receiving side
  - LastByteRcvd LastByteRead < = MaxRcvBuffer
  - AdvertisedWindow = MaxRcvBuffer (NextByteExpected -I LastByteRead)
- · Sending side
  - LastByteWritten LastByteAcked <= MaxSendBuffer block sender if (LastByteWritten LastByteAcked) + y >

  - MaxSenderBuffer
  - LastByteSent LastByteAcked < = AdvertisedWindow EffectiveWindow = AdvertisedWindow - (LastByteSent -
  - ${\tt LastByteAcked})$
- Always send ACK in response to arriving data segment
- Persist sending one byte seg. when AdvertisedWindow = 0

#### Keeping the Pipe Full

- 16-bit AdvertisedWindow controls amount of pipelining
- Assume RTT of 100ms
- Add scaling factor extension to header to enable larger windows

Bandwidth	Delay x Bandwidth Product
T1 (1.5 Mbps)	18KB
Ethernet (10 Mbps)	122KB
T3 (45 Mbps)	549KB
FDDI (100 Mbps)	1.2MB
OC-3 (155 Mbps)	1.8MB
OC-12 (622 Mbps)	7.4MB
OC-24 (1.2 Gbps)	14.8MB

### Making TCP More Efficient

- · Delayed acknowledgements
  - Try to piggyback ACKs with data
  - Try not to send small packets, sender sends only when it has enough data to fill MSS
    - See Nagle's algorithm
- Acknowledge every other packet
  - Many instances in transmission sequence which require an ACK

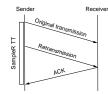
CS 640

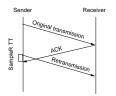
#### **Basic RTT estimation**

- Using exponentially weighted moving average
  - EstRTT=EstRTT+  $(1-\alpha)\cdot(SampleRTT-EstRTT)$
  - $\alpha$  set to between 0.8 and 0.9
- · Retransmission timeout set conservatively
  - RTO=2 · EstRTT

CS 640

## Karn/Partridge Algorithm for RTO





- Degenerate cases with for RTT measurements
  - Solution: Do not sample RTT when retransmitting
- After each retransmission, set next RTO to be double the value of the last
  - Exponential backoff is well known control theory method
  - Loss is most likely caused by congestion so be careful  $_{\mbox{\scriptsize CS}\,\mbox{\scriptsize 640}}$

### Jacobson/ Karels Algorithm

- In late '80s, Internet was suffering from congestion collapse
- $\bullet \quad New \ Calculations \ for \ average \ RTT-Jacobson \ '88$
- · Variance is not considered when setting timeout value
  - If variance is small, we could set RTO = EstRTT
  - $-\;$  If variance is large, we may need to set RTO  $> 2\;x\;EstRTT$
- · New algorithm calculates both variance and mean for RTT
- $\bullet \ \, {\tt Diff} = {\tt sampleRTT} {\tt EstRTT} \\$
- EstRTT = EstRTT +  $\delta$  X Diff
- Dev = Dev +  $\delta$  ( |Diff| Dev)
  - Initially settings for EstRTT and Dev given
  - δ is a factor between 0 and 1 (typical value is 0.125)

CS 640

#### Jacobson/ Karels contd.

- TimeOut =  $\mu$  X EstRTT +  $\phi$  X Dev
  - where  $\mu = 1$  and  $\phi = 4$
- When variance is small, TimeOut is close to EstRTT
- When variance is large Dev dominates the calculation
- Another benefit of this mechanism is that it is very efficient to implement in code (does not require floating point)
- Notes
- algorithm only as good as granularity of clock (500ms on Unix)
- accurate timeout mechanism important to congestion control (later)
- These issues have been studied and dealt with in new RFC's for RTO calculation.
- TCP RENO uses Jacobson/Karels