

# CS 537 - Lecture 9

1. Semaphores
  2. Implement our own semaphore.
  3. Deadlocks.
- 

## Concurrency

### 1) Locks

- HW locks
- SW locks

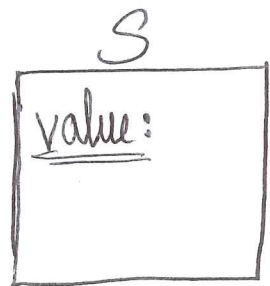
### 2) CVs

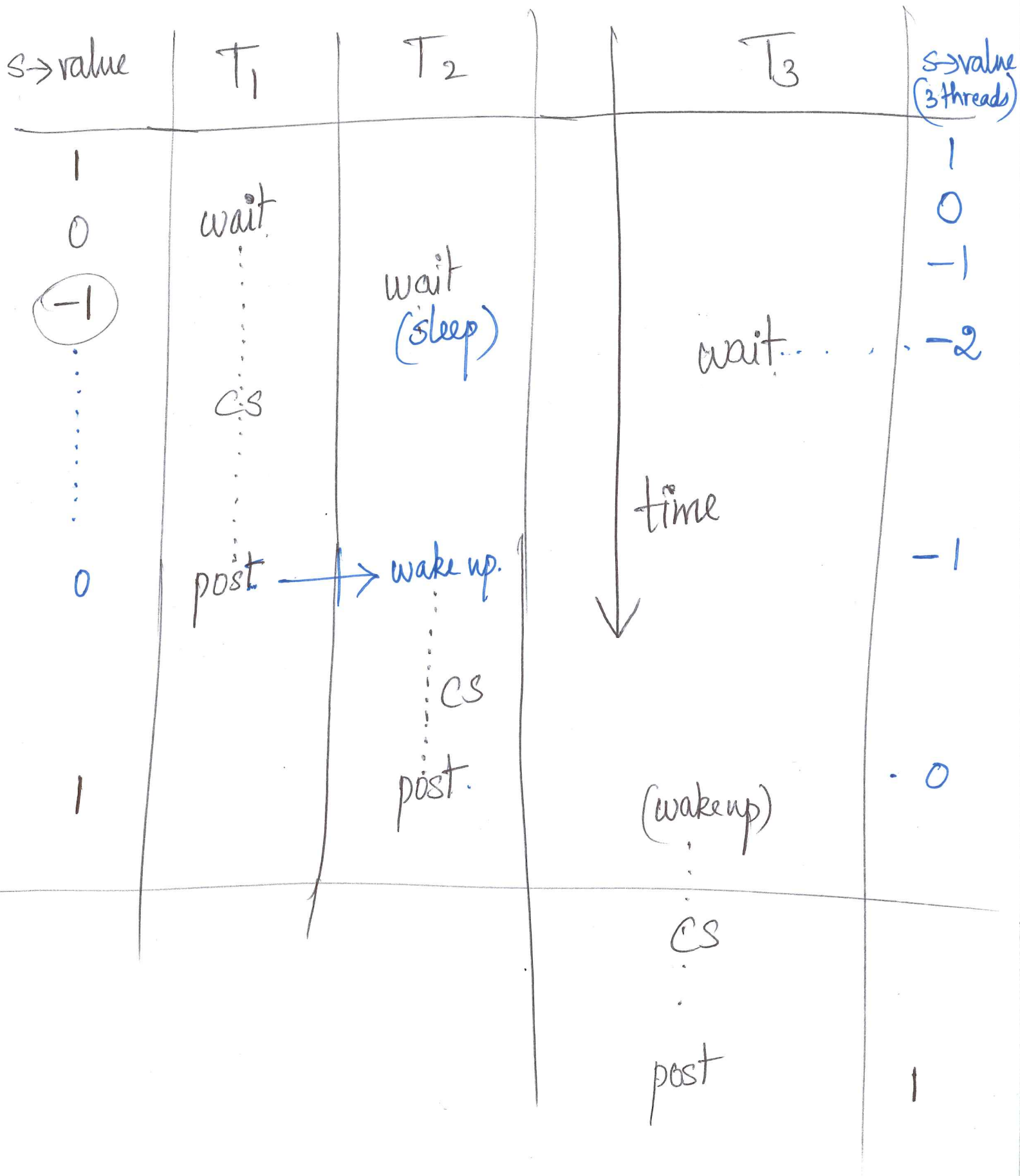
- ordering
- wait ( $c, m$ )
- signal ( $c$ )

### 3) PC problem.

```
1 //
2 // SEMAPHORE: PSEUDO-CODE
3 //
4 sem_init(sem_t *s, int initvalue) {
5     s->value = initvalue;
6 }
7
8 sem_wait(sem_t *s) {
9     s->value--;
10    if (s->value < 0)
11        put_self_to_sleep(); // put self to sleep
12 }
13
14 sem_post(sem_t *s) {
15     s->value++;
16     wake_one_waiting_thread(); // if there is one
17 }
18
19 //
20 // IMPORTANT: each is done atomically
21 // (i.e., body of post() and wait() happen all at once)
22 //
```

*sem\_init(&s, v);*





```

1 // Using a semaphore as a mutex lock!
2 #include <stdio.h>
3 #include <stdlib.h>
4 #include <string.h>
5 #include "mythreads.h"
6 #define PMAX (100)
7
8 volatile static int counter = 0;
9 sem_t lock;
10
11 void *worker(void *arg) {
12     int i;
13     // add something here to provide mutual exclusion (2)
14     sem_wait(&s);
15     for (i = 0; i < 1e6; i++)
16         counter++;
17     // something here: end mutex (3)
18     sem_post(&s);
19     return NULL;
20 }
21
22 int main(int argc, char *argv[]) {
23     if (argc != 2) {
24         fprintf(stderr, "usage: sem-lock <numthreads>\n");
25         exit(1);
26     }
27     int threads = atoi(argv[1]);
28     if (threads > PMAX) {
29         fprintf(stderr, "%d threads is the max\n", PMAX);
30         exit(1);
31     }
32
33     pthread_t pid[PMAX];
34     Sem_init(&lock, ???); // what value should we initialize here?
35     int i;
36
37     for (i = 0; i < threads; i++)
38         Pthread_create(&pid[i], NULL, worker, NULL);
39
40     for (i = 0; i < threads; i++)
41         Pthread_join(pid[i], NULL);
42
43     printf("counter: %d\n", counter);
44     return 0;
45 }

```

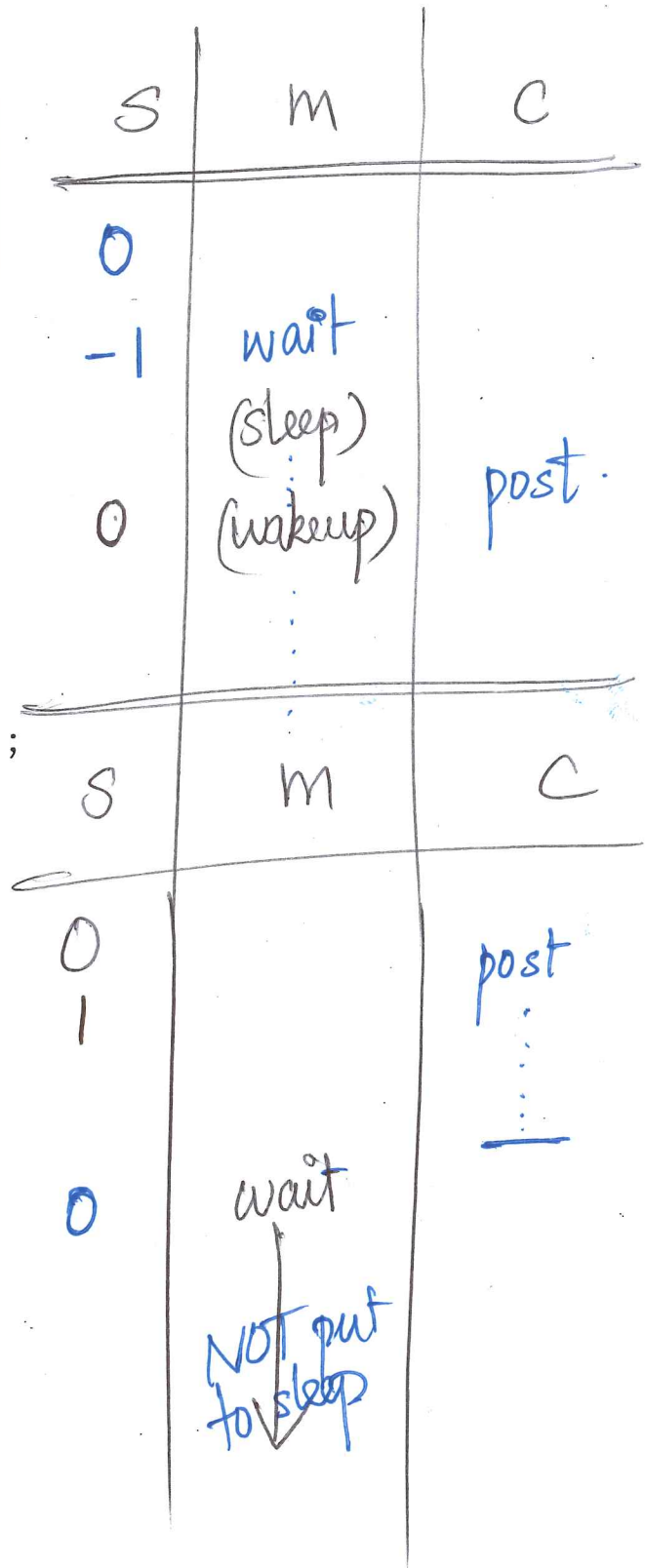
binary  
semaphores

```

1 // Using a semaphore for ordering!
2 #include <stdio.h>
3 #include <unistd.h>
4 #include <pthread.h>
5 #include "mythreads.h"
6
7 sem_t s; // global, shared
8
9 void *child(void *arg) {
10     printf("child\n");
11     // something here
12     → sem_post(&s);
13     return NULL;
14 }
15
16
17 int main(int argc, char *argv[]) {
18     pthread_t p;
19     printf("parent: begin\n");
20     // init here
21     → sem_init(&s, 0);
22
23     Pthread_create(&p, NULL, child, NULL);
24     // something here
25     → sem_wait(&s);
26
27     printf("parent: end\n");
28     return 0;
29 }

```

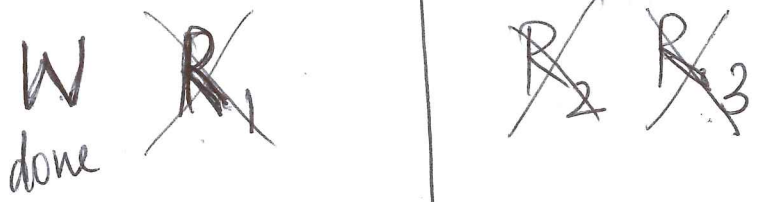
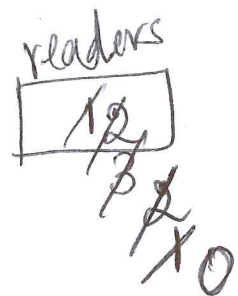
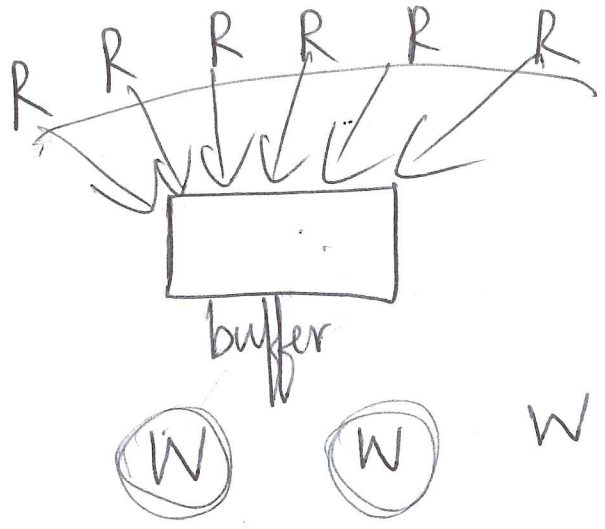
time ↓



```

1 //Reader-Writer Locks
2
3 typedef struct _rwlock_t {
4     sem_t writelock;
5     sem_t lock;
6     int readers;
7 } rwlock_t;
8
9 void rwlock_init(rwlock_t *L) {
10     L->readers = 0;
11     sem_init(&L->lock, 1);
12     sem_init(&L->writelock, 1);
13 }
14
15 void rwlock_acquire_readlock(rwlock_t *L) {
16     sem_wait(&L->lock); // a1
17     L->readers++; // a2
18     if (L->readers == 1) // a3
19         sem_wait(&L->writelock); // a4
20     sem_post(&L->lock); // a5
21 }
22
23 void rwlock_release_readlock(rwlock_t *L) {
24     sem_wait(&L->lock); // r1
25     L->readers--; // r2
26     if (L->readers == 0) // r3
27         sem_post(&L->writelock); // r4
28     sem_post(&L->lock); // r5
29 }
30
31 void rwlock_acquire_writelock(rwlock_t *L) {
32     sem_wait(&L->writelock);
33 }
34
35 void rwlock_release_writelock(rwlock_t *L) {
36     sem_post(&L->writelock);
37 }

```



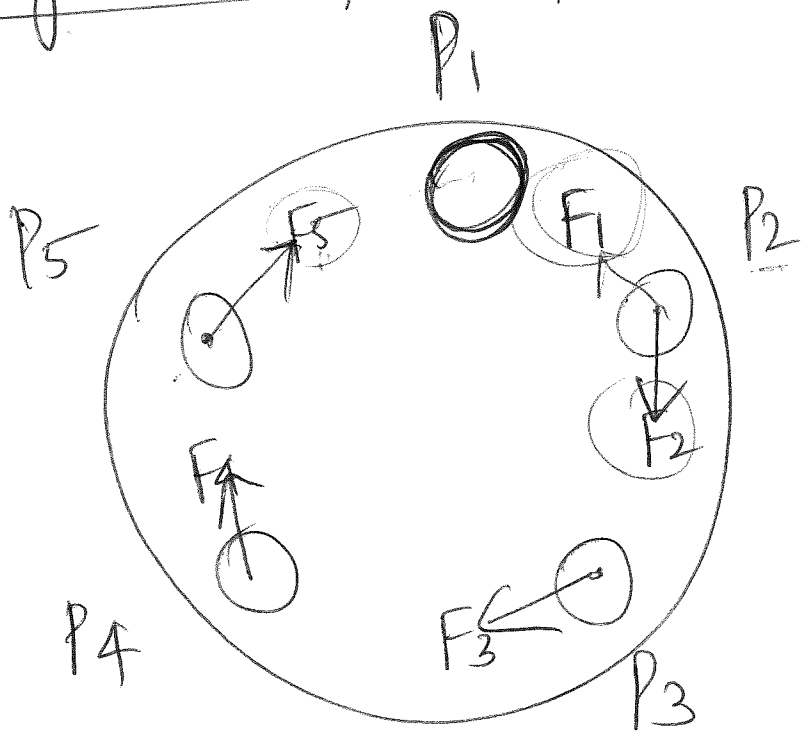
```
1 // Dining Philosophers Problem
2 // The basic setup for the problem is this.
3 // Assume there are five "philosophers" sitting around a table.
4 // Between each pair of philosophers is a single fork (and thus,
5 // five total). The philosophers each have times where they think,
6 // and don't need any forks, and times where they eat.
7 // In order to eat, a philosopher needs two forks, both the one
8 // on their left and the one on their right.
9
10 // Basic Loop for each philosopher
11 while (1) {
12     think();
13     getforks();
14     eat();
15     putforks();
16 }
17
18 // Helper Functions
19 int left(int p) {
20     return p;
21 }
22
23 int right(int p) {
24     return (p + 1) % 5;
25 }
26
27 // getforks() routine
28 void getforks() {
29     sem_wait (forks [left(p)]);
30     sem_wait (forks [right(p)]);
31
32     //
33 }
34
35
36 // putforks() routine
37 void putforks() {
38     sem_post (forks [left(p)]);
39     sem_post (forks [right(p)]);
40
41
42 }
43
```

sem\_t forks[5];

Handwritten annotations in the code block:
- Line 29: sem\_wait (forks [left(p)]);
- Line 30: sem\_wait (forks [right(p)]);
- Line 38: sem\_post (forks [left(p)]);
- Line 39: sem\_post (forks [right(p)]);
Arrows point from the original code to these handwritten versions.

$R_1$   $W_1$   $R_2$   $R_3$   $R_1$  done ...  $R_2$  done ...  $R_4$

# Dining Philosophers problem

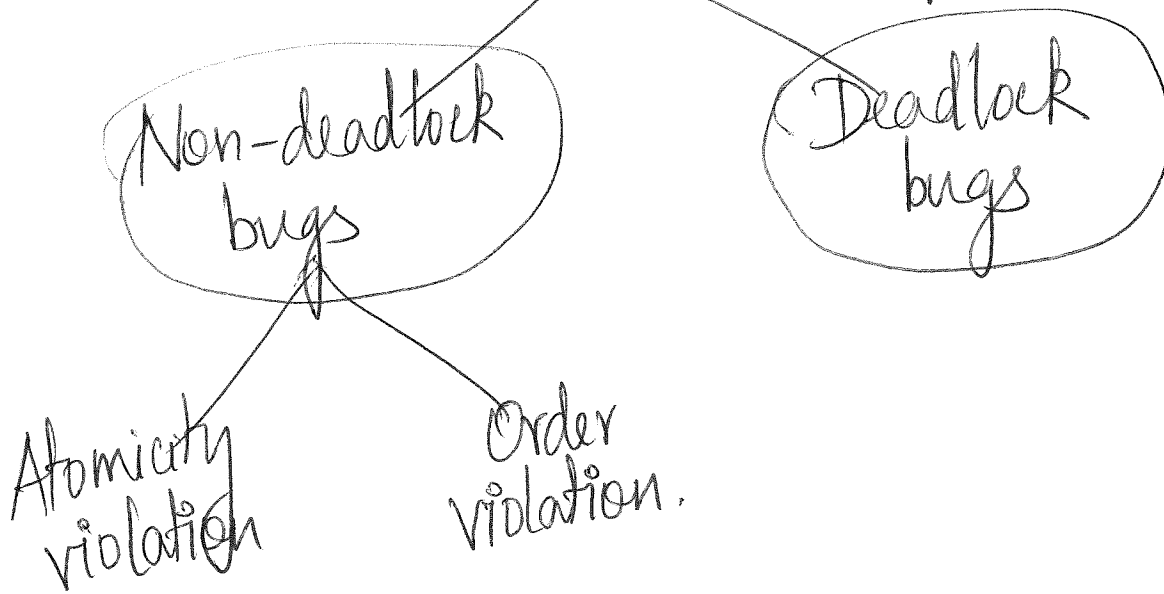


think ();  
 getforks ();  
 eat ();  
 putforks ();

$P_1 \rightarrow$  right, left  
 $P_2 - P_5 \rightarrow$  left, right

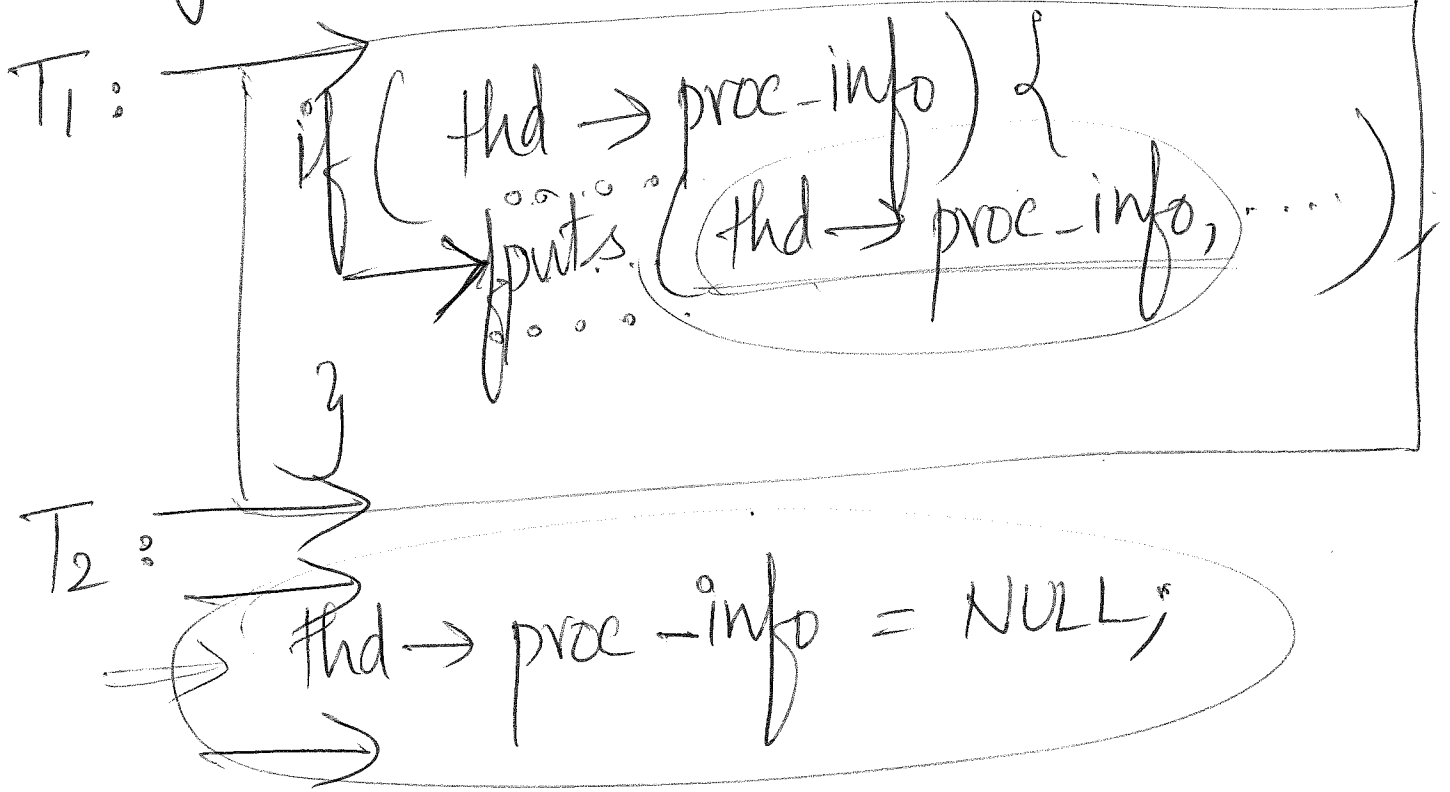


# Bugs in Concurrency



## Atomicity Violation

MySQL.



# Order violation bugs

T<sub>1</sub>:

```
void init() {
```

```
    ...  
    mThread = PR_CreateThread (mMain, ...);
```

```
}
```

T<sub>2</sub>:

```
void mMain (...) {
```

```
    ...  
    mState = mThread->state;
```

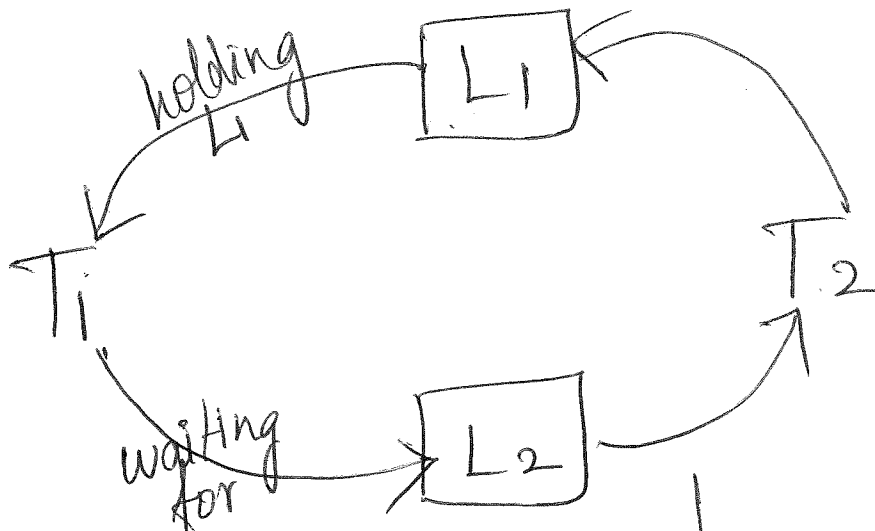
```
}
```

T<sub>2</sub> ... T<sub>1</sub>

# Deadlocks

$T_1$ : lock( $L_1$ )  
→ lock( $L_2$ )

$T_2$ : lock( $L_2$ )  
→ lock( $L_1$ )



Conditions for deadlock

1. Mutual exclusion
2. Hold-and-wait
3. No preemption.
4. Circular Wait.

$\textcircled{V_1}$ . add( $V_2$ );

$V_2$ . add( $V_1$ );

# Prevention

## ① Circular Wait

$L_1, L_2, L_3$   
—————→  
order

→ total ordering of lock acquisition

→ partial " " " "

$L_1, L_2, L_3$

$L_7, L_8, L_9$

---

## ② Hold-and-wait

$T_1$

lock(g);

lock(l<sub>1</sub>);

lock(l<sub>2</sub>);

unlock(g);

$T_2$

lock(g);

lock(l<sub>2</sub>);

lock(l<sub>1</sub>);

unlock(g);

③. No preemption

$T_1$

top:  
a<sub>1</sub> lock(L<sub>1</sub>);  
a<sub>2</sub> if (trylock(L<sub>2</sub>) != 0) {  
a<sub>3</sub>     unlock(L<sub>1</sub>);  
a<sub>4</sub>     goto top;  
      }

$T_2$

top:  
b<sub>1</sub> lock(L<sub>2</sub>);  
b<sub>2</sub> if (trylock(L<sub>1</sub>) != 0) {  
b<sub>3</sub>     unlock(L<sub>2</sub>);  
b<sub>4</sub>     goto top;  
      }

a<sub>1</sub> b<sub>1</sub> a<sub>2</sub> b<sub>2</sub> a<sub>3</sub> b<sub>3</sub> a<sub>4</sub> b<sub>4</sub>

Live-lock

## ④ Mutual Exclusion

lock-free data structures  
wait.

```
int CompareAndSwap(int *addr, int exp, int new)
{
    if (*addr == exp) {
        *addr = new;
        return 1; // success
    }
    return 0;
}
```

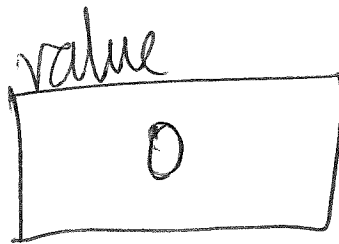
```
void AtomicIncrement(int *value, int amt) {
```

```
do {
```

```
    int old = *value;
```

```
    while (CAS(value, old, old + amt));
```

```
}
```



```
void insert (int value) {  
    node_t *n = malloc (sizeof (node_t));  
    assert (n != NULL);  
    n->value = value;
```

```
    n->next = head;  
    head = n;
```

```
}
```

```
do {
```

```
    n->next = head;
```

```
    } while (CAS (&head, expected n->next, n) == 0);
```



