

# Learning from Human-Generated Lists

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## Example 1:

“List examples of animals without repetition for 60 seconds.”



Order	Item
1	dog
2	cat
3	<b>tiger</b>
4	cow
...	...
7	lion
8	<b>tiger</b>
9	bear
...	...
11	armadillo

# Example 1: Verbal Fluency

“List examples of animals without repetition for 60 seconds.”



Order	Item
1	dog
2	cat
3	<b>tiger</b>
4	cow
...	...
7	lion
8	<b>tiger</b>
9	bear
...	...
11	armadillo

## Example 2: Feature Volunteering

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- Simple rules: e.g. skates  $\Rightarrow$  hockey
  - IF a document contains the word **skates**, THEN label the document as **hockey**.

## Example 2: Feature Volunteering

Type a word (or 2–3 word phrase) in the text box below.

Then, click a category button to say your word is related to that category.  
Provide as many words as you can to accurately classify documents with those words  
into each category.

When you are all done proposing words, click submit.

**basketball**

**hockey**

**football**

**soccer**

**baseball**

**submit »**

## Example 2: Feature Volunteering

Type a word (or 2–3 word phrase) in the text box below.

Then, click a category button to say your word is related to that category.  
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into each category.

When you are all done proposing words, click submit.

**basketball**

**hockey**

**football**

**soccer**

**baseball**

**submit »**

## Example 2: Feature Volunteering

Type a word (or 2–3 word phrase) in the text box below.

Then, click a category button to say your word is related to that category.  
Provide as many words as you can to accurately classify documents with those words  
into each category.

When you are all done proposing words, click submit.

**basketball**

**hockey**

**football**

**soccer**

**baseball**

puck

**submit »**

# Example 2: Feature Volunteering

Type a word (or 2-3 word phrase) in the text box below.

Then, click a category button to say your word is related to that category.  
Provide as many words as you can to accurately classify documents with those words  
into each category.

When you are all done proposing words, click submit.

**basketball**

basketball  
hoop  
dribble  
jump ball  
air ball  
freethrows  
traveling

**hockey**

puck  
goal  
goalie  
ice

**football**

fieldgoal  
football  
touchdown  
touchback  
safety  
pass  
interference

**soccer**

goalie  
goal  
fifa

**baseball**

baseball  
bases  
homerun  
umpire  
innings  
strikes  
foul

**submit »**



## Example 2: Feature Volunteering

Order	Item
1	baseball bat ⇒ Baseball
...	...
7	quarterback ⇒ Football
8	<b>football field ⇒ Football</b>
9	soccer ball ⇒ Soccer
...	...
23	basketball court ⇒ Basketball
24	<b>football field ⇒ Football</b>
25	soccer field ⇒ Soccer
...	...

# Characteristics of Human-Generated Lists

- Order matters
- Repeats happen

Order	Item
1	dog
2	cat

Order	Item
1	baseball bat ⇒ Baseball
...	...

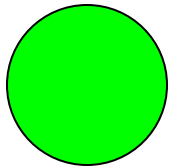
## Sampling **W**ith **R**educed rep**L**acement (**SWIRL**)

7	lion
8	<b>tiger</b>
9	bear
...	...
11	armadillo

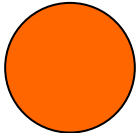
...	...
23	basketball court ⇒ Basketball
24	<b>football field ⇒ Football</b>
25	soccer field ⇒ Soccer
...	...

# SWIRL (Sampling With Reduced repLacement)

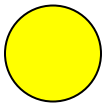
- $s_i$ : size of the ball  $i$



$$s_{green} = 5$$



$$s_{orange} = 4$$



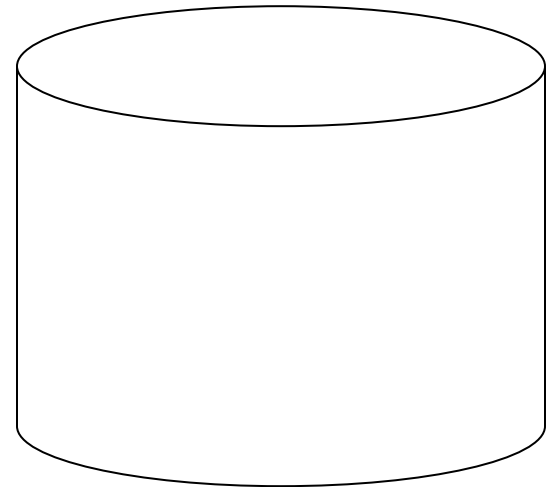
$$s_{yellow} = 2.5$$



$$s_{blue} = 1$$

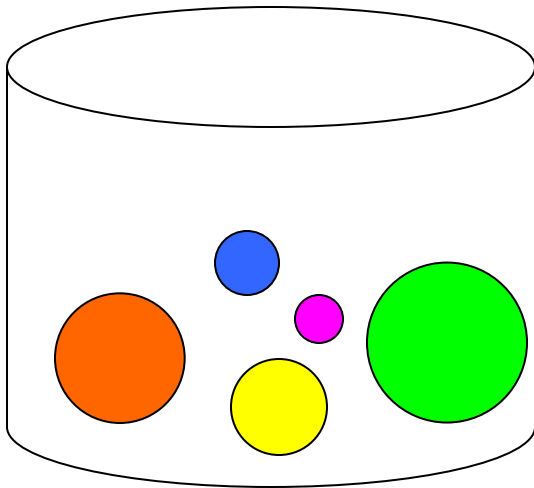


$$s_{pink} = 0.5$$



# SWIRL (Sampling With Reduced repLacement)

- $s_i$ : size of the ball  $i$
- iteration 1:

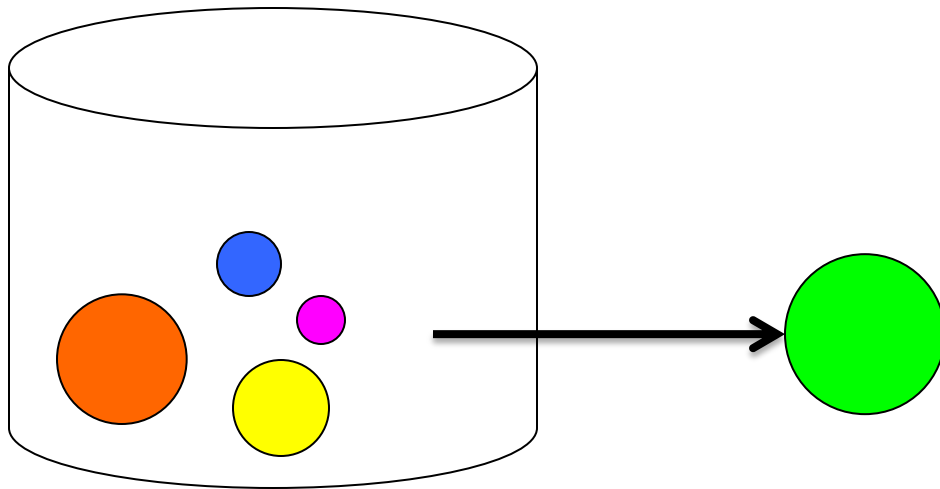


Probability  $\propto$  Size

Order	Item

# SWIRL (Sampling With Reduced repLacement)

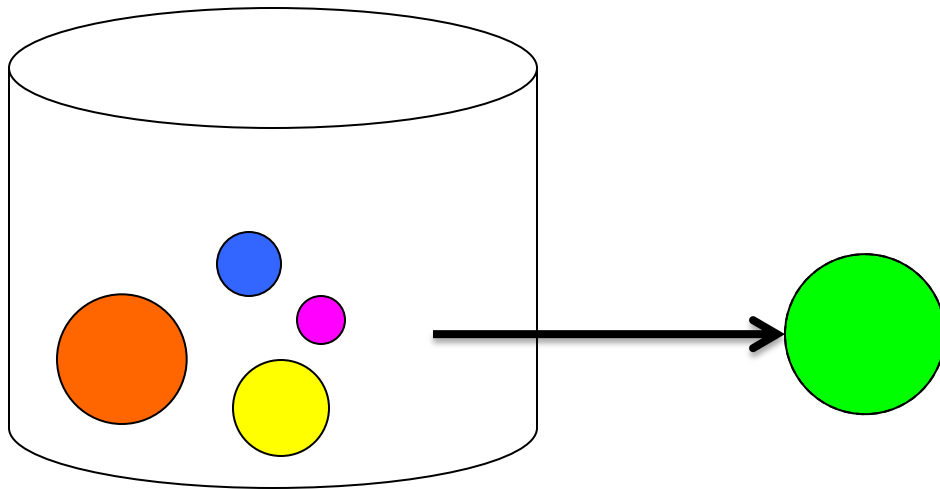
- $s_i$ : size of the ball  $i$
- iteration 1:



Order	Item

# SWIRL (Sampling With Reduced repLacement)

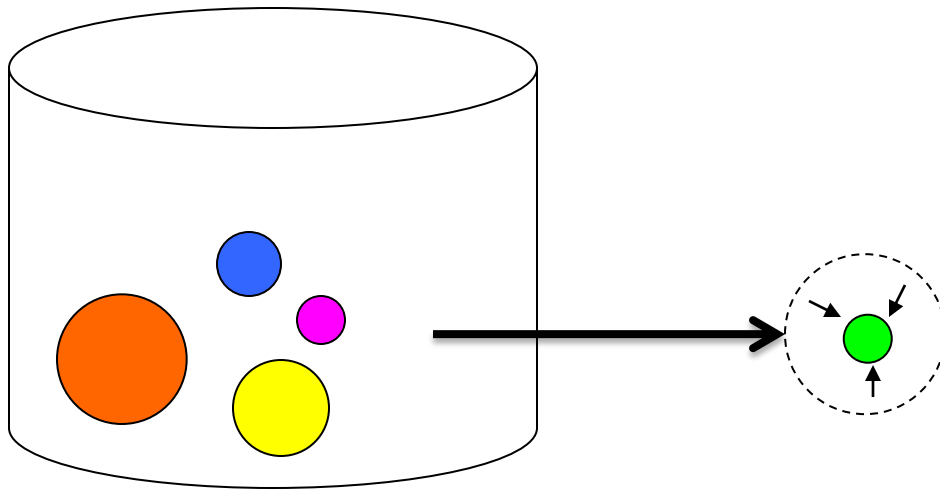
- $s_i$ : size of the ball  $i$
- iteration 1:



Order	Item
1	Green

# SWIRL (Sampling With Reduced repLacement)

- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 1:

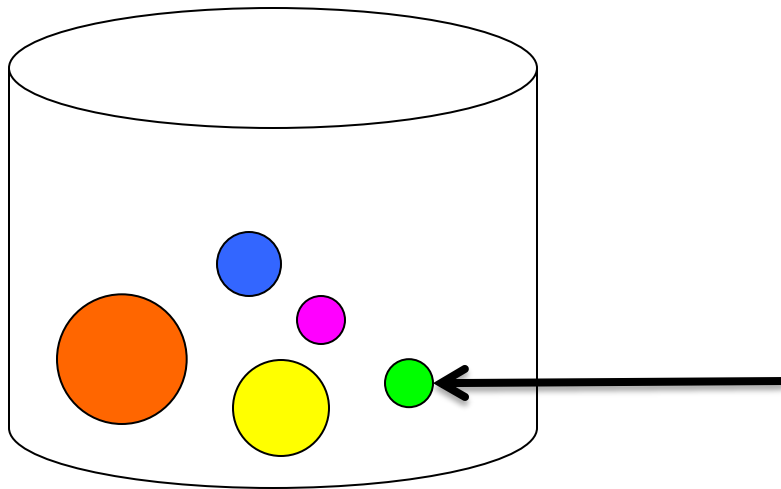


Order	Item
1	Green

$$s_{\text{green}} \leftarrow \alpha s_{\text{green}}$$

# SWIRL (Sampling With Reduced replacement)

- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 1:

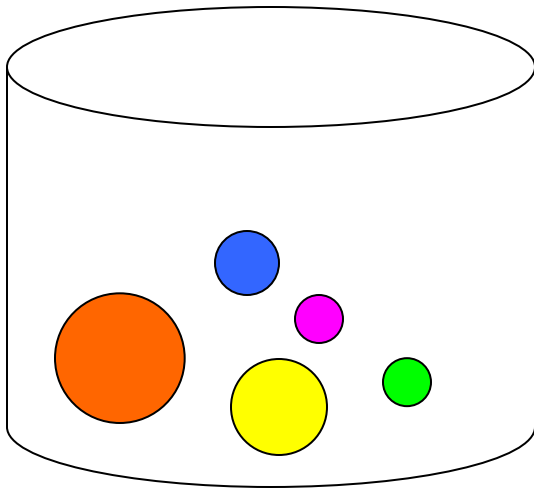


Order	Item
1	Green



# SWIRL (Sampling With Reduced replacement)

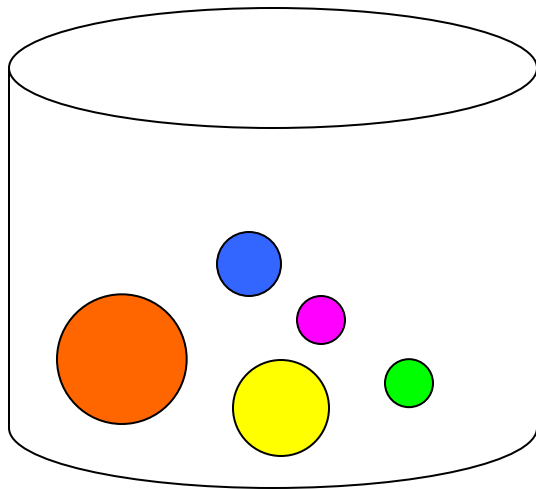
- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 1:



Order	Item
1	Green

# SWIRL (Sampling With Reduced repLacement)

- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 2:

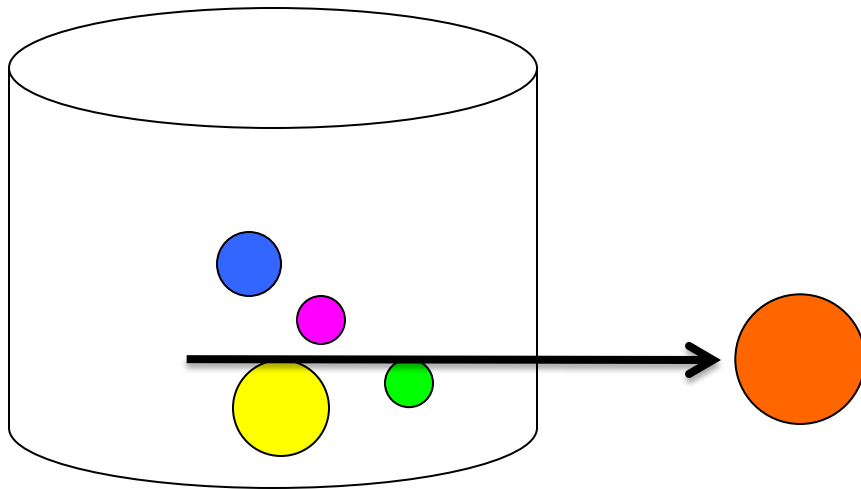


Probability  $\propto$  Size

Order	Item
1	Green

# SWIRL (Sampling With Reduced replacement)

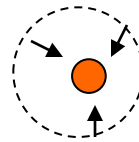
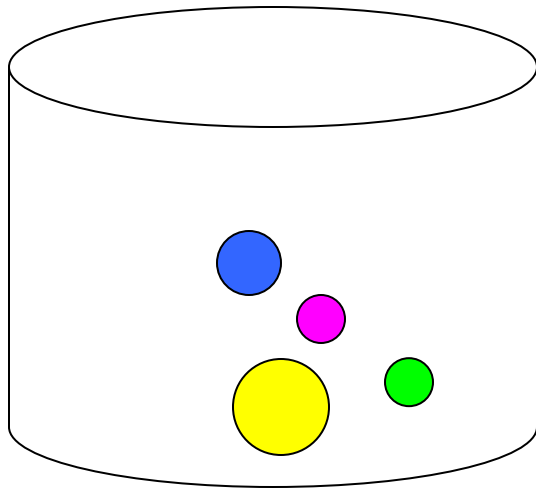
- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 2:



Order	Item
1	Green
2	Orange

# SWIRL (Sampling With Reduced replacement)

- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 2:

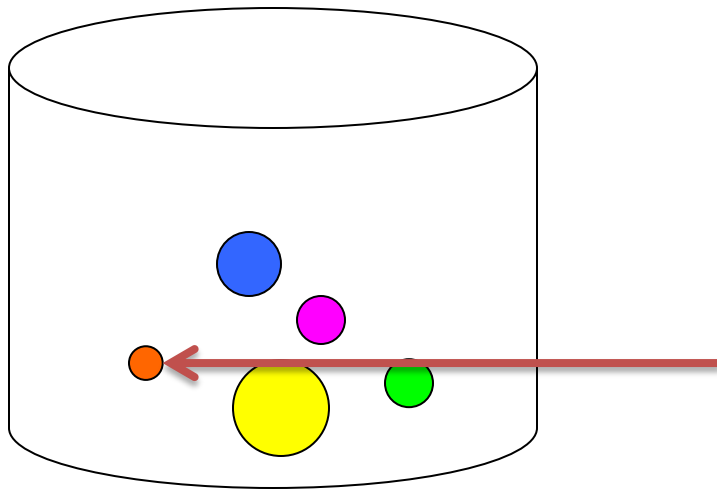


Order	Item
1	Green
2	Orange

$$S_{orange} \leftarrow \alpha S_{orange}$$

# SWIRL (Sampling With Reduced replacement)

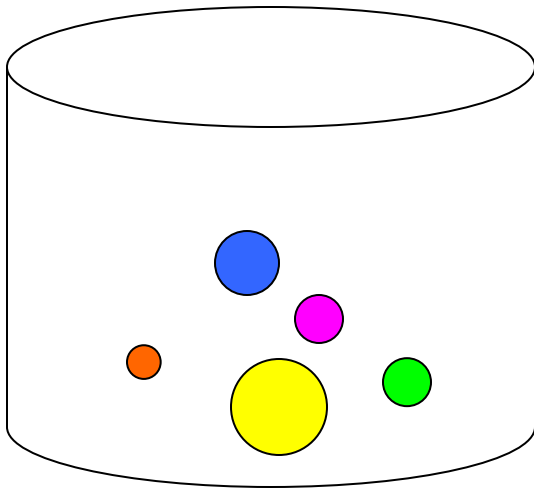
- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 2:



Order	Item
1	Green
2	Orange

# SWIRL (Sampling With Reduced replacement)

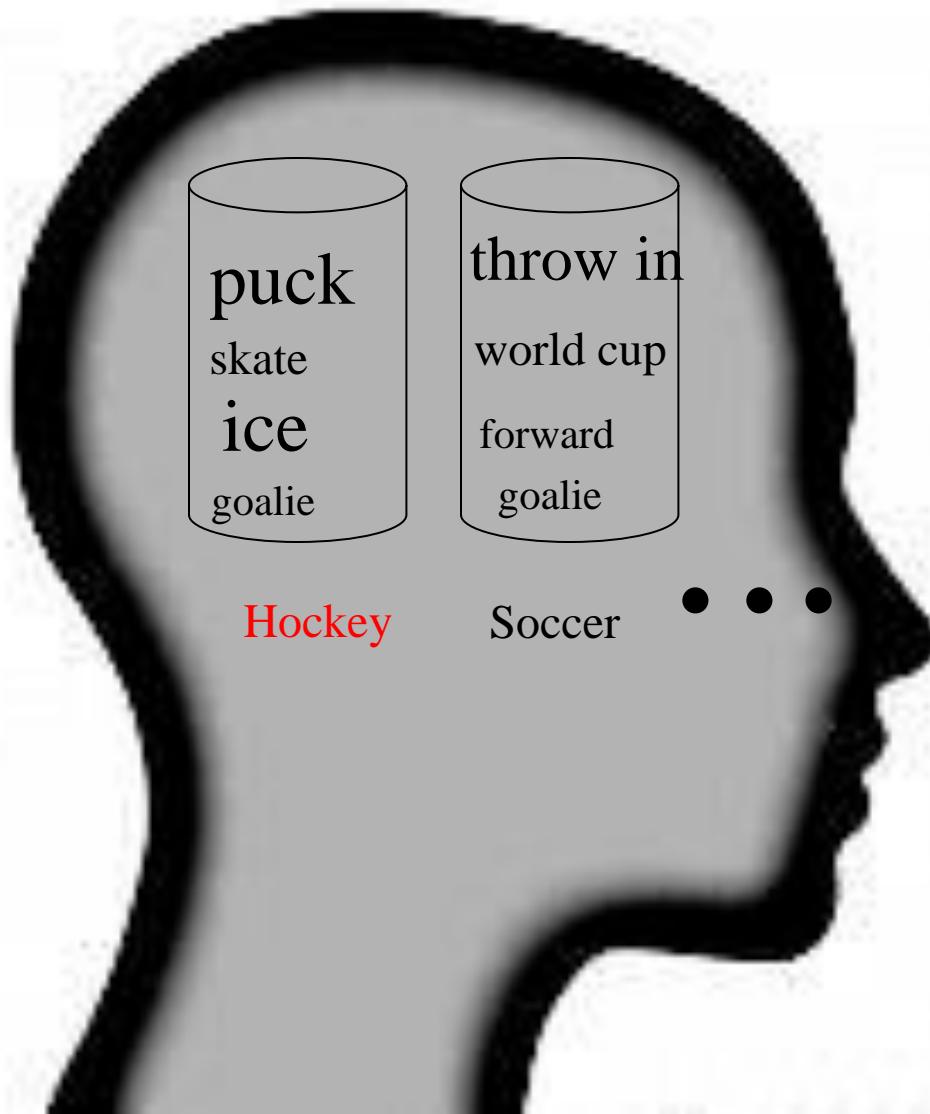
- $s_i$ : size of the ball  $i$
- $\alpha$ : discount factor
- iteration 2:



Order	Item
1	Green
2	Orange
...	...



# SWIRL for Feature Volunteering



## Sports

Order	Item
1	











# SWIRL Algorithm

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- Input:  $\mathbf{s} = \{s_i \mid i \in V\}, \lambda, \alpha$
- $n \sim \text{Poisson}(\lambda)$
- **for**  $t = 1, \dots, n$  **do**
  - $z_t \sim \text{Multinomial}\left(\frac{s_i}{\sum_{j \in V} s_j} \mid i \in V\right)$
  - $s_{z_t} \leftarrow \alpha s_{z_t}$
- **end for**
- Output:  $(z_1, \dots, z_n)$

# Maximum Likelihood Estimate

- Observed Lists:  $\mathbf{z}^{(1)} = (z_1^{(1)}, \dots, z_{n^{(1)}}^{(1)})$ ,  $\dots$ ,  $\mathbf{z}^{(N)} = (z_1^{(N)}, \dots, z_{n^{(N)}}^{(N)})$
- $n^{(j)}$ : list length of  $\mathbf{z}^{(j)}$

$$\ell = \sum_{j=1}^N n^{(j)} \log \lambda - \lambda + \sum_{t=1}^{n^{(j)}} \log P \left( z_t^{(j)} \mid z_{1:t-1}^{(j)}, \mathbf{s}, \alpha \right)$$

- MLE =  $(\hat{\lambda}, \hat{\alpha}, \hat{\mathbf{s}})$
- Optimization
  - $s$  is scale invariant: constrain most frequent item's size to 1
  - L-BFGS
  - Concave log likelihood

# Application 1: Learning by Feature Volunteering

- Train a text classifier by volunteering feature-label pairs
  - Generalized Expectation (GE) [Druck08]
  - Informative Dirichlet Prior (IDP) [Settles11]

Type a word (or 2-3 word phrase) in the text box below.  
Then, click a category button to say your word is related to that category.  
Provide as many words as you can to accurately classify documents with those words into each category.  
When you are all done proposing words, click submit.

skates

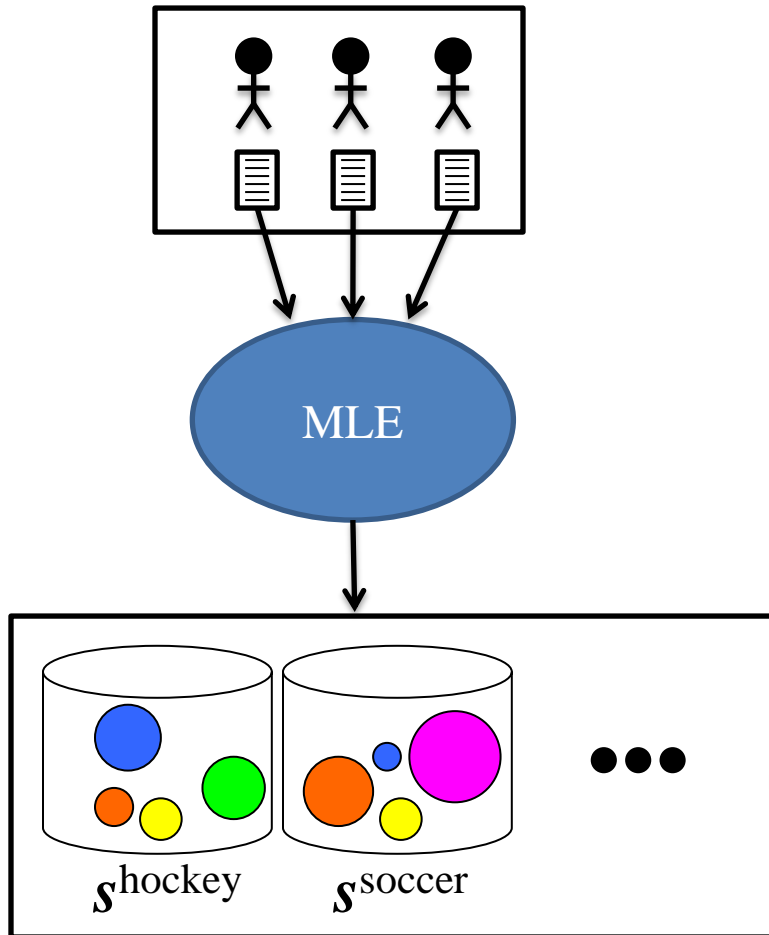
<b>basketball</b> basketball hoop dribble jump ball air ball freethrows traveling	<b>hockey</b> puck goal goalie ice	<b>football</b> fieldgoal football touchdown touchback safety pass interference	<b>soccer</b> goalie goal fifa	<b>baseball</b> baseball bases homerun umpire innings strikes foul
--	--	--	---	---

submit »

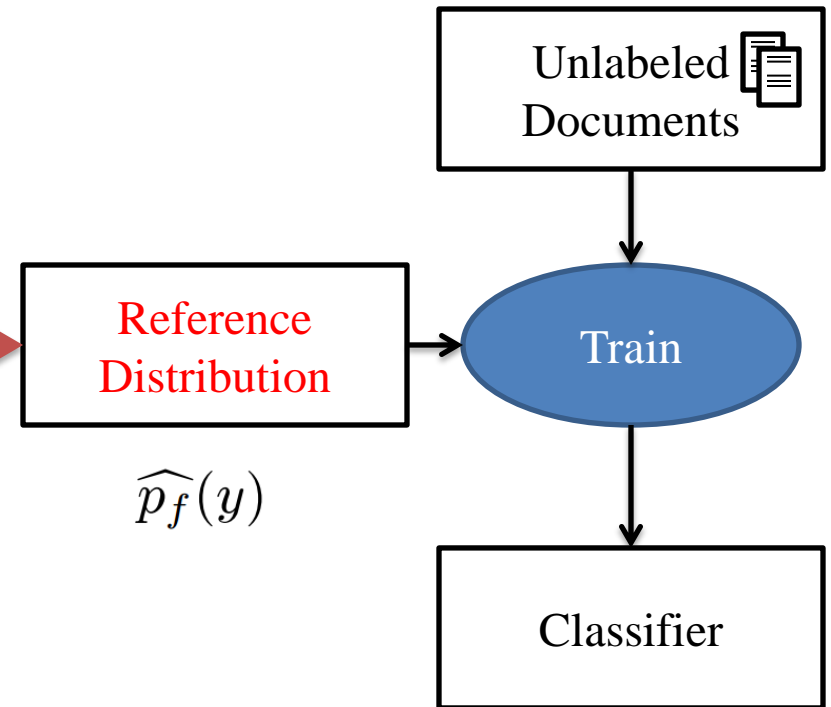
Order	Item
1	baseball bat ⇒ <b>Baseball</b>
...	...
7	quarterback ⇒ <b>Football</b>
8	<b>football field</b> ⇒ <b>Football</b>
9	soccer ball ⇒ <b>Soccer</b>
...	...
23	basketball court ⇒ <b>Basketball</b>
24	<b>football field</b> ⇒ <b>Football</b>
25	soccer field ⇒ <b>Soccer</b>
...	...

# Application 1: Learning by Feature Volunteering

## SWIRL



## Generalized Expectation (GE)



$$\hat{p}_f(y) = \frac{s_{f \Rightarrow y}}{\sum_{y' \in \mathcal{Y}} s_{f \Rightarrow y'}}; \forall f \in \mathcal{F}$$

, where  $\mathcal{Y}$  is the set of class labels.



# Application 1: Learning by Feature Volunteering

Domain	Class Labels	Lists		Documents		Reference Distributions			FV
		$N$	$ \mathcal{F} $	$ \mathcal{U} $	$ \mathcal{F}^+ $	SWIRL	Equal	Schapire	
sports	baseball, basketball, football, hockey, soccer	52	594	1123	2948	<b>0.865</b>	0.847	0.795	<b>0.875</b>
movies	negative, positive	27	382	2000	2514	<b>0.733</b>	<b>0.733</b>	<b>0.725</b>	0.681
webkb	course, faculty, project, student	56	961	4199	2521	<b>0.463</b>	0.444	0.429	0.426

$N$ : the # of subjects,  $\mathcal{F}$ : the set of features (phrases) volunteered in  $N$  lists,  $\mathcal{U}$ : the set of unlabeled documents, and  $\mathcal{F}^+$ : union of  $\mathcal{F}$  and unigrams in  $\mathcal{U}$ .

**SWIRL:**  $\widehat{p}_f(y) = \frac{s_{f \Rightarrow y}}{\sum_{y' \in \mathcal{Y}} s_{f \Rightarrow y'}}; \forall f \in \mathcal{F}$ , where  $\mathcal{Y}$  is the set of class labels.

**Equal:**  $\widehat{p}_f(y) = \frac{\mathbb{1}\{s_{f \Rightarrow y} > 0\}}{\sum_{y' \in \mathcal{Y}} \mathbb{1}\{s_{f \Rightarrow y'} > 0\}}; \forall f \in \mathcal{F}$

**Schapire:** Smoothed **Equal** used in [Druck08]

**FV:** Feature Voting. Non-GE baseline.

## Application 2: Verbal Fluency

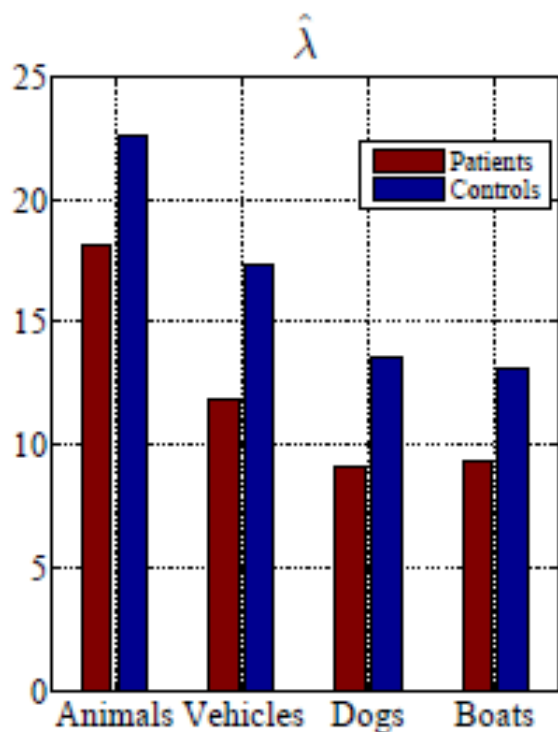
“List examples of animals without repetition for 60 seconds.”

- 27 patients / 24 healthy people
- Categories:
  - animals
  - vehicles
  - dogs
  - boats

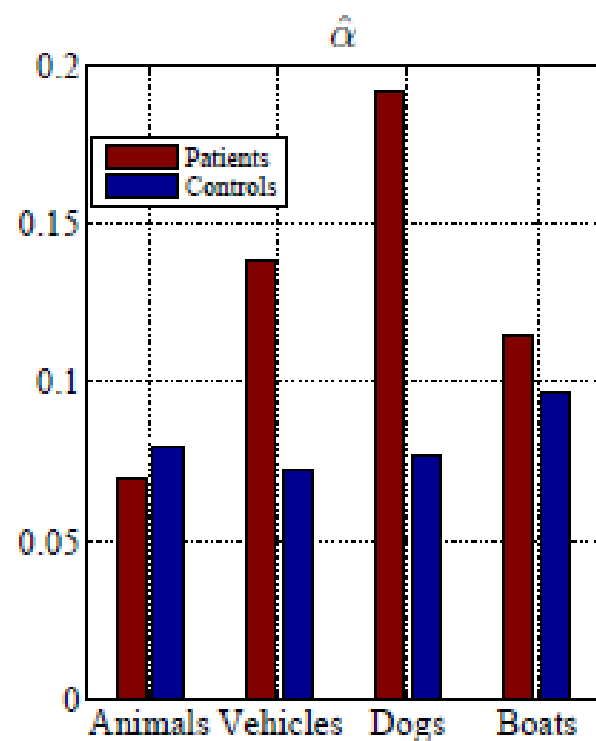
Order	Item
1	dog
2	cat
3	<b>tiger</b>
4	cow
...	...
7	lion
8	<b>tiger</b>
9	bear
...	...
11	armadillo

# Application 2: Verbal Fluency

$$(\hat{\lambda}, \hat{\alpha}, \hat{\mathbf{s}})_{patients} \text{ vs. } (\hat{\lambda}, \hat{\alpha}, \hat{\mathbf{s}})_{healthy}$$



Item	SP	SC	SW
cat	.15	.13	.05
dog	.17	.11	.08
lion	.04	.04	.01
tiger	.04	.03	.01
bird	.04	.02	.03
elephant	.03	.03	.01
zebra	.01	.04	.00
bear	.03	.03	.03
snake	.02	.02	.01
horse	.02	.03	.06
⋮	⋮	⋮	⋮



# Application 2: Verbal Fluency

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- Patient vs. healthy classification

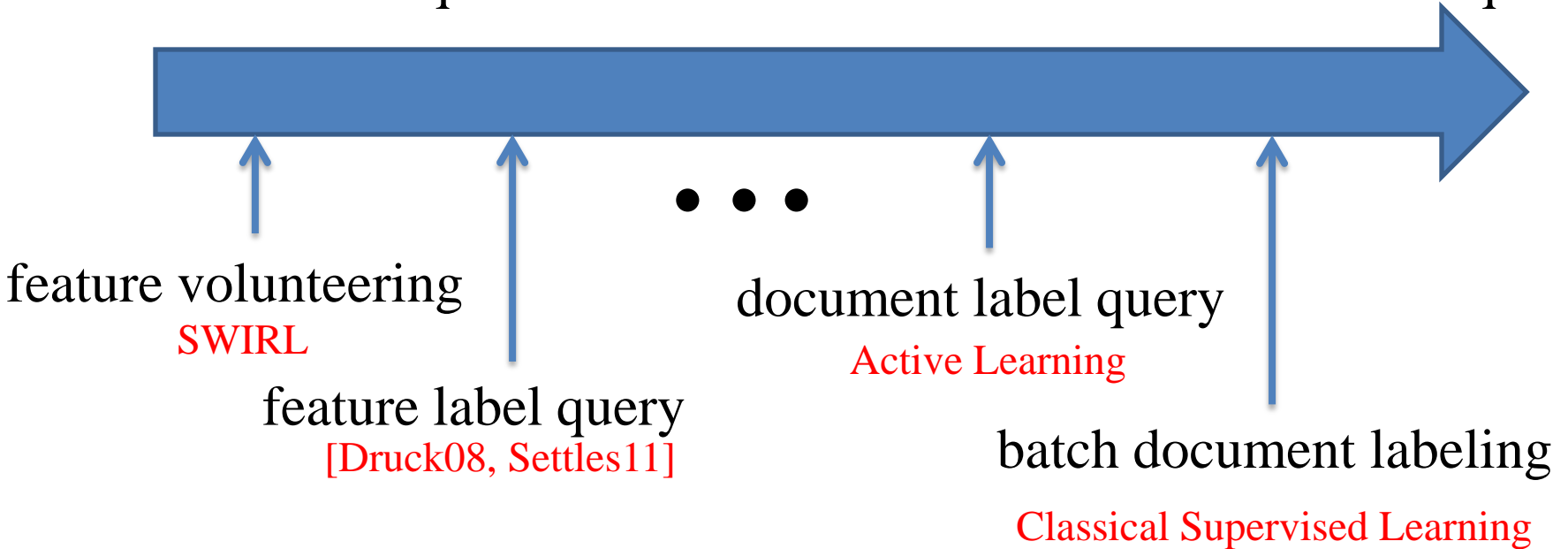
Animals	Vehicles	Dogs	Boats	Majority Vote
0.647	0.706	<b>0.784</b>	0.627	0.529

# Future Work

- Supervision pipeline

less resource required

more resource required



# Future Work

- More applications
  - e.g. Hashtags



## Tags

salisbury collection salisbury collection  
slide old photo 35mm camera found  
found slide found slides 1959 slides  
vintage car cars car ferry ferry  
tellsprung mountain mountains june 1959  
june europe 1959 their car

# Future Work

- Hierarchical SWIRL:  
individual level parameters  
as well as group level  
parameters.
- Structured SWIRL: “runs” of  
semantically-related items

Order	Item
1	dog
2	cat
3	tiger
4	cow
...	...
7	lion
8	tiger
9	bear
...	...
11	armadillo

# Conclusion

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Human-generated lists are interesting,  
and SWIRL can make them useful!

Code & data are available at: <http://pages.cs.wisc.edu/~deltakam> (or google “Kwang-Sung Jun”).

## Acknowledgements

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