CS 880: Complexity of Counting Problems

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Lecture 13:

Instructor: Jin-Yi Cai

Scribe: Yanpei (Nick) Liu

## 1 Last Time

Last time we proved the problem ANTICHAIN is #P hard by using the facts that both VC and IDS are #P hard.

## 2 This Time

Given H and G, recall that the number of graph homomorphism from G to H can be expressed as follows:

$$Z_H(G) = \sum_{\sigma: V_G \to V_H} \prod_{e=\{u,v\} \in E_G} H(\sigma(u), \sigma(v)).$$

We first prove the following lemma.

**Lemma 1.** The graph homomorphism is #P hard for the following H:

$$H = \left(\begin{array}{rrrr} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{array}\right).$$

Note that the matrix H specifies that "1" cannot reach "0", as shown in Fig. 1

*Proof.* Given a partial order P = (V, E) being a directed acyclic graph, we construct  $P' : V^{(1)} \cup V^{(2)}$  where  $V^{(1)}$  and  $V^{(2)}$  are two disjoint copies of P. First, for all p we make  $p^{(1)} \rightarrow p^{(2)}$ . Next for all p < q in the partial order, make  $p^{(1)} \rightarrow q^{(1)}, p^{(1)} \rightarrow q^{(2)}, p^{(2)} \rightarrow q^{(1)}$ 



Figure 1: Graphical relationship. Note "1" cannot reach "0"

and  $p^{(2)} \to q^{(2)}$  as show in Fig. 2. Define  $K = \{p \in P \mid \sigma(p^{(1)}) = "1" \text{ or } \sigma(p^{(2)}) = "1"\}$ , in order words, "1"  $\in \{\sigma(p^{(1)}), \sigma(p^{(2)})\}$ . Also define  $K' = \{p \in K \mid p \text{ is a minimal in } K\}$ .

Since we have  $p^{(1)} \to p^{(2)}$ , there can only be four cases, i.e., "1"  $\to$  "1", "1"  $\to$  "2", "0"  $\to$  "1" and "2"  $\to$  "1". Above K (meaning the filter of K', i.e., those x such that there is some  $p \in K'$  such that  $p \leq x$ ) we can only have "1" and "2" but not "0". Below K'(meaning  $P - \{$ filter of  $K'\}$ ) we can only have "0" and "2" but not "1". All these choices are valid. K' is the ANTICHAIN problem and we have  $Z_H(P')$  equivalent to the problem of counting ANTICHAIN, namely the value is the number of ANTICHAINs in P times  $4^{|V|}$ . Every ANTICHAIN in P corresponds to some K' for a certain valid assignment.

We next prove another lemma in which H takes a more general form.

**Lemma 2.** Let H have the following form:

$$H = \begin{pmatrix} J_{aa} & J_{ab} & J_{ac} \\ O_{ba} & J_{bb} & J_{bc} \\ J_{ca} & J_{cb} & J_{cc} \end{pmatrix},$$

where  $J_{ij}(O_{ij})$  denotes the  $i \times j$  matrix with all entries being 1(0). Let a, b > 0 and  $c \ge 0$ , then  $Z_H$  is #P hard.

*Proof.* We prove by reducing from the problem considered in Lemma 1. Let

$$H_1 = \left(\begin{array}{rrrr} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{array}\right)$$

We further assume c > 0 (the case c = 0 is left as an exercise).

Given an input graph G for  $Z_{H_1}$  we construct  $G^{(k,l)}$  such that each vertex in G grows out l vertices and grows in k vertices (see Fig. 3).

Note that the first row/column in H can be interpreted as the "0", the second row/column in H can be interpreted as the "1" and the third row/column in H can be interpreted as the "2". Therefore, the assignment function  $\sigma$  for  $G^{(k,l)}$  can be seen as an extension to the one for G.



Figure 2:

Let  $N_{xyz}$  denote the number assignments with value 1 in  $Z_{H_1}(G)$  where x many vertices of V(G) are assigned "0", y many vertices of V(G) are assigned "1" and z many vertices of V(G) are assigned "2". The value for  $Z_{H_1}(G)$  is  $\sum_{x+y+z=|G|} N_{xyz}$ . Then the value for  $Z_H(G^{(k,l)})$  is

To see how we obtain this, consider a "0" vertex. There are many different ways for it to be assigned in the domain of H. This gives the factor of  $a^x$  for all the "0" vertices. For each "0" vertex, it has l out-grown edges. Since "0" can go to "0", "1", "2", this gives  $(a+b+c)^l$  choices for those l out-grown edges and  $(a+b+c)^{xl}$  over all "0" vertices. Also, for each "0" vertex, there are k in-grown edges. They must avoid being assigned as "1" as "1" cannot go to "0". Thus we get the factor  $(a+c)^{xk}$ . These derivation carry over to all y "1"-assigned vertices and z "2"-assigned vertices.

It can be reduced to (by taking out a common constant factor):

$$\sum_{x+y+z=|G|} N_{xyz} a^x b^y c^z \left(\frac{a+c}{a+b+c}\right)^{xk} \left(\frac{b+c}{a+b+c}\right)^{yl}$$

Setting l = kN,  $\frac{a+c}{a+b+c} = p$  and  $\frac{b+c}{a+b+c} = q$  we have

$$\sum_{\substack{0 \le x, y \le |G| \\ x+y \le |G| \\ z=|G|-x-y}} N_{xyz} a^x b^y c^z (p^x q^{yN})^k.$$

We set  $N > \log_{\frac{1}{q}}(\frac{1}{p})^{|G|}$  such that for all x and y with  $0 \le x + y \le |G|$ ,  $x, y \ge 0$  the  $p^x q^{yN}$  are pair-wise distinct. To derive the lower bound on N, we note that if



Figure 3:

then for any distinct pairs (x, y) and (x', y') satisfying  $0 \le x + y \le |G|, x, y \ge 0$ , we have  $p^x q^{yN} \ne p^{x'} q^{y'N}$ . This follows from simple algebra. Finally, we note that  $[(p^x q^{yN})^k]_{(x,y),k}$  is a Vandermonde system.

We start with a relational clone  $\langle \Gamma \rangle$  that is not rectangular thus have a reflexive, not symmetric and pp-definable domain R of minimum arity. By not symmetric we mean  $(a, b) \in R$  but  $(b, a) \notin R$  for some a and b. We then have the following properties:

- 1.  $\forall c, a \rightarrow c \rightarrow b$ .
- 2.  $\forall c$ , if  $c \to a$ , then  $\forall d \ c \to d$ .
- 3.  $\forall c$ , if  $c \not\rightarrow a$ , then  $\forall d \ d \rightarrow c$ .

Let  $F(x) = \exists y \exists z \ (y \to x \land C_a(y) \land x \to z \land C_b(z)$  where  $C_a(y)$  denotes the pinning of y on a. Define  $R' = R \cap (F \times F)$ . For all  $a, b \in R'$ , we can see that property 1 holds.

To show property 2 still holds, suppose otherwise, i.e.,  $\exists c, d \text{ s.t. } c \to a \land c \not\to d$ . Define the domain shrinkage as  $F'(x) = \exists y \ (C_c(y) \land y \to x)$ . This means that  $c \to x$  thus  $a, b \in R' \cap (F' \times F')$ . This contradicts the fact that R is minimum arity. Thus property 2 holds.

The work to show property 3 holds is left as homework.

Let  $D = \{c \in F \mid c \to a\}, B = F - D, D_d = \{c \in D \mid d \to c\} = \{a_1, a_2, \dots, a_k\}$  for  $d \in B, a \in D, b \in B, a \notin D_c$  and for all  $c \in B$ . Choose  $d \in B$  such that  $D_d$  is maximal. Set  $B' = \{c \in B \mid D_c = D_d\}$ , then  $x \in B' \cup D \Leftrightarrow \exists y_1, y_2, \dots, y_k \left(\bigwedge_{i=1}^k (x \to y_i) \land C_{a_i}(y_i)\right)$ . Then by letting  $\sigma' = R \cap (B' \cup D)^2$  we have a graphical relation represented shown in Fig. 1