# Locality of Queries Definable in Invariant First-Order Logic with Arbitrary Built-In Predicates

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## Motivation

Locality is a powerful tool for proving inexpressibility.

### Example

• On-A-Cycle is a property which is not r-local, for r = o(n).



- First-Order logic (FO) is constant-local.
- Therefore, On-A-Cycle is not definable in FO.

## What do we know about locality?

- FO is constant-local.
- Order-invariant FO is constant-local [Grohe-Schwentick].
- Arb-invariant FO is polylog(n)-local [us].
   Technique: reduction to Boolean circuit lower bounds.

# Background - Invariant FO

### Example

$$\phi := \exists x \exists y ((x + x = y) \land \forall z (z \le y)).$$

### Assume that:

ullet  $\leq$  is interpreted as a linear ordering.

This induces a bijection  $U \to \{1, 2, \dots, n\}$ .

ullet + is interpreted in the natural way w.r.t.  $\leq$ :

$$x, y, z \in U \rightarrow a, b, c \in \{1, 2, \dots, n\},$$
  
 $(x, y, z) \in + \text{ iff } a + b = c.$ 

Then  $\phi$  expresses whether the universe size is even.

The veracity of  $\phi$  is independent of the actual interpretation of  $\leq$ .

# Background – Invariant FO

## Formalizing this intuition:

- **1** Consider a set of numerical predicates symbols S.
- **2** For each  $n \in \mathbb{N}$  define an interpretation of  $\mathcal{S}$  over  $\{1,2,\ldots,n\}$ .
- 3 Selecting an interpretation of  $\leq$  as a linear order with respect to a universe U induces an interpretation of S over U.

### Definition

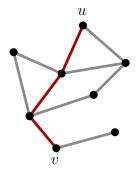
For a fixed interpretation of  $\mathcal S$  over  $\{1,2,\ldots,n\}$ , a formula  $\phi(x)$  of  $\mathsf{FO}(\leq,\mathcal S)$  is  $\mathcal S$ -invariant if for all graphs G and vertices a, the truth of  $\phi(a)$  on G is independent of the particular interpretation of the linear order  $\leq$ .

We focus on the case where  ${\cal S}$  is the set of arbitrary numerical predicates (Arb).

# Background – Graphs

Graph G := (V, E).

Distance D(u, v) – length of a shortest path between u, v in G.



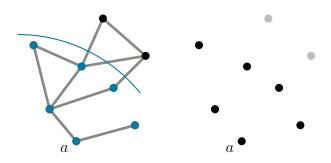
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Ball  $B_r(a)$  of radius r at a in G.

Neighborhood  $\mathcal{N}_r(a)$  of radius r at a in G.



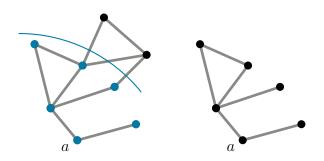
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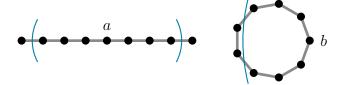


# Background - Gaifman Locality

We say that two neighborhoods are isomorphic

$$\mathcal{N}_r(a) \cong \mathcal{N}_r(b),$$

if there is an isomorphism  $\pi$  between the two that maps a to b.

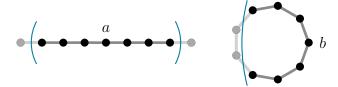


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#### Definition

Let  $f: \mathbb{N} \to \mathbb{R}_{\geq 0}$ . A formula  $\phi(x)$  is Gaifman f-local if for any large enough graph G with n vertices, and any two vertices a and b:

$$\mathcal{N}_{f(n)}(a) \cong \mathcal{N}_{f(n)}(b) \implies G \models \phi(a) \text{ iff } G \models \phi(b).$$

## Main Result

## Theorem (Main)

- **1** For each Arb-invariant FO formula  $\phi(x)$  there is a  $c \in \mathbb{N}$  such that the formula is Gaifman  $(\log n)^c$ -local.
- 2 For each  $c \in \mathbb{N}$  there is an Arb-invariant FO formula  $\phi(x)$  that is not Gaifman  $(\log n)^c$ -local.

## **Proof Outline**

### Proof Sketch of Part 1.

- 1 Suppose otherwise, then there is a formula  $\phi(x)$  that is not f-local for G, a and b.
- 2 Derive a formula  $\phi'(y)$  that is not  $\Omega(f)$ -local for G', a', and b' with  $D(a',b')=\Omega(f)$ .
- 3 Using  $\phi'(y)$ , construct a small constant-depth Boolean circuit computing parity on  $\Omega(f)$  bits.
- 4 For some c depending on  $\phi$ , allowing  $f = \Omega((\log n)^c)$  contradicts known lower bounds.

# Background – Circuit Complexity

### **Fact**

Let  $\phi(x)$  be an Arb-invariant FO formula. There exists  $d \in \mathbb{N}$  and a Boolean circuit family  $(C_n)_{n \in \mathbb{N}}$  with depth d and size poly(n) such that for each graph G of size n, and vertex a,

$$C_n(G, a) = 1$$
 iff  $G \models \phi(a)$ .

## Lemma (Håstad)

For each  $d \in \mathbb{N}$  and large enough m there is no Boolean circuit with depth d and size  $2^{km^{1/(d-1)}}$  computing parity on m bits.

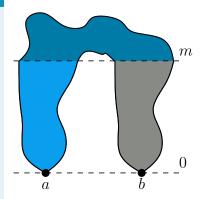
#### Lemma

Let  $\phi(x)$  be an Arb-invariant FO formula where  $G \models \phi(a) \land \neg \phi(b)$ ,  $\mathcal{N}_m(a) \cong \mathcal{N}_m(b)$ , and D(a,b) > 2m. For  $d \in \mathbb{N}$  depending on  $\phi$  there is a Boolean circuit of depth d and size poly(n) computing parity on m bits.

### Proof Sketch.

Consider  $w \in \{0,1\}^m$ .

For  $i \in \{0, 1, \dots, m-1\}$  with  $w_i = 1$ :



#### Lemma

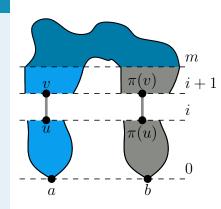
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#### Lemma

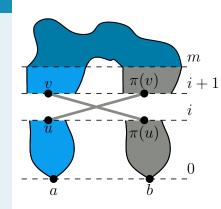
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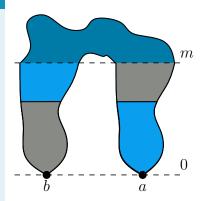
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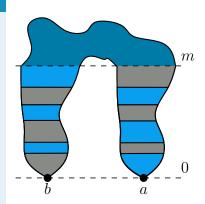
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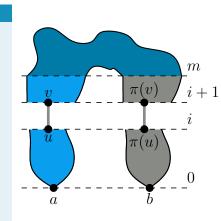
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$$(G_w, a) \cong \begin{cases} (G, a), & \bigoplus w = 0\\ (G, b), & \bigoplus w = 1 \end{cases}$$

 $\phi(x)$  distinguishes these cases.

A small circuit computes  $\phi(x)$ .

A small circuit computes  $\bigoplus w$ .



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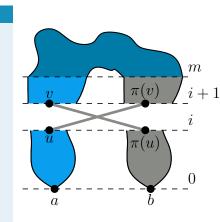
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Selecting  $m(n) = f = \Omega((\log n)^c)$  for c > (d-1) induces a contradiction.

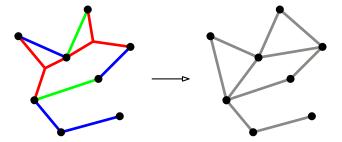
### Extensions

1 unary  $\rightarrow k$ -ary:

### Lemma (informal)

Let  $\phi(x)$  be a k-ary Arb-invariant FO formula that is not Gaifman f-local. For some k' < k, there is a k'-ary Arb-invariant FO formula  $\phi'(y)$ , that is not Gaifman  $\Omega(f)$ -local.

② Graphs → Structures:



Measure distance on the Gaifman graph of the structure.

## Summary

### Theorem (Gaifman Locality)

- **1** For each Arb-invariant FO formula  $\phi(x)$  there is a  $c \in \mathbb{N}$  such that the formula is Gaifman  $(\log n)^c$ -local.
- 2 For each  $c \in \mathbb{N}$  there is an Arb-invariant FO formula  $\phi(x)$  that is not Gaifman  $(\log n)^c$ -local.

### Theorem (Hanf Locality)

- **1** For each Arb-invariant FO formula over strings there is a  $c \in \mathbb{N}$  such that the formula is  $Hanf(\log n)^c$ -local.
- 2 For each  $c \in \mathbb{N}$  there is an Arb-invariant FO formula over strings that is not Hanf  $(\log n)^c$ -local.