CS 784: Foundations of Data Management

Spring 2019

Lecture 7: Worst-Case Optimal Joins

Instructor: Paris Koutris

In this lecture, we ask the following question: *can we compute a (full) Conjunctive Query in running time bounded by the AGM bound?* We will answer this positively, and describe two algorithmic techniques that can achieve this optimal result. But first we will sketch the main technical ideas using as an example the triangle query.

## 7.1 Computing the Triangle Query

Consider the triangle query

$$\Delta(x, y, z) = R(x, y), S(y, z), T(z, x)$$

where the three relations have sizes  $N_R$ ,  $N_S$ ,  $N_T$  respectively. Recall that the AGM bound gives a bound  $(N_R N_S N_T)^{1/2}$  for the output size, which becomes  $N^{3/2}$  when all relation sizes are equal to N. We first show that it is *not* possible to achieve a running time of  $O(N^{3/2})$  using only *join plans*.

**Example 7.1.** Let  $\{a_0, \ldots, a_n\}$ ,  $\{b_0, \ldots, b_n\}$ ,  $\{c_0, \ldots, c_n\}$  be the domains for variables x, y, z respectively. We define:

$$R = (\{a_0\} \times \{b_0, \dots, b_n\}) \cup (\{a_0, \dots, a_n\} \times \{b_0\})$$

*S* and *T* are defined in a symmetric way. Each relation has size 2n + 1, and the output has size 3n + 1. However, observe that every pairwise join ( $R \bowtie S$ ,  $S \bowtie T$ ,  $R \bowtie T$ ) has size  $n^2 + n = \Omega(n^2)$ . Hence, no join plan can achieve the  $O(n^{3/2})$  bound.

The reason that the join plan from the above example fails is that the values  $a_0, b_0, c_0$  are all very *skewed*. To overcome this obstacle, we will deal with such values differently. We say that a value *a* is *heavy* if  $|\sigma_{x=a}R| \cdot |\sigma_{x=a}T| \ge |S|$ ; otherwise, it is *light*.

**P2C algorithm.** The Power of 2 Choices algorithm works as follows:

- 1. Compute  $L \leftarrow \pi_x(R) \cap \pi_x(T)$
- 2. For each  $a \in L$  do:
  - (a) If *a* is *light*: compute  $\sigma_{x=a}R \bowtie \sigma_{x=a}T$  and semijoin with *S*.
  - (b) If *a* is *heavy*: for each tuple  $(b, c) \in S$ , check whether  $(a, b) \in R$  and  $(c, a) \in T$ .

**Analysis.** Assume for now that we have computed the appropriate indexes for each relation (which we can do in time linear to the input size). It is easy to see that we can compute *L* in linear time. For the main loop, the key observation is that the running time can be bounded by:

$$T = \sum_{a \in L} \min\{|\sigma_{x=a}R| \cdot |\sigma_{x=a}T|, N_S\}$$
  

$$\leq \sum_{a \in L} (|\sigma_{x=a}R| \cdot |\sigma_{x=a}T| \cdot N_S)^{1/2}$$
  

$$= N_S^{1/2} \sum_{a \in L} (|\sigma_{x=a}R| \cdot |\sigma_{x=a}T|)^{1/2}$$
  

$$\leq N_S^{1/2} \left(\sum_{a \in L} |\sigma_{x=a}R|\right)^{1/2} \cdot \left(\sum_{a \in L} |\sigma_{x=a}T|\right)^{1/2}$$
  

$$\leq N_S^{1/2} N_R^{1/2} N_T^{1/2}$$

Here, the first inequality used the fact that  $\min\{x, y\} \le \sqrt{xy}$ , and the second inequality used the Cauchy-Schwarz inequality.

## 7.2 The GenericJoin algorithm

We now discuss a general algorithmic framework that works for all CQs called GenericJoin [NRR13]. Let  $H(q) = (\mathcal{V}, \mathcal{E})$  be the hypergraph for the query q. For any  $I \subseteq \mathcal{V}$ , define:

$$\mathcal{E}_I = \{ F \in \mathcal{E} \mid F \cap I \neq \emptyset \}$$

We can now present GenericJoin.

```
Algorithm 1: GenericJoinInput: hypergraph (\mathcal{V}, \mathcal{E})OUT \leftarrow \emptyset;if |\mathcal{V}| = 1 then| return \cap_{F \in \mathcal{E}} R_F;endpick I : \emptyset \subsetneq I \subsetneq \mathcal{V};L \leftarrow GenericJoin(\Join_{F \in \mathcal{E}_I} \pi_I(R_F));for t_I \in L do| J \leftarrow \mathcal{V} \setminus I;| q[t_I] \leftarrow GenericJoin(\Join_{F \in \mathcal{E}_I} \pi_J(R_F \ltimes t_I));endreturn OUT
```

**Implementation.** We next discuss a concrete implementation of the GenericJoin algorithm, called Leapfrog Triejoin [V14]. Leapfrog Triejoin is implemented and extensively used as part of the Log-icBlox engine. It has the following characteristics:

- It picks *I* to be always of size 1 (i.e., picks a single variable). Any order of the variables will work (in the worst-case analysis), but in practice certain variable orders can be orders of magnitude faster.
- It merges unary relations using Leapfrog join. If the relations are already sorted (according to the same total order), then Leapfrog join needs time linear to the smallest relation.
- The relations are indexed using *tries*. A trie is a tree with depth equal to the arity of the relation (plus 1). Each level has values of a particular variable, and each tuple is represented by a path from the root of the trie to a leaf. Moreover, the children of each node are distinct from one another and sorted, with the leftmost child being the smallest. The variable ordering in a trie must agree with the ordering chosen by the Leapfrog Triejoin algorithm.

**Analysis.** Finally, we analyze the running time of GenericJoin. Let  $\{u_F \mid F \in \mathcal{E} \text{ be a fractional edge cover for } H$ . We analyze the running time for GenericJoin using induction on the size of  $\mathcal{V}$ .

For the base case where  $|\mathcal{V}| = 1$ , we perform merging between unary relations. If these are sorted, we can compute their intersection in time  $\tilde{O}(\min_F |R_F|) = \tilde{O}(\prod_F |R_F|^{u_F})$ .

For the inductive step, the running time is (asymptotically):

$$\prod_{F \in \mathcal{E}_I} |R_F|^{u_F} + \sum_{t_I \in L} \prod_{F \in \mathcal{E}_J} |R_F \ltimes t_I|^{u_F} \le \prod_{F \in \mathcal{E}_I} |R_F|^{u_F} + \prod_{F \in \mathcal{E}} |R_F|^{u_F} \le 2 \prod_{F \in \mathcal{E}} |R_F|^{u_F}$$

Here, the second inequality comes from the so-called query decomposition lemma [NRR13].

## References

- [Alice] S. ABITEBOUL, R. HULL and V. VIANU, "Foundations of Databases."
- [AGM08] A. ATSERIAS, M. GROHE and D. MARX, "Size bounds and query plans for relational joins," FOCS 2008.
- [NRR13] H. NGO, C. RE and A. RUDRA, "Skew Strikes Back: New Developments in the Theory of Join Algorithms," *SIGMOD Record*, 2013.
  - [V14] T. VELDHUIZEN, "Leapfrog Triejoin: a worst-case optimal join algorithm," ICDT, 2014.