

# I/O and Devices: H/W S/W Interaction

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## Overview

- > Basic System Structure  
+ device types, rates
- > Devices: H/W (and S/W) overview
- > Controlling Devices: Problems + Solutions
- > Case Study: Disks  
overview, scheduling, trends

## Themes

- > Overlap + Parallelism  
Buffering, CPU cost
- > Abstractions
- > Fairness v. Performance

Review: Why should OS manage I/O devices?  
 (What are the roles of OS?)

Abstractions: OS as virtual machine

⇒ consistent interface to many different devices  
 (2 levels: internal to rest of OS, and to user via FS)

Tension: too general ⇒ poor performance,  
 lack of feature exploitation

Resource Manager: OS as scheduler / multiplexor

⇒ Arbiter of system resources

Tension: fairness vs. performance of I/O requests

Protection: OS as secure VM

⇒ must share between legal users, disallow illegal uses

summary: who gets what when

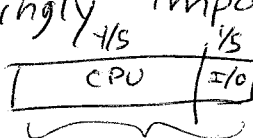
Today's Focus: I/O : why care about I/O?

(after all, processors are the coolest part of computer systems)

1) without I: programs would produce same result each time  
 O: no point in running code

2) I/O performance: increasingly important

Amdahl's Law



cpu gets 8x in 3 years  
 ⇒ % of time in I/O?

⇒ what you do here matters

Processors getting fast much faster!

3) Storage + Networking:

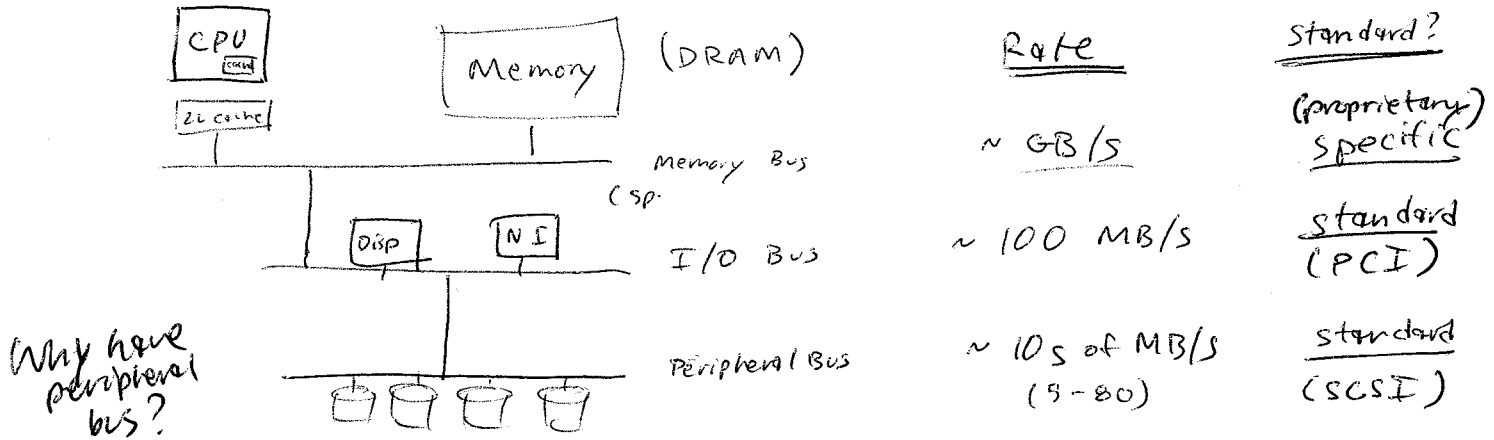
storing your data  
 access to remote data

} what Internet is all about!  
 (not processing)

→ I/O is where the action is!!

# Basic System Structure

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## Observations :

- > Closer to processor, lower the latency
- > Faster the bus, shorter the bus (electrical properties)
- => fewer devices / bus

## Device Types

Device Type	I/O?	B/C?	S/C?	Rate?
<u>Display</u>	O	C	C (w/ human)	100s MB/s
<u>Network Interface (NI)</u>	I/O	C	C (w/ computer)	10s/100s
<u>Disks, Tapes</u>	I/O	B	S	10s MB/s
<u>Keyboard, Mouse</u>	I	C	C (w/ human)	bytes/sec

## How to categorize?

- Input / Output / Both
- Block / character
- Storage / communication
- Rate of operation

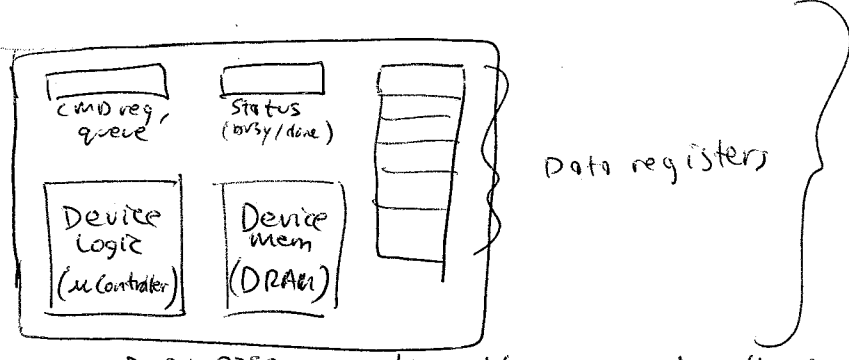
# Devices: The H/W side

"care + feeding"

Device may require lots of attention during operation

Observe low-level progress, provide detailed cmds, correct minor errors  
(move disk arm to this location) (disk read bit?)

=> put some of this into H/W itself => device controller



CPU, mem, etc =>

"computer w/ in the computer"!

=> expose cmds, H/W executes them (a little program)

## Basic operation

- => check status, wait until free
- => Put data in data register(s)
- => Put cmd in cmd reg (device executes cmd)
- => check status if busy, keep checking

exactly how we will discuss later

=> All done? if not, all done, do another I/O?

~~Problem #1: Lots of devices out there (all w/ different interfaces, registers, etc)~~

## Some problems!

- > Lots of different devices out there, how to simplify use?
- > How to get processor to talk to devices?
- > How to do so efficiently? (overlap) (the above)

Problem: Lots of devices out there, how to simplify use?

FS

device driver

not just for apps, but for rest of OS itself!  
(characteristic of systems in general)

=> Internal Abstraction Layer

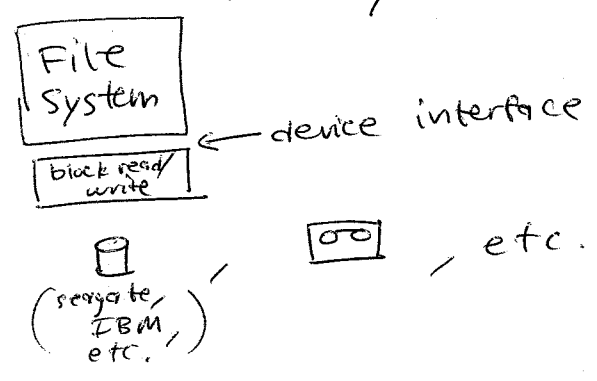
Uniform interface to similar devices (e.g. disk => block\_read, block\_write)

S/W takes abstract requests

=> specific h/w register manipulations

What's this S/W called? Device driver

How to build file system then?



=> simplifies use, but at what cost

=> Implementation Notes

> Old days: new driver => recompile, reboot entire OS  
now: loadable on the fly "plug & play"

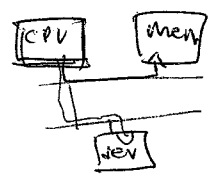
> Linux: over half of source code is in drivers

Problem: How to comm. w/ devices?

=> Special I/O instructions  
only valid in kernel mode  
was popular in mainframes (no longer)

=> store reg<sub>x</sub>, [M<sub>y</sub>]

=> Memory-mapped I/O  
read + write special memory addresses  
protect by placing in KVM or PM  
simple, general, widely used



=> h/w s/w interface

Problem: How to interact efficiently?

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Control: How to know when event is complete?

> Polling:

Handshake by setting, clearing flags

{ Plus: simple  
Minus: CPU cycles are wasted busy-waiting  
(if not attentive, may lose data)

action  
start-~~event~~(  
while (!done)  
check-status

> Interrupts

Handle events asynchronously  
Device asserts Interrupt request line  
when device is ready

1) (start-~~event~~  
notify-me-when-done())

CPU: jump to correct Int. Service Routine (ISR)

Interrupt vector: Table of handler addresses

Index by interrupt #

Plus: frees CPU from checking

Minus: could be costly - context switch into handler routine

⇒ When to use polling, when interrupts?

⇒ Depends on speed of device

If slow, interrupts (allows OS to switch to other job)

⇒ OVERLAP

if quick, polling (cost of ctxt switch avoided)

Problem (cont.) : How to do so efficiently?

Even w/ interrupts, CPU may have to move data to and fro Data

⇒ Programmed I/O (PIO)

CPU moves every byte of data to/from device

e.g. block read from disk, sitting in controller memory

CPU does device ⇒ <sup>main</sup> mem copy

{ Pro: simple

{ Con: CPU overhead!

⇒ Direct Memory Access (DMA)

Offload work to special-purpose transfer engine

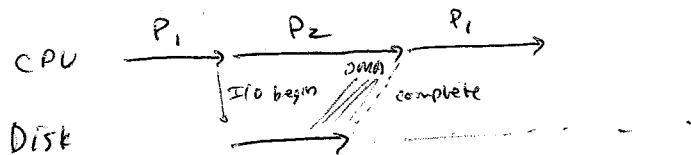
CPU sets up DMA

set up addresses for src, dest, xfer size

DMA controller handles xfer

interrupts CPU when finished

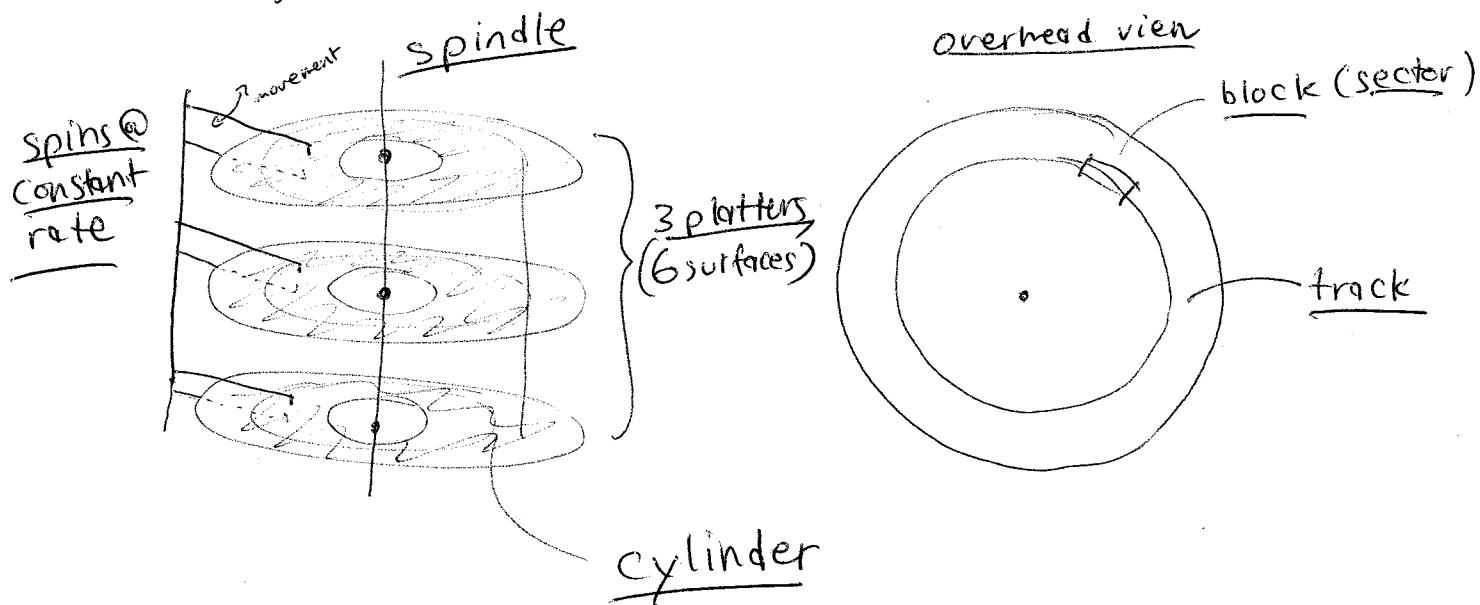
⇒ Now, true overlap is possible (almost)



# Case Study: Disks (today and tomorrow) (storage)

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H/W structure:  
Important to understand so we can design better file system!  
(general rule: understand H/W to enable you to build S/W)



To read/write block:

- Seek: Position arm/head over correct cylinder (accelerate, coast, decel., settle)
- Rotational Delay: wait for right block/sector to rotate underneath.
- Transfer: when right block is there, transfer to device memory

$$\Rightarrow T_{I/O} = T_{\text{seek}} + T_{\text{rotation}} + T_{\text{transfer}}$$

Typical "modern" disk

IBM 97X

- seek: 3 → 18 ms
  - rot: 0 → 12 ms
  - transfer: 12 → 20 MB/s
- e.g. small                      large

only real work

why is outer track B/W higher than inner track?

# Disk Scheduling, etc.

$$T_{I/O} = T_s + T_R + \underbrace{T_{transfer}}_{\text{real work}}$$

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"How to make disks run fast"

FS layout } Long, sequential transfers } treat disk like  
layout + request scheduling } Avoid seeks, rotations } & tape!

$$T_{seek}, T_{rot} \Rightarrow 0 \quad \text{OR} \quad T_{transfer} \gg T_{seek}, T_{rot}$$

## Problem



queue of requests: read/write  $B_x$   
what order to process?

THEME: fairness/perf

Most basic: FCFS (first come, first served)

service in order  
problem? (pathological case?)



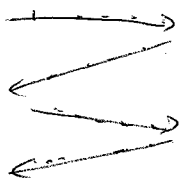
SSTF (shortest-seek-time first)

solves previous problem

but, adds a new one: ~~write~~ starvation

Scan (Elevator)

order



Look: variant (don't go to end)

starvation: not a problem

new problem:

C-SCAN



1) new request pile up

2) 2-scan delay for block (potentially)

oops! all seek centric

new research takes rotational delay into account too!