

# Basic Paxos

Content borrowed from Ousterhout's slides on Paxos

# Basic Paxos: General Setup

## Failure model: fail-stop / fail-recover

- Crash and restart, messages reordered, dropped, and duplicated, network partitions
- Can't TCP solve some issues?
- What can't Paxos tolerate?

## Safety:

- Only one single value must be chosen
- A server learns that a value has been chosen only if it really has been

## Availability (as long as majority of servers up and communicate w/ each other):

- Some value is eventually chosen
- Servers eventually learn about a chosen value

# Basic Paxos: General Setup

## Proposers:

- Active: propose values to be chosen

## Acceptors:

- Passive: respond to proposals

## To whom do the clients talk?

- Proposers – they propose values on behalf of the clients

# Naïve Approach

Single acceptor?

Problems?

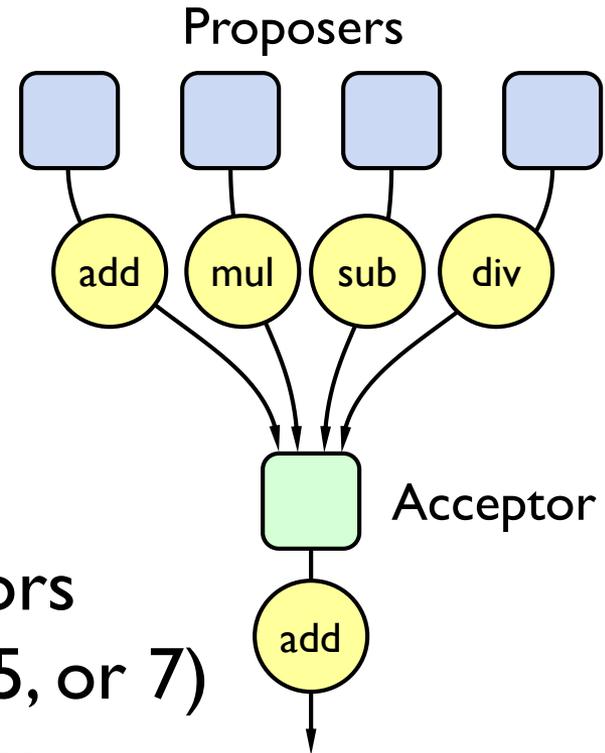
- Acceptor fails
- Can't choose or learn

Solve by having multiple acceptors

Usually a small odd number (3, 5, or 7)

**Chosen = accepted at a majority**

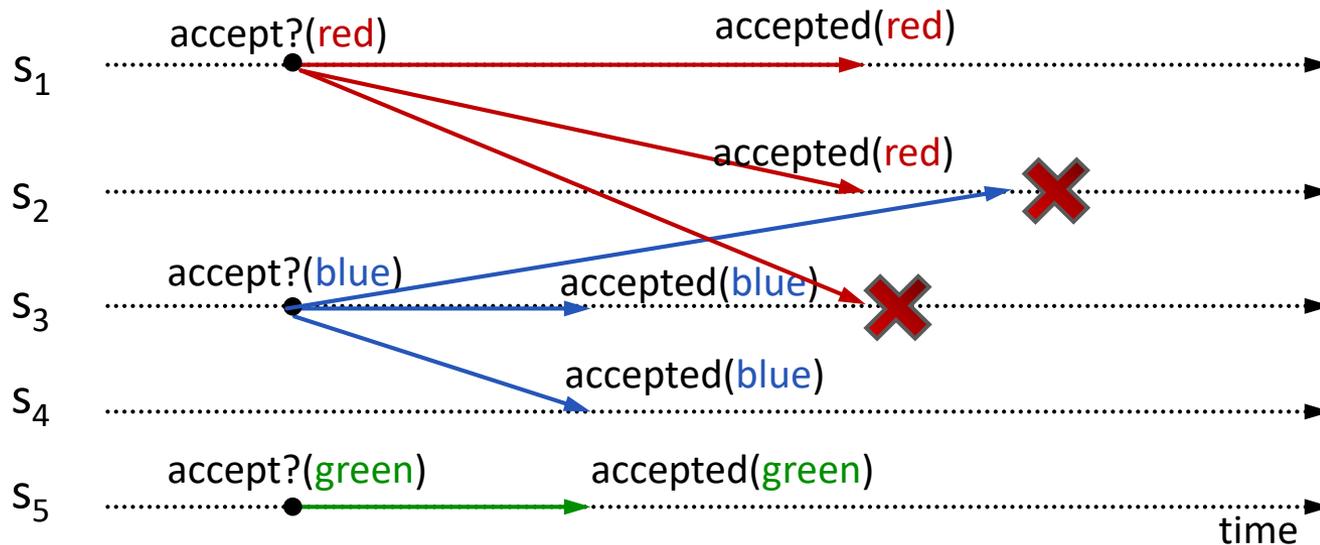
Choose/learn as long as a majority is up



# Accept only first proposal?

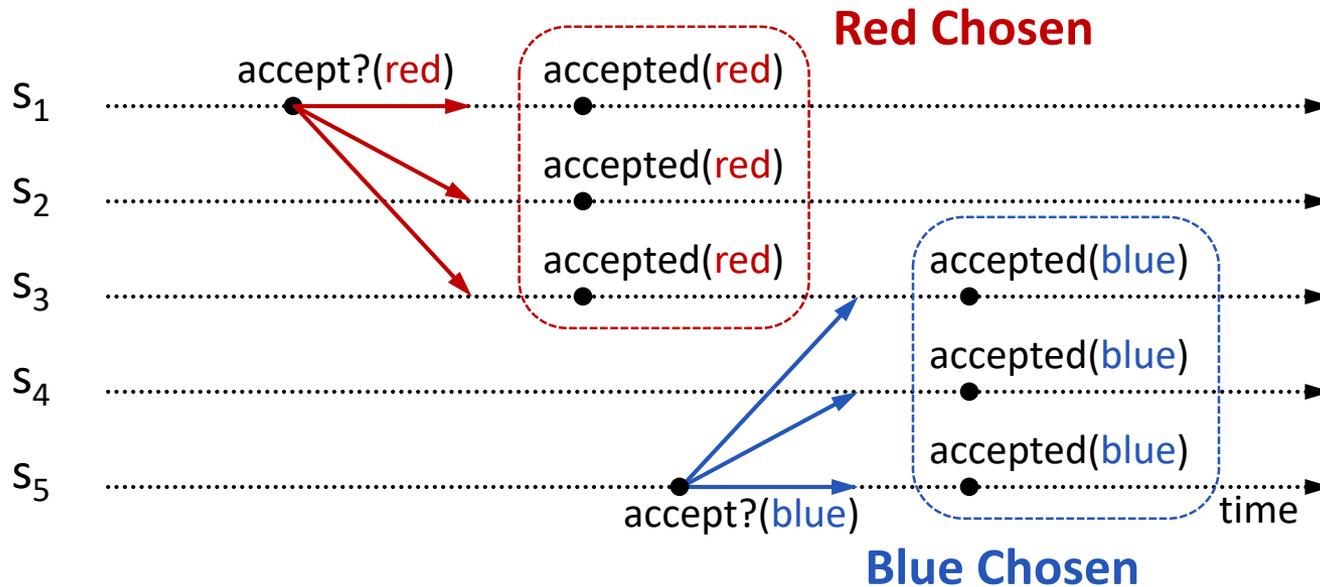
Accept only first received proposal

If simultaneous proposals, no value might be chosen



Acceptors must sometimes accept multiple (different) values

# Multiple accepts safe?



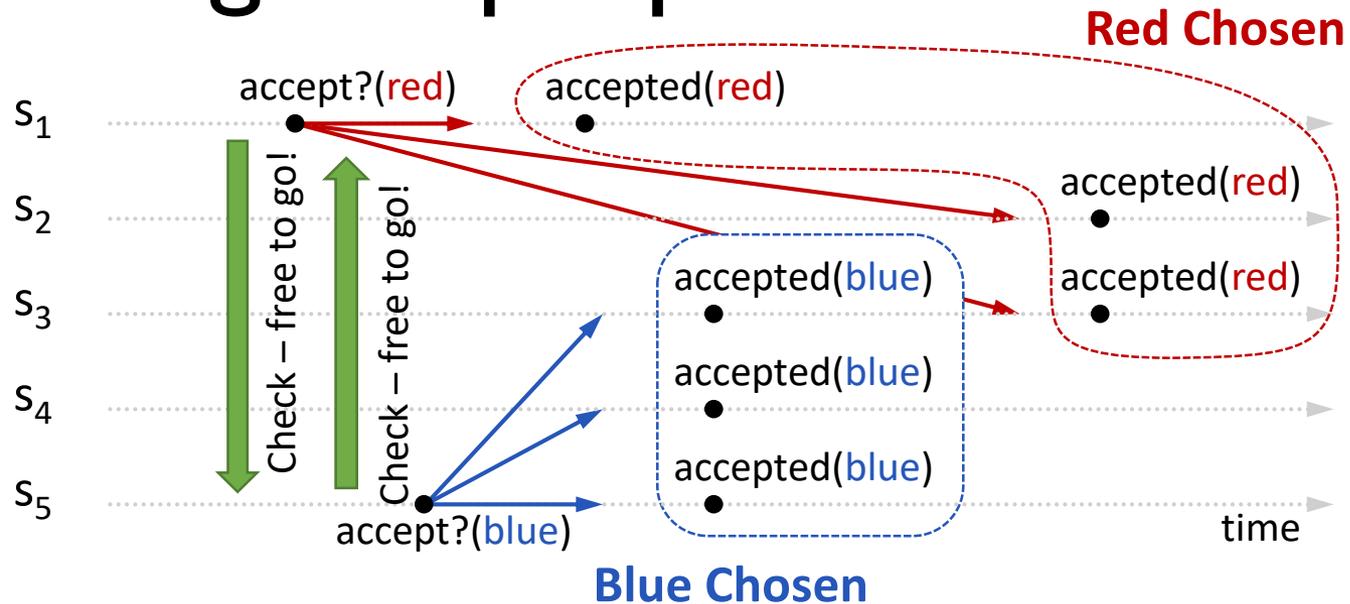
Violates fundamental safety!

Once a value has been chosen, future proposals must propose/choose that same value

Need **two phases**:

first, find any (potentially) chosen  
then, ask for acceptance

# Rejecting old proposals



Violates fundamental safety!

$s_5$  need not propose **red** (it doesn't discover **red**)

$s_1$ 's proposal must be aborted ( $s_3$  must reject it)

Must order proposals, reject old ones

# Proposal numbers

A server should always use a new/unique proposal number

Larger proposal number denotes later proposal

To break ties, use server id – general form:  
**round.serverId**

Proposal numbers must be maintained on disk to survive crashes

# Basic Paxos phases

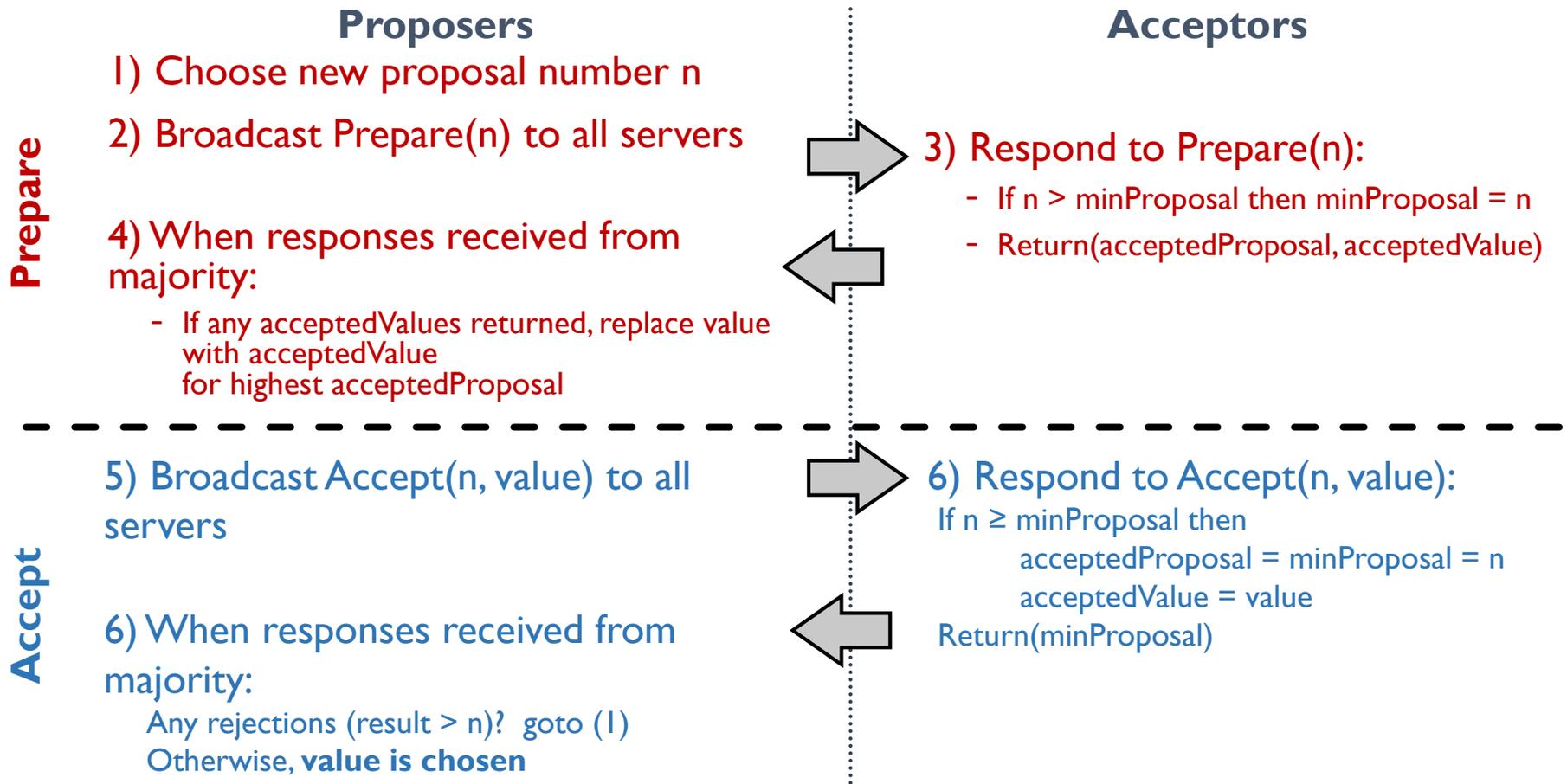
## Phase 1: **Prepare**

- Find out about any (potentially) chosen values
- Block older proposals that have not yet completed

## Phase 2: **Accept**

- Ask acceptors to accept a specific value

# Basic Paxos - Summary



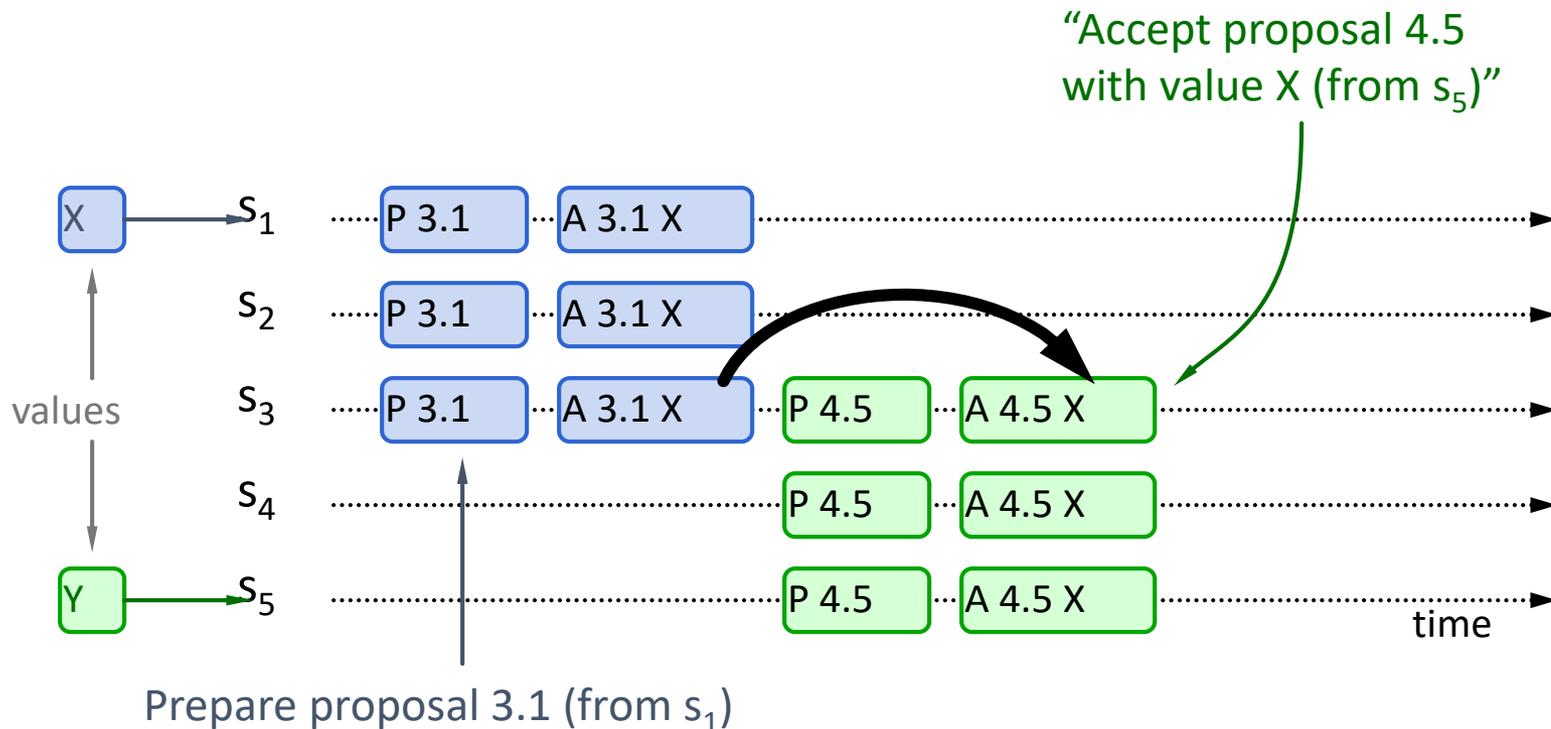
**acceptors: minProposal, acceptedProposal, and acceptedValue on disk**  
**proposers: latest proposal number on disk**

**!! It is often tempting to come up with flawed optimizations !!**

# Example case I

Three possibilities when later proposal prepares  
Previous value already chosen:

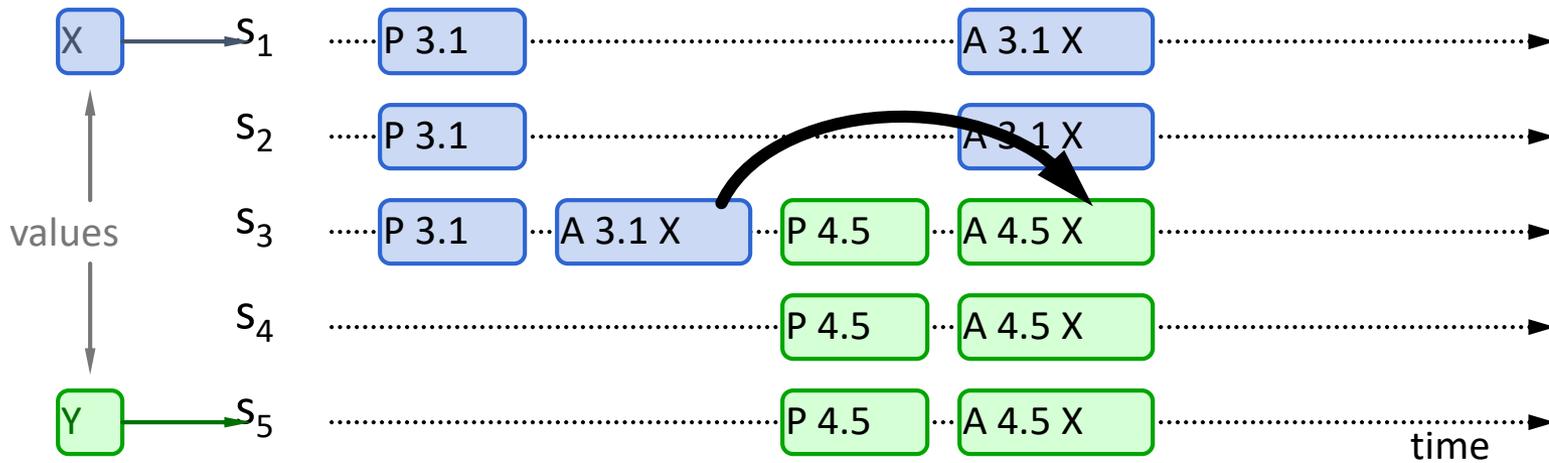
→ New proposer will find it and use it



# Example case 2

Previous value not chosen but later proposal sees it:

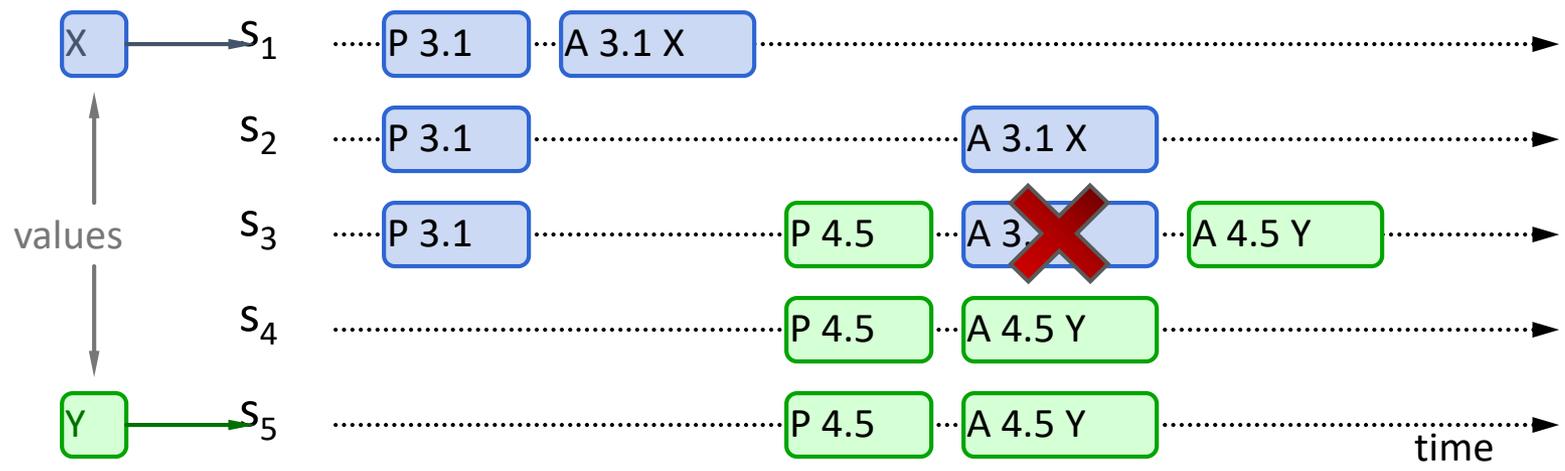
- New proposer will use existing value
- Both proposers can succeed



# Example case 3

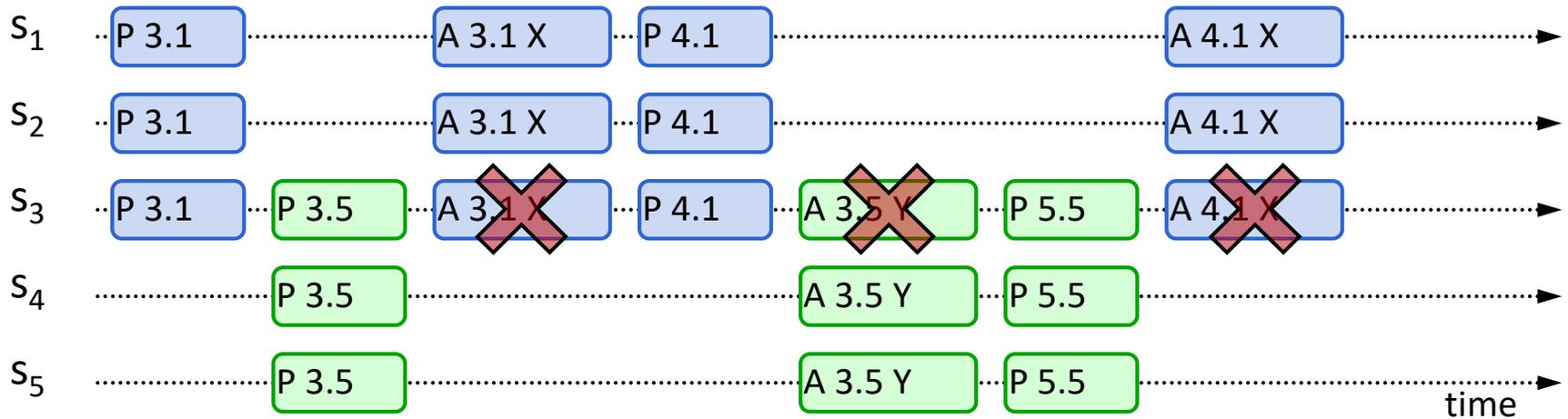
Previous value not chosen and later proposal doesn't see it:

- New proposer chooses its own value
- Older proposal blocked



# Liveness

Does basic Paxos guarantee liveness always?



Solutions?

Proposers back-off with randomized delays

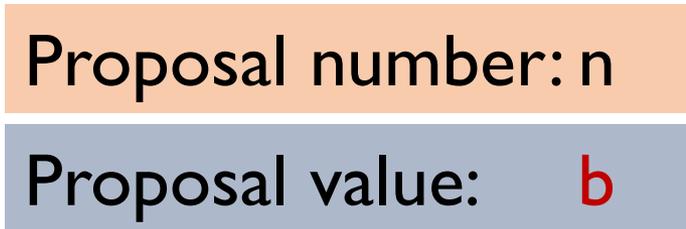
Only one proposer at a time (leader)

# Q0

Proposal 5.1 with value  $X$  has been accepted on 3 servers (in a 5-node cluster)

After this, is it possible that any server could accept a different value  $Y$ ?

Q1



Could have crashed any (unknown) point in protocol: during prepare, during accept, or even after a successful accept

Re-execute from beginning with the same proposal number but different value, **b**

Is this safe?

# Q2

Respond to Prepare(n):

If  $n > \text{minProposal}$  then  
     $\text{minProposal} = n$

Return(acceptedProposal,  
acceptedValue)

Respond to Prepare(n):

If  $n > \text{minProposal}$  then  
     $\text{minProposal} = n$

Return(acceptedProposal,  
acceptedValue)

Respond to Accept(n, value):

If  $n \geq \text{minProposal}$  then  
     $\text{acceptedProposal} = n$   
     $\text{minProposal} = n$   
     $\text{acceptedValue} = \text{value}$   
Return(minProposal)



Respond to Accept(n, value):

If  $n \geq \text{minProposal}$  then  
     $\text{acceptedProposal} = n$   
     $\text{acceptedValue} = \text{value}$   
Return(minProposal)

Is this safe?