# **BLOCK CIPHERS**

A function  $f: \{0,1\}^{\ell} \to \{0,1\}^{\ell}$  is a permutation if there is an inverse function  $f^{-1}: \{0,1\}^{\ell} \to \{0,1\}^{\ell}$  satisfying

 $\forall x \in \{0,1\}^{\ell} : f^{-1}(f(x)) = x$ 

This means f must be one-to-one and onto, meaning for every  $y \in \{0,1\}^{\ell}$  there is a unique  $x \in \{0,1\}^{\ell}$  such that f(x) = y.

X	00	01	10	11
f(x)	01	11	00	10

A permutation

X	00	01	10	11
f(x)	01	11	11	10

Not a permutation

#### Permutations and Inverses

X	00	01	10	11
f(x)	01	11	00	10

A permutation

X	00	01	10	11
$f^{-1}(x)$	10	00	11	01

Its inverse

Let

$$E: \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$$

be a function taking a key K and input x to return output E(K, x). For each key K we let  $E_K \colon \{0, 1\}^{\ell} \to \{0, 1\}^{\ell}$  be the function defined by

 $E_{\mathcal{K}}(x)=E(\mathcal{K},x).$ 

We say that E is a block cipher if

- $E_K : \{0,1\}^\ell \to \{0,1\}^\ell$  is a permutation for every K, meaning has an inverse  $E_K^{-1}$ ,
- $E, E^{-1}$  are efficiently computable,

where  $E^{-1}(K, x) = E_K^{-1}(x)$ .

The table entry corresponding to the key in row K and input in column x is  $E_K(x)$ .

	00	01	10	11
00	00	01	10	11
01	01	00	11	10
10	10	11	00	01
11	11	10	01	00

In this case, the inverse cipher  $E^{-1}$  is given by the same table: the table entry corresponding to the key in row K and output in column y is  $E_{K}^{-1}(y)$ .

### Block Ciphers: Example

Let  $\ell = k$  and define  $E \colon \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$  by  $E_K(x) = E(K,x) = K \oplus x$ 

Then  $E_{\kappa}$  has inverse  $E_{\kappa}^{-1}$  where

 $E_K^{-1}(y)=K\oplus y$ 

Why? Because

$$E_{K}^{-1}(E_{K}(x)) = E_{K}^{-1}(K \oplus x) = K \oplus K \oplus x = x$$

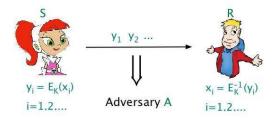
The inverse of block cipher *E* is the block cipher  $E^{-1}$  defined by

$$E^{-1}(K, y) = E_K^{-1}(y) = K \oplus y$$

# Block cipher usage

- $K \stackrel{\hspace{0.1em} \mathsf{\scriptscriptstyle\$}}{\leftarrow} \{0,1\}^k$
- K (magically) given to parties S, R, but not to A.
- S,R use *E<sub>K</sub>*

Algorithm *E* is public! Think of  $E_K$  as encryption under key *K*.



Leads to security requirements like:

- Hard to get K from  $y_1, y_2, \ldots$
- Hard to get x<sub>i</sub> from y<sub>i</sub>

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- 1972 NBS (now NIST) asked for a block cipher for standardization
- 1974 IBM designs Lucifer

Lucifer eventually evolved into DES.

Widely adopted as a standard including by ANSI and American Bankers association

Used in ATM machines

Replaced (by AES) only a few years ago

Key Length k = 56

Block length  $\ell = 64$ 

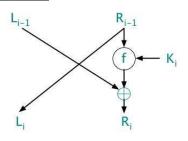
So,

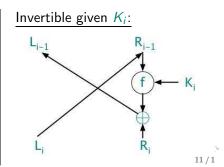
$$\begin{split} \mathsf{DES} \colon \{0,1\}^{56} \times \{0,1\}^{64} &\to \{0,1\}^{64} \\ \mathsf{DES}^{-1} \colon \{0,1\}^{56} \times \{0,1\}^{64} &\to \{0,1\}^{64} \end{split}$$

10/1

function 
$$DES_{K}(M)$$
 //  $|K| = 56$  and  $|M| = 64$   
 $(K_{1}, ..., K_{16}) \leftarrow KeySchedule(K)$  //  $|K_{i}| = 48$  for  $1 \le i \le 16$   
 $M \leftarrow IP(M)$   
Parse  $M$  as  $L_{0} \parallel R_{0}$  //  $|L_{0}| = |R_{0}| = 32$   
for  $i = 1$  to 16 do  
 $L_{i} \leftarrow R_{i-1}$ ;  $R_{i} \leftarrow f(K_{i}, R_{i-1}) \oplus L_{i-1}$   
 $C \leftarrow IP^{-1}(L_{16} \parallel R_{16})$   
return  $C$ 

Round i:





function 
$$DES_{K}(M)$$
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return  $C$ 

function 
$$\text{DES}_{K}^{-1}(C)$$
 //  $|\mathcal{K}| = 56$  and  $|\mathcal{M}| = 64$   
 $(\mathcal{K}_{1}, \ldots, \mathcal{K}_{16}) \leftarrow KeySchedule(\mathcal{K})$  //  $|\mathcal{K}_{i}| = 48$  for  $1 \le i \le 16$   
 $C \leftarrow IP(C)$   
Parse C as  $L_{16} \parallel R_{16}$   
for  $i = 16$  downto 1 do  
 $R_{i-1} \leftarrow L_{i}$ ;  $L_{i-1} \leftarrow f(\mathcal{K}_{i}, R_{i-1}) \oplus R_{i}$   
 $\mathcal{M} \leftarrow IP^{-1}(L_{0} \parallel R_{0})$   
return  $\mathcal{M}$ 

function 
$$DES_{K}(M) // |K| = 56$$
 and  $|M| = 64$   
 $(K_{1}, ..., K_{16}) \leftarrow KeySchedule(K) // |K_{i}| = 48$  for  $1 \le i \le 16$   
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return  $C$ 

IP

 $IP^{-1}$ 

58	50	42	34	26	18	10	2	40	8	48	16	56	24	64	32
60	52	44	36	28	20	12	4	39	7	47	15	55	23	63	31
62	54	46	38	30	22	14	6	38	6	46	14	54	22	62	30
64	56	48	40	32	24	16	8	37	5	45	13	53	21	61	29
57	49	41	33	25	17	9	1	36	4	44	12	52	20	60	28
59	51	43	35	27	19	11	3	35	3	43	11	51	19	59	27
61	53	45	37	29	21	13	5	34	2	42	10	50	18	58	26
63	55	47	39	31	23	15	7	33						57	
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function f(J, R) // |J| = 48 and |R| = 32  $R \leftarrow E(R)$ ;  $R \leftarrow R \oplus J$ Parse R as  $R_1 || R_2 || R_3 || R_4 || R_5 || R_6 || R_7 || R_8$  //  $|R_i| = 6$  for  $1 \le i$ for i = 1, ..., 8 do  $R_i \leftarrow \mathbf{S}_i(R_i)$  // Each S-box returns 4 bits  $R \leftarrow R_1 || R_2 || R_3 || R_4 || R_5 || R_6 || R_7 || R_8$  // |R| = 32 bits  $R \leftarrow P(R)$ return R

Ε

32	1	2	3	4	5	16 7 20 21
4	5	6	7	8	9	29 12 28 17
8	9	10	11	12	13	1 15 23 26
12	13	14	15	16	17	5 18 31 10
16	17	18	19	20	21	2 8 24 14
20	21	22	23	24	25	32 27 3 9
24	25	26	27	28	29	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
28	29	30	31	32	1	22 11 4 25

Ρ

14/1

			0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
	0	0	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0
$S_1$ :	0	1	0	15	7	4	14	2	13	1	10	6	12	11	9	5	3
	1	0	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5
	1	1	15	12	8	2	4	9	1	7	5	11	3	14	10	0	6
			0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
	0	0	15	1	8	14	6	11	3	4	9	7	2	13	12	0	5
<b>S</b> <sub>2</sub> :	0	1	3	13	4	7	15	2	8	14	12	0	1	10	6	9	11
	1	0	0	14	7	11	10	4	13	1	5	8	12	6	9	3	2
	1	1	13	8	10	1	3	15	4	2	11	6	7	12	0	5	14
			0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
	0	0	10	0	9	14	6	3	15	5	1	13	12	7	11	4	2
<b>S</b> 3 :	0	1	13	7	0	9	3	4	6	10	2	8	5	14	12	11	15
	1	0	13	6	4	9	8	15	3	0	11	1	2	12	5	10	14
	1	1	1	10	13	0	6	9	8	7	4	15	14	3	11	5	2

Adversary A knows  $E: \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$   $T \stackrel{s}{\leftarrow} \{0,1\}^k$  is the target key. Given:  $(M_1, C_1), \dots, (M_q, C_q)$  where  $C_i = E(T, M_i)$  for  $i = 1, \dots, q$ and  $M_1, \dots, M_q$  are distinct. Find: T Adversary A knows  $E : \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$  $T \stackrel{s}{\leftarrow} \{0,1\}^k$  is the target key.

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Find: T

Certainly A should be given  $C_1, \ldots, C_q$ . But why does A know  $M_1, \ldots, M_q$ ?

- A posteriori revelation of data
- A priori knowledge of context

Good to be conservative!

- S, R share key K
- On January 10, S encrypts

M = Let's meet tomorrow at 5 pm

and sends ciphertext C to R.

- Adversary captures C
- On January 11, adversary observes *S*, *R* meeting at 5 pm and deduces that *M* is as above
- Adversary knows C and its decryption M

- S, R share key K
- E-mails always begin with the keyword "From"
- *S* encrypts an email
- Adversary gets ciphertext C
- Since it knows part of the plaintext ("From") it may have an input-output example of the block cipher under K

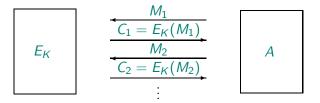
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Known Message Attack:  $M_1, \ldots, M_q$  arbitrary, not chosen by A.

## Types of attacks

Given:  $(M_1, C_1), \ldots, (M_q, C_q)$  where  $C_i = E(T, M_i)$  for  $i = 1, \ldots, q$  and  $M_1, \ldots, M_q$  are distinct.

Chosen Message Attack: A can pick  $M_1, \ldots, M_q$ , even adaptively, meaning pick  $M_i$  as a function of  $(M_1, C_1), \ldots, (M_{i-1}, C_{i-1})$  for  $i = 1, \ldots, q$ .



Examples:

- A sends S e-mails which S encrypts and forwards to R
- *S* is a router encrypting any packet it receives

Adversary A knows  $E: \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$  $T \stackrel{s}{\leftarrow} \{0,1\}^k$  is the target key. Given:  $(M_1, C_1), \dots, (M_q, C_q)$  where  $C_i = E(T, M_i)$  for  $i = 1, \dots, q$ and  $M_1, \dots, M_q$  are distinct. Find: T

algorithm  $EKS_E(M_1, C_1)$ for  $i = 1, ..., 2^k$  do if  $E(T_i, M_1) = C_1$  then return  $T_i$ 

algorithm 
$$EKS_E(M_1, C_1)$$
  
for  $i = 1, ..., 2^k$  do  
if  $E(T_i, M_1) = C_1$  then return  $T_i$ 

Does this find the target key T?

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Definition: A key K is consistent with  $(M_1, C_1)$  if  $C_1 = E(K, M_1)$ 

algorithm  $EKS_E(M_1, C_1)$ for  $i = 1, ..., 2^k$  do if  $E(T_i, M_1) = C_1$  then return  $T_i$ 

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Let S be the set of all keys consistent with  $(M_1, C_1)$ . Then  $EKS_E$  finds some key in S.

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Let S be the set of all keys consistent with  $(M_1, C_1)$ . Then  $EKS_E$  finds some key in S.

Fact: If  $\ell \ge k$  then T is "usually" the only key in S.

algorithm 
$$EKS_E(M_1, C_1)$$
  
for  $i = 1, ..., 2^k$  do  
if  $E(T_i, M_1) = C_1$  then return  $T_i$ 

Does this find the target key T? Yes, usually.

Let  $T_1, \ldots, T_{2^k}$  be a list of all k bit keys. Let  $T \stackrel{s}{\leftarrow} \{0, 1\}^k$  be the target key and let  $(M_1, C_1), \ldots, (M_q, C_q)$  satisfy  $E_T(M_i) = C_i$  for all  $1 \le i \le q$ .

algorithm 
$$EKS_E((M_1, C_1), \dots, (M_q, C_q))$$
  
for  $i = 1, \dots, 2^k$  do  
if  $(E(T_i, M_1) = C_1$  and  $\cdots$  and  $E(T_i, M_q) = C_q$ ) then  
return  $T_i$ 

algorithm  $EKS_E(M_1, C_1)$ for  $i = 1, ..., 2^k$  do if  $E(T_i, M_1) = C_1$  then return  $T_i$ 

### How long does exhaustive key search take?

DES can be computed at 1.6 Gbits/sec in hardware.

DES plaintext = 64 bits

Chip can perform  $(1.6\times 10^9)/64 = 2.5\times 10^7$  DES computations per second

Expect EKS to succeed in 2<sup>55</sup> DES computations, so it takes time

$$\frac{2^{55}}{2.5 \times 10^7} \approx 1.4 \times 10^9 \text{ seconds}$$
$$\approx 45 \text{ years!}$$

Key Complementation  $\Rightarrow$  22.5 years

But this is prohibitive.

Does this mean DES is secure?

Exhaustive key search is a generic attack: Did not attempt to "look inside" DES and find/exploit weaknesses.

Method	when	q	Type of attack
Differential cryptanalysis	1992	247	Chosen-message
Linear cryptanalysis	1993	2 <sup>44</sup>	Known-message

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Method	when	q	Type of attack
Differential cryptanalysis	1992	2 <sup>47</sup>	Chosen-message
Linear cryptanalysis	1993	2 <sup>44</sup>	Known-message

But merely storing 2<sup>44</sup> input-output pairs requires 281 Tera-bytes.

In practice these attacks are prohibitively expensive.

### EKS revisited

Let  $T_1, \ldots, T_{2^k}$  be a list of all k bit keys. Let  $T \stackrel{\$}{\leftarrow} \{0, 1\}^k$  be the target key and let  $(M_1, C_1)$  satisfy  $E_T(M_1) = C_1$ .

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## EKS revisited

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Observation: The *E* computations can be performed in parallel.

## EKS revisited

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Observation: The *E* computations can be performed in parallel.

- Wiener 1993:
  - \$1 million
  - 57 chips
  - Finds key in 3.5 hours
- EFF
  - \$250,000
  - Finds key in 56 hours

DES is considered broken because its short key size permits rapid key-search.

But DES is a very strong design as evidenced by the fact that there are no practical attacks that exploit its structure.

Block cipher  $2DES : \{0,1\}^{112} \times \{0,1\}^{64} \to \{0,1\}^{64}$  is defined by  $2DES_{K_1K_2}(M) = DES_{K_2}(DES_{K_1}(M))$ 

- Exhaustive key search takes 2<sup>112</sup> *DES* computations, which is too much even for machines
- Resistant to differential and linear cryptanalysis.

## Suppose $K_1K_2$ is a target 2DES key and adversary has M, C such that $C = 2DES_{K_1K_2}(M) = DES_{K_2}(DES_{K_1}(M))$

Then

$$DES_{K_2}^{-1}(C) = DES_{K_1}(M)$$

Suppose  $DES_{K_2}^{-1}(C) = DES_{K_1}(M)$  and  $T_1, \ldots, T_N$  are all possible DES keys, where  $N = 2^{56}$ .



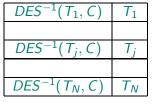


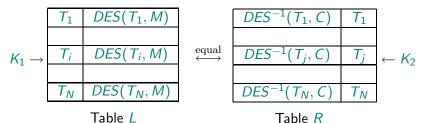
Table *L* Attack idea:

• Build L,R tables

Table R

## Meet-in-the-middle attack on 2DES

Suppose  $DES_{K_2}^{-1}(C) = DES_{K_1}(M)$  and  $T_1, \ldots, T_N$  are all possible DES keys, where  $N = 2^{56}$ .



Attack idea:

- Build L,R tables
- Find i, j s.t. L[i] = R[j]
- Guess that  $K_1K_2 = T_iT_j$

Let  $T_1, \ldots, T_{2^{56}}$  denote an enumeration of DES keys.

$$\begin{array}{l} \operatorname{Min} M_{2\mathsf{DES}}(M_1, C_1) \\ \text{for } i = 1, \dots, 2^{56} \text{ do } L[i] \leftarrow \mathsf{DES}(T_i, M_1) \\ \text{for } j = 1, \dots, 2^{56} \text{ do } R[j] \leftarrow \mathsf{DES}^{-1}(T_j, C_1) \\ S \leftarrow \{ (i, j) : L[i] = R[j] \} \\ \text{Pick some } (I, r) \in S \text{ and return } T_I \parallel T_r \end{array}$$

Attack takes about  $2^{57}$  DES/DES<sup>-1</sup> computations. Interesting, but not practical.

#### Block ciphers

$$\begin{split} & 3\mathsf{DES3}: \{0,1\}^{168}\times \{0,1\}^{64} \to \{0,1\}^{64} \\ & 3\mathsf{DES2}: \{0,1\}^{112}\times \{0,1\}^{64} \to \{0,1\}^{64} \end{split}$$

are defined by

 $3DES3_{K_1 \parallel K_2 \parallel K_3}(M) = DES_{K_3}(DES_{K_2}^{-1}(DES_{K_1}(M)))$  $3DES2_{K_1 \parallel K_2}(M) = DES_{K_2}(DES_{K_1}^{-1}(DES_{K_2}(M)))$ 

Meet-in-the-middle attack on 3DES3 reduces its "effective" key length to 112.



### $DESX_{KK_1K_2}(M) = K_2 \oplus DES_K(K_1 \oplus M)$

- Key length = 56 + 64 + 64 = 184
- "effective" key length = 120 due to a  $2^{120}$  time meet-in-middle attack
- No more resistant than DES to linear or differential cryptanalysis

Good practical replacement for DES that has lower computational cost than 2DES or 3DES.

Later we will see "birthday" attacks that "break" a block cipher  $E: \{0,1\}^k \times \{0,1\}^\ell \to \{0,1\}^\ell$  in time  $2^{\ell/2}$ 

For DES this is  $2^{64/2} = 2^{32}$  which is small, and this is unchanged for 2DES and 3DES.

Would like a larger block size.

1998: NIST announces competition for a new block cipher

- key length 128
- block length 128
- faster than DES in software

Submissions from all over the world: MARS, Rijndael, Two-Fish, RC6, Serpent, Loki97, Cast-256, Frog, DFC, Magenta, E2, Crypton, HPC, Safer+, Deal

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2001: NIST selects Rijndael to be AES.

```
function AES_{K}(M)

(K_{0}, ..., K_{10}) \leftarrow expand(K)

s \leftarrow M \oplus K_{0}

for r = 1 to 10 do

s \leftarrow S(s)

s \leftarrow shift-rows(s)

if r \leq 9 then s \leftarrow mix-cols(s) fi

s \leftarrow s \oplus K_{r}

end for

return s
```

- Fewer tables than DES
- Finite field operations

No key-recovery attack better than  $\mathsf{EKS}$  is known, and latter is prohibitive for 128 bit keys.

Adversary A knows  $E: \{0,1\}^k \times \{0,1\}^\ell \rightarrow \{0,1\}^\ell$   $T \stackrel{s}{\leftarrow} \{0,1\}^k$  is the target key. Given:  $(M_1, C_1), \dots, (M_q, C_q)$  where  $C_i = E(T, M_i)$  for  $i = 1, \dots, q$ and  $M_1, \dots, M_q$  are distinct. Find: T

So far, a block cipher has been viewed as secure if it resists key recovery, namely if there is no efficient way to solve the above problem.

## Limitations of security against key recovery

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Aliens from planet Crypton have a (new) cipher

 $\mathsf{A}: \{0,1\}^{128} \times \{0,1\}^{128} \to \{0,1\}^{128}$ 

that is guaranteed to resist key recovery. Would you use it encrypt?

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that is guaranteed to resist key recovery. Would you use it encrypt? The cipher is:

$$\mathsf{A}_{\mathsf{K}}(M) = M$$

- Impossible to find key from input-output examples, but
- Encryption is insecure because given ciphertext I know plaintext.

# Possible reaction: But DES, AES are not designed like A, so why does this matter?

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Answer: It tells us that security against key recovery is not, as a block-cipher property, sufficient for security of uses of the block cipher.

As designers and users we want to know what properties of a block cipher give us security when the block cipher is used.

Possible Properties	Necessary?	Sufficient?
security against key recovery	YES	

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hard to find $M$ given $C = E_K(M)$	YES	

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We can't define or understand security well via some such (indeterminable) list.

We want a single "master" property of a block cipher that is sufficient to ensure security of common usages of the block cipher.