CS/ECE 252: INTRODUCTION TO COMPUTER ENGINEERING UNIVERSITY OF WISCONSIN—MADISON<br>Prof. Gurindar Sohi, Kai Zhao<br>TAs: Mohit Verma, Annie Lin, Neha Mittal, Daniel Griffin, Yuzhe Ma<br>Examination 4<br>In Class ( 50 minutes)<br>Monday, December 12, 2016<br>Weight: 17.5\%

## NO: BOOK(S), NOTE(S), CALCULATORS OR ELECTRONIC DEVICES OF ANY SORT.

The exam has ten pages. You must turn in the pages 1-8. Circle your final answers. Plan your time carefully since some problems are longer than others. Use the blank sides of the exam for scratch work. Feel free to rip out the last two pages for reference.

LAST NAME: $\qquad$
FIRST NAME: $\qquad$
Section: $\qquad$
ID\#: $\qquad$

| Problem | Maximum Points | Points Earned |
| :---: | :---: | :---: |
| 1 | 6 |  |
| 2 | 7 |  |
| 3 | 4 |  |
| 4 | 3 |  |
| 5 | 5 |  |
| 6 | 4 |  |
| 7 | 32 |  |
| Total | 3 |  |
| 2 |  |  |

## Problem 1 (6 points)

An LC-3 assembly language program is given below:

|  | .ORIG x3000 <br> LD R2, NUMBER | Symbol | Address (in hex) |
| :---: | :---: | :---: | :---: |
|  | LD R1, MASK LD R3, PTR2 | LOOP | x3003 |
| NEXT | $\begin{aligned} & \text { LDR R4, R3, \#0 } \\ & \text { AND } R 4, R 4, R 1 \end{aligned}$ | NEXT | x3007 |
|  | $\begin{aligned} & \mathrm{BRz} \text { NEXT } \\ & \text { ADD R0, R0, \#1 } \end{aligned}$ | NUMBER | x300C |
|  | ```ADD R3, R3, #1 ADD R2, R2, #-1 BRp LOOP``` | MASK | x300F |
|  | STI R0, PTR1 HALT | PTR1 | x3010 |
| NUMBER <br> MASK | . BLKW 3 .FILI x8000 | PTR2 | x3011 |
| PTR1 <br> PTR2 | .FILL $x 4000$ <br> .FILL x 5000 |  |  |

(a) A symbol table is created during the first pass of the assembler. Fill in the symbol table above for the preceding program. You may not need to use all rows.
(b) In the second pass, the assembler creates a binary version (.obj) of the program, using the entries from the symbol table shown below. Given that the following symbol table entries were generated in the first pass of assembly (for a different program than the one in part(a)), fill in the binary code generated by the assembler for the two instructions located at x3000 and x300A.

| Symbol | Address |
| :---: | :---: |
| ADDRESS | x3012 |
| AGAIN | x3014 |
| PTR3 | x3015 |
| DESTINATION | x301A |


| Address | Instruction (in assembly) | Instruction (in binary) |
| :---: | :--- | :---: |
| x3000 | ADD R1, R2, \#4 | 0001001010100100 |
| x300A | STI R0, PTR3 | 1011000000001010 |

## Problem 2 (7 points)

|  | .ORIG x3000 | ; The program begins at x3000 |  |
| :---: | :---: | :---: | :---: |
|  | AND R5, R5, \#0 | ; R5 $\leftarrow 0, ~ s t o r e s ~ f i n a l ~ a n s w e r ~$ | x3000 |
|  | ADD R5, R5, \#1 | ; R5 $\leftarrow 1$, initialization | x3001 |
|  | AND R7, R7, \#0 | ; $\mathrm{R} 7 \leftarrow 0$, counter | x3002 |
| CONTINUE | ADD R7, R7, \#-1 | ; $\mathrm{R} 7=\mathrm{R} 7-1$ | x3003 |
|  | ADD R6, R0, R7 | ; R6 = R0 + R7 | x3004 |
|  | BRn END | ; halt if result negative | x3005 |
| CALL_FUNC | JSR Mult_by_4 | ; Subroutine call | x3006 |
|  | BRnzp CONTINUE | ; | x3007 |
| END | HALT | ; | x3008 |
| Mult_by_4 | ADD R6, R5, R5 | ; Subroutine to multiply by 4 | x3009 |
|  | ADD R5, R6, R6 | ; | x 300 A |
|  | RET | ; | x 300 B |
| SAVE_VAL | .BLKW \#1 | ; Save data here | x 300 C |

The above assembly program calculates the value of $4^{n}$, where n is the value in register R0, and stores the result in register R5. The code lines have been numbered, as shown above. Assume R0= 3, and all other registers (R1-R7) are $\mathbf{0}$ before the execution begins. The final value in R5 after the program finishes execution should be $4^{3}=64$.
(a) Write the value (in hex) in register R7 just before the subroutine 'Mult_by_4' is called for the 1st time.
xFFFF
(b) Write the value (in hex) in register R7 just before the subroutine 'Mult_by_4' returns. x3007
(c) The above program does not terminate. Explain why.

We should not use R7 as a counter here, as it gets overwritten by the return PC value (x3007), whenever the subroutine Mult-by_4 is called.
(d) Fill in the code provided below to fix the problem mentioned in part 3 . The below code REPLACES instructions at x3002-x3004 in the provided code. Explain your solution. Rest of the code remains unchanged.

|  | AND R1, R1, \#0 |  |  |
| :--- | :--- | :--- | :--- |
| CONTINUE | ; | ADD R1, R1, \#-1 | ; |
|  | ADD R6, R0, R1 | $;$ | $x 3002$ |
|  |  | x3004 |  |

Any of R1,R2, R3, R4 is acceptable. Basically use any register not currently used in the program.
(e) Fill in the code provided below to fix the problem mentioned in part 3 using SAVE_VAL label. The below code REPLACES instructions at x3006-x3007 in the provided code, and adds two more instructions, as shown below. Rest of the code remains unchanged.

```
CALL_FUNC ST R7 (or STI R7), SAVE_VAL ; Save something
    JSR Mult_by_4 - ; Subroutine call
    LD R7 (or LDI R7 if STI R7 is used), SAVE_VAL
    ; Load something
    BRnzp IOOP CONTINUE
```


## Problem 3 (4 points)

(a) How would you implement a subroutine using caller-save?

Calling routine saves registers destroyed by own instructions or by called routines if the values are needed later. Either that or avoid using registers altogether.
(b) What is the difference between asynchronous and synchronous I/O?

Synchronous I/O events occur at fixed, predictable rates. CPU reads every X seconds. Asynchronous I/O is unpredictable. Can use flag to achieve I/O. Example is keyboard input.
(c) In interrupt-driven I/O, if a program is running at PL3 and the I/O device at PL1, can an interrupt successfully occur? Explain why or why not.

No because PL3 > PL1 so we can't interrupt.
(d) How are KeyBoard Status Register (KBSR) and KeyBoard Data Register (KBDR) used when TRAP $\times 20$ (GETC) is called?

When character is typed, ASCII is placed into $\operatorname{KBDR}[7: 0]$ and ready bit $\operatorname{KBSR}[15]$ is set to 1 . When KDBR is read, KBSR[15] is set to zero.

## Problem 4 (3 points)

Find 3 syntax errors in the following assembly language code.

```
    .ORIG x3000
AND R1, R1, #12
ADD R2, R3, #-1
BRz END
BRnzp DONE
HALT
.FILL x4600
.END
```

NOT R3, R1, R5 NOT instruction has just 1
END STI R9, ADD2
ADD1 .FILL x4000
ADD2 .FILL x5000

## Problem 5 (3 points)

Consider the following program.

```
    .ORIG x3000
LD R1, NUM
LD R2, INCRE
INPUT GETC
ADD R0, R0, R2
OUT
ADD R1, R1, #-1
BRz STOP
BRnzp INPUT
STOP HALT
INCRE .FILL x5
NUM .FILL x10
.END
```

(a) Fill in the following TRAP instruction that corresponds to symbol 'INPUT':

| $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{1}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{0}$ | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(b) Shown below is part of the trap vector table (or System Control Block). Specify the PC value after the trap instruction

| Memory Address | Content |
| :--- | :--- |
| x 0020 | x 0400 |
| x 0021 | x 0430 |
| x 0022 | x 0450 |
| x 0023 | x 04 A 0 |

## Problem 6 (5 points)

(i) What is the purpose of bit [15] of KBSR?
(a) It is set to 1 when the keyboard receives a new character.
(b) It is set to 1 when the device is ready to display a new character.
(c) It is set to 0 when TRAP x 25 is called to halt program execution.
(d) It is set to 0 when there is input data stored at R7.
(ii) Assume that a LC-3 processor receives interrupts from $3 \mathrm{I} / \mathrm{O}$ devices (A, B and C) simultaneously. The priority levels for the interrupts are given below:
A: PL2
B: PL0
C: PL6

Which of the above interrupts is serviced first?
(a) B
(b) A
(c) C
(d) Any selected at random
(iii) Which of the following conditions must be satisfied for an I/O device to be able to successfully interrupt a processor? Circle the correct option.

A: The I/O device must be able to request service.
B: The processor must be able to poll the I/O device.
C : The priority of the I/O device request must be higher than the current executing process on the processor.
(a) A and C
(b) B and C
(c) A, B and C
(d) Only B
(iv) The LC-3 Trap Mechanism performs 3 operations. Possible operation sequences are given below. Circle the correct sequence of operations.

A: Return (JMP R7)
B: Lookup service routine starting address
C: Check the control registers
D: Transfer to service routine
(a) A, C, D
(b) C, B, D
(c) $\mathrm{B}, \mathrm{D}, \mathrm{A}$
(d) D, A, B
(v) How many trap service routines can be defined in LC-3?
(a) 128
(b) 64
(c) 356
(d) 256

## Problem 7 (4 points)

Consider the following program for converting a string of uppercase letters (A~Z) to the lowercase. The string is input from the keyboard one character by one character with an end of '\#' (ASCII x23). The result is stored in memory location starting at x 5000 . Fill in the missing part of the program according to the comments (some may not be given).

```
                        .ORIG x3000
LD R1, ENDC ; Load '#' into R1
LD R4, addition
LD R5, addr
Next
(1) ______________________ Get the next character
NOT R2, R1
ADD R2, R2, x1
(2) ; Test if is '#'
BRz LAST
(3)
```

$\qquad$

``` ; Convert to lowercase and store the result into R3
STR R3, R5, #0
(4)
```

$\qquad$

```
BRnzp Next
LAST HALT
ENDC .FILL x23
addr .FILL x5000
addition
.FILL x20
.END
```

(1) GETC / IN / TRAP x23 / TRAP x20
(2) ADD X,R2,R0 X can be any except R0,R1,R4,R5
(3) ADD R3,R0,R4 / ADD R5,R0,R4 [accepted because of typo in comment]
(4) ADD R5,R5,\#1

IC-3 Instruction Set (Entered by Mark D. Hill on 03/14/2007; last update 03/15/2007)
PC': incremented PC. setcc (): set condition codes $N$, $Z$, and $P$. mem[A]:memory contents at address $A$. SEXT (immediate) : sign-extend immediate to 16 bits. ZEXT(immediate): zero-extend immediate to 16 bits.

+----+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ JSRR BaseR ; Jump to Subroutine in Register

|  | --+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ temp $\leftarrow$ PC', PC $\leftarrow$ BaseR, |
| :---: | :---: |
|  |  |


+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ LEA DR, label ; Load Effective Address


$\begin{array}{llllllllllllllllll}\mid & 1 & 0 & 0 & 1 & \text { DR } & \mid & S R & \mid & 1 & \mid & 1 & 1 & 1 & 1 & 1 & \mid\end{array}$
$+---+---+--+---+---+---+---+---+---+---+---+---+---+---+---+---+\mathrm{DR} \leqslant \mathrm{NOT}(\mathrm{SR})$ also setcc()
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ RET ; Return from Subroutine
$\begin{array}{lllllllllllllllllllll}\mid & 1 & 1 & 0 & 0 & \mid & 0 & 0 & 0 & \mid & 1 & 1 & 1 & \mid & 0 & 0 & 0 & 0 & 0 & 0 & 1\end{array}$
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ PC R7
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ RTI ; Return from Interrupt
$\begin{array}{llllllllllllllllll}1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \text { | }\end{array}$
-

+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ STI SR, label ; Store Indirect

+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+ STR SR, BaseR, offset6 ; Store Base+Offset



## Assembler Directives

## Pseudo-operations

- do not refer to operations executed by program
- used by assembler
- look like instruction, but "opcode" starts with dot

| Opcode | Operand | Meaning |
| :--- | :--- | :--- |
| . ORIG | address | starting address of program |
| . END |  | end of program |
| . BLKW | n | allocate n words of storage |
| .FILL | n | allocate one word, initialize with <br> value n |
| .STRINGZ | n-character <br> string | allocate $\mathrm{n}+1$ <br> initialize w/characters and null <br> terminator |

## Trap Codes

LC-3 assembler provides "pseudo-instructions" for each trap code, so you don't have to remember them.

| Code | Equivalent | Description |
| :--- | :--- | :--- |
| HALT | TRAP $\times 25$ | Halt execution and print message to <br> console. |
| IN | TRAP $\times 23$ | Print prompt on console, <br> read (and echo) one character from keybd. <br> Character stored in R0[7:0]. |
| OUT | TRAP $\times 21$ | Write one character (in R0[7:0]) to console. |
| GETC | TRAP $\times 20$ | Read one character from keyboard. <br> Character stored in R0[7:0]. |
| PUTS | TRAP $\times 22$ | Write null-terminated string to console. <br> Address of string is in RO. |

