Hello!

VIRTUALIZATION: CPU

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CS 537, Spring 2023

ADMINISTRIVIA

- Project 1 is out! Due Feb 1 → office hours schedule → Piazza
- Signup for Piazza https://piazza.com/wisc/spring2023/cs537
- Lecture notes at pages.cs.wisc.edu/~shivaram/cs537-sp23/
- Drop? Waitlist? Email enrollment@cs.wisc.edu and cc me

AGENDA / OUTCOMES

Abstraction

What is a Process ? What is its lifecycle ?

Mechanism

How does process interact with the OS ?

How does the OS switch between processes ?

ABSTRACTION: PROCESS

PROGRAM VS PROCESS

```
#include <stdio.h>
#include <stdlib.h>
#include "common.h"

int main(int argc, char *argv[]) {
    char *str = argv[1];

    while (1) {
      printf("%s\n", str);
      Spin(1);
    }
    return 0;
}
```

Code representation or file

Program

Process is created when program is run.

Process

WHAT IS A PROCESS?

Stream of executing instructions and their "context"

```
pushq   %rbp
movq    %rsp, %rbp
subq    $32, %rsp
movl    $0, -4(%rbp)
movl    %edi, -8(%rbp)
movq    %rsi, -16(%rbp)
cmpl    $2, -8(%rbp)
je      LBB0_2
```

Instruction Pointer

eip

struct Context {

}

x86 has a register file

~ 10s

Registers

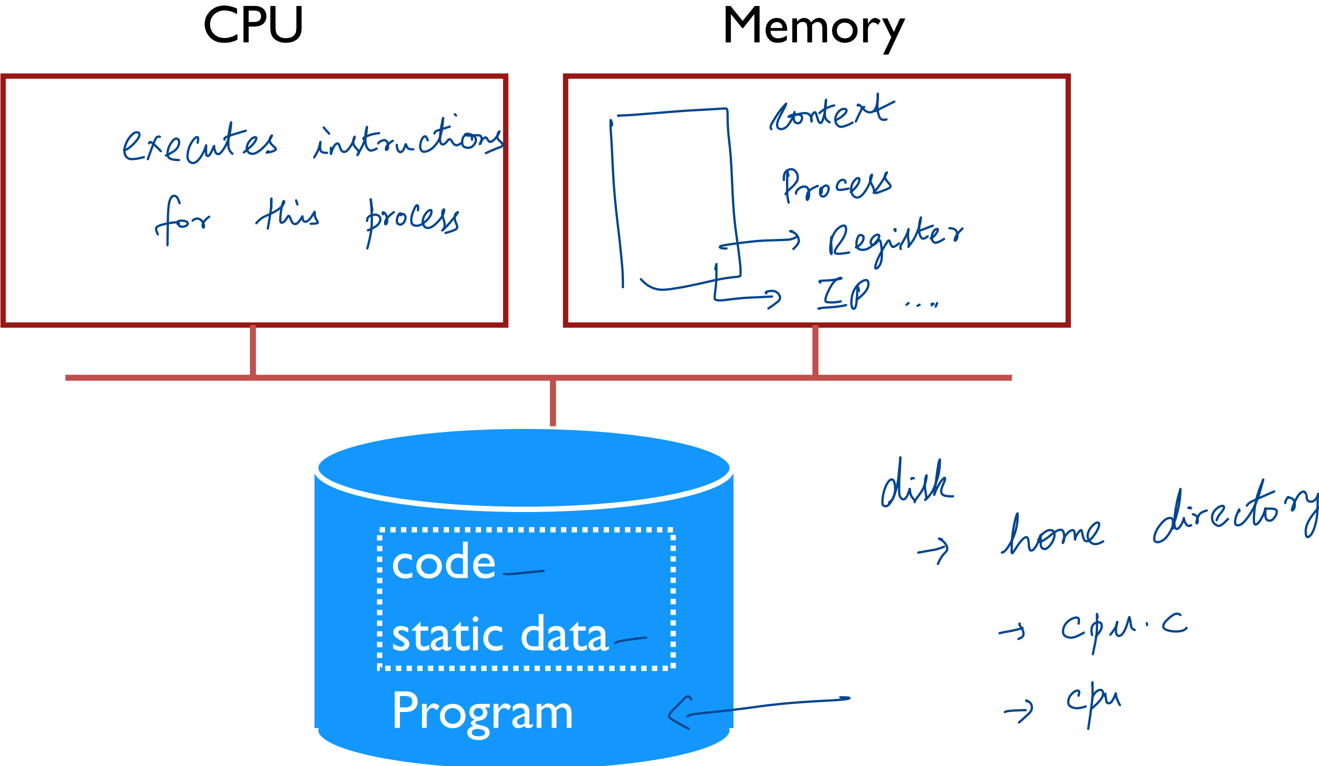
Memory addrs

stack

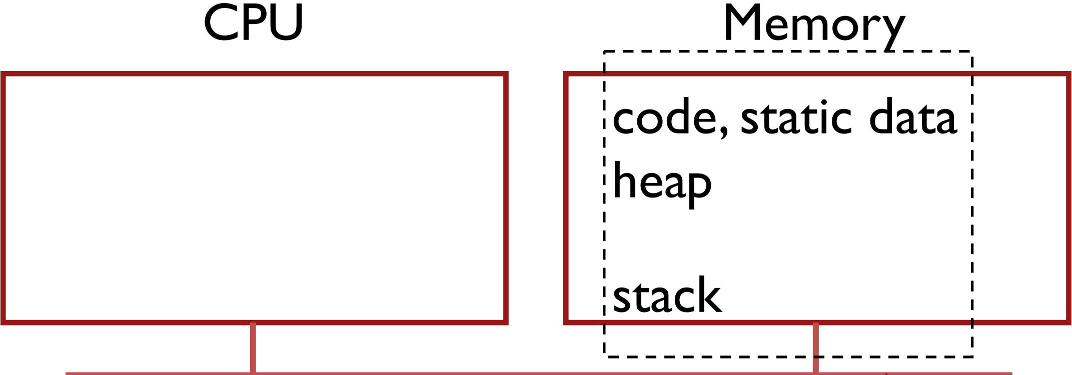
heap ....

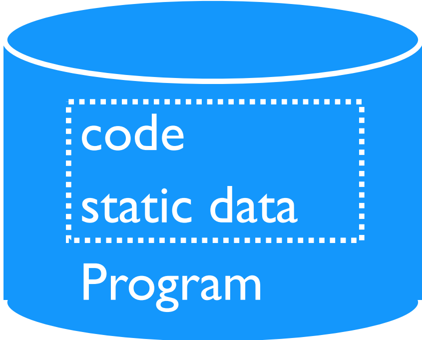
File descriptors

PROCESS CREATION



PROCESS CREATION





Can run multiple instances of same program

Each program has its own stack, heap etc.

PROCESS VS THREAD

Threads: “Lightweight process”

Execution streams that share an address space
Can directly read / write memory

Can have multiple threads within a single process

SHARING THE CPU

SHARING CPU

code, static data
heap
stack
P1

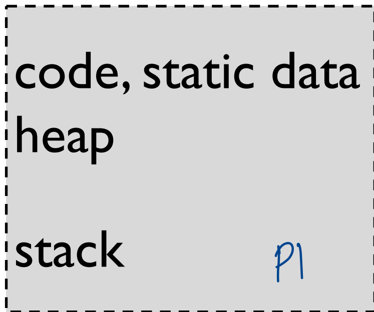
code, static data
heap
stack
P2

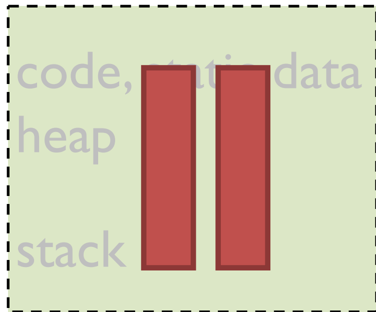
code, static data
heap
stack
P3

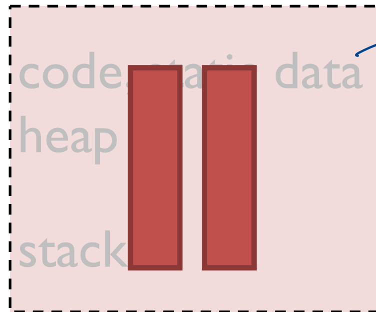
CPU

Time sharing
→ one process is given exclusive access for a fixed time period

TIME SHARING



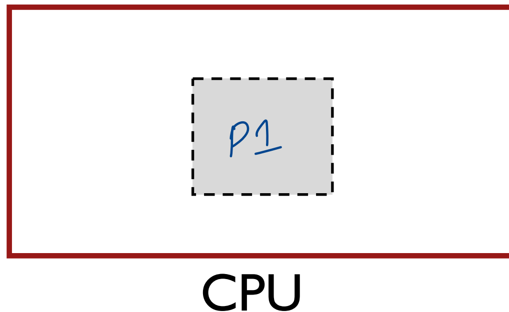




Process is paused while waiting for CPU resources.

select which process is chosen

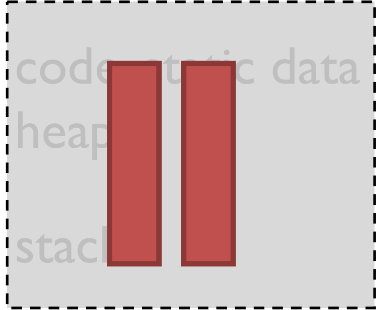
policy

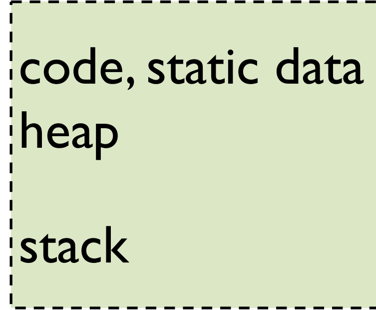


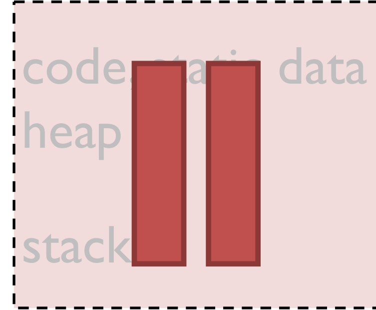
executing code

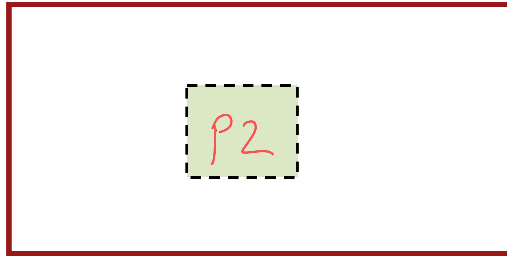
Need to have mechanism pause & unpause processes

TIME SHARING



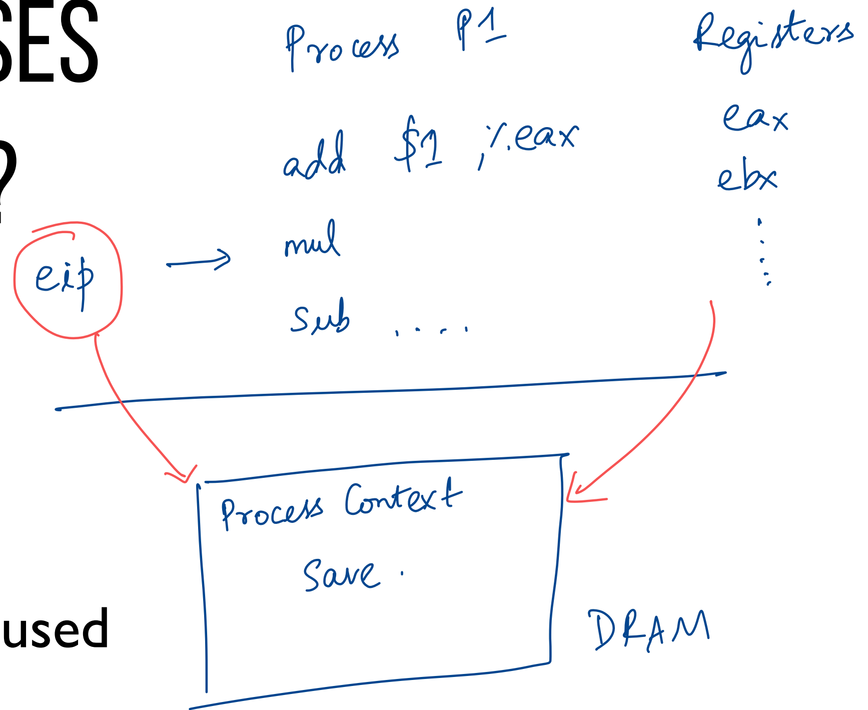






CPU

WHAT TO DO WITH PROCESSES THAT ARE NOT RUNNING ?

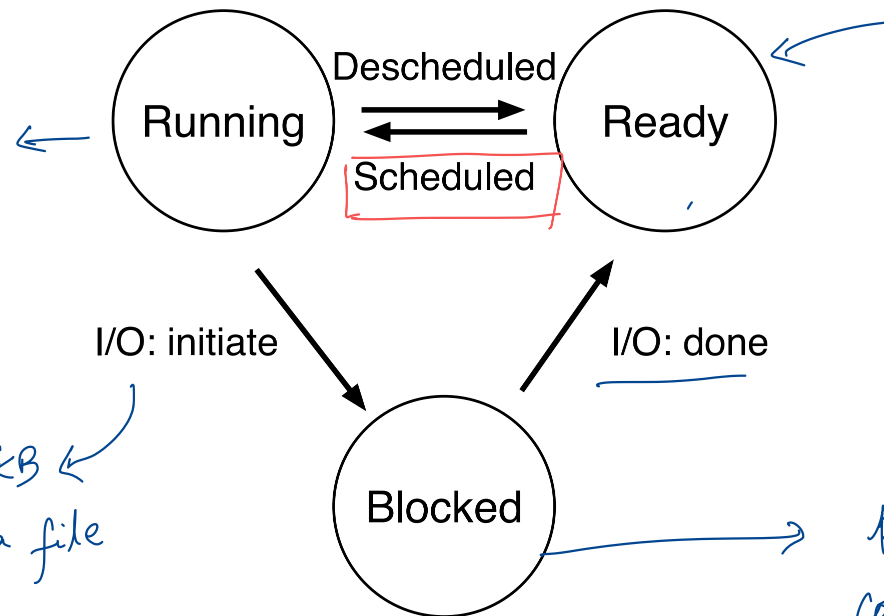


OS Scheduler

Save context when process is paused

Restore context on resumption

STATE TRANSITIONS



ASIDE: OSTEP HOMEWORKS!

- Optional homeworks corresponding to each chapter in book
- Little simulators to help you understand
- Can generate problems and solutions!

http://pages.cs.wisc.edu/~remzi/OSTEP/Homework/homework.html

See https://github.com/shivaram/cs537-sp23-discussion for code snippets

PROCESS HW

Run ./process_run.py –l 2:100,2:0

QUIZ 1

https://tinyurl.com/cs537-sp23-quiz1

```
≥ ./process-run.py -l 3:50,3:40
Process 0
  io
  io
  cpu

Process 1
  cpu
  io
  io
```

io → at t = 6

Each IO takes 5 time units

Time	PID: 0	PID: 1
1	RUN:io	READY
2	WAITING	RUN:cpu
3	WAITING	RUN:io
4	WAITING	WAITING
5	WAITING	WAITING
6	RUN:io	WAITING
7	WAITING	WAITING
8	WAITING	RUN: io

5 time

What happens at time 8?

CPU SHARING

Policy goals

- Virtualize CPU resource using processes
- Reschedule process for fairness? efficiency ?

Mechanism goals

- Efficiency: Sharing should not add overhead
- Control: OS should be able to intervene when required

EFFICIENT EXECUTION

Simple answer !?: Direct Execution

Allow user process to run directly

Create process and transfer control to main()

read a file.

Challenges

- What if the process wants to do something restricted ? Access disk ?
- What if the process runs forever ? Buggy ? Malicious ?

Permission checks before accessing a file

Solution: Limited Direct Execution (LDE)

PROBLEM 1: RESTRICTED OPS

How can we ensure user process can't harm others?

Solution: privilege levels supported by hardware (bit of status)

User processes run in user mode (restricted mode) User mode

OS runs in kernel mode (not restricted) Priveleged mode

How can process access devices?

System calls (function call implemented by OS)

SYSTEM CALL

library provided by
OS

to do restricted operations

libraries API

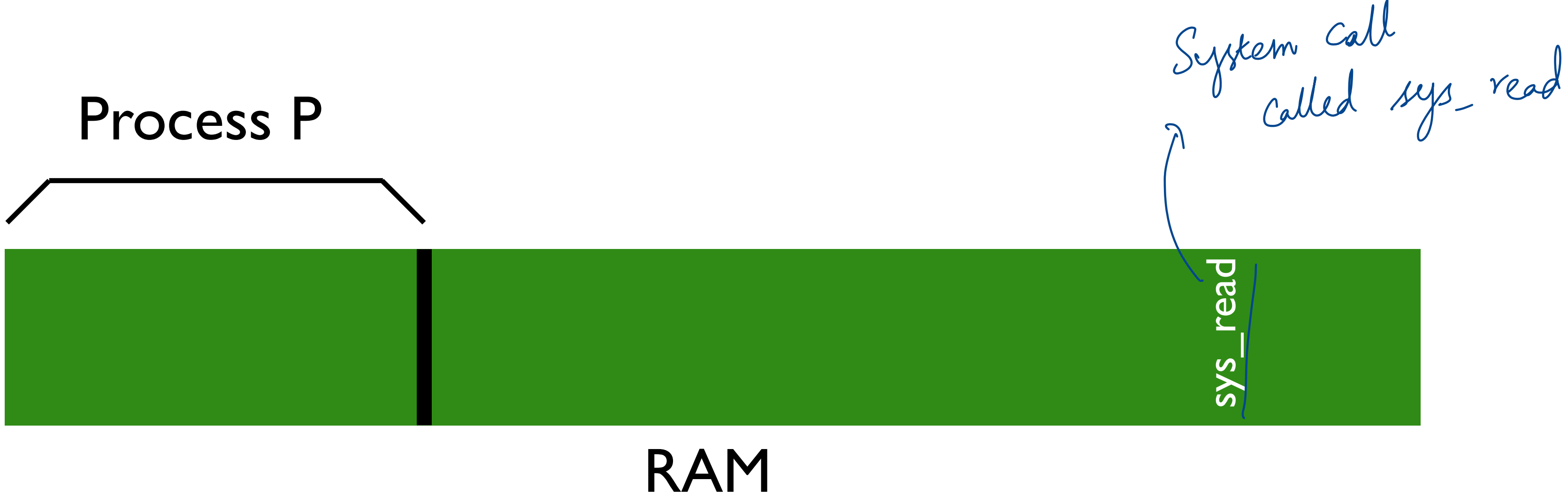
string library

: str cat

str sep

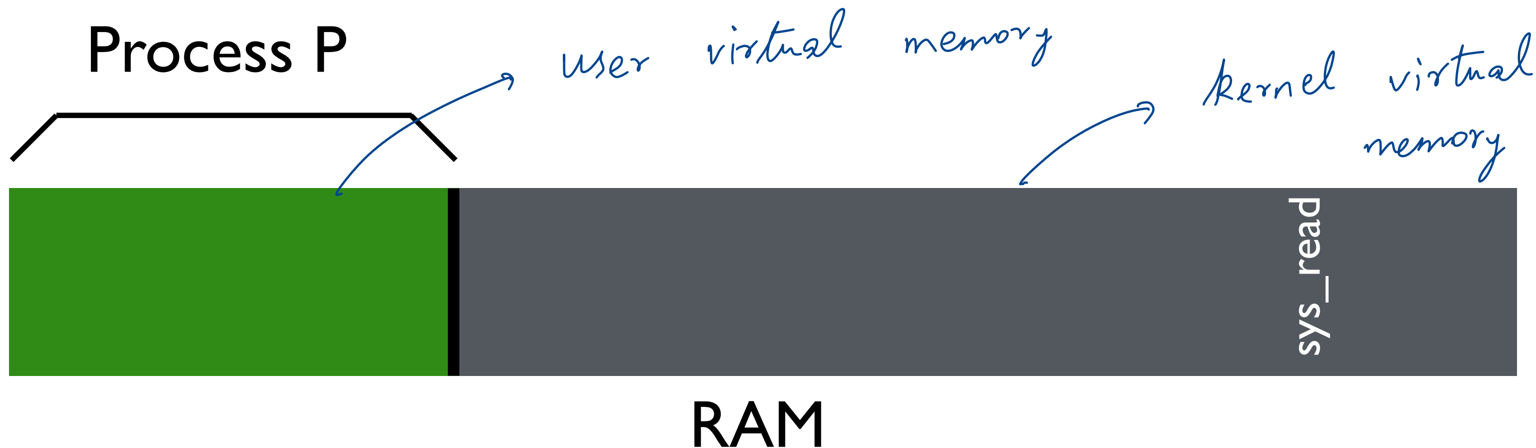
⋮

SYSTEM CALL



P wants to call read()

SYSTEM CALL



P can only see its own memory because of **user mode**
(other areas, including kernel, are hidden)

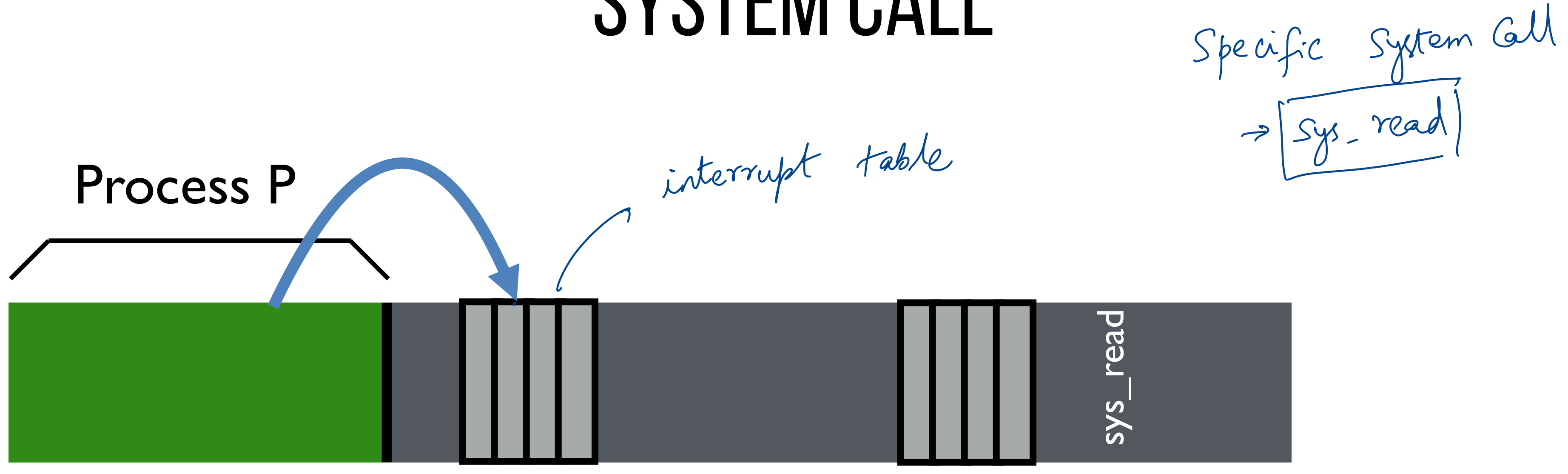
P wants to call read() but no way to call it directly

SYSTEM CALL

Raises an interrupt
→ System call interrupt number

```
movl $6, %eax;   int $64
```

SYSTEM CALL



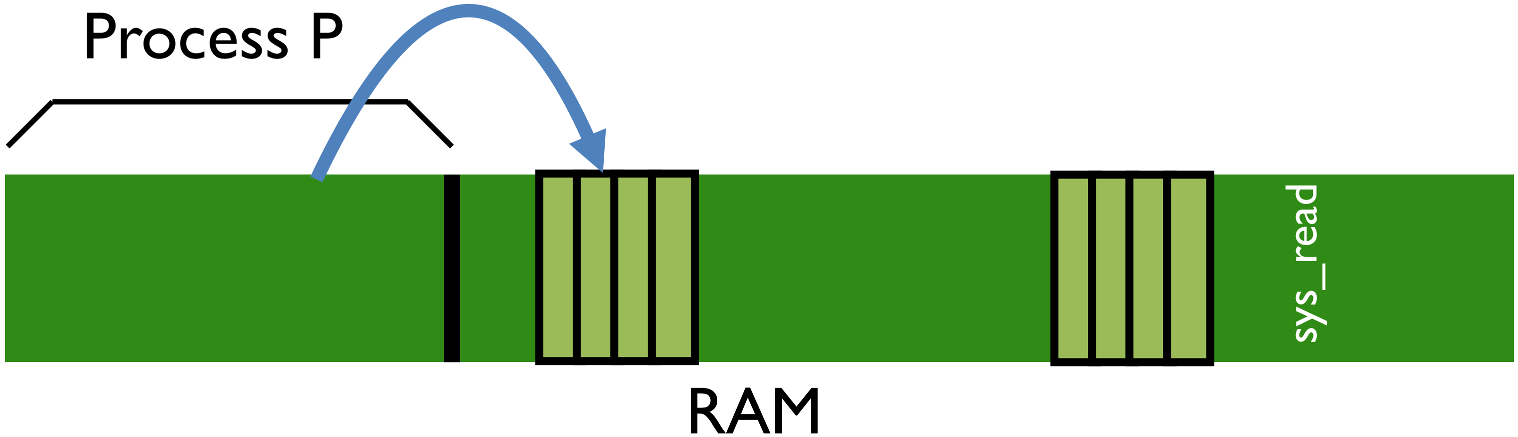
RAM

System call number

```
movl $6, %eax;    int $64
```

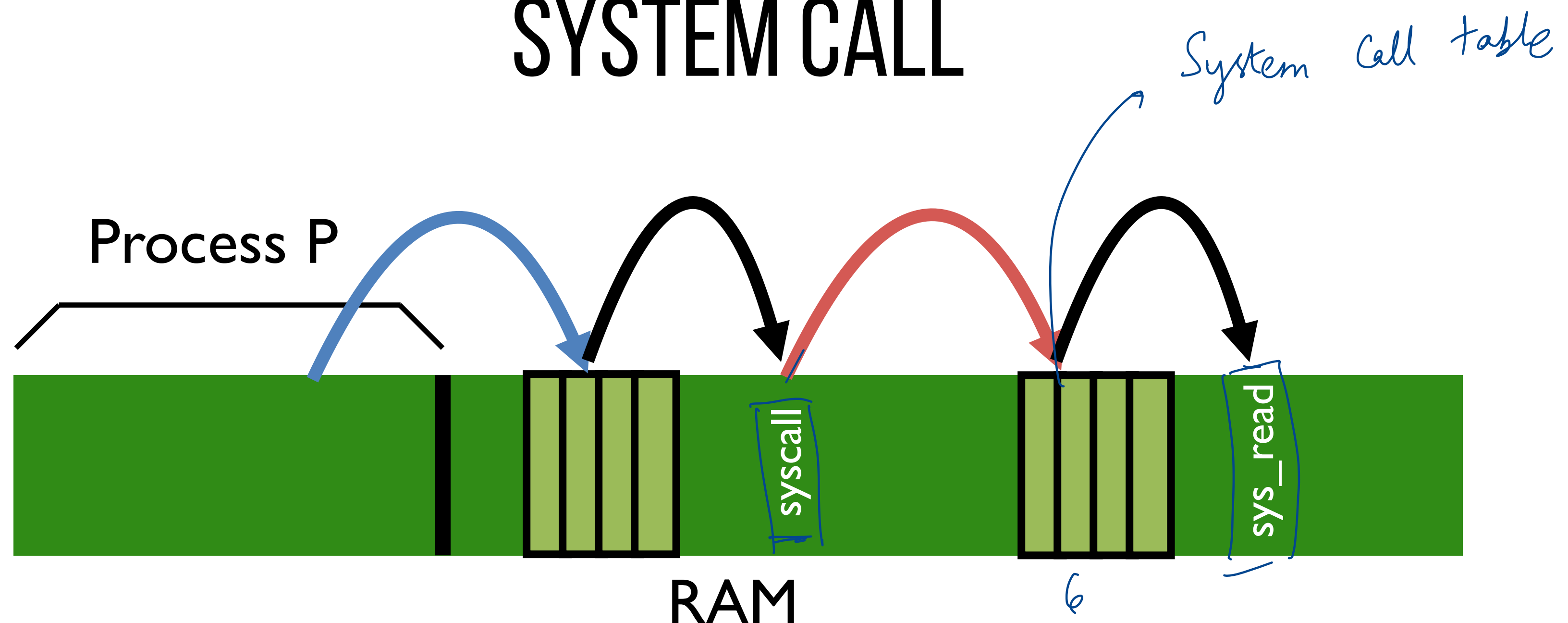
Store 6 in eax

SYSTEM CALL



Syscall table index → `movl $6, %eax;` `int $64` ← Trap table index

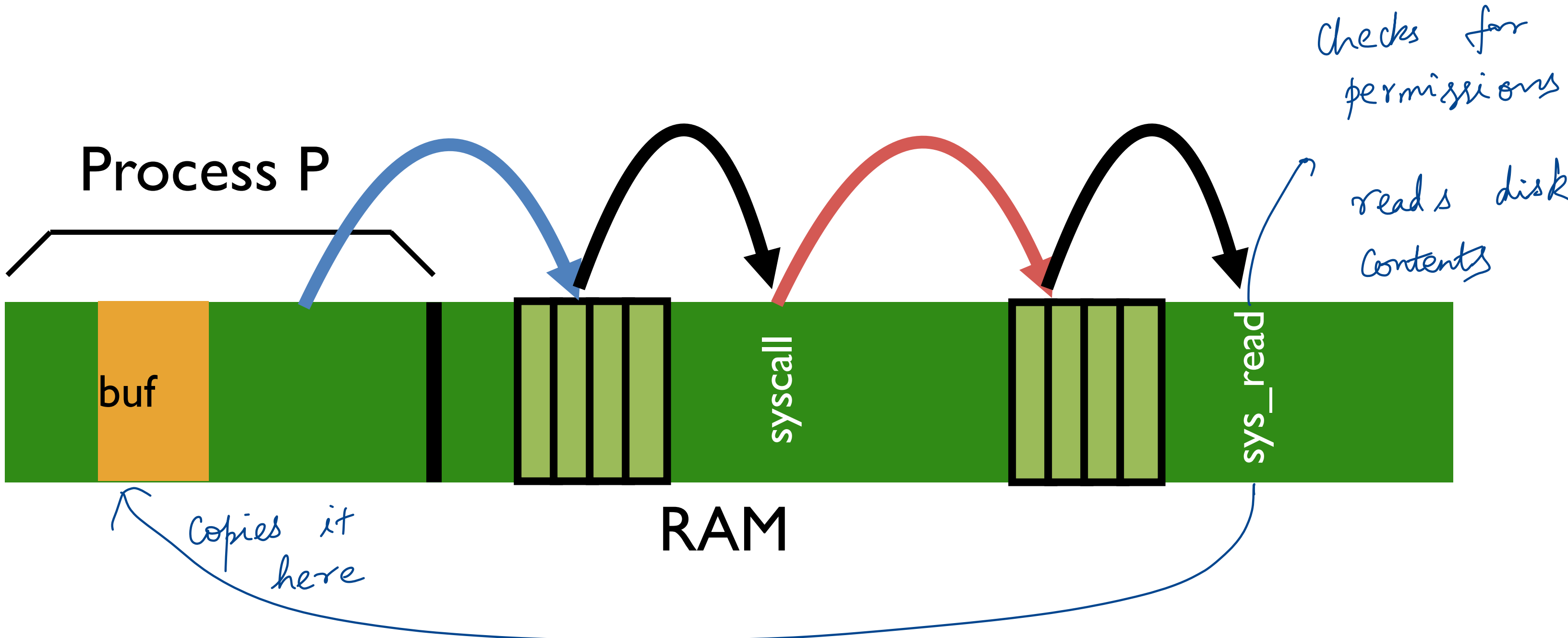
SYSTEM CALL




```
movl $6, %eax;    int $64
```

Follow entries to correct system call code

SYSTEM CALL



Kernel can access user memory to fill in user buffer
return-from-trap at end to return to Process P

SYSCALL SUMMMARY

Separate user-mode from kernel mode for security

Syscall: call kernel mode functions

- Transfer from user-mode to kernel-mode (trap)
- Return from kernel-mode to user-mode (return-from-trap)

QUIZ 2

https://tinyurl.com/cs537-sp23-quiz2

To call SYS_read the instructions we used were

movl $6, %eax
int $64

To call SYS_exec what will be the instructions?

movl <u>$9</u> %eax
int <u>$64</u> → Same for all system calls.

```
// System call numbers
#define SYS_fork    1
#define SYS_exit    2
#define SYS_wait    3
#define SYS_pipe    4
#define SYS_write   5
#define SYS_read    6
#define SYS_close   7
#define SYS_kill    8
#define SYS_exec    9
#define SYS_open   10
```

PROBLEM2: HOW TO TAKE CPU AWAY

Policy

- To decide which process to schedule when
- Decision-maker to optimize some workload performance metric

Mechanism

- To switch between processes
- Low-level code that implements the decision

How can the OS get control?

Separation of policy and mechanism: Recurring theme in OS

DISPATCH MECHANISM

OS runs dispatch loop

```
while (1) {
     run process A for some time-slice
     stop process A and save its context
     load context of another process B
}
```

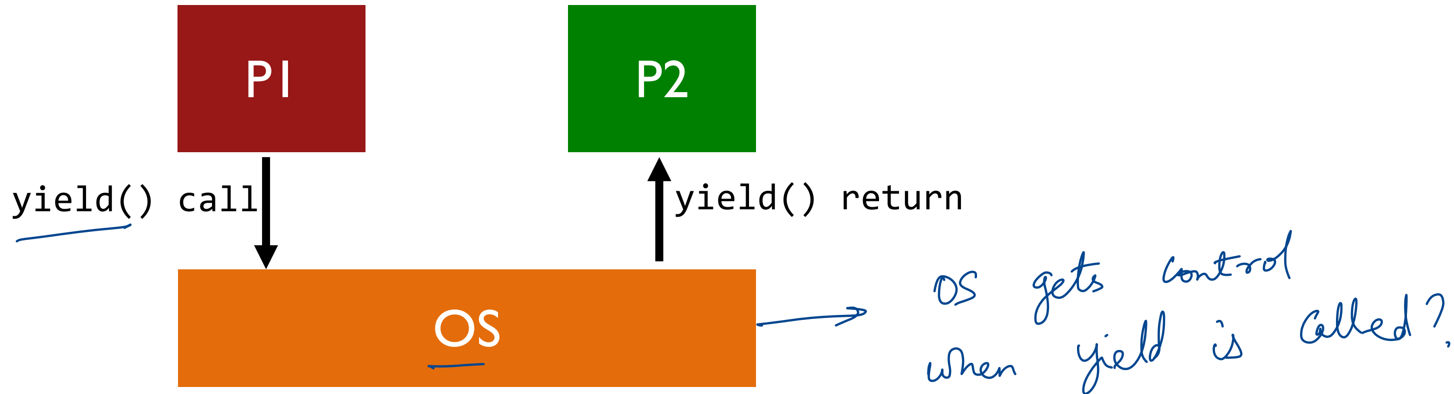
Question 1: How does dispatcher gain control?

Question 2: What must be saved and restored?

HOW DOES DISPATCHER GET CONTROL?

Option 1: Cooperative Multi-tasking: Trust process to relinquish CPU through traps

- Examples: System call, page fault (access page not in main memory), or error (illegal instruction or divide by zero)
- Provide special `yield()` system call



PROBLEMS WITH COOPERATIVE ?

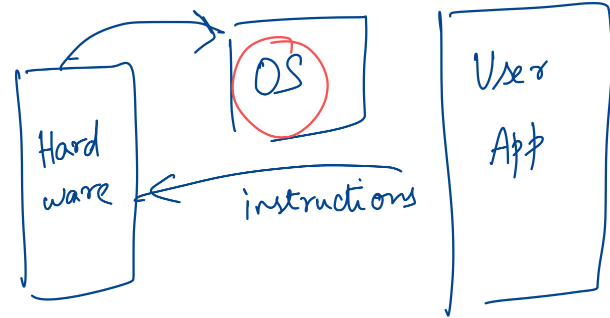
Disadvantages: Processes can misbehave

By avoiding all traps and performing no I/O, can take over entire machine

Only solution: Reboot!

Not performed in modern operating systems

TIMER-BASED INTERRUPTS



Option 2: Timer-based Multi-tasking

Guarantee OS can obtain control periodically

Enter OS by enabling periodic alarm clock

- Hardware generates timer interrupt (CPU or separate chip) Example: Every 10ms
- User must not be able to mask timer interrupt

Operating System

Hardware

Program

Process A

Operating System | Hardware | Program

Process A

timer interrupt
save regs(A) to k-stack(A)
move to kernel mode
jump to trap handler

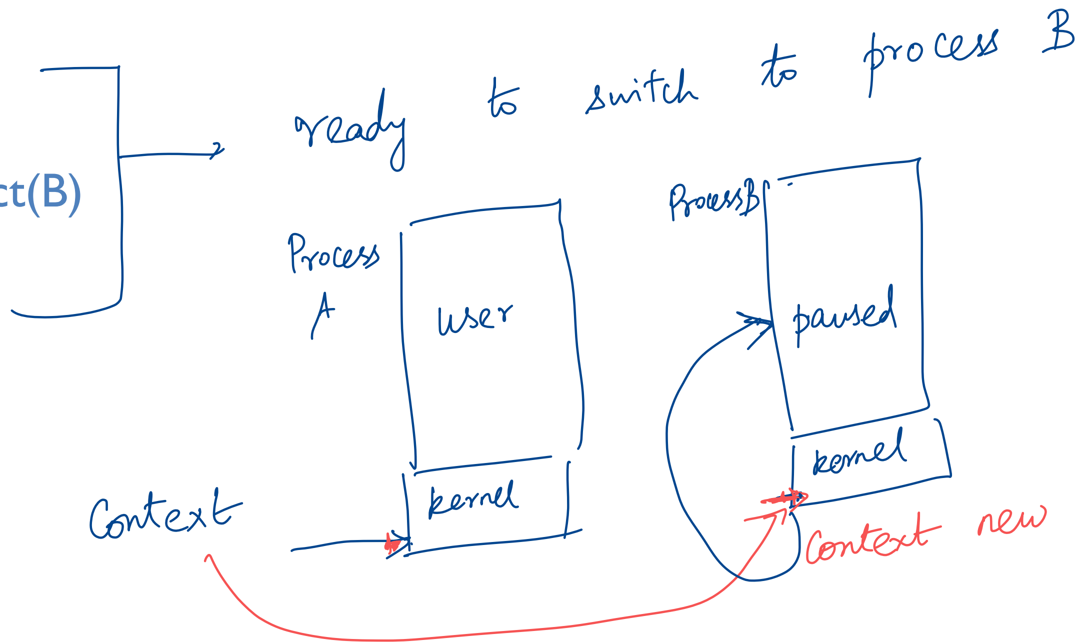
includes ip, eax, ebx...

kernel stack for process A

code for interrupt

refers to timer interrupt

Operating System	Hardware	Program
		Process A
	timer interrupt save regs(A) to k-stack(A) move to kernel mode jump to trap handler	
Handle the trap Call switch() routine save kernel regs(A) to proc-struct(A) restore kernel regs(B) from proc-struct(B) switch to k-stack(B) return-from-trap (into B)		



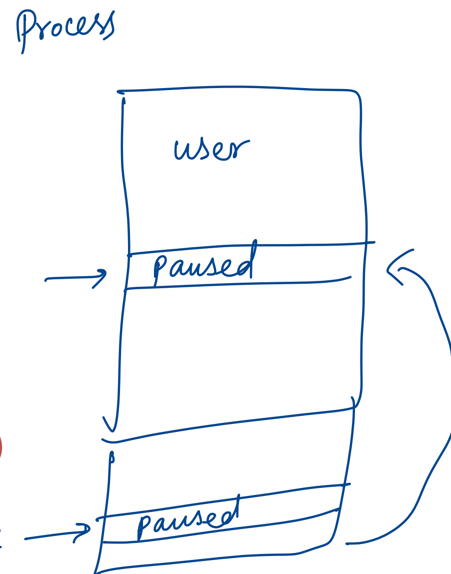
Operating System | Hardware | Program

Process A

timer interrupt
save regs(A) to k-stack(A)
move to kernel mode
jump to trap handler

Handle the trap
Call switch() routine
 save kernel regs(A) to proc-struct(A)
 restore kernel regs(B) from proc-struct(B)
 switch to k-stack(B)
 return-from-trap (into B)

restore regs(B) from k-stack(B)
move to user mode
jump to B's IP



Operating System	Hardware	Program
		Process A
	timer interrupt save regs(A) to k-stack(A) move to kernel mode jump to trap handler	
Handle the trap Call switch() routine save kernel regs(A) to proc-struct(A) restore kernel regs(B) from proc-struct(B) switch to k-stack(B) return-from-trap (into B)		
	restore regs(B) from k-stack(B) move to user mode jump to B's IP	
		Process B

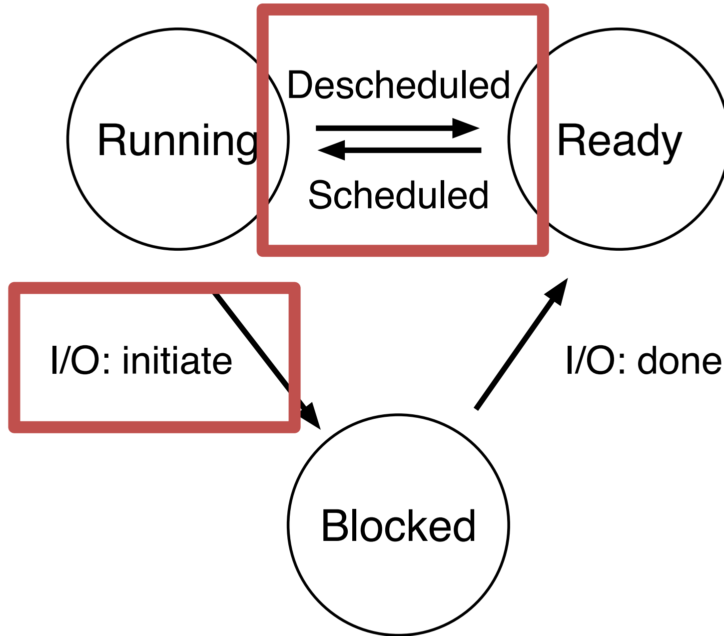
SUMMARY

Process: Abstraction to virtualize CPU

Use time-sharing in OS to switch between processes

Key aspects

- Use system calls to run access devices etc. from user mode
- Context-switch using interrupts for multi-tasking



POLICY ?
NEXT CLASS!

NEXT STEPS

Project 1: Due Feb 1 (Wednesday) at 10pm

Project 2: Out on Feb 1

Waitlist? Email enrollment@cs.wisc and cc me (will finalize by Monday)