

1. **(Euclidean disk-cover.)** Given  $n$  points  $p_1, \dots, p_n$  in an area  $I \subset \mathbb{R}^2$ , our goal in this problem is to cover all of these points with as few disks of diameter  $D > 0$  as possible. In this question you will develop a polynomial-time approximation scheme for this problem.

(a) Suppose at first that  $I$  is a square of side-length  $\ell D$  for some constant  $\ell > 0$ . Give a polynomial-time algorithm that solves these instances exactly. (Remember that  $\ell$  is a constant, so it is okay to have a running time exponential in  $\ell$ .)

*(Hint: Show that you only need  $O(\ell^2)$  disks to cover  $I$ , and that you only need to consider  $O(n^3)$  positions for each disk.)*

(b) For a general  $I$ , consider the following partitioning strategy: partition  $I$  along the  $x$ -axis into strips of width  $\ell D$ . Let this partition be  $\Pi_1 = \{S_1^1, \dots, S_k^1\}$ . We are going to approximate each of these segments separately. But since this partitioning may not work well for some pathological examples, we will consider  $\ell$  different partitions and pick the best over all of them. The second partition  $\Pi_2$  is obtained by “shifting” each of the  $S_j^1$ 's by a distance  $D$  to the right ( $S_k^1$  is shifted cyclically—the shifted set  $S_k^2$  covers the leftmost strip of width  $D$  that was previously covered by  $S_1^1$ ). Partitions  $\Pi_3, \dots, \Pi_\ell$  are obtained similarly. Note that  $\Pi_{\ell+1} = \Pi_1$ . In the following, let  $\Pi_i = \{S_1^i, \dots, S_k^i\}$ .

Now suppose that we are given an algorithm  $A$  that gives a  $\rho$ -approximation for the problem whenever the length of  $I$  is bounded by  $\ell D$  along one dimension. For each of the  $\Pi_i$ 's, we compute a solution as follows: use  $A$  to compute feasible disk-covers  $C_1^i, \dots, C_k^i$  for each of the strips  $S_1^i, \dots, S_k^i$ . Then,  $\text{APX}^i = C_1^i \cup \dots \cup C_k^i$ . Return

$$\text{APX} = \operatorname{argmin}_{1 \leq i \leq \ell} |\text{APX}^i|$$

Show that  $|\text{APX}| \leq \rho(1 + 1/\ell)|\text{OPT}|$ .

(c) How do (a) and (b) lead to a PTAS for the Euclidean disk-cover problem?

2. **(Vertex cover in planar graphs.)** It is well-known that planar graphs are 4-colorable. In other words, any planar graph can be partitioned into 4 independent sets. Show how you can use an algorithm for 4-coloring a planar graph to find a  $3/2$ -approximation to vertex cover in the graph.

*Hint: Use the half-integrality of vertex cover.*

3. **(K-median.)** The  $K$ -median problem is a variant of facility location in which facilities don't have opening costs, but we can open at most  $K$  of them. In particular, given a complete graph  $G = (V, E)$  with non-negative distances  $d : E \rightarrow \mathbb{R}_+$  and a number  $K$ , find a set  $S \subseteq V$  of size at most  $K$  that minimizes the routing cost  $C_r(S) = \sum_{v \in V} \min_{s \in S} d(s, v)$ .

Note that the distances  $d$  do not necessarily form a metric (that is, they may not satisfy the triangle inequality). We will allow our solution to approximate both the number of medians picked ( $|S|$ ) and the routing cost  $C_r(S)$ .

(a) Formulate the  $K$ -median problem as an ILP. Let the optimal value of its LP relaxation be  $C^*$ .

(b) For the general (non-metric) case, show how to round this LP solution to an integer solution with at most  $O((1 + \epsilon) \log |V| \cdot K)$  medians and routing cost  $O((1 + \frac{1}{\epsilon}) \cdot C^*)$  for any  $\epsilon > 0$ .

*(Hint: Use the filtering technique of Lin-Vitter from class.)*

(c) For the metric case (that is, when the distances  $d$  obey the triangle inequality), show how to round this LP solution to an integer solution with at most  $O((1 + \epsilon) \cdot K)$  medians and routing cost  $O((1 + \frac{1}{\epsilon}) \cdot C^*)$  for any  $\epsilon > 0$ .

4. **(Multiway Cut revisited.)** We can look at MULTIWAY CUT as a coloring problem: color each node in  $V$  with one of  $k$  colors such that the terminal  $t_i$  is colored with color  $i$ , so as to minimize the number of bichromatic edges. (Make sure you believe this!)

Consider an extension of the problem: we are given a “coloring cost” function for each vertex  $v \in V$ ,  $C_v : [k] \rightarrow \mathbb{R}_{\geq 0}$ , such that the cost of coloring  $v$  with color  $i$  is  $C_v(i)$ . Now we want to find a coloring  $f : V \rightarrow [k]$  so as to minimize the total cost

$$\Phi(f) = \sum_{v \in V} C_v(f(v)) + \text{number of bichromatic edges in } f. \quad (1)$$

Note that if we set  $C_{t_j}(i)$  to be 0 if  $i = j$  and  $\infty$  otherwise, and for each non-terminal node  $v$ , we set  $C_v(i) = 0$  for all colors  $i$ , then we get back the MULTIWAY CUT problem.

- (a) Our local search algorithm will make moves of the following form: if we are at coloring  $f$ , pick a color  $i$  and try to find the *best* coloring  $f'$  obtained from  $f$  by recoloring some of the vertices by the color  $i$ . I.e.,  $f'$  satisfies the property that either  $f'(v) = i$  or  $f'(v) = f(v)$ , and it is the one with the least cost over all such colorings. Call such a best coloring an *i-move*. (In case of ties, choose one arbitrarily.) *Note that we have not shown how to find such an i-move; we will discuss this issue later.*

Show that if  $f$  is a local optimum with respect to these moves, (i.e., none of the  $k$  potential  $i$ -moves results in the cost strictly decreasing), then  $\Phi(f) \leq 2\Phi(\text{OPT})$ . As usual, **OPT** is the optimal coloring.

- (b) Since it may take a long time to reach a local minimum, we can change the algorithm to make a move from  $f$  to  $f'$  as long as it decreases the cost by at least  $\Phi(f) \times (\epsilon/k)$ . Show that if we start from a coloring  $f_0$ , then the algorithm takes at most

$$O\left(\frac{\log\left(\frac{\Phi(f_0)}{\Phi(\text{OPT})}\right)}{-\log(1 - \epsilon/k)}\right) \quad (2)$$

local improvement steps to reach a solution of cost  $2(1 + \epsilon)\Phi(\text{OPT})$ .

- (c) Note that the number of steps in the above solution is not *strongly polynomial*: if the coloring costs  $C_v(\cdot)$  are very large, the number of rounds may be very large (albeit polynomial in the representation of the instance). One way to fix this is to choose the start state  $f_0$  carefully. Can you show a choice of  $f_0$  so that (2) is at most  $\text{poly}(n, k, \epsilon)$ ?

What about the case when  $k \gg n$ ? Can you change the algorithm so that the number of steps to reach a near-local-optimum is at most  $\text{poly}(n, \epsilon)$ ?

- (d) Suppose you now wanted to make smaller local-search moves of the form: pick a vertex  $v$  and a color  $i$ , and paint  $v$  with color  $i$  if the resulting  $\Phi(f)$  decreases. (These moves are called the *Glauber dynamics*.) Note that the new algorithm makes much smaller moves than the one above, and hence may take more time to reach a local optimum.

Are local minima of this new process also 2-approximate? Give a proof or a counterexample.

**Remark:** We did not address the question: given a color  $i$  and a coloring  $f$ , how can we find the best  $i$ -move? Despite the fact that there may be  $\Omega(2^n)$  possible  $i$ -moves to consider, we can indeed find it efficiently using an  $s$ - $t$  min-cut computation in a suitably defined graph! (We’ll show how to do this in the answers, or you can think about it.)