# Congestion Control in TCP

Outline

- Overview of RENO TCP
- Reacting to Congestion
- SS/AIMD example

1

## **TCP Congestion Control**

- The idea of TCP congestion control is for each source to determine how much capacity is available in the network, so that it knows how many packets it can safely have in transit.
  - Once a given source has this many packets in transit, it uses the arrival of an ACK as a signal that one of its packets has left the network, and that it is therefore safe to insert a new packet into the network without adding to the level of congestion.
  - By using ACKs to pace the transmission of packets, TCP is said to be *self-clocking*.

## **TCP Congestion Control**

- In more detail
  - assumes best-effort network (FIFO or FQ routers) each source determines network capacity for itself
  - uses implicit feedback
  - ACKs pace transmission (*self-clocking*)
- Challenge
  - determining the available capacity in the first place
  - adjusting to changes in the available capacity

## **Congestion Control Overview**

- Objective: adjust to changes in the available capacity
- New state variable per connection: CongestionWindow
  - limits how much data source has in transit

#### LastByteAcked)

- Idea:
  - increase CongestionWindow when congestion goes down
  - decrease **CongestionWindow** when congestion goes up

## **TCP RENO Overview**

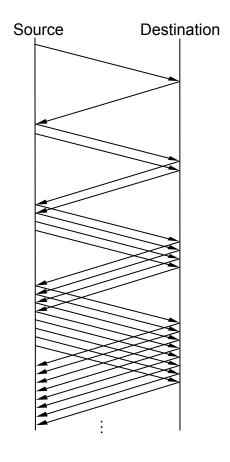
• Standard TCP functions

- Listed in last lecture: connections, reliability, etc.

- Jacobson/Karels RTT/RTO calculation
- Slow Start phase
- Congestion avoidance phase
  - Additive Increase/ Multiplicative Decrease (AIMD)
  - Fast Retransmit/Fast Recovery

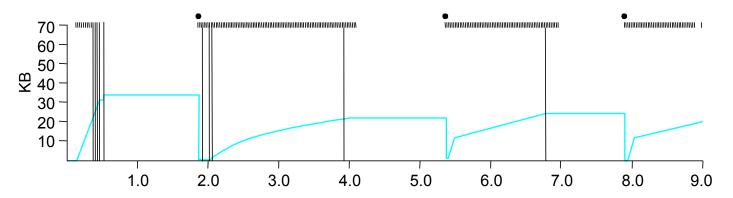
## Slow Start

- Objective: determine the available capacity in the first
  - Additive increase is too slow
    - One additional packet per RTT
- Idea:
  - begin with CongestionWindow = 1 packet
  - double CongestionWindow each RTT (increment by 1 packet for each ACK)
  - This is exponential increase to probe for available bandwidth
- SSTHRESH indicates when to begin Congestion Avoidance phase



## Slow Start contd.

- Exponential growth, but slower than all at once
- Used...
  - when first starting connection
  - when connection goes dead waiting for timeout
- Trace



• Problem: lose up to half a CongestionWindow's worth of data

## SSTHRESH and CWND

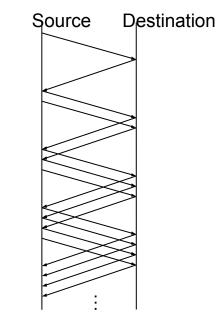
- SSTHRESH called CongestionThreshold in book
- Typically set to very large value on connection setup
- Set to one half of CongestionWindow on packet loss
  - So, SSTHRESH goes through multiplicative decrease for each packet loss
  - If loss is indicated by timeout, set CongestionWindow = 1
    - SSTHRESH and CongestionWindow always >= 1 MSS
- After loss, when new data is ACKed, increase CWND
  - Manner depends on whether we're in slow start or congestion avoidance

## Congestion avoidance

- How does the source determine whether or not the network is congested?
- Answer: a packet loss is detected
  - Either through a timeout
    - timeout signals that a packet was lost
    - packets are seldom lost due to transmission error
    - lost packet implies congestion
    - RTO calculation is critical
  - Or through duplicate acks (triple)

## **Congestion Avoidance**

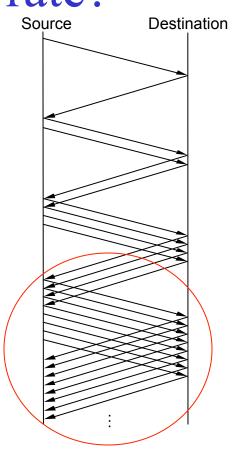
- Algorithm
  - increment CongestionWindow by one packet per RTT (*linear increase*)
  - divide CongestionWindow by two whenever a timeout occurs (*multiplicative decrease* - *fast*!!)



- CongestionWindow always >= 1 MSS
- In practice: increment a little for each ACK
   Increment = 1/CongestionWindow
   CongestionWindow += Increment
   MSS = max segment size = size of a single packet

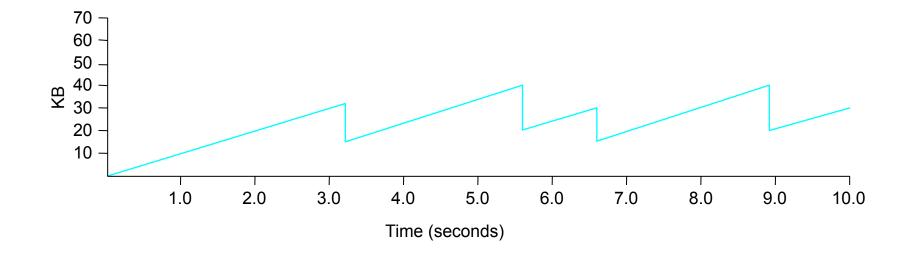
## What is TCP's sending rate?

- Roughly WindowSize / RTT
- Sender can roughly send one WindowSize worth of data before and no more, until the first acks come back



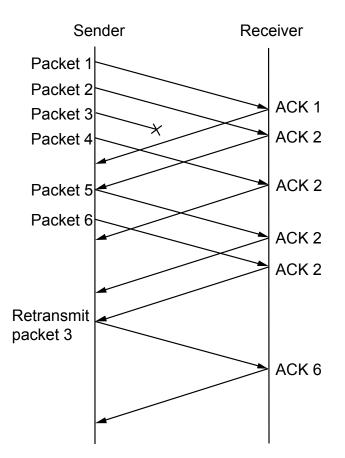
## AIMD (cont)

• Trace: sawtooth behavior

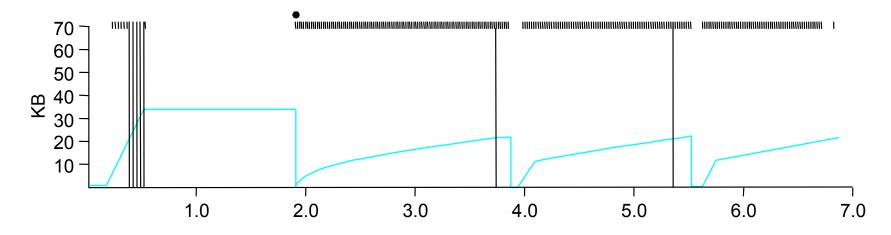


#### Fast Retransmit and Fast Recovery

- Problem: coarse-grain TCP timeouts lead to idle periods
- Fast retransmit: use 3 duplicate ACKs to trigger retransmission
- Fast recovery: start at SSTHRESH and do additive increase after fast retransmit



#### Fast Retransmit Results



- This is a graph of fast retransmit only
  - Avoids some of the timeout losses
- Fast recovery
  - skip the slow start phase in this graph at 3.8 and 5.5 sec
  - go directly to half the last successful CongestionWindow (ssthresh)

#### In summary

- TCP Slow Start:
  - On each new ack:
    - CW = CW + 1 (MSS)
- TCP Congestion Avoidance
  - On each new ack:
    - CW = CW + 1/CW (in MSS)
  - On triple dupack
    - SSThresh = CW/2
    - CW = CW + 3 (MSS)

- On timeout
  - CW = 1 (MSS)

- On each additional dupack
  - CW = CW + 1 (MSS)
- On new ack
  - CW = SSThresh

## More on TCP Congestion Control

## **TCP Congestion Control**

- Very simple mechanisms in network

   FIFO scheduling with shared buffer pool
   Feedback through packet drops
- End-host TCP interprets drops as signs of congestion and slows down → reduces size of congestion window
- But then, periodically probes or increases congestion window

– To check whether more bandwidth has become available

## **Congestion Control Objectives**

- Simple router behavior
- Distributed-ness
- Efficiency:  $\Sigma x_i(t)$  close to system capacity
- Fairness: equal (or propotional) allocation - Metric =  $(\Sigma x_i)^2/n(\Sigma x_i^2)$
- Convergence: control system must be stable

### Linear Control

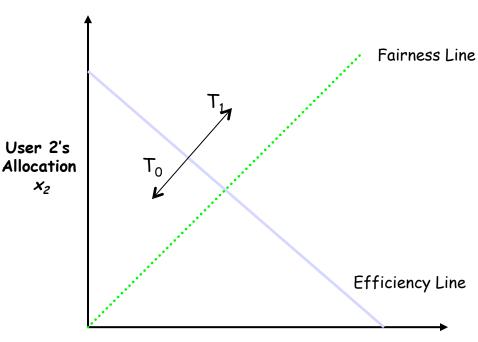
- Many different possibilities for reaction to congestion and probing
  - Examine simple linear controls
    - Window(t + 1) = a + b Window(t)
    - Different  $a_i/b_i$  for increase and  $a_d/b_d$  for decrease
- Various reaction to signals possible
  - Increase/decrease additively
  - Increased/decrease multiplicatively
  - Which of the four combinations is optimal?
    - Consider two end hosts vying for network bandwidth

#### Four alternatives

- Additive Increase Additive Decrease (AIAD)
- Additive Increase Multiplicative Decrease (AIMD)
- Multiplicative Increase Additive Decrease (MIAD)
- Multiplicative Increase Multiplicative Decrease (MIMD)
- So why pick AIMD?

#### Additive Increase/Decrease

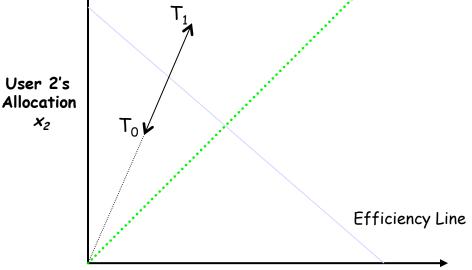
- Both X<sub>1</sub> and X<sub>2</sub> increase/ decrease
   by the same amount over time
  - Additive increase improves fairness
     and additive decrease reduces fairness



User 1's Allocation  $x_1$ 

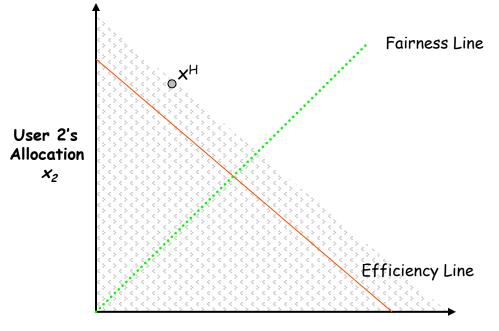
### • Both $X_1$ and $X_2$ increase by the same factor over time

Extension from
 origin – constant
 fairness



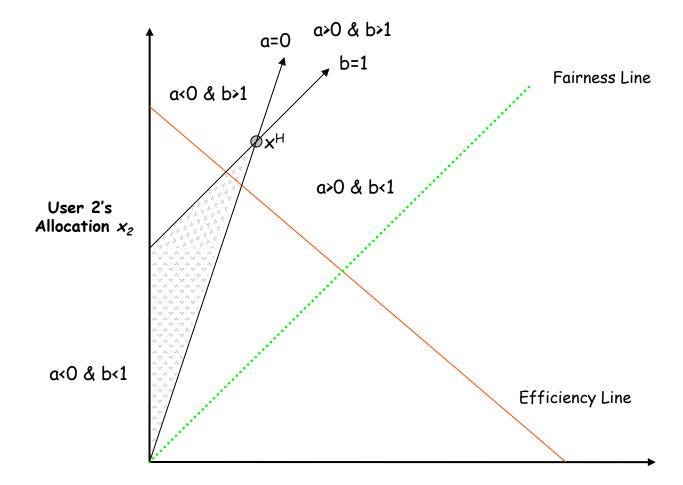
User 1's Allocation  $x_1$ 

#### Convergence to Efficiency



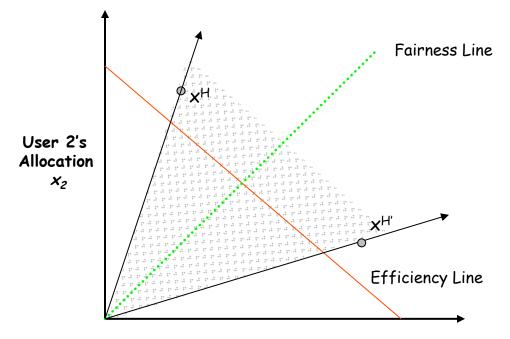


#### Distributed Convergence to Efficiency





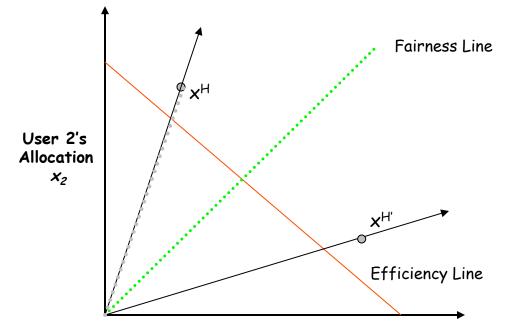
#### **Convergence to Fairness**





#### Convergence to Efficiency & Fairness

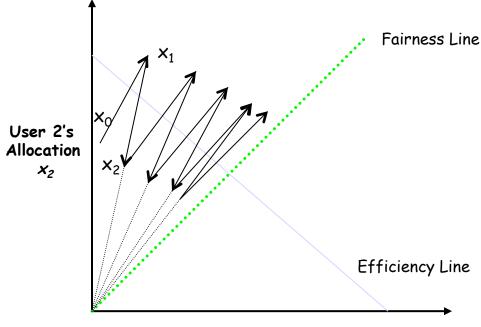
- Intersection of valid regions
- For decrease: a=0 & b < 1





## What is the Right Choice?

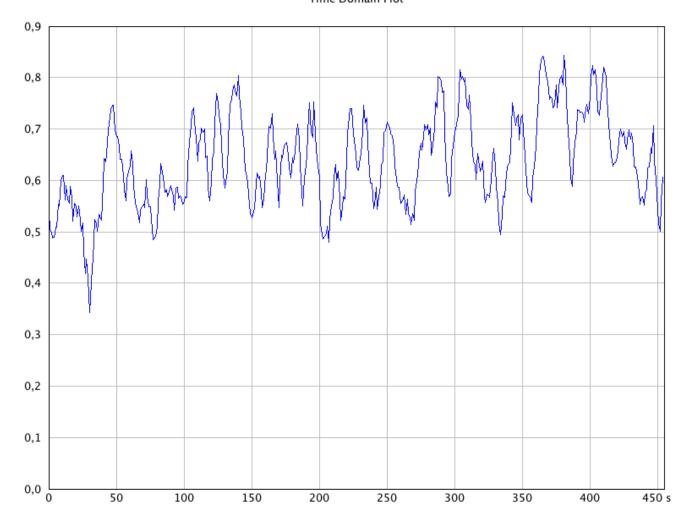
- Constraints limit us to AIMD
  - Can have multiplicative term in increase (MAIMD)
  - AIMD moves towards optimal point



User 1's Allocation  $x_1$ 

Exponentially Weighted Moving Average

## Smoothing of data



#### Smoothing of data

• Simple moving average

$$s_{t} = \frac{1}{k} \sum_{n=0}^{k-1} x_{t-n} = \frac{x_{t} + x_{t-1} + x_{t-2} + \dots + x_{t-k+1}}{k} = s_{t-1} + \frac{x_{t} - x_{t-k}}{k},$$

#### Smoothing of data

• Weighted moving average

$$s_t = \sum_{n=1}^k w_n x_{t+1-n} = w_1 x_t + w_2 x_{t-1} + \dots + w_k x_{t-k+1}.$$

#### Smoothing data

• Exponentially Weighted Moving Average (EWMA)

$$s_0 = x_0$$
  
 $s_t = \alpha x_t + (1 - \alpha)s_{t-1}, t > 0$ 

#### Smoothing data

• Exponentially Weighted Moving Average (EWMA)

$$s_0 = x_0$$
  
 $s_t = \alpha x_t + (1 - \alpha) s_{t-1}, \ t > 0$ 

$$s_{t} = \alpha x_{t} + (1 - \alpha) s_{t-1}$$
  
=  $\alpha x_{t} + \alpha (1 - \alpha) x_{t-1} + (1 - \alpha)^{2} s_{t-2}$   
=  $\alpha \left[ x_{t} + (1 - \alpha) x_{t-1} + (1 - \alpha)^{2} x_{t-2} + (1 - \alpha)^{3} x_{t-3} + \dots + (1 - \alpha)^{t-1} x_{0} \right] + (1 - \alpha)^{t} s_{0}.$ 

#### Accelerometer

