

The Complexity of Planar Boolean #CSP with Complex Weights

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University of Wisconsin-Madison

Joint with:
Heng Guo (University of Wisconsin-Madison)

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 - $\#\text{CSP}(\mathcal{F})$
 - Any domain size

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 - $\text{Holant}^*(f)$ (symmetric arity 3)
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 - Domain size 2 (Boolean domain)

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This talk:

- $\text{PI-}\#\text{CSP}(\mathcal{F})$
- Domain size 2
- View $\text{PI-}\#\text{CSP}(\mathcal{F})$ in **Holant** framework

$$\text{OR}_2 = [0, 1, 1]$$

$$\text{AND}_3 = [0, 0, 0, 1]$$

$$\text{EVEN-PARITY}_4 = [1, 0, 1, 0, 1]$$

$$\text{MAJORITY}_5 = [0, 0, 0, 1, 1, 1]$$

$$(\text{=}_6) = \text{EQUALITY}_6 = [1, 0, 0, 0, 0, 0, 1]$$

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$$(\text{=}_6) = \text{EQUALITY}_6 = [1, 0, 0, 0, 0, 0, 1]$$

$$(\text{=}_n) = [1, 0, \dots, 0, 1]^T = \begin{bmatrix} 1 \\ 0 \end{bmatrix}^{\otimes n} + \begin{bmatrix} 0 \\ 1 \end{bmatrix}^{\otimes n}$$

Quick Review: Holographic transformation

- A transformation by the Hadamard matrix $H = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$

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$$\begin{aligned} H^{\otimes n}(=n) &= H^{\otimes n} \left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}^{\otimes n} + \begin{bmatrix} 0 \\ 1 \end{bmatrix}^{\otimes n} \right) \\ &= \left(H \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right)^{\otimes n} + \left(H \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right)^{\otimes n} \\ &= \begin{bmatrix} 1 \\ 1 \end{bmatrix}^{\otimes n} + \begin{bmatrix} 1 \\ -1 \end{bmatrix}^{\otimes n} \\ &= [2, 0, 2, 0, 2, 0, 2, \dots]^T && (n + 1 \text{ entries}) \\ &= 2 \cdot \text{EVEN-PARITY}_n \end{aligned}$$

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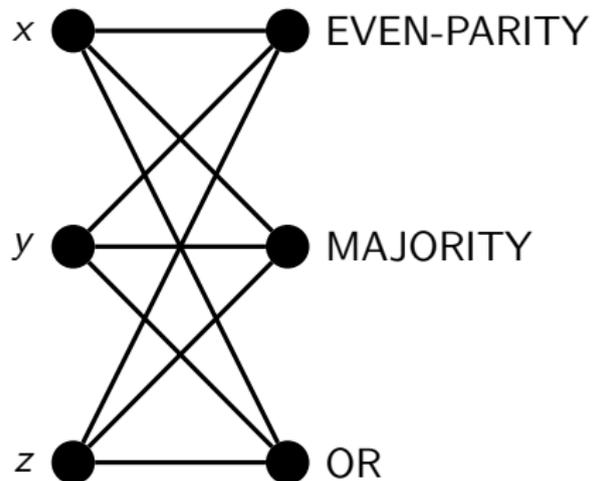
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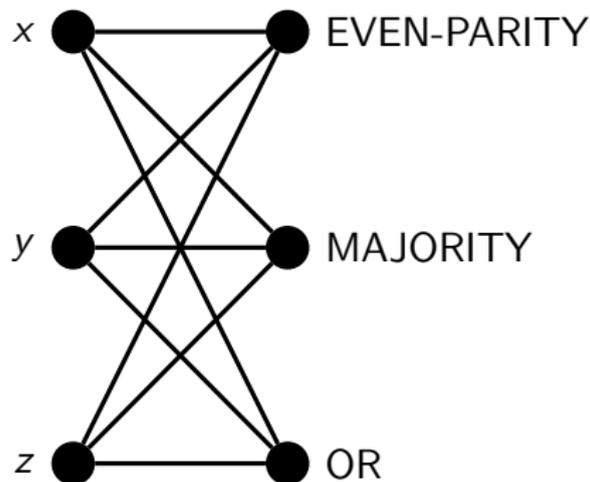
Note: $H\widehat{\mathcal{F}} = \mathcal{F}$ since $H\widehat{\mathcal{F}} = HH\mathcal{F} = 2\mathcal{F} = \mathcal{F}$

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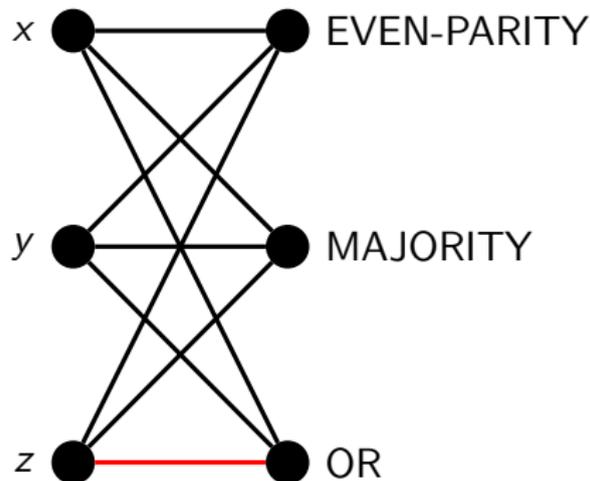


$\text{EVEN-PARITY}(x, y, z) \wedge \text{MAJORITY}(x, y, z) \wedge \text{OR}(x, y, z)$



NOT planar, so **NOT** an instance of
 $\text{PI-}\# \text{CSP}(\{\text{EVEN-PARITY}_3, \text{MAJORITY}_3, \text{OR}_3\})$

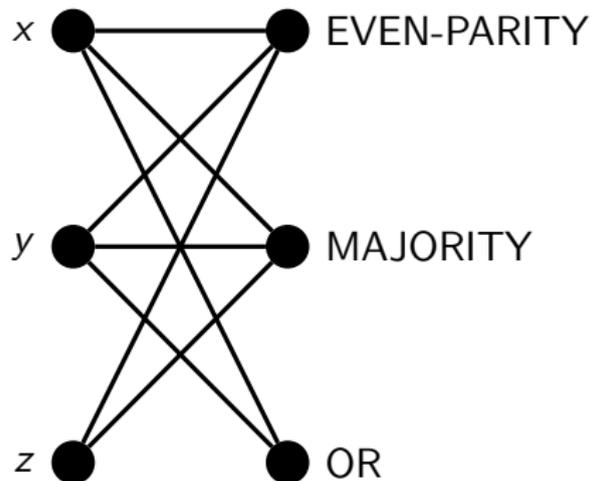
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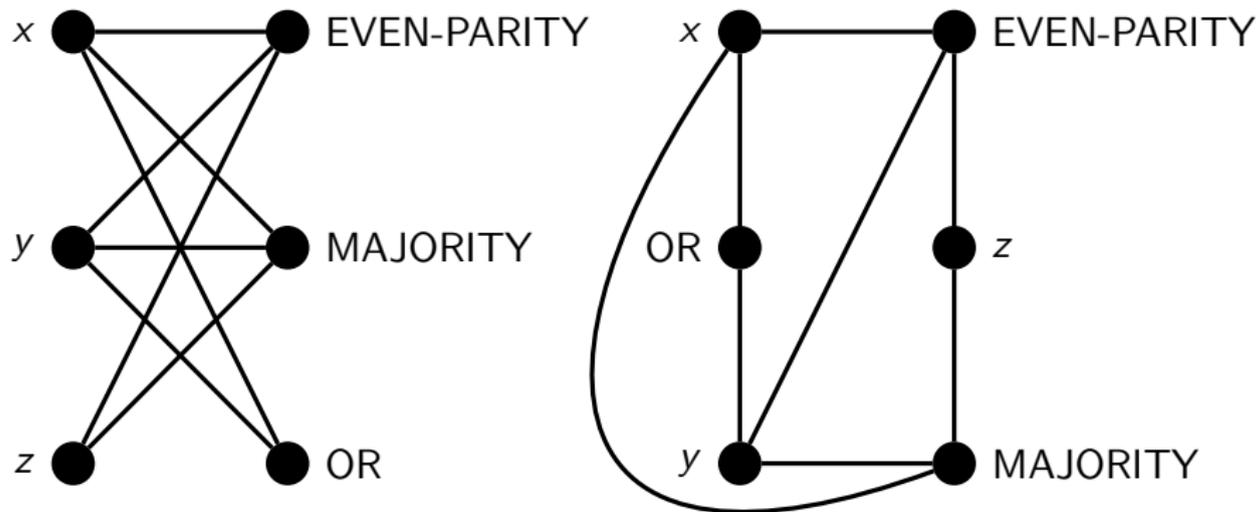
Constraint Graph

$$\text{EVEN-PARITY}(x, y, z) \wedge \text{MAJORITY}(x, y, z) \wedge \text{OR}(x, y)$$



Constraint Graph

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VALID instance of $\text{PI-}\#\text{CSP}(\{\text{EVEN-PARITY}_3, \text{MAJORITY}_3, \text{OR}_2\})$

#CSP(\mathcal{F})

- On input with (bipartite) constraint graph $G = (V, C, E)$, compute

$$\sum_{\sigma: V \rightarrow \{0,1\}} \prod_{c \in C} f_c(\sigma|_{N(c)}),$$

where $N(c)$ are the neighbors of c .

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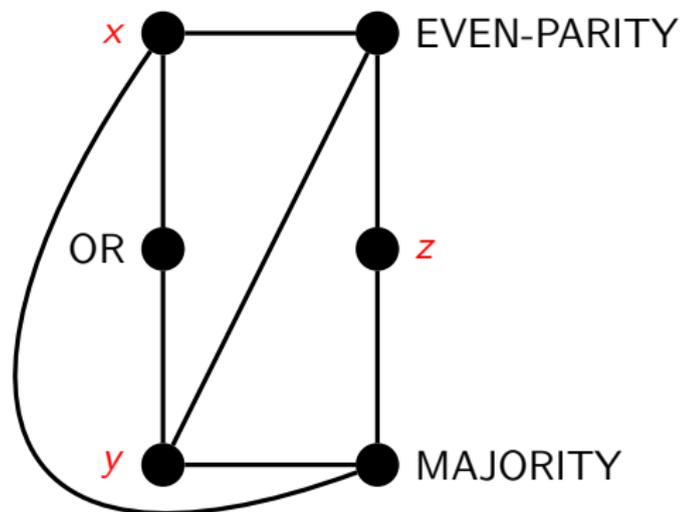
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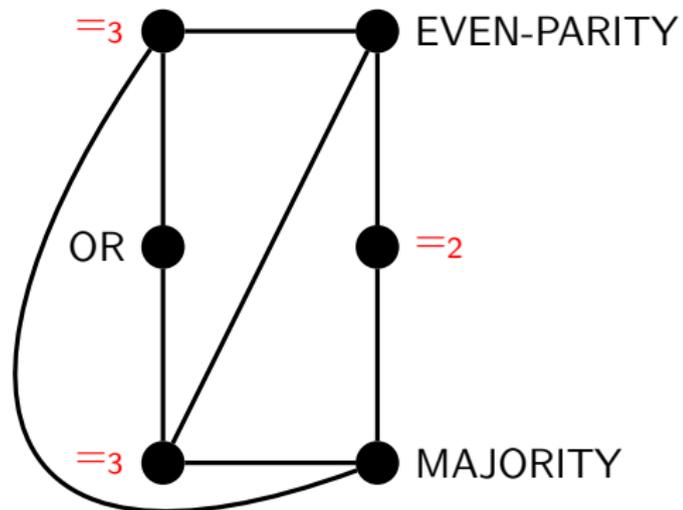
$$\#CSP(\mathcal{F}) \equiv_T \text{Holant}(\mathcal{EQ} \mid \mathcal{F}) \equiv_T \text{Holant}(\mathcal{EQ} \cup \mathcal{F}),$$

where $\mathcal{EQ} = \{=_1, =_2, =_3, \dots\}$ is the set of equalities of all arities.

Example



Example



Some Signature Sets

Affine signatures $\mathcal{A} = \mathcal{F}_1 \cup \mathcal{F}_2 \cup \mathcal{F}_3$, where

$$\mathcal{F}_1 = \left\{ \lambda \left([1, 0]^{\otimes k} + i^r [0, 1]^{\otimes k} \right) \mid \lambda \in \mathbb{C}, k = 1, 2, \dots, r = 0, 1, 2, 3 \right\}$$

$$\mathcal{F}_2 = \left\{ \lambda \left([1, 1]^{\otimes k} + i^r [1, -1]^{\otimes k} \right) \mid \lambda \in \mathbb{C}, k = 1, 2, \dots, r = 0, 1, 2, 3 \right\}$$

$$\mathcal{F}_3 = \left\{ \lambda \left([1, i]^{\otimes k} + i^r [1, -i]^{\otimes k} \right) \mid \lambda \in \mathbb{C}, k = 1, 2, \dots, r = 0, 1, 2, 3 \right\}.$$

Up to a scalar from \mathbb{C} :

- | | | |
|---|--|-----------------------------|
| ① | $[1, 0, \dots, 0, \pm 1];$ | $(\mathcal{F}_1, r = 0, 2)$ |
| ② | $[1, 0, \dots, 0, \pm i];$ | $(\mathcal{F}_1, r = 1, 3)$ |
| ③ | $[1, 0, 1, 0, \dots, 0 \text{ or } 1];$ | $(\mathcal{F}_2, r = 0)$ |
| ④ | $[1, -i, 1, -i, \dots, (-i) \text{ or } 1];$ | $(\mathcal{F}_2, r = 1)$ |
| ⑤ | $[0, 1, 0, 1, \dots, 0 \text{ or } 1];$ | $(\mathcal{F}_2, r = 2)$ |
| ⑥ | $[1, i, 1, i, \dots, i \text{ or } 1];$ | $(\mathcal{F}_2, r = 3)$ |
| ⑦ | $[1, 0, -1, 0, 1, 0, -1, 0, \dots, 0 \text{ or } 1 \text{ or } (-1)];$ | $(\mathcal{F}_3, r = 0)$ |
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| ⑨ | $[0, 1, 0, -1, 0, 1, 0, -1, \dots, 0 \text{ or } 1 \text{ or } (-1)];$ | $(\mathcal{F}_3, r = 2)$ |
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Product-type signatures \mathcal{P} are:

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They satisfy

- Parity condition
- Geometric progression

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Example:

$$HEQ = \widehat{\mathcal{E}Q} = \{2 \cdot \text{EVEN-PARITY}_n \mid n \in \mathbb{Z}^+\}$$

Theorem

Let \mathcal{F} be any set of symmetric, complex-valued signatures in Boolean variables.

Then $\text{PI-}\#\text{CSP}(\mathcal{F})$ is $\#\text{P}$ -hard unless $\mathcal{F} \subseteq \mathcal{A}$, $\mathcal{F} \subseteq \mathcal{P}$, or $\mathcal{F} \subseteq \widehat{\mathcal{M}}$, in which case the problem is in P .

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Then $\text{PI-Holant}(\mathcal{F} \cup \widehat{\mathcal{EQ}})$ is $\#\text{P}$ -hard unless $\mathcal{F} \subseteq \mathcal{A}$, $\mathcal{F} \subseteq \widehat{\mathcal{P}}$, or $\mathcal{F} \subseteq \mathcal{M}$, in which case the problem is in P .

Theorem

If f is a non-degenerate, symmetric, complex-valued *signature of arity 4* in Boolean variables, then $\text{Pl-Holant}(f)$ is $\#P$ -hard unless f is

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Definition (\mathcal{F} -transformable)

A signature f is \mathcal{F} -transformable if there exists $T \in \mathbb{C}^{2 \times 2}$ such that

- $f \in T\mathcal{F}$ and
- $\text{=}_2 T^{\otimes 2} \in \mathcal{F}$.

[Cai, Lu, Xia 10]

- Dichotomy for $\text{PI-}\#\text{CSP}(\mathcal{F})$ with **REAL** weights

[Cai, Lu, Xia 10]

- Dichotomy for $\text{Pl-}\#\text{CSP}(\mathcal{F})$ with **REAL** weights
- Dichotomy for $\text{Pl-Holant}(f)$ for **arity 3 signature** with complex weights

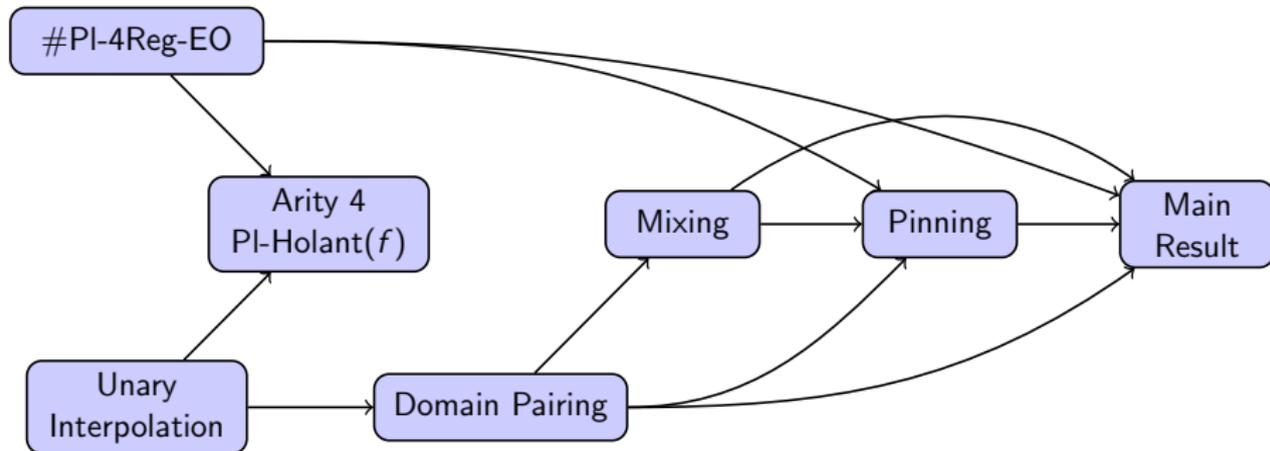
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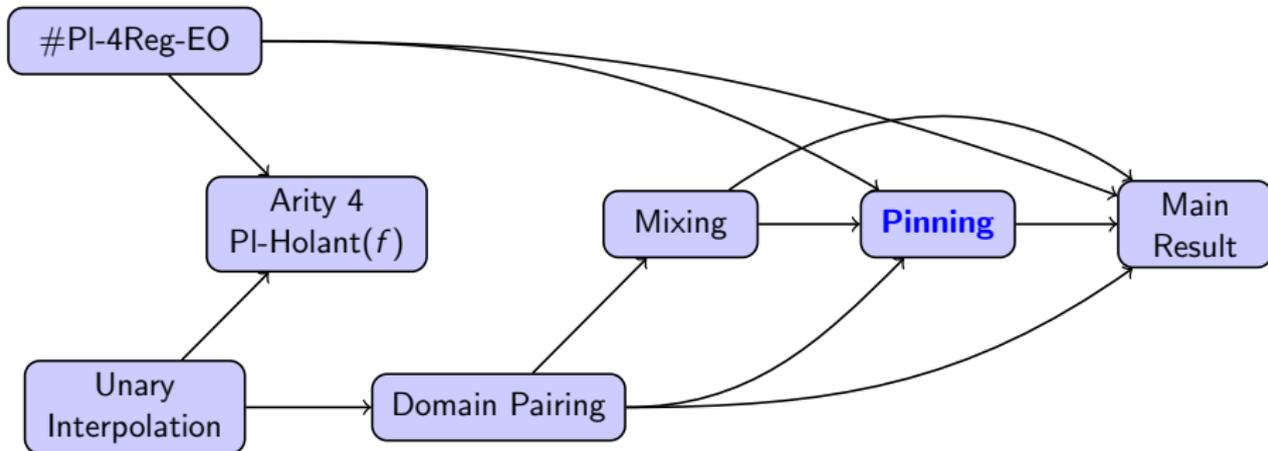
[Cai, Kowalczyk 10]

- Dichotomy for $\text{Pl-}\#\text{CSP}([a, b, c])$ with complex weights

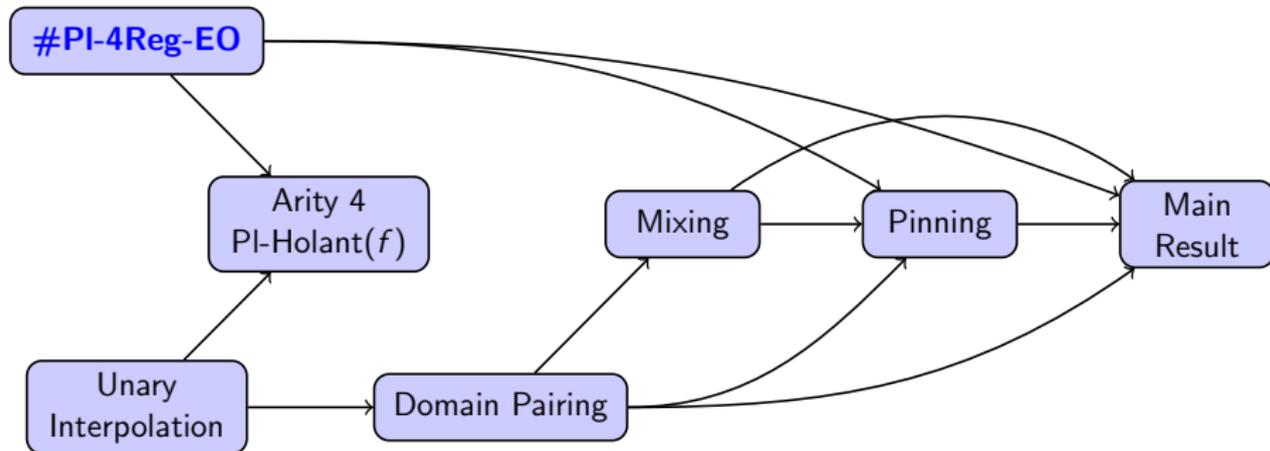
Proof Outline: Dependency Graph



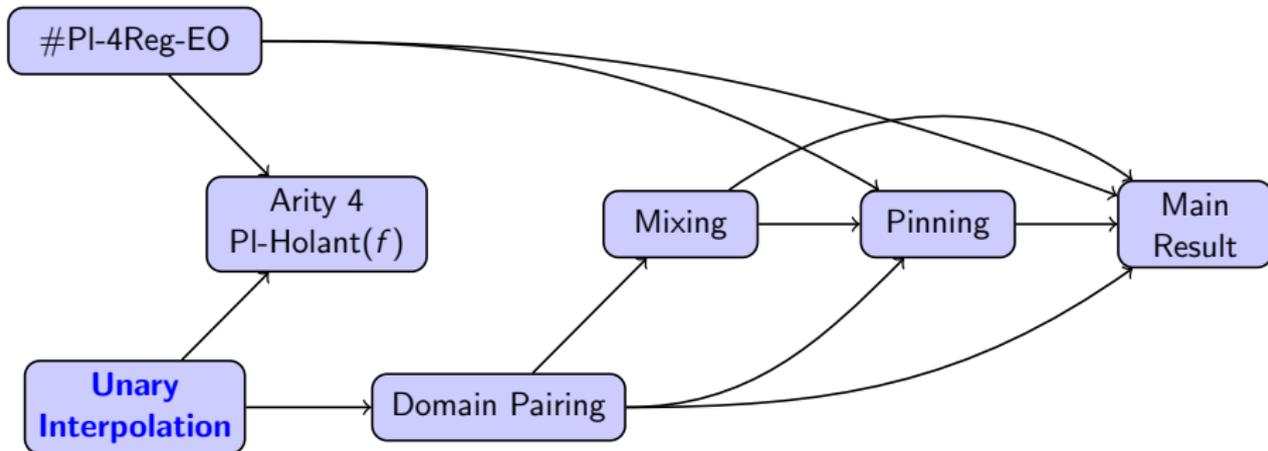
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Lemma

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Lemma

$\text{PI-Holant}(\mathcal{F} \cup \widehat{\mathcal{E}\mathcal{Q}})$ is $\#P$ -hard (or in P)

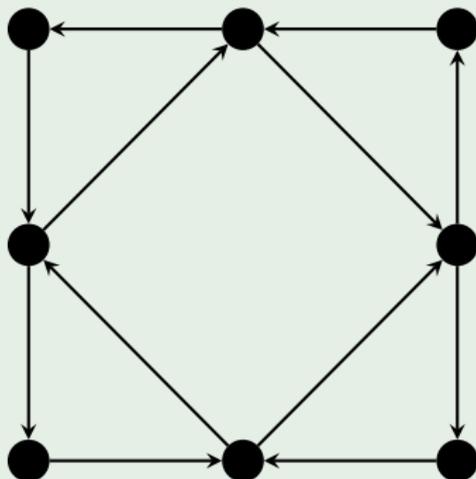


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Definition

At each vertex in an **Eulerian orientation** of a graph,
in-degree equals out-degree.

Example



Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

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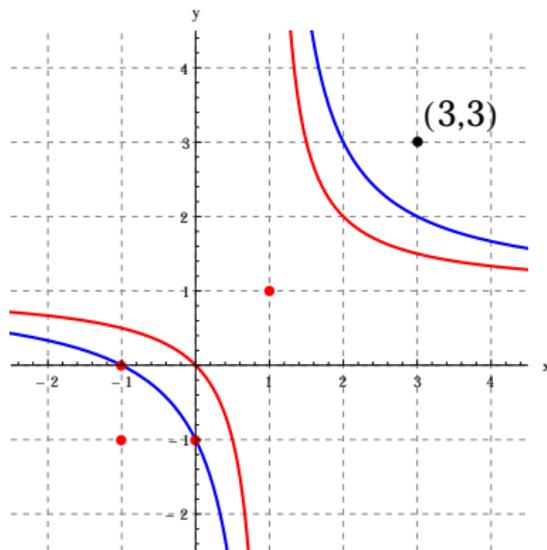
Proof.

Reduction from the evaluation of the Tutte polynomial at the point $(3, 3)$ for **planar** graphs:

$$\begin{aligned} \text{PI-Tutte}(3, 3) &\leq_T \quad \vdots \\ &\leq_T \text{\#PI-4Reg-EO} \end{aligned}$$

Theorem (Vertigan 05)

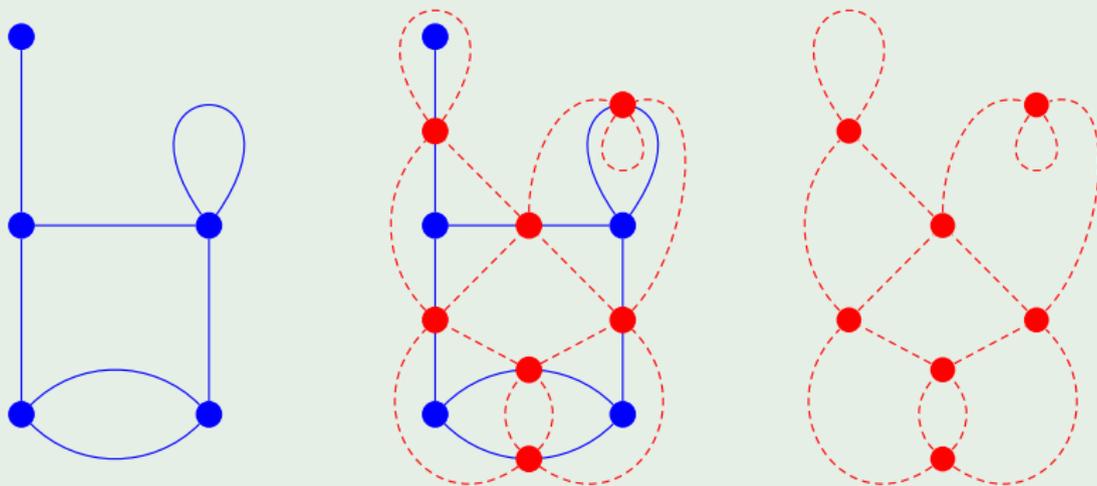
For any $x, y \in \mathbb{C}$, the problem of computing the Tutte polynomial at (x, y) over *planar* graphs is #P-hard unless $(x - 1)(y - 1) \in \{1, 2\}$ or $(x, y) \in \{(1, 1), (-1, -1), (j, j^2), (j^2, j)\}$, where $j = e^{2\pi i/3}$. In each of these exceptional cases, the computation can be done in polynomial time.



Definition

For a connected **plane** graph G , its **medial graph** H has a vertex for each edge of G and two vertices in H are joined by an edge for each face of G in which their corresponding edges occur consecutively.

Example



Theorem (Las Vergnas 88)

Let G be a connected *plane* graph and let $\mathcal{O}(H)$ be the set of all *Eulerian orientations* in the *medial graph* H of G . Then

$$2 \cdot \text{PI-Tutte}_G(3,3) = \sum_{O \in \mathcal{O}(H)} 2^{\beta(O)},$$

where $\beta(O)$ is the number of *saddle vertices* in the orientation O , i.e. vertices in which the edges are oriented “in, out, in, out” in cyclic order.

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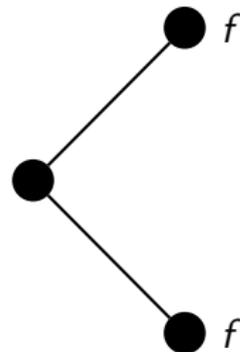
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PI-Holant ($[0, 1, 0] \mid f$)

$(\neq_2) = [0, 1, 0]$



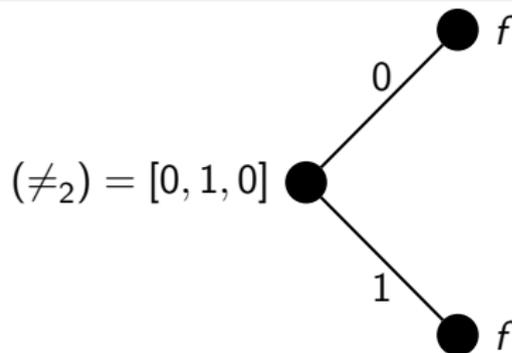
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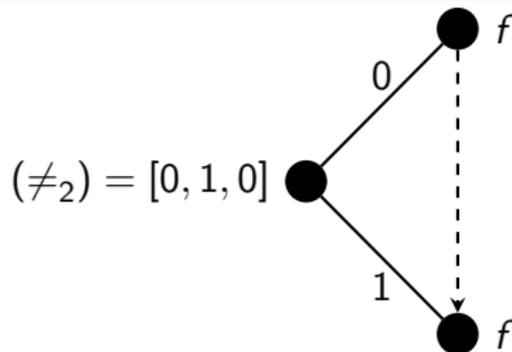
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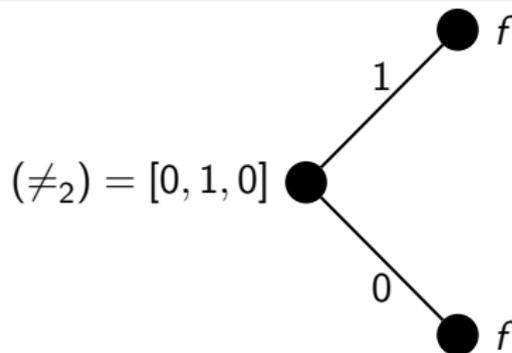
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$$2 \cdot \text{PI-Tutte}_G(3,3) = \sum_{O \in \mathcal{O}(H)} 2^{\beta(O)},$$

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PI-Holant ($[0, 1, 0] \mid f$)



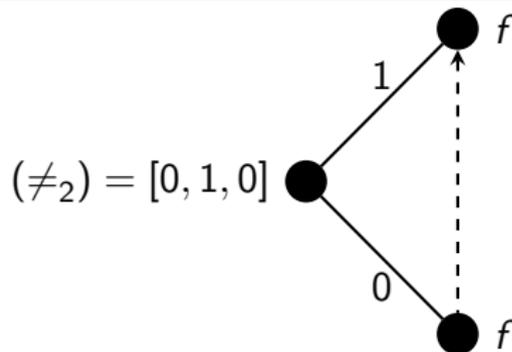
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Signature matrix:

- Let $f(w, x, y, z) = f^{wxyz}$ be an arity 4 signature
- Row index is (w, x) ,
BUT the column index is (z, y)
(order reversed)

$$M_f = \begin{bmatrix} f^{0000} & f^{0010} & f^{0001} & f^{0011} \\ f^{0100} & f^{0110} & f^{0101} & f^{0111} \\ f^{1000} & f^{1010} & f^{1001} & f^{1011} \\ f^{1100} & f^{1110} & f^{1101} & f^{1111} \end{bmatrix}$$

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$$M_f = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

Proof.

$$\begin{aligned} \text{PI-Tutte}(3, 3) &\equiv_{\mathcal{T}} \text{PI-Holant} \left([0, 1, 0] \mid \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right) \\ &\leq_{\mathcal{T}} \quad \vdots \\ &\leq_{\mathcal{T}} \text{\#PI-4Reg-EO} \end{aligned}$$

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Let $Z = \begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix}$.

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$$\begin{aligned} \text{PI-Holant}([0, 1, 0] \mid f) &\equiv_{\mathcal{T}} \text{PI-Holant}([0, 1, 0](Z^{-1})^{\otimes 2} \mid Z^{\otimes 4}f) \\ &\equiv_{\mathcal{T}} \text{PI-Holant}([1, 0, 1]/2 \mid 4\hat{f}) \\ &\equiv_{\mathcal{T}} \text{PI-Holant}(\hat{f}), \end{aligned}$$

where

$$M_{\hat{f}} = \begin{bmatrix} 2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 2 \end{bmatrix}.$$

Similarly,

$$\begin{aligned} & \text{PI-Holant} ([0, 1, 0] \mid [0, 0, 1, 0, 0]) \\ & \equiv_T \text{PI-Holant} ([0, 1, 0](Z^{-1})^{\otimes 2} \mid Z^{\otimes 4}[0, 0, 1, 0, 0]) \\ & \equiv_T \text{PI-Holant} ([1, 0, 1]/2 \mid 2[3, 0, 1, 0, 3]) \\ & \equiv_T \text{PI-Holant}([3, 0, 1, 0, 3]). \end{aligned}$$

Theorem

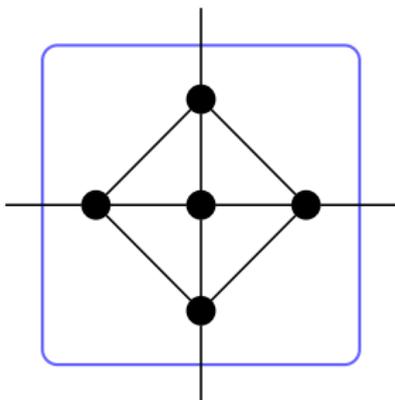
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#PI-4Reg-EO: Planar Tetrahedron Gadget

Assign $[3, 0, 1, 0, 3]$ to every vertex of this gadget...



...to get a signature $32\hat{g}$ with

$$M_{\hat{g}} = \frac{1}{2} \begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix}.$$

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

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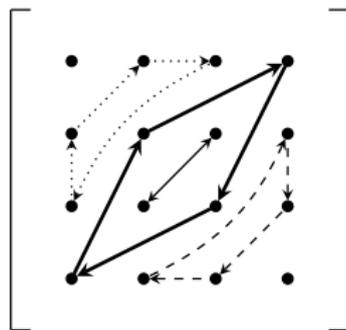
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$$M_{\hat{f}} = \begin{bmatrix} 2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 2 \end{bmatrix}$$

$$M_{\hat{g}} = \frac{1}{2} \begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix}$$



(a) A counterclockwise rotation.



(b) Movement of signature matrix entries under a counterclockwise rotation.

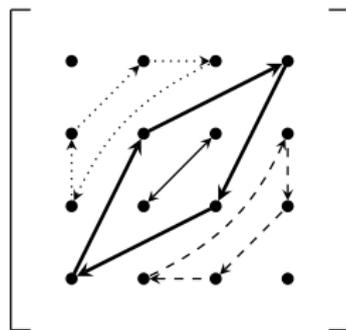
#PI-4Reg-EO: Rotationally Symmetric

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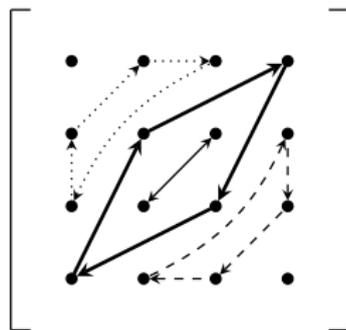
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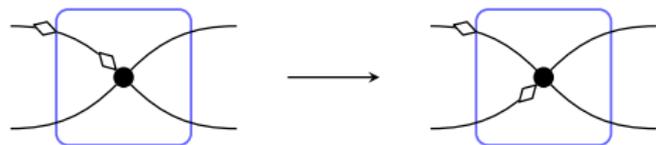
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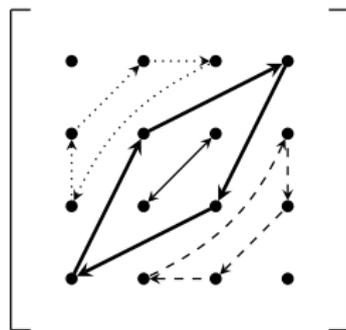
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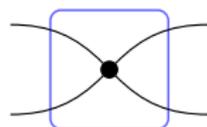
(b) Movement of signature matrix entries under a counterclockwise rotation.

#PI-4Reg-EO: Interpolation

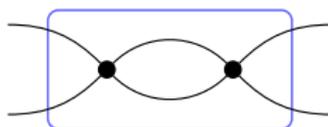
Suppose that \hat{f} appears n times in Ω of $\text{PI-Holant}(\hat{f})$.

Construct instances Ω_s of $\text{Holant}(\hat{g})$ indexed by $s \geq 1$.

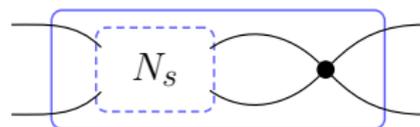
Obtain Ω_s from Ω by replacing each \hat{f} with N_s (\hat{g} assigned to all vertices).



N_1



N_2



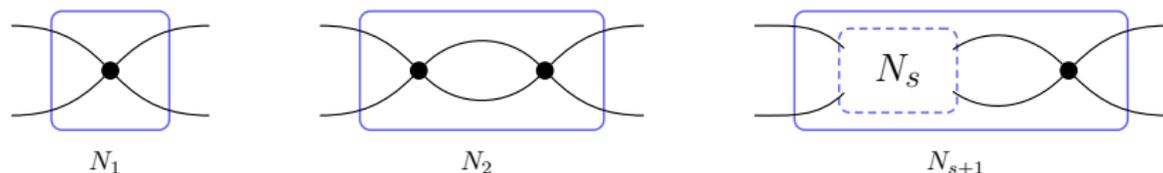
N_{s+1}

#PI-4Reg-EO: Interpolation

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To obtain Ω_s from Ω ,

we effectively replace $M_{\hat{f}}$ with $M_{N_s} = (M_{\hat{g}})^s$.

$$\text{Let } T = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}.$$

Let $T = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}$. Then

$$M_{\hat{f}} = T\Lambda_{\hat{f}}T^{-1} = T \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} T^{-1}$$

and

$$M_{\hat{g}} = T\Lambda_{\hat{g}}T^{-1} = T \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix} T^{-1}.$$

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Follows from being both **rotationally symmetric** and **complement invariant**.

$$\Lambda_{\hat{f}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} \quad \Lambda_{\hat{g}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix}$$

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We only need to consider the assignments to $\Lambda_{\hat{f}}$ that assign

- 0000 j many times,
- 0110 or 1001 k many times, and
- 1111 ℓ many times.

Let c_{jkl} be the sum over all such assignments of the products of evaluations (including the contributions from T and T^{-1}) on Ω .

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- 1 To obtain Ω_s from Ω ,
we first replace $M_{\hat{f}}$ with $T\Lambda_{\hat{f}}T^{-1}$. (Holant unchanged)
- 2 Then we replace $T\Lambda_{\hat{f}}T^{-1}$ with $T(\Lambda_{\hat{g}})^sT^{-1}$.

We only need to consider the assignments to $\Lambda_{\hat{f}}$ that assign

- 0000 j many times,
- 0110 or 1001 k many times, and
- 1111 ℓ many times.

Let c_{jkl} be the sum over all such assignments of the products of evaluations (including the contributions from T and T^{-1}) on Ω .

$$\Lambda_{\hat{f}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix} \quad \Lambda_{\hat{g}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 6 & 0 & 0 \\ 0 & 0 & 6 & 0 \\ 0 & 0 & 0 & 13 \end{bmatrix}$$

Then

$$\text{PI-Holant}_{\Omega} = \sum_{j+k+l=n} 3^l c_{jkl}$$

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$$\text{PI-Holant}_{\Omega} = \sum_{j+k+l=n} 3^l c_{jkl}$$

and

$$\text{PI-Holant}_{\Omega_s} = \sum_{j+k+l=n} (6^k 13^l)^s c_{jkl}$$

is a full rank Vandermonde system (row index s , column index c_{jkl}).

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

Proof.

$$\begin{aligned} \text{PI-Tutte}(3, 3) &\equiv_T \text{PI-Holant} \left([0, 1, 0] \mid \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 2 & 0 \\ 0 & 2 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right) \\ &\equiv_T \text{PI-Holant} \left(\begin{bmatrix} 2 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 2 \end{bmatrix} \right) \\ &\leq_T \text{PI-Holant} \left(\frac{1}{2} \begin{bmatrix} 19 & 0 & 0 & 7 \\ 0 & 7 & 5 & 0 \\ 0 & 5 & 7 & 0 \\ 7 & 0 & 0 & 19 \end{bmatrix} \right) \\ &\leq_T \text{PI-Holant}([3, 0, 1, 0, 3]) \\ &\equiv_T \text{PI-Holant}([0, 1, 0] \mid [0, 0, 1, 0, 0]) \\ &\equiv_T \# \text{PI-4Reg-EO} \quad \square \end{aligned}$$

Theorem

Counting Eulerian Orientations for planar 4-regular graphs is #P-hard.

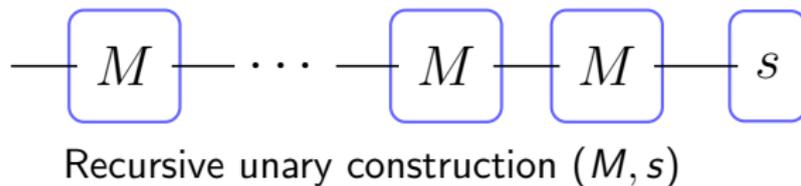
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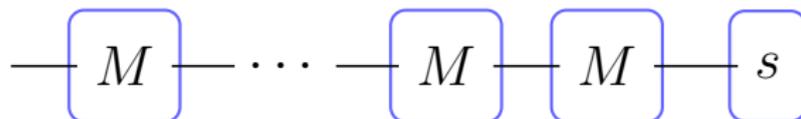
Major proof techniques:

- 1 Holographic transformation
- 2 Gadget construction
- 3 Interpolation

Unary Interpolation



Unary Interpolation

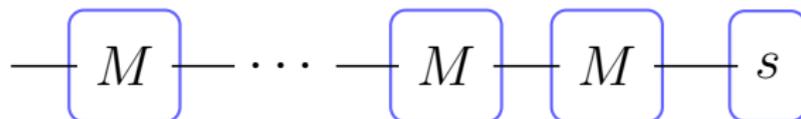


Recursive unary construction (M, s)

Lemma (Vadhan 01, Cai-Lu-Xia 12, Cai-Lu-Xia 11)

Suppose $M \in \mathbb{C}^{2 \times 2}$ and $s \in \mathbb{C}^{2 \times 1}$.

Unary Interpolation



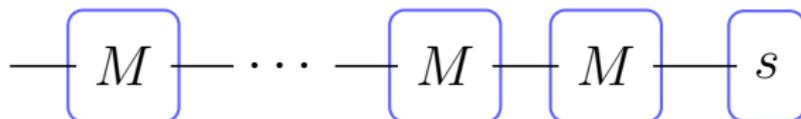
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Unary Interpolation



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Lemma (Vadhan 01, Cai-Lu-Xia 12, Cai-Lu-Xia 11)

Suppose $M \in \mathbb{C}^{2 \times 2}$ and $s \in \mathbb{C}^{2 \times 1}$. If the following three conditions are satisfied,

- 1 $\det(M) \neq 0$;
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- 3 the ratio of the eigenvalues of M is not a root of unity;

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Unary Interpolation



Recursive unary construction (M, s)

Lemma (Our Result)

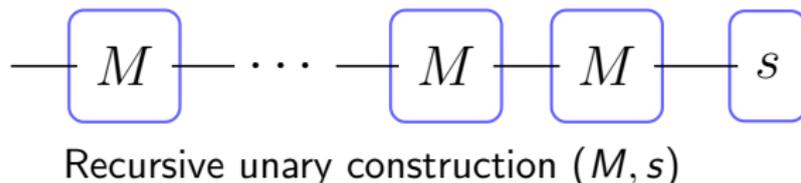
Suppose $M \in \mathbb{C}^{2 \times 2}$ and $s \in \mathbb{C}^{2 \times 1}$. If the following three conditions are satisfied,

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- 3 M has infinite order modulo a scalar;

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Unary Interpolation



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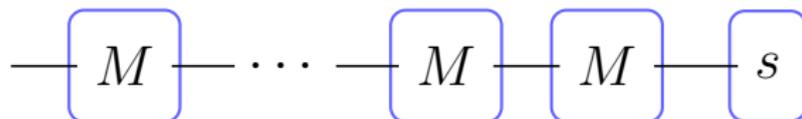
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Unary Interpolation



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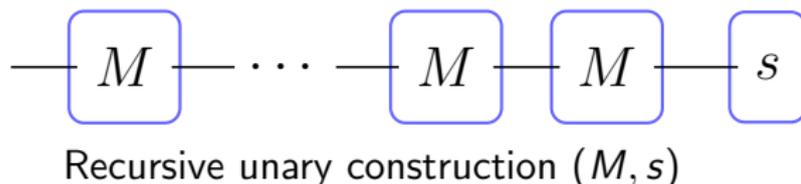
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Suppose M has finite order modulo a scalar. Then we can construct M^{-1} .

Unary Interpolation



Lemma (Our Result)

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the vectors in the set $V = \{M^k s\}_{k \geq 0}$ are *pairwise linearly independent*.

Suppose M has finite order modulo a scalar. Then we can construct M^{-1} . This is called the **anti-gadget technique** [Cai, Kowalczyk, **W** 12].

Thank You

Thank You

Paper and slides available on my website:
www.cs.wisc.edu/~tdw

