

Lecture notes on:  
Ideals over Hyperplane arrangements and Zonotopes.

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Prelude

Given a surjective linear map

$$X : \mathbb{R}^m \rightarrow \mathbb{R}^n,$$

the **zonotope**  $Z(X)$  is the image (in  $\mathbb{R}^n$ ) of the  $m$ -dimensional unit cube; thus, considering  $X$  as a multiset of vectors in  $\mathbb{R}^n$ ,

$$Z(X) = \left\{ \sum_{x \in X} t_x x, \quad t_x \in [0, 1], \quad \forall x \in X \right\}.$$

By duality, the multiset  $X$  can also be considered as a collection of linear functionals:

$$p_x : \mathbb{R}^n \rightarrow \mathbb{R} : t \mapsto x \cdot t := \sum_{i=1}^n x(i)t(i), \quad x \in X.$$

Let  $H_x$  be the zero-set of  $p_x$ :

$$H_x := \{t \in \mathbb{R}^n : p_x(t) = 0\}.$$

The multiset of hyperplanes

$$\mathcal{H}(X) := \{H_x : x \in X\},$$

is known as a **(central) hyperplane arrangement**, and, as one should expect, records the geometry of the zonotope  $Z(X)$  in a dual (i.e., polar) way. The geometric duality between the zonotope  $Z(X)$  and the arrangement  $\mathcal{H}(X)$  is well-known, and will only be briefly touched in these notes.

My personal interest in zonotopes stems from their role in Approximation Theory: the zonotope  $Z(X)$  is the support of a certain smooth piecewise-polynomial, and, more generally, a class of piecewise-exponentials known as (*exponential*) *box splines*. Approximation Theory deals with the properties of the shift-invariant function space that is spanned by the integer translates of one or

more box splines. Such a space is known as a *box spline space*. For all practical purposes, we assume that  $X$  is integral:

$$X \subset \mathbb{Z}^n.$$

Moreover, we frequently assume  $X$  to be *unimodular* (a notion that is defined later), since in this case the shifts of the box spline are known to be *linearly independent*.

Zonotopes in general, and unimodular zonotopes in particular, are of interest outside Approximation Theory, too. For example, a standard representation of the edge set  $E(G)$  of a connected graph with  $n + 1$  vertices is with the aid a special type of unimodular  $X$ . Clearly, graph theorists may not be interested (at least not initially) in the existence of smooth functions supported on  $Z(X)$ . They may be (so I hope) interested in certain combinatorial properties of zonotopes, and the relations of these combinatorics to the spanning trees, the forests, and other basics of the underlying graph. If *box spline* is the buzzword that relates the zonotope to Approximation Theory, then the *Tutte polynomial* is (perhaps) the counterpart in the context of Graph Theory.

We introduced so far the zonotope as a geometric object. We hinted about a related analytic setup (box splines), a related combinatorial (as well as Linear Algebra) setup (via graphs), and made an initial mentioning of *geometric duality*. None of these is a core focus of these notes. In particular, we move away from the Linear Algebra setup, where the linear combinations of the linear functionals  $p_x$  are at the center, and view instead each  $p_x$  as a polynomial in the polynomial ring

$$\Pi := \mathbb{C}[t_1, \dots, t_n]$$

of  $n$ -variables. Thus, we consider products of the form

$$p_Y := \prod_{x \in Y} p_x, \quad Y \subset X.$$

Given a subset  $S \subset 2^X$  of subsets of  $X$ , we have two complementary ways for viewing the polynomials  $p_Y$ ,  $Y \in S$ :

A  **$\mathcal{P}$ -type space** is defined as

$$\mathcal{P}_S := \text{span}\{p_Y : Y \in S\}.$$

In contrast, a  **$\mathcal{J}$ -type ideal** is defined as

$$\mathcal{J}_L := \text{Ideal}\{p_Y : Y \in L\},$$

with  $L \subset 2^X$ , too. To be sure, given subset  $A \subset \Pi$ , the notation

$$\text{Ideal}(A) := \text{Ideal}\{p : p \in A\}$$

stands for the smallest ideal in  $\Pi$  that contains  $A$ , we may sometimes denote as

$$\Pi A.$$

We always assume (without loss) that

$$(Y \in L, Y \subset Y' \subset X) \implies Y' \in L,$$

and conversely (with loss) that

$$(Y \in S, Y \supset Y') \implies Y' \in S.$$

Ideals of the type  $\mathcal{J}_L$  play a role in box spline theory. They also have strong (albeit somewhat implicit) presence in Lie group representations. Ideally, we look for subsets  $S, L \subset 2^X$  such that

$$\Pi = \mathcal{P}_S \oplus \mathcal{J}_L, \tag{1}$$

which can be correctly (and later on, rigorously) regarded as a form of algebraic duality. It is clear that (1) holds only if  $S$  and  $L$  are disjoint. We will present in these notes three different setups (each of which applies to a general  $X$ ) where the above split of  $\Pi$  holds, and refer to these as *central*, *external* and *internal* respectively. In each of these setups, we will show that the space  $\mathcal{P}_S$  is related in suitable way to the zonotope  $Z(X)$ , while the ideal  $\mathcal{J}_L$  is connected with the hyperplane arrangement  $\mathcal{H}(X)$ .

As far as the history of this theory is concerned, the central part, which will occupy most of our lectures, was developed within Approximation Theory during the period 1983-1990. My interest in the external and internal parts dates back to 1996, which was when the pertinent definitions were made. However, some of the main sought-for results were proved only recently, and, to the best of my knowledge, the material concerning the internal and external theory appears here for the first time.<sup>1</sup>

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<sup>1</sup>This last statement was true at the time these lectures were given at the University of Wisconsin (January 2007). A complete account of the external and internal theories appears now in the paper *Zonotopal Algebra*, joint with Olga Holtz, that can be fetched at <ftp://ftp.cs.wisc.edu/Approx/zonotopes.pdf> .