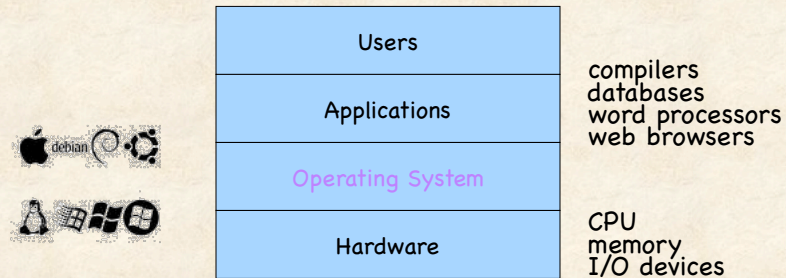


What is an Operating System?



Operating System (OS):

Software that converts hardware into a useful form for applications

Very complex: millions of lines of code, 1000 person-years

What does OS do?

Manage hardware resources for applications

What do you think this entails?

Role #1: Provide **standard library** for accessing resources

- Allow applications to reuse common facilities
- Make different devices look the same
- Provide higher-level abstractions

Role #2: **Coordinate** usage of resources (i.e., manager)

- Virtualize resources so multiple users or applications can share
- Protect applications from one another
- Provide efficient and fair access to resources

What are different HW Resources?

CPU: Process scheduler

- Determines when and for long each process executes

Memory: Memory manager

- Determines when and how memory is allocated to processes
- Decides what to do when main memory is full

Disk: File system

- Organizes named collections of data in persistent storage

Network: Networking

- Enables processes to communicate with one another

How to run multiple applications?

OS executes "processes" not applications

- Related to application, but not identical

What is a process?

Execution stream in context of process state

Informally:

Everything you need to run an application

More processes running than you might expect!

Find by running variants of "ps" (Unix-based)

```
Macintosh-41~andrea$ ps -Ac
PID TTY          PPID 00000000
1 ??          0:00.00 launchd
10 ??         0:00.00 kextd
11 ??         0:00.00 DirectoryService
12 ??         0:00.00 notivd
13 ??         0:00.00 syslogd
14 ??         0:00.00 configd
15 ??         0:00.00 distnoted
16 ??         0:00.00 mDNSResponder
22 ??         0:00.00 securityd
25 ??         0:00.00 mripd
26 ??         0:00.00 cupsd
27 ??         0:00.00 cron
28 ??         0:00.00 udevd
29 ??         0:00.00 update
30 ??         0:00.00 SystemStarter
34 ??         0:00.00 nls
35 ??         0:00.00 loginwindow
36 ??         0:00.00 Kerne(EventAgent
37 ??         0:00.00 kdsd
39 ??         0:00.00 hids
40 ??         0:00.00 fseventd
42 ??         0:00.00 dynamic_pager
44 ??         0:00.00 diskarbitrationd
45 ??         0:00.00 blues
49 ??         0:00.00 autofs
51 ??         0:00.00 socketfilterv
52 ??         0:00.00 kextd
60 ??         0:00.00 coreservicesd
64 ??         0:00.00 VmInfoServer
67 ??         0:00.00 kextd
162 ??        0:00.00 nls
163 ??        0:00.00 nls
242 ??        0:00.00 launchd
246 ??        0:00.00 Airport Base Station Agent
250 ??        0:00.00 Spotlight
251 ??        0:00.00 UserEventAgent
253 ??        0:00.00 pboard
268 ??        0:00.00 ATIServer
261 ??        0:00.00 Dock
266 ??        0:00.00 coreaudiod
269 ??        0:00.00 SystemUIServer
271 ??        0:00.00 Finder
303 ??        0:00.00 HPEventHandler
307 ??        0:00.00 iTunesUper
308 ??        0:00.00 Dropbox
327 ??        0:00.00 GrowlHelperApp
361 ??        0:00.00 Terminal
2929 ??        0:00.00 ash-agent
5559 ??        0:00.00 Preview
5579 ??        0:00.00 Microsoft PowerPoint
5580 ??        0:00.00 Microsoft Database Daemon
5581 ??        0:00.00 Microsoft AI Daemon
12134 ??       0:00.00 Firefox-bin
13197 ??       0:00.00 nls
13757 ??       0:00.00 nls
13776 ??       0:00.00 HP_Developer_D4100_series
13777 ??       0:00.00 DeskjetKX041000Series7a
13846 ??       0:00.00 GoogleTalkPlugin
13898 ??       0:00.00 SyncServer
13911 ??       0:00.00 nls
13984 ??       0:00.00 nls
13912 ??       0:00.00 Grab
13922 tty000    0:00.00 login
13923 tty000    0:00.00 ssh
13944 tty000    0:00.00 ps
```

How to run multiple processes?

Multi-programming: Multiple processes resident at a time

- Same as **multi-tasking**
- Opposite: **Uni-programming**
 - Only one process resident at a time
 - Examples: First systems and DOS for PCs
- Different than **multiprocessing**
 - Multiprocessing: Systems with multiple processors
- Advantages: Better user convenience and system performance
 - Why does it improve system performance???



How to Support Multiprogramming?

OS provides **illusion** that each has HW to itself

Must be able to handle **misbehaving** applications (that don't want to share!)



How to Share CPU?

Illusion?

- Switch quickly between active processes: Time sharing
- Processes must not be able to hold onto CPU forever!

OS and HW work together to perform context switch

- Change contents of registers and Program Counter (PC)
- Change active address space in memory

Context switch is mechanism

OS must also implement policy

- Many processes want to run, but which should run when??

Example: Service at a Deli

Many customers waiting for service at deli...

In what order should customers be handled?



Easiest Policy?

First-come-first-served (FCFS)

- Customers take ticket when arrive, serve next number
- Customers add to end of line, serve next customer in line



Why do you think this a good policy?

- Easy to implement
- Intuitively Fair: Earlier you arrive, sooner you get service

Why could it be bad?

- Treats all customers identically but may have different requirements

Different Requirements?

- 1) Some customers have a deadline



- 2) Some customers are more important than others



- 3) Some customers have short orders, others very long orders



1) Scheduler for Handling... Deadlines?

Earliest Deadline First

- Ask everyone when need to be done by
- Serve next by deadline that must be met



Examples in Real World?

- Sometimes in long lines for airline check-in

What is good?

- Everyone finishes by when they need to

What is bad about this approach?

- Not fair: Works best when everyone works together
- Needs knowledge and trust: When is your real deadline?
- Might not be able to meet all deadlines

Use in computer systems...

- Used for "real time" applications (control systems and video)

2) Scheduler for Handling... Important Customers?

Priority-based scheduling

- Allow **important** customers to move to front of line

Examples in real life?

- First-class in airlines, Fast Pass at Amusement Parks



Advantages?

- Give fastest service to most important customers (make them happiest)

Disadvantages?

- Less important customers can **starve**
 - Might never receive service if many important customers keep arriving
 - Extreme of "unfair"
- Determining who is "important" can be difficult
 - Spend the most money? Influence the most other people? Angriest?

Computer systems

- Give higher priority to system processes
- Higher priority to "interactive" processes

3) Scheduler for Handling... Short Jobs?

"Shortest Job First" (SJF)

- Figure out which customer has shortest order
- Let shortest orders go to front of line



Examples in Real World?

- Decide to interrupt counter person with question...
- Separate lines for "10 items or less"

Advantages

- Creates optimal schedule for average waiting time
 - Minimizes average waiting time over all customers
 - Moving short job before long job:
 - Improvement in wait time of short job > Penalty to long job

Disadvantages

- Customers with many items can starve; unfair
- How can you tell how length of job will take? Incentive to lie!
 - Must solve for this to work in computer systems!
 - How???

Big Idea: Use Past to Predict Future

Processes behave in future similarly to behavior in past
(just like people?)

- Did this process use CPU for small time in the past?
- Use info to schedule short bursts
- Past doesn't mean yesterday - refers to **this** execution of process

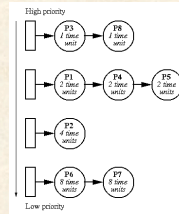
Job: Different than "application" or "process"

- Process alternates btwn CPU and I/O (e.g., wait for user input)
- Job is the CPU burst
- Measure past CPU bursts of one process

Details: Implementation in OS

Multi-level feedback queue

- Multi-level queue:
N lists of different priorities
- Feedback:
Move to queue based on length of last CPU burst



OS runs process at highest (priority) queue

- Processes in same queue scheduled FCFS
- Can also fix starvation problem by moving up processes not scheduled for awhile...

Motivation for Sharing Memory: Cutting-Edge Libraries



Why is this relevant to sharing memory?

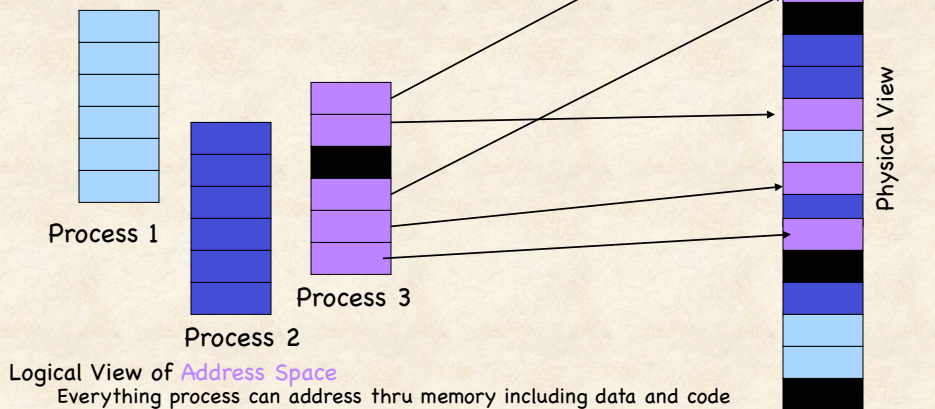
How to Share Memory?

Illusion provided by Operating System?

- Each process has all of physical memory to itself

Reality: Reside in physical memory at same time

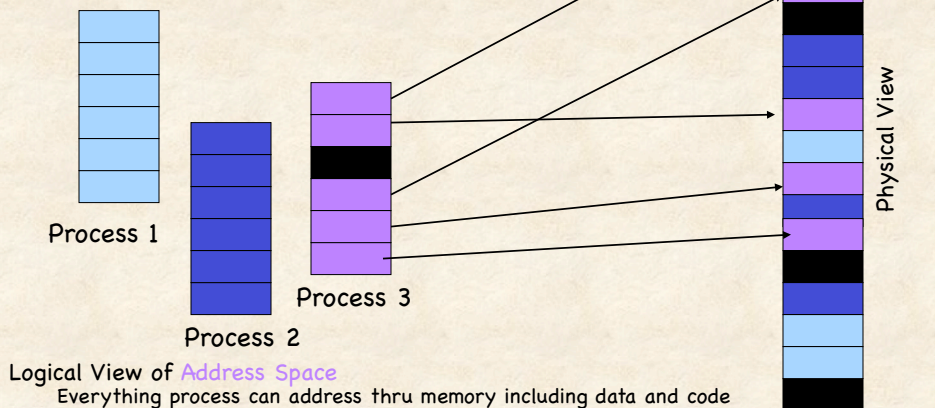
Technique: Space sharing

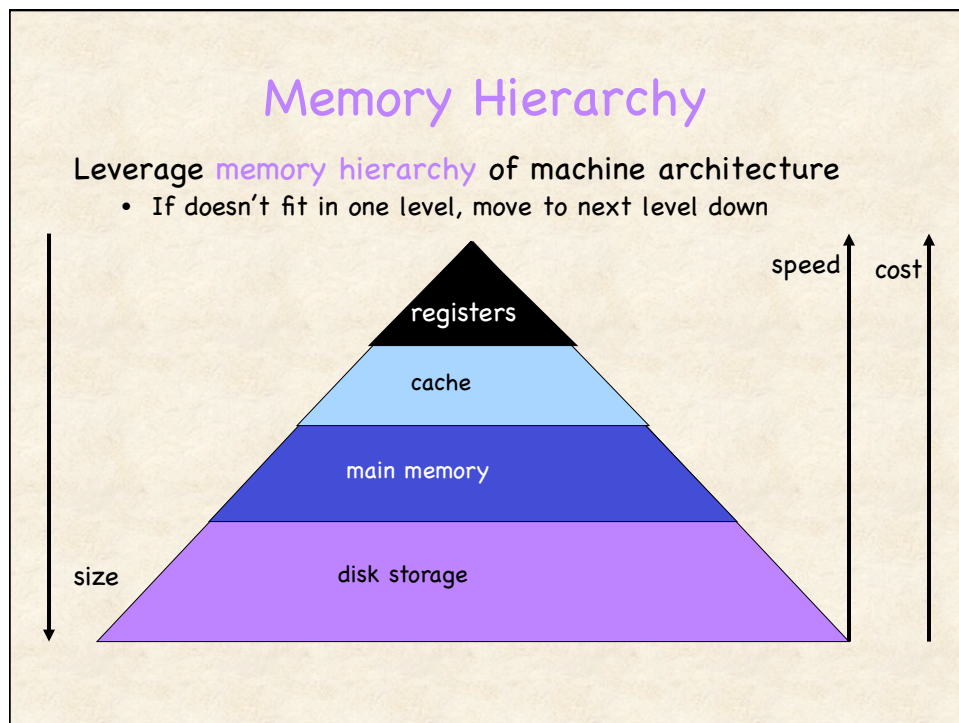
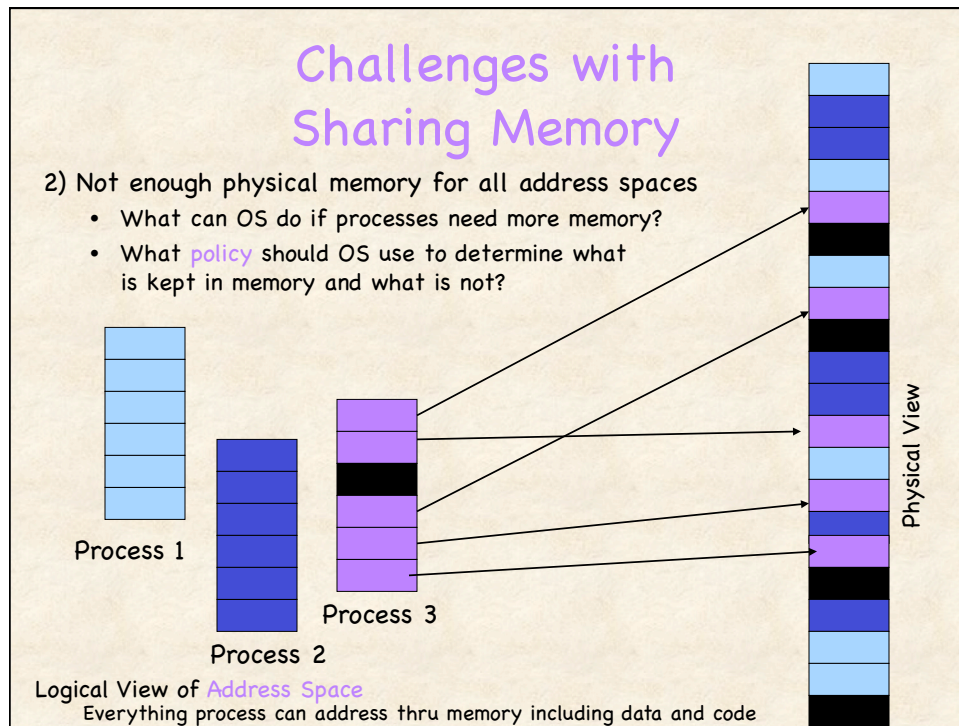


Challenges with Sharing Memory

1) Ensure one process cannot r/w another process's memory

- OS and HW cooperate to implement protection mechanism
 - Translate memory references from logical to physical addresses
- Not focus of today's lecture





Sizes of Memory Hierarchy

Your search is over.



- Brand New 20" iMac
- 4 GB RAM / 250 GB HDD
- Intel Core 2 Duo 2.0 GHz
- Mac OS X Leopard 10.5
- iLife '08

BEEFY 100% SATISFACTION GUARANTEED
REFUNDABLE - EASY - HELLER

Software

- Mac OS X v10.5 Leopard (includes Time Machine, Quick Look, Spaces, Spotlight, Dashboard, Mail, Chat AV, Safari, Address Book, Quick Time, iCal, DVD Player, iMovie, iPhoto, iDVD, iWeb, iWork, iLife '08)
- iLife '08 (includes iPhoto, iMovie, iDVD, iWeb, iWork, iLife '08)
- iWork '08 (30-day trial)
- Front Row
- Photo Booth

Drives & Storage

- 250GB Serial ATA Drive
- SuperDrive (DVD-R/DL, DVD-RW, CD-RW)
- Burns both CDs and DVDs

Built-in Networking

- AirPort Extreme & Bluetooth 2.0 + EDR

Size and weight

- Height: 18.5 inches (46.9 cm)
- Width: 19.1 inches (48.5 cm)
- Depth: 7.4 inches (18.9 cm)
- Weight: 20 pounds (9.1 kg)

Peripheral connections

- One FireWire 400 and one FireWire 800 port: 7 watts each
- Total of five USB 2.0 ports: three ports on computer, two ports on keyboard

Audio

- Built-in stereo speakers
- Internal 24-watt digital amplifier
- Headphone (optical digital audio output (minijack))
- Audio line (optical digital audio input (minijack))
- Built-in microphone

Graphics and video

- ATI Radeon HD 2400 XT graphics processor
- 128MB of GDDR3 memory

Display

- Built-in 20-inch (breachable) glossy widescreen TFT active-matrix liquid crystal display
- Resolution: 20-inch: 1680 by 1050 pixels

In the box

- iMac
- Apple Keyboard
- Mighty Mouse
- Apple Remote
- Cleaning cloth
- Power cord
- Install/recovery DVDs
- Printed and electronic documentation

Processor and memory

- 2.0 GHz Intel Core 2 Duo processor
- 4MB shared L2 cache at full processor speed
- 800MHz system bus
- 4GB DDR2 RAM

Challenge

What parts of each address space should OS keep in main physical memory vs. disk storage?

Today's Summary

Operating System: Software that manages hardware

- Provides illusion to each process that it's only one running
 - Context switches CPU across processes (Time share)
 - Protects memory across processes (Space share)
- Scheduling policies for CPU:
 - First-come-first-served (FCFS), Earliest-deadline-first, Priority-based, Shortest-Job-First (SJF)
 - Use past behavior to approx SJF: Multi-level Feedback Queue
- Caching policies for Memory:
 - Speed of fastest memory; Capacity largest memory
 - Optimal Replacement Algorithm requires knowledge of future
 - Use past to predict future (Least-Recently-Used)

Announcements

- HW 7 due before class Friday: Design of Project 2
- Working on Project 2