# CS 536 <br> Introduction to Programming Languages and Compilers <br> <br> Charles N. Fischer 

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## Lecture 4

Project 2:

- Transform CSX lite scanner into a full CSX scanner
- Work token by token
- Track line and column number
- Handle escapes, like \n, carefully


## Regular Expression Rules

The third section of the JLex input file is a series of token definition rules of the form
RegExpr \{Java code\}
When a token matching the given RegExpr is matched, the corresponding Java code (enclosed in "\{" and "\}") is executed. JLex figures out which RegExpr applies; you need only say what the token looks like (using RegExpr) and what you want done when the token is matched.
(this is usually to return some token object, perhaps with some processing of the token text).

Here are some examples:
"+"
\{return new Token(sym.Plus);\}
(" ") + \{/* skip white space */\}
\{Digit\}+ \{return
new IntToken(sym.Intlit, new Integer(yytext()).intValue()); \}

## Regular Expressions in JLex

To define a token in JLex, the user to associates a regular expression with commands coded in Java. When input characters that match a regular expression are read, the corresponding Java code is executed. As a user of JLex you don't need to tell it how to match tokens; you need only say what you want done when a particular token is matched.
Tokens like white space are deleted simply by having their associated command not return anything. Scanning continues until a command with a return in it is executed.
The simplest form of regular expression is a single string that matches exactly itself.

## For example,

if $\{r e t u r n$ new Token(sym.If); $\}$
If you wish, you can quote the
string representing the reserved word ("if"), but since the string contains no delimiters or operators, quoting it is unnecessary.
For a regular expression operator, like + , quoting is necessary:
"+" \{return new Token(sym.Plus); $\}$

## Character Classes

Our specification of the reserved word if, as shown earlier, is incomplete. We don't (yet) handle upper or mixed- case.
To extend our definition, we'll use a very useful feature of Lex and JLexcharacter classes.

Characters often naturally fall into classes, with all characters in a class treated identically in a token definition. In our definition of identifiers all letters form a class since any of them can be used to form an identifier. Similarly, in a number, any of the ten digit characters can be used.

Character classes are delimited by [ and ]; individual characters are listed without any quotation or separators. However <br>, ^, ] and -, because of their special meaning in character classes, must be escaped. The character class [xyz] can match a single $\mathbf{x}, \mathbf{y}$, or $z$.
The character class [ $\backslash$ ])] can match a single ] or ).
(The ] is escaped so that it isn't misinterpreted as the end of character class.)
Ranges of characters are separated by a -; [x-z] is the same as [xyz]. [0-9] is the set of all digits and [a-zA-z] is the set of all letters, upper- and lower- case. \is the escape character, used to represent
unprintables and to escape special symbols.
Following C and Java conventions, $\backslash \mathrm{n}$ is the newline (that is, end of line), $\backslash t$ is the tab character, $\backslash \backslash$ is the backslash symbol itself, and $\backslash 010$ is the character corresponding to octal 10. The ^ symbol complements a character class (it is JLex's representation of the Not operation).
[ ${ }^{\wedge} \mathrm{xy}$ ] is the character class that matches any single character except $\mathbf{x}$ and $\mathbf{y}$. The ^ symbol applies to all characters that follow it in a character class definition, so [ ${ }^{\wedge} 0-9$ ] is the set of all characters that aren't digits. [^^ can be used to match all characters.

Here are some examples of
character classes:
Character

Class
[abc]
[cba]
[a-c]
[abbbcc]
[^abc]
[\^\-\]]

[^]
" [abc] "

Set of Characters Denoted Three characters: $\mathbf{a}, \mathbf{b}$ and $\mathbf{c}$ Three characters: $\mathbf{a}, \mathbf{b}$ and $\mathbf{c}$ Three characters: $\mathbf{a}, \mathbf{b}$ and $\mathbf{c}$ Three characters: $\mathbf{a}, \mathbf{b}$ and $\mathbf{c}$ All characters except $\mathbf{a}, \mathbf{b}$ and $\mathbf{c}$
Three characters: ${ }^{\wedge}$, - and ]
All characters
Not a character class. This is one five character string: [abc]

## Regular Operators in JLex

JLex provides the standard regular operators, plus some additions.

- Catenation is specified by the juxtaposition of two expressions; no explicit operator is used. Outside of character class brackets, individual letters and numbers match themselves; other characters should be quoted (to avoid misinterpretation as regular expression operators).

```
Regular Expr
a b cd (a)
(b) (cd)
[ab][cd]
```

while "while"
[w][h][i][l][e] Five characters: while Case is significant.

- The alternation operator is $\mid$. Parentheses can be used to control grouping of subexpressions. If we wish to match the reserved word while allowing any mixture of upper- and lowercase, we can use (w|w) (h|H) (i|I) (l|L) (e| E) Or
[wW][hH][iI][lL][eE]

Regular Expr Characters Matched
ab|cd Two different strings: $\mathbf{a b}$ or $\mathbf{c d}$
(ab) \| (cd) Two different strings: $\mathbf{a b}$ or cd
[ab]|[cd]
Four different strings: $\mathbf{a}$ or $\mathbf{b}$ or
c or d

- Postfix operators:
* Kleene closure: 0 or more matches.
(ab) * matches $\lambda$ or ab or abab or ababab...
+ Positive closure: 1 or more matches.
(ab) + matches ab or abab or ababab ...
? Optional inclusion:
expr?
matches expr zero times or once. expr? is equivalent to (expr) | $\lambda$ and eliminates the need for an explicit $\lambda$ symbol.
[-+]? [0-9] + defines an optionally signed integer literal.
- Single match:

The character "." matches any single character (other than a newline).

- Start of line:

The character ^ (when used outside a character class) matches the beginning of a line.

- End of line:

The character \$ matches the end of a line. Thus, ${ }^{\wedge}$ A.*e\$
matches an entire line that begins with A and ends with e.

## Overlapping Definitions

Regular expressions may overlap (match the same input sequence).
In the case of overlap, two rules determine which regular expression is matched:

- The longest possible match is performed. JLex automatically buffers characters while deciding how many characters can be matched.
- If two expressions match exactly the same string, the earlier expression (in the JLex specification) is preferred. Reserved words, for example, are often special cases of the pattern used for identifiers. Their definitions are therefore placed before the expression that defines an identifier token.

Often a "catch all" pattern is placed at the very end of the regular expression rules. It is used to catch characters that don't match any of the earlier patterns and hence are probably erroneous. Recall that "." matches any single character (other than a newline). It is useful in a catch- all pattern. However, avoid a pattern like .* which will consume all characters up to the next newline. In JLex an unmatched character will cause a run- time error.

The operators and special symbols most commonly used in JLex are summarized below. Note that a symbol sometimes has one meaning in a regular expression and an entirely different meaning
in a character class (i.e., within a pair of brackets). If you find JLex behaving unexpectedly, it's a good idea to check this table to be sure of how the operators and symbols you've used behave. Ordinary letters and digits, and symbols not mentioned (like @) represent themselves. If you're not sure if a character is special or not, you can always escape it or make it part of a quoted string.

| Symbol | Meaning in Regular Expressions | Meaning in Character Classes |
| :---: | :---: | :---: |
| ( | Matches with ) to group subexpressions. | Represents itself. |
| ) | Matches with ( to group subexpressions. | Represents itself. |
| [ | Begins a character class. | Represents itself. |
| ] | Represents itself. | Ends a character class. |
| \{ | Matches with \} to signal macro-expansion. | Represents itself. |
| \} | Matches with \{ to signal macro-expansion. | Represents itself. |
| " | Matches with " to delimit strings (only $\backslash$ is special within strings). | Represents itself. |
| $\backslash$ | Escapes individual characters. <br> Also used to specify a character by its octal code. | Escapes individual characters. <br> Also used to specify a character by its octal code. |
| - | Matches any one character except $\backslash n$. | Represents itself. |
| \| | Alternation (or) operator. | Represents itself. |


| Symbol | Meaning in Regular <br> Expressions | Meaning in <br> Character <br> Classes |
| :--- | :--- | :--- |
| * | Kleene closure operator (zero <br> or more matches). | Represents itself. |
| $\boldsymbol{+}$ | Positive closure operator <br> (one or more matches). | Represents itself. |
| $\boldsymbol{?}$ | Optional choice operator <br> (one or zero matches). | Represents itself. |
| $\boldsymbol{/}$ | Context sensitive matching <br> operator. | Represents itself. |
| $\boldsymbol{n}$ | Matches only at beginning of <br> a line. | Complements <br> remaining <br> characters in the <br> class. |
| $\mathbf{\$}$ | Matches only at end of a line. | Represents itself. |
| $\boldsymbol{-}$ | Represents itself. | Range of charac- <br> ters operator. |

## Potential Problems in Using JLex

The following differences from "standard" Lex notation appear in JLex:

- Escaped characters within quoted strings are not recognized. Hence " $\backslash \mathrm{n}$ " is not a new line character. Escaped characters outside of quoted strings (\n) and escaped characters within character classes ([ $\backslash \mathrm{n}]$ ) are OK.
- A blank should not be used within a character class (i.e., [ and ]). You may use $\backslash 040$ (which is the character code for a blank).
- A doublequote must be escaped within a character class. Use [\"] instead of ["].
- Unprintables are defined to be all characters before blank as well as the last ASCII character. Unprintables can be represented as:[\000-\037\177]


## JLex Examples

A Jlex scanner that looks for five letter words that begin with "P" and end with "T".
This example is in www.cs.wisc.edu/~fischer/ cs536.f18/course/proj2/ startup/Jlex_test/

## The JLex specification file is:

class Token
\{ String
text;
Token(String $t)\{$ text $=t ;\}$
\}
\% \%
Digit=[0-9]
AnyLet=[A-Za-z]
Others=[0-9'\&.]
WhiteSp=[\040\n]
// Tell JLex to have yylex() return a Token
\%type Token
// Tell JLex what to return when eof of file is hit
\%eofval\{
return new Token(null);
\%eofval\}
$\%$ \%
[Pp] \{AnyLet $\}$ \{AnyLet $\}$ \{AnyLet $\}$ [Tt] \{WhiteSp \} + \{return new Token(yytext());
(\{AnyLet $\} \mid$ OOthers $\}$ ) $+\{$ WhiteSp $\}+$ \{/*skip*/\}

## The Java program that uses the scanner is:

import java.io.*;
class Main \{
public static void main(String args[]) throws java.io.IOException \{
Yylex lex = new Yylex(System.in);
Token token= lex.yylex();
while ( token.text != null )
\{ System.out.print("\t"+token.text); token $=$ lex.yylex(); //get next token \}
\} \}
In case you care, the words that are matched include:
Pabst
paint
petit
pilot
pivot
plant
pleat
point
posit
Pratt
print

An example of CSX token specifications. This example is in www.cs.wisc.edu/~fischer/ cs536.f18/course/proj2/ startup/java

## The JLex specification file is:

 import java_cup.runtime.*;/* Expand this into your solution for project 2 */
class CSXToken
\{ int linenum;
int colnum;
CSXToken(int line,int col)
\{ linenum=line;colnum=col; \}
;
\}
class CSXIntLitToken extends CSXToken
\{ int intValue;
CSXIntLitToken(int val,int line, int col)\{
super(line,col);intValue=val;\};
\}
class CSXIdentifierToken extends CSXToken \{
String identifierText;
CSXIdentifierToken(String text,int line,
int col)\{
super(line,col);identifierText=text; \}; \}
class CSXCharLitToken extends CSXToken \{ char charValue;
CSXCharLitToken(Char val,int line, int col)\{
super(line,col); charValue=val;\};
\}
class CSXStringLitToken extends CSXToken \{

String stringText;
CSXStringLitToken(String text,
int line,int col)\{
super(line,col);
stringText=text; \};
\}
// This class is used to track line and column numbers
// Feel free to change to extend it class Pos \{
static int linenum = 1;
/* maintain this as line number current token was scanned on */
static int colnum = 1;
/* maintain this as column number current token began at */
static int line = 1;
/* maintain this as line number after
scanning current token */
static int col = 1;
/* maintain this as column number after scanning current token */ static void setpos() \{
//set starting pos for current token linenum = line; colnum = col; $\}$
\}
$\% \%$
Digit=[0-9]
// Tell JLex to have yylex() return a Symbol, as JavaCUP will require \%type Symbol
// Tell JLex what to return when eof of file is hit \%eofval\{
return new Symbol (sym.EOF, new CSXToken(0,0));
\%eofval\}
$\% \%$

| "+" | \{Pos.setpos(); Pos.col $+=1$; return new Symbol(sym. PLUS, new CSXToken(Pos.linenum, Pos.colnum) ) ; $\}$ |
| :---: | :---: |
| "! = " | ```{Pos.setpos(); Pos.col +=2; return new Symbol(sym.NOTEQ, new CSXToken(POS.linenum, Pos.colnum));}``` |
| "; | ```{Pos.setpos(); Pos.col +=1; return new Symbol(sym.SEMI, new CSXToken(Pos.linenum, Pos.colnum));}``` |
| \{Digit $\}+$ | ```}+ {// This def doesn't check // for overflow Pos.setpos(); Pos.col += yytext().length(); return new Symbol(sym.INTLIT, new CSXIntLitToken(``` |
|  | new Integer (yytext ()).intValue(), |
|  | Pos.linenum, Pos.colnum) ) ; |
| ln$" \quad 2$ | \{Pos.line +=1; Pos.col $=1$ 1\} |
|  | \{Pos.col +=1; |

## The Java program that uses this scanner (P2) is:

class P2 \{
public static void main(String args[]) throws java.io.IOException
\{ if (args.length != 1) \{
System.out.println( "Error
: Input file must be named on command line." ); System.exit(-1);
\}
java.io.FileInputStream yyin = null;
try \{
yyin =
new java.io.FileInputStream(args[0]);
\} catch (FileNotFoundException notFound) \{
System. out. println(
"Error: unable to open input file."); System.exit(-1);
\}
// lex is a JLex-generated scanner that
// will read from yyin
Yylex lex = new Yylex(yyin);

System.out.println(
"Begin test of CSX scanner.");

> /**********************************

You should enter code here that thoroughly test your scanner.

Be sure to test extreme cases, like very long symbols or lines, illegal tokens, unrepresentable integers, illegals strings, etc. The following is only a starting point. ***********************************/
Symbol token $=$ lex.yylex();
while ( token.sym != sym.EOF )
\{ System.out.print(
((CSXToken) token.value).linenum + ": "

+ ((CSXToken) token.value). Colnum + " ");
switch (token.sym) \{ case sym.INTLIT:
System.out.println( "\t integer literal(" + ((CSXIntLitToken) token.value).intValue + ")"); break;
case sym. PLUS:
System. out.println("\t+");
break;

```
        case sym.NOTEQ:
        System.out.println("\t!=");
        break;
        default:
        throw new RuntimeException();
    }
    token = lex.yylex(); // get next token
}
System.out.println(
    "End test of CSX scanner.");
} }}
```


## Other Scanner Issues

We will consider other practical issues in building real scanners for real programming languages.
Our finite automaton model sometimes needs to be augmented. Moreover, error handling must be incorporated into any practical scanner.

## Identifiers vs. Reserved Words

Most programming languages contain reserved words like if, while, switch, etc. These tokens look like ordinary identifiers, but aren't.
It is up to the scanner to decide if what looks like an identifier is really a reserved word. This distinction is vital as reserved words have different token codes than identifiers and are parsed differently.
How can a scanner decide which tokens are identifiers and which are reserved words?

- We can scan identifiers and reserved words using the same pattern, and then look up the token in a special "reserved word" table.
- It is known that any regular expression may be complemented to obtain all strings not in the original regular expression. Thus $\bar{A}$, the complement of $A$, is regular if $A$ is. Using complementation we can write a regular expression for nonreserved identifiers: (ident|if|while|...)
Since scanner generators don't usually support complementation of regular expressions, this approach is more of theoretical than practical interest.
- We can give distinct regular expression definitions for each reserved word, and for identifiers. Since the definitions overlap (if will match a reserved word and the general identifier pattern), we give priority to reserved words. Thus a token is scanned as an identifier if it matches the identifier pattern and does not match any reserved word pattern. This approach is commonly used in scanner generators like Lex and JLex.


## Converting Token Values

For some tokens, we may need to convert from string form into numeric or binary form.
For example, for integers, we need to transform a string a digits into the internal (binary) form of integers.
We know the format of the token is valid (the scanner checked this), but:

- The string may represent an integer too large to represent in 32 or 64 bit form.
- Languages like CSX and ML use a non- standard representation for negative values ( $\sim 123$ instead of -123)

We can safely convert from string to integer form by first converting the string to double form, checking against max and min int, and then converting to int form if the value is representable.
Thus d = new Double(str) will create an object d containing the value of str in double form. If str is too large or too small to be represented as a double, plus or minus infinity is automatically substituted. d.doubleValue() will give d's value as a Java double, which can be compared against
Integer.MAX_VALUE or Integer. MIN_VALUE.

If d.doubleValue() represents a valid integer,
(int) d.doubleValue()
will create the appropriate integer value.
If a string representation of an integer begins with a " $\sim$ " we can strip the " $\sim$ ", convert to a double and then negate the resulting value.

## Scanner Termination

A scanner reads input characters and partitions them into tokens. What happens when the end of the input file is reached? It may be useful to create an Eof pseudocharacter when this occurs. In Java, for example,
InputStream.read(), which reads a single byte, returns - 1 when end of file is reached. A constant, EOF, defined as - 1 can be treated as an "extended" ASCII character. This character then allows the definition of an Eof token that can be passed back to the parser.
An Eof token is useful because it allows the parser to verify that the logical end of a program corresponds to its physical end.

Most parsers require an end of file token.
Lex and Jlex automatically create an Eof token when the scanner they build tries to scan an eof character (or tries to scan when eof() is true).

## Multi Character Lookahead

We may allow finite automata to look beyond the next input character.
This feature is necessary to implement a scanner for FORTRAN.
In FORTRAN, the statement DO $10 \mathrm{~J}=1,100$
specifies a loop, with index $\mathbf{J}$ ranging from 1 to 100 .
The statement
DO $10 \mathrm{~J}=1.100$
is an assignment to the variable D010J. (Blanks are not significant except in strings.)
A FORTRAN scanner decides whether the $\mathbf{0}$ is the last character of a Do token only after reading as far as the comma (or period).

A milder form of extended lookahead problem occurs in Pascal and Ada.
The token 10.50 is a real literal, whereas $10 . .50$ is three different tokens.
We need two- character lookahead after the 10 prefix to decide whether we are to return 10 (an integer literal) or $\mathbf{1 0 . 5 0}$ (a real literal).

Suppose we use the following FA.


Given 10.. 100 we scan three characters and stop in a nonaccepting state.
Whenever we stop reading in a non- accepting state, we back up along accepted characters until an accepting state is found.
Characters we back up over are rescanned to form later tokens. If no accepting state is reached during backup, we have a lexical error.

## Performance Considerations

Because scanners do so much character- level processing, they can be a real performance bottleneck in production compilers.
Speed is not a concern in our project, but let's see why scanning speed can be a concern in production compilers. Let's assume we want to compile at a rate of 5000 lines $/ \mathrm{sec}$. (so that most programs compile in just a few seconds).
Assuming 30 characters/line (on average), we need to scan 150,000 char/sec.

A key to efficient scanning is to group character- level operations whenever possible. It is better to do one operation on $n$ characters rather than $n$ operations on single characters.
In our examples we've read input one character as a time. A subroutine call can cost hundreds or thousands of instructions to execute-far too much to spend on a single character.
We prefer routines that do block reads, putting an entire block of characters directly into a buffer. Specialized scanner generators can produce particularly fast scanners.
The GLA scanner generator claims that the scanners it produces run as fast as:

## while(c != Eof) \{ $\mathbf{c}=$ getchar();

\}

