

## CS 536 Announcements for Wednesday, March 6, 2024

### Last Time

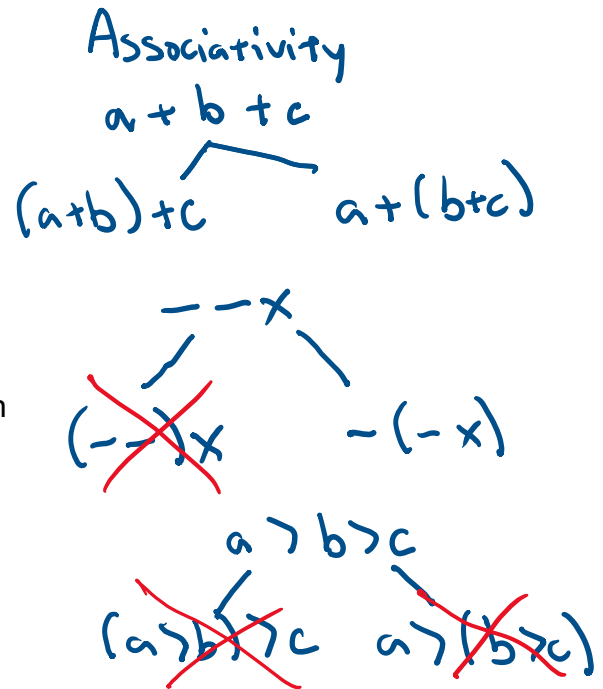
- wrap up CYK
- classes of grammars
- top-down parsing

### Today

- review grammar transformations
- building a predictive parser
- FIRST and FOLLOW sets

### Next Time

- predictive parsing and syntax-directed translation



## LL(1) Predictive Parser

### Predict the parse tree top-down

#### Parser structure

- 1 token lookahead
- parse-selector table
- stack tracking current parse tree's frontier

#### Necessary conditions

- left-factored
- free of left-recursion

## Review of LL(1) grammar transformations

### Necessary (but not sufficient conditions) for LL(1) parsing

- free of left recursion – no left-recursive rules
- left-factored – no rules with a common prefix, for any nonterminal

### Why left-recursion is a problem

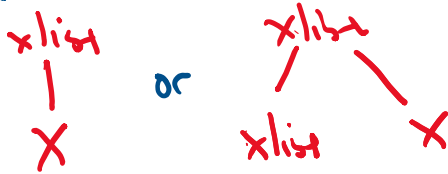
#### Outside/high-level view

CFG snippet:  $xlist \rightarrow xlist X \mid X$

Current parse tree: xlist

Current token: X

How to grow parse tree?



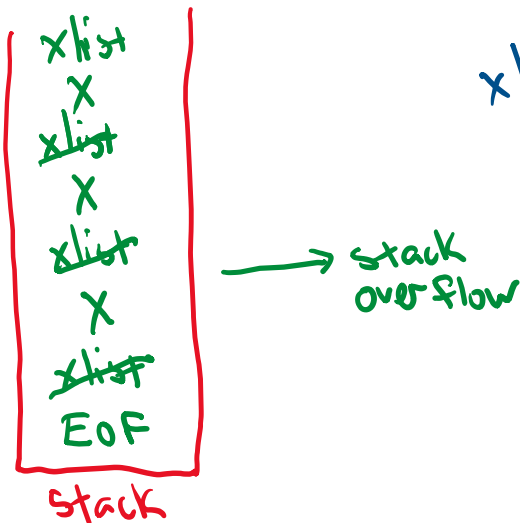
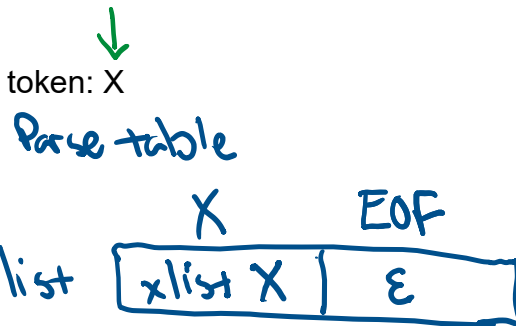
Depends on if there are more X's  
 → need more lookahead

#### Inside/algorithmic-level view

CFG snippet:  $xlist \rightarrow xlist X \mid X \epsilon$

Current parse tree: xlist

Current token: X



## Removing left-recursion (review)

Replace

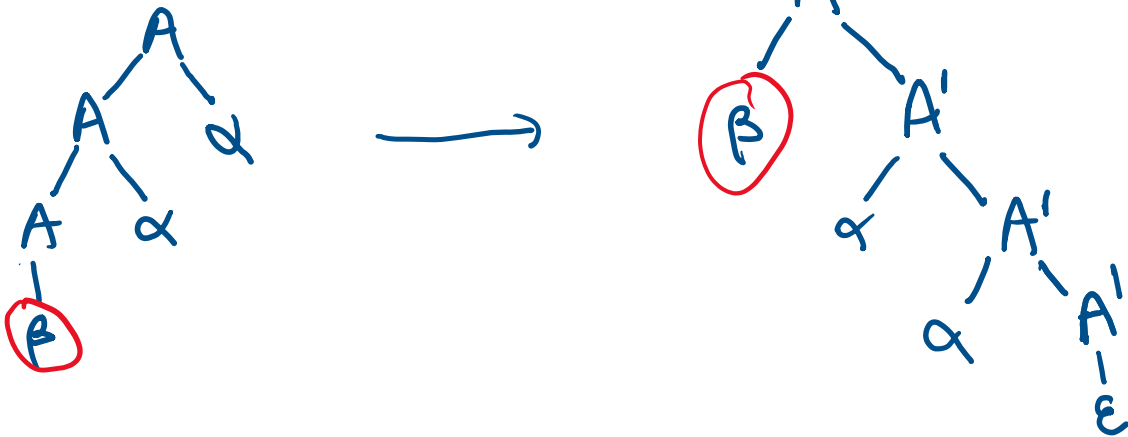
$$A \rightarrow A \alpha \mid \beta \leftarrow \text{head of list}$$

with

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

where  $\beta$  does not start with  $A$  (or may be  $\epsilon$ )



Preserves the language (as a list of  $\alpha$ 's, starting with a  $\beta$ ), but uses **right recursion**

### Example

$$xlist \rightarrow xlist X \mid \epsilon$$

$$xlist \rightarrow \epsilon xlist'$$

$$xlist' \rightarrow X xlist' \mid \epsilon$$

$$xlist \rightarrow xlist'$$

remove  
&  
change  $xlist'$   
to  $xlist$

$$\Rightarrow xlist \rightarrow X xlist \mid \epsilon$$

## Left factoring (review)

### Removing a common prefix from a grammar

Replace

$$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma_1 \mid \gamma_2 \mid \dots \mid \gamma_m$$

with

$$A \rightarrow \alpha A' \mid \gamma_1 \mid \gamma_2 \mid \dots \mid \gamma_m$$
$$A' \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

where  $\beta_i$  and  $\gamma_i$  are sequence of symbols with no common prefix

Note:  $\gamma_i$  may not be present, and one of the  $\beta_i$  may be  $\varepsilon$

**Idea:** combine all "problematic" rules that start with  $\alpha$  into one rule  $\alpha A'$   
 $A'$  now represents the suffix of the problematic rules

### Example 1

$$\text{exp} \rightarrow \langle A \rangle \mid \langle B \rangle \mid \langle C \rangle \mid D$$

$$\text{exp} \rightarrow \langle \text{exp}' \rangle D$$
$$\text{exp}' \rightarrow \langle A \rangle \mid \langle B \rangle \mid \langle C \rangle$$

### Example 2

$$\text{stmt} \rightarrow \text{ID ASSIGN exp} \mid \text{ID (elist)} \mid \text{return}$$

$$\text{exp} \rightarrow \text{INTLIT} \mid \text{ID}$$

$$\text{elist} \rightarrow \text{exp} \mid \text{exp COMMA elist}$$

$$\text{stmt} \rightarrow \text{ID stmt}' \mid \text{return}$$

$$\text{stmt}' \rightarrow \text{ASSIGN exp} \mid (\text{elist})$$

$$\text{exp} \rightarrow \text{INTLIT} \mid \text{ID}$$

$$\text{elist} \rightarrow \text{exp elist}'$$

$$\text{elist}' \rightarrow \varepsilon \mid \text{COMMA elist}$$

## Building the parse table

**Goal:** given production  $lhs \rightarrow rhs$ , determine what terminals would lead us to choose that production

ie, figure out  $T$  such that  $table[lhs][T] = rhs$

- also what terminals could indicate an error at this point?

- what terminals could **rhs possibly start with?**
- What terminals could possibly come **after lhs?**

**Idea:**  $FIRST(rhs)$  = set of terminals that begin sequences derivable from  $rhs$

Suppose top-of-stack symbol is nonterminal  $p$  and the current token is  $A$  and we have

- Production 1:  $p \rightarrow \alpha$
- Production 2:  $p \rightarrow \beta$

FIRST lets us disambiguate:

- if  $A \in FIRST(\alpha)$ , then **production 1 is a viable choice**
- if  $A \in FIRST(\beta)$ , then **production 2 is a viable choice**
- if  $A$  is in just one of them, then **we can predict which production to use**

## FIRST sets

$FIRST(\alpha)$  is the set of terminals that begin the strings derivable from  $\alpha$ , and also, if  $\alpha$  can derive  $\epsilon$ , then  $\epsilon$  is in  $FIRST(\alpha)$ .

Formally,

$$FIRST(\alpha) = \{ T \mid (T \in \Sigma \wedge \alpha \Rightarrow^* T\beta) \vee (T = \epsilon \wedge \alpha \Rightarrow^* \epsilon) \}$$

**For a symbol X**

- if X is terminal:  $FIRST(X) = \{X\}$
- if X is  $\epsilon$ :  $FIRST(X) = \{\epsilon\}$
- if X is nonterminal: for each production  $X \rightarrow Y_1Y_2Y_3..Y_n$ 
  - put  $FIRST(Y_1) - \epsilon$  into  $FIRST(X)$
  - if  $\epsilon$  is in  $FIRST(Y_1)$ , put  $FIRST(Y_2) - \epsilon$  into  $FIRST(X)$
  - if  $\epsilon$  is in  $FIRST(Y_2)$ , put  $FIRST(Y_3) - \epsilon$  into  $FIRST(X)$
  - ...
  - if  $\epsilon$  is in  $FIRST(Y_i)$  for all  $i$ , put  $\epsilon$  into  $FIRST(X)$

repeat until there are no changes in any nonterminal's FIRST set

## Example

### Original CFG

$\text{expr} \rightarrow \text{expr} + \text{term}$   
 $\quad \quad | \text{term}$   
 $\text{term} \rightarrow \text{term} * \text{factor}$   
 $\quad \quad | \text{factor}$   
 $\text{factor} \rightarrow \text{exponent} \wedge \text{factor}$   
 $\quad \quad | \text{exponent}$   
 $\text{exponent} \rightarrow \text{INTLIT}$   
 $\quad \quad | ( \text{expr} )$

### Transformed CFG

$\text{expr} \rightarrow \text{term} \text{expr}'$   
 $\text{expr}' \rightarrow + \text{term} \text{expr}' \mid \epsilon$   
 $\text{term} \rightarrow \text{factor} \text{term}'$   
 $\text{term}' \rightarrow * \text{factor} \text{term}' \mid \epsilon$   
 $\text{factor} \rightarrow \text{exponent} \text{factor}'$   
 $\text{factor}' \rightarrow \wedge \text{factor} \mid \epsilon$   
 $\text{exponent} \rightarrow \text{INTLIT} \mid ( \text{expr} )$

	FIRST	FOLLOW
expr	INTLIT (	EOF )
expr'	+ ε	= FOLLOW(expr) EOF )
term	INTLIT (	+ EOF )
term'	* ε	= FOLLOW(term) + EOF )
factor	INTLIT (	* + EOF )
factor'	^ ε	* + EOF )
exponent	INTLIT (	^ * + EOF )

	FIRST
expr → term expr'	INTLIT (
expr' → + term expr'	+
expr' → ε	ε
term → factor term'	INTLIT (
term' → * factor term'	*
term' → ε	ε
factor → exponent factor'	INTLIT (
factor' → ^ factor	^
factor' → ε	ε
exponent → INTLIT	INTLIT
exponent → ( expr )	(

## Computing FIRST( $\alpha$ ) (continued)

Extend FIRST to strings of symbols  $\alpha$

- want to define FIRST for all RHS of productions

Let  $\alpha = Y_1 Y_2 Y_3 \dots Y_n$

- put FIRST( $Y_1$ ) -  $\epsilon$  into FIRST( $\alpha$ )
  - if  $\epsilon$  is in FIRST( $Y_1$ ), put FIRST( $Y_2$ ) -  $\epsilon$  into FIRST( $\alpha$ )
  - if  $\epsilon$  is in FIRST( $Y_2$ ), put FIRST( $Y_3$ ) -  $\epsilon$  into FIRST( $\alpha$ )
  - ...
  - if  $\epsilon$  is in FIRST( $Y_i$ ) for all  $i$ , put  $\epsilon$  into FIRST( $\alpha$ )

Given two productions for nonterminal  $p$

- Production 1:  $p \rightarrow \alpha$       FIRST( $\alpha$ )
  - Production 2:  $p \rightarrow \beta$       FIRST( $\beta$ )
- look for current token

If only 1 has it, pick that production

If both have it, grammar is not LL(1)

If neither have it, if one FIRST set has  $\epsilon$  in it,  
look at what terminals can follow  $p$

## FOLLOW sets

For single nonterminal  $a$ , FOLLOW( $a$ ) is the set of terminals that can appear immediately to the right of  $a$

Formally,

$$\text{FOLLOW}(a) = \{ T \mid (T \in \Sigma \wedge s \Rightarrow^* \alpha a T \beta) \vee (T = \text{EOF} \wedge s \Rightarrow^* \alpha a) \}$$

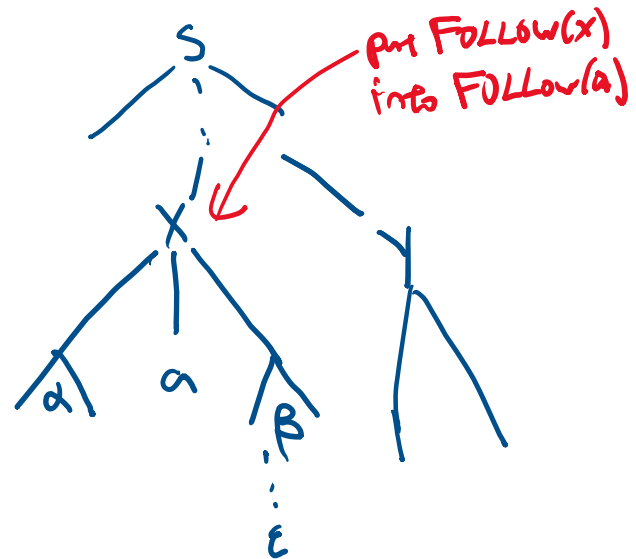
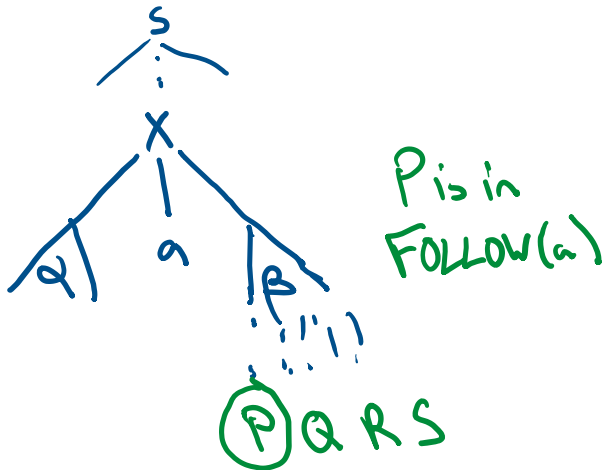
terminals

## Computing FOLLOW sets

### To build FOLLOW(a)

- if **a** is the start non-term, put EOF in FOLLOW(a)
- for each production  $x \rightarrow \alpha \mathbf{a} \beta$ 
  - put FIRST( $\beta$ ) -  $\epsilon$  into FOLLOW(a)
  - if  $\epsilon$  is in FIRST( $\beta$ ), put FOLLOW(x) into FOLLOW(a)
- for each production  $x \rightarrow \alpha a$ 
  - put FOLLOW(x) into FOLLOW(a)

repeat until  
no changes



## Building the parse table

```

for each production  $x \rightarrow \alpha$  {
  for each terminal T in FIRST( $\alpha$ ) {
    put  $\alpha$  in table[x][T]
  }
  if  $\epsilon$  is in FIRST( $\alpha$ ) {
    for each terminal T in FOLLOW(x) {
      put  $\alpha$  in table[x][T]
    }
  }
}

```