

# CS 540 Introduction to Artificial Intelligence Deep Learning III

University of Wisconsin-Madison Spring 2025

#### **Announcements**

- Homeworks:
  - HW7 online, due on Monday April 7<sup>th</sup> at 11:59 PM
- Class roadmap and schedule:

Machine Learning: Deep Learning III

Machine Learning: Deep Learning and Neural Network's Summary

### **Outline**

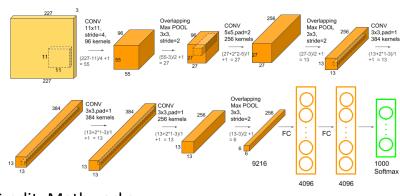
- CNNs with more layers: ResNets
  - Layer problems, residual connections, identity maps
- Data Augmentation & Regularization
  - Expanding the dataset, avoiding overfitting
- More Signal From our Data
  - Graph-structured data, graph neural networks

### Last Time: CNNs

#### We talked about CNN components & architectures

- Components: convolutional layers, pooling layers (recall kernels, channels, strides, padding)
- Architectures: LeNet, AlexNet, VGG

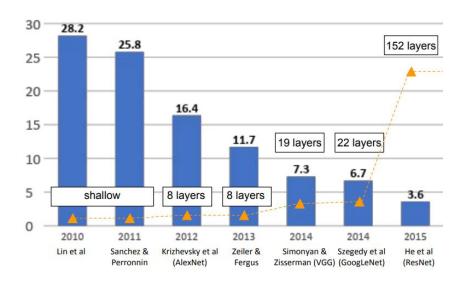
Trend: bigger, deeper.



**Credit: Mathworks** 

### **Evolution of CNNs**

### ImageNet competition (error rate)



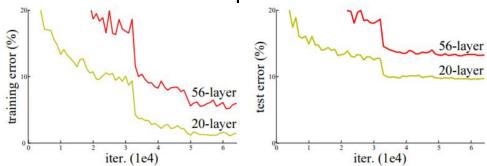
Credit: Stanford CS 231n

# Simple Idea: Add More Layers

VGG: 19 layers. ResNet: 152 layers. **Add more layers**... sufficient?

- No! Some problems:
  - i) Vanishing gradients: more layers → more likely
  - ii) Instability: deeper models are harder to optimize

Reflected in training error:



He et al: "Deep Residual Learning for Image Recognition"

# Depth Issues & Learning Identity

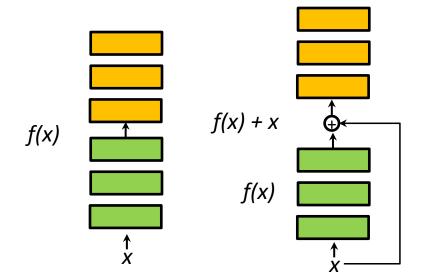
Why would more layers result in worse performance?

Idea: if layers can learn identity, can't get worse.

### **Residual Connections**

Idea: Identity might be hard to learn, but zero is easy!

- Make all the weights tiny, produces zero for output
- Can easily transform learning identity to learning zero:



**Left**: Conventional layers block

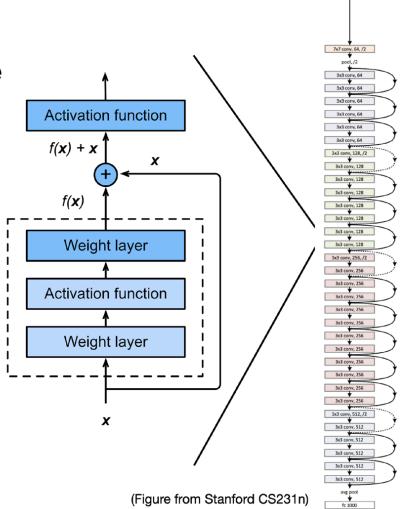
**Right**: **Residual** layer block

To learn identity f(x) = x, layers now need to learn  $f(x) = 0 \rightarrow$  easier

#### **Full ResNet Architecture**

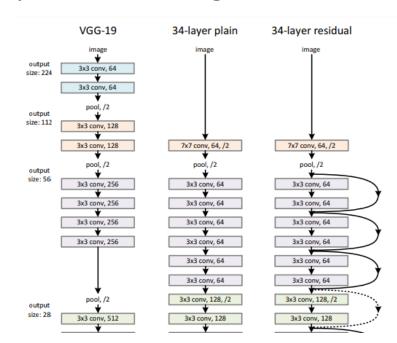
[He et al. 2015]

- Stack residual blocks
- Every residual block has two 3x3 ; conv layers
- Periodically, double # of filters and downsample spatially using stride of 2 (/2 in each dimension)



Idea: Residual (skip) connections help make learning easier

- Example architecture:
- Note: residual connections
  - Every two layers for ResNet34
- Vastly better performance
  - No additional parameters!
  - Records on many benchmarks



He et al: "Deep Residual Learning for Image Recognition"

#### Various depth

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer	
conv1	112×112	7×7, 64, stride 2					
		3×3 max pool, stride 2					
conv2_x	56×56	$\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	
conv3_x	28×28	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$	$ \begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4 $	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$ \begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8 $	
conv4_x	14×14	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$	
conv5_x	7×7	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	$   \left[ \begin{array}{c}     1 \times 1, 512 \\     3 \times 3, 512 \\     1 \times 1, 2048   \end{array} \right] \times 3 $	
	1×1	average pool, 1000-d fc, softmax					
FLOPs		1.8×10 <sup>9</sup>	$3.6 \times 10^9$	$3.8 \times 10^9$	$7.6 \times 10^9$	11.3×10 <sup>9</sup>	

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

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conv2_x	56×56	3×3 max pool, stride 2					
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conv3_x	28×28	$\left[\begin{array}{c}3\times3,128\\3\times3,128\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$	
conv4_x		[, ]	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times6$	[ 1×1, 1024 ]	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$	
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	1×1	average pool, 1000-d fc, softmax					
FLOPs		1.8×10 <sup>9</sup>	$3.6 \times 10^{9}$	$3.8 \times 10^{9}$	7.6×10 <sup>9</sup>	11.3×10 <sup>9</sup>	

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**FLOPs** 

Various depth # of filters Repeat x3 times 34-layer output size 18-layer 50-layer 101-layer 152-layer layer name 112×112  $7 \times 7$ , 64, stride 2 conv1  $3\times3$  max pool, stride 2  $1 \times 1,64$  $1 \times 1,64$  $1 \times 1,64$ 3×3, 64 ]×2 56×56 conv2\_x  $3 \times 3,64$  $3 \times 3.64$  $\times 3$  $3 \times 3.64$  $\times 3$  $3 \times 3,64$  $\times 3$  $3 \times 3,64$  $1 \times 1,256$  $1 \times 1,256$  $1 \times 1,256$  $1 \times 1, 128$  $1 \times 1, 128$  $1 \times 1, 128$  $\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$  $3 \times 3, 128$ conv3\_x  $28 \times 28$  $3 \times 3, 128$ ×4  $3 \times 3, 128$  $\times 4$  $3 \times 3, 128$  $\times 8$  $3 \times 3, 128$  $1 \times 1,512$  $1 \times 1,512$  $1 \times 1,512$  $1 \times 1,256$  $1 \times 1,256$  $1 \times 1,256$  $\begin{bmatrix} 3\times3,256\\ 3\times3,256 \end{bmatrix} \times 6$  $14 \times 14$ conv4\_x  $3 \times 3,256$  $3 \times 3,256$  $3 \times 3,256$ ×6  $\times 23$  $\times 36$  $1 \times 1, 1024$  $1 \times 1, 1024$  $1 \times 1, 1024$  $1 \times 1,512$  $1 \times 1,512$  $1 \times 1,512$ 3×3, 512 ]×2  $3 \times 3,512$ 7×7  $3 \times 3,512$  $\times 3$ conv5\_x  $3 \times 3,512$  $\times 3$  $3 \times 3,512$  $\times 3$  $1 \times 1,2048$  $1 \times 1,2048$  $1 \times 1,2048$  $1 \times 1$ average pool, 1000-d fc, softmax

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

 $3.8 \times 10^{9}$ 

 $7.6 \times 10^{9}$ 

 $11.3 \times 10^{9}$ 

 $3.6 \times 10^{9}$ 

 $1.8 \times 10^{9}$ 

#### Various depth

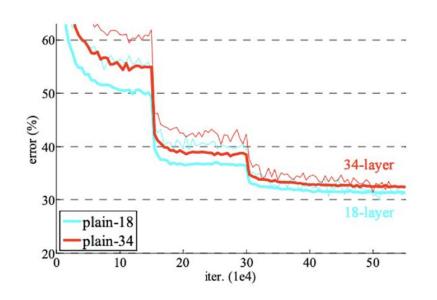
1 + 2x3 + 2x4 + 2x6 + 2x3 + 1 = 34

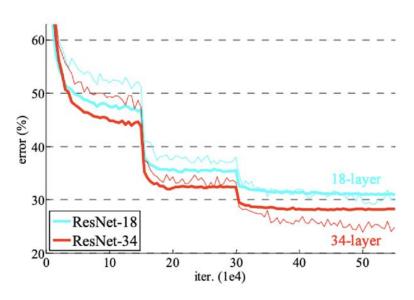
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### ResNet Training Curves on ImageNet

[He et al., 2015]





#### A Bit More on ResNets

Idea: Residual (skip) connections help make learning easier

- Note: Can also analyze from backpropagation p.o.v
  - Residual connections add paths to computation graph
- Also uses batch normalization
  - Normalize the features at each layer to have same mean/variance
  - Common deep learning trick
- Highway networks: learn weights for residual connections

#### **Q 1.1**: Which of the following is **not** true?

- A. Adding more layers can improve the performance of a neural network.
- B. Residual connections help deal with vanishing gradients.
- C. CNN architectures use no more than ~20 layers to avoid problems such as vanishing gradients.
- D. It is usually easier to learn a zero mapping than the identity mapping.

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- D. It is usually easier to learn a zero mapping than the identity mapping.

**Q 1.1**: Which of the following is **not** true?

- A. Adding more layers can improve the performance of a neural network. (Yes, as long as we're careful, e.g., ResNets.)
- B. Residual connections help deal with vanishing gradients. (Yes, this is an explicit consideration for residual connections.)
- C. CNN architectures use no more than ~20 layers to avoid problems such as vanishing gradients. (No, much deeper networks.)
- D. It is usually easier to learn a zero mapping than the identity mapping. (Yes: simple way to learn zero is to make weights zero)

#### Data Concerns

#### What if we don't have a lot of data?

- We risk overfitting
- Avoiding overfitting: **regularization** methods
- Data augmentation: a classic way to regularize



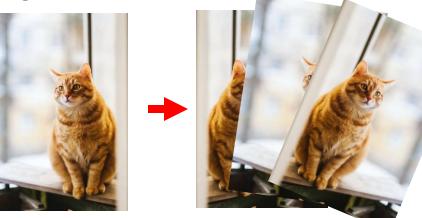




### **Data Augmentation**

Augmentation: transform + add new samples to dataset

- Transformations: based on domain
- Idea: build invariances into the model
  - Ex: if all images have same alignment, model learns to use it
- Keep the label the same!



### **Transformations**

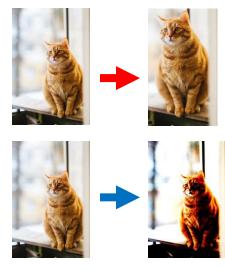
#### Examples of transformations for images

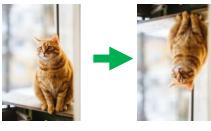
- Crop (and zoom)
- Color (change contrast/brightness)
- Rotations+ (translate, stretch, shear, etc)

Many more possibilities. Combine as well!

Q: how to deal with this at **test time**?

A: transform, test, average





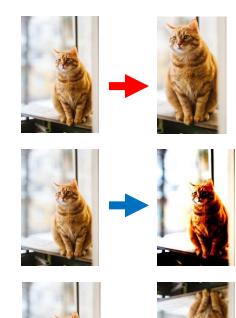
# **Combining & Automating Transformations**

#### One way to automate the process:

- Apply every transformation and combinations
- Downside: most don't help...

#### Want a good policy, ie, $\rightarrow \rightarrow \rightarrow \rightarrow \rightarrow$

- Active area of research: search for good policies
  - **1. Ratner et al**: "Learning to Compose Domain-Specific Transformations for Data Augmentation"
  - **2. Cubuk et al**: "AutoAugment: Learning Augmentation Strategies from Data"



### Other Domains

#### Not just for image data. For example, on text:

- Substitution
  - E.g., "It is a great day" → "It is a wonderful day"
  - Use a thesaurus for particular words
  - Or, use a model. Pre-trained word embeddings, language models
- Back-translation
  - "Given the low budget and production limitations, this movie is very good."
    - → "There are few budget items and production limitations to make this film a really good one"

# Importance of Augmentation

#### Data augmentation is critical for top performance!

- You should use it!
- AlexNet: used (many papers re-used as well)
  - Random crops, rotations, flips.

Krizhevsky et al: "ImageNet Classification with Deep Convolutional Neural Networks"



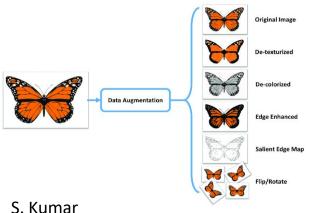
# Other Forms of Regularization

### Regularization has many interpretations

• **Goodfellow**: "any modification... to a learning algorithm that is intended to reduce its generalization error but not its training error."

A way of adding knowledge / side information to model

Enforcing parsimony/simplicity



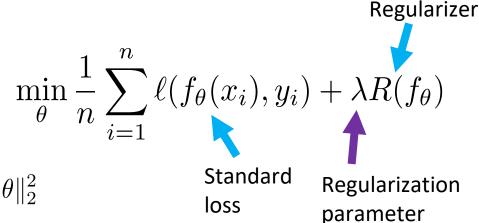
# Other Forms of Regularization

### Classic regularizations

Modify loss functions

Ex: regularized least squares LR

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^{n} (\theta_0 + x_i^T \theta - y_i)^2 + \lambda \|\theta\|_2^2$$



- Modify architecture/training/data
  - a) Dropout, batch normalization, augmentation

- **Q 2.1**: If we apply data augmentation blindly, we might
- (i) Change the label of the data point
- (ii) Produce a useless training point
- A. (i) but not (ii)
- B. (ii) but not (i)
- C. Neither
- D. Both

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- **Q 2.1**: If we apply data augmentation blindly, we might
- (i) Change the label of the data point
- (ii) Produce a useless training point
- A. (i) but not (ii) (Can do (ii): imagine turning up the contrast till the image is completely black and is unusable).
- B. (ii) but not (i) (Can change label: rotate a 6 into a 9).
- C. Neither (Can do either).
- D. Both

- **Q 2.2**: What are some consequences of data augmentation?
- (i) We have to store a much bigger dataset in memory
- (ii) For a fixed batch size, there will be more batches per epoch

- A. (i) but not (ii)
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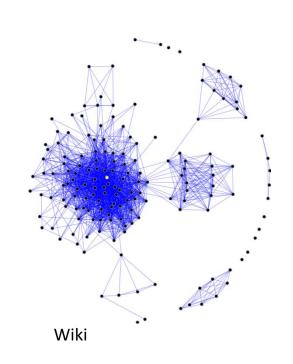
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# Relationships in Data

### So far, all of our data consists of points

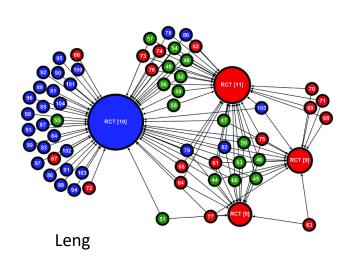
- Assume all are independent, "unrelated" in a sense  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_n, y_n)$
- Pretty common to have relationships between points
  - Social networks: individuals related by friendship
  - Biology/chemistry: bonds between compounds, molecules
  - Citation networks: Scientific papers cite each other



# Signal from Relationships

### Suppose we are classifying scientific papers

- Features: title, abstract, authors. Labels: math/science/eng.
- Could build a reasonable classifier with the above data
- More signal from relationships
  - Cite each other, more likely from the same field
  - Note: citations are not features; they're links
  - Need a new type of network to handle



# **Graph Neural Networks**

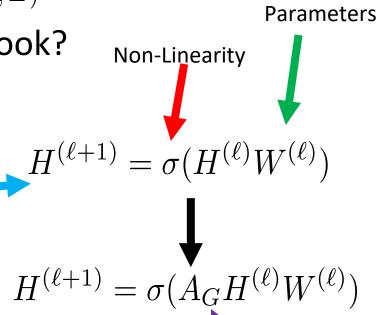
**Have:**  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_n, y_n), G = (V, E)$ 

How should our new architecture look?

- Still want layers
  - linear transformation + non-linearity

Hidden Layer Representation

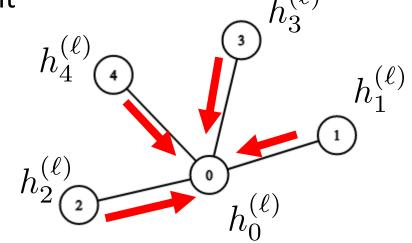
- Now want to integrate neighbors
- Bottom: graph convolutional network



### **Graph Convolutional Networks**

#### Let's examine the GCN architecture in more detail

- Difference: "graph mixing" component
- At each layer, get representation at each node
- Combine node's representation with neighboring nodes

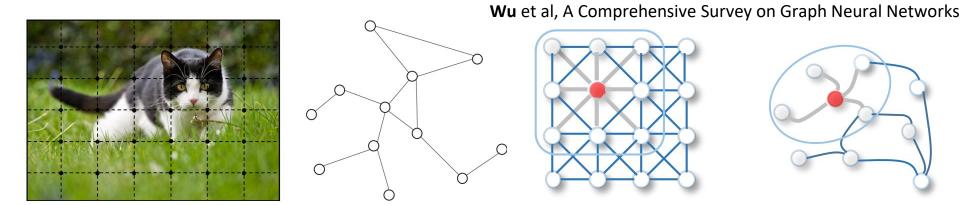


"Aggregate" and "Update" rules

### **Graph Convolutional Networks**

#### Note the resemblance to CNNs:

- Pixels: arranged as a very regular graph
- Want: more general configurations (less regular)



**Zhou** et al, Graph Neural Networks: A Review of Methods and Applications

### Summary

- Intro to deeper networks (resnets)
  - Dealing with problems by adding skip connections
- Intro to regularization
  - Data augmentation + other regularizers
- Basic graph neural networks



**Acknowledgements**: Inspired by materials by Fei-Fei Li, Ranjay Krishna, Danfei Xu (Stanford CS231n)