

Capacity, Learning, Teaching

Xiaojin Zhu

Department of Computer Sciences
University of Wisconsin-Madison

jerryzhu@cs.wisc.edu
2013

Machine learning $\leftrightarrow$ human learning

- ▶ Learning capacity and generalization bounds
- ▶ Beyond supervised learning: semi-supervised, active
- ▶ Beyond learning: teaching

Capacity

VC-dimension

- ▶ F : a family of binary classifiers
- ▶ VC-dimension $VC(F)$: size of the largest set that F can shatter
- ▶ With probability at least $1 - \delta$,

$$\sup_{f \in F} R(f) - R_n(f) \leq 2 \sqrt{2 \frac{VC(F) \log n + VC(F) \log \frac{2e}{VC(F)} + \log \frac{2}{\delta}}{n}}.$$

- ▶ $R(f)$: error of f in the future
- ▶ $R_n(f)$: error of f on a training set of size n

Capacity

Rademacher complexity

- ▶ $\sigma_1, \dots, \sigma_n : P(\sigma_i = 1) = P(\sigma_i = -1) = \frac{1}{2}$
- ▶ Rademacher complexity

$$Rad_n(F) = \mathbb{E}_{\sigma, x} \left(\sup_{f \in F} \left| \frac{1}{n} \sum_{i=1}^n \sigma_i f(x_i) \right| \right).$$

- ▶ With probability at least $1 - \delta$,

$$\sup_{f \in F} |R_n(f) - R(f)| \leq 2Rad_n(F) + \sqrt{\frac{\log(2/\delta)}{2n}}.$$

Machine learning $\rightarrow$ human learning

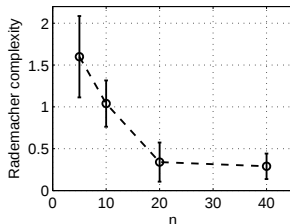
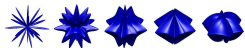
- ▶ f : you categorize x by $f(x)$
- ▶ F : all the classifiers in your mind
- ▶ $R_n(f)$: how did you do in class
- ▶ $R(f)$: how well can you do outside class
- ▶ Capacity: can we measure it in humans?
 - ▶ $VC(F)$: too brittle (find one dataset of size n) and combinatorial (verify shattering)
 - ▶ Others may behave better, e.g., $Rad_n(F)$

Measuring human Rademacher complexity

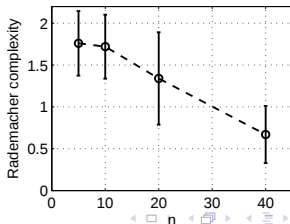
“learning random labels” $(x_1, \sigma_1) \dots (x_n, \sigma_n)$, e.g., (grenade, B), (skull, A), (conflict, A), (meadow, B), (queen, B)

$$Rad_n(F) \approx \frac{1}{m} \sum_{j=1}^m \left| \frac{1}{n} \sum_{i=1}^n \sigma_i^{(j)} \hat{f}^{(j)}(x_i^{(j)}) \right|$$

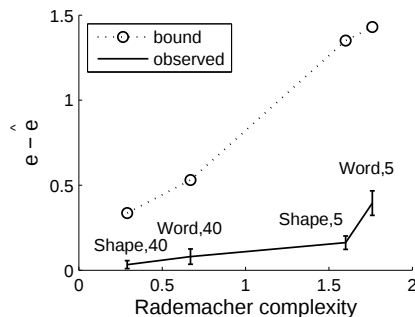
- ▶ $\hat{f}$ mnemonics: “a queen was sitting in a meadow and then a grenade was thrown (B = before), then this started a conflict ending in bodies & skulls (A = after).”
- ▶ $\hat{f}$ wrong rules: (daylight, A), (hospital, B), (termite, B), (envy, B), (scream, B), “anything related to omitting[sic] light”



rape killer funeral ... fun laughter joy



Overfitting indicator



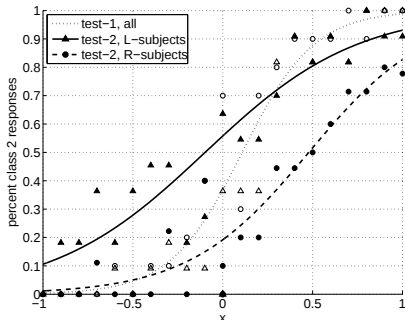
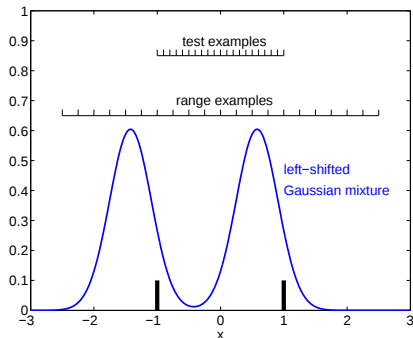
- ▶ e test set error, $\hat{e}$ training set error
- ▶ generalization error bound holds
- ▶ actual overfitting tracks bound (nice but not predicted by theory)

The study of capacity may

- ▶ constrain cognitive models
- ▶ understand groups differ in age, health, education, etc.

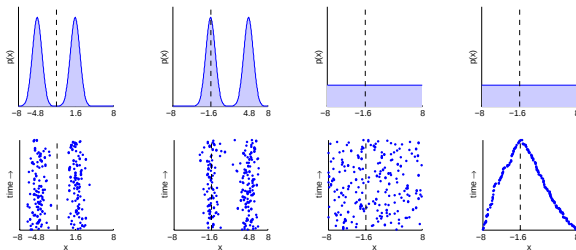
Human semi-supervised learning

- ▶ Humans learn supervised first, then
- ▶ ... decision boundary shifts to distribution trough in test data
- ▶ Can be explained by a variety of semi-supervised machine learning models



Human semi-supervised learning, the other way around

Human unsupervised learning first



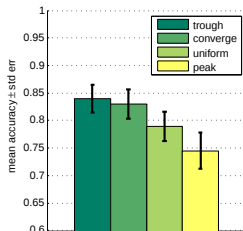
trough

peak

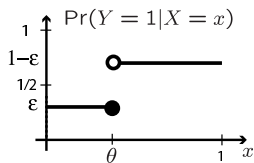
uniform

converge

... influences subsequent (identical) supervised learning task



Active learning



Passive learning (slow)

$$\inf_{\hat{\theta}_n} \sup_{\theta \in [0,1]} \mathbb{E}[|\hat{\theta}_n - \theta|] \geq \frac{1}{4} \left(\frac{1+2\epsilon}{1-2\epsilon} \right)^{2\epsilon} \frac{1}{n+1}$$

Active learning (fast)

$$\sup_{\theta \in [0,1]} \mathbb{E}[|\hat{\theta}_n - \theta|] \leq 2 \left(\sqrt{\frac{1}{2}} + \sqrt{\epsilon(1-\epsilon)} \right)^n$$

Active learning $\rightarrow$ humans

noise

$\epsilon = 0$

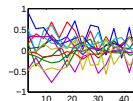
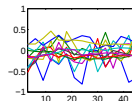
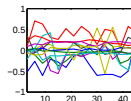
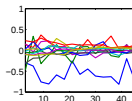
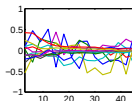
$\epsilon = 0.05$

$\epsilon = 0.1$

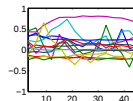
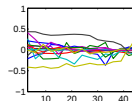
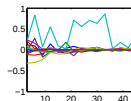
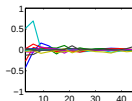
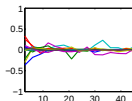
$\epsilon = 0.2$

$\epsilon = 0.4$

Human
Passive



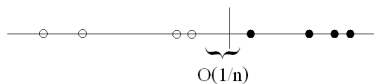
Human
Active



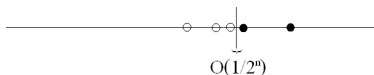
Machine teaching

Example: a threshold classifier in 1D

- ▶ passive learning $(x_i, y_i) \stackrel{iid}{\sim} p$, risk $\approx O(\frac{1}{n})$



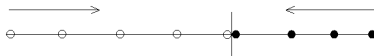
- ▶ active learning risk $\approx \frac{1}{2^n}$



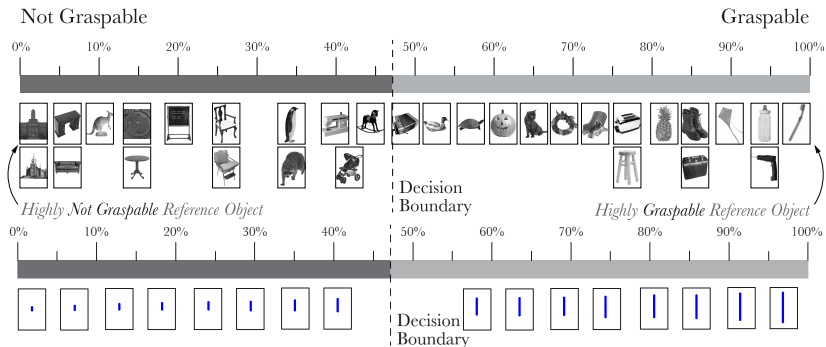
- ▶ **taught:** $n = 2$. Teaching dimension



- ▶ curriculum learning



Human teacher behaviors



	strategy	boundary	curriculum	linear	positive
"graspability"	($n = 31$)	0%	48%	42%	10%
"lines"	($n = 32$)	56%	19%	25%	0%

A framework for teaching a Bayesian learner

1. World: $p(x, y \mid \theta^*)$, loss function $\ell(f(x), y)$
2. Learner: Bayesian.
 - ▶ prior over Θ ($\theta^* \in \Theta$), likelihood $p(x, y \mid \theta)$
 - ▶ maintains posterior $p(\theta \mid \text{data})$ by Bayesian update
 - ▶ makes prediction $f(x \mid \text{data})$ using the posterior
3. Teacher:
 - ▶ clairvoyant, knows everything above
 - ▶ **can only teach by examples (x, y)**
 - ▶ goal: choose the least-effort teaching set $D = (x, y)_{1:n}$ to minimize the learner's future loss (risk):

$$\mathbb{E}_{\theta^*}[\ell(f(x \mid D), y)] + \text{effort}(D)$$

- ▶ if the future loss approaches Bayes risk, D is a teaching set and n is the (generalized) teaching dimension

References



R. Castro, C. Kalish, R. Nowak, R. Qian, T. Rogers, and X. Zhu.
Human active learning.
[In *Advances in Neural Information Processing Systems \(NIPS\)* 22. 2008.](#)



B. R. Gibson, T. T. Rogers, and X. Zhu.
Human semi-supervised learning.
[Topics in Cognitive Science](#), 5(1):132–172, 2013.



F. Khan, X. Zhu, and B. Mutlu.
How do humans teach: On curriculum learning and teaching dimension.
[In *Advances in Neural Information Processing Systems \(NIPS\)* 25. 2011.](#)



X. Zhu, T. Rogers, R. Qian, and C. Kalish.
Humans perform semi-supervised classification too.
[In *Twenty-Second AAAI Conference on Artificial Intelligence \(AAAI-07\)*, 2007.](#)



X. Zhu, T. T. Rogers, and B. Gibson.
Human Rademacher complexity.
[In *Advances in Neural Information Processing Systems \(NIPS\)* 23. 2009.](#)