# Machine Learning Theory by the People, for the People, of the People

#### Xiaojin Zhu

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#### 2011

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#### war moonlight fever bravery lice A B A B A

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#### Notation

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- $P_X$ , e.g., uniform

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- $f:\mathcal{X}\mapsto\{-1,1\}$  classifier

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#### Notation

- $\bullet \ \mathcal{X}$  domain, e.g., a finite set of words
- $P_X$ , e.g., uniform
- $f:\mathcal{X}\mapsto\{-1,1\}$  classifier
- $f \in \mathcal{F}$  hypothesis space

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#### In-class exam

#### bravery fever lice moonlight war

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#### Take home exam

#### cowardice daylight fun hero screech

•  $(x,y) \stackrel{iid}{\sim} P_{XY}$ 

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- $(x,y) \stackrel{iid}{\sim} P_{XY}$
- training error:  $\hat{e}(f) = \frac{1}{n} \sum_{i=1}^{n} (y_i \neq f(x_i))$

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- training error:  $\hat{e}(f) = \frac{1}{n} \sum_{i=1}^{n} (y_i \neq f(x_i))$

• true error: 
$$e(f) = \mathbb{E}_{(x,y) \stackrel{iid}{\sim} P_{XY}} \left[ (y \neq f(x)) \right]$$

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- true error:  $e(f) = \mathbb{E}_{(x,y) \overset{iid}{\sim} P_{XY}} \left[ (y \neq f(x)) \right]$

want a bound

 $e(f) \leq \hat{e}(f) + \text{something}$ 

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# Rademacher bound [Bartlett and Mendelson 2002]

On a training set of size n, w.p. at least  $1 - \delta$ ,  $\forall f \in \mathcal{F}$ :

$$e(f) \le \hat{e}(f) + \frac{R(\mathcal{F}, \mathcal{X}, P_X, n)}{2} + \sqrt{\frac{\ln(1/\delta)}{2n}}$$

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$$\boldsymbol{x} = x_1, \dots, x_n \stackrel{iid}{\sim} P_X$$

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x = x<sub>1</sub>,...,x<sub>n</sub> <sup>iid</sup> P<sub>X</sub>
σ = σ<sub>1</sub>,...,σ<sub>n</sub> <sup>iid</sup> Bernoulli(<sup>1</sup>/<sub>2</sub>, <sup>1</sup>/<sub>2</sub>) with values ±1
fit of f: |Σ<sup>n</sup><sub>i=1</sub> σ<sub>i</sub>f(x<sub>i</sub>)|

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$$\boldsymbol{x} = x_1, \dots, x_n \stackrel{iid}{\sim} P_X$$

- $\sigma = \sigma_1, \ldots, \sigma_n \stackrel{iid}{\sim} \text{Bernoulli}(\frac{1}{2}, \frac{1}{2})$  with values  $\pm 1$
- fit of  $f: |\sum_{i=1}^n \sigma_i f(x_i)|$
- fit of  $\mathcal{F}$ :  $\sup_{f \in \mathcal{F}} |\sum_{i=1}^n \sigma_i f(x_i)|$
- Rademacher complexity

$$R(\mathcal{F}, \mathcal{X}, P_X, n) = \mathbb{E}_{\boldsymbol{x\sigma}} \left[ \sup_{f \in \mathcal{F}} \left| \frac{2}{n} \sum_{i=1}^n \sigma_i f(x_i) \right| \right]$$

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# Estimating human Rademacher complexity [NIPS 09]

"Learning the noise"

- participant study  $\{(x_i, \sigma_i)\}_{i=1}^n$
- I filler task

• classify  $\{x_i\}_{i=1}^n$ : re-ordered; not told these were training items At the end, we observe  $\hat{f}(x_1) \dots \hat{f}(x_n)$  from the human.

# Estimating human Rademacher complexity (cont.)

Key assumption:

$$\hat{f} = \arg \sup_{f \in \mathcal{F}} \sum_{i=1}^{n} \sigma_i f(x_i)$$

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## Estimating human Rademacher complexity (cont.)

Key assumption:

$$\hat{f} = \arg \sup_{f \in \mathcal{F}} \sum_{i=1}^{n} \sigma_i f(x_i)$$

therefore,

$$\sup_{f \in \mathcal{F}} \left| \frac{2}{n} \sum_{i=1}^{n} \sigma_i f(x_i) \right| \approx \left| \frac{2}{n} \sum_{i=1}^{n} \sigma_i \hat{f}(x_i) \right|$$

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Averaging over participants gives an estimate of R.

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#### Human Rademacher complexity

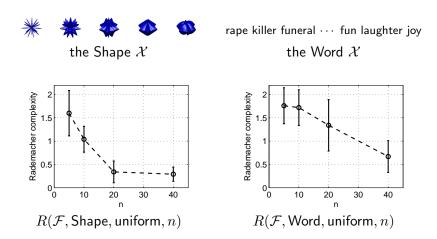


rape killer funeral  $\cdots\,$  fun laughter joy the Word  ${\cal X}$ 

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Human Rademacher complexity



#### Does the bound work?

"Learning any task"

- participant study  $\{(x_i, y_i)\}_{i=1}^n$
- I filler task
- Solution classify  $\{x_i\}_{i=1}^{n+100}$ : re-ordered; not told some were training items

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Yes the bound works

$$e(f) \le \hat{e}(f) + \frac{R(\mathcal{F}, \mathcal{X}, P_X, n)}{2} + \sqrt{\frac{\ln(1/\delta)}{2n}}$$

condition	subject	$\hat{e}$	bound	e
WordEmotion	101	0.00	1.43	0.58
n=5	102	0.00	1.43	0.46
	103	0.00	1.43	0.04
	104	0.00	1.43	0.03
	105	0.00	1.43	0.31
WordEmotion	106	0.70	1.23	0.65
n=40	107	0.00	0.53	0.04
	108	0.00	0.53	0.00
	109	0.62	1.15	0.53
	110	0.00	0.53	0.05

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#### Oh how they overfit!

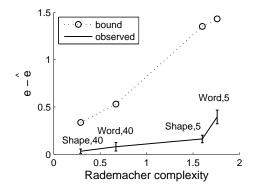
- mnemonics
  - ► (grenade, B), (skull, A), (conflict, A), (meadow, B), (queen, B)
  - "a queen was sitting in a meadow and then a grenade was thrown (B = before), then this started a conflict ending in bodies & skulls (A = after)"

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- idiosyncratic rules
  - whether the shape "faces downward"
  - whether the word "tastes good"
  - "anything related to omitting (sic) light"
  - "things you can go inside"
  - odd or even number of syllables
  - "relates to motel service"
  - "physical vs. abstract"

#### Smaller Rademacher complexity, less actual overfitting

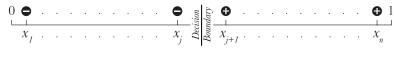


A B F A B F

#### now you be the teacher B B B B B B

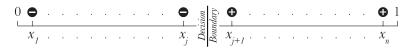
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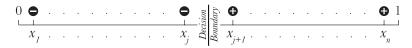
• items  $\mathcal{X}$ 

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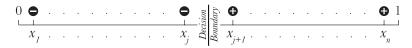
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- *H* threshold functions

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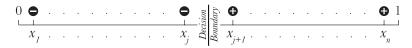
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- teaching set of  $h \in \mathcal{H}$ : subset of  $\mathcal{X}$  consistent with h only

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- TD(H):  $TD(h^*)$  for the hardest  $h^* \in H$ , 2

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# The teaching dimension [Goldman and Kearns 1995]



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Optimal teaching should start around the decision boundary.

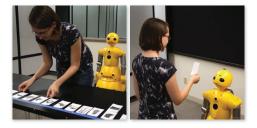
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## Curriculum learning [Bengio et al. 2009]

Teaching should start from easy to hard, i.e., outside to inside.

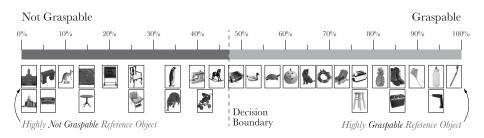
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# You teach robot ... [to appear at NIPS 11]



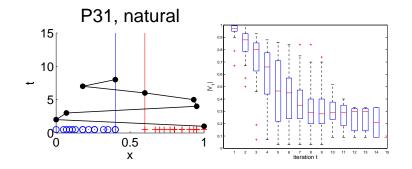
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## ... graspability



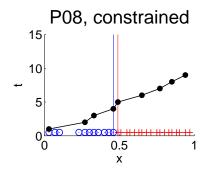
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Observed human teaching strategy 1



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Observed human teaching strategy 2



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#### Extending teaching dimension for curriculum learning

Humans represent objects by many dimensions!

• squirrel = ( graspable, shy, store supplies for the winter, is not poisonous, has four paws, has teeth, has two ears, has two eyes, is beautiful, is brown, lives in trees, rodent, doesn't herd, doesn't sting, drinks water, eats nuts, feels soft, fluffy, gnaws on everything, has a beautiful tail, has a large tail, has a mouth, has a small head, has gnawing teeth, has pointy ears, has short paws, is afraid of people, is cute, is difficult to catch, is found in Belgium, is light, is not a pet, is not very big, is short haired, is sweet, jumps, lives in Europe, lives in the wild, short front legs, small ears, smaller than a horse, soft fur, timid animal, can't fly, climbs in trees, collects nuts, crawls up trees, eats acorns, eats plants, does not lay eggs ... )

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• available teaching items  $\mathbf{x}_1, \ldots, \mathbf{x}_n \sim \mathrm{unif}[0, 1]^d$ 

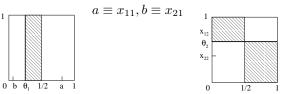
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- first dim determines label  $p(y_i = 1 | \mathbf{x}_i) = \mathbb{1}_{\{x_{i1} > \frac{1}{2}\}}$

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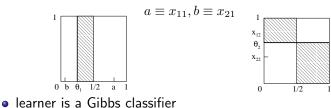
- available teaching items  $\mathbf{x}_1, \dots, \mathbf{x}_n \sim \mathrm{unif}[0,1]^d$
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- learner's version space V: axis-parallel decision boundaries

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  - ▶ after two teaching items  $(\mathbf{x}_1, 1), (\mathbf{x}_2, 0)$ dim 1 dim 2



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• learner's risk

$$R = \frac{1}{|V|} \left( \int_{b}^{a} |\theta_{1} - \frac{1}{2}| d\theta_{1} + \sum_{k=2}^{d} \int_{\min(x_{1k}, x_{2k})}^{\max(x_{1k}, x_{2k})} \frac{1}{2} d\theta_{k} \right)$$

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• learner's risk

$$R = \frac{1}{|V|} \left( \int_{b}^{a} |\theta_{1} - \frac{1}{2}| d\theta_{1} + \sum_{k=2}^{d} \int_{\min(x_{1k}, x_{2k})}^{\max(x_{1k}, x_{2k})} \frac{1}{2} d\theta_{k} \right)$$

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#### Theorem

The risk 
$$R$$
 is minimized by  $a^* = \frac{\sqrt{c^2+2c-c+1}}{2}$  and  $b = 1 - a^*$ , where  $c \equiv \sum_{k=2}^d |x_{1k} - x_{2k}|$ .

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When  $d \to \infty$ , the minimizer of R is  $a^* = 1, b^* = 0$ .

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In practice,  $d = 10, a^* = 0.94$ ;  $d = 100, a^* = 0.99_{\text{c}}$ 

## Teaching items should approach decision boundary

#### Theorem

Let the teaching sequence contain  $t_0$  negative labels and  $t - t_0$  positive ones. Then the version space in dim k has size  $|V_k| = \alpha_k \beta_k$ , where

$$\alpha_k \sim \text{Bernoulli}\left(2/\binom{t}{t_0}, 1-2/\binom{t}{t_0}\right)$$
  
 $\beta_k \sim \text{Beta}(1,t)$ 

independently for  $k = 2 \dots d$ . Consequently,  $\mathbb{E}(c) = \frac{2(d-1)}{\binom{t}{t_0}(1+t)}$ .

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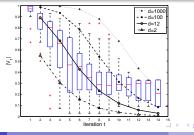
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#### Theorem

Let the teaching sequence contain  $t_0$  negative labels and  $t - t_0$  positive ones. Then the version space in dim k has size  $|V_k| = \alpha_k \beta_k$ , where

$$\alpha_k \sim \text{Bernoulli}\left(2/\binom{t}{t_0}, 1-2/\binom{t}{t_0}\right)$$
  
 $\beta_k \sim \text{Beta}(1,t)$ 

independently for  $k = 2 \dots d$ . Consequently,  $\mathbb{E}(c) = \frac{2(d-1)}{\binom{t}{t_0}(1+t)}$ .



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## Conclusion

Machine learning and cognitive science have much to offer to each other.

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