



CS540 Introduction to Artificial Intelligence Convolutional Neural Networks (I)

Josiah Hanna

University of Wisconsin-Madison

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Congrats on getting midterm done!



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Reminder: HW6 deadline has been extended to Thursday 11:00am

Outline

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- Intro of convolutional computations

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 - 2D convolution

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 - Padding, stride etc

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 - Pooling

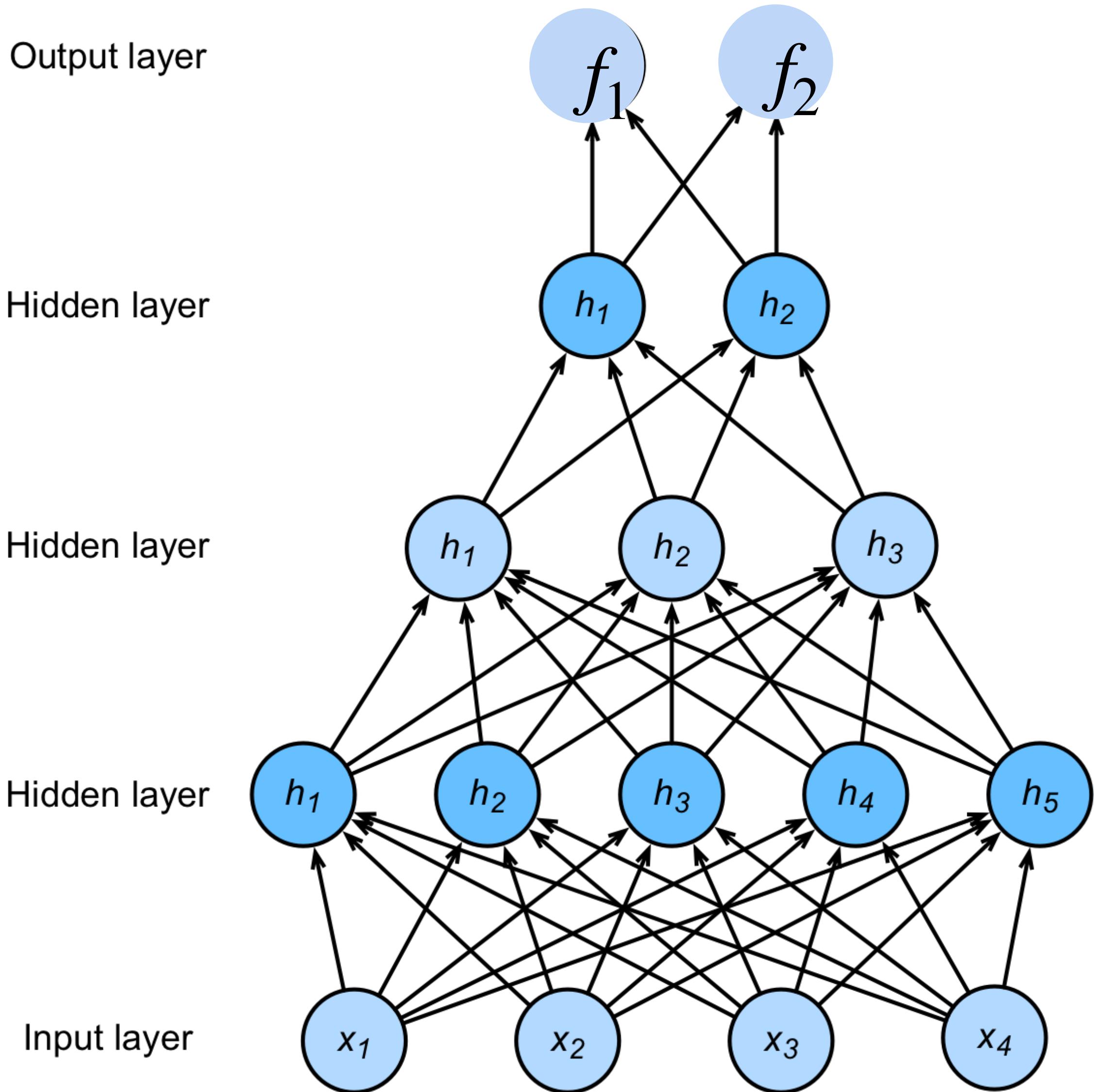
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- Intro of convolutional computations
 - 2D convolution
 - Padding, stride etc
 - Multiple input and output channels
 - Pooling
- Basic Convolutional Neural Networks

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- Intro of convolutional computations
 - 2D convolution
 - Padding, stride etc
 - Multiple input and output channels
 - Pooling
- Basic Convolutional Neural Networks
 - LeNet

Review: Deep neural networks (DNNs)



$$\mathbf{h}_1 = \sigma(\mathbf{W}_1 \mathbf{x} + \mathbf{b}_1)$$

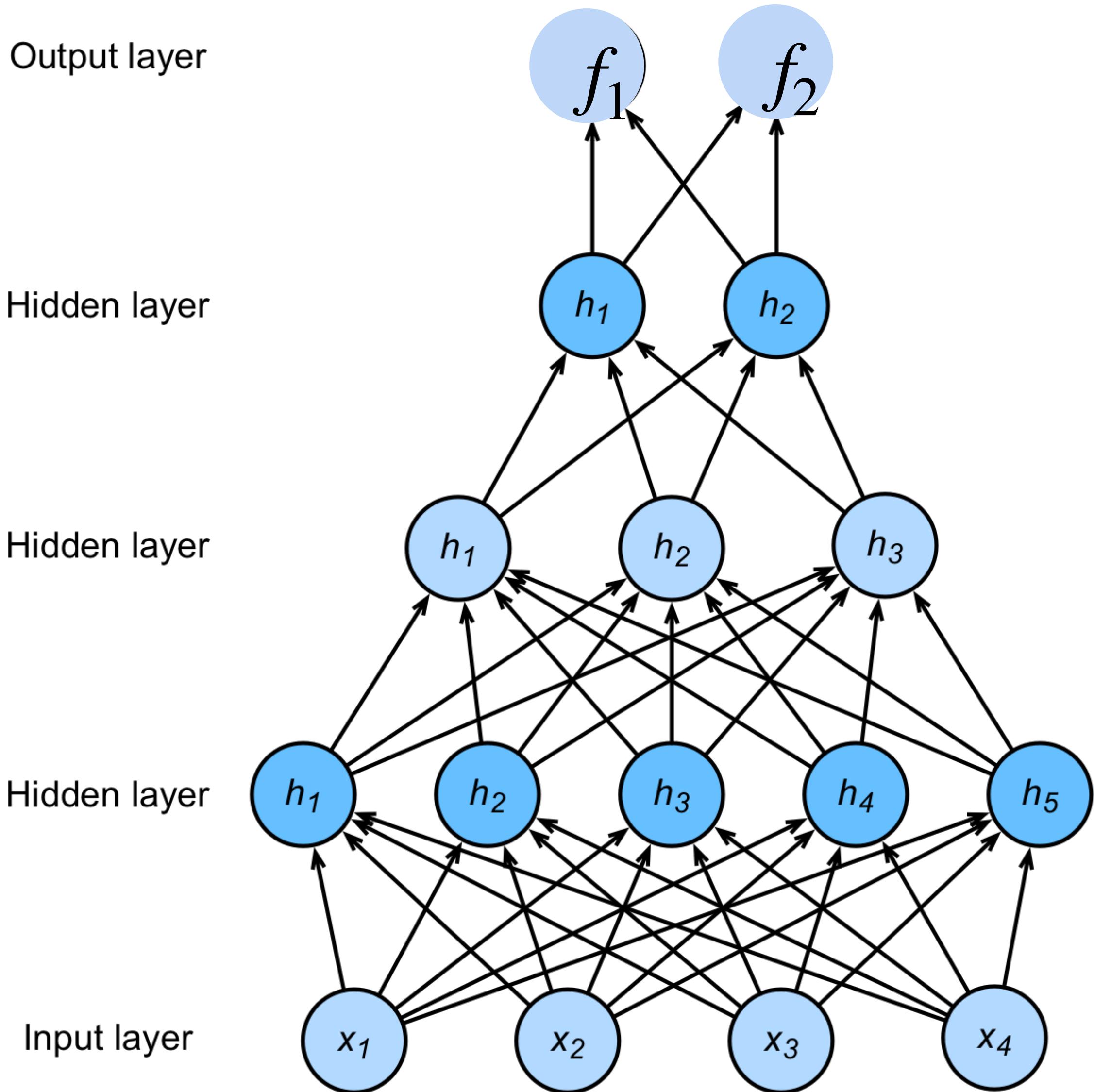
$$\mathbf{h}_2 = \sigma(\mathbf{W}_2 \mathbf{h}_1 + \mathbf{b}_2)$$

$$\mathbf{h}_3 = \sigma(\mathbf{W}_3 \mathbf{h}_2 + \mathbf{b}_3)$$

$$\mathbf{f} = \mathbf{W}_4 \mathbf{h}_3 + \mathbf{b}_4$$

$$\mathbf{y} = \text{softmax}(\mathbf{f})$$

Review: Deep neural networks (DNNs)



$$\mathbf{h}_1 = \sigma(\mathbf{W}_1 \mathbf{x} + \mathbf{b}_1)$$

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$$\mathbf{f} = \mathbf{W}_4 \mathbf{h}_3 + \mathbf{b}_4$$

$$\mathbf{y} = \text{softmax}(\mathbf{f})$$

NNs are composition
of nonlinear
functions

How to classify Cats vs. dogs?

How to classify Cats vs. dogs?



How to classify Cats vs. dogs?



Dual
12MP
wide-angle and
telephoto cameras

How to classify Cats vs. dogs?



36M floats in a RGB image!

Dual
12MP
wide-angle and
telephoto cameras

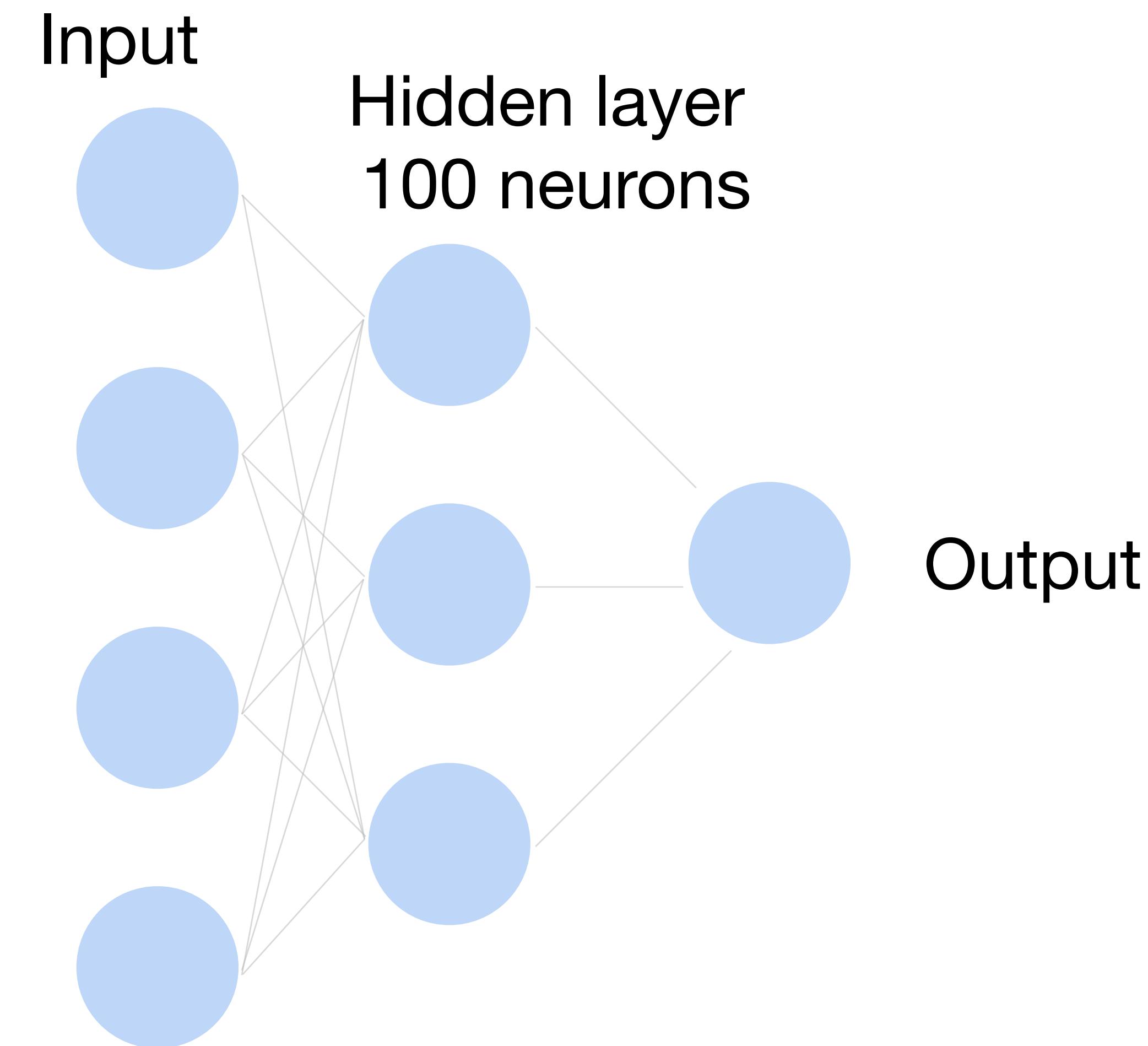
Fully Connected Networks

Cats vs. dogs?



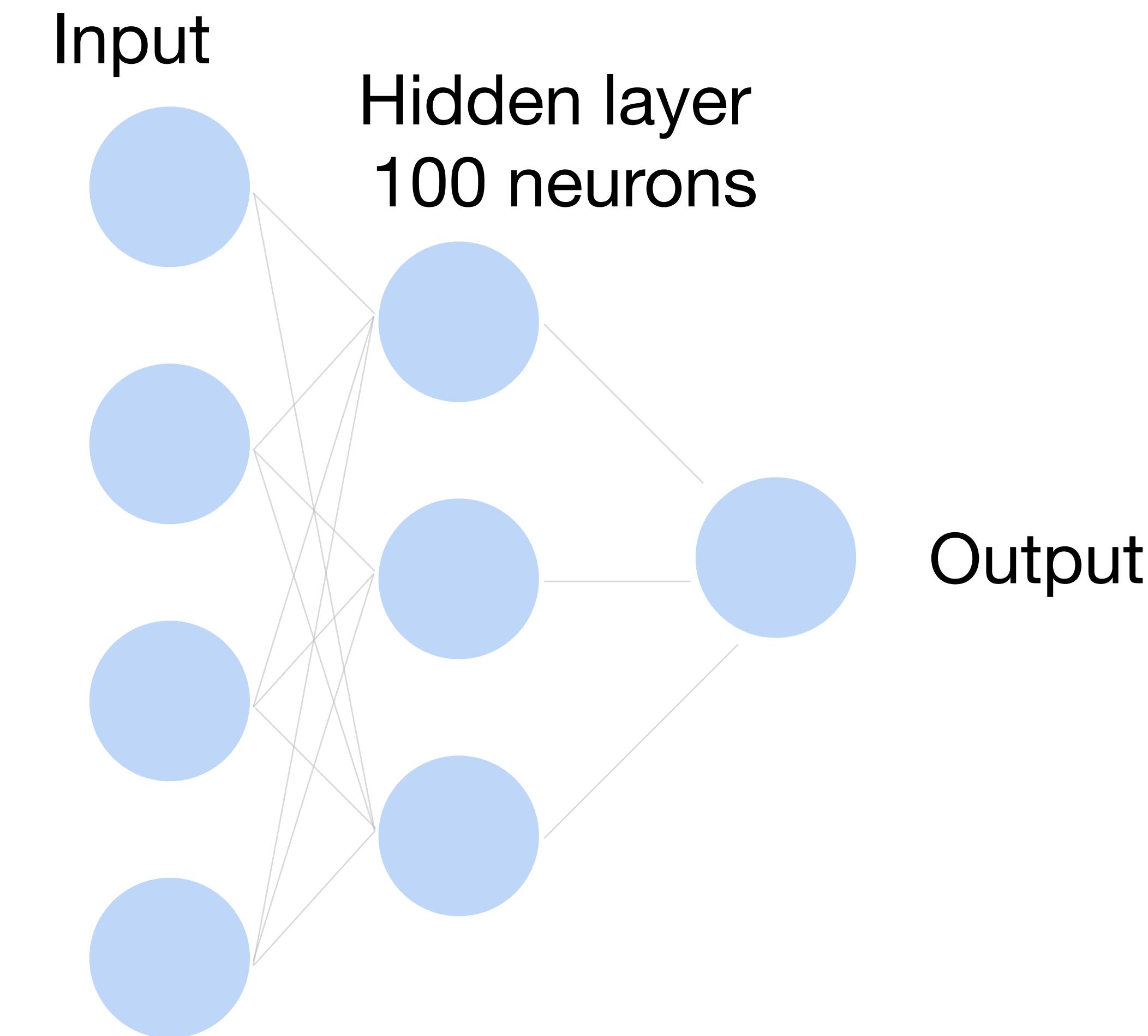
Fully Connected Networks

Cats vs. dogs?



Fully Connected Networks

Cats vs. dogs?



~ 36M elements x 100 = ~**3.6B** parameters!

Convolutions come to rescue!

Where is
Waldo?



Why Convolution?

- Translation Invariance
- Locality



2-D Convolution

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

Kernel

0	1
2	3

*

Output

19	25
37	43

=

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

Kernel

0	1
2	3

*

=

Output

19	25
37	43

$$0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3 = 19,$$

$$1 \times 0 + 2 \times 1 + 4 \times 2 + 5 \times 3 = 25,$$

$$3 \times 0 + 4 \times 1 + 6 \times 2 + 7 \times 3 = 37,$$

$$4 \times 0 + 5 \times 1 + 7 \times 2 + 8 \times 3 = 43.$$

2-D Convolution

Input Kernel Output

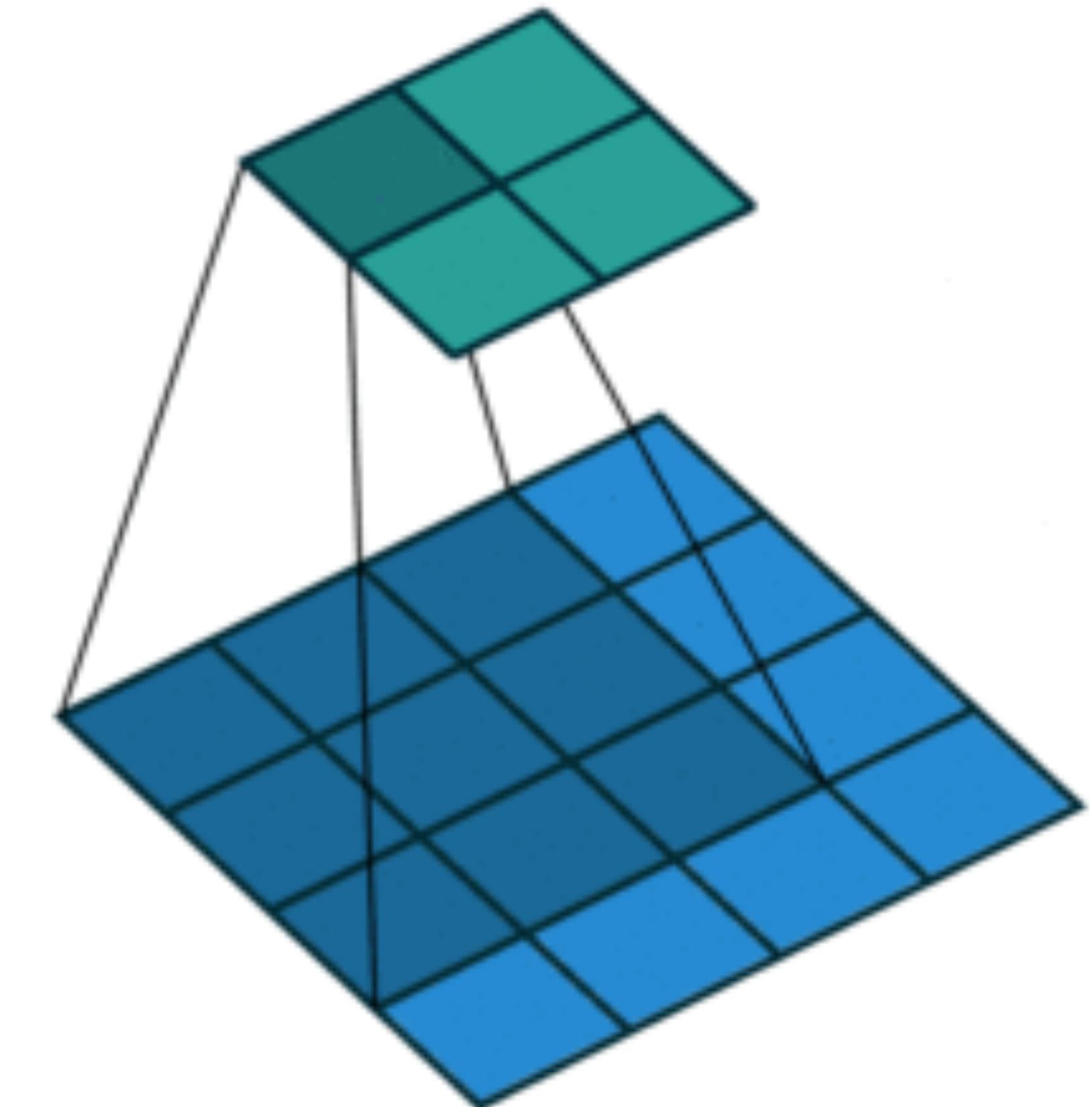
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$$4 \times 0 + 5 \times 1 + 7 \times 2 + 8 \times 3 = 43.$$



(vduoulin@ Github)

2-D Convolution Layer

$$\begin{array}{|c|c|c|}\hline 0 & 1 & 2 \\ \hline 3 & 4 & 5 \\ \hline 6 & 7 & 8 \\ \hline\end{array} * \begin{array}{|c|c|}\hline 0 & 1 \\ \hline 2 & 3 \\ \hline\end{array} = \begin{array}{|c|c|}\hline 19 & 25 \\ \hline 37 & 43 \\ \hline\end{array}$$

- $\mathbf{X} : n_h \times n_w$ input matrix
- $\mathbf{W} : k_h \times k_w$ kernel matrix
- b : scalar bias
- $\mathbf{Y} : (n_h - k_h + 1) \times (n_w - k_w + 1)$ output matrix

$$\mathbf{Y} = \mathbf{X} \star \mathbf{W} + b$$

- \mathbf{W} and b are learnable parameters

2-D Convolution Layer

$$\begin{array}{|c|c|c|}\hline 0 & 1 & 2 \\ \hline 3 & 4 & 5 \\ \hline 6 & 7 & 8 \\ \hline\end{array} * \begin{array}{|c|c|}\hline 0 & 1 \\ \hline 2 & 3 \\ \hline\end{array} = \begin{array}{|c|c|}\hline 19 & 25 \\ \hline 37 & 43 \\ \hline\end{array}$$

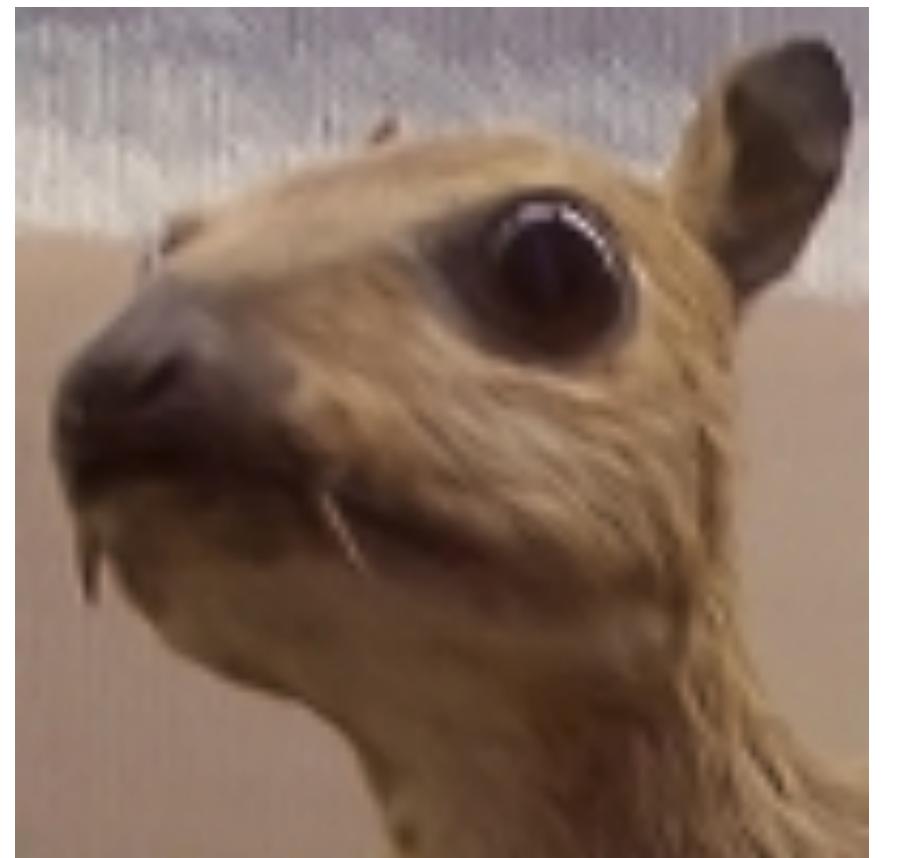
- $X : n_h \times n_w$ input matrix
- $W : k_h \times k_w$ kernel matrix
- b : scalar bias
- $Y : (n_h - k_h + 1) \times (n_w - k_w + 1)$ output matrix

$$Y = X \star W + b$$

- W and b are learnable parameters

Convolution Operator
(not matrix multiply)

Examples



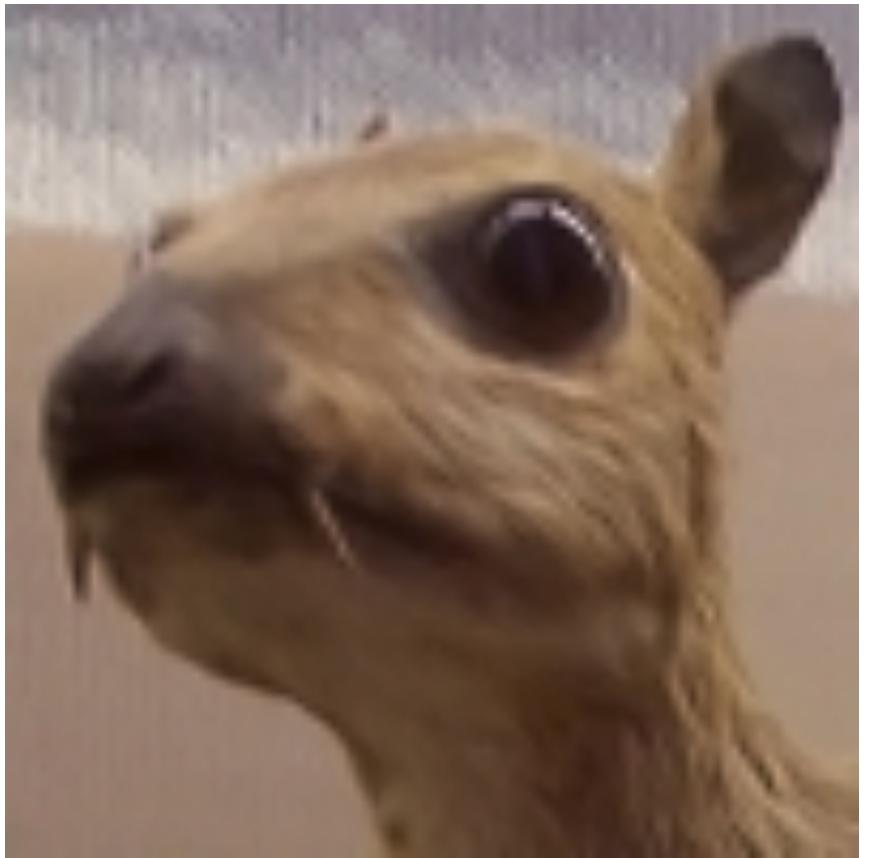
(wikipedia)

Examples

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$

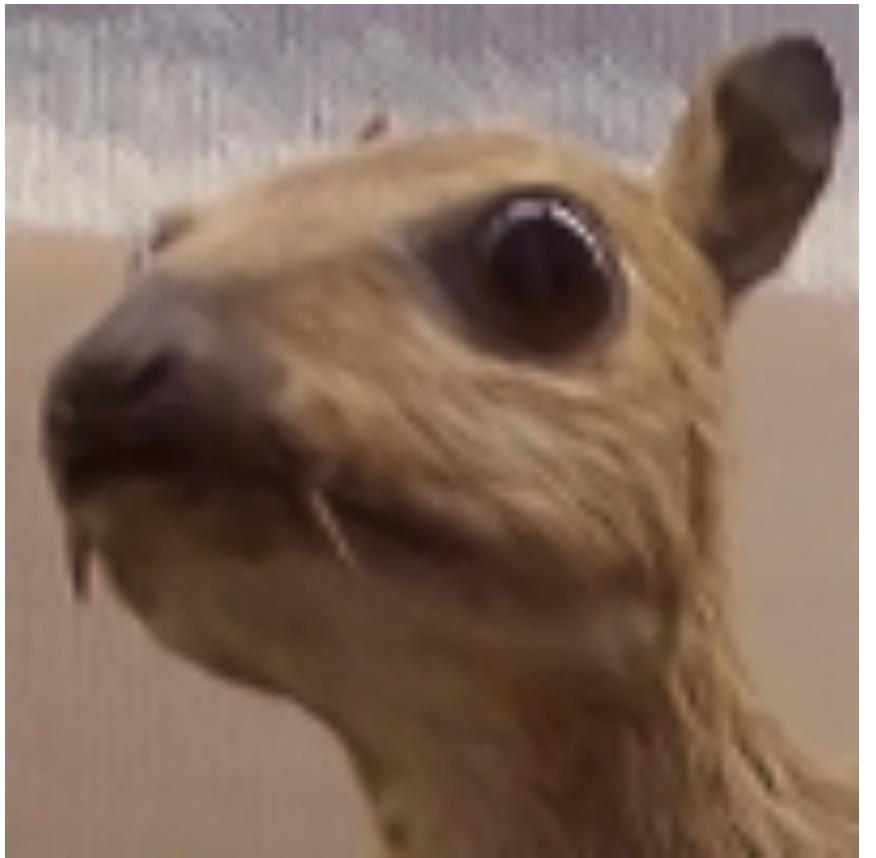


Edge Detection



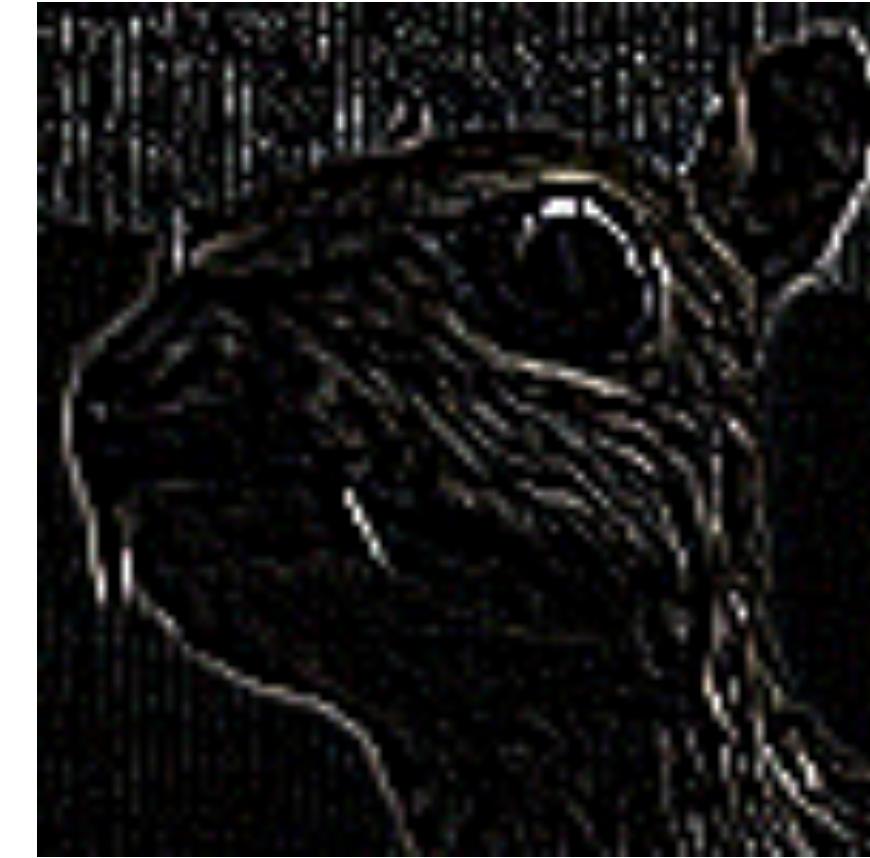
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Examples

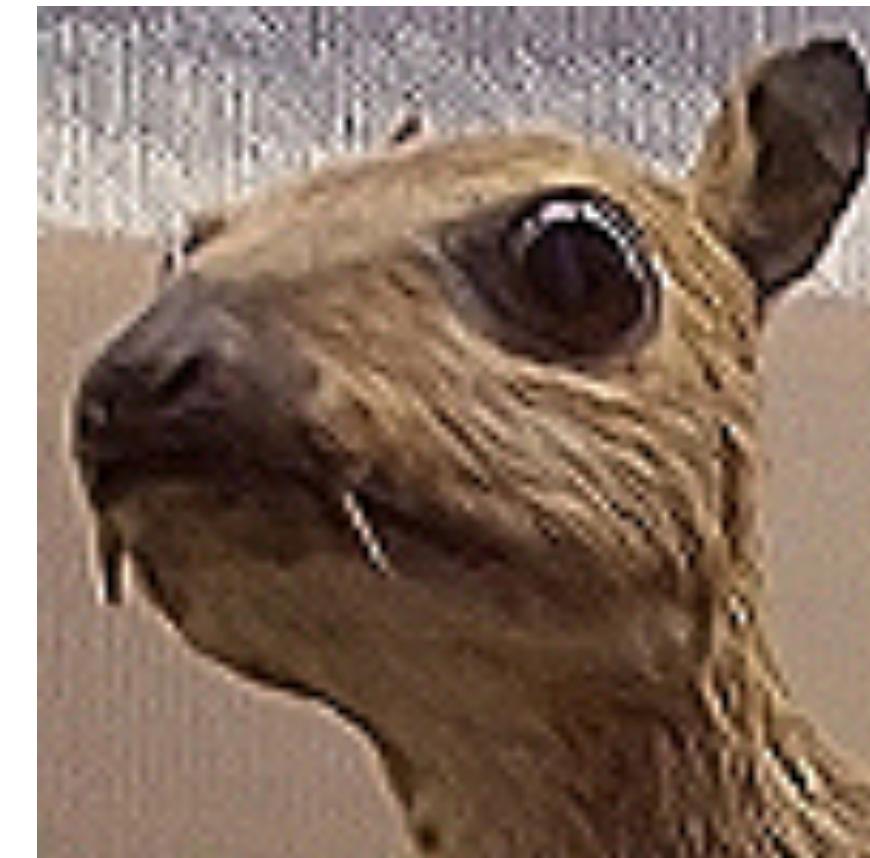


(wikipedia)

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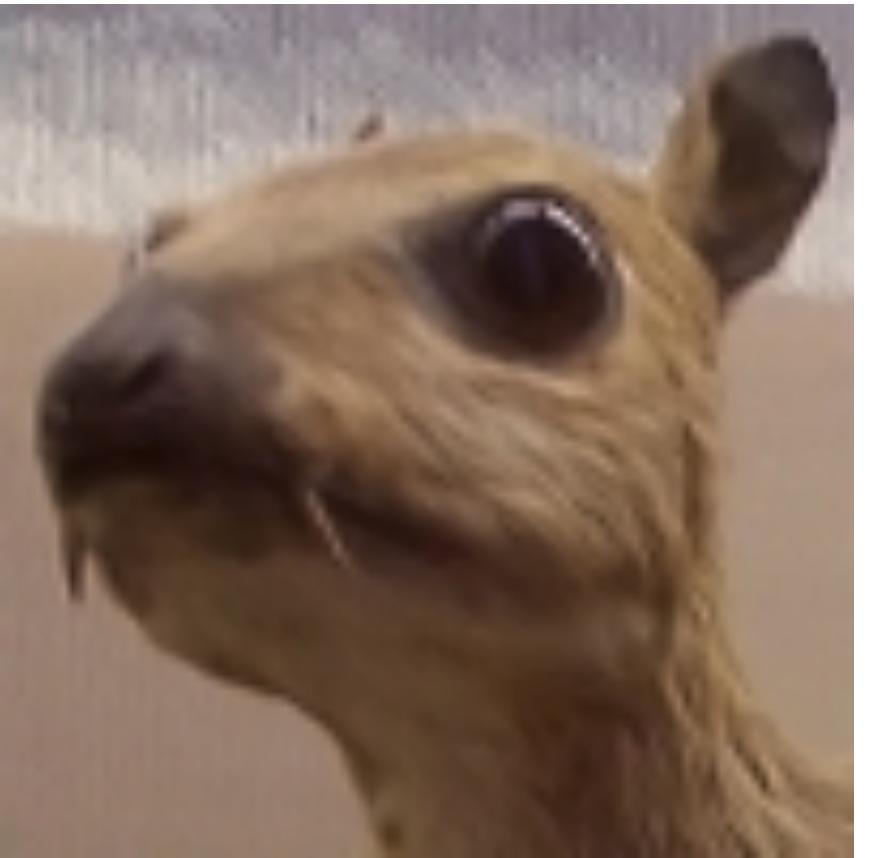


Edge Detection



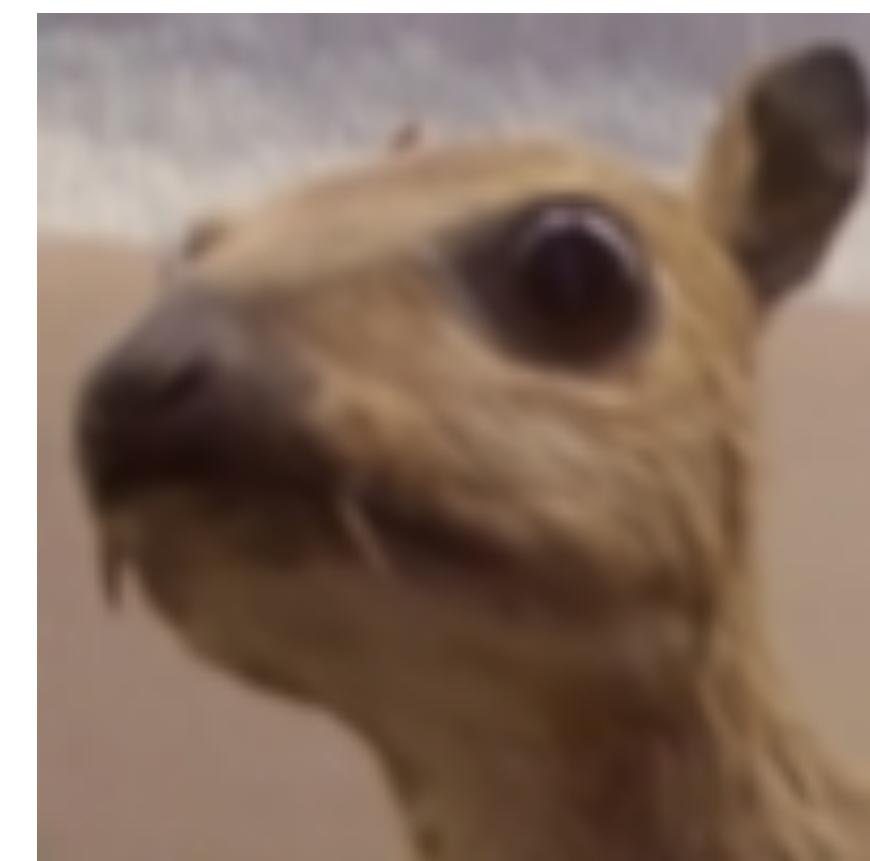
Sharpen

Examples



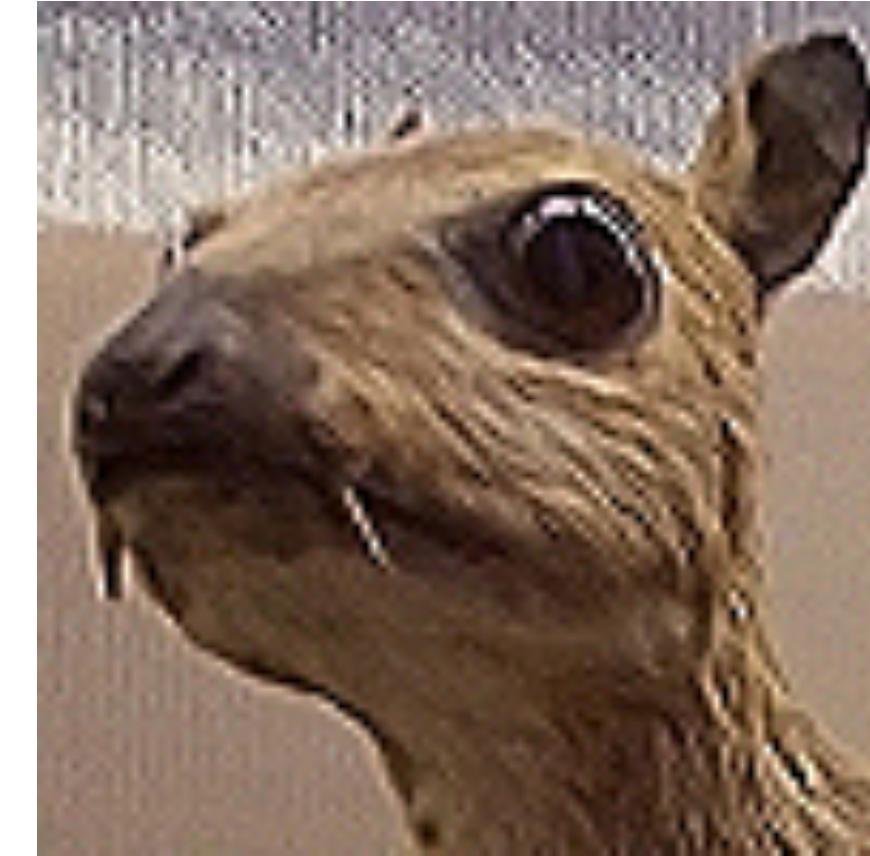
(wikipedia)

$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$



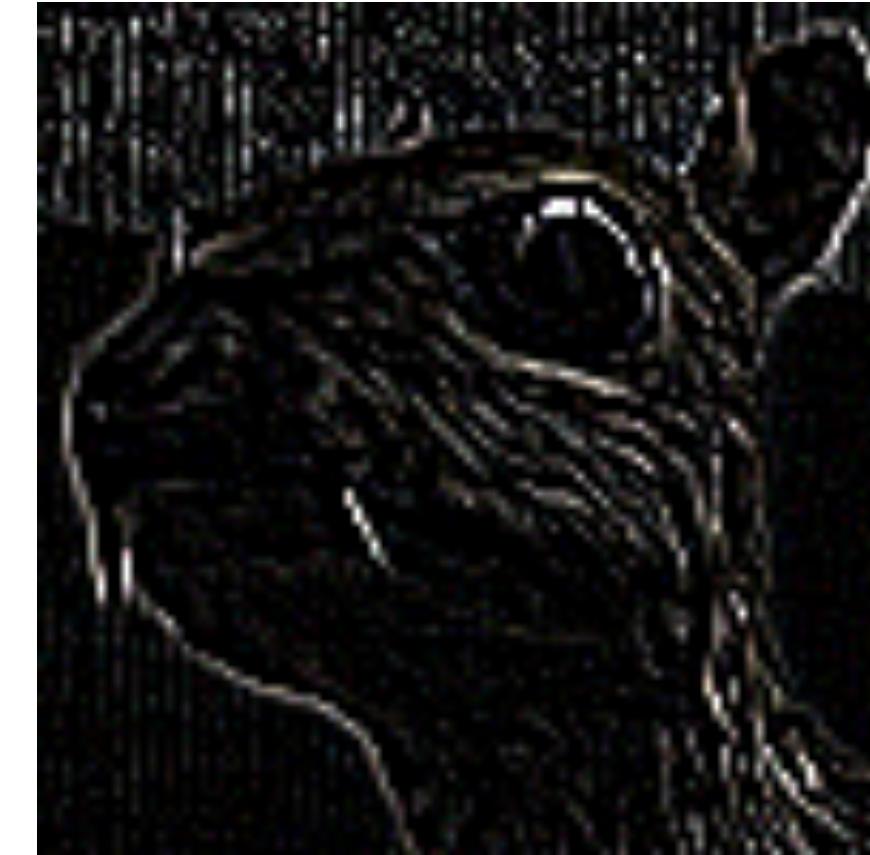
Gaussian Blur

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



Sharpen

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



Edge Detection

Examples



(Rob Fergus)



Examples



(Rob Fergus)



Convolutional Neural Networks

- Convolutional networks: neural networks that use convolution in place of general matrix multiplication in at least one of their layers
- Strong empirical application performance
 - Particularly on computer vision tasks.

Advantage: sparse interaction

Fully connected layer, $m \times n$ edges

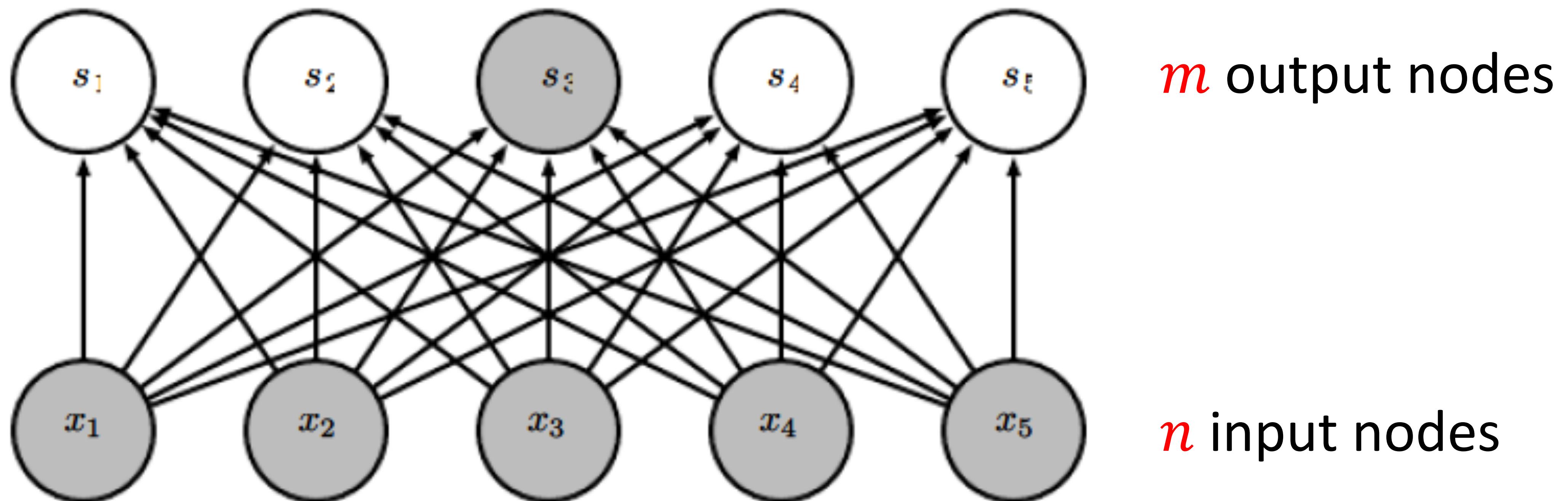


Figure from *Deep Learning*, by Goodfellow, Bengio, and Courville

Advantage: sparse interaction

Convolutional layer, $\leq m \times k$ edges

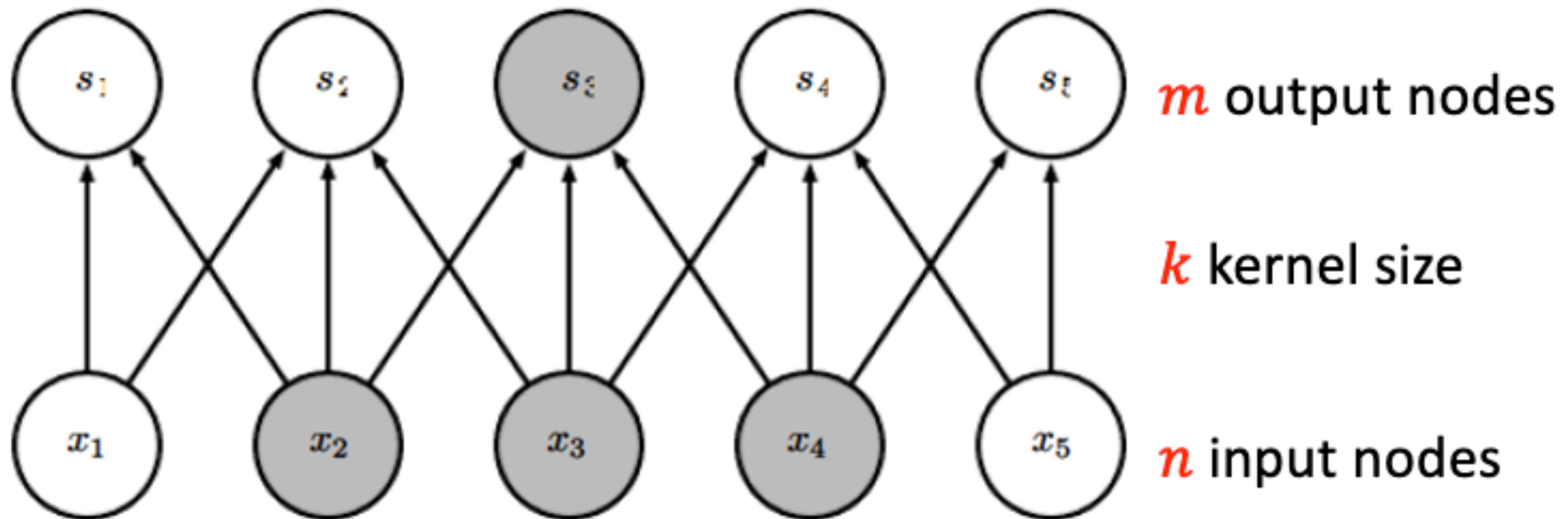


Figure from *Deep Learning*, by Goodfellow, Bengio, and Courville

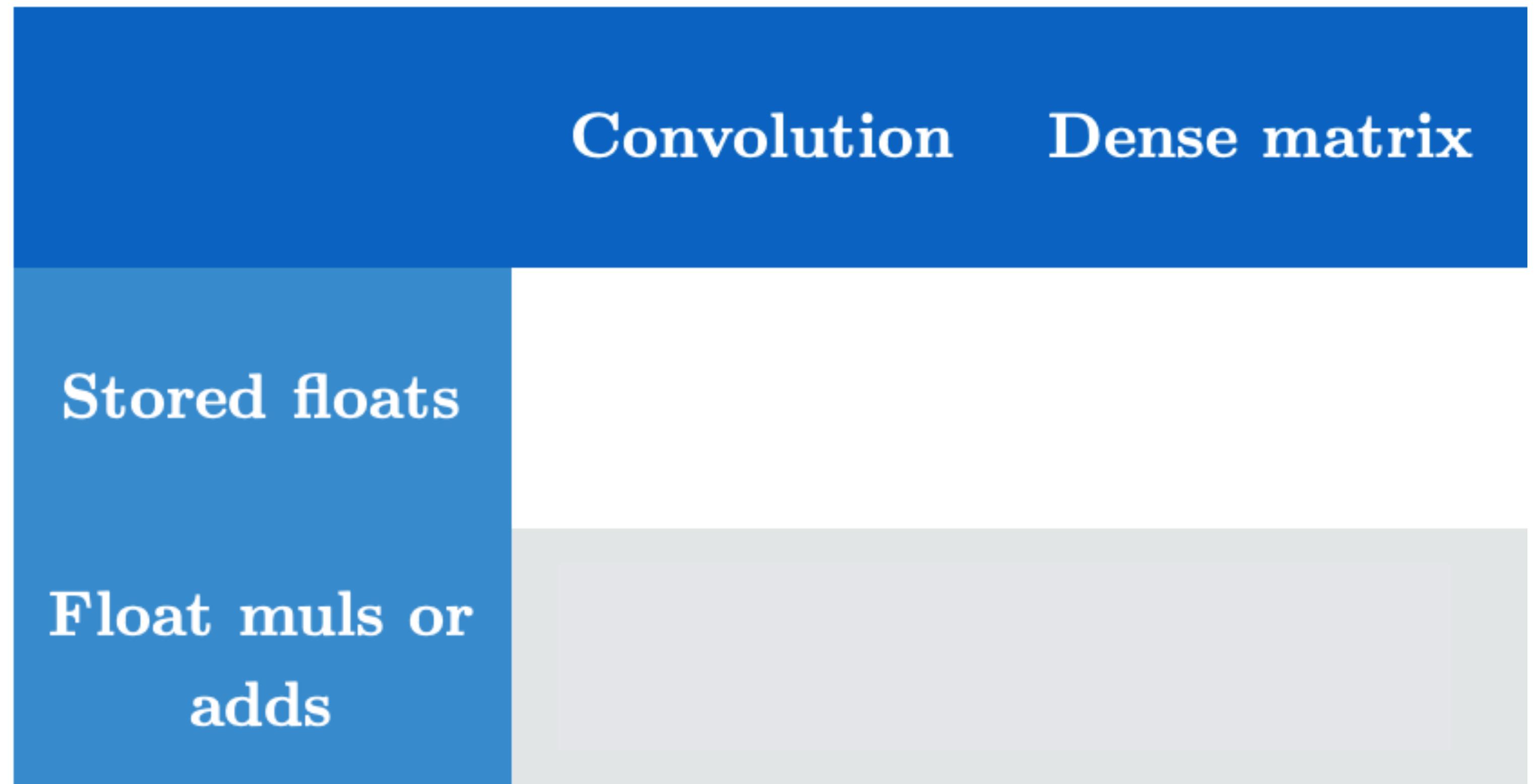
Efficiency of Convolution

Efficiency of Convolution

- Input size: 320×280
- Kernel Size: 2×1
- Output size: 319×280

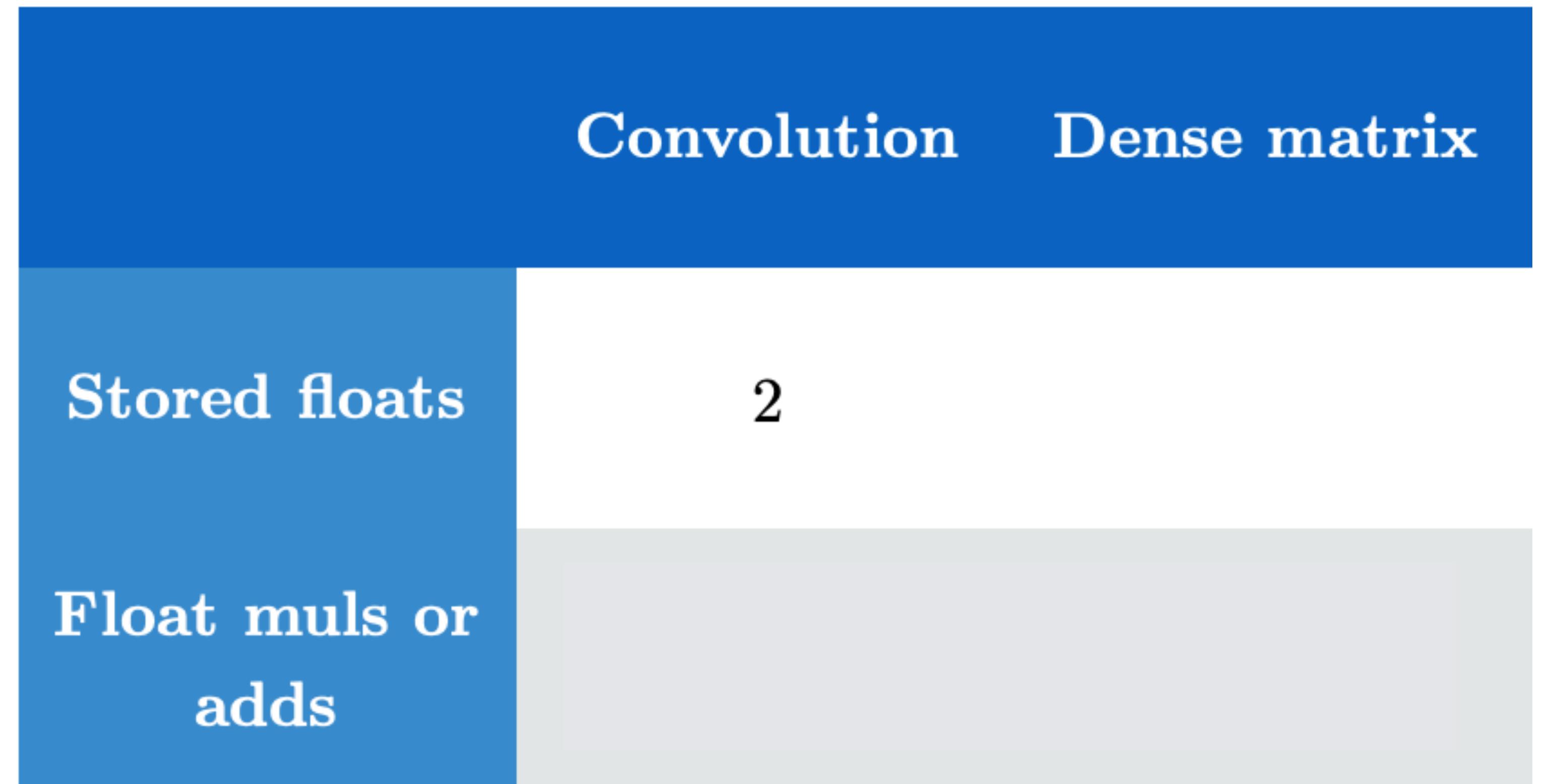
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Convolution	Dense matrix
Stored floats	$2^{319*280*320*280}$ $> 8e9$
Float muls or adds	

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Efficiency of Convolution

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	Convolution	Dense matrix
Stored floats	2	$319*280*320*280 > 8e9$
Float muls or adds	$319*280*3 = 267,960$	$> 16e9$

2 multiplies plus 1 addition = 3 ops / output

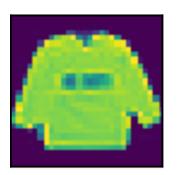
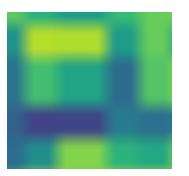
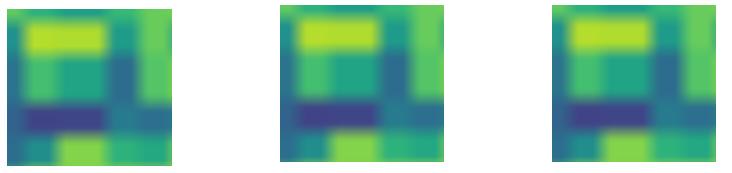
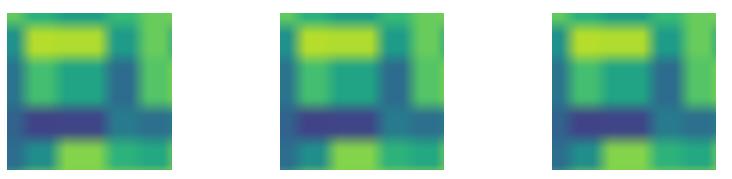
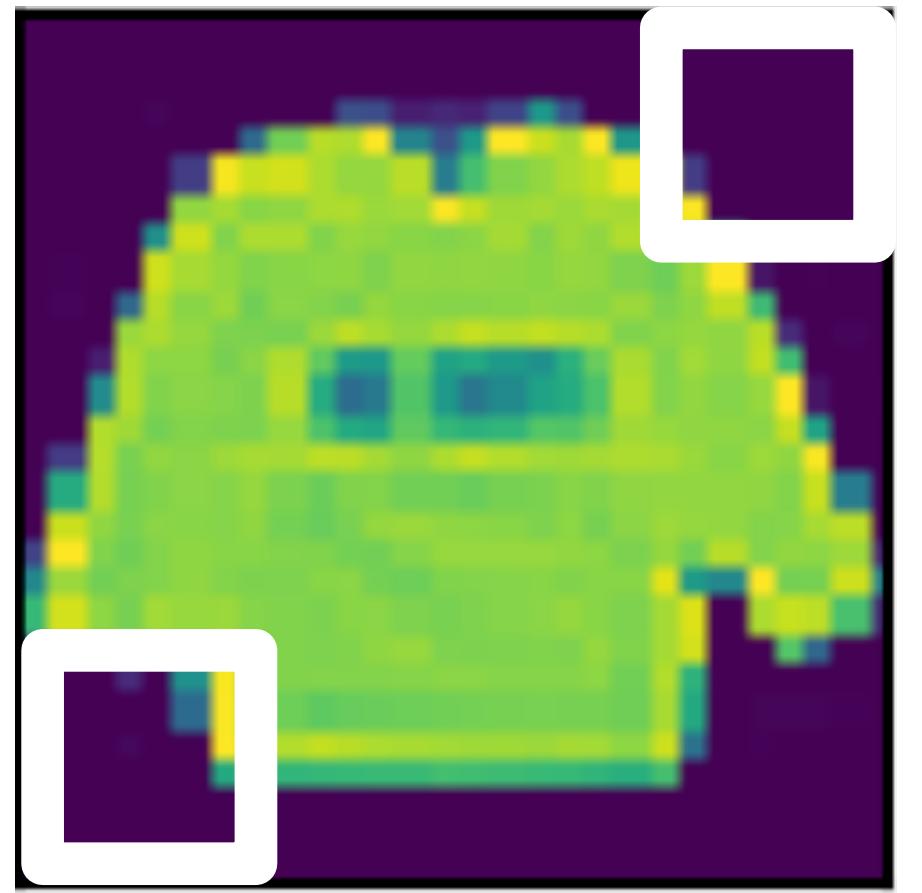
1 multiplication per input and add together per output.



Padding and Stride

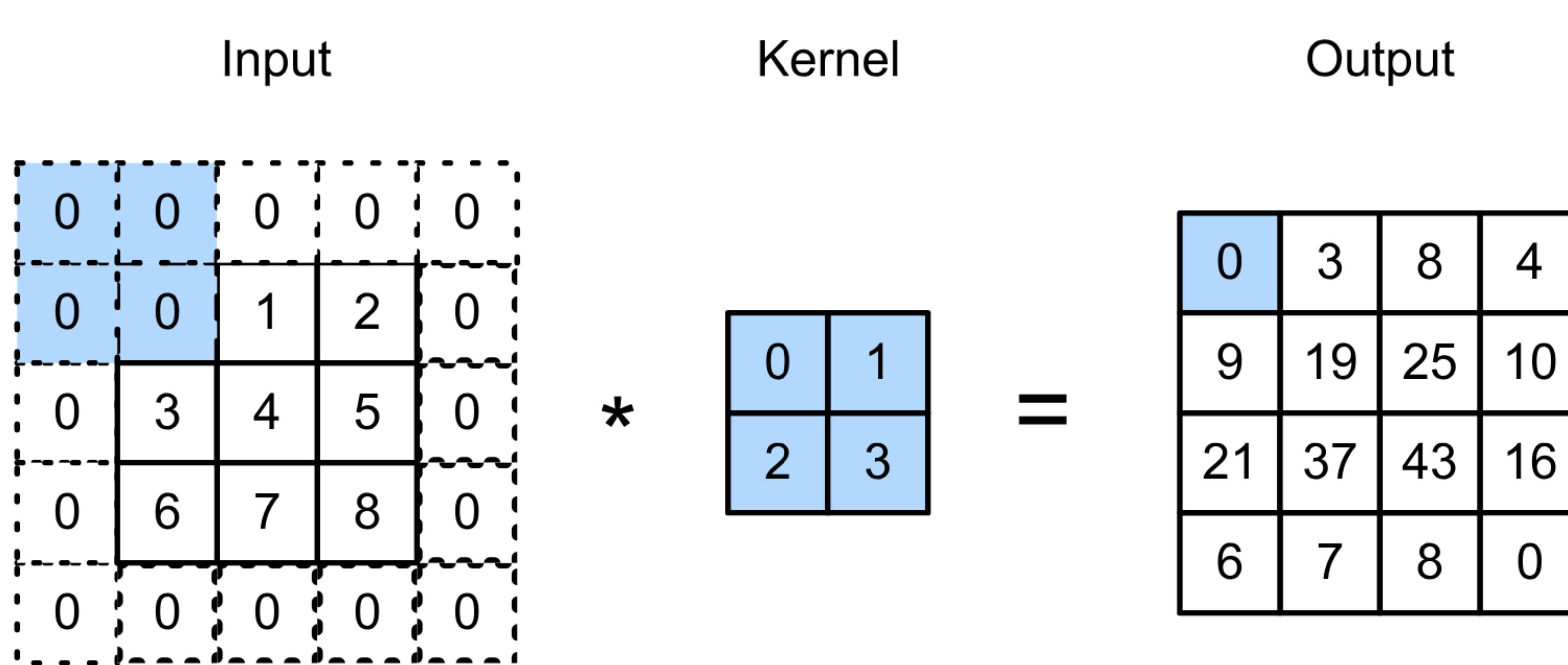
Padding

- Given a 32×32 input image
- Apply convolution with 5×5 kernel
 - 28×28 output with 1 layer
 - 4×4 output with 7 layers
- Shape decreases faster with larger kernels
 - Shape reduces from $n_h \times n_w$ to
$$(n_h - k_h + 1) \times (n_w - k_w + 1)$$



Padding

Padding adds rows/columns around input

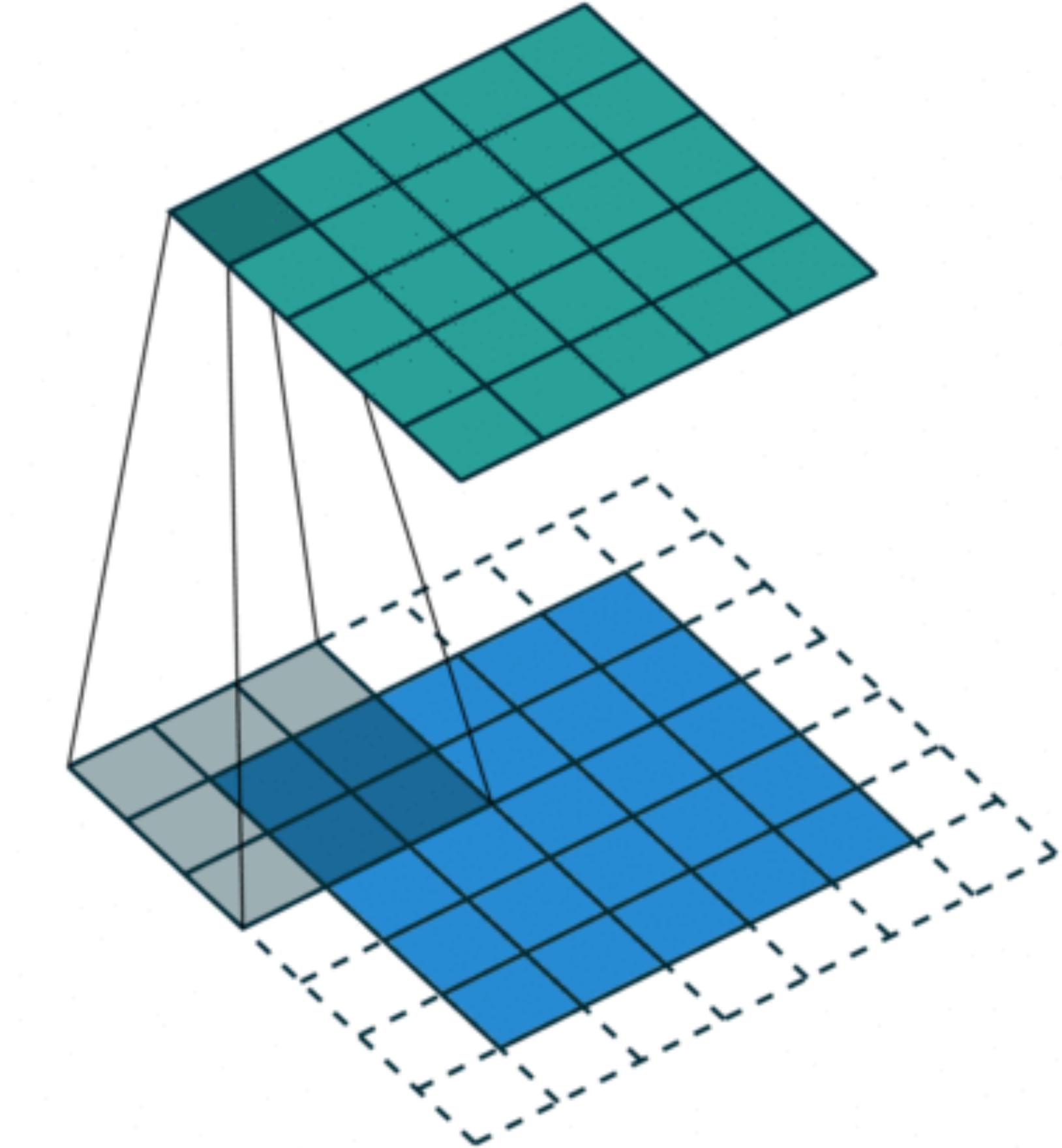


$$0 \times 0 + 0 \times 1 + 0 \times 2 + 0 \times 3 = 0$$

Padding

Padding adds rows/columns around input

Input	Kernel	Output																																														
<table border="1"><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>0</td><td>1</td><td>2</td><td>0</td></tr><tr><td>0</td><td>3</td><td>4</td><td>5</td><td>0</td></tr><tr><td>0</td><td>6</td><td>7</td><td>8</td><td>0</td></tr><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr></table>	0	0	0	0	0	0	0	1	2	0	0	3	4	5	0	0	6	7	8	0	0	0	0	0	0	<table border="1"><tr><td>0</td><td>1</td></tr><tr><td>2</td><td>3</td></tr></table>	0	1	2	3	$=$	<table border="1"><tr><td>0</td><td>3</td><td>8</td><td>4</td></tr><tr><td>9</td><td>19</td><td>25</td><td>10</td></tr><tr><td>21</td><td>37</td><td>43</td><td>16</td></tr><tr><td>6</td><td>7</td><td>8</td><td>0</td></tr></table>	0	3	8	4	9	19	25	10	21	37	43	16	6	7	8	0
0	0	0	0	0																																												
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$$0 \times 0 + 0 \times 1 + 0 \times 2 + 0 \times 3 = 0$$

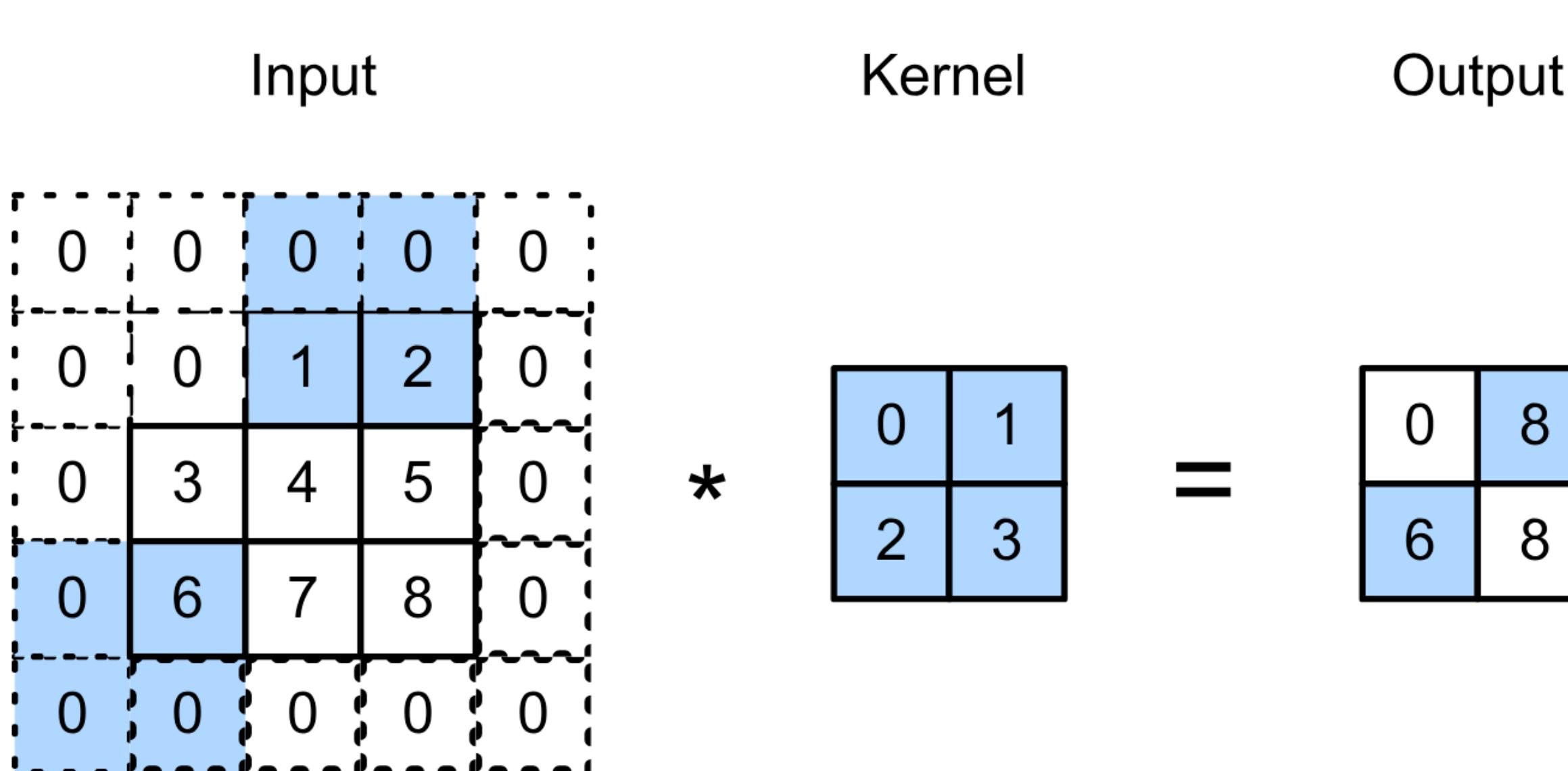
Padding

- Padding p_h rows and p_w columns, output shape will be
$$(n_h - k_h + p_h + 1) \times (n_w - k_w + p_w + 1)$$
- A common choice is $p_h = k_h - 1$ and $p_w = k_w - 1$
 - Odd k_h : pad $\frac{p_h}{2}$ on both sides
 - Even k_h : pad $\lceil \frac{p_h}{2} \rceil$ on top, $\lfloor \frac{p_h}{2} \rfloor$ on bottom

Stride

- Stride is the #rows/#columns per slide

Strides of 3 and 2 for height and width



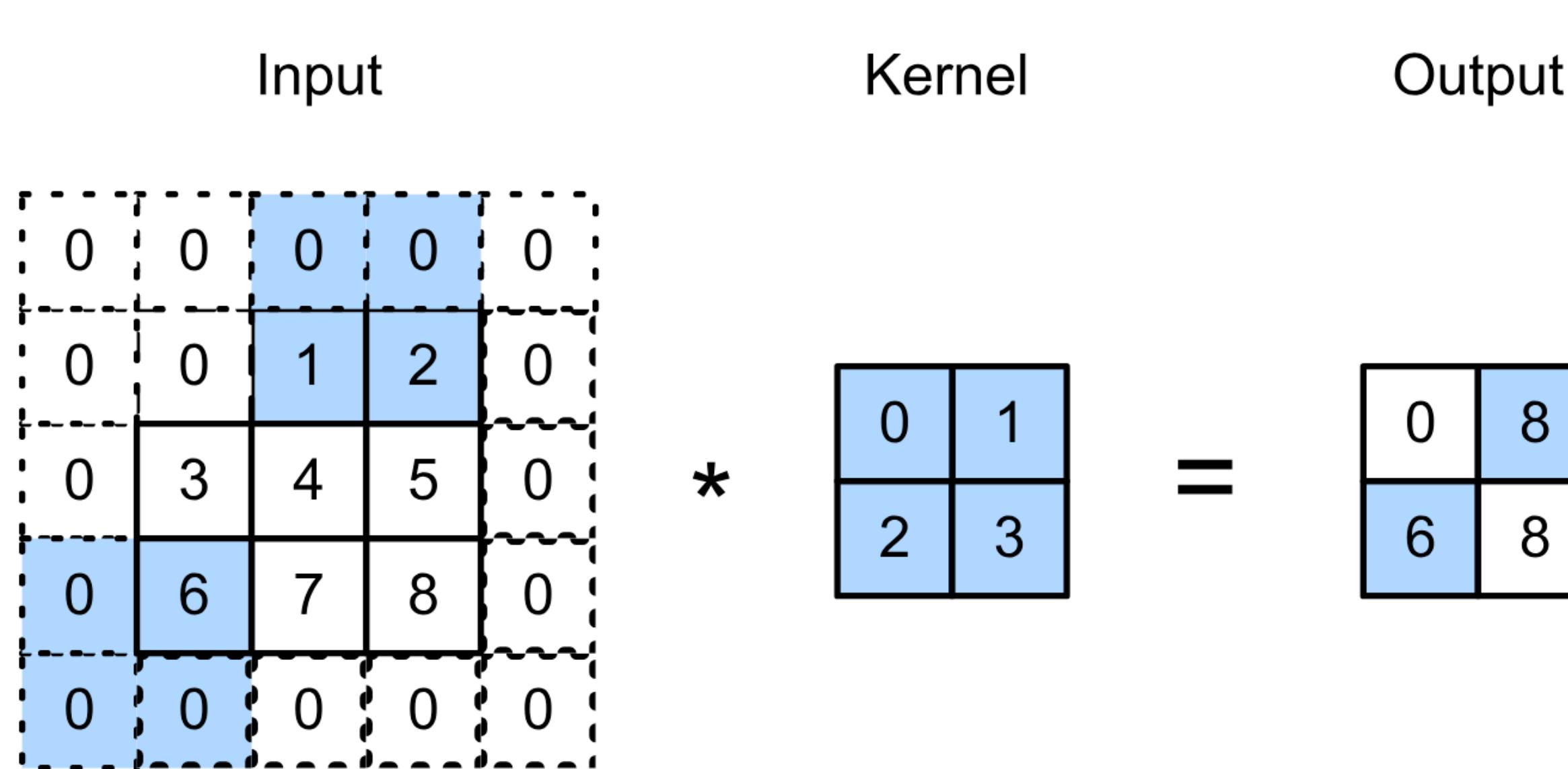
$$0 \times 0 + 0 \times 1 + 1 \times 2 + 2 \times 3 = 8$$

$$0 \times 0 + 6 \times 1 + 0 \times 2 + 0 \times 3 = 6$$

Stride

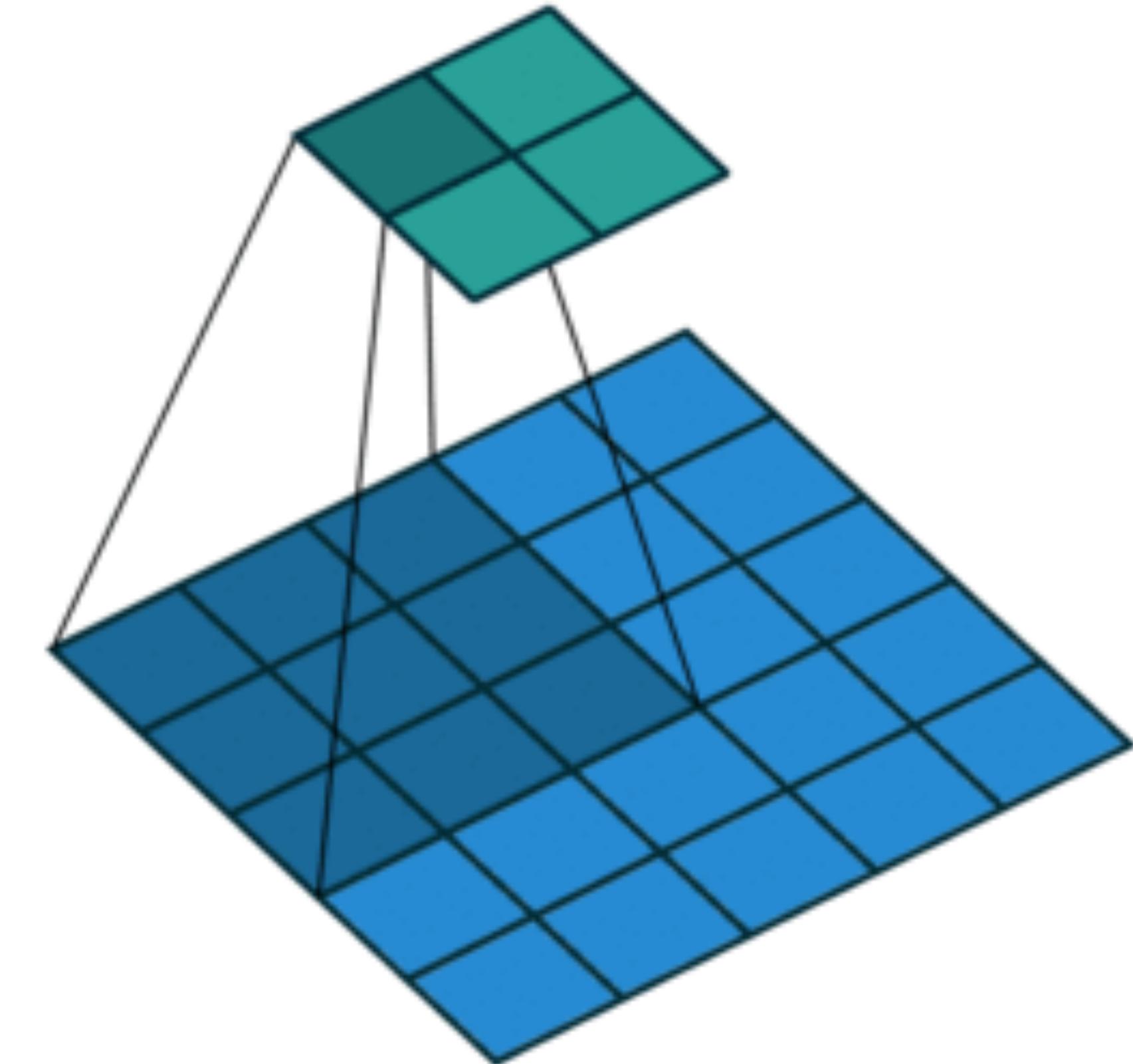
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Strides of 3 and 2 for height and width



$$0 \times 0 + 0 \times 1 + 1 \times 2 + 2 \times 3 = 8$$

$$0 \times 0 + 6 \times 1 + 0 \times 2 + 0 \times 3 = 6$$



Stride

- Given stride s_h for the height and stride s_w for the width, the output shape is

$$\lfloor (n_h - k_h + p_h + s_h)/s_h \rfloor \times \lfloor (n_w - k_w + p_w + s_w)/s_w \rfloor$$

- With $p_h = k_h - 1$ and $p_w = k_w - 1$

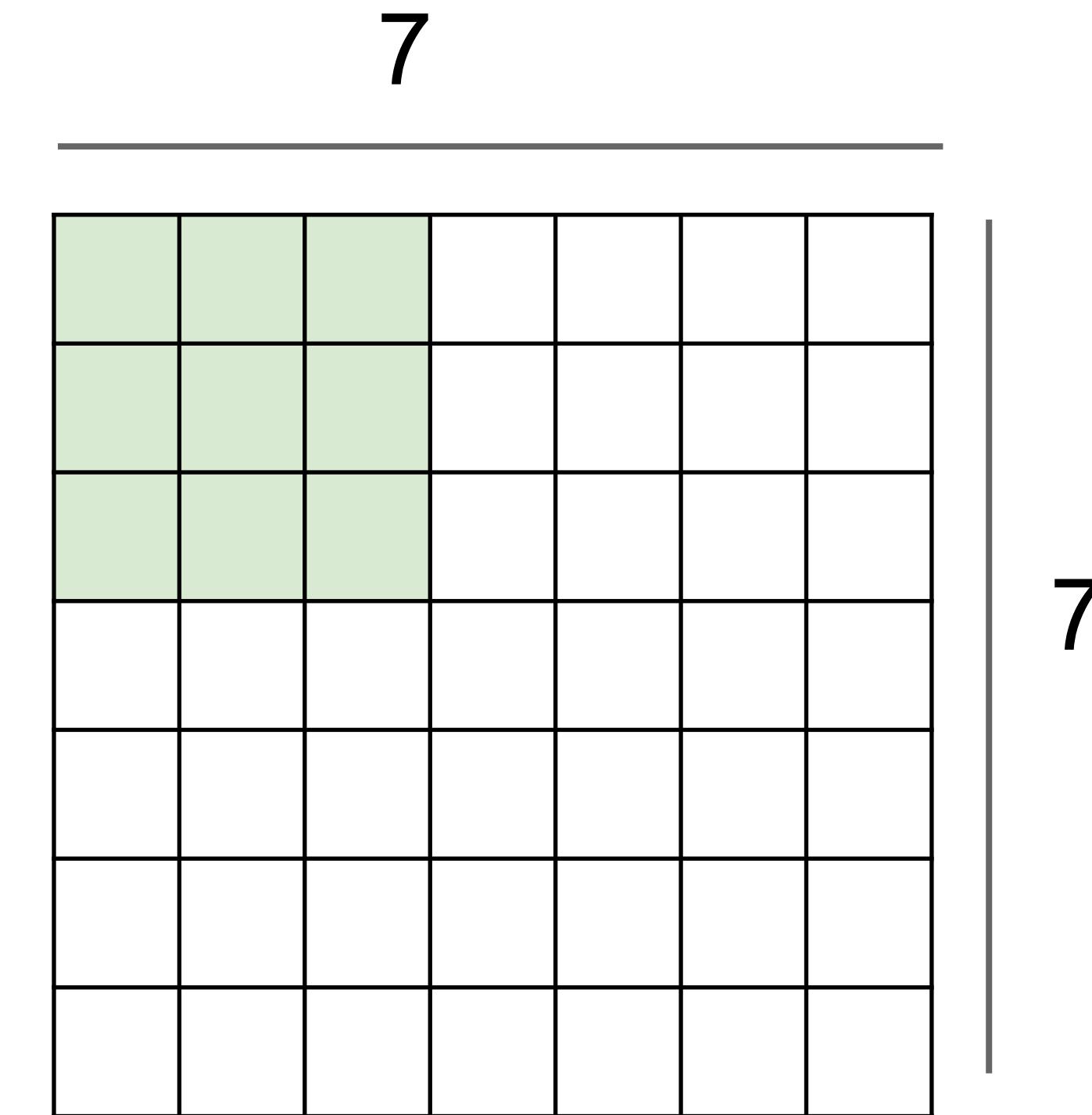
$$\lfloor (n_h + s_h - 1)/s_h \rfloor \times \lfloor (n_w + s_w - 1)/s_w \rfloor$$

- If input height/width are divisible by strides

$$(n_h/s_h) \times (n_w/s_w)$$

Q1. Suppose we want to perform convolution on a single channel image of size 7×7 (no padding) with a kernel of size 3×3 , and stride = 2. What is the dimension of the output?

- A. 3×3
- B. 7×7
- C. 5×5
- D. 2×2



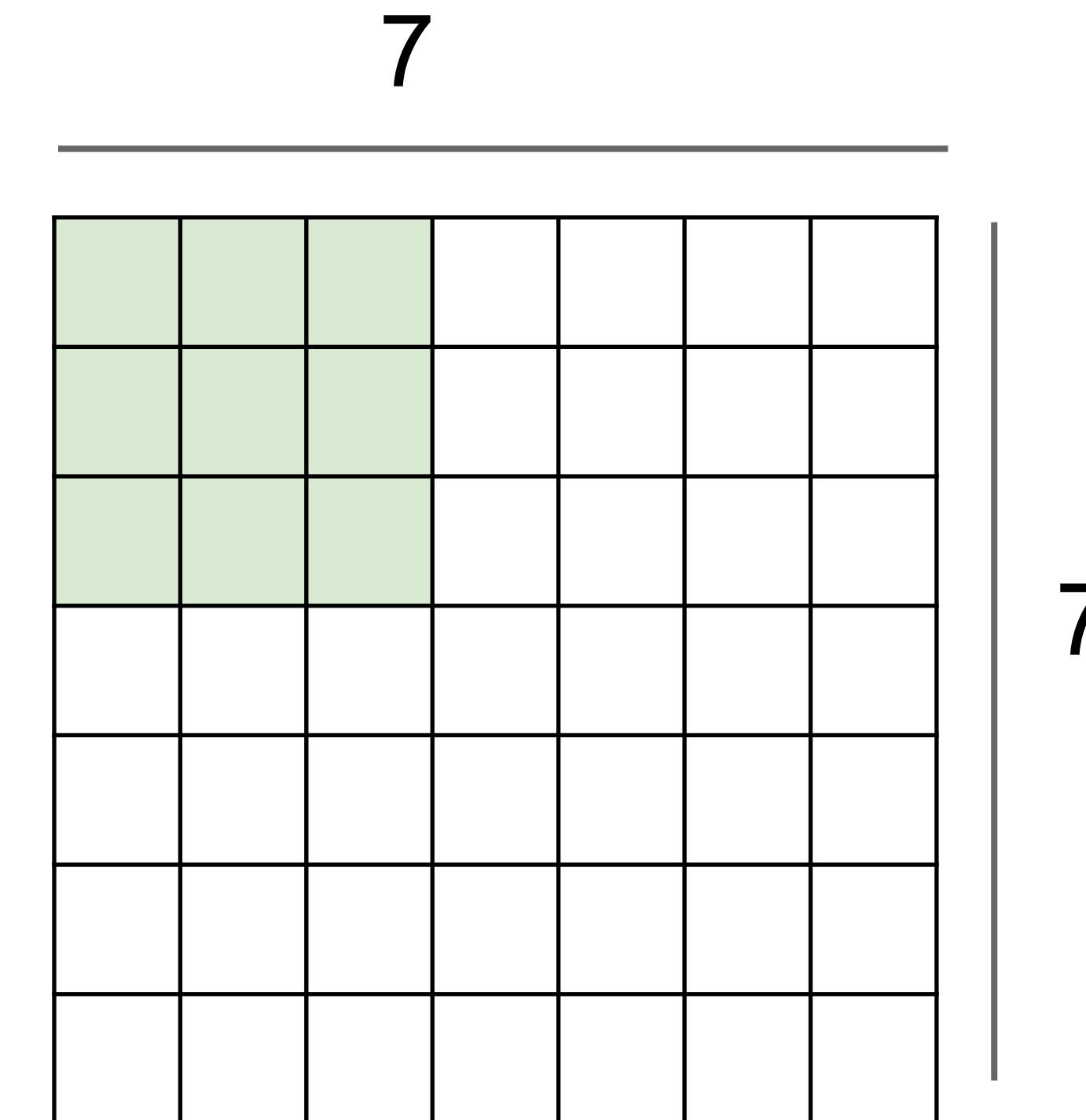
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$$\lfloor (n_h - k_h + p_h + s_h)/s_h \rfloor \times \lfloor (n_w - k_w + p_w + s_w)/s_w \rfloor$$

An aerial photograph showing a complex network of water channels, likely a river system or a series of irrigation canals. The channels are narrow and winding, creating a pattern of light blue water against a dark green, vegetated landscape. The perspective is from above, looking down the length of the channels.

**Multiple Input and
Output Channels**

Linear Algebra Terminology Review

- Vector: $1 \times n$ list of real values.
- Matrix: $m \times n$ block of real values.
 - m vectors concatenated together.
- Tensor: $k \times \dots \times m \times n$ block of real values.
 - Generalization of matrix to higher dimensions.
 - $k \times m \times n$ is k of $m \times n$ matrices stacked together.

Multiple Input Channels

- Color image may have three RGB channels
- Converting to grayscale loses information



Multiple Input Channels

- Color image may have three RGB channels
- Converting to grayscale loses information



Multiple Input Channels

- Have a kernel for each channel, and then sum results over channels

Input

1	2	3
0	1	2
3	4	5
6	7	8

*

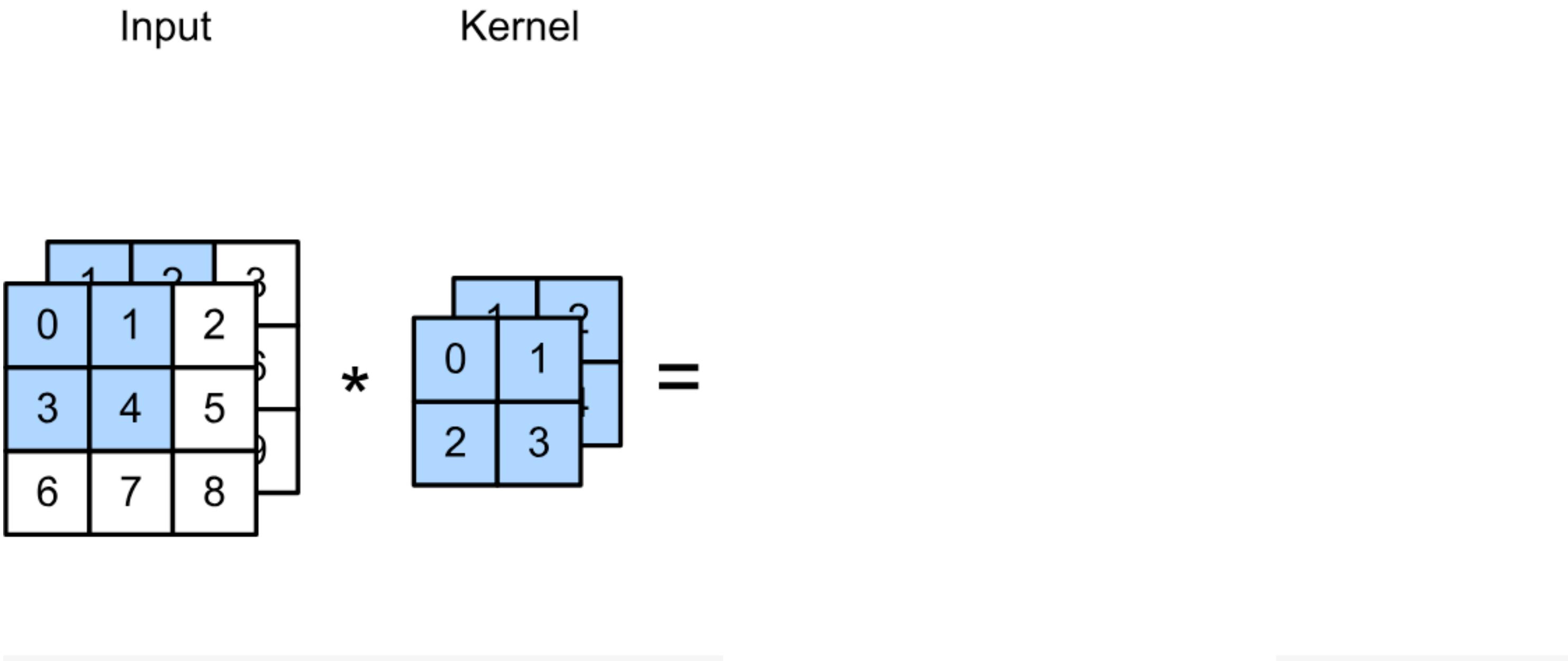
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)

Multiple Input Channels

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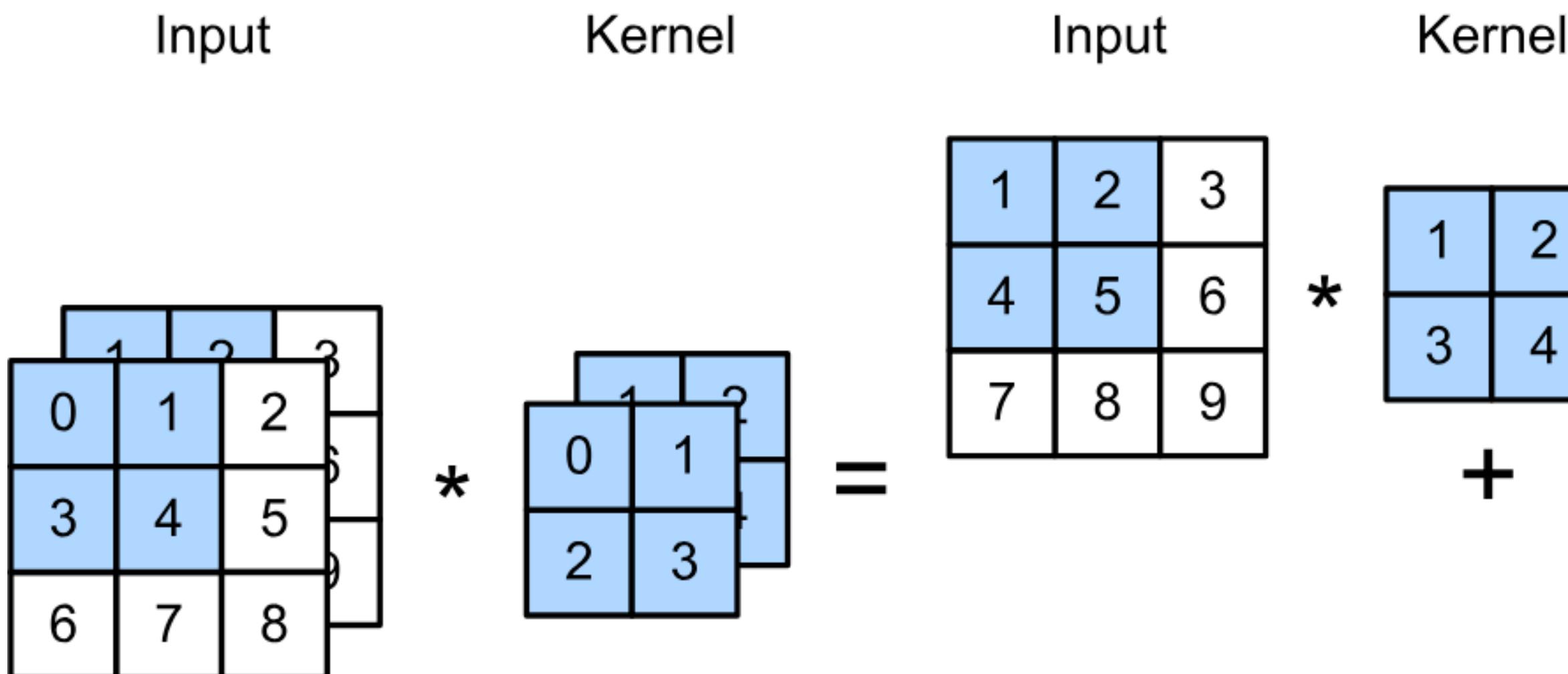
Input Kernel



$$\begin{matrix} & \begin{matrix} 1 & 2 & 3 \\ 0 & 1 & 2 \\ 3 & 4 & 5 \\ 6 & 7 & 8 \end{matrix} & \times & \begin{matrix} 1 & 2 \\ 0 & 1 \\ 2 & 3 \end{matrix} & = & \end{matrix}$$

Multiple Input Channels

- Have a kernel for each channel, and then sum results over channels

$$\begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline & 1 & 2 \\ \hline 0 & 1 & 2 \\ \hline 3 & 4 & 5 \\ \hline 6 & 7 & 8 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 0 & 1 \\ \hline 2 & 3 \\ \hline \end{array} \end{array} = \begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & 5 & 6 \\ \hline 7 & 8 & 9 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array} \end{array} + \quad)$$


The diagram illustrates a convolution operation with multiple input channels. On the left, an input tensor of size 4x3 is shown with values 0 through 8. It is multiplied by a 2x2 kernel with values 0 through 3. The result is a new 4x3 tensor with values 1 through 9. This result is then added to the product of the second input channel (which has values 3, 4, 5) and the same kernel. The final result is the 4x3 tensor with values 1 through 9, followed by a plus sign and a closing parenthesis.

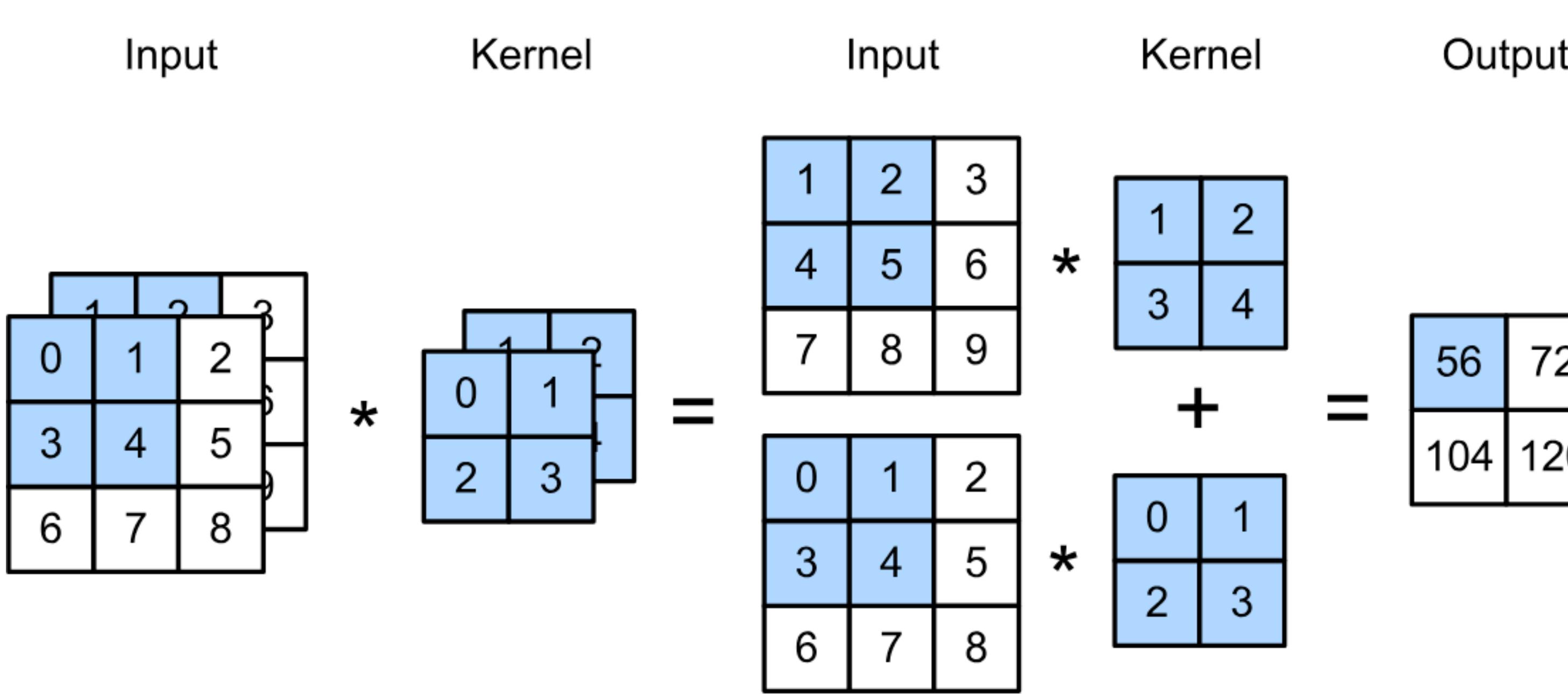
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$$\begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline & 1 & 2 \\ \hline 0 & 1 & 2 \\ \hline 3 & 4 & 5 \\ \hline 6 & 7 & 8 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 0 & 1 \\ \hline 2 & 3 \\ \hline \end{array} \end{array} = \begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & 5 & 6 \\ \hline 7 & 8 & 9 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array} \end{array} + \begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline 0 & 1 & 2 \\ \hline 3 & 4 & 5 \\ \hline 6 & 7 & 8 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 0 & 1 \\ \hline 2 & 3 \\ \hline \end{array} \end{array}$$

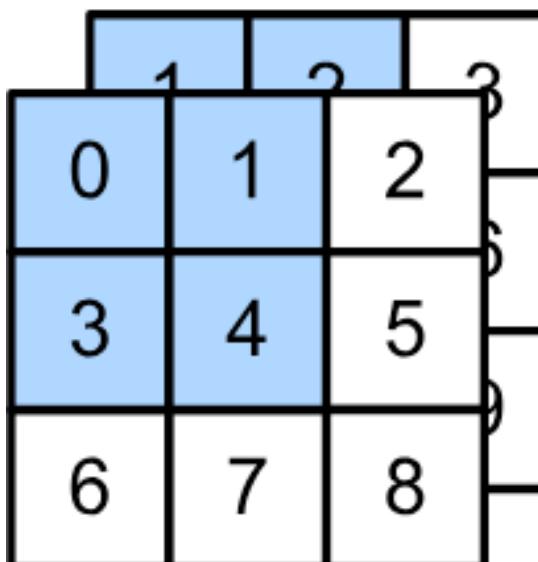
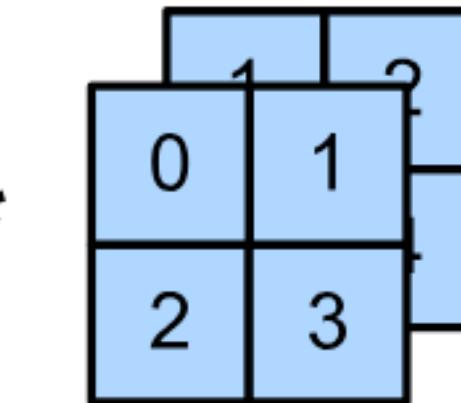
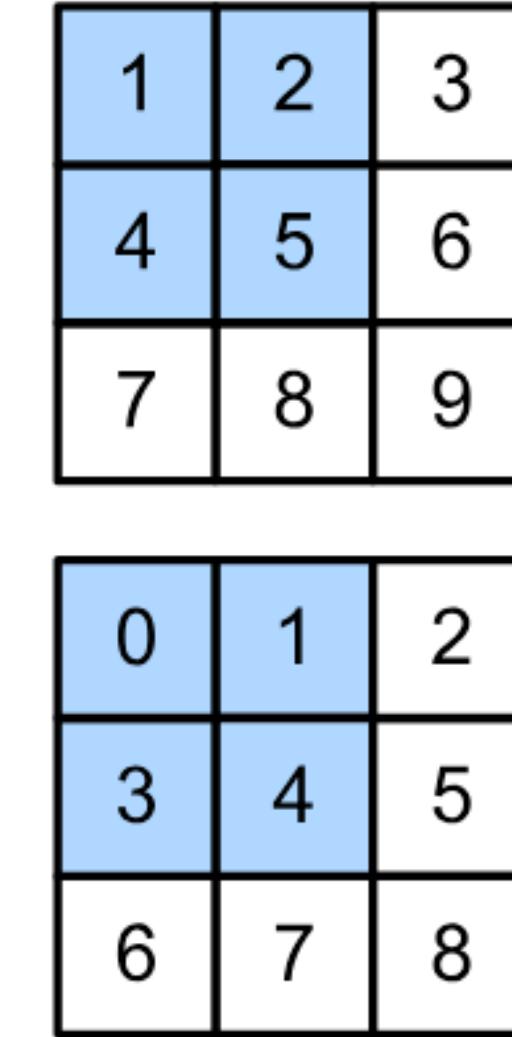
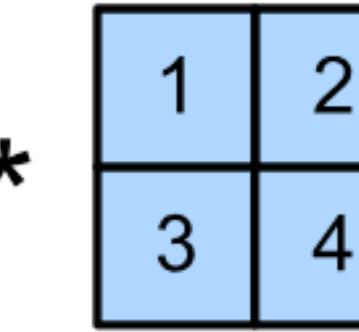
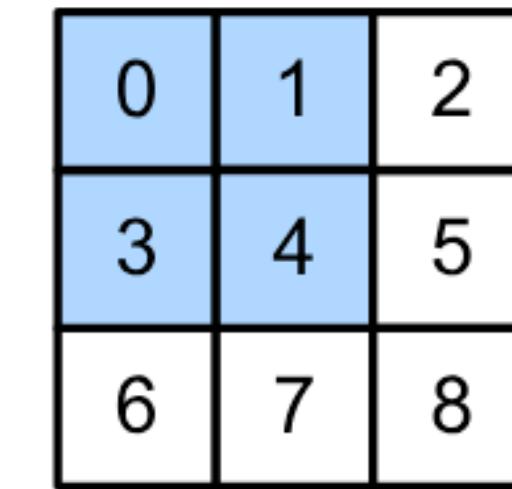
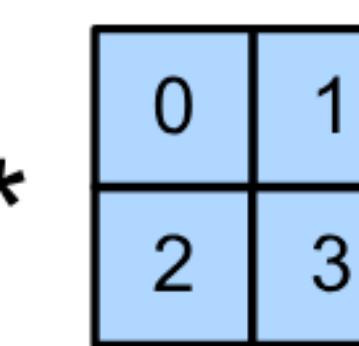
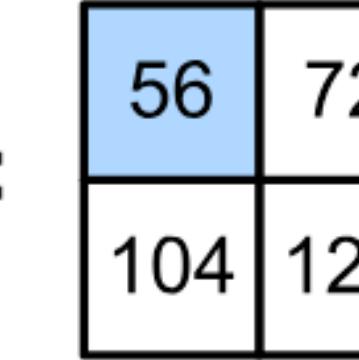
Multiple Input Channels

- Have a kernel for each channel, and then sum results over channels



Multiple Input Channels

- Have a kernel for each channel, and then sum results over channels

Input	Kernel	Input	Kernel	Output
			*	
*	=			
			+	
			*	

$(1 \times 1 + 2 \times 2 + 4 \times 3 + 5 \times 4)$
 $+(0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3)$
 $= 56$

Multiple Input Channels

- $\mathbf{X} : c_i \times n_h \times n_w$ input
- $\mathbf{W} : c_i \times k_h \times k_w$ kernel
- $\mathbf{Y} : m_h \times m_w$ output

$$\mathbf{Y} = \sum_{i=0}^{c_i} \mathbf{X}_{i,:,:} \star \mathbf{W}_{i,:,:}$$

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- We can have **multiple 3-D kernels**, each one generates an output channel
- Input $\mathbf{X} : c_i \times n_h \times n_w$
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$$\mathbf{Y}_{i,:,:} = \mathbf{X} \star \mathbf{W}_{i,:,:}$$

for $i = 1, \dots, c_o$

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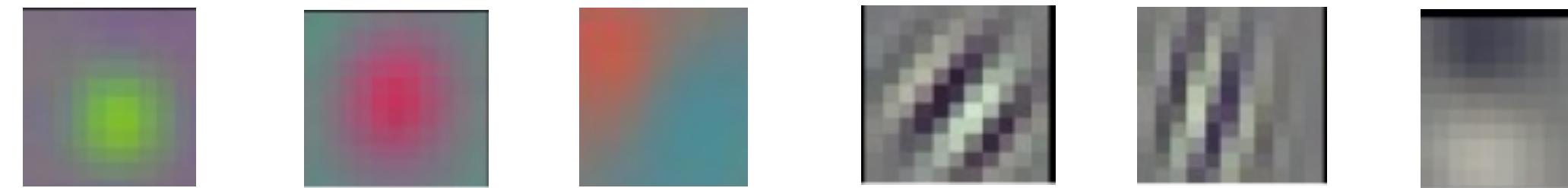
Multiple Input/Output Channels

- Each 3-D kernel may recognize a particular pattern



Multiple Input/Output Channels

- Each 3-D kernel may recognize a particular pattern



(Gabor filters)

Q3-1. Suppose we want to perform convolution on a RGB image of size 224x224 (no padding) with 64 kernels of size 3x3. Stride = 1. Which is a reasonable estimate of the total number of scalar multiplications involved in this operation (without considering any optimization in matrix multiplication)?

- A. $64 \times 3 \times 3 \times 222 \times 222$
- B. $64 \times 3 \times 3 \times 222$
- C. $3 \times 3 \times 222 \times 222$
- D. $64 \times 3 \times 3 \times 3 \times 222 \times 222$

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D. $64 \times [3 \times 3 \times 3] \times [222 \times 222]$



of kernels

Muls per kernel Per kernel output

Q 3-2. Suppose we want to perform convolution on a RGB image of size 224x224 (no padding) with 64 kernels of size 3x3. Stride = 1. Which is a reasonable estimate of the total number of learnable parameters?

- A. $64 \times 222 \times 222$
- B. $64 \times 3 \times 3 \times 222$
- C. $3 \times 3 \times 3 \times 64$
- D. $(3 \times 3 \times 3 + 1) \times 64$

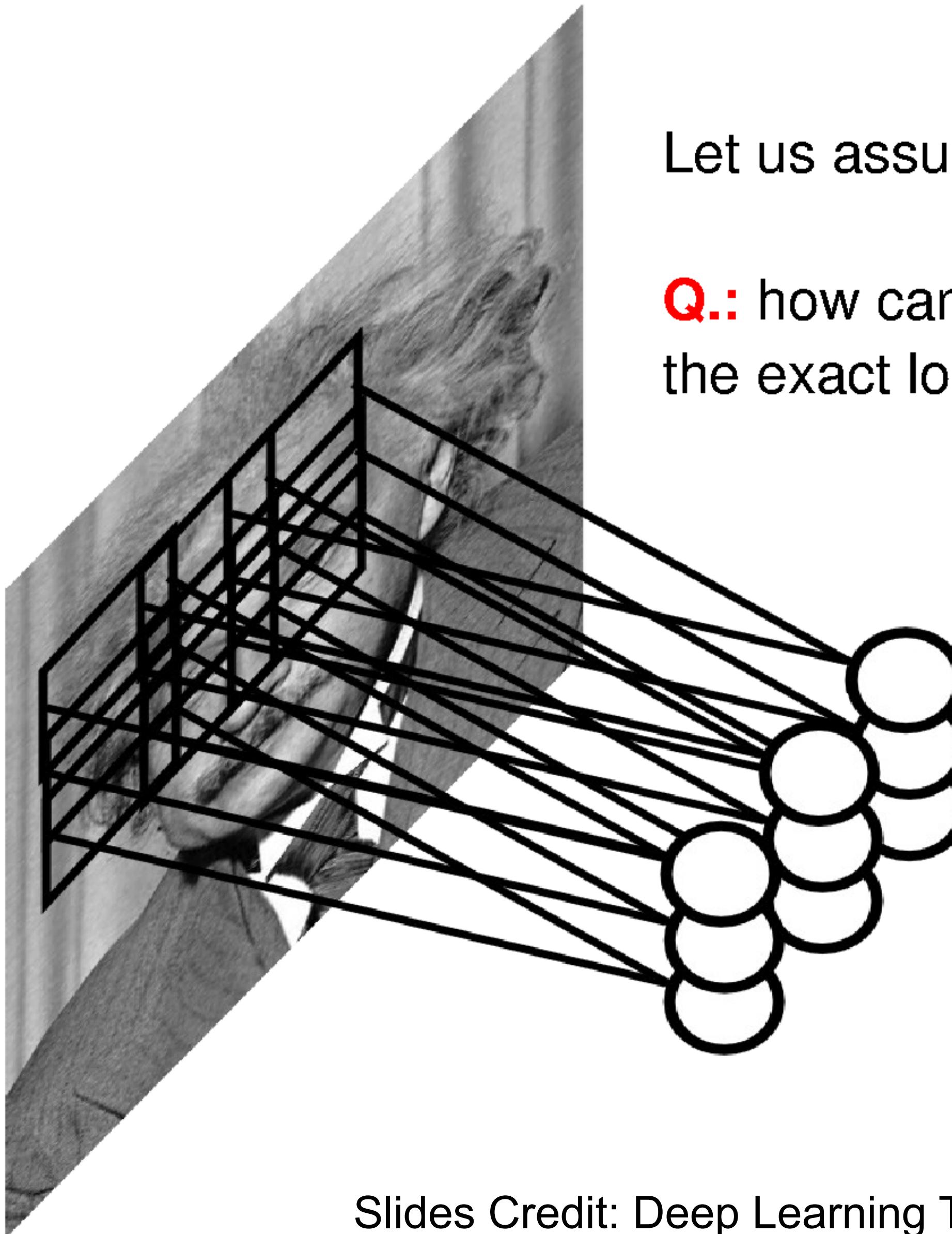
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- C. $3 \times 3 \times 3 \times 64$
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9 weights / kernel * 3 channels + 1 bias / kernel

Pooling Layer

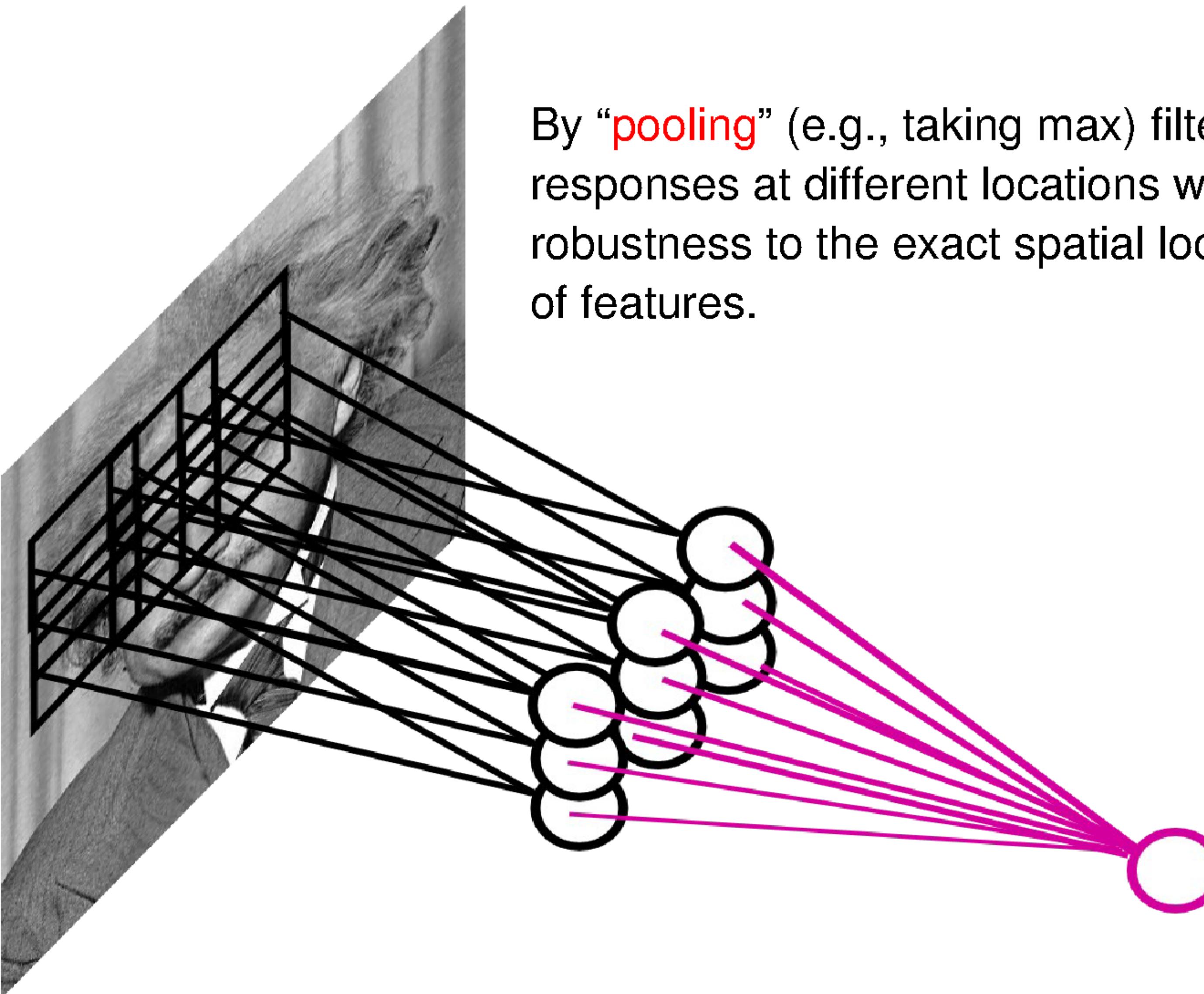
Pooling



Let us assume filter is an “eye” detector.

Q.: how can we make the detection robust to the exact location of the eye?

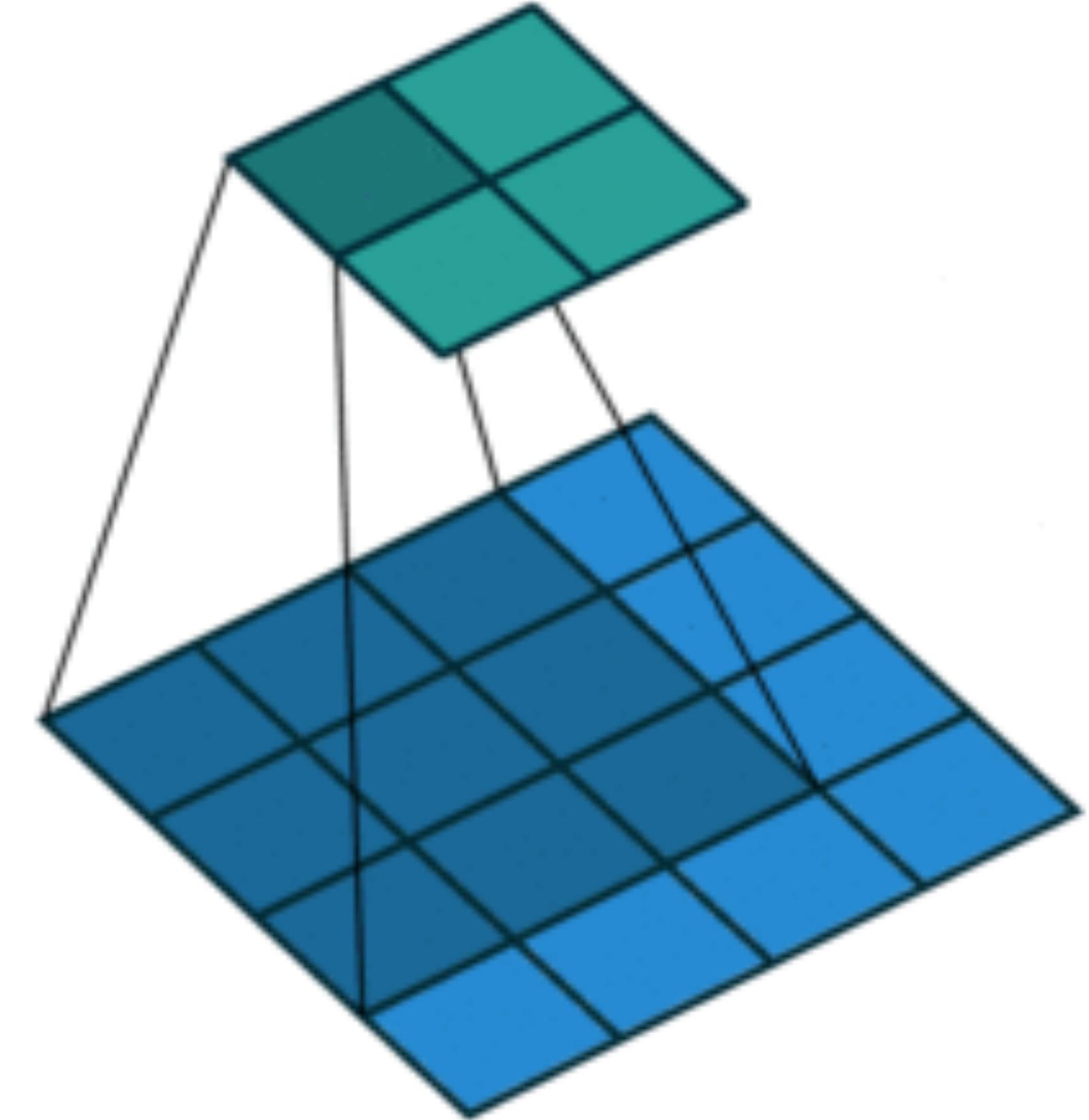
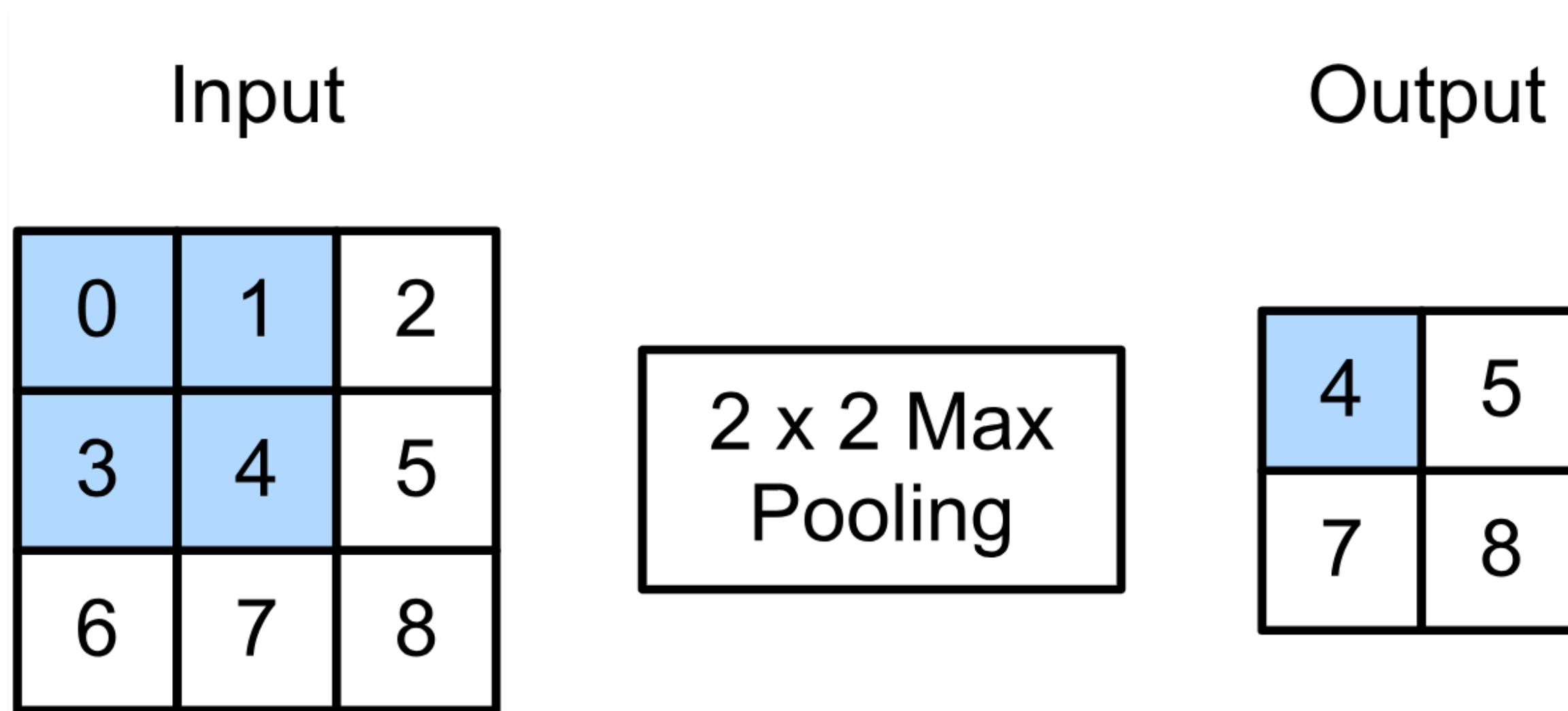
Pooling



By “**pooling**” (e.g., taking max) filter responses at different locations we gain robustness to the exact spatial location of features.

2-D Max Pooling

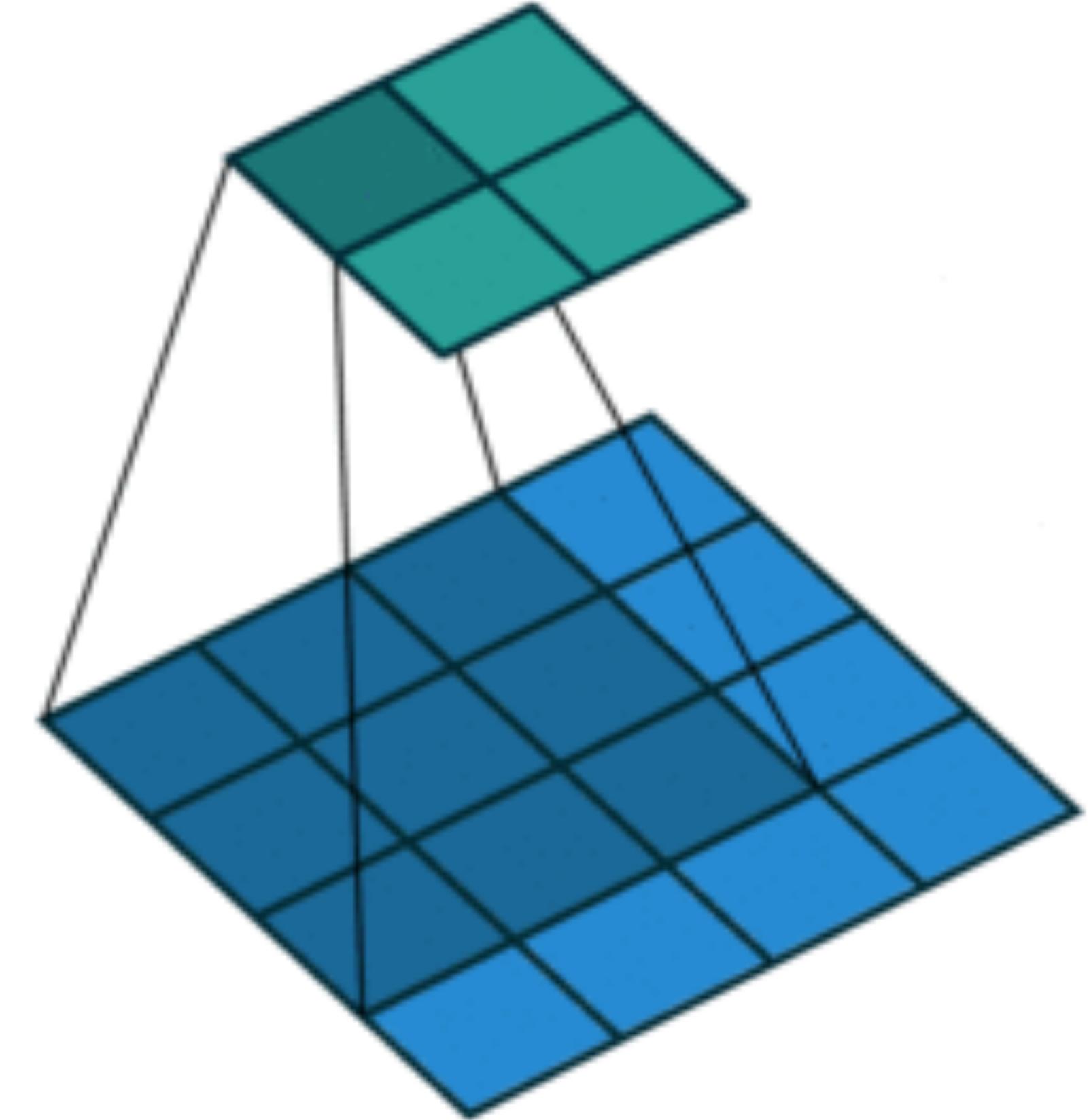
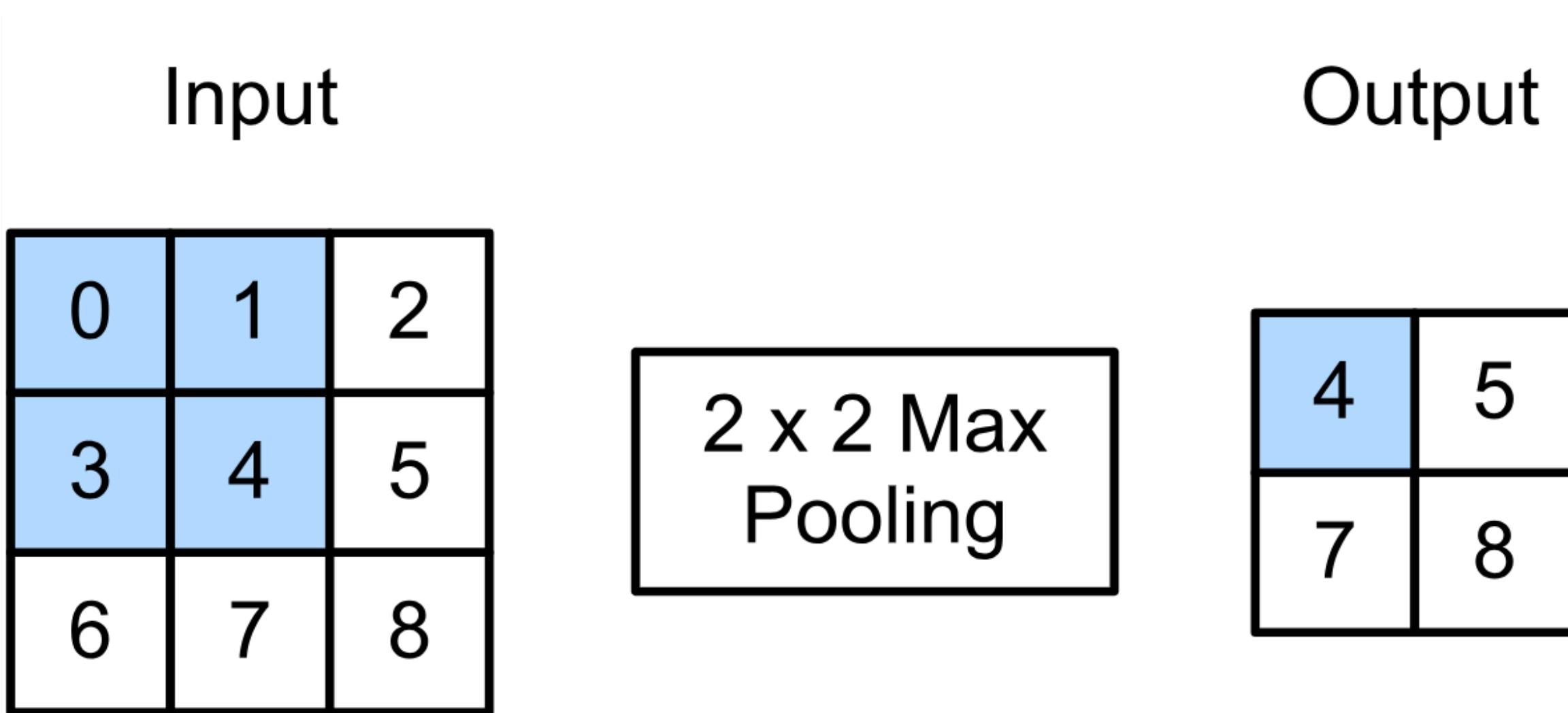
- Returns the maximal value in the sliding window



$$\max(0,1,3,4) = 4$$

2-D Max Pooling

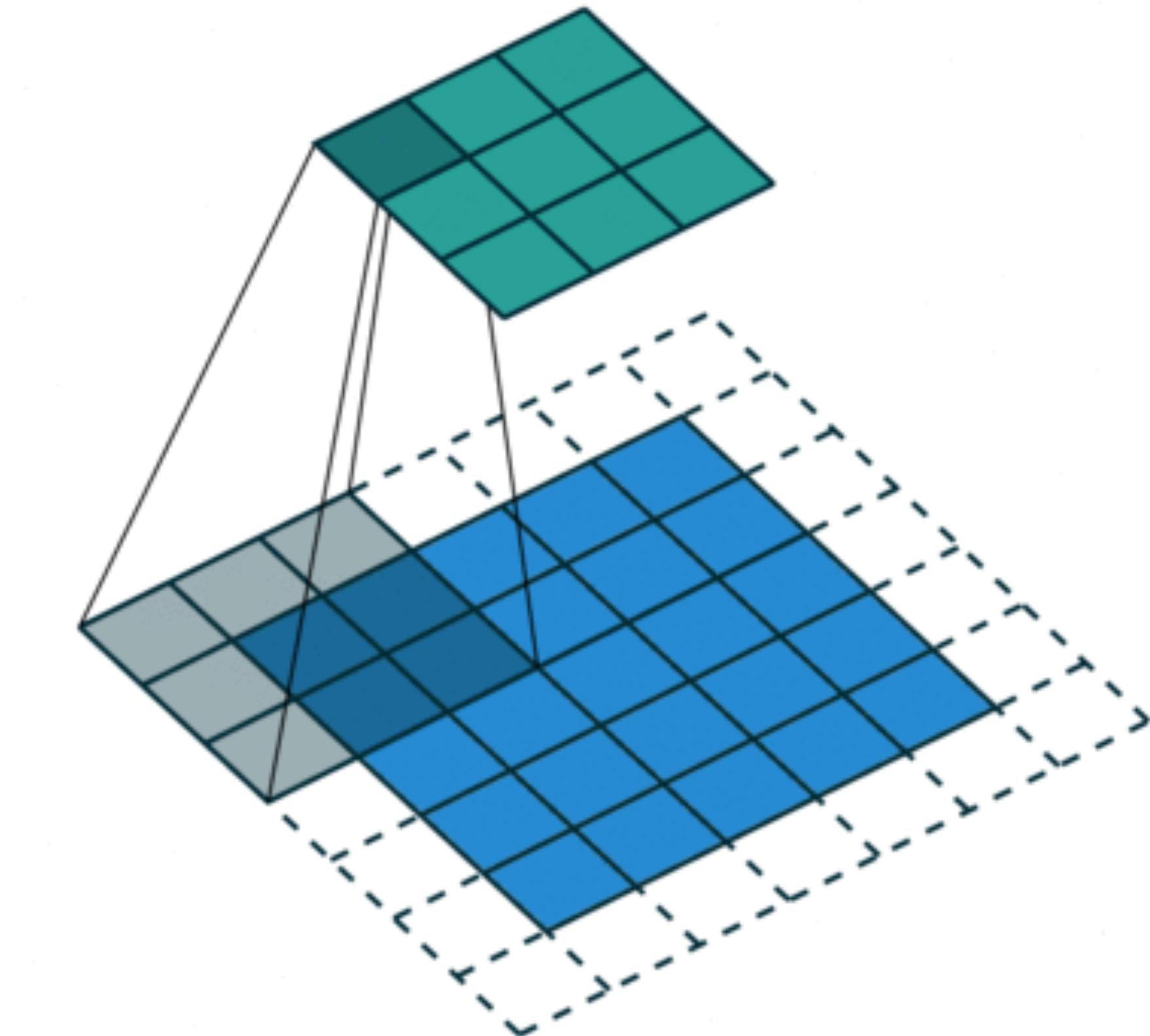
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Padding, Stride, and Multiple Channels

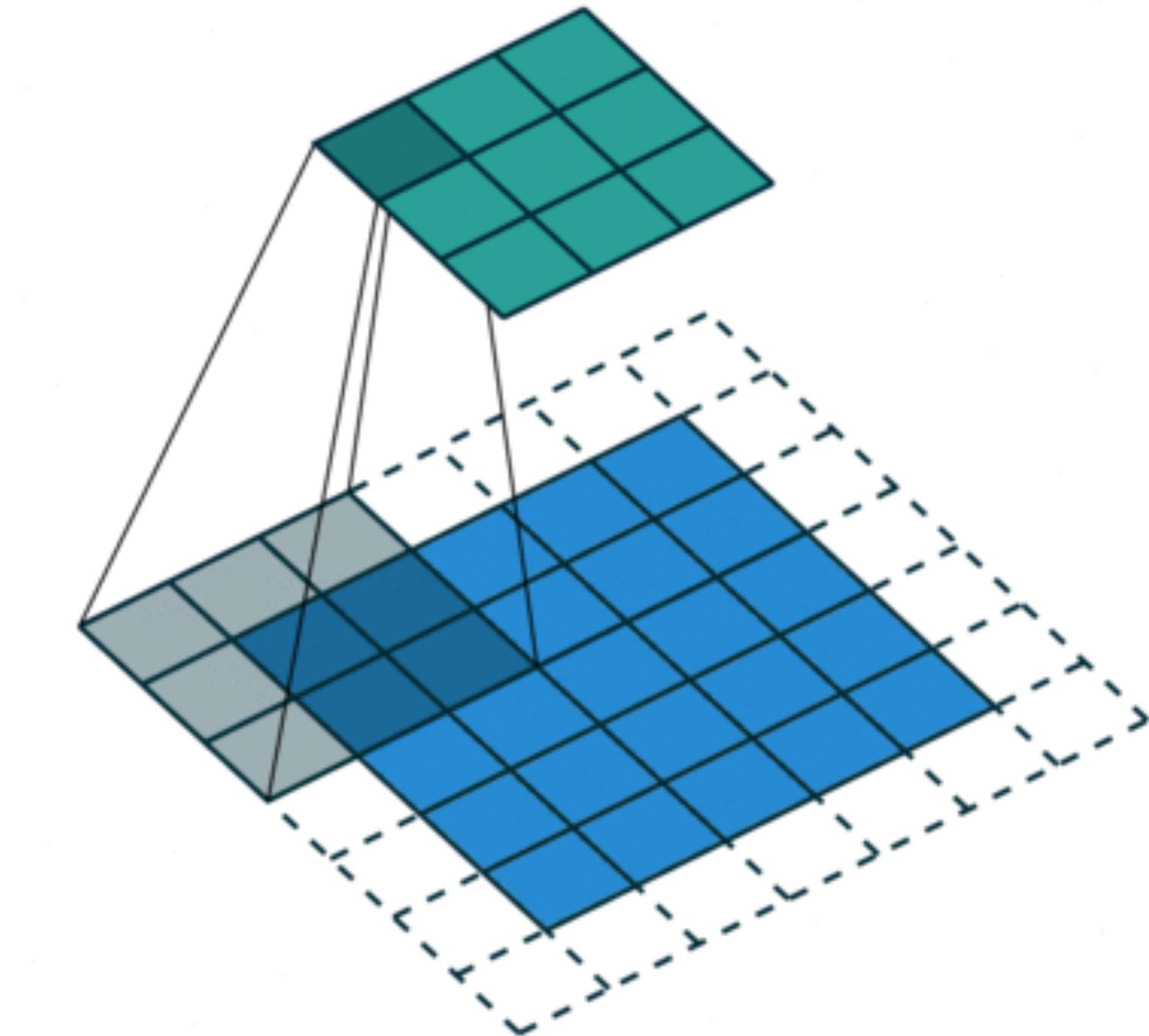
- Pooling layers have similar padding and stride as convolutional layers
- No learnable parameters
- Apply pooling for each input channel to obtain the corresponding output channel



#output channels = #input channels

Padding, Stride, and Multiple Channels

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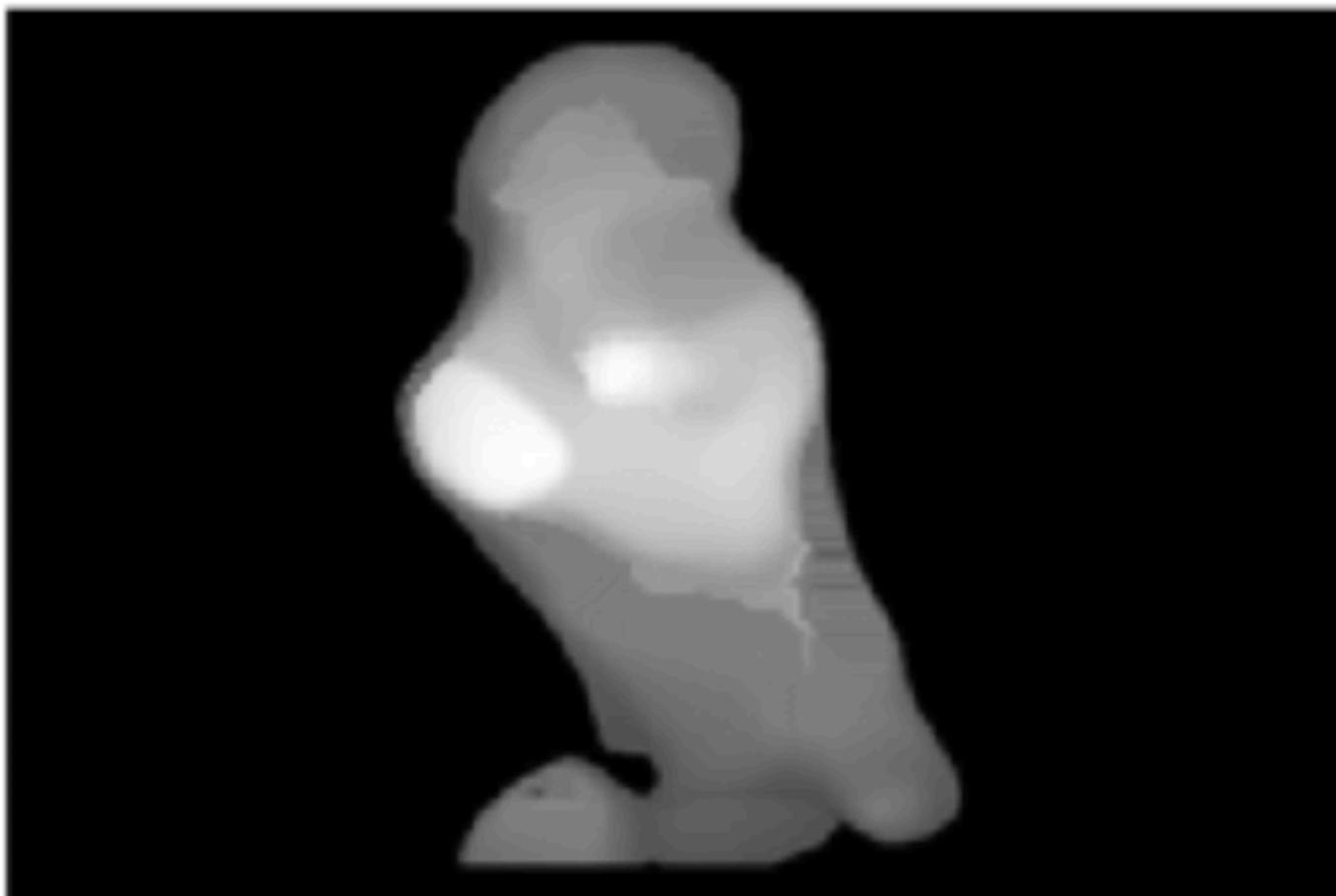


#output channels = #input channels

Average Pooling

- Max pooling: the strongest pattern signal in a window
- Average pooling: replace max with mean in max pooling
 - The average signal strength in a window

Max pooling



Average pooling



Q2-1. Suppose we want to perform 2x2 average pooling on the following single channel feature map of size 4x4 (no padding), and stride = 2. What is the output?

A.

20	30
70	90

B.

16	8
20	25

C.

20	30
20	25

D.

12	2
70	5

12	20	30	0
20	12	2	0
0	70	5	2
8	2	90	3

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Stride=2 w/ 2x2 input patch means output is mean of each quadrant.

Q2-2. What is the output if we replace average pooling with 2 x 2 max pooling (other settings are the same as previous question)?

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20	30
70	90

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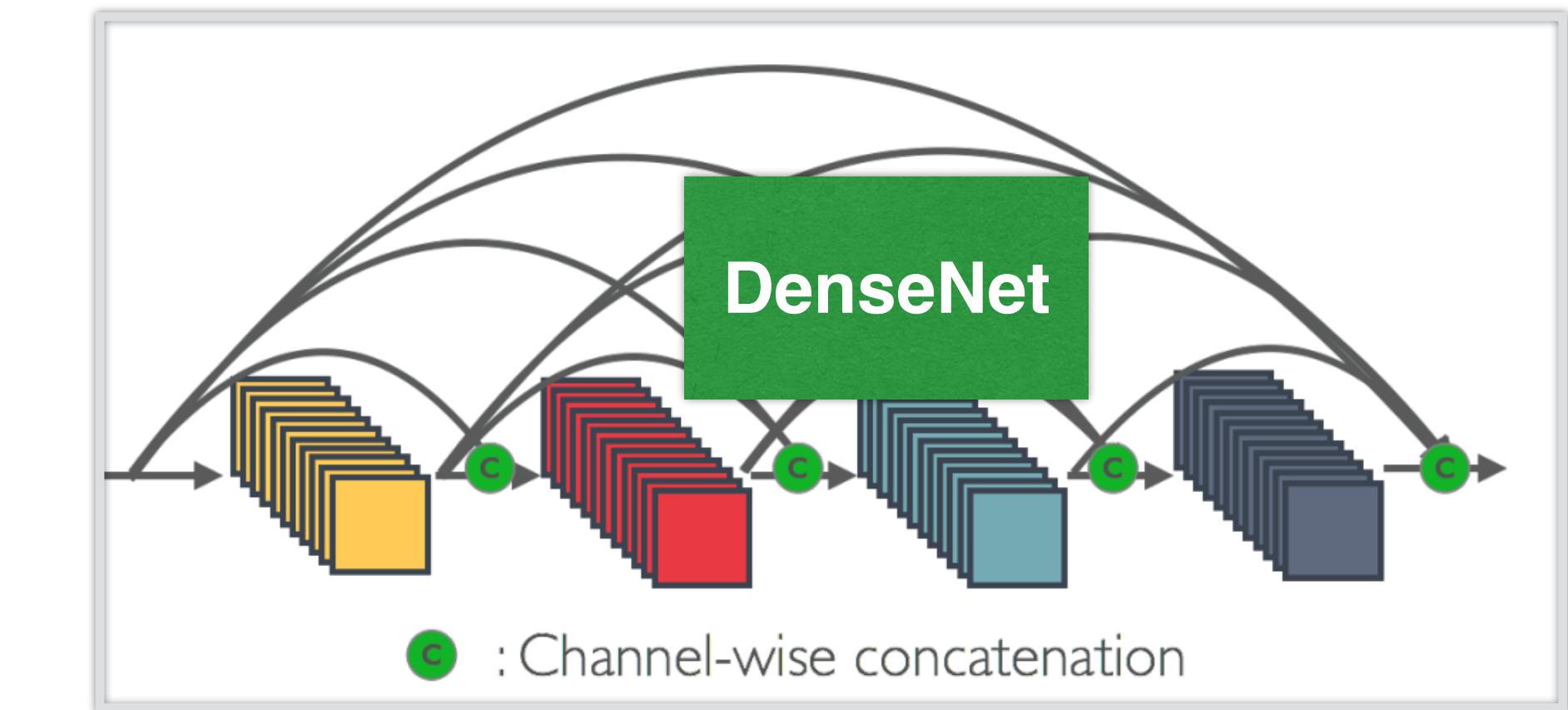
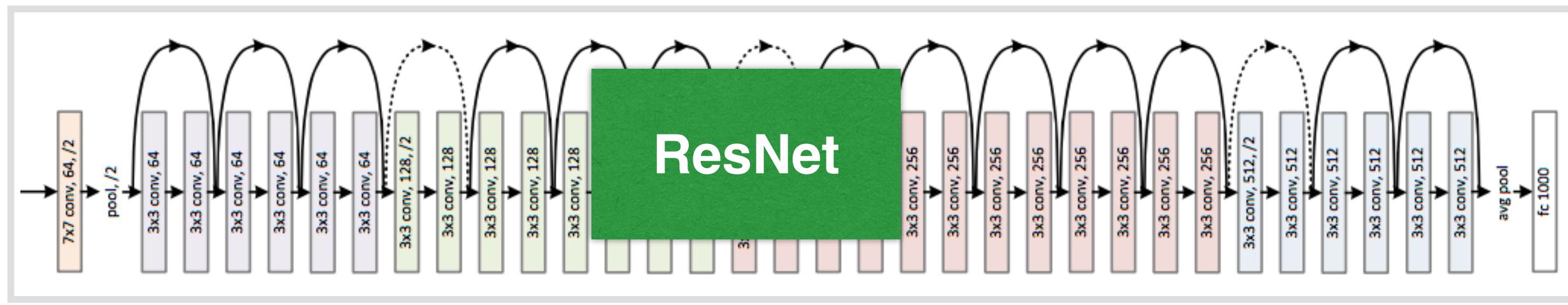
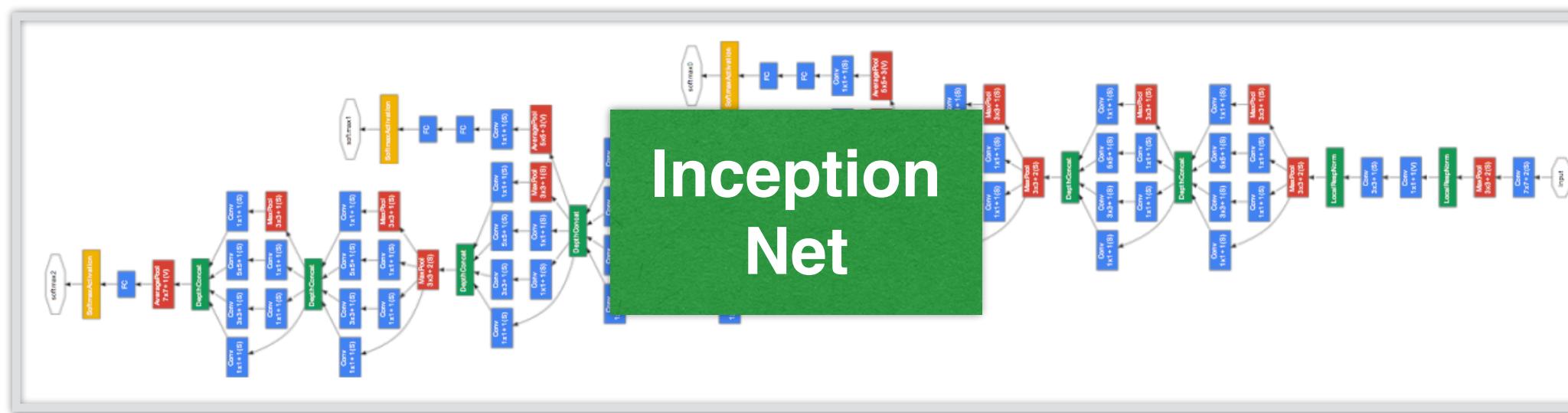
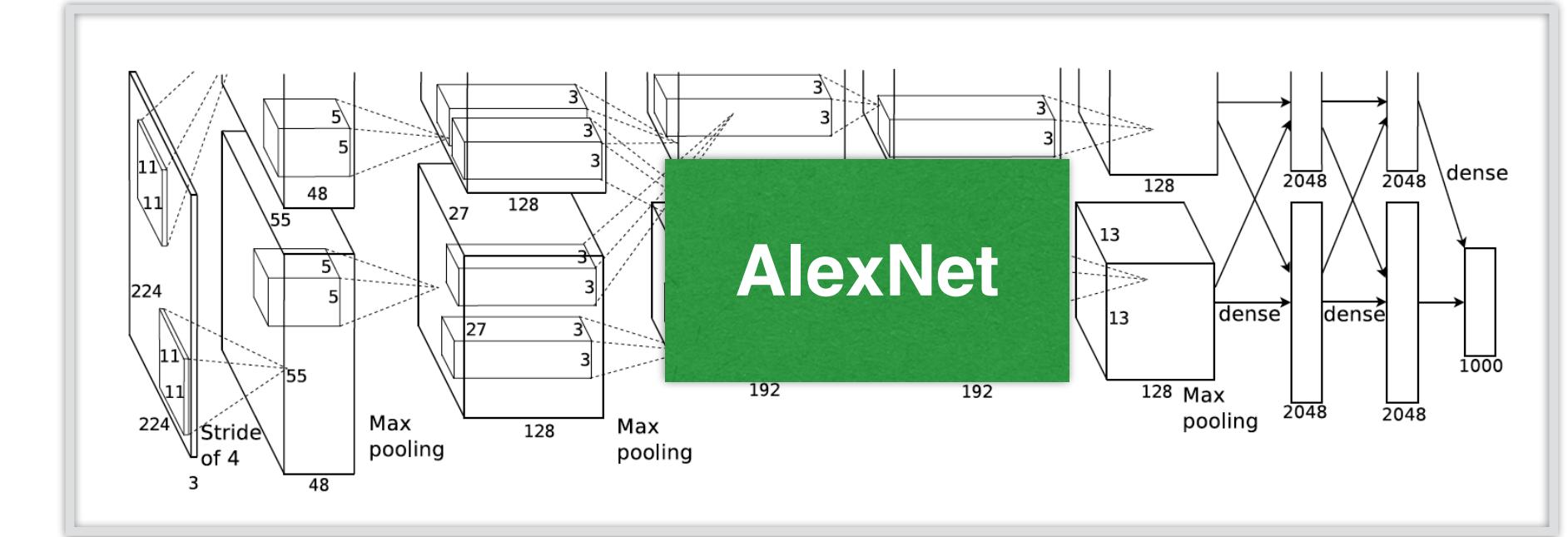
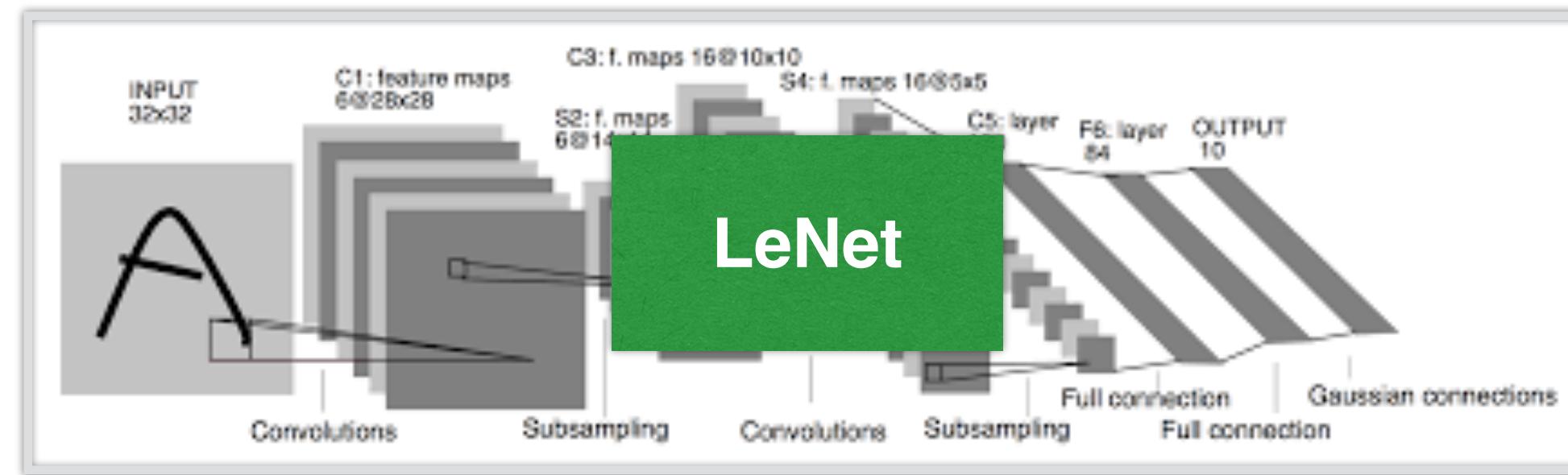
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8	2	90	3

Stride=2 w/ 2x2 input patch means output is max of each quadrant.

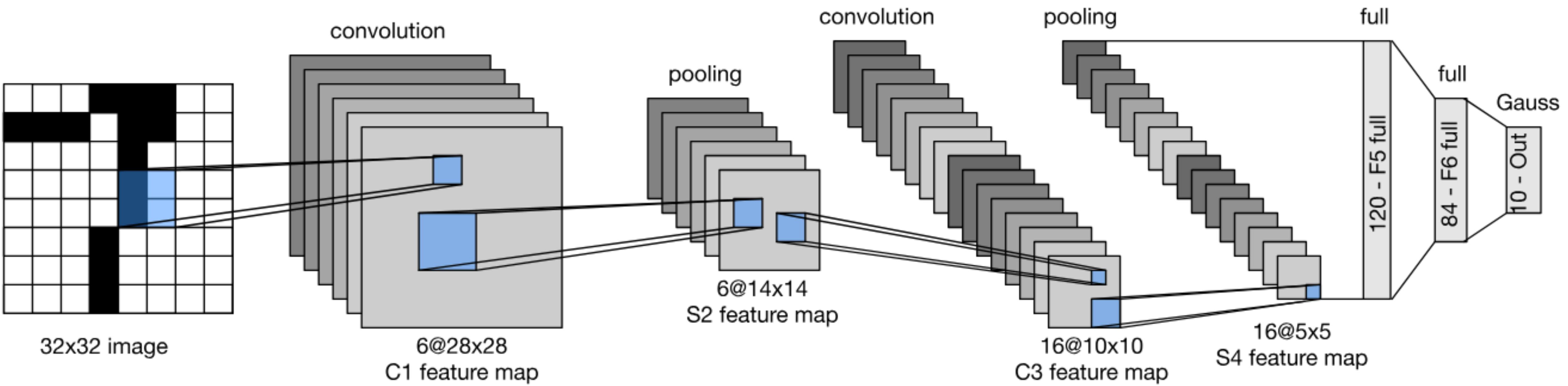
Convolutional Neural Networks

Evolution of neural net architectures

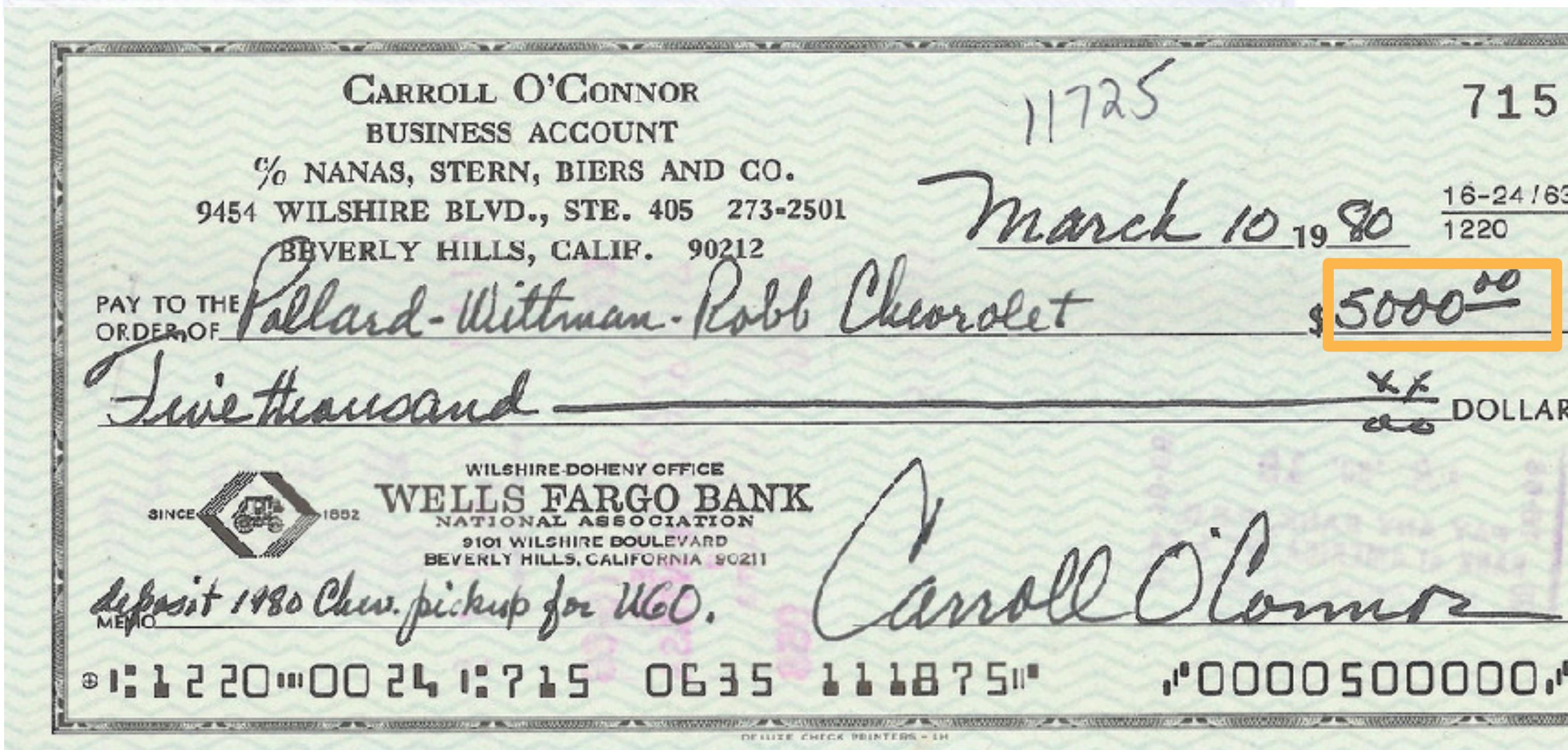
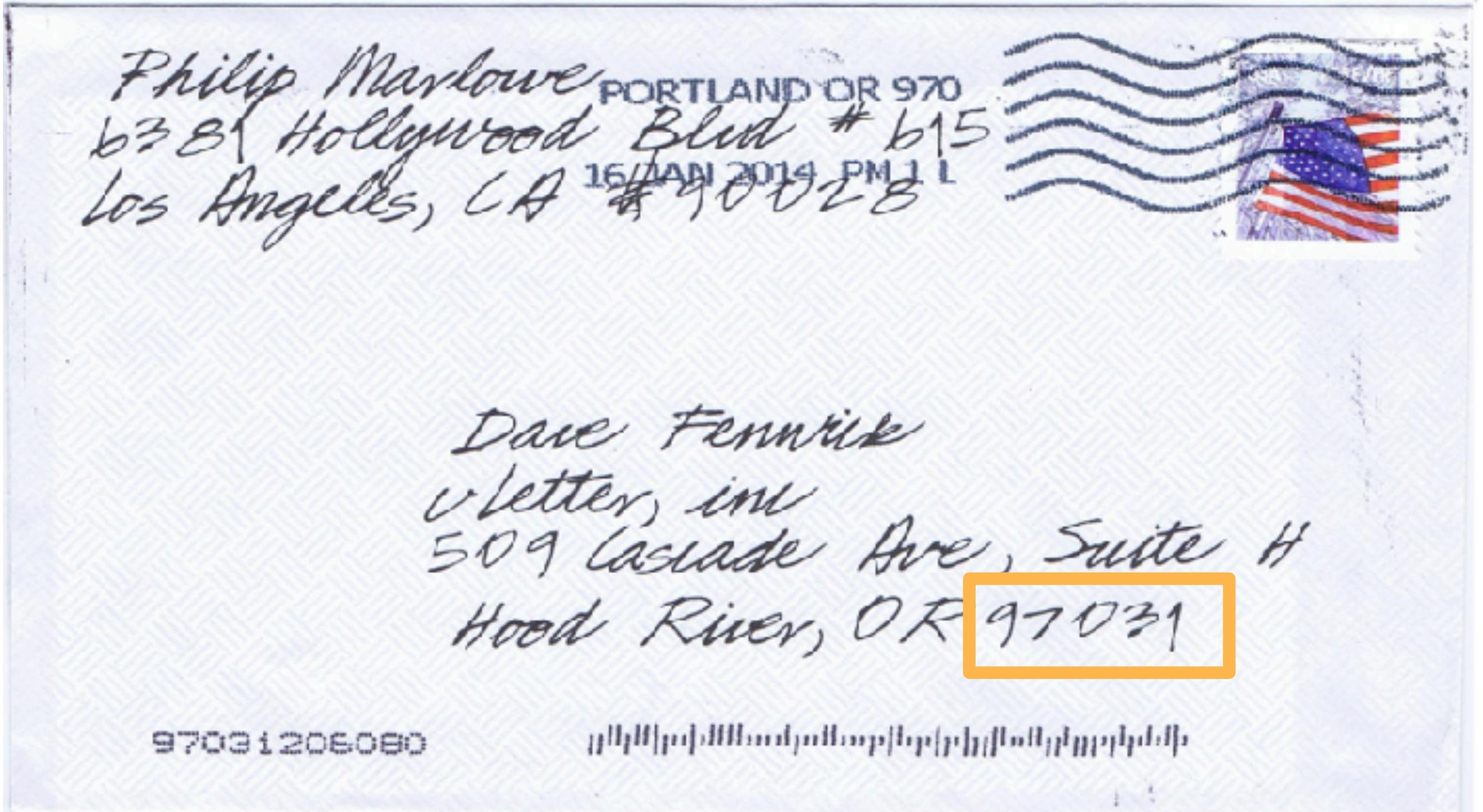
Evolution of neural net architectures



LeNet Architecture



Handwritten Digit Recognition



MNIST

- Centered and scaled
- 50,000 training data
- 10,000 test data
- 28 x 28 images
- 10 classes

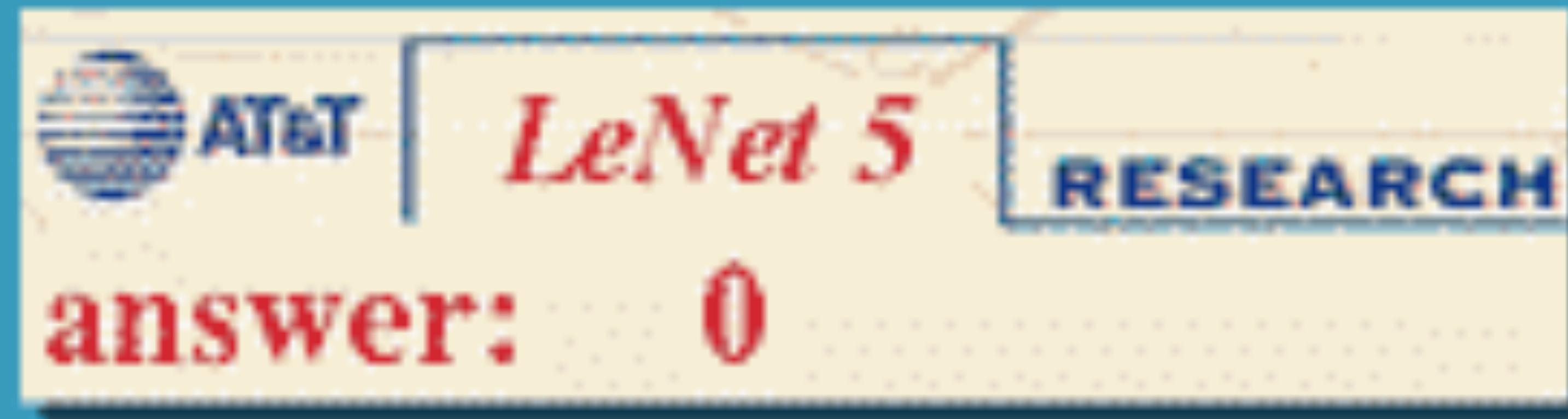




0
103

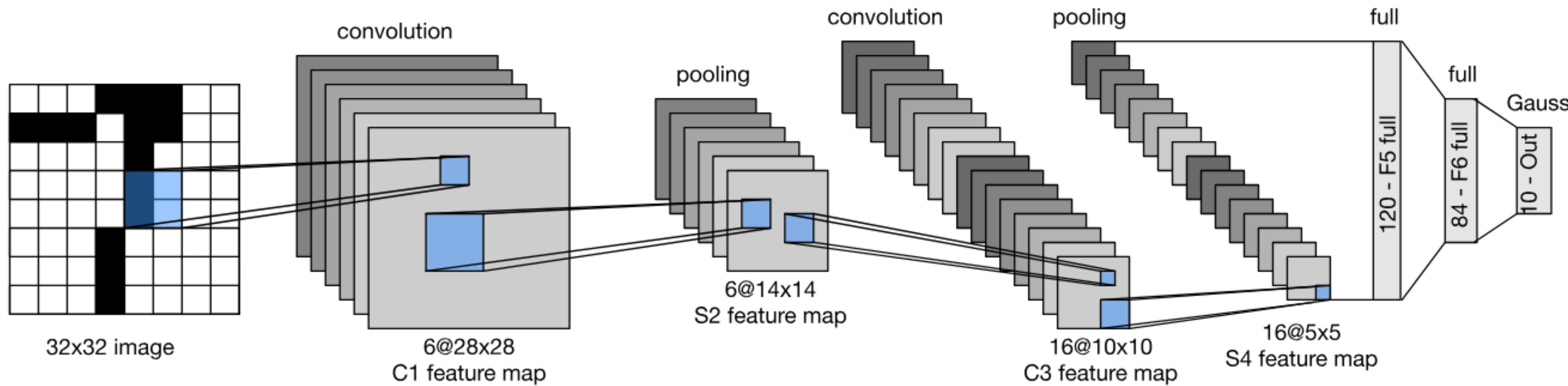


Y. LeCun, L.
Bottou, Y. Bengio,
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Gradient-based
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LeNet Architecture



LeNet in Pytorch

```
def __init__(self):
    super(LeNet5, self).__init__()
    # Convolution (In LeNet-5, 32x32 images are given as input. Hence padding of 2 is done below)
    self.conv1 = torch.nn.Conv2d(in_channels=1, out_channels=6, kernel_size=5, stride=1, padding=2, bias=True)
    # Max-pooling
    self.max_pool_1 = torch.nn.MaxPool2d(kernel_size=2)
    # Convolution
    self.conv2 = torch.nn.Conv2d(in_channels=6, out_channels=16, kernel_size=5, stride=1, padding=0, bias=True)
    # Max-pooling
    self.max_pool_2 = torch.nn.MaxPool2d(kernel_size=2)
    # Fully connected layer
    self.fc1 = torch.nn.Linear(16*5*5, 120)      # convert matrix with 16*5*5 (= 400) features to a matrix of 120 features (columns)
    self.fc2 = torch.nn.Linear(120, 84)           # convert matrix with 120 features to a matrix of 84 features (columns)
    self.fc3 = torch.nn.Linear(84, 10)            # convert matrix with 84 features to a matrix of 10 features (columns)
```

Summary

Summary

- Intro of convolutional computations

Summary

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 - 2D convolution

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- Basic Convolutional Neural Networks

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 - 2D convolution
 - Padding, stride etc
 - Multiple input and output channels
 - Pooling
- Basic Convolutional Neural Networks
 - LeNet (first conv nets)



Acknowledgement:

Some of the slides in these lectures have been adapted from materials developed by Alex Smola and Mu Li:

<https://courses.d2l.ai/berkeley-stat-157/index.html>