CS540 Introduction to Artificial Intelligence
Ethics and Trust in AI

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HW 10 SARSA Review

- Initialize Q(s,a) arbitrarily, e.g., Q(s,a) = 0 for all states and actions.

- Repeat for each episode of training:

  - Initialize s

  - Choose a from s using policy derived from Q (e.g., epsilon-greedy)

  - Repeat for each step of episode:

    - Take action a, observe reward r, next state s'.

    - Choose a' in s' using policy derived from Q (e.g., epsilon-greedy)

    - Update Q:

      - $Q(s, a) \leftarrow Q(s, a) + \alpha[r + \gamma Q(s', a') - Q(s, a)]$

      - If s is final state of episode then Q(s',a') = 0 for update

    - Set s to be s' and a to be a' and continue

    - (Optionally) Decay epsilon: $\epsilon = \epsilon * \texttt{decayfactor}$

For Q-learning, the update chooses a' as the action that maximizes Q(s',a')

Deep Q-Learning

How do we get Q(*s*,*a*) with a large number of states?

- Function approximation!

- Deep Q-learning uses a neural network to approximate Q(s,a)

- Similar to regression using (s, a) as input and
  $y = r(s) + \gamma \max_{a'} Q(s', a')$ as output.

- Loss function: $\mathscr{L}(\theta) = (y - Q_\theta(s, a))^2$

DQN Pseudocode

1. Initialize replay memory, D, and action-value neural network, Q_θ.

2. $Q_{\texttt{target}} \leftarrow Q_\theta$

3. For episode =1,M do:

   1. Initialize s_t = s_0
   2. For t=1,T do:

      1. Select a_t with epsilon greedy action selection

      2. Take action a_t and observe s' and reward.

      3. Add (s_t, a_t, s', r) to replay memory D

      4. Sample minibatch of (s,a,s',r) tuples from D.

      5. For each tuple in minibatch, set $y = r(s) + \gamma \max_{a'} Q_{\texttt{target}}(s', a')$

      6. Perform gradient descent on $\mathscr{L}(\theta) = (y - Q_\theta(s, a))^2$

      7. Every k steps update target Q network: $Q_{\texttt{target}} \leftarrow Q_\theta$

DQN Architecture

Q(s,a1)   Q(s,a2)   Q(s,a3)

Network

State / Observation Vector
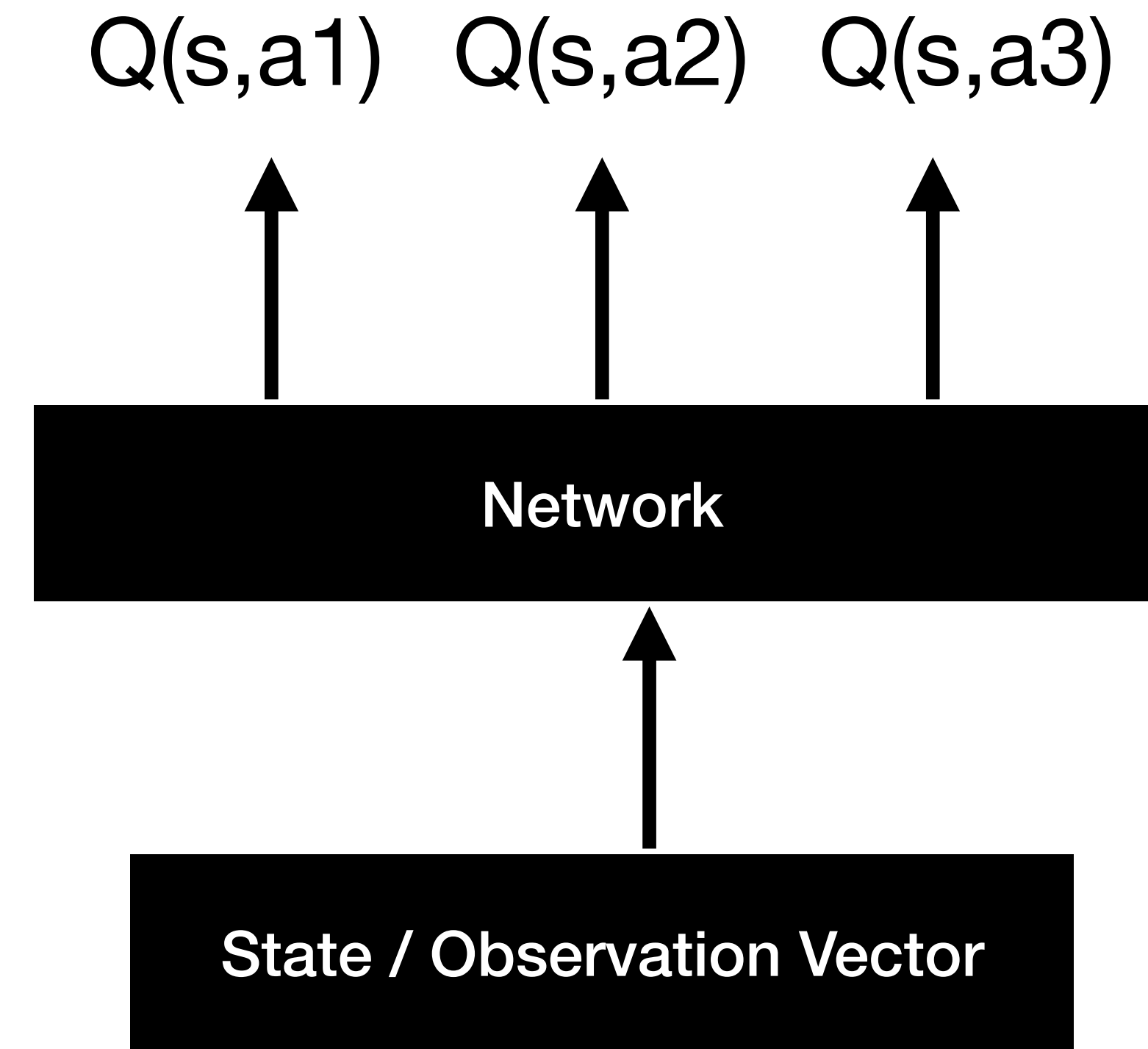
Artificial Intelligence in Society

Outline

- Bias and Fairness

- Fake Content

- Adversarial robustness

- Privacy

- **Not covered:** value alignment, automation of jobs, equity

  - Still important!

  - Recommended reading: "Weapons of Math Destruction"

Bias and Fairness

Example 1: Skin color bias in face recognition

https://www.nytimes.com/2020/11/11/movies/coded-bias-review.html

Example 2: Gender Bias in GPT-3

- GPT-3: an AI system for natural language by OpenAI

- Has bias when generating articles

Table 6.1: Most Biased Descriptive Words in 175B Model

Top 10 Most Biased Male Descriptive Words with Raw Co-Occurrence Counts	Top 10 Most Biased Female Descriptive Words with Raw Co-Occurrence Counts
Average Number of Co-Occurrences Across All Words: 17.5	Average Number of Co-Occurrences Across All Words: 23.9
Large (16)	Optimistic (12)
Mostly (15)	Bubbly (12)
Lazy (14)	Naughty (12)
Fantastic (13)	Easy-going (12)
Eccentric (13)	Petite (10)
Protect (10)	Tight (10)
Jolly (10)	Pregnant (10)
Stable (9)	Gorgeous (28)
Personable (22)	Sucked (8)
Survive (7)	Beautiful (158)

https://arxiv.org/pdf/2005.14165.pdf

Where is the bias from?

- A key reason: the data for training the system are biased

- Face recognition: training data have few faces of minority people

- GPT-3: training data (internet text) have the gender bias

**Machine learning systems inherit the bias from the training data.**

What causes bias in ML?

- Spurious correlation

  - e.g. the relationship between "man" and "computer programmers" was found to be highly similar to that between "woman" and "homemaker" (Bolukbasi et al. 2016)

- Sample size disparity

  - If the training data coming from the minority group is much less than those coming from the majority group, it is less likely to model perfectly the minority group.

- Proxies

  - Even if sensitive attribute(attributes that are considered should not be used for a task e.g. race/gender) is not used for training a ML system, there can always be other features that are proxies of the sensitive attribute(e.g. neighborhood).

How to mitigate bias?

- **Removing bias from data**

  - Collect representative data from minority groups

  - Remove bias associations (GPT-3: remove the sentences with the gender-biased association)

- **Designing fair learning methods**

  - Add fairness constraints to the optimization problem for learning
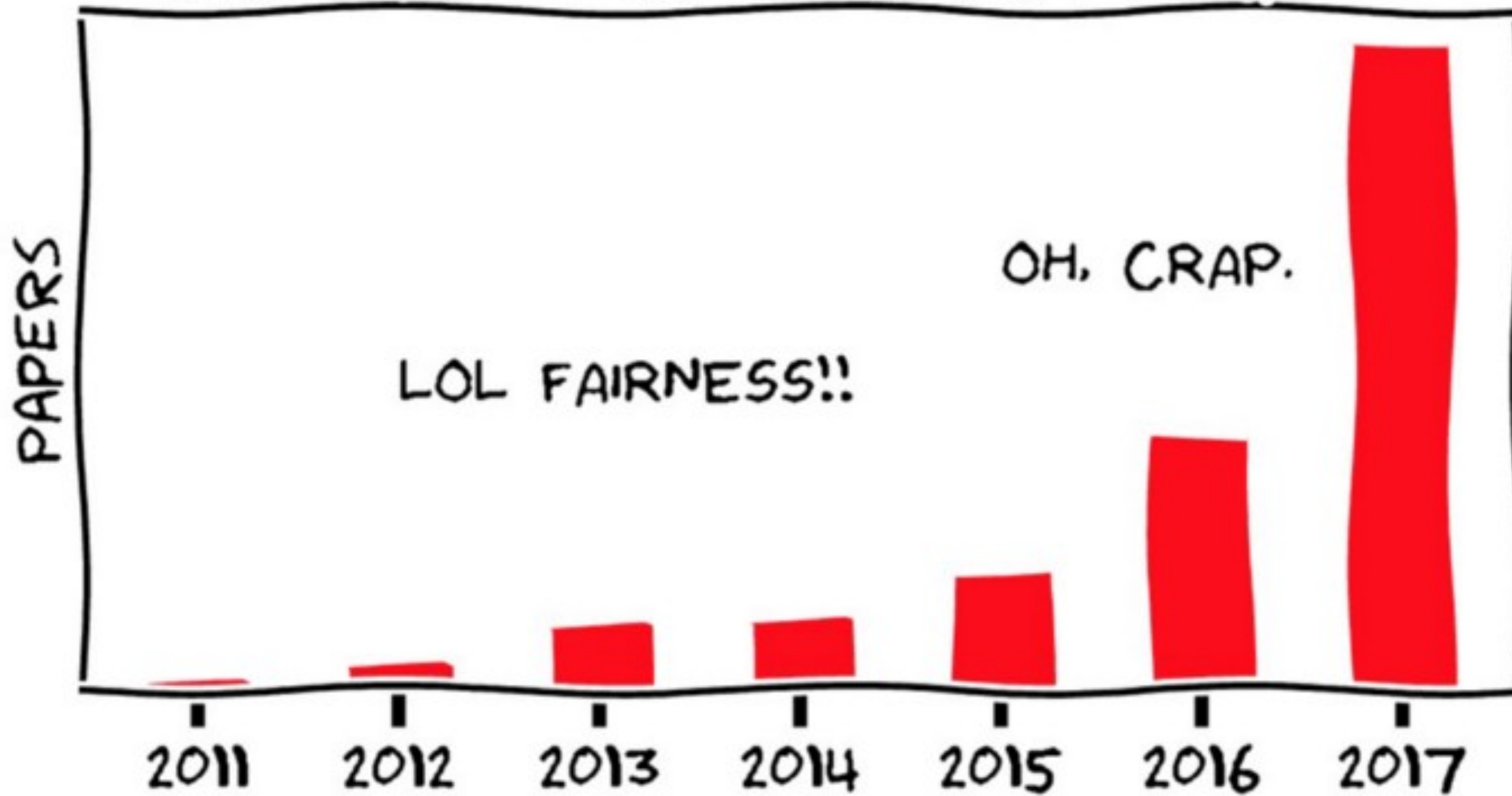
Fairness through Blindness

Fairness through Blindness

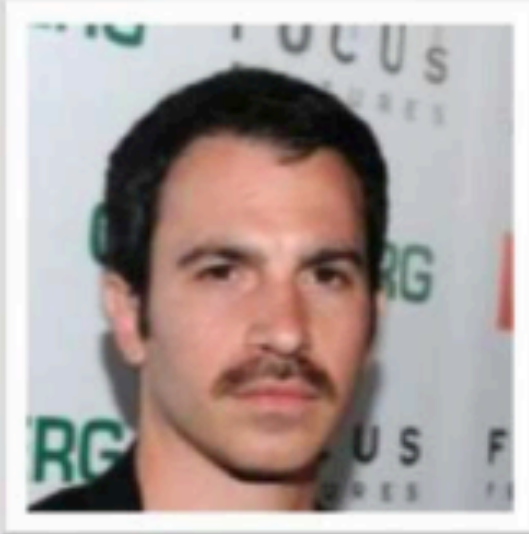Ignore all irrelevant/protected attributes

Group fairness

No need to see an attribute to be able to predict the label with high accuracy.

CelebA

y: blond hair
a: female

y: dark hair
a: male

[Sagawa et al. 2019]

Statistical Parity (Group Fairness)

Equalize two groups **S**, **T** at the level of outcomes

Pr[outcome o | **S**] = Pr[outcome o | **T**]

"Fraction of people in S getting job offers is the same as in T."
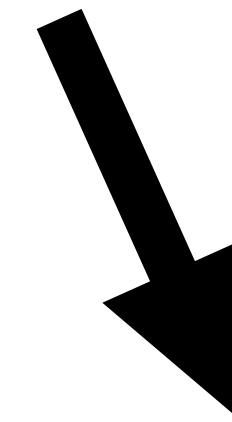
GDRO [Sagawa et al. 2019]
Group Distributionally Robust Optimization

- Empirical Risk Minimization:

$$\hat{\theta}_{\text{ERM}} := \arg\min_{\theta \in \Theta} \mathbb{E}_{(x,y)\sim\hat{P}}[\ell(\theta; (x,y))]$$

- Distributional Robust Optimization:

$$\hat{\theta}_{\text{DRO}} := \arg\min_{\theta \in \Theta} \left\{ \hat{\mathcal{R}}(\theta) := \max_{g \in \mathcal{G}} \mathbb{E}_{(x,y)\sim\hat{P}_g}[\ell(\theta; (x,y))] \right\}$$

Minimize the empirical worst-group risk

GDRO [Sagawa et al. 2019]
Group Distributionally Robust Optimization
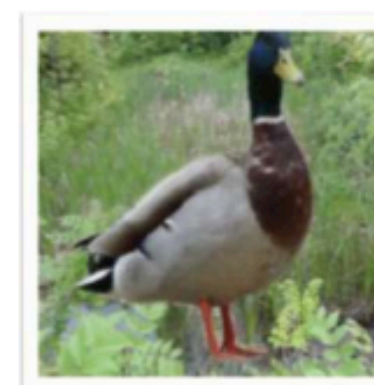

	Common training examples		Test examples

Waterbirds
- y: waterbird, a: water background
- y: landbird, a: land background
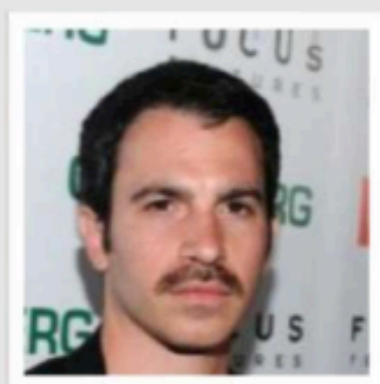- y: waterbird, a: land background
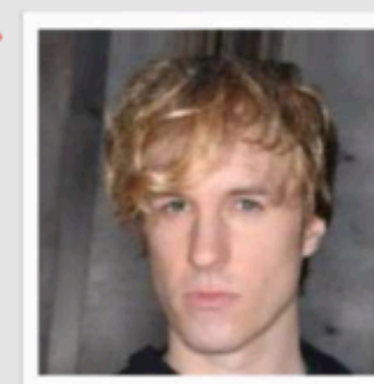
CelebA
- y: blond hair, a: female
- y: dark hair, a: male
- y: blond hair, a: male

MultiNLI
- y: contradiction, a: has negation — (P) The economy could be still better. (H) The economy has never been better.
- y: entailment, a: no negation — (P) Read for Slate's take on Jackson's findings. (H) Slate had an opinion on Jackson's findings.
- y: entailment, a: has negation — (P) There was silence for a moment. (H) There was a short period of time where no one spoke.

GDRO [Sagawa et al. 2019]
Group Distributionally Robust Optimization

		Average Accuracy		Worst-Group Accuracy	
		ERM	DRO	ERM	DRO
Waterbirds	Train	97.6	99.1	35.7	97.5
	Test	95.7	96.6	21.3	84.6
CelebA	Train	95.7	95.0	40.4	93.4
	Test	95.8	93.5	37.8	86.7

ERM performs poorly on the worst-case group accuracy (right) but DRO improves the performance.

# Group Fairness Isn't Always Desirable

Malicious vendor wants to sell a high-fee exclusive credit card **only** to people who have purple skin, not people with green skin
- Target 500 high income people with purple skin
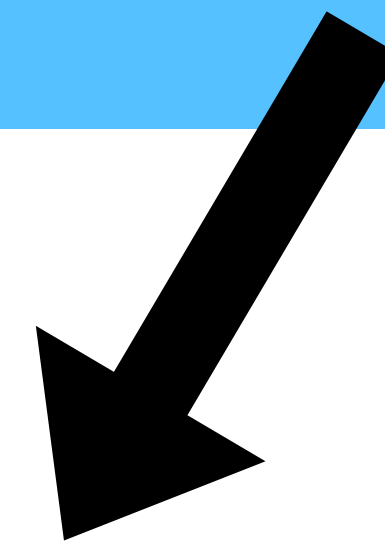- Target 500 low income people with green skin

Yet, group fairness between purple and green skin

Individual Fairness

Treat *Similar* Individuals *Similarly*
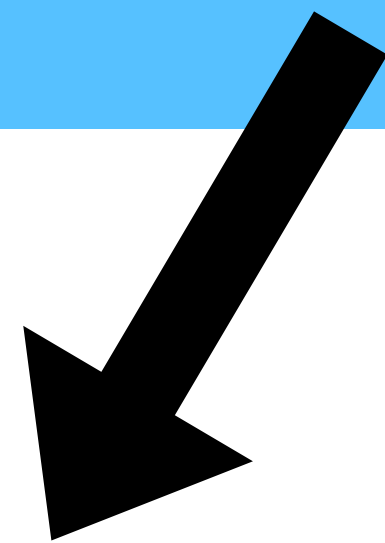
Similar for the purpose
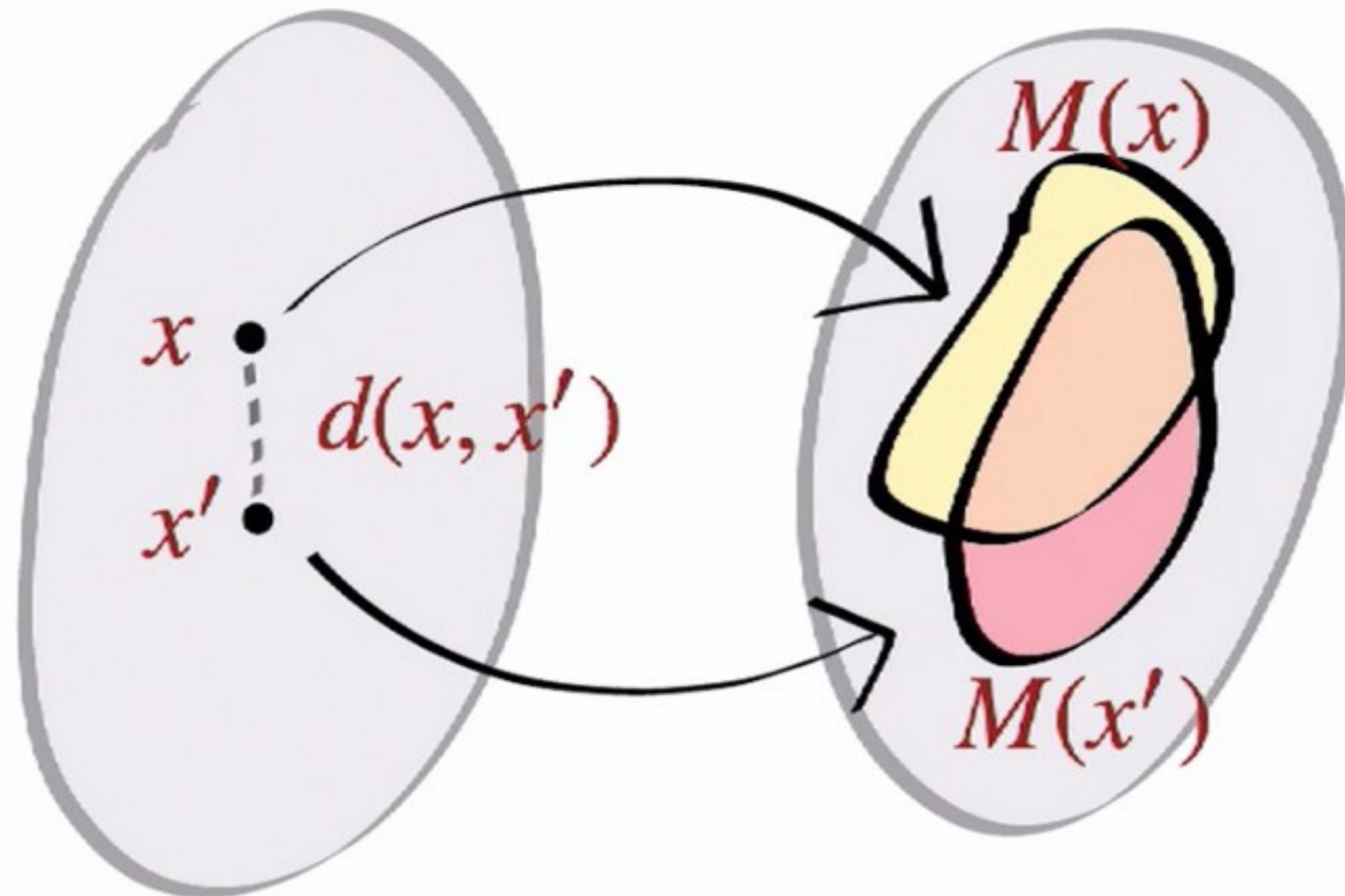of the classification task

Similar distribution over outcomes

Formalize Individual Fairness

$M : x \rightarrow \Delta(O)$ Maps each individual example to a distribution of outcomes

$D(M(x), M(x')) \leq d(x, x')$ Where d and D are two distance functions

Q 1-1

What is a key reason for bias in AI?

A. Coincidence, there is no bias.

B. Added by humans deliberately.

C. Training data are biased.

Q 1-1

What is a key reason for bias in AI?

A. Coincidence, there is no bias.

B. Added by humans deliberately.

C. **Training data are biased.**

Q 1-2

How can we solve the fairness problem?

A. Remove bias from training data.

B. Design fair learning methods.

C. Both A and B

Fake Content

Example 1: Fake Obama Video

can make it look like
anyone is saying anything

Example 2: Fake face Images by GAN

- Which are real/fake?

Example 2: Fake face Images by GAN

- Which are real/fake?   https://thispersondoesnotexist.com/

Example 3: fiction Generated by GPT-3

- Completing a prompt from "Harry Potter and the Methods of Rationality":

"… If there were any other monster that could defeat you as easily as that one, then you would have died of it long ago. That monster is stupidity. And that is why, my young apprentices, you must never, never, NEVER use the Killing Curse on anything without a brain!"
Professor Quirrell was now leaning on Harry's desk.
Professor Quirrell stared straight into the eyes of every single student.
"The Killing Curse is too good for something without a brain. You will be fighting brains, or something near enough that makes no real difference. You will not be fighting trolls. You will not be fighting Dementors. The Killing Curse is no tool for anything less than the third most perfect killing machine in all Nature. If you are not prepared to use it against a mountain troll, then you are not prepared to use it at all. Now. Pay attention to yourselves as I cast a simple spell. Listen to your own thoughts as I tell you how stupid you are."
Professor Quirrell started pointing his wand at the ceiling.
  …"

Detecting Fake Content

Fake photos/videos can have drawbacks.

Q 2-1

Which of the following is right?

A. Fake images can have drawbacks so a person can detect them easily.

B. Fake image detection is hard but not impossible.

C. There are no downsides to creating fake content.

Q 2-1

Which of the following is right?

A. Fake images can have drawbacks so a person can detect them easily.

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Privacy

Example 1: Netflix Prize Competition

- Netflix Dataset: 480189 users x 17770 movies

|	movie 1	movie 2	movie 3	movie 4	movie 5	movie 6
Tom	5	?	?	1	3	?
George	?	?	3	1	2	5
Susan	4	3	1	?	5	1
Beth	4	3	?	2	4	2

- The data was released by Netflix in 2006
  - replaced individual names with random numbers
  - moved around personal details, etc

Example 1: Netflix Prize Competition

- [Arvind Narayanan](#) and [Vitaly Shmatikov](#) compared the data with the non-anonymous IMDb users' movie ratings
- Very little information from the database was needed to identify the subscriber
  - simply knowing data about only two movies a user has reviewed allows for 68% re-identification success

Popular framework: Differential Privacy

- The computation is differential private, if removing any data point from the dataset will only change the output very slightly ([paper](#))

- Usually done by adding noise to the dataset
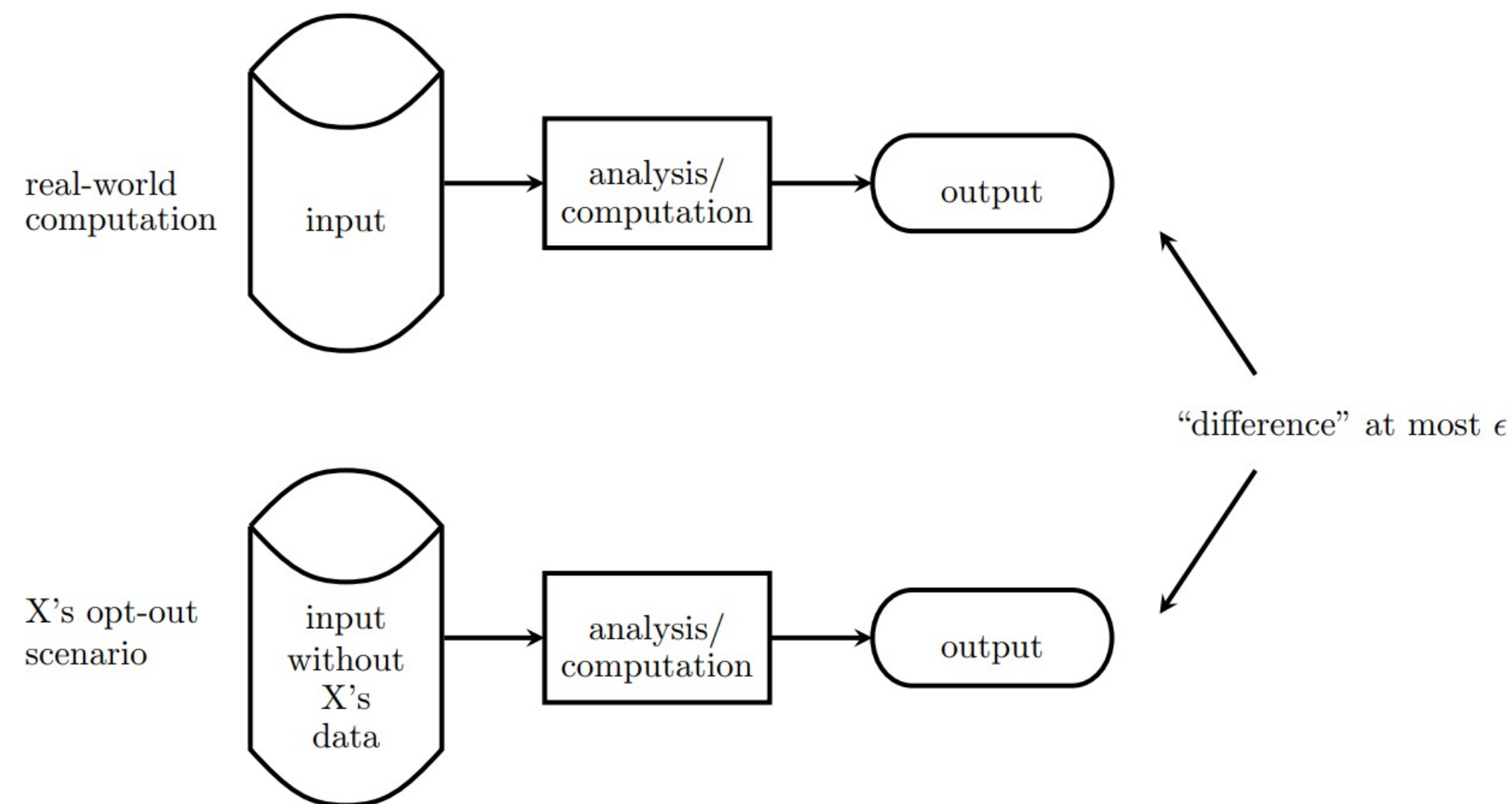
Right to be Forgotten

- The right to request that personally identifiable data be deleted
- E.g., an individual who did something foolish as a teenager doesn't want it to appear in web searches for the name for the rest of the life

Right to be Forgotten

- What if the data has been used in training a deep network?
  - Need to unlearn

- Other issues
  - Multiple copies of the data
  - Data already shared with others
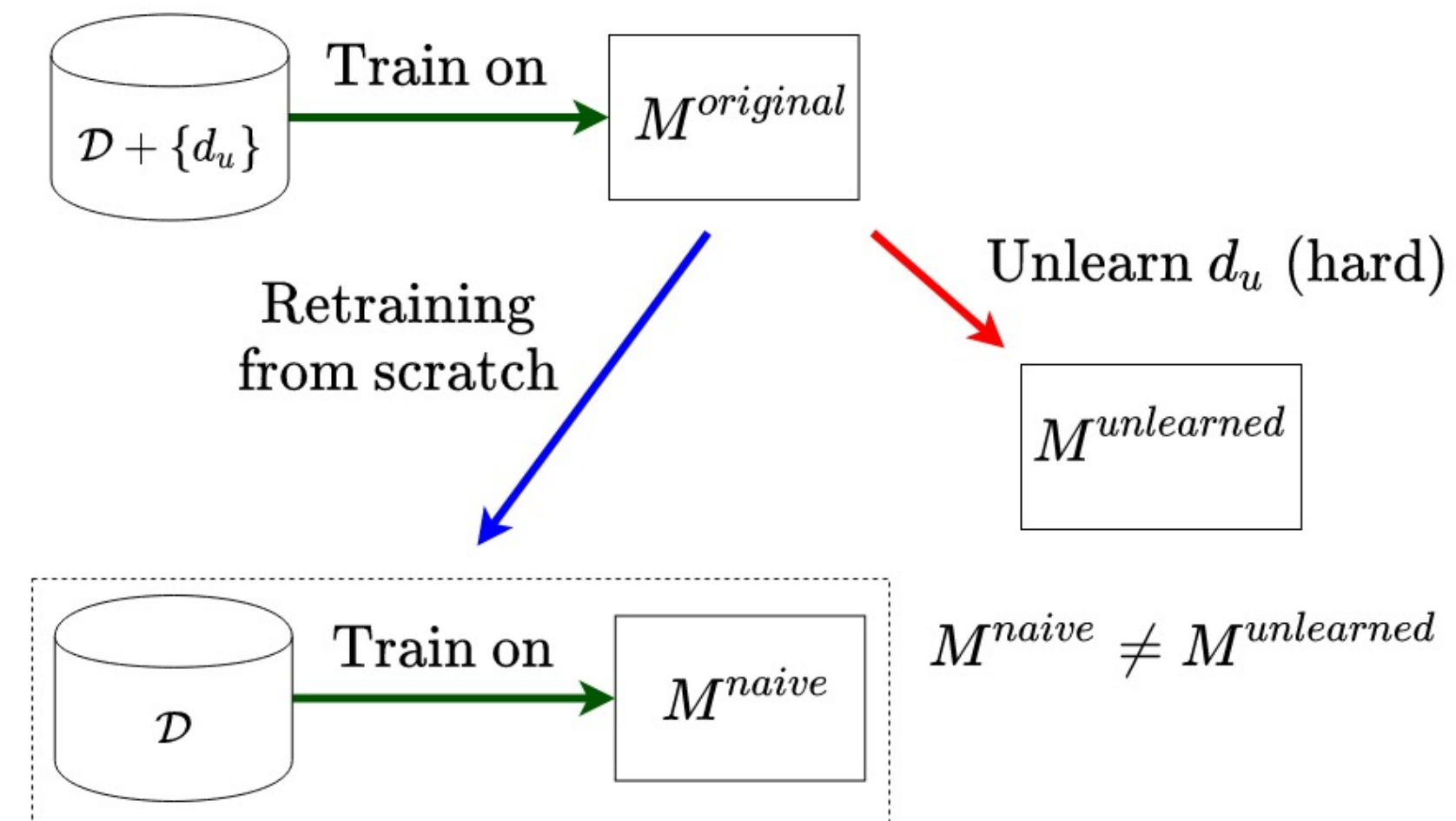
From Link

Q 3-1

Which of the following is correct about privacy?

A. Privacy is of great concern in the current big data era.

B. Big tech companies always protect privacy very well.

C. A and B

Q 3-1

Which of the following is correct about privacy?

A. **Privacy is of great concern in the current big data era.**

B. Big tech companies always protect privacy very well.
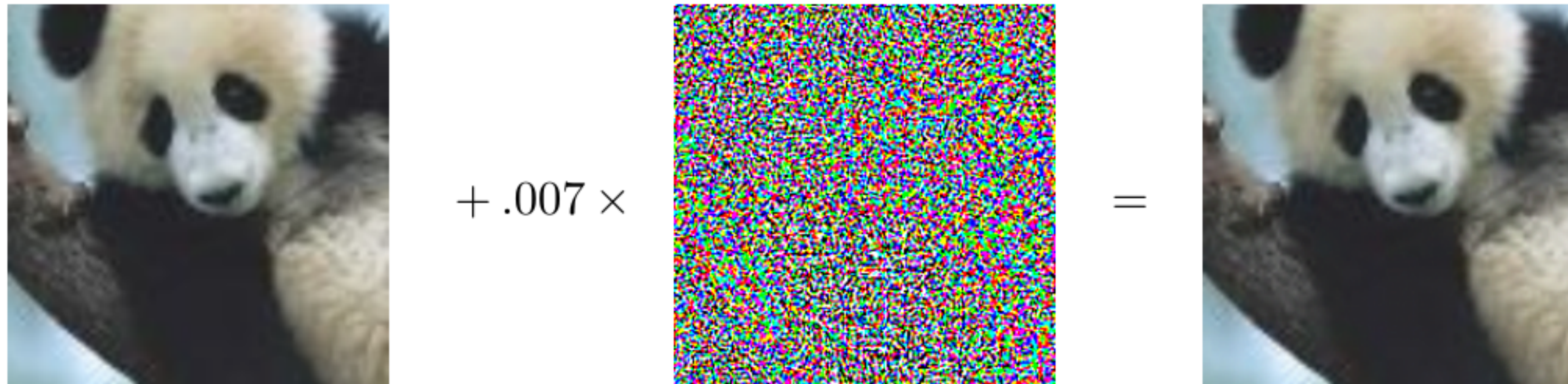
C. A and B

Adversarial Robustness

Adversarial Examples

"Inputs to ML models that an attacker has **intentionally** designed to cause the model to make a mistake"

Adversarial Examples

$$+ .007 \times \qquad = $$

"Adversarial Classification" Dalvi et al 2004: fool spam filter
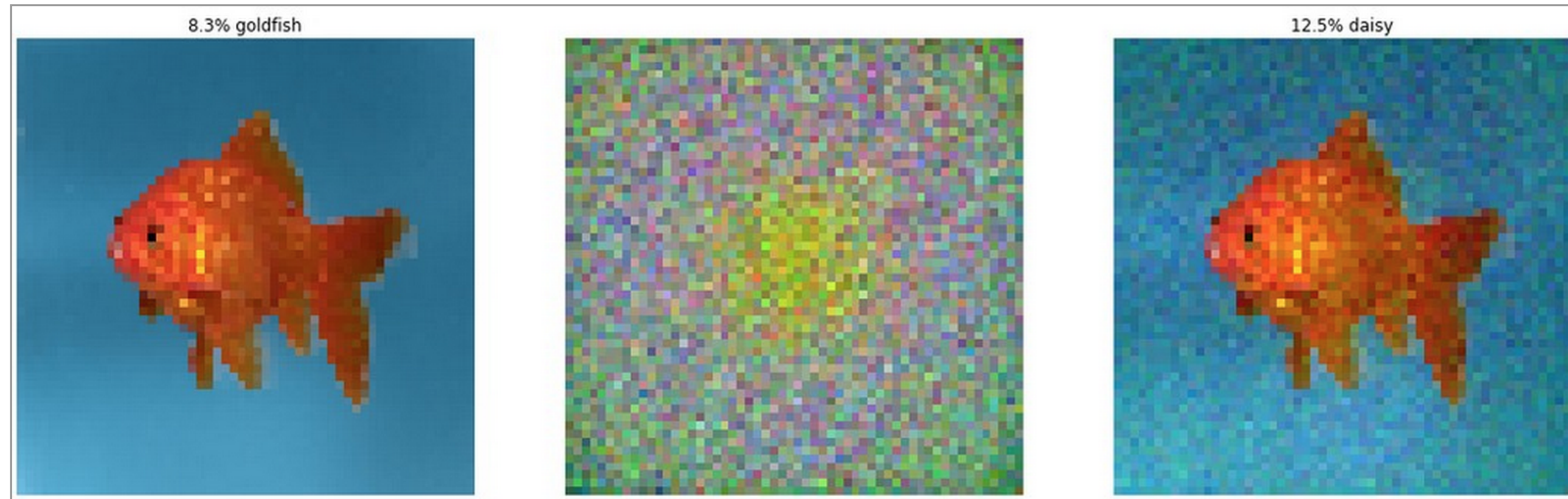"Evasion Attacks Against Machine Learning at Test Time" Biggio 2013: fool neural nets
Szegedy et al 2013: fool ImageNet classifiers imperceptibly
Goodfellow et al 2014: cheap, closed form attack

Not just for neural nets

- Linear models

  - Logistic loss

  - Softmax loss

- Decision trees

- Nearest neighbors

Adversarial Examples
Linear Models of ImageNet

(Andrej Karpathy, "Breaking Linear Classifiers on ImageNet")

Adversarial Examples in NLP
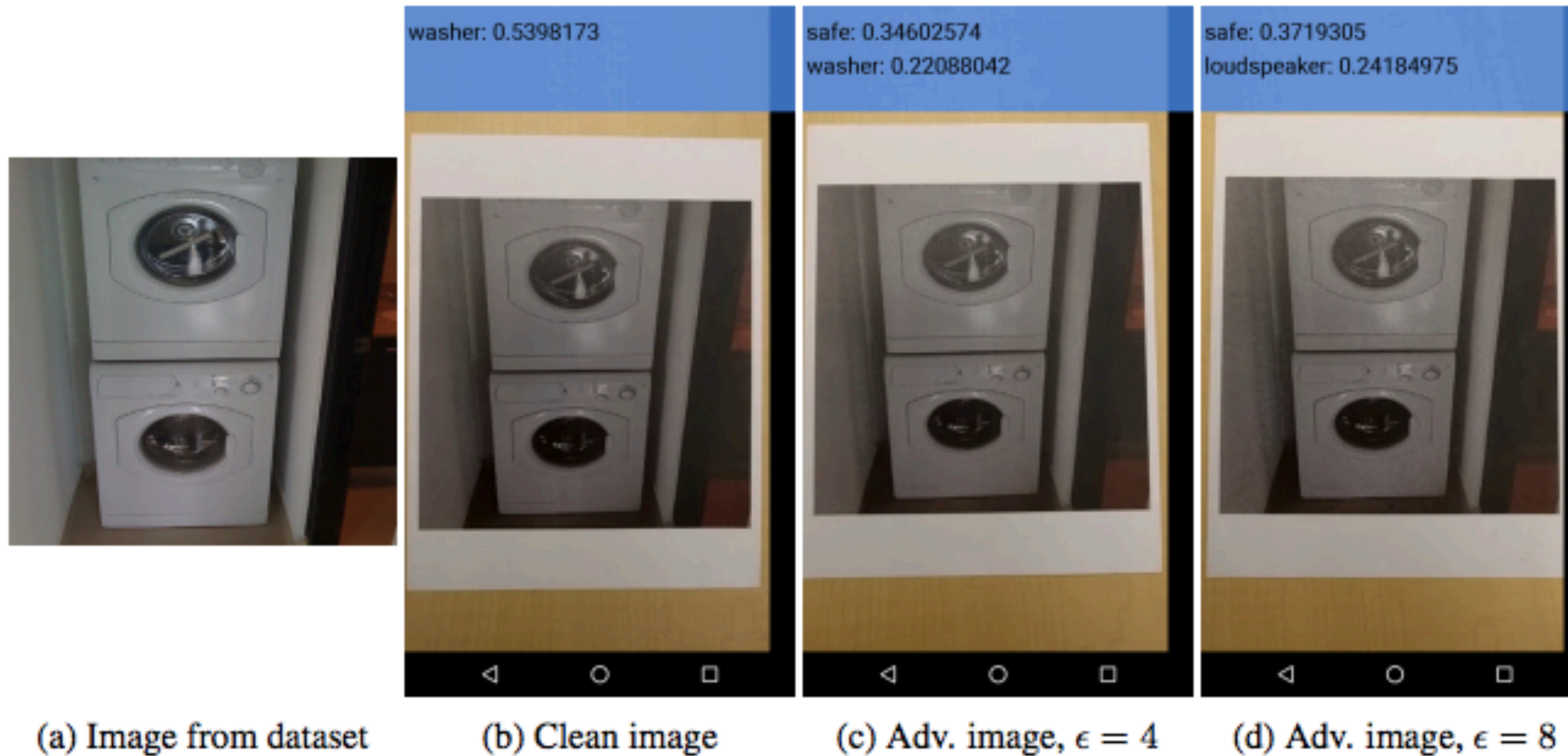
Article: Super Bowl 50

Paragraph: *"Peyton Manning became the first quarterback ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver's Executive Vice President of Football Operations and General Manager. Quarterback Jeff Dean had jersey number 37 in Champ Bowl XXXIV."*

Question: *"What is the name of the quarterback who was 38 in Super Bowl XXXIII?"*
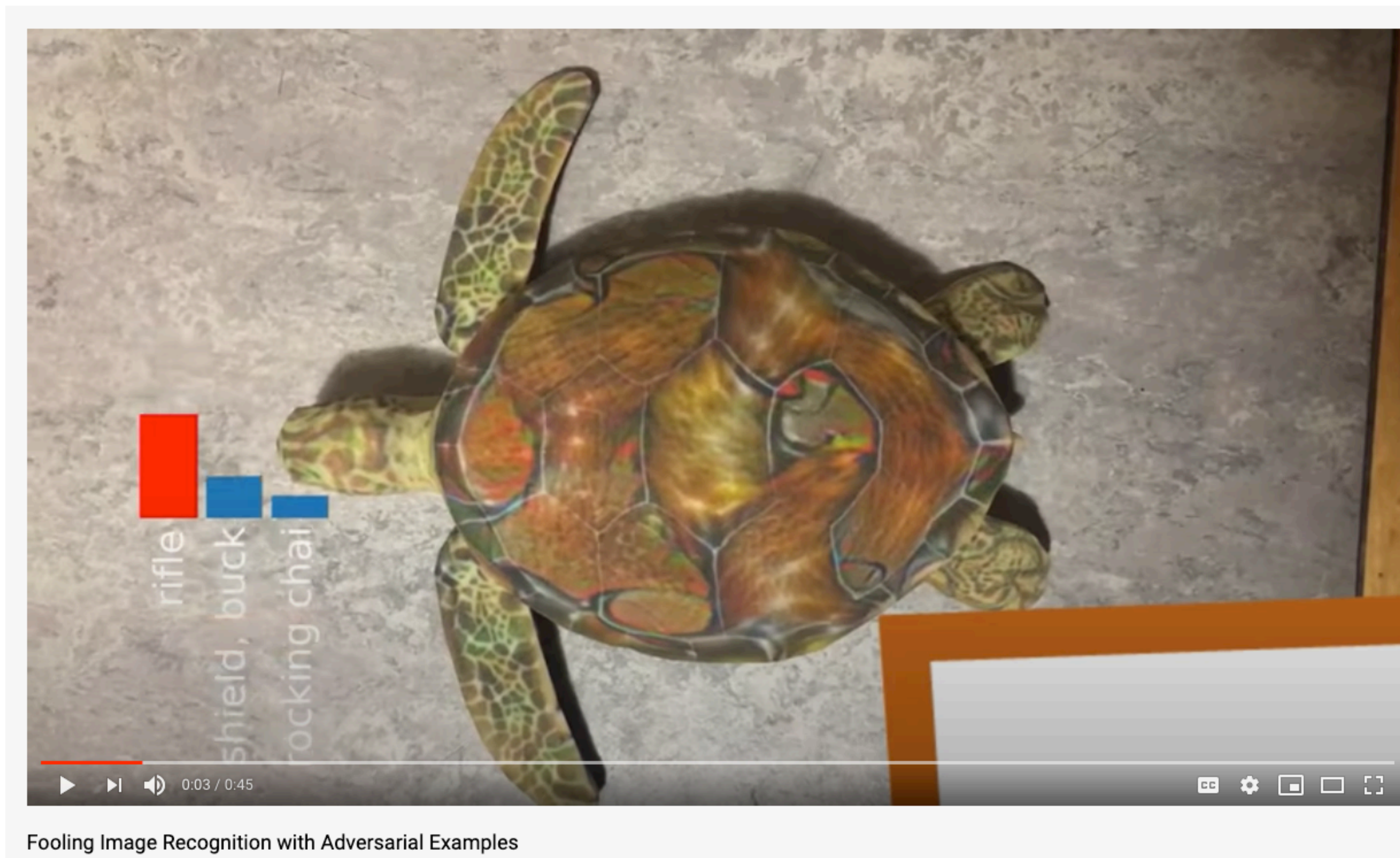
Original Prediction: John Elway

Prediction under adversary: Jeff Dean

[Jia and Liang, 2017]

Adversarial Examples in the Physical World

(a) Image from dataset   (b) Clean image   (c) Adv. image, $\epsilon = 4$ (d) Adv. image, $\epsilon = 8$

(Kurakin et al, 2016)

Adversarial Examples in the Physical World

Fooling Image Recognition with Adversarial Examples

https://www.youtube.com/watch?v=piYnd_wYlT8

Generating Adversarial Examples

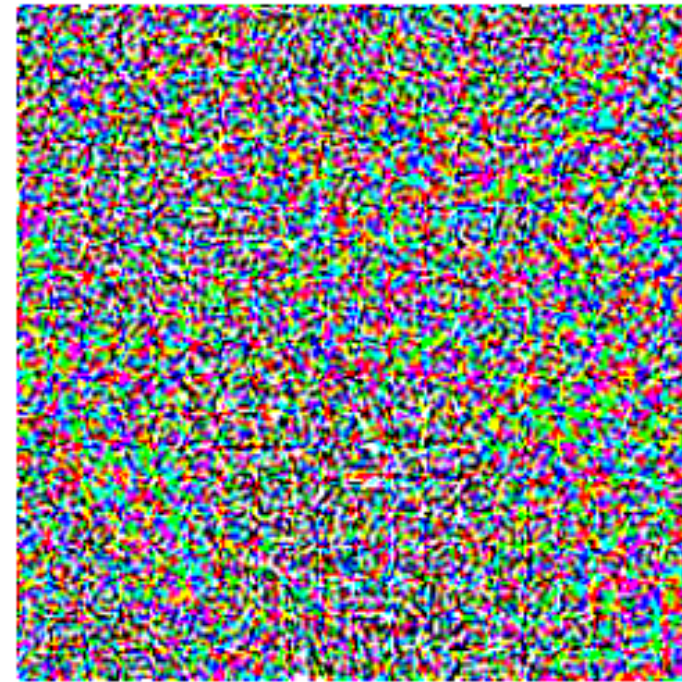Simple approach: Fast Gradient Sign Method (FGSM) [Goodfellow et. al 2014]

$$\boldsymbol{x} \qquad + .007 \times \qquad \text{sign}(\nabla_{\boldsymbol{x}} J(\boldsymbol{\theta}, \boldsymbol{x}, y)) \qquad = \qquad \boldsymbol{x} + \epsilon\text{sign}(\nabla_{\boldsymbol{x}} J(\boldsymbol{\theta}, \boldsymbol{x}, y))$$

"panda"
57.7% confidence

"nematode"
8.2% confidence

"gibbon"
99.3 % confidence

$$||\tilde{\boldsymbol{x}} - \boldsymbol{x}||_\infty \le \epsilon$$

$$\Rightarrow \tilde{\boldsymbol{x}} = \boldsymbol{x} + \epsilon\text{sign}\left(\nabla_{\boldsymbol{x}} J(\boldsymbol{x})\right).$$

Defense: Adversarial Training

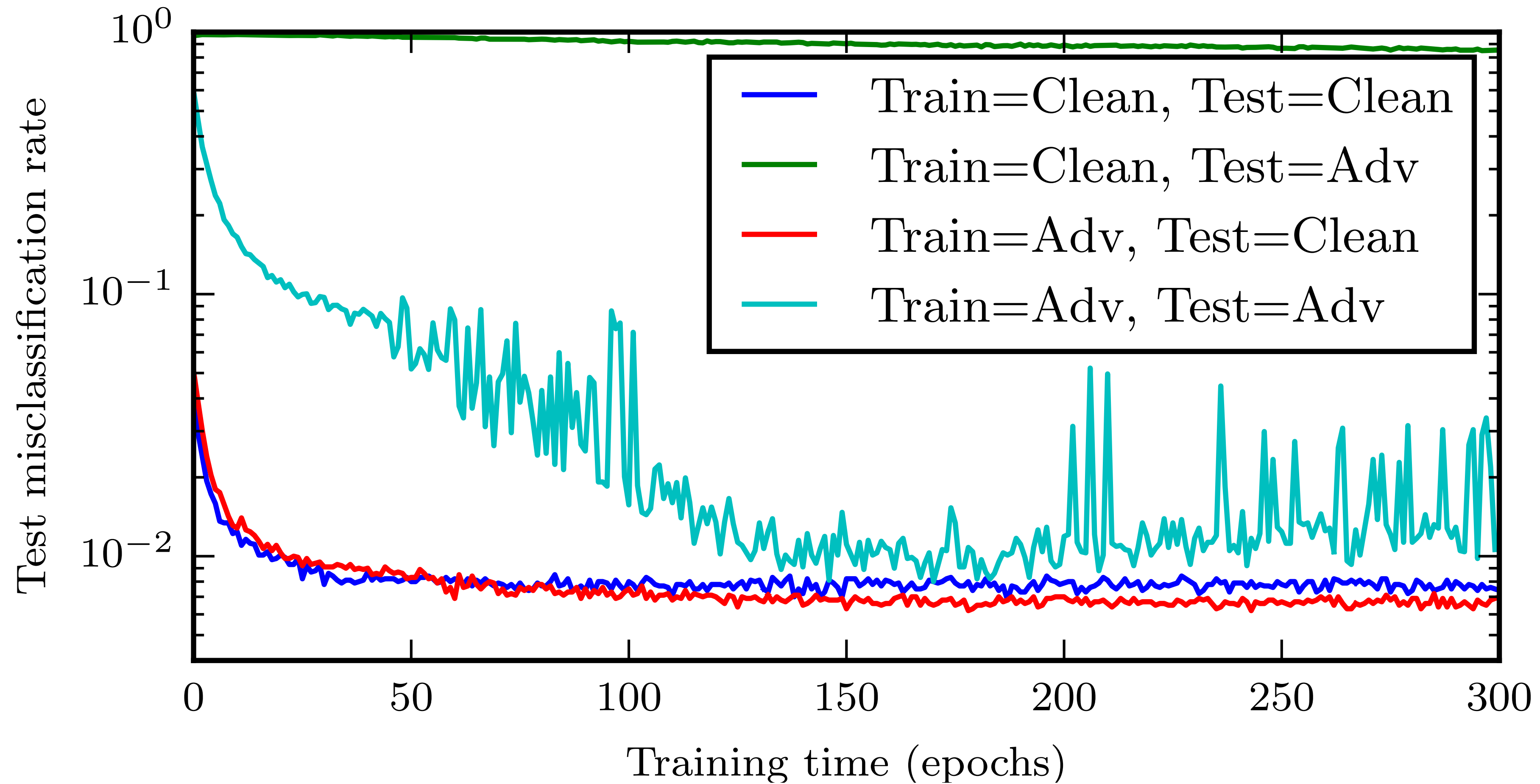Labeled as bird

Still has same label (bird)

Decrease
probability
of bird class

Defense: Adversarial Training

Adversarial training can be viewed as **augmenting** the training data with adversarial examples.

Training on Adversarial Examples

Summary of Topics in Ethics and Trust in AI

- Bias and Fairness

- Fake Content

- Adversarial robustness

- Privacy

Acknowledgement: