



CS540 Introduction to Artificial Intelligence

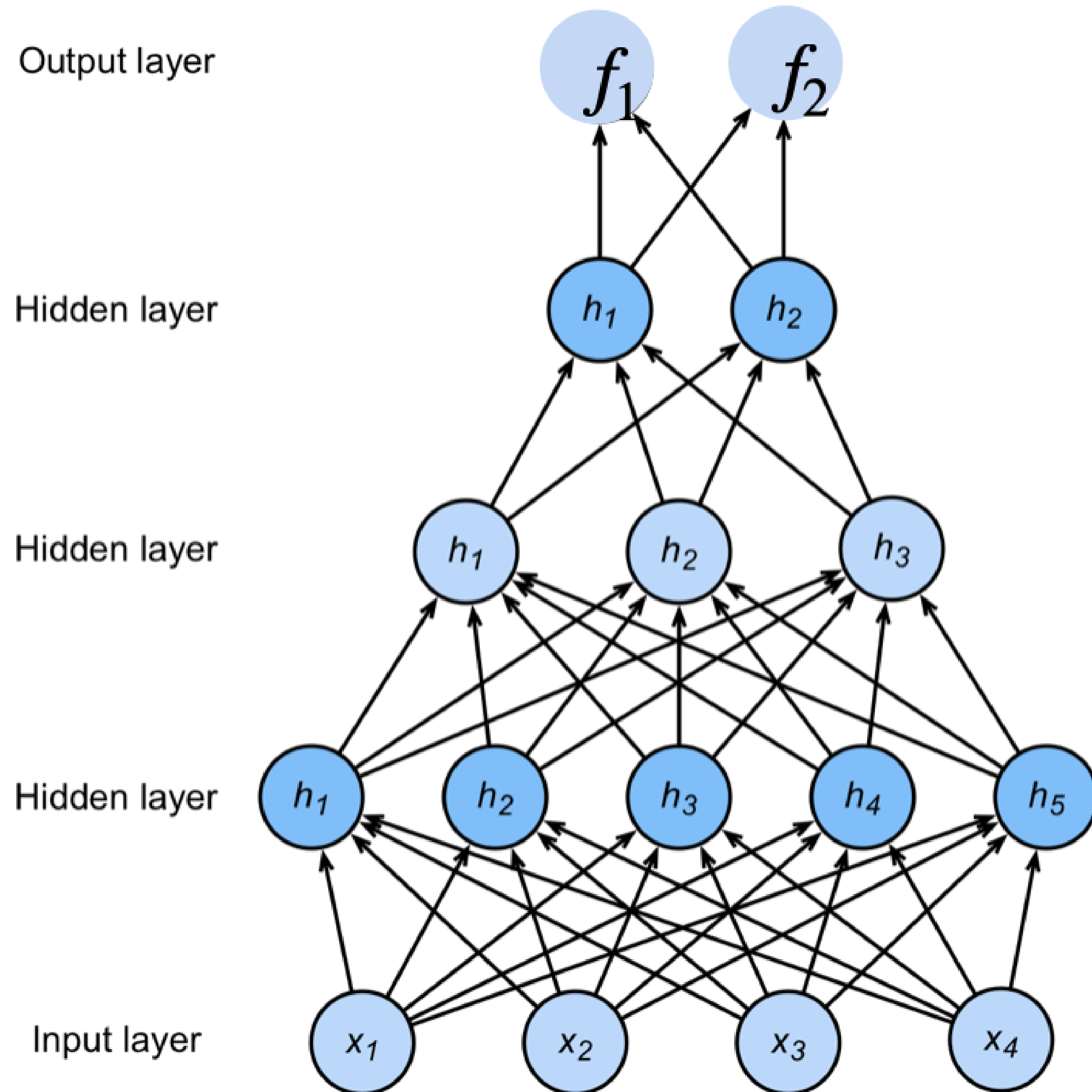
Deep Learning I: Convolutional Neural Networks

University of Wisconsin-Madison

Outline

- Intro of convolutional computations
 - 2D convolution
 - Padding, stride
 - Multiple input and output channels
 - Pooling

Review: Deep Neural Networks



$$\mathbf{h}_1 = \sigma(\mathbf{W}^{(1)}\mathbf{x} + \mathbf{b}^{(1)})$$

$$\mathbf{h}_2 = \sigma(\mathbf{W}^{(2)}\mathbf{h}_1 + \mathbf{b}^{(2)})$$

$$\mathbf{h}_3 = \sigma(\mathbf{W}^{(3)}\mathbf{h}_2 + \mathbf{b}^{(3)})$$

$$\mathbf{f} = \mathbf{W}^{(4)}\mathbf{h}_3 + \mathbf{b}^{(4)}$$

$$\mathbf{p} = \text{softmax}(\mathbf{f})$$

**NNs are composition
of nonlinear
functions**

How to classify Cats vs. dogs?

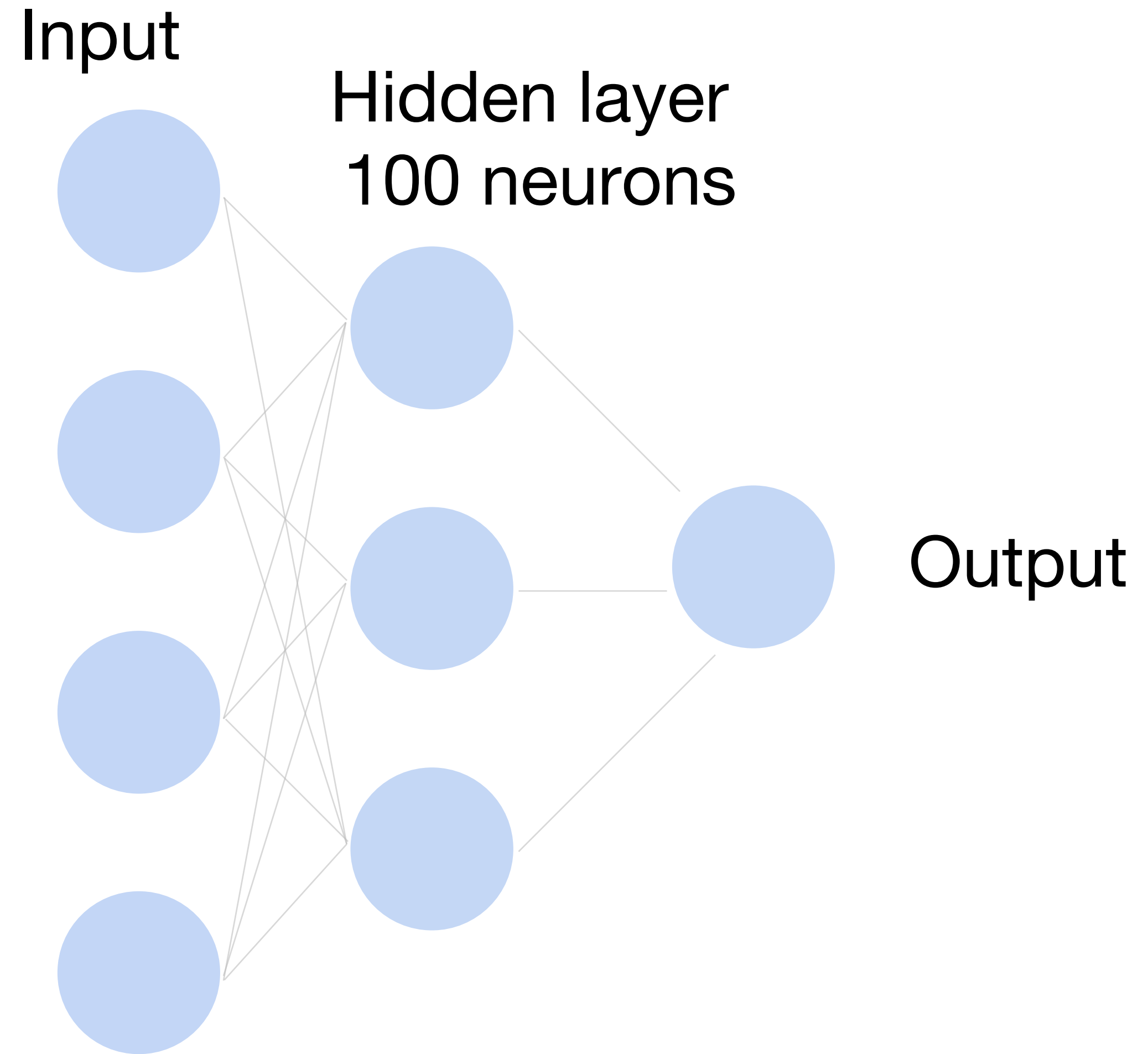


Dual
12MP
wide-angle and
telephoto cameras

36M floats in a RGB image!

Fully Connected Networks

Cats vs. dogs?



~ 36M elements x 100 = ~**3.6B** parameters!

Convolutions come to rescue!

Where is
Waldo?



Why Convolution?

- Translation Invariance
- Locality



2-D Convolution

Input

0	1	2
3	4	5
6	7	8

*

Kernel

0	1
2	3

=

Output

19	25
37	43

$$0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3 = 19$$

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

Kernel

0	1
2	3

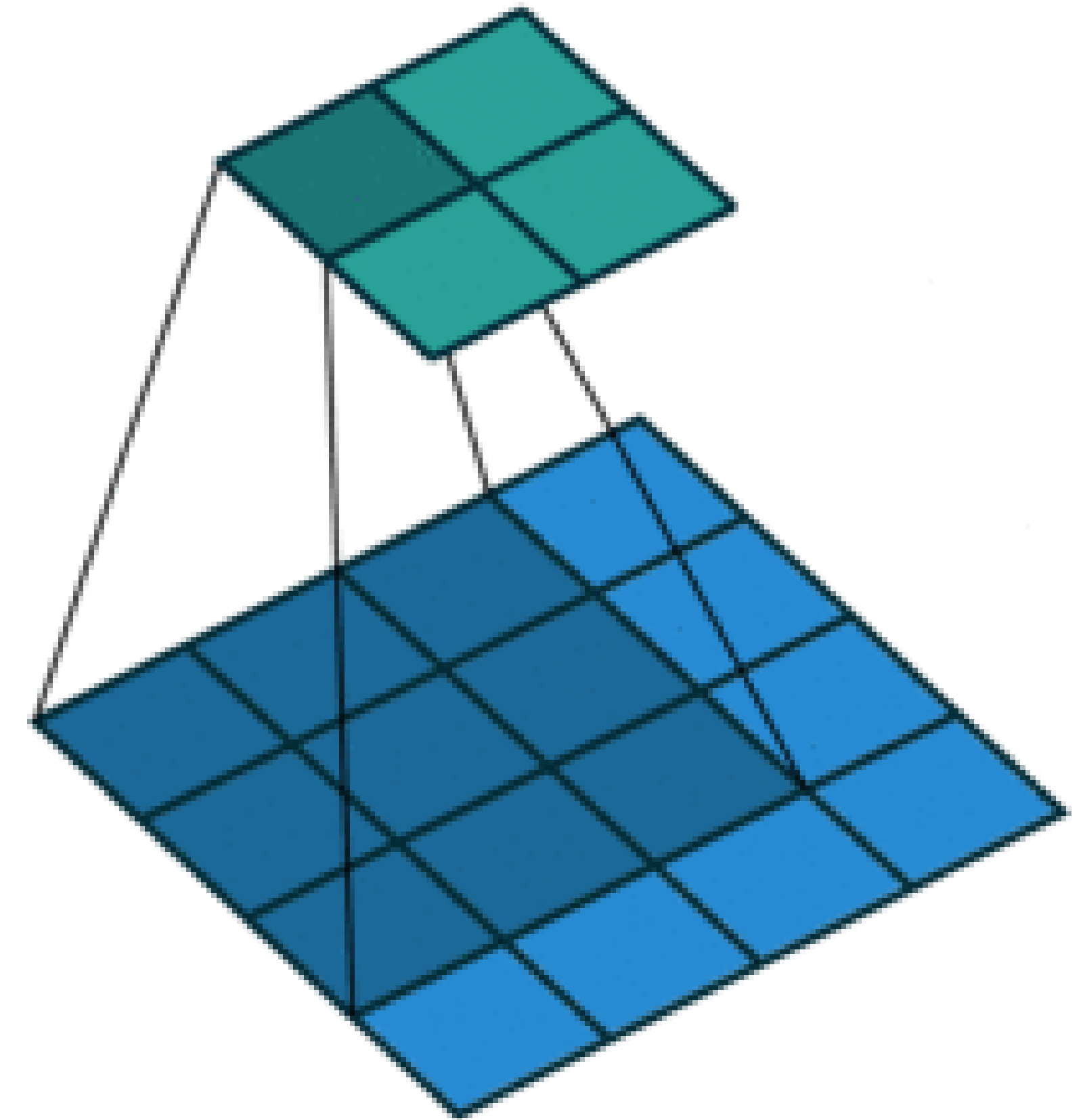
*

=

Output

19	25
37	43

$$0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3 = 19$$



(vdumoulin@ Github)

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

Kernel

0	1
2	3

*

=

Output

19	25
37	43

$$1 \times 0 + 2 \times 1 + 4 \times 2 + 5 \times 3 = 25$$

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

*

Kernel

0	1
2	3

=

Output

19	25
37	43

$$3 \times 0 + 4 \times 1 + 6 \times 2 + 7 \times 3 = 37$$

2-D Convolution

Input

0	1	2
3	4	5
6	7	8

*

Kernel

0	1
2	3

=

Output

19	25
37	43

$$4 \times 0 + 5 \times 1 + 7 \times 2 + 8 \times 3 = 43$$

2-D Convolution Layer

0	1	2
3	4	5
6	7	8

 *

0	1
2	3

 =

19	25
37	43

- **X**: $n_h \times n_w$ input matrix
- **W**: $k_h \times k_w$ kernel matrix
- **Y**: $(n_h - k_h + 1) \times (n_w - k_w + 1)$ output matrix

$$\mathbf{Y} = \mathbf{X} * \mathbf{W}$$

2-D Convolution Layer

0	1	2
3	4	5
6	7	8

 *

0	1
2	3

 + 1 =

20	26
38	44

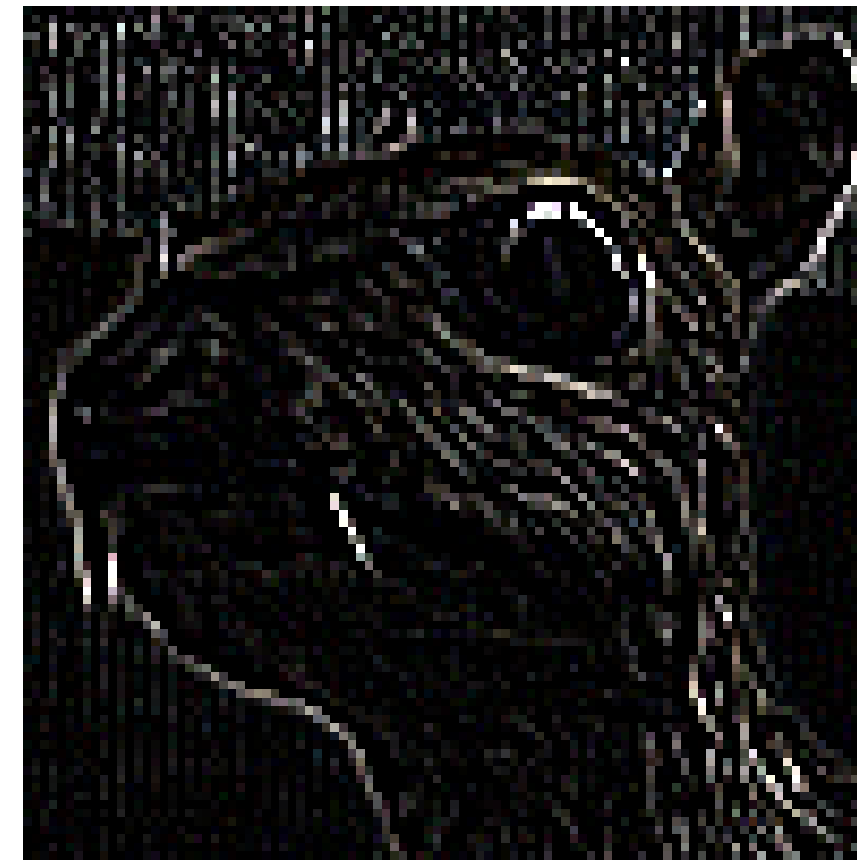
- **X**: $n_h \times n_w$ input matrix
- **W**: $k_h \times k_w$ kernel matrix
- **b**: scalar bias
- **Y**: $(n_h - k_h + 1) \times (n_w - k_w + 1)$ output matrix

$$\mathbf{Y} = \mathbf{X} * \mathbf{W} + \mathbf{b}$$

- **W** and **b** are learnable parameters

Examples

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



Edge Detection



(wikipedia)

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



Sharpen

$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$



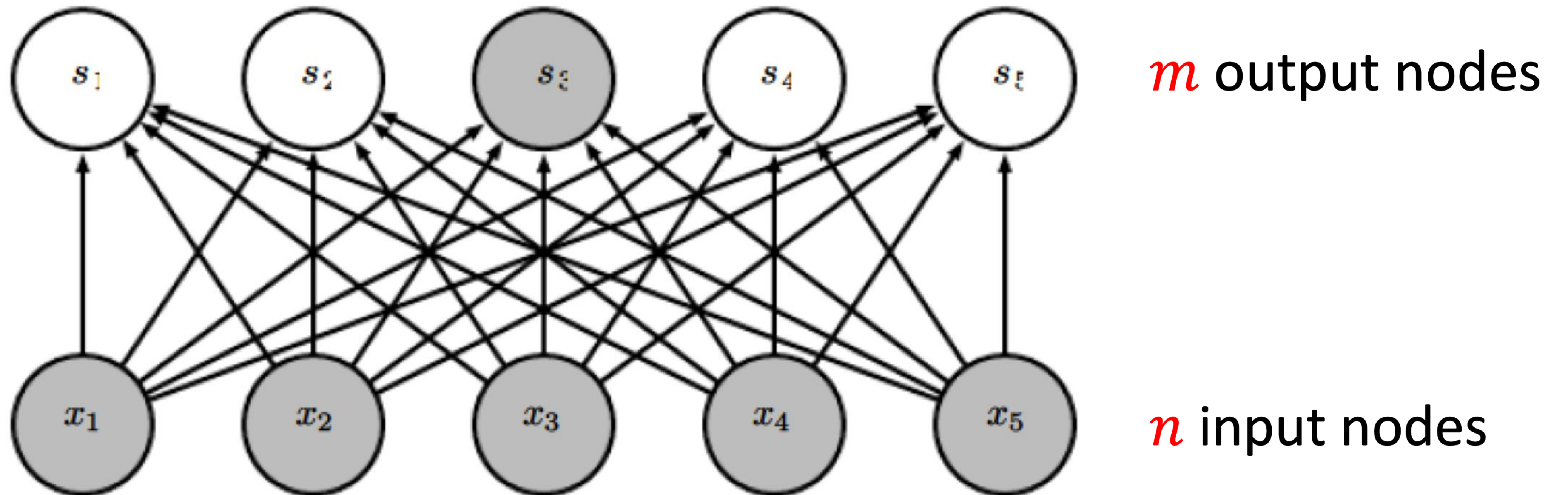
Gaussian Blur

Convolutional Neural Networks

- Strong empirical application performance
- Convolutional networks: neural networks that use convolution in place of general matrix multiplication in at least one of their layers

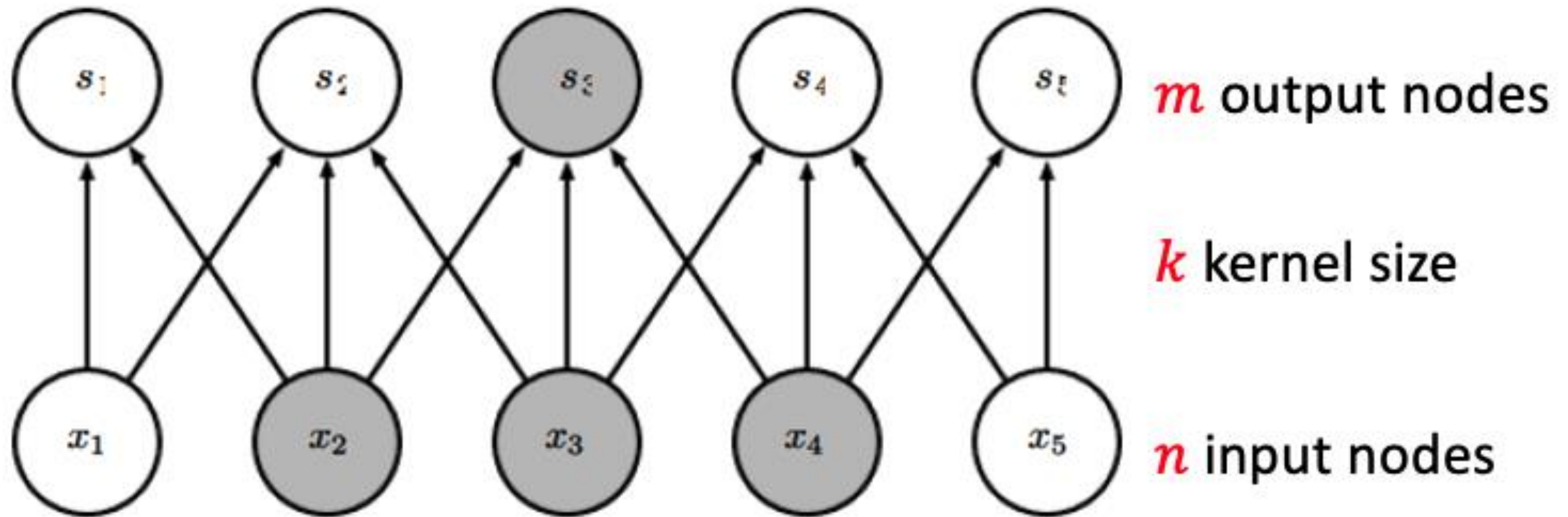
Advantage: sparse interaction

Fully connected layer, $m \times n$ edges



Advantage: sparse interaction

Convolutional layer, $\leq m \times k$ edges



Q1. Suppose we want to perform convolution as follows. What's the output?

0	1	2
3	4	5
6	7	8

*

0	1
1	-1

+ 1 = ?

A.

1	2
4	5

A.

1	2
3	4

A.

1	3
3	5

0	1
3	4

Q1. Suppose we want to perform convolution as follows. What's the output?

0	1	2
3	4	5
6	7	8

*

0	1
1	-1

+ 1

=

1	2
4	5

A.

1	2
4	5

B.

1	2
3	4

B.

1	3
3	5

0	1
3	4

$$0 \times 0 + 1 \times 1 + 3 \times 1 + 4 \times (-1) + 1 = 1$$

$$1 \times 0 + 2 \times 1 + 4 \times 1 + 5 \times (-1) + 1 = 2$$

$$3 \times 0 + 4 \times 1 + 6 \times 1 + 7 \times (-1) + 1 = 4$$

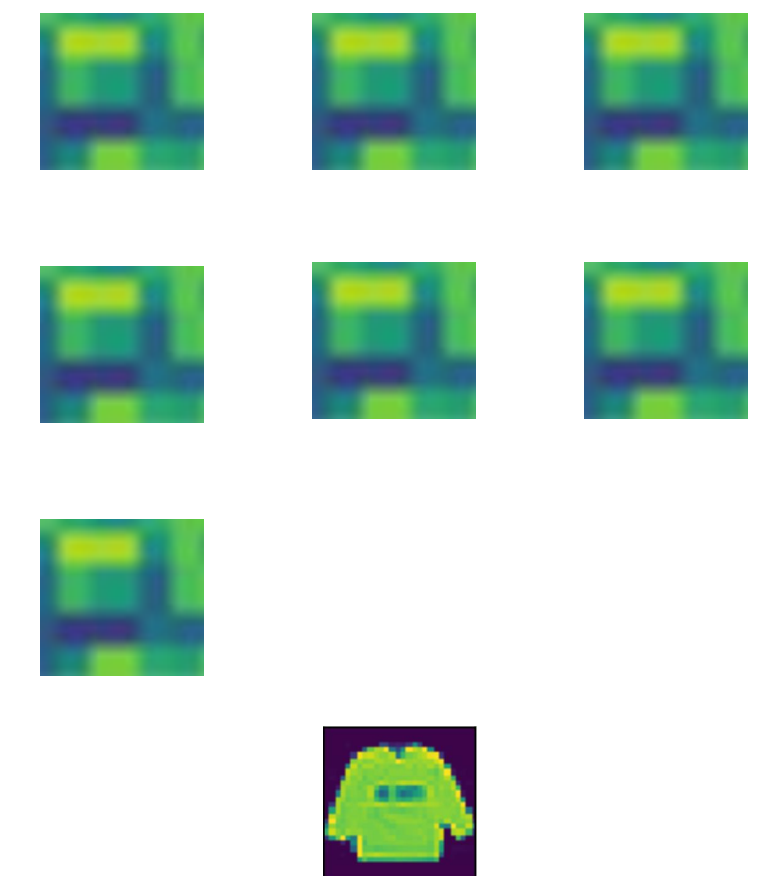
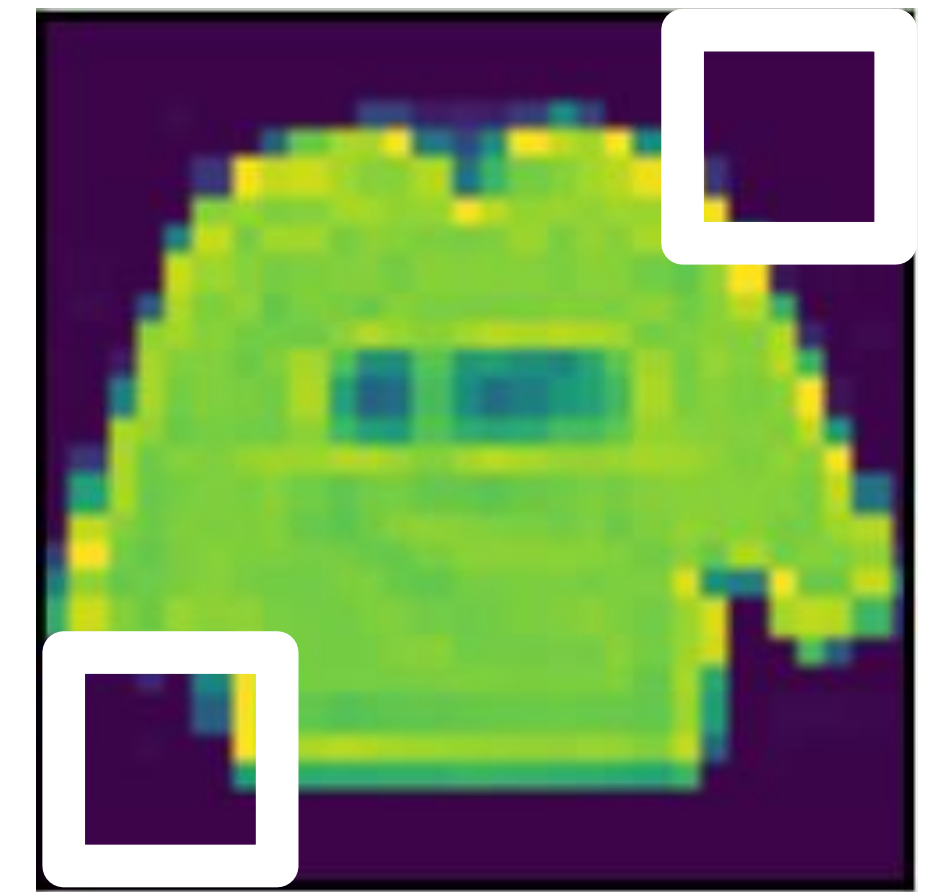
$$4 \times 0 + 5 \times 1 + 7 \times 1 + 8 \times (-1) + 1 = 5$$



Padding and Stride

Padding

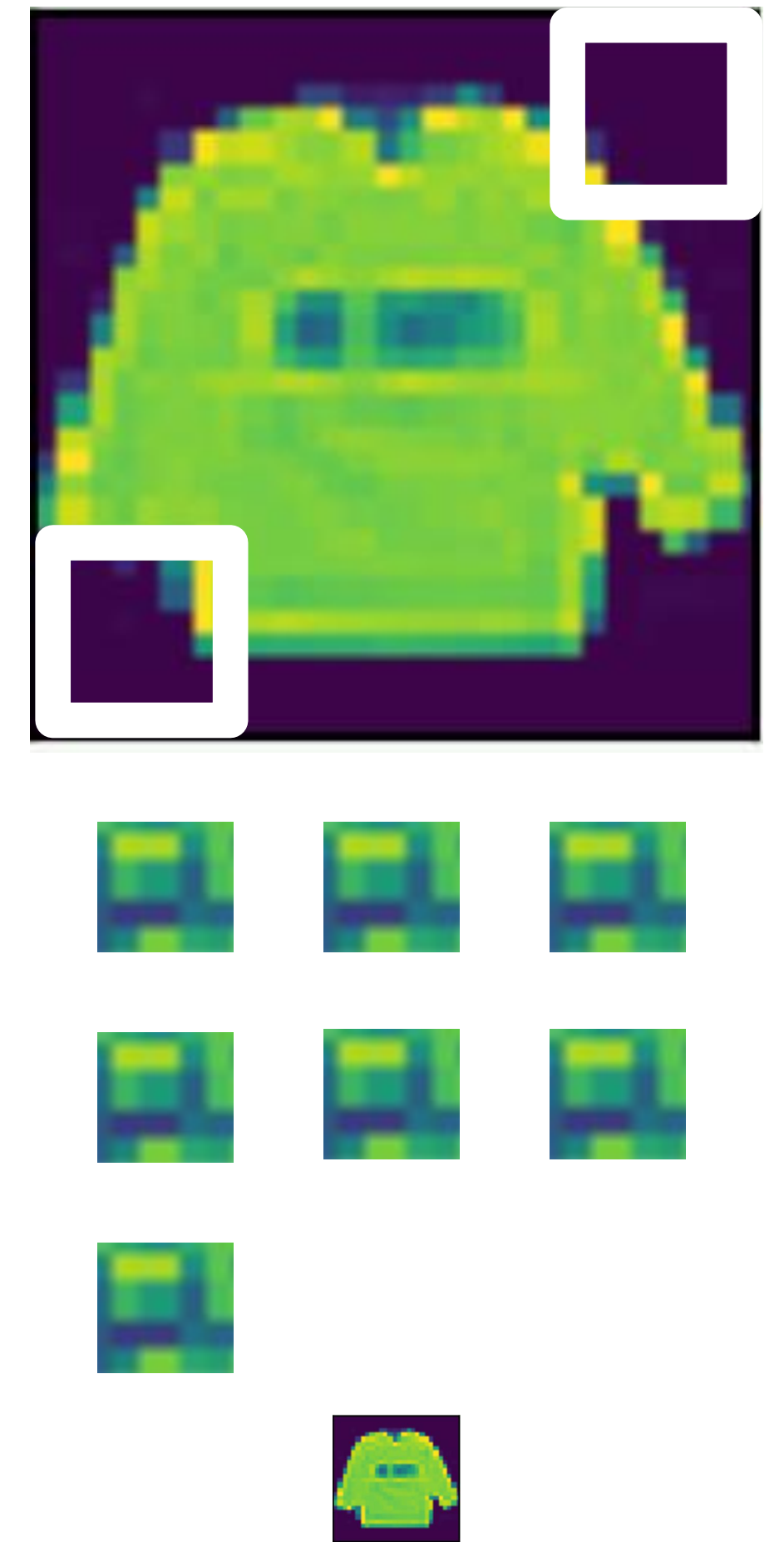
- Given a 32 x 32 input image
- Apply convolution with 5 x 5 kernel
 - 28 x 28 output with 1 layer
 - 4 x 4 output with 7 layers



Padding

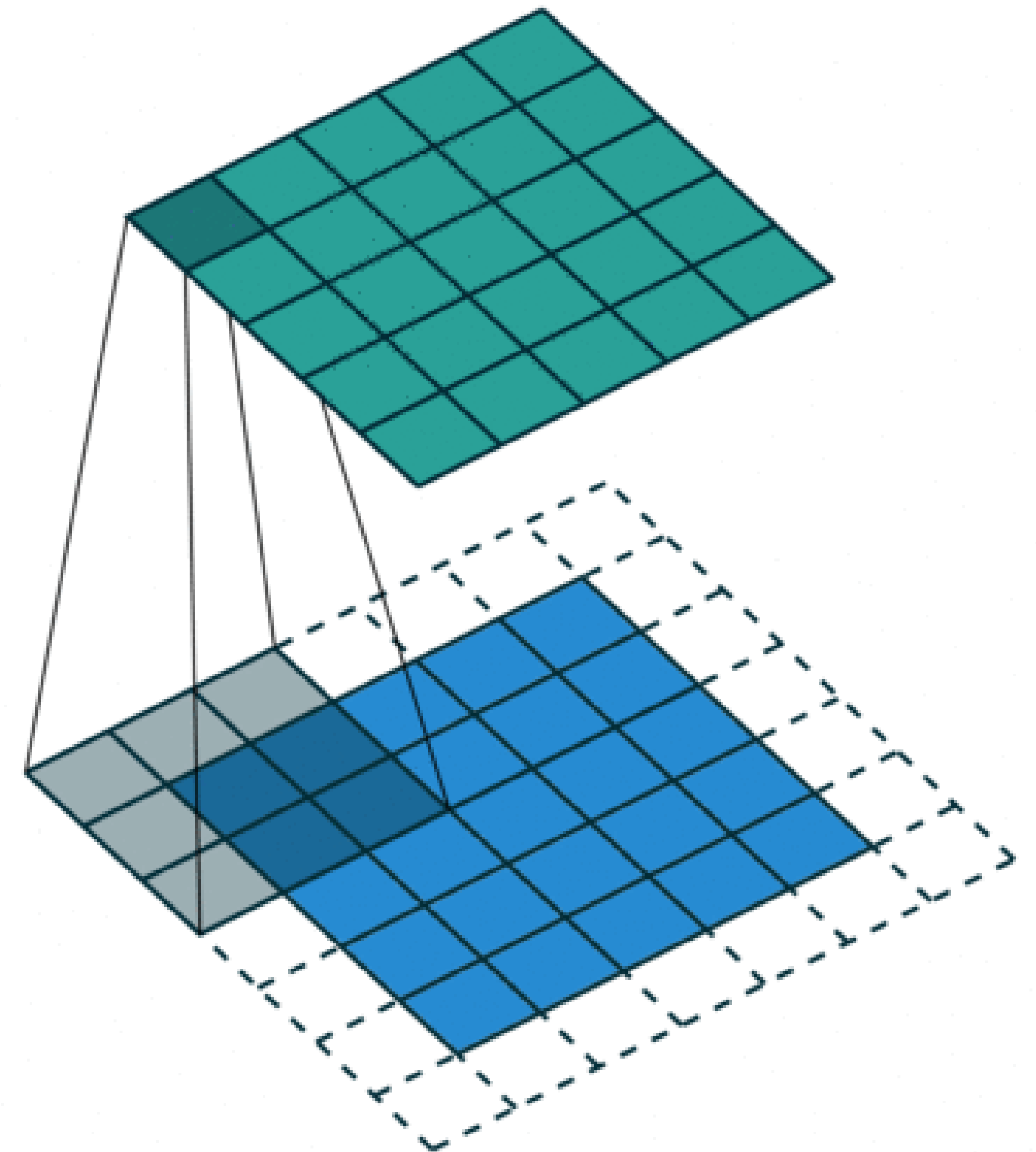
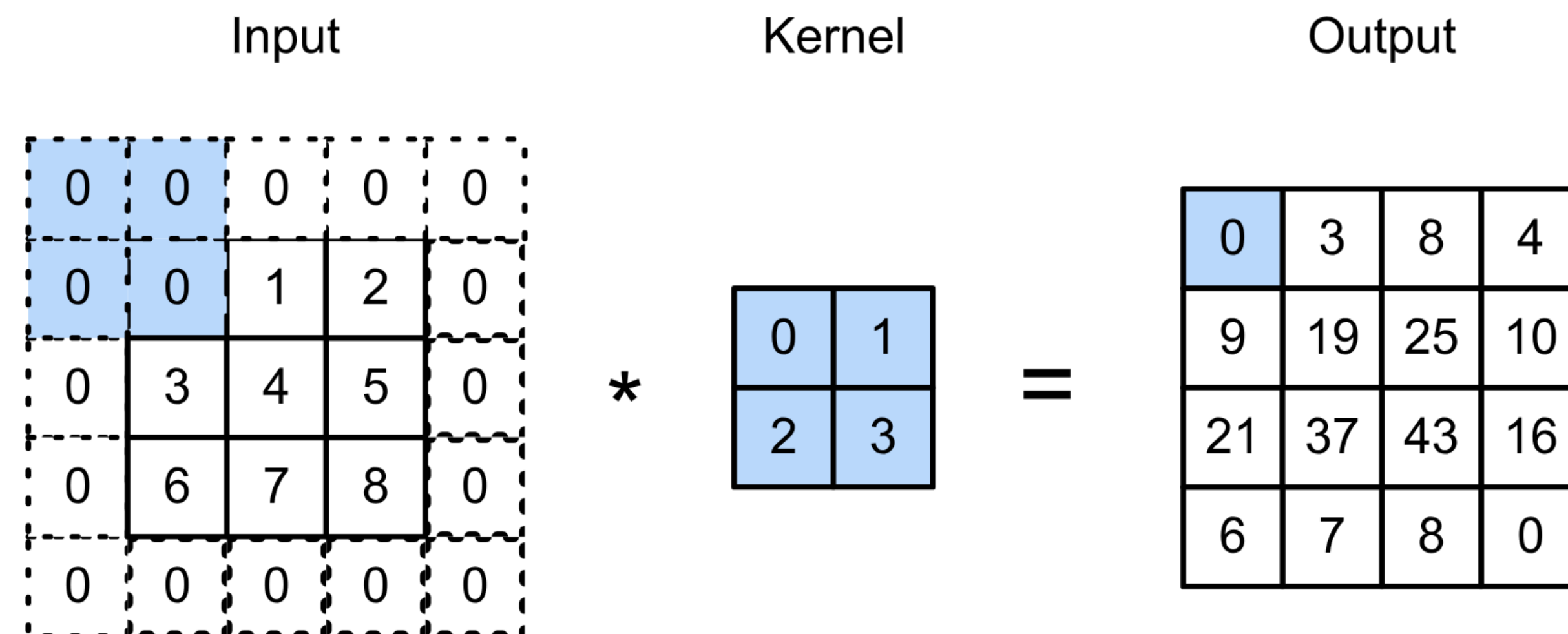
- Given a 32 x 32 input image
- Apply convolution with 5 x 5 kernel
 - 28 x 28 output with 1 layer
 - 4 x 4 output with 7 layers
- Shape decreases faster with larger kernels
- Shape reduces from $n_h \times n_w$ to

$$(n_h - k_h + 1) \times (n_w - k_w + 1)$$



Convolutional Layers: Padding

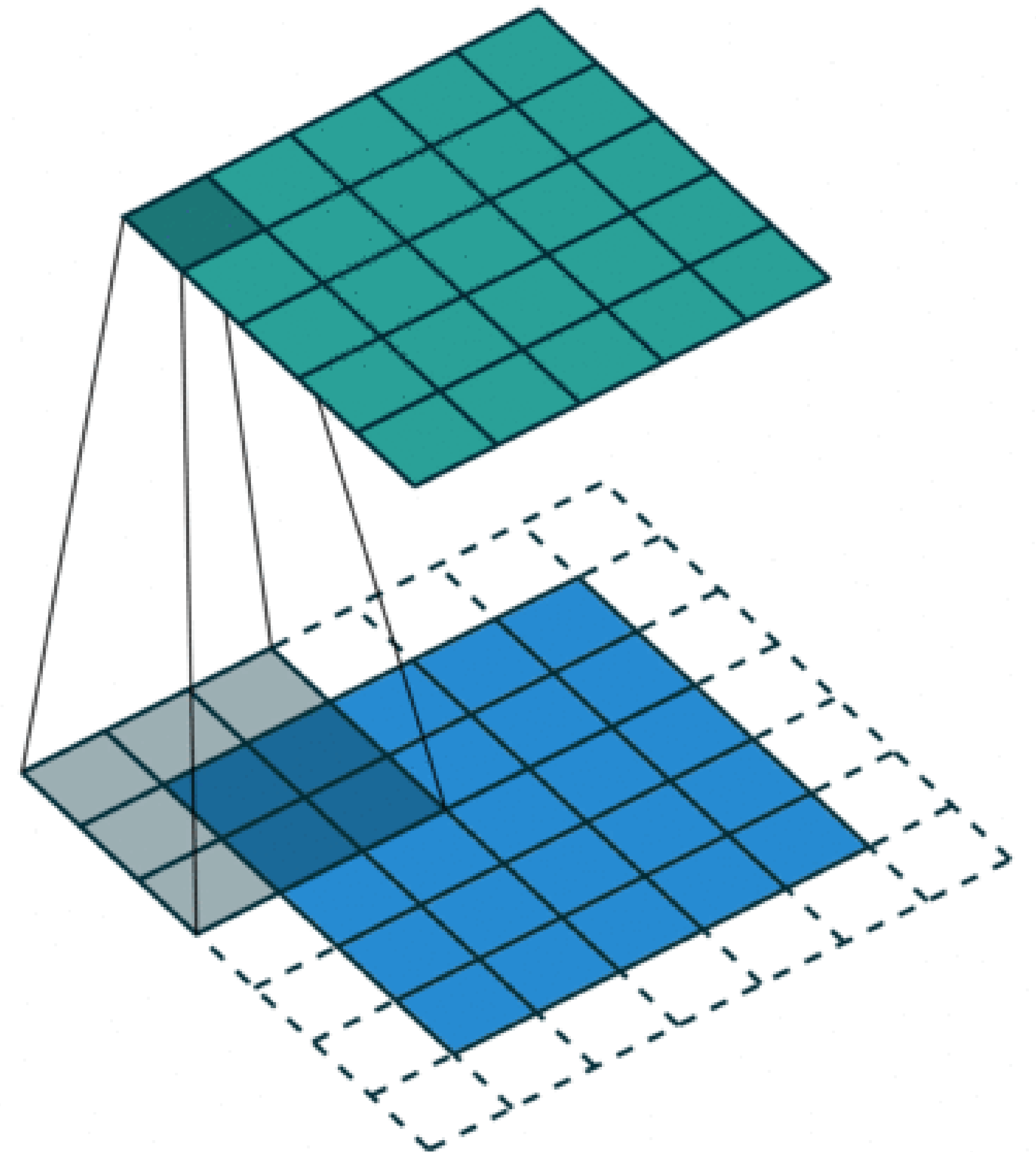
Padding adds rows/columns around input



Convolutional Layers: Padding

Padding adds rows/columns around input

- Why?
 1. Keeps **edge information**
 2. Preserves sizes / allows deep networks
 - ie, for a 32x32 input image, 5x5 kernel, after 1 layer, get 28x28, after 7 layers, **only 4x4**
 3. Can combine different filter sizes



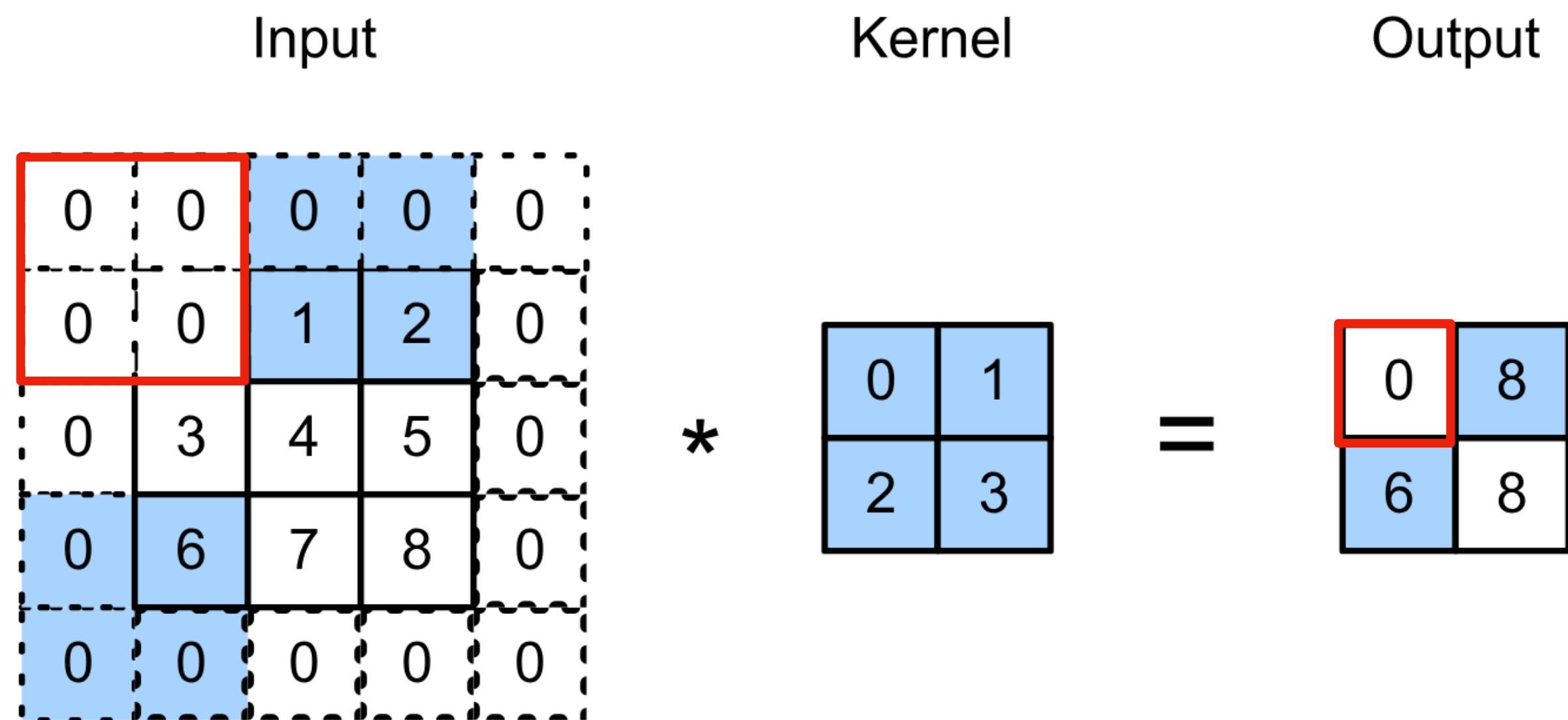
Convolutional Layers: Padding

- Padding p_h rows and p_w columns, output shape is
$$(n_h - k_h + p_h + 1) \times (n_w - k_w + p_w + 1)$$
- Common choice is $p_h = k_h - 1$ and $p_w = k_w - 1$
 - Odd k_h : pad $p_h/2$ on both sides
 - Even k_h : pad $\text{ceil}(p_h/2)$ on top, $\text{floor}(p_h/2)$ on bottom

Stride

- Stride is the #rows/#columns per slide

Strides of 3 and 2 for height and width



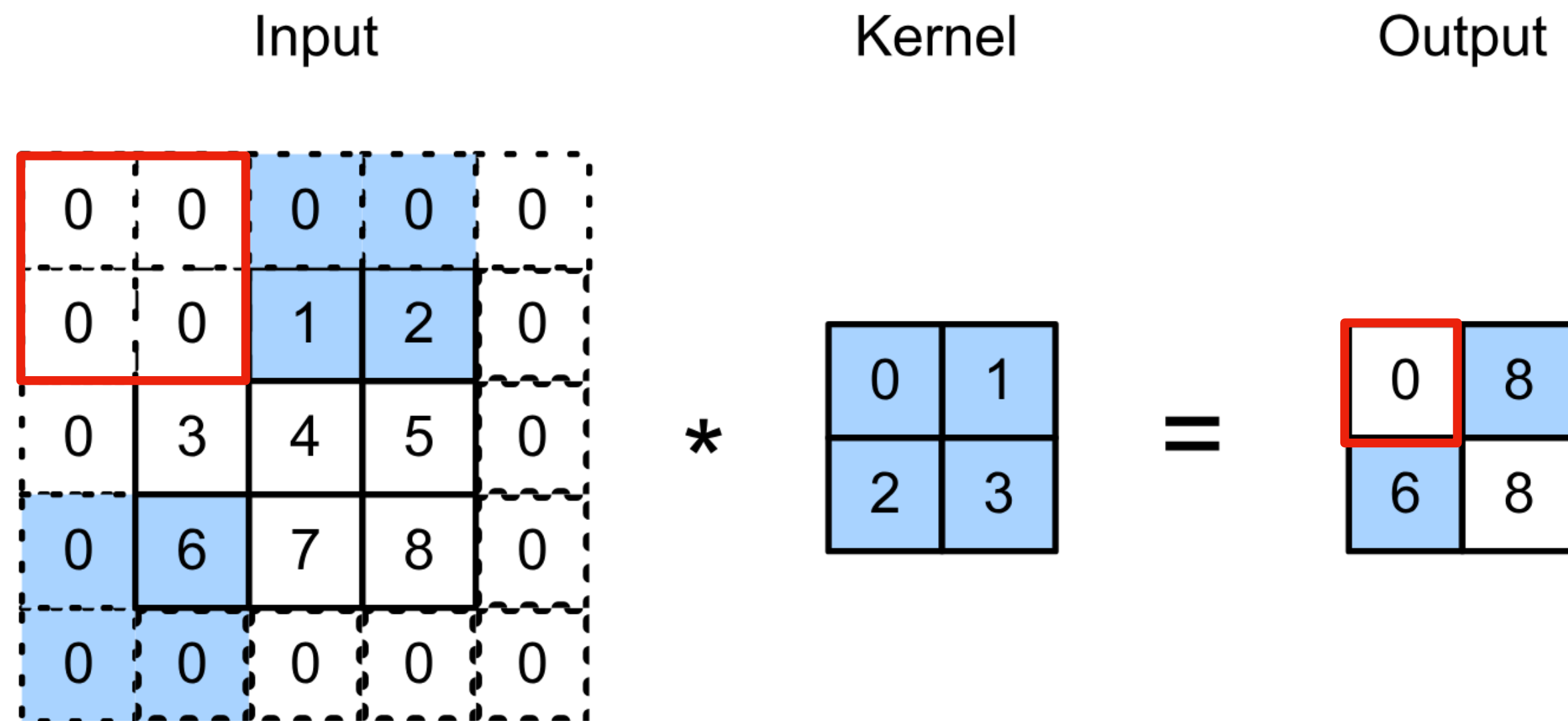
$$0 \times 0 + 0 \times 1 + 1 \times 2 + 2 \times 3 = 8$$

$$0 \times 0 + 6 \times 1 + 0 \times 2 + 0 \times 3 = 6$$

Stride

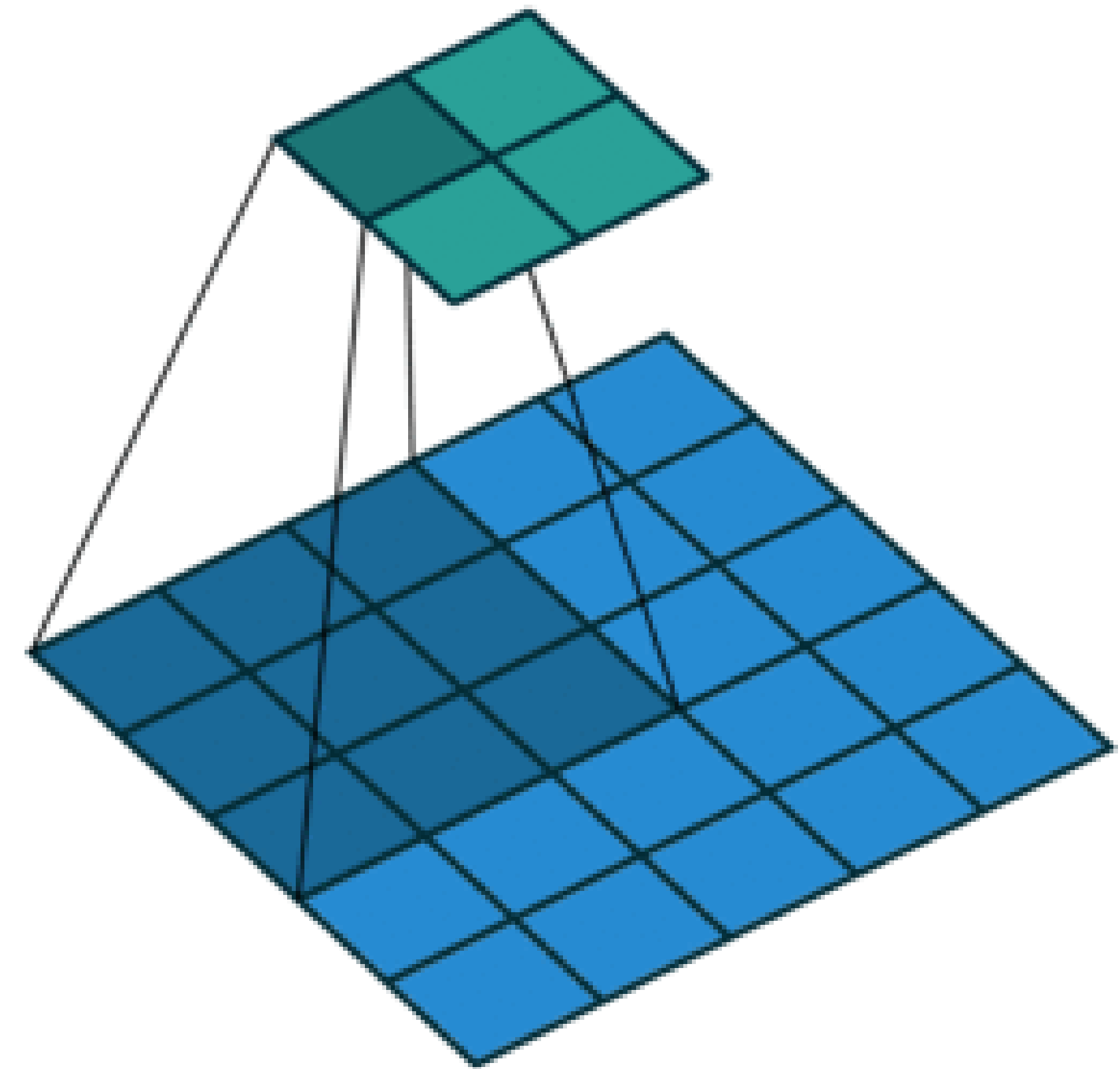
- Stride is the #rows/#columns per slide

Strides of 3 and 2 for height and width



$$0 \times 0 + 0 \times 1 + 1 \times 2 + 2 \times 3 = 8$$

$$0 \times 0 + 6 \times 1 + 0 \times 2 + 0 \times 3 = 6$$



Stride 2,2

Convolutional Layers: Stride

- Given stride s_h for the height and stride s_w for the width, the output shape is

$$\lfloor (n_h - k_h + p_h + s_h) / s_h \rfloor \times \lfloor (n_w - k_w + p_w + s_w) / s_w \rfloor$$

- Set $p_h = k_h - 1$, $p_w = k_w - 1$, then get

$$\lfloor (n_h + s_h - 1) / s_h \rfloor \times \lfloor (n_w + s_w - 1) / s_w \rfloor$$

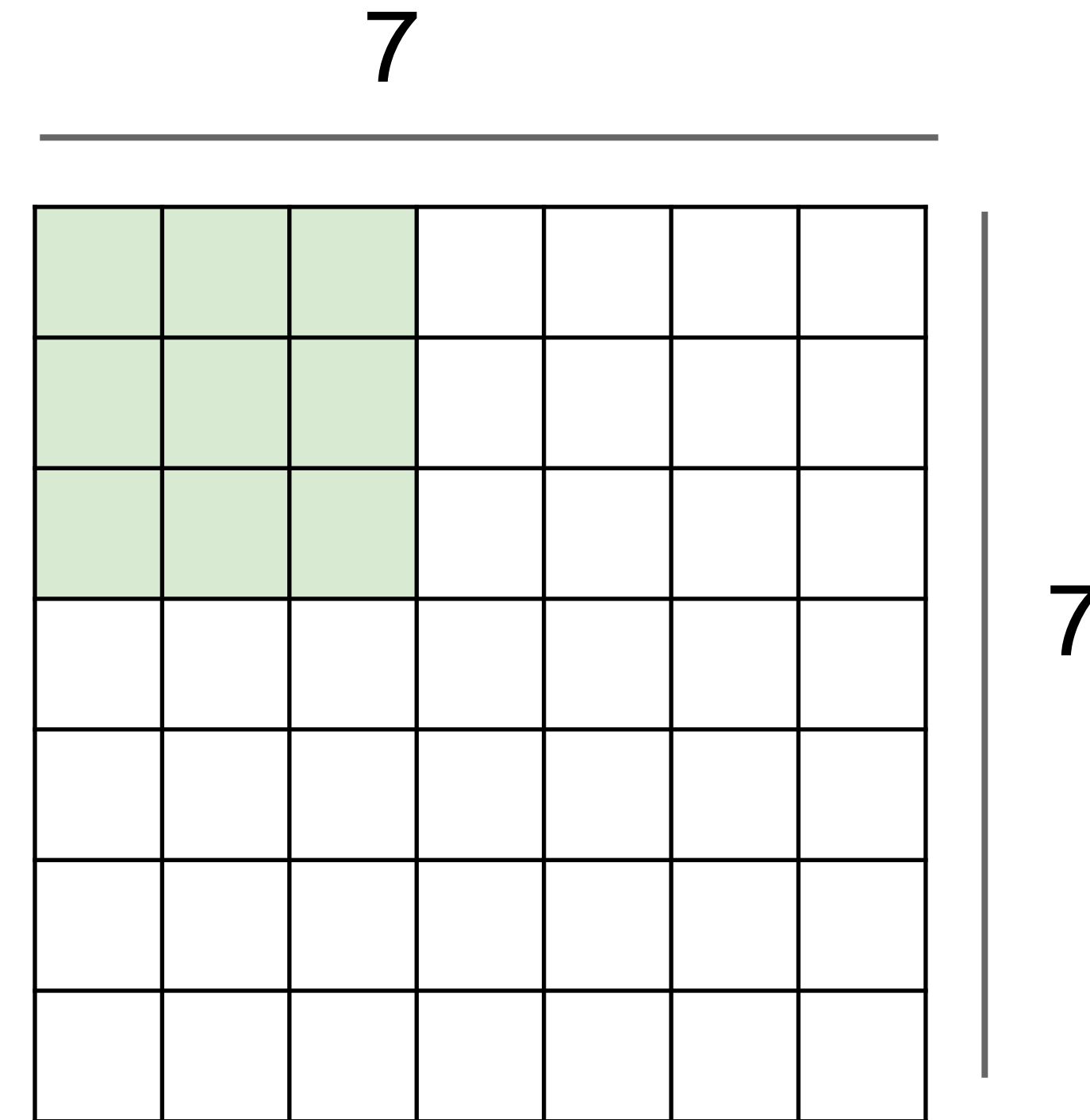
Q2. Suppose we want to perform convolution on a single channel image of size 7×7 (no padding) with a kernel of size 3×3 , and stride = 2. What is the dimension of the output?

A. 3×3

B. 7×7

C. 5×5

D. 2×2



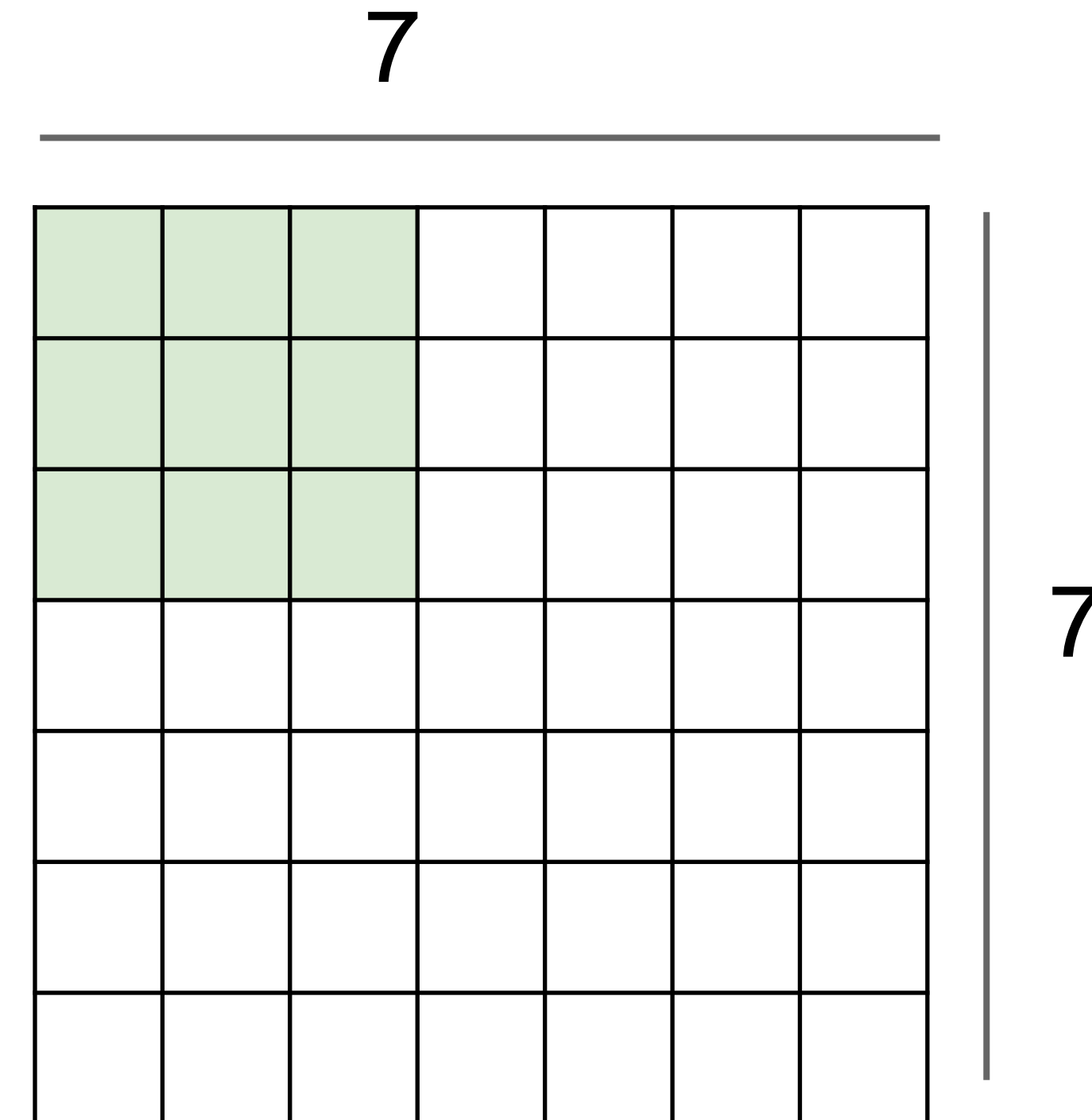
Q2. Suppose we want to perform convolution on a single channel image of size 7x7 (no padding) with a kernel of size 3x3, and stride = 2. What is the dimension of the output?

A. 3x3

B. 7x7

C. 5x5

D. 2x2



$$\lfloor (n_h - k_h + p_h + s_h) / s_h \rfloor \times \lfloor (n_w - k_w + p_w + s_w) / s_w \rfloor$$

An aerial photograph of a vast agricultural field, likely a rice paddy, showing numerous parallel rows of lush green crops. The rows are separated by narrow, light-colored paths or furrows, creating a strong sense of perspective and repetition. The overall scene is a dense, organized grid of greenery under bright, clear skies.

Multiple Input and Output Channels

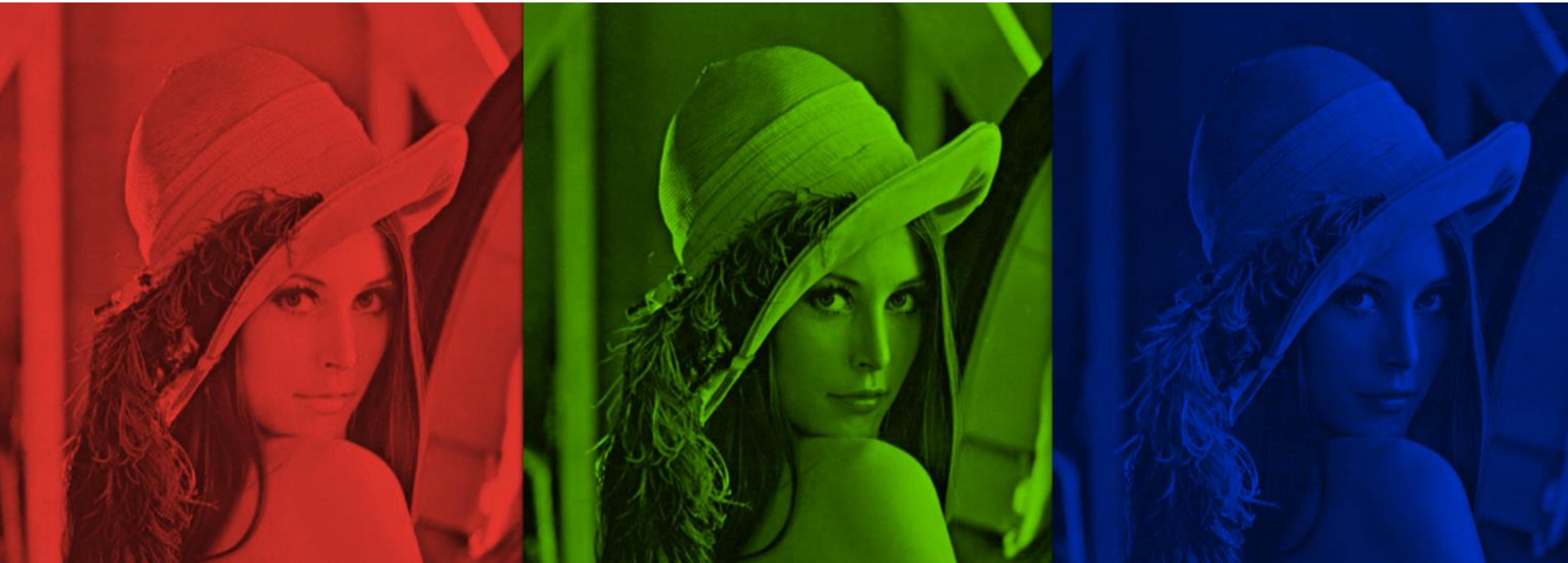
Multiple Input Channels

- Color image may have three RGB channels
- Converting to grayscale loses information



Multiple Input Channels

- Color image may have three RGB channels
- Converting to grayscale loses information



Multiple Input Channels

- Have a kernel matrix for each channel, and then sum results over channels

Input

	1	2	3
0	1	2	3
3	4	5	6
6	7	8	9

*

=

Convolutional Layers: Channels

- How to integrate multiple channels?
 - Have a kernel for each channel, and then sum results over channels

$$\mathbf{X} : c_i \times n_h \times n_w$$

$$\mathbf{W} : c_i \times k_h \times k_w$$

$$\mathbf{Y} : m_h \times m_w$$

$$\mathbf{Y} = \sum_{i=0}^{c_i} \mathbf{X}_{i,:,:} \star \mathbf{W}_{i,:,:}$$

Multiple Output Channels

- No matter how many inputs channels, so far we always get single output channel
- We can have **multiple 3-D kernels**, each one generates an output channel

Multiple Output Channels

- No matter how many input channels, so far we always get single output channel
- We can have **multiple 3-D kernels**, each one generates an output channel

• Input $\mathbf{X} : c_i \times n_h \times n_w$

• Kernels $\mathbf{W} : c_o \times c_i \times k_h \times k_w$

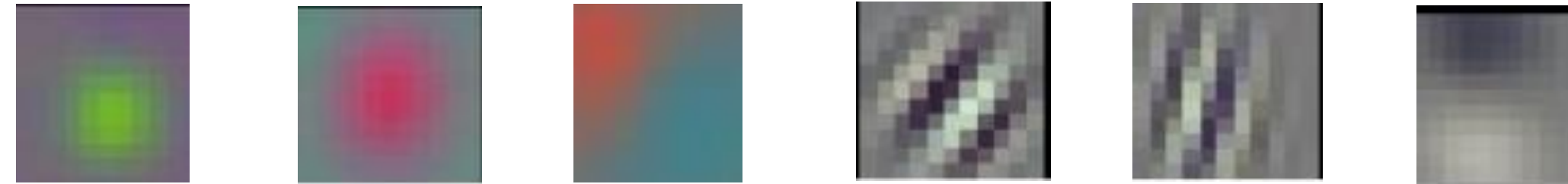
• Output $\mathbf{Y} : c_o \times m_h \times m_w$

$$\mathbf{Y}_{i,:,:} = \mathbf{X} \star \mathbf{W}_{i,:,:,:}$$

for $i = 1, \dots, c_o$

Multiple Input/Output Channels

- Each 3-D kernel may recognize a particular pattern



(Gabor filters)

Q3. Suppose we want to perform convolution on a RGB image of size 224x224 (no padding) with 64 kernels, each with height 3 and width 3. Stride = 1. Which is a reasonable estimate of the total number of scalar multiplications involved in this operation (without considering any optimization in matrix multiplication)?

A. $64 \times 3 \times 3 \times 222 \times 222$

B. $64 \times 3 \times 3 \times 222$

C. $3 \times 3 \times 222 \times 222$

D. $64 \times 3 \times 3 \times 3 \times 222 \times 222$

Q3. Suppose we want to perform convolution on a RGB image of size 224x224 (no padding) with 64 kernels, each with height 3 and width 3. Stride = 1. Which is a reasonable estimate of the total number of scalar multiplications involved in this operation (without considering any optimization in matrix multiplication)?

A. $64 \times 3 \times 3 \times 222 \times 222$

B. $64 \times 3 \times 3 \times 222$

C. $3 \times 3 \times 222 \times 222$

D. $64 \times 3 \times 3 \times 3 \times 222 \times 222$

For each kernel, we slide the window to 222×222 different locations. For each location, the number of multiplication is $3 \times 3 \times 3$. So in total $64 \times 3 \times 3 \times 3 \times 222 \times 222$

Q4. Suppose we want to perform convolution on a RGB image of size 224x224 (no padding) with 64 kernels, each with height 3 and width 3. Stride = 1. The convolution layer has bias parameters. Which is a reasonable estimate of the total number of learnable parameters?

A. $64 \times 222 \times 222$

B. $64 \times 3 \times 3 \times 222$

C. $3 \times 3 \times 3 \times 64$

D. $(3 \times 3 \times 3 + 1) \times 64$

Q4. Suppose we want to perform convolution on a RGB image of size 224×224 (no padding) with 64 kernels, each with height 3 and width 3. Stride = 1. The convolution layer has bias parameters. Which is a reasonable estimate of the total number of learnable parameters?

A. $64 \times 222 \times 222$

B. $64 \times 3 \times 3 \times 222$

C. $3 \times 3 \times 3 \times 64$

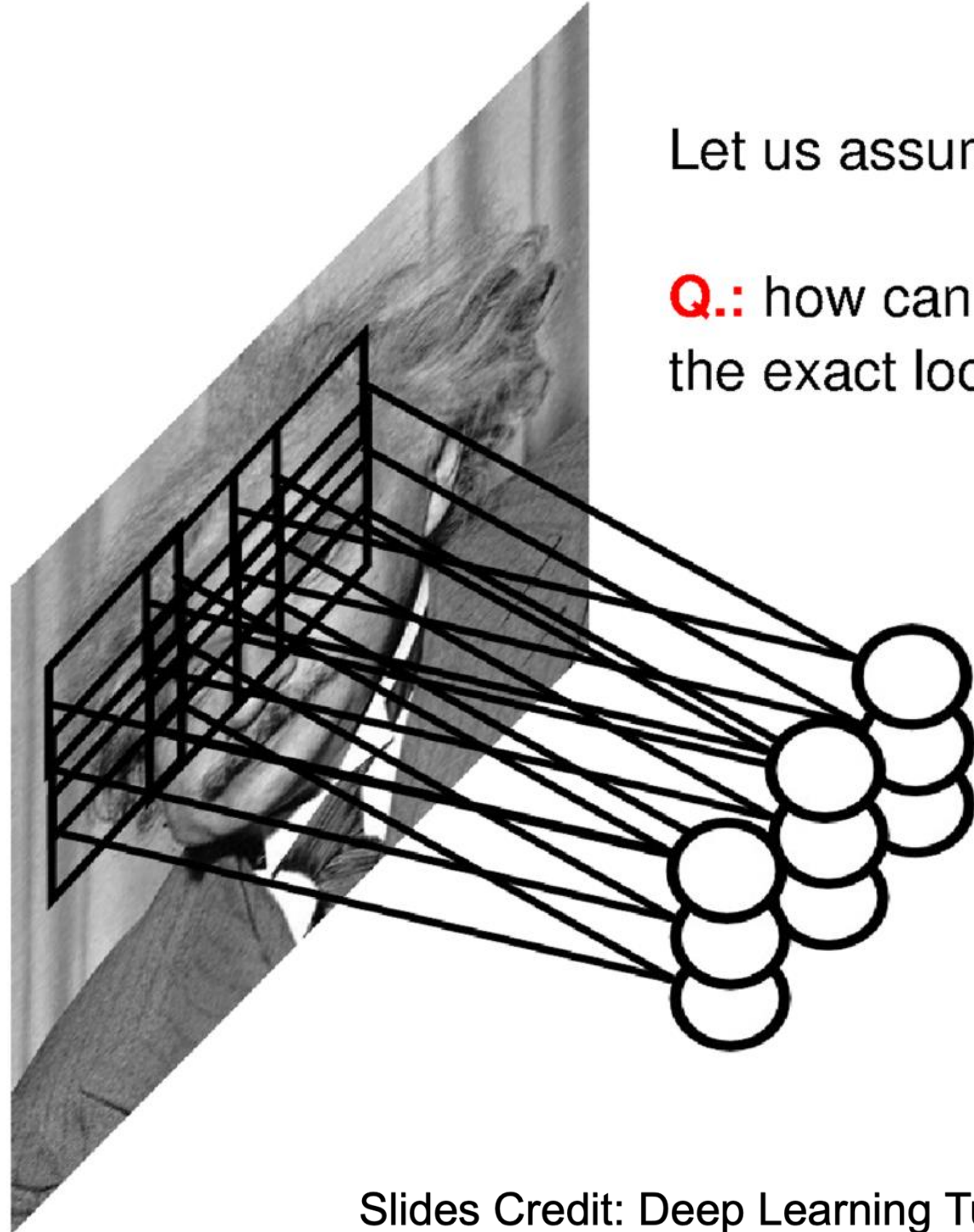
D. $(3 \times 3 \times 3 + 1) \times 64$

Each kernel is 3D kernel across 3 input channels, so has $3 \times 3 \times 3$ parameters. Each kernel has 1 bias parameter. So in total $(3 \times 3 \times 3 + 1) \times 64$



Pooling Layer

Pooling



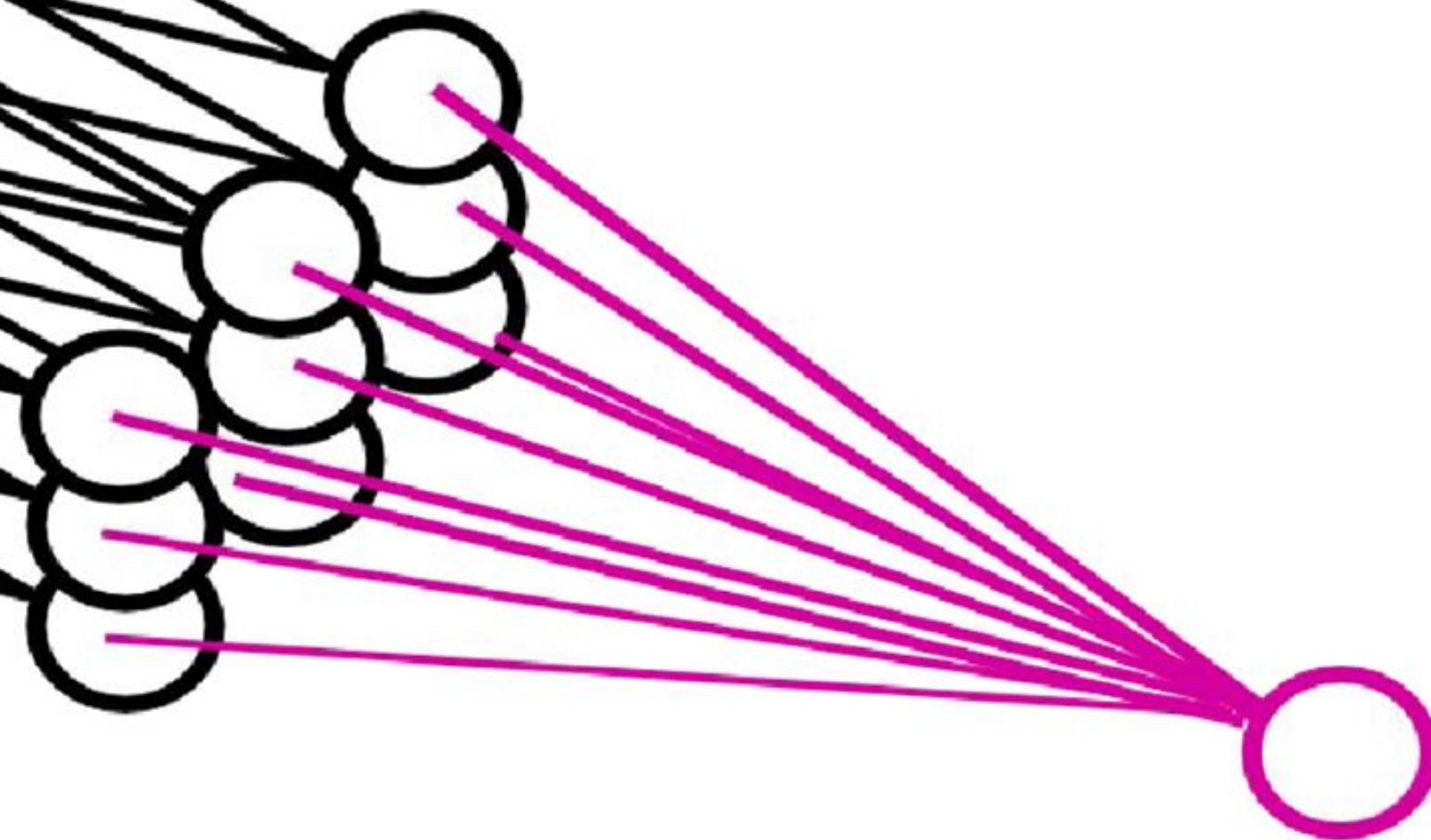
Let us assume filter is an “eye” detector.

Q.: how can we make the detection robust to the exact location of the eye?

Pooling

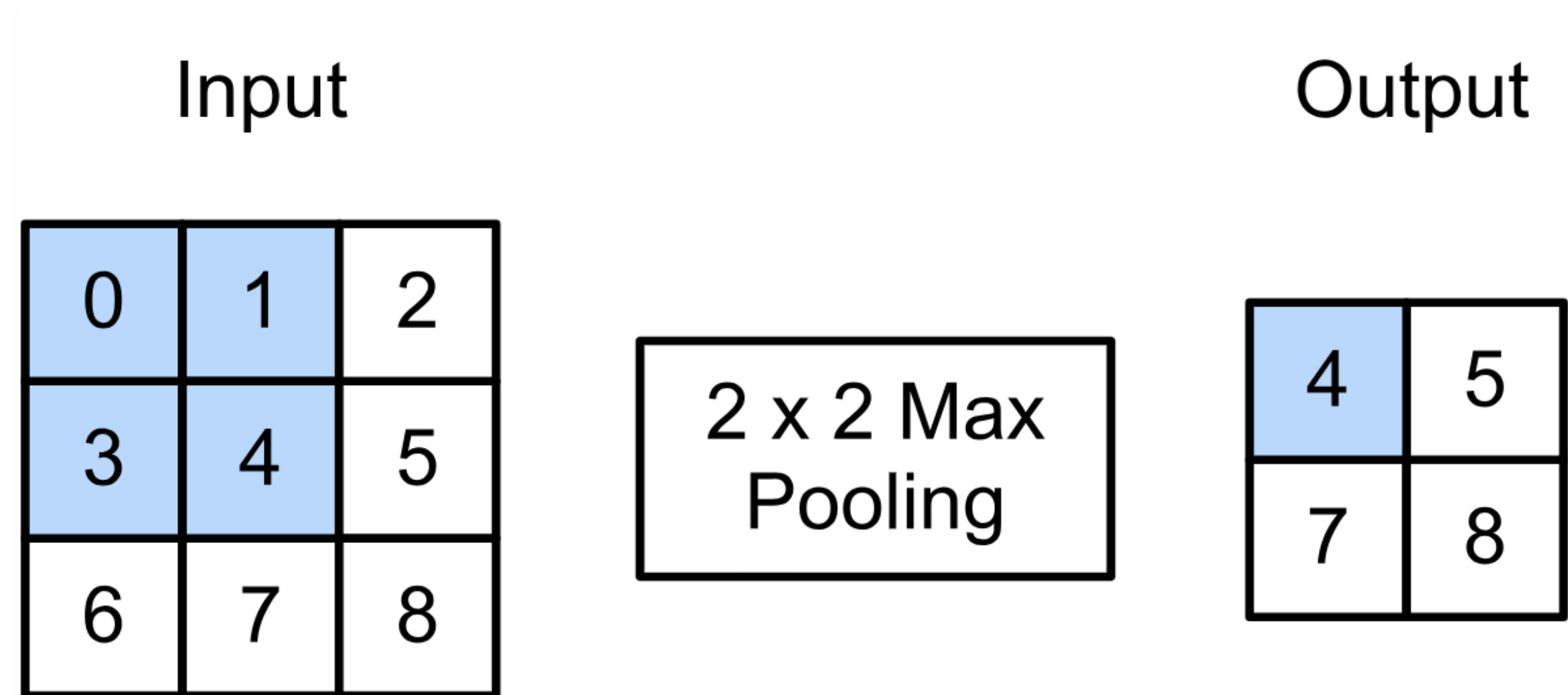


By “pooling” (e.g., taking max) filter responses at different locations we gain robustness to the exact spatial location of features.



2-D Max Pooling

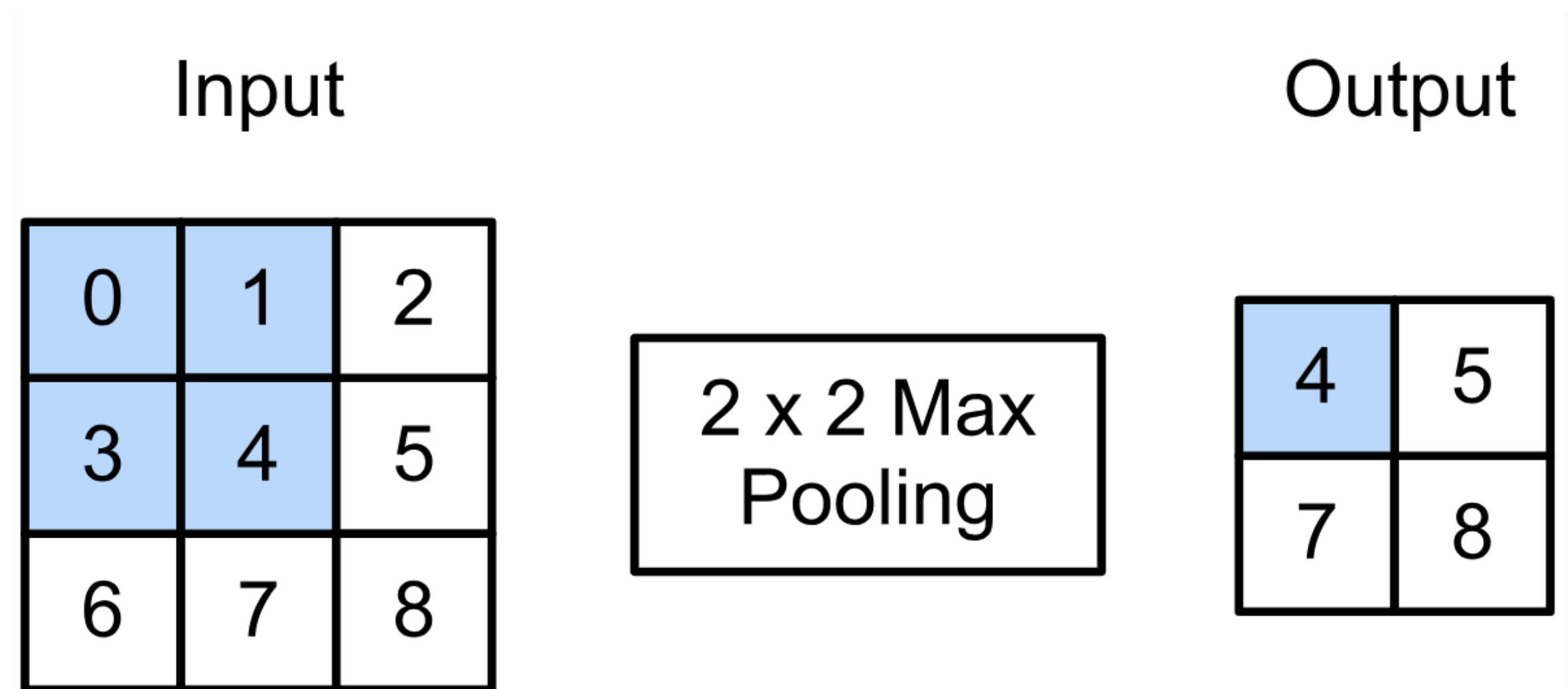
- Returns the maximal value in the sliding window



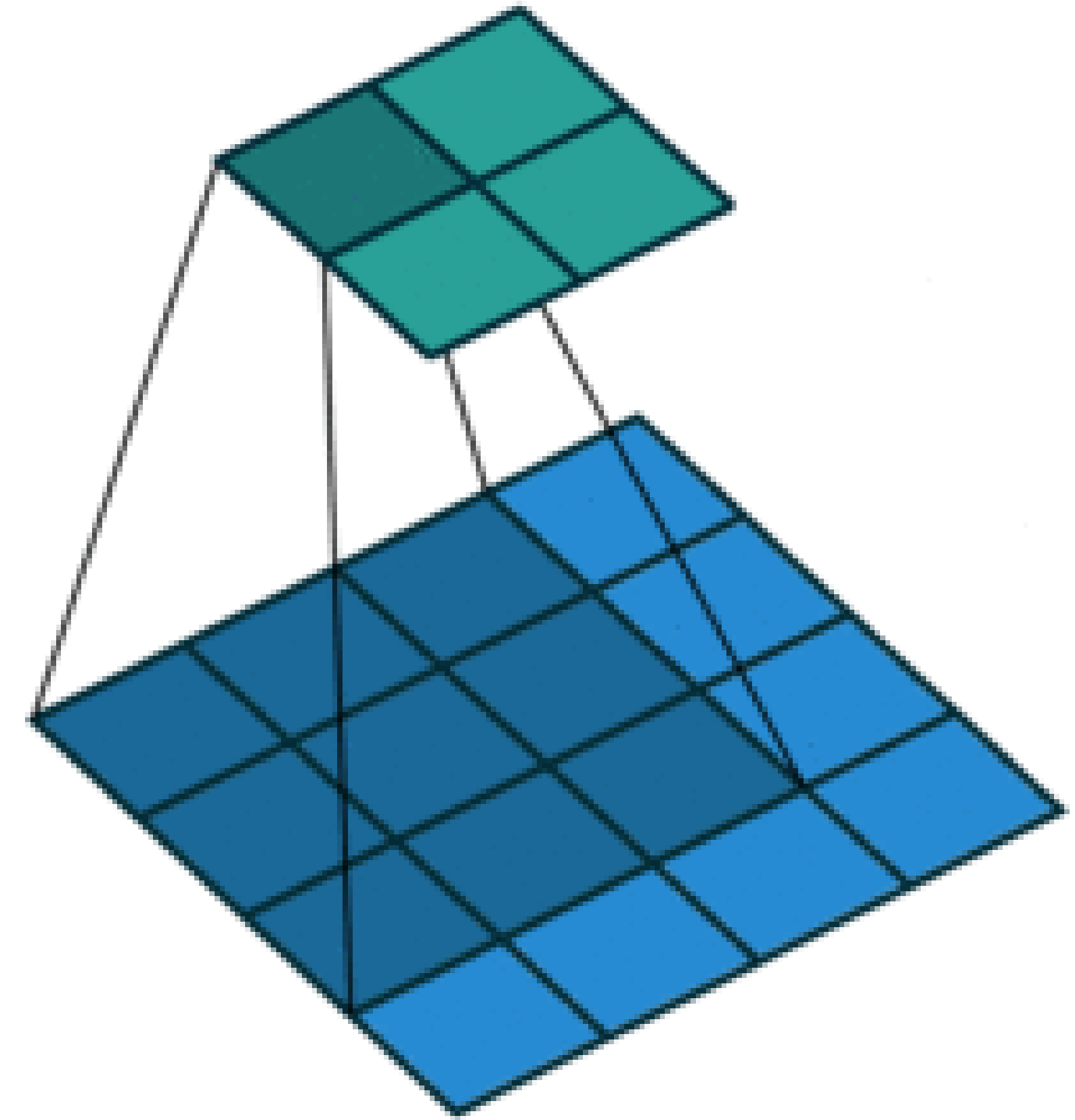
$$\max(0, 1, 3, 4) =$$

2-D Max Pooling

- Returns the maximal value in the sliding window



$$\max(0, 1, 3, 4) = 4$$



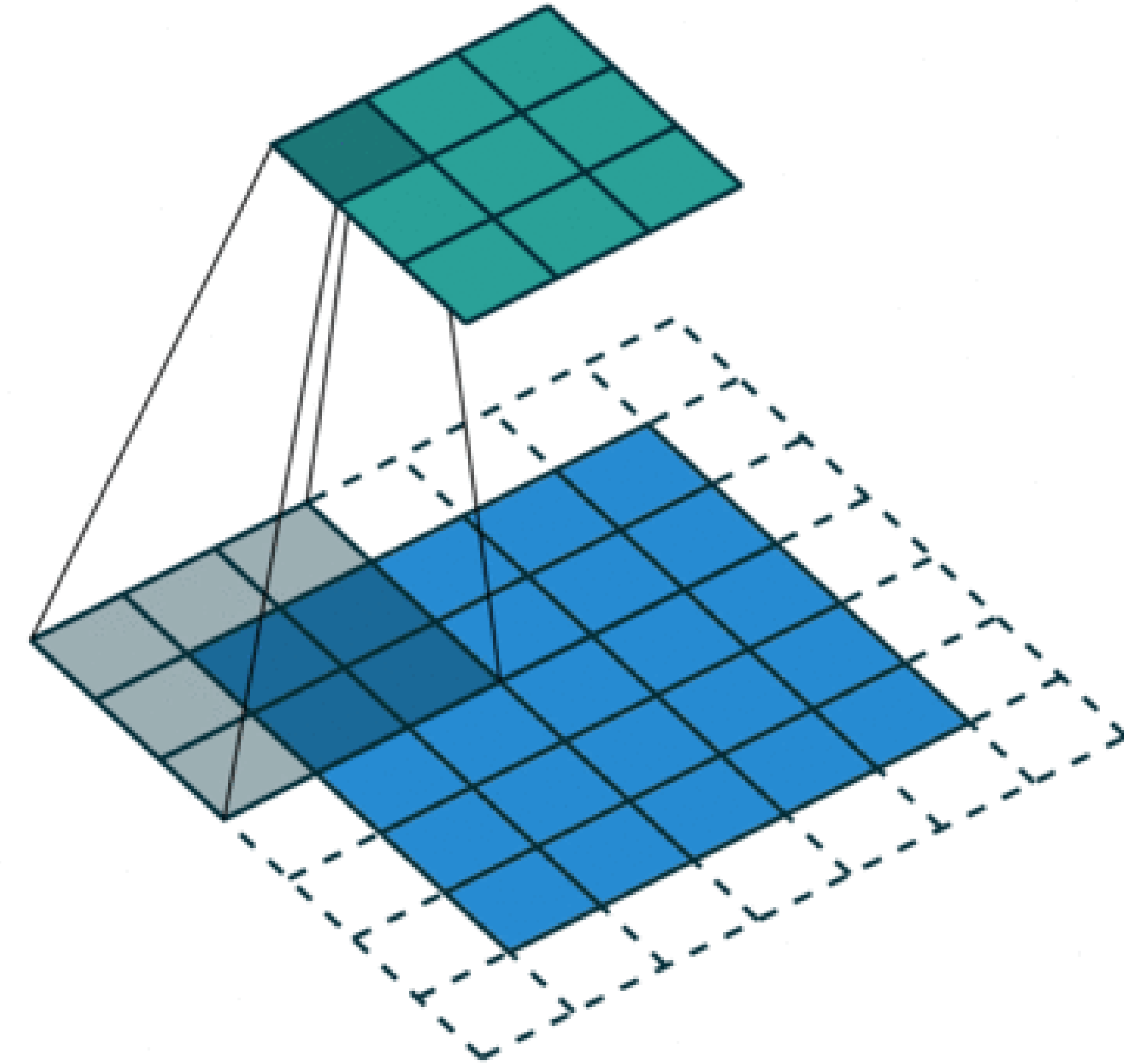
Padding, Stride, and Multiple Channels

- Pooling layers have similar padding and stride as convolutional layers
- No learnable parameters
- Apply pooling for each input channel to obtain the corresponding output channel

#output channels = #input channels

Padding, Stride, and Multiple Channels

- Pooling layers have similar padding and stride as convolutional layers
- No learnable parameters
- Apply pooling for each input channel to obtain the corresponding output channel

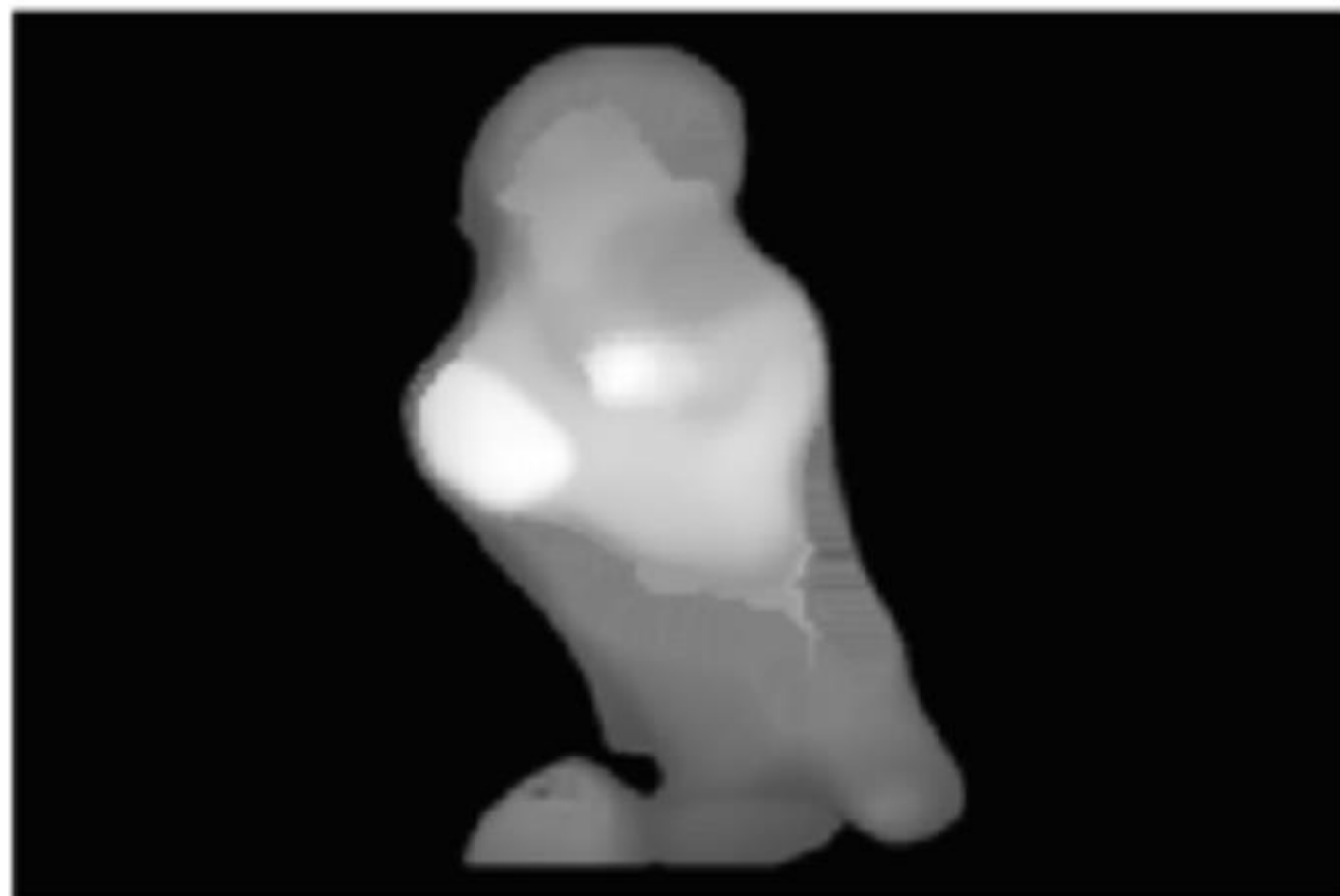


#output channels = #input channels

Average Pooling

- Max pooling: the strongest pattern signal in a window
- Average pooling: replace max with mean in max pooling
- The average signal strength in a window

Max pooling



Average pooling



Q5. Suppose we want to perform 2x2 average pooling on the following single channel feature map of size 4x4 (no padding), and stride = 2. What is the output?

A.

20	30
70	90

B.

16	8
20	25

C.

20	30
20	25

D.

12	2
70	5

12	20	30	0
20	12	2	0
0	70	5	2
8	2	90	3

Q5. Suppose we want to perform 2x2 average pooling on the following single channel feature map of size 4x4 (no padding), and stride = 2. What is the output?

A.

20	30
70	90

B.

16	8
20	25

C.

20	30
20	25

D.

12	2
70	5

12	20	30	0
20	12	2	0
0	70	5	2
8	2	90	3

Q6. What is the output if we replace average pooling with 2 x 2 max pooling (other settings are the same)?

A.

20	30
70	90

B.

16	8
20	25

C.

20	30
20	25

D.

12	2
70	5

12	20	30	0
20	12	2	0
0	70	5	2
8	2	90	3

Q6. What is the output if we replace average pooling with 2 x 2 max pooling (other settings are the same)?

A.

20	30
70	90

B.

16	8
20	25

C.

20	30
20	25

D.

12	2
70	5

12	20	30	0
20	12	2	0
0	70	5	2
8	2	90	3

Summary

- Intro of convolutional computations
 - 2D convolution
 - Padding, stride
 - Multiple input and output channels
 - Pooling



Acknowledgement:

Some of the slides in these lectures have been adapted from materials developed by Alex Smola and Mu Li:

<https://courses.d21.ai/berkeley-stat-157/index.html>