

# CS 540 Introduction to Artificial Intelligence Deep Learning III

University of Wisconsin-Madison

Fall 2022

### **Outline**

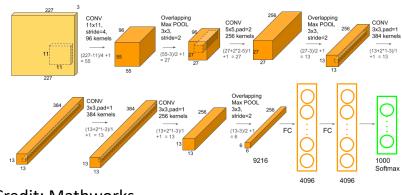
- CNNs with more layers: ResNets
  - Layer problems, residual connections, identity maps
- Data Augmentation & Regularization
  - Expanding the dataset, avoiding overfitting
- More Signal From our Data
  - Graph-structured data, graph neural networks

### Last Time: CNNs

### We talked about CNN components & architectures

- Components: convolutional layers, pooling layers (recall kernels, channels, strides, padding)
- Architectures: LeNet, AlexNet, VGG

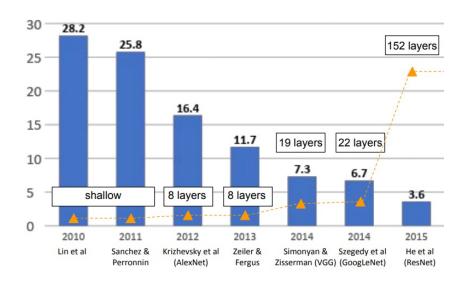
• Trend: bigger, deeper.



Credit: Mathworks

### **Evolution of CNNs**

## ImageNet competition (error rate)



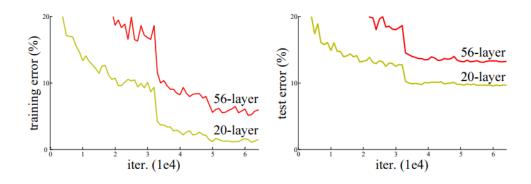
Credit: Stanford CS 231n

# Simple Idea: Add More Layers

VGG: 19 layers. ResNet: 152 layers. Add more layers... sufficient?

- No! Some problems:
  - i) Vanishing gradients: more layers → more likely
  - ii) Instability: deeper models are harder to optimize

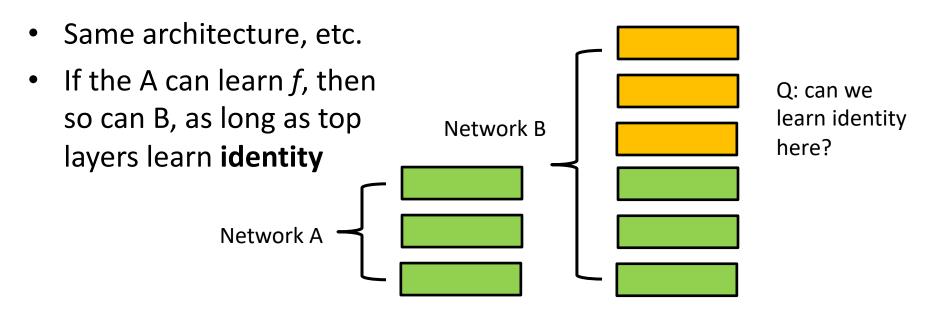
**Reflected in training error:** 



He et al: "Deep Residual Learning for Image Recognition"

# Depth Issues & Learning Identity

Why would more layers result in worse performance?

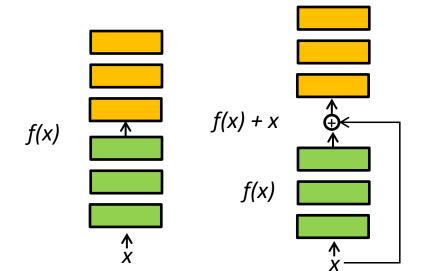


Idea: if layers can learn identity, can't get worse.

# **Residual Connections**

Idea: Identity might be hard to learn, but zero is easy!

- Make all the weights tiny, produces zero for output
- Can easily transform learning identity to learning zero:



**Left**: Conventional layers block

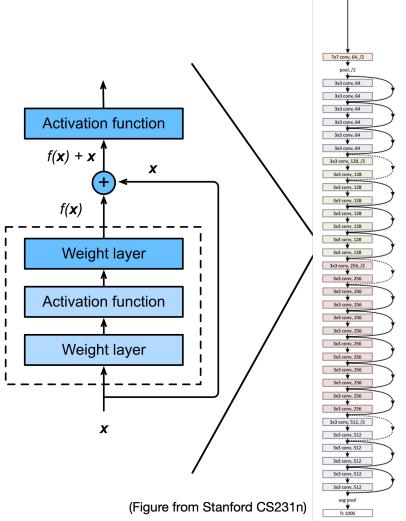
Right: Residual layer block

To learn identity f(x) = x, layers now need to learn  $f(x) = 0 \Rightarrow$  easier

#### **Full ResNet Architecture**

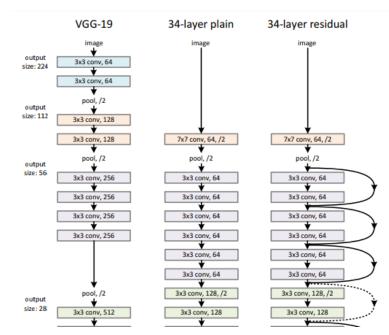
[He et al. 2015]

- Stack residual blocks
- Every residual block has two 3x3 ; conv layers
- Periodically, double # of filters and downsample spatially using stride of 2 (/2 in each dimension)



Idea: Residual (skip) connections help make learning easier

- Example architecture:
- Note: residual connections
  - Every two layers for ResNet34
- Vastly better performance
  - No additional parameters!
  - Records on many benchmarks



He et al: "Deep Residual Learning for Image Recognition"

#### Various depth

| layer name  | output size | 18-layer   | 34-layer   | 50-layer              | 101-layer              | 152-layer                 |  |
|-------------|-------------|--|--|-----------------------|------------------------|---------------------------|--|
| layer manne | -           | 16-layel   | 34-1aye1   |                       | •                      | 132-layer                 |  |
| conv1       | 112×112     | 7×7, 64, stride 2  |  |                       |                        |                           |  |
|             | 56×56       | 3×3 max pool, stride 2   |  |                       |                        |                           |  |
| conv2_x     |             | [ 3×3 64 ]   | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$       | [ 1×1, 64 ]           | [ 1×1, 64 ]            | [ 1×1, 64 ]               |  |
|             |             | $\begin{vmatrix} 3 \times 3 & 64 \end{vmatrix} \times 2$                           |  | 3×3, 64 ×3            | $3\times3,64\times3$   | $3\times3,64\times3$      |  |
|             |             | [ 3 × 3, 04 ]  |  | [ 1×1, 256 ]          | [ 1×1, 256 ]           | [ 1×1, 256 ]              |  |
|             | 28×28       | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | [ 1×1, 128 ]          | [ 1×1, 128 ]           | [ 1×1, 128 ]              |  |
| conv3_x     |             |  |  | $3\times3,128\times4$ | $3\times3,128\times4$  | $3\times3,128\times8$     |  |
|             |             |  |  | [ 1×1, 512 ]          | 1×1, 512               | $[1\times1,512]$          |  |
|             | 14×14       | $ \begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2 $      | $\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times6$     | [ 1×1, 256 ]          | [ 1×1, 256 ]           | [ 1×1, 256 ]              |  |
| conv4_x     |             |  |  | $3\times3,256\times6$ | $3\times3,256\times23$ | $3\times3,256$ $\times36$ |  |
|             |             |  |  | [ 1×1, 1024 ]         | [ 1×1, 1024 ]          | [ 1×1, 1024 ]             |  |
|             | 7×7         | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$     | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$     | [ 1×1, 512 ]          | [ 1×1, 512 ]           | [ 1×1, 512 ]              |  |
| conv5_x     |             |  |  | 3×3, 512 ×3           | $3\times3,512\times3$  | $3\times3,512\times3$     |  |
|             |             |  |  | [ 1×1, 2048 ]         | [ 1×1, 2048 ]          | 1×1, 2048                 |  |
|             | 1×1         | average pool, 1000-d fc, softmax   |  |                       |                        |                           |  |
| FLOPs       |             | $1.8 \times 10^9$  | $3.6 \times 10^9$  | $3.8 \times 10^{9}$   | $7.6 \times 10^9$      | $11.3 \times 10^9$        |  |

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

#### Various depth

| layer name | output size | 18-layer   | 34-layer   | 50-layer   | 101-layer   | 152-layer  |  |
|------------|-------------|--|--|--|---|--|--|
| conv1      | 112×112     | 7×7, 64, stride 2  |  |  |   |  |  |
|            |             | 3×3 max pool, stride 2   |  |  |   |  |  |
| conv2_x    | 56×56       | $\left[\begin{array}{c}3\times3,64\\3\times3,64\end{array}\right]\times2$          | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$       | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                 | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                  | $ \begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3 $                   |  |
| conv3_x    | 28×28       | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | $ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4 $ | $ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4 $  | $   \begin{bmatrix}     1 \times 1, 128 \\     3 \times 3, 128 \\     1 \times 1, 512   \end{bmatrix} \times 8 $ |  |
| conv4_x    | 14×14       | $\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times2$     | $ \begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6 $      | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$              | $ \begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23 $            | $ \begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36 $               |  |
| conv5_x    | 7×7         | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times2$        | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times3$        | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$              | $ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $ | $ \begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3 $                |  |
|            | 1×1         | average pool, 1000-d fc, softmax   |  |  |   |  |  |
| FLOPs      |             | $1.8 \times 10^9$  | $3.6 \times 10^9$  | $3.8 \times 10^9$  | $7.6 \times 10^9$   | $11.3 \times 10^9$   |  |

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

Various depth / Repeat x3 times / # of filters

| layer name | output size | 18-layer   | 34-layer   | 50-layer   | 101-layer  | 152-layer  |
|------------|-------------|--|--|--|--|--|
| conv1      | 112×112     | 7×7, 64, stride 2  |  |  |  |  |
|            | 56×56       |  | <b>×</b>   |  |  |  |
| conv2_x    |             | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$         | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$       | 1×1, 64  | 1×1, 64  | 1×1, 64  |
|            |             |  |  | $\begin{bmatrix} 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$ | $\begin{bmatrix} 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$ | $\begin{bmatrix} 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$ |
| conv3_x    | 28×28       | $ \left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2 $ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | [ 1×1, 128 ]   | [ 1×1, 128 ]   | [ 1×1, 128 ]   |
|            |             |  |  | 3×3, 128 ×4  | 3×3, 128 ×4  | 3×3, 128 ×8  |
|            |             |  |  | [ 1×1, 512 ]   | [ 1×1, 512 ]   | [ 1×1, 512 ]   |
|            | 14×14       | $\left[\begin{array}{c} 3\times3, 256\\ 3\times3, 256 \end{array}\right]\times2$     | $\left[\begin{array}{c} 3\times3, 256\\ 3\times3, 256 \end{array}\right]\times6$   | [ 1×1, 256 ]   | [ 1×1, 256 ]   | [ 1×1, 256 ]   |
| $conv4_x$  |             |  |  | $3\times3,256$ $\times6$   | $3\times3,256\times23$   | $3\times3,256$ $\times36$  |
|            |             |  |  | [ 1×1, 1024 ]  | [ 1×1, 1024 ]  | [ 1×1, 1024 ]  |
| conv5_x    | 7×7         | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$       | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$     | [ 1×1, 512 ]   | [ 1×1, 512 ]   | [ 1×1, 512 ]   |
|            |             |  |  | $3\times3,512\times3$  | 3×3, 512 ×3  | $3\times3,512\times3$  |
|            |             |  |  | [ 1×1, 2048 ]  | [ 1×1, 2048 ]  | [ 1×1, 2048 ]  |
|            | 1×1         | average pool, 1000-d fc, softmax   |  |  |  |  |
| FLOPs      |             | $1.8 \times 10^9$  | $3.6 \times 10^9$  | $3.8 \times 10^9$  | $7.6 \times 10^9$  | $11.3 \times 10^9$   |
|            |             |  |  |  |  |  |

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

#### Various depth

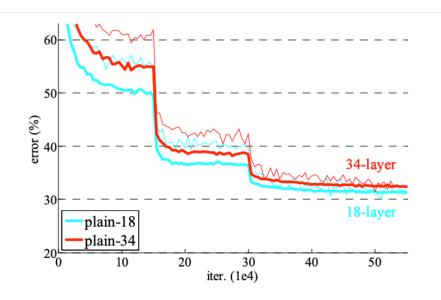
$$1 + 2x3 + 2x4 + 2x6 + 2x3 + 1 = 34$$

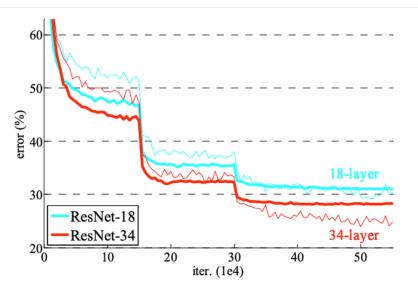
|            |             |  |  | /  |   |   |  |
|------------|-------------|--|--|--|---|---|--|
| layer name | output size | 18-layer   | 34-layer   | 50-layer   | 101-layer   | 152-layer   |  |
| conv1      | 112×112     | 7×7, 64, stride 2  |  |  |   |   |  |
|            |             | 3×3 max pool, stride 2   |  |  |   |   |  |
| conv2_x    | 56×56       | $\left[\begin{array}{c}3\times3,64\\3\times3,64\end{array}\right]\times2$          | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$       | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$               | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                  | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                  |  |
| conv3_x    | 28×28       | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | $\left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4$ | $ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4 $  | $\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$                |  |
| conv4_x    | 14×14       | $\left[\begin{array}{c}3\times3,256\\3\times3,256\end{array}\right]\times2$        | $\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times6$     | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$            | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$              | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$              |  |
| conv5_x    | 7×7         | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times2$        | $\left[\begin{array}{c}3\times3,512\\3\times3,512\end{array}\right]\times3$        | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$            | $ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $ | $ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $ |  |
|            | 1×1         | average pool, 1000-d fc, softmax   |  |  |   |   |  |
| FLOPs      |             | $1.8 \times 10^9$  | $3.6 \times 10^9$  | $3.8 \times 10^9$  | $7.6 \times 10^9$   | 11.3×10 <sup>9</sup>  |  |

Table 1. Architectures for ImageNet. Building blocks are shown in brackets (see also Fig. 5), with the numbers of blocks stacked. Downsampling is performed by conv3\_1, conv4\_1, and conv5\_1 with a stride of 2.

# **ResNet Training Curves on ImageNet**

[He et al., 2015]





#### A Bit More on ResNets

Idea: Residual (skip) connections help make learning easier

- Note: Can also analyze from backpropagation p.o.v
  - Residual connections add paths to computation graph
- Also uses batch normalization
  - Normalize the features at each layer to have same mean/variance
  - Common deep learning trick
- Highway networks: learn weights for residual connections

#### **Q 1.1**: Which of the following is **not** true?

- A. Adding more layers can improve the performance of a neural network.
- B. Residual connections help deal with vanishing gradients.
- C. CNN architectures use no more than ~20 layers to avoid problems such as vanishing gradients.
- D. It is usually easier to learn a zero mapping than the identity mapping.

**Q 1.1**: Which of the following is **not** true?

- A. Adding more layers can improve the performance of a neural network.
- B. Residual connections help deal with vanishing gradients.
- C. CNN architectures use no more than ~20 layers to avoid problems such as vanishing gradients.
- D. It is usually easier to learn a zero mapping than the identity mapping.

**Q 1.1**: Which of the following is **not** true?

- A. Adding more layers can improve the performance of a neural network. (Yes, as long as we're careful, e.g., ResNets.)
- B. Residual connections help deal with vanishing gradients. (Yes, this is an explicit consideration for residual connections.)
- C. CNN architectures use no more than ~20 layers to avoid problems such as vanishing gradients. (No, much deeper networks.)
- D. It is usually easier to learn a zero mapping than the identity mapping. (Yes: simple way to learn zero is to make weights zero)

#### **Data Concerns**

#### What if we don't have a lot of data?

- We risk overfitting
- Avoiding overfitting: regularization methods
- Data augmentation: a classic way to regularize



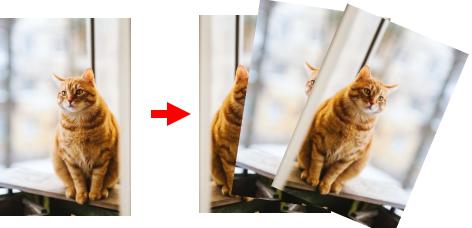




# **Data Augmentation**

Augmentation: transform + add new samples to dataset

- Transformations: based on domain
- Idea: build invariances into the model
  - Ex: if all images have same alignment, model learns to use it
- Keep the label the same!



### **Transformations**

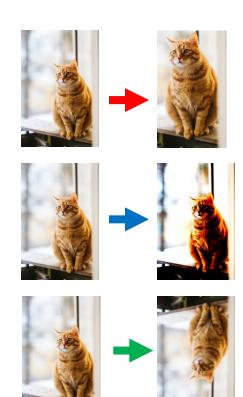
### Examples of transformations for images

- Crop (and zoom)
- Color (change contrast/brightness)
- Rotations+ (translate, stretch, shear, etc)

Many more possibilities. Combine as well!

Q: how to deal with this at **test time**?

A: transform, test, average



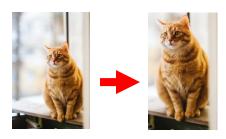
# **Combining & Automating Transformations**

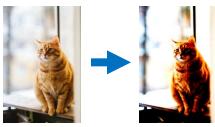
#### One way to automate the process:

- Apply every transformation and combinations
- Downside: most don't help...

#### Want a good policy, ie, $\rightarrow \rightarrow \rightarrow \rightarrow \rightarrow$

- Active area of research: search for good policies
  - **1. Ratner et al**: "Learning to Compose Domain-Specific Transformations for Data Augmentation"
  - **2. Cubuk et al**: "AutoAugment: Learning Augmentation Strategies from Data"









### Other Domains

#### Not just for image data. For example, on text:

- Substitution
  - E.g., "It is a great day" → "It is a wonderful day"
  - Use a thesaurus for particular words
  - Or, use a model. Pre-trained word embeddings, language models
- Back-translation
  - "Given the low budget and production limitations, this movie is very good."
    - → "There are few budget items and production limitations to make this film a really good one"

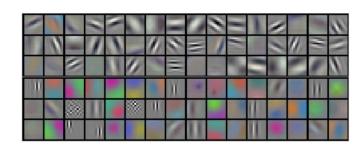
Xie et al: "Unsupervised Data Augmentation for Consistency Training"

# Importance of Augmentation

#### Data augmentation is critical for top performance!

- You should use it!
- AlexNet: used (many papers re-used as well)
  - Random crops, rotations, flips.

Krizhevsky et al: "ImageNet Classification with Deep Convolutional Neural Networks"



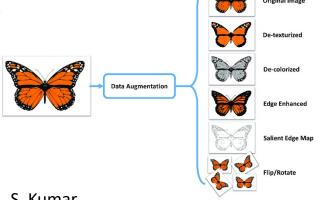
# Other Forms of Regularization

### Regularization has many interpretations

**Goodfellow**: "any modification... to a learning algorithm that is intended to reduce its generalization error but not its training error."

A way of adding knowledge / side information

Enforcing parsimony/simplicity



S. Kumar

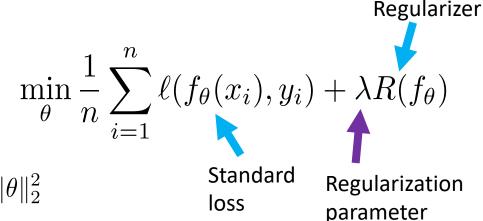
# Other Forms of Regularization

## Classic regularizations

Modify loss functions

Ex: regularized least squares LR

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^{n} (\theta_0 + x_i^T \theta - y_i)^2 + \lambda \|\theta\|_2^2$$



- 2. Modify architecture/training/data
  - a) Dropout, batch normalization, augmentation

- **Q 2.1**: If we apply data augmentation blindly, we might
- (i) Change the label of the data point
- (ii) Produce a useless training point
- A. (i) but not (ii)
- B. (ii) but not (i)
- C. Neither
- D. Both

- **Q 2.1**: If we apply data augmentation blindly, we might
- (i) Change the label of the data point
- (ii) Produce a useless training point
- A. (i) but not (ii)
- B. (ii) but not (i)
- C. Neither
- D. Both

- Q 2.1: If we apply data augmentation blindly, we might
- (i) Change the label of the data point
- (ii) Produce a useless training point
- A. (i) but not (ii) (Can do (ii): imagine turning up the contrast till the image is completely black and is unusable).
- B. (ii) but not (i) (Can change label: rotate a 6 into a 9).
- C. Neither (Can do either).
- D. Both

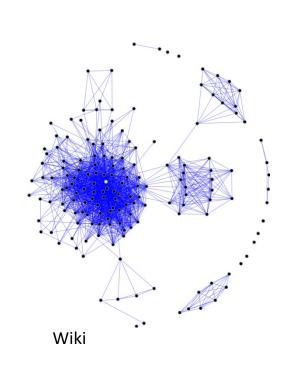
- **Q 2.2**: What are some consequences of data augmentation?
- (i) We have to store a much bigger dataset in memory
- (ii) For a fixed batch size, there will be more batches per epoch

- A. (i) but not (ii)
- B. (ii) but not (i)
- C. Neither
- D. Both

# Relationships in Data

### So far, all of our data consists of points

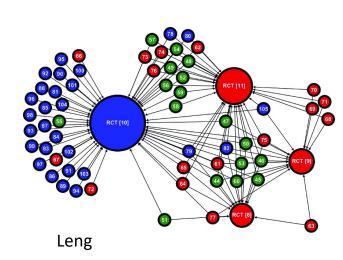
- Assume all are independent, "unrelated" in a sense  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_n, y_n)$
- Pretty common to have relationships between points
  - Social networks: individuals related by friendship
  - Biology/chemistry: bonds between compounds, molecules
  - Citation networks: Scientific papers cite each other



# Signal from Relationships

### Suppose we are classifying scientific papers

- Features: title, abstract, authors. Labels: math/science/eng.
- Could build a reasonable classifier with the above data
- More signal from relationships
  - Cite each other, more likely from the same field
  - Note: citations are not features; they're links
  - Need a new type of network to handle



# **Graph Neural Networks**

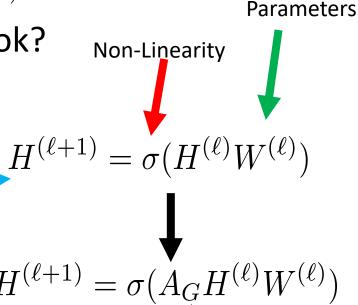
**Have:**  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_n, y_n), G = (V, E)$ 

How should our new architecture look?

- Still want layers
  - linear transformation + non-linearity

Hidden Layer Representation

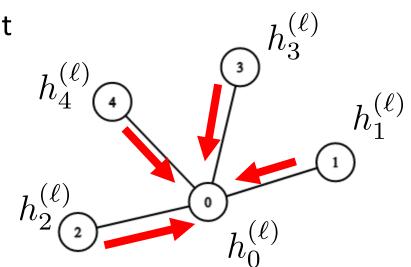
- Now want to integrate neighbors
- Bottom: graph convolutional network



# **Graph Convolutional Networks**

#### Let's examine the GCN architecture in more detail

- Difference: "graph mixing" component
- At each layer, get representation at each node
- Combine node's representation with neighboring nodes

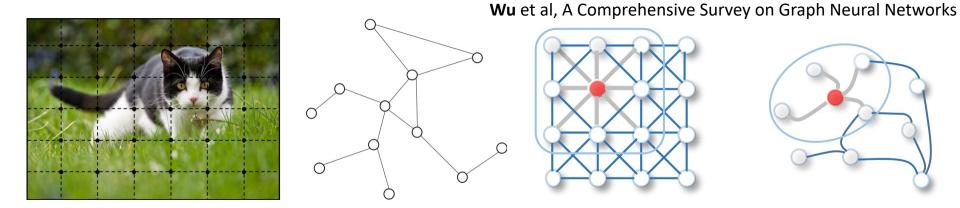


"Aggregate" and "Update" rules

# **Graph Convolutional Networks**

#### Note the resemblance to CNNs:

- Pixels: arranged as a very regular graph
- Want: more general configurations (less regular)



**Zhou** et al, Graph Neural Networks: A Review of Methods and Applications

# **Summary**

- Intro to deeper networks (resnets)
  - Dealing with problems by adding skip connections
- Intro to regularization
  - Data augmentation + other regularizers
- Basic graph neural networks



**Acknowledgements**: Inspired by materials by Fei-Fei Li, Ranjay Krishna, Danfei Xu (Stanford CS231n)