### **QUERY OPTIMIZATION**

*CS* 564- *Fall* 2015

### **EXAMPLE QUERY**

- EMP(<u>ssn</u>, ename, addr, sal, did)
  - 10000 tuples, 1000 pages
- DEPT(<u>did</u>, dname, floor, mgr)
  - 500 tuples, 50 pages

```
SELECT DISTINCT ename
```

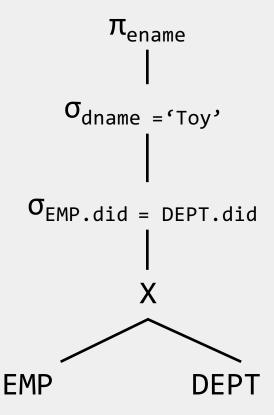
```
FROM Emp E, Dept D
```

WHERE E.did = D.did

AND D.dname = 'Toy';

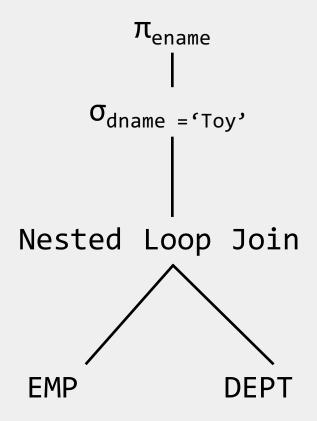
# EVALUATION PLAN (1)

```
FROM Emp E, Dept D
WHERE E.did = D.did
AND D.dname = 'Toy';
```



# EVALUATION PLAN (2)

```
FROM Emp E, Dept D
WHERE E.did = D.did
AND D.dname = 'Toy';
```



# EVALUATION PLAN (3)

```
\pi_{\text{ename}}
SELECT DISTINCT ename
         Emp E, Dept D
FROM
                                              \sigma_{\text{dname}} = \tau_{\text{Toy}}
         E.did = D.did
WHERE
         D.dname = 'Toy';
AND
                                       Sort Merge Join
                            buffer size B= 50
                                        EMP
                                                          DEPT
```

#### PIPELINED EVALUATION

Instead of materializing the temporary relation to disk, we can instead pipeline to the next operator

- How much cost does it save?
- When can pipelining be used?

## EVALUATION PLAN (4)

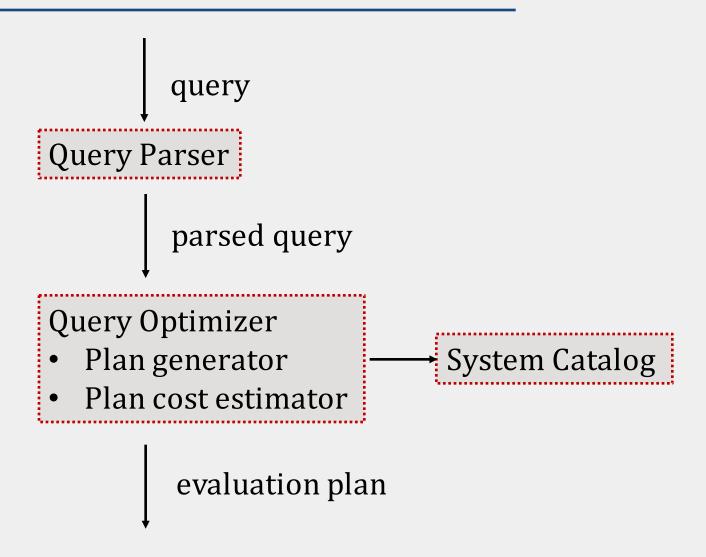
```
\pi_{\text{ename}}
SELECT DISTINCT ename
                                                                    buffer size B= 50
         Emp E, Dept D
FROM
WHERE
         E.did = D.did
                                       Sort Merge Join
         D.dname = 'Toy';
AND
                                     \sigma_{\text{dname}} = \tau_{\text{Toy}}
                   index on dname
                                          DEPT
                                                                EMP
```

### **QUERY OPTIMIZATION**

- identify candidate equivalent trees
- for each candidate find best annotated version (using available indexes)
- choose the best overall by estimating the cost of each plan

In practice we choose from a subset of possible plans

### ARCHITECTURE OF AN OPTIMIZER



## **QUERY OPTIMIZATION**

- Plan: Annotated RA Tree
  - operator interface: open/getNext/close
  - pipelined or materialized
- Two main issues:
  - What plans are considered?
  - How is the cost of a plan estimated?
- Ideally: best plan!
- Practically: avoid worst plans! Look at a subset of all plans

### **COST ESTIMATION**

- Estimate the cost of each operation in the plan
  - depends on input cardinalities
  - algorithm cost (we know this!)
- Estimate the size of result
  - statistics about input relations
  - for selections and joins, we assume independence of predicates

#### **COST ESTIMATION**

- Statistics stored in the catalogs
  - cardinality
  - size in pages
  - # distinct keys (for index)
  - range (for numeric values)
- Catalogs update periodically
- Commercial systems use histograms, which give more accurate estimates

### **EVALUATION PLANS**

- There is a huge space of plans to navigate through
- Relational algebra equivalences help to construct many alternative plans

## EQUIVALENCE (1)

• Commutativity of  $\sigma$ 

$$\sigma_{P_1} (\sigma_{P_2}(R)) \equiv \sigma_{P_2}(\sigma_{P_1}(R))$$

• Cascading of  $\sigma$ 

$$\sigma_{P_1 \wedge P_2 \wedge \cdots \wedge P_n}(R) \equiv \sigma_{P_1}(\sigma_{P_2}(\dots \sigma_{P_n}(R)))$$

• Cascading of  $\pi$ 

$$\pi_{\alpha_1}(R) \equiv \pi_{\alpha_1}(\pi_{\alpha_2}(...\pi_{\alpha_n}(R)...))$$
 when  $a_i \subseteq a_{i+1}$ 

This means that we can evaluate selections in any order!

## EQUIVALENCE (2)

Commutativity of join

$$R \bowtie S \equiv S \bowtie R$$

Associativity of join

$$(R \bowtie S) \bowtie T \equiv R \bowtie (S \bowtie T)$$

This means that we can reorder the computation of joins in any way!

# EQUIVALENCE (3)

Selections + Projections

 $\sigma_{\rm P} \left( \pi_a(R) \right) \equiv \pi_a(\sigma_{\rm P}(R))$  (if the selection involves attributes that remain after projection)

• Selections + Joins

 $\sigma_{\rm P}(R\bowtie S)\equiv\sigma_{\rm P}(R)\bowtie S$  (if the selection involves attributes only in S)

This means that we can push selections down the plan tree!

### **EVALUATION PLANS**

#### Single relation plan (no joins)

- file scan
- index scan(s): clustered or non-clustered
  - More than one index may "match" predicates
- Choose the one with the least estimated cost
- Merge/pipeline selection and projection (and aggregate)
  - Index aggregate evaluation

### **EVALUATION PLANS**

#### Multiple relation plan

- selections can be combined into joins
- joins can be reordered
- selections and projections can be pushed down the plan tree

### Join Reordering

Consider the following join:  $R \bowtie S \bowtie T \bowtie U$ 

Left-deep join plans

• Allow for fully pipelined evaluation

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T