Basic Paxos

Content borrowed from Ousterhout’s slides on Paxos
Basic Paxos: General Setup

Failure model: fail-stop / fail-recover
  → Crash and restart, messages reordered, dropped, and duplicated, network partitions
  → Can’t TCP solve some issues?
  → What can’t Paxos tolerate?

Safety:
  → Only one single value must be chosen
  → A server learns that a value has been chosen only if it really has been

Availability (as long as majority of servers up and communicate w/ each other):
  → Some value is eventually chosen
  → Servers eventually learn about a chosen value
Basic Paxos: General Setup

Proposers:
- Active: propose values to be chosen

Acceptors:
- Passive: respond to proposals

To whom do the clients talk?
- Proposers – they propose values on behalf of the clients
Naïve Approach

Single acceptor?

Problems?

- Acceptor fails
- Can’t choose or learn

Solve by having multiple acceptors
Usually a small odd number (3, 5, or 7)

**Chosen = accepted at a majority**
Choose/learn as long as a majority is up
Accept only first proposal?

Accept only first received proposal
If simultaneous proposals, no value might be chosen

Acceptors must sometimes accept multiple (different) values
Multiple accepts safe?

Violates fundamental safety!
Once a value has been chosen, future proposals must propose/choose that same value
Need two phases:
  first, find any (potentially) chosen
  then, ask for acceptance
Rejecting old proposals

Violates fundamental safety!

\( s_5 \) need not propose red (it doesn’t discover red)
\( s_1 \)’s proposal must be aborted (\( s_3 \) must reject it)
Must order proposals, reject old ones
Proposal numbers

A server should always use a new/unique proposal number

Larger proposal number denotes later proposal

To break ties, use server id – general form: `round.serverId`

Proposal numbers must be maintained on disk to survive crashes
Basic Paxos phases

Phase 1: **Prepare**
- Find out about any (potentially) chosen values
- Block older proposals that have not yet completed

Phase 2: **Accept**
- Ask acceptors to accept a specific value
Basic Paxos - Summary

**Proposers**

1) Choose new proposal number $n$
2) Broadcast Prepare($n$) to all servers
4) When responses received from majority:
   - If any acceptedValues returned, replace value with acceptedValue for highest acceptedProposal

**Acceptors**

3) Respond to Prepare($n$):
   - If $n > \text{minProposal}$ then $\text{minProposal} = n$
   - Return(acceptedProposal, acceptedValue)
5) Broadcast Accept($n$, value) to all servers
6) Respond to Accept($n$, value):
   If $n \geq \text{minProposal}$ then
     acceptedProposal = minProposal = $n$
     acceptedValue = value
   Return(minProposal)

Acceptors: $\text{minProposal}$, acceptedProposal, and acceptedValue on disk
Proposers: latest proposal number on disk

!! It is often tempting to come up with flawed optimizations !!
Example case 1

Three possibilities when later proposal prepares

Previous value already chosen:

→ New proposer will find it and use it

Prepare proposal 3.1 (from $s_1$)

“Accept proposal 4.5 with value X (from $s_5$)”
Example case 2

Previous value not chosen but later proposal sees it:

→ New proposer will use existing value
→ Both proposers can succeed

\[ \begin{align*}
S_1 & : P 3.1 & A 3.1 X \\
S_2 & : P 3.1 & A 3.1 X \\
S_3 & : P 3.1 & A 3.1 X & P 4.5 & A 4.5 X \\
S_4 & : P 4.5 & A 4.5 X \\
S_5 & : P 4.5 & A 4.5 X \\
\end{align*} \]
Example case 3

Previous value not chosen and later proposal doesn’t see it:
→ New proposer chooses its own value
→ Older proposal blocked
Liveness

Does basic Paxos guarantee liveness always?

Solutions?
Proposers back-off with randomized delays
Only one proposer at a time (leader)
Proposal 5.1 with value X has been accepted on 3 servers (in a 5-node cluster)

After this, is it possible that any server could accept a different value Y?
Could have crashed any (unknown) point in protocol: during prepare, during accept, or even after a successful accept

Re-execute from beginning with the same proposal number but different value, b

Is this safe?
Respond to Prepare(n):
If n > minProposal then
  minProposal = n
Return(acceptedProposal, acceptedValue)

Respond to Accept(n, value):
If n ≥ minProposal then
  acceptedProposal = n
  acceptedValue = value
Return(minProposal)

Is this safe?