CS 577 - Data Structures $\&$ Amortized Analysis

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Static problems

Given an input, produce an output.

Examples

Sorting, Compute Fourier Transform, shortest paths, ...

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Given an input, produce an output.

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Dynamic problems

Given a sequence of operations (given one at a time), produce a sequence of outputs.

Examples

Dynamic Median Maintenance of a growing list, Incremental Convex Hull of a online updated set of points, . . .

Algorithm Vs Data structure

Algorithm. Step-by-step procedure to solve a problem. **Data structure.** Way to store and organize data.

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What data structures have you learned about in your courses so far?

Ex. Array, linked list, binary search tree, hash table, ...

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Let's see an example of a Data Structure Problem.

DATA STRUCTURE PROBLEMS

Appetizer. Efficiently null-initialized array

Goal. Design a data structure to support **all** operations in *O*(1) time.

- **INIT**(*n*): create and return an initialized array (all zero) of length *n*.
- **READ** (A, i) : return element *i* in array.
- **WRITE(***A*, *i*, **value):** set element *i* in array to value.

Assumptions.

- Can **MALLOC** an uninitialized array of length *n* in *O*(1) time. true in C or C++, but not Java
- Given an array, can read or write element *i* in *O*(1) time.

Efficiently null-initialized array

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Assume Standard Such a structure using standard \mathbb{R} **How can we build such a structure using standard** Can **MALLOC** an uninitialized array of length *n* in *O*(1) time. arrays like Lego blocks?

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 \int **INIT** in $\Theta(n)$ time. **READ** and **WRITE** in Θ(1) time

Efficiently Null-Initialized Array IMPLEMENTATION (EFFORT #1) Initialization Stamp

$Is-VALID(A, i)$

If $(1 \leq B[i] \leq k)$ Return true. Else Return false.

Eppromismus Nurri Inimiariano Array

- $\frac{1}{\text{Im}}$ i. k keeps track of how many cells have been safely modified.
	- ii. $A[\cdot]$ is used to store and retrieve the values.
- INII iii. B[i] indicates when A[i] was initially modified.

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- $\frac{1}{\text{Im}}$ i. k keeps track of how many cells have been safely modified. ii. A[:] is used to store and retrieve the values.
- INII iii. B[i] indicates when A[i] was initially modified.

What if $1 \leq B[5] \leq 4$ is true by coincidence?

[Data Structure Problems](#page-7-0) [Amortized Analysis](#page-21-0) Amortized Analysis Amortized Analysis Amortized Analysis Amortized

Efficiently Null-Initialized Array IMPLEMENTATION (EFFORT #2)

 $C[1..k] \neq i$ if *A*[i] not initialized. (Induction at *k* \circledcirc) If $(1 \leq B[i] \leq k)$, then we still can't have $(C[B[i]] = i)$ because

Efficiently Null-Initialized Array IMPLEMENTATION (EFFORT #2)

$Is-VALID(A, i)$

```
If (1 \leq B[i] \leq k) and (C[B[i]] = i)Return true.
Else
  Return false.
```
[Amortized Analysis](#page-21-0)

Definition

Worst-case analysis

Determine worst-case running time of a data structure operation as a function of the input size *n*.

Pessimistic if expensive operations follow many cheap ones.

Amortized analysis

Determine worst-case running time of a sequence of *n* data structure operations.

$$
Amortized - Cost = \frac{\sum_{i \in [n]} actual - cost[move_i]}{n}
$$

Goal

Increment *n* times a *k*-bit binary counter (mod 2*^k*) where *k* is super-large $k = \omega(2^{2^n})$.

A[*j*] = *j th least significant bit of the counter.*

Table: Binary Counter Table

Cost model

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Number of bits flipped.

How many bit flips are needed to increment the counter *n* times, starting from zero?

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How many bit flips are needed to increment the counter *n* times, starting from zero?

In worst-case, at most k bits flipped per increment. So $O(n\dot{k})$.

But *k* bits together would only flip during the last increment.

1 0 0 0 0 0 0 0 1 2 0 0 0 0 0 0 1 0

Intuition

Measure running time in terms of credits (time = money).

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Key Idea

- Expensive operations: Prepay for these by storing up credits during cheaper operations.
- Cheap operations: Use the already stored credits (no extra cost at the time).

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How It Works

- For every operation, we consume one credit.
- Assign each operation a cost that includes both the actual cost and some extra "savings."
- Use these "savings" to cover the cost of future cheap operations.

An Example is Worth a Thousand Words

AMORTIZED ANALYSIS: ACCOUNTING METHOD

An Example is Worth a Thousand Words

Every operation costs \$1 to execute. If we don't have money in our pocket, we borrow from the bank.

- For expensive operations, we borrow \$5. The leftover money stays in our pocket for future use.
- For cheap operations, we borrow only \$1 if our pocket is empty.

At the end,

operations = (Dollars we consumed) composition concerned cost actual cost # operations = (Dollars we borrowed) −(Dollars left in our pocket) . **s** bank cost **Examples**
Potential overcharging

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$$
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\n**Back**

\nExpression

\nBank

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# operations = $($ dollars we borrowed) – $($ dollars left in our pocket)		
bank cost	Potential overcharging	
Operation	Bank	Pocket
Expression	5 $\frac{4\pi}{9}$	
Cheap	1	

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 $\frac{5.5 \text{ NIO}}{5.5 \text{ NIO}}$ Aesop's Moral

- Bank: Our worst-case outlook on expensive operations.
- Pocket: Our amortized hope that costly operations are rare.

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Amortized Analysis

- If we don't have enough money in our pocket, we borrow \$1 per necessary flip.
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Amortized Analysis

Rules: The cost of any flip is \$1. We always borrow \$2 from the bank.

If we don't have enough money in our pocket, we borrow \$1 per necessary flip.

Observations

- #1 Pocket dollars = Aces on the counter register
- \tanh loan of 2% is always sufficient #2 Bank loan of 2\$ is always sufficient.

 \sqrt{D} $(1 \cdot 1)$ $\frac{1}{2}$ (Proof by induction \circledcirc)

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Amortized Analysis 3.3×10^{10}

 μ (D_2) (D_3) (D_4) ↓ 2\$ 2\$ # operations = (Dollars we borrowed) −(Dollars left in our pocket) $\overbrace{\qquad \qquad }^{\qquad \qquad }$ $\qquad \qquad \overbrace{\qquad \qquad }^{\qquad \qquad }$ **Example 28 25 25 25 26 26 26 27 28 29 29 29 20 21 22 23 24 25 26 27 28 29 29 20 21 22 23 24 25 26 27 28 27 28 2** $\overline{6}$ $\overline{6}$ $\overline{6}$ $\overline{11}$ $\overline{1}$ $\overline{6}$ $\overline{1}$ # operations = $2n$ − (Dollars left in our pocket) $\leq 2n$ 7 ⋯ 0 0 1 1 1 ↓ 2\$ 1\$ … 0 1 0 0 0 ´¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¸¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¶ bank cost ´¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¸¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¶ Potential overcharging ´¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¸¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¹¶ Potential overcharging

APPENDIX

REFERENCES

Image Sources I

<https://en.wikipedia.org/wiki/Bijection>