

x7 Q1

### Question 1

• [3 points] Given the variance matrix  $\hat{\Sigma}$  is a diagonal matrix, what is the smallest value of  $K$  so that the Manhattan distance between the vector

30 =

$$\begin{bmatrix} 1 \\ 1 \\ \dots \\ 1 \end{bmatrix}$$

with 38 1's and its reconstruction using the first  $K$  principal

components is less than or equal to 8?

38 x 38

$$PC_1 = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}$$

$$PC_i = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$$

all PCs look like this.

$$\hat{\Sigma} = \begin{bmatrix} 1 & & & & \\ & 1 & & & \\ & & \ddots & & \\ & & & 1 & \\ & & & & 1 \end{bmatrix}$$

original features

new feature

$$38 \left\{ \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} = X \right.$$

$$X' = \begin{pmatrix} PC_1 \cdot X \\ PC_2 \cdot X \\ \vdots \\ PC_K \cdot X \end{pmatrix} = \left. \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \right\} K$$

re construction

$$\underbrace{38}_{\substack{\downarrow \\ K \text{ 1's} \\ 38-K \text{ 0's}}} \left\{ \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} \right\} \approx \hat{X} = \begin{matrix} \nearrow & \downarrow & \nwarrow \\ X_1' \cdot \underline{PC}_1 & + & X_2' \cdot \underline{PC}_2 & + \dots & + & X_k' \cdot \underline{PC}_k \end{matrix}$$

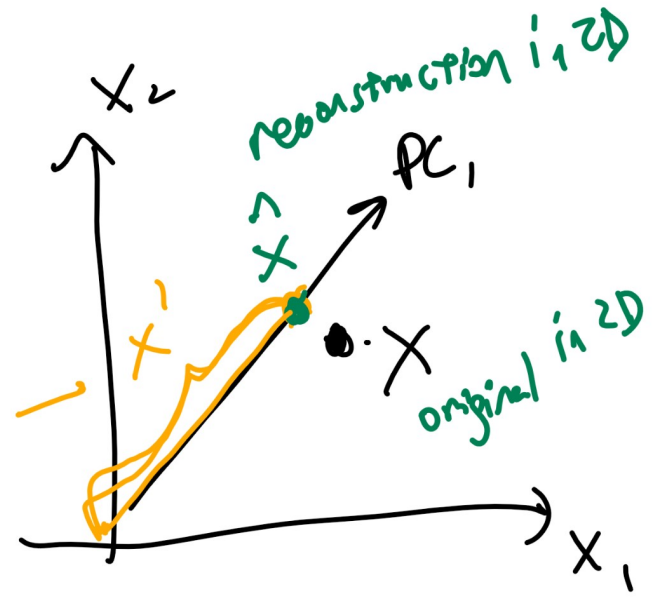
$$= \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} \left. \begin{matrix} \right\} K \text{ of them are 1} \\ \right\} 38-K \text{ of them are 0} \end{matrix}$$

$$d \left( \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} \right) = (38 - K) \cdot (1 - 0) + K \cdot (1 - 1)$$

$$= 38 - K$$

want  $38 - K \leq 8$        $K \geq 30$

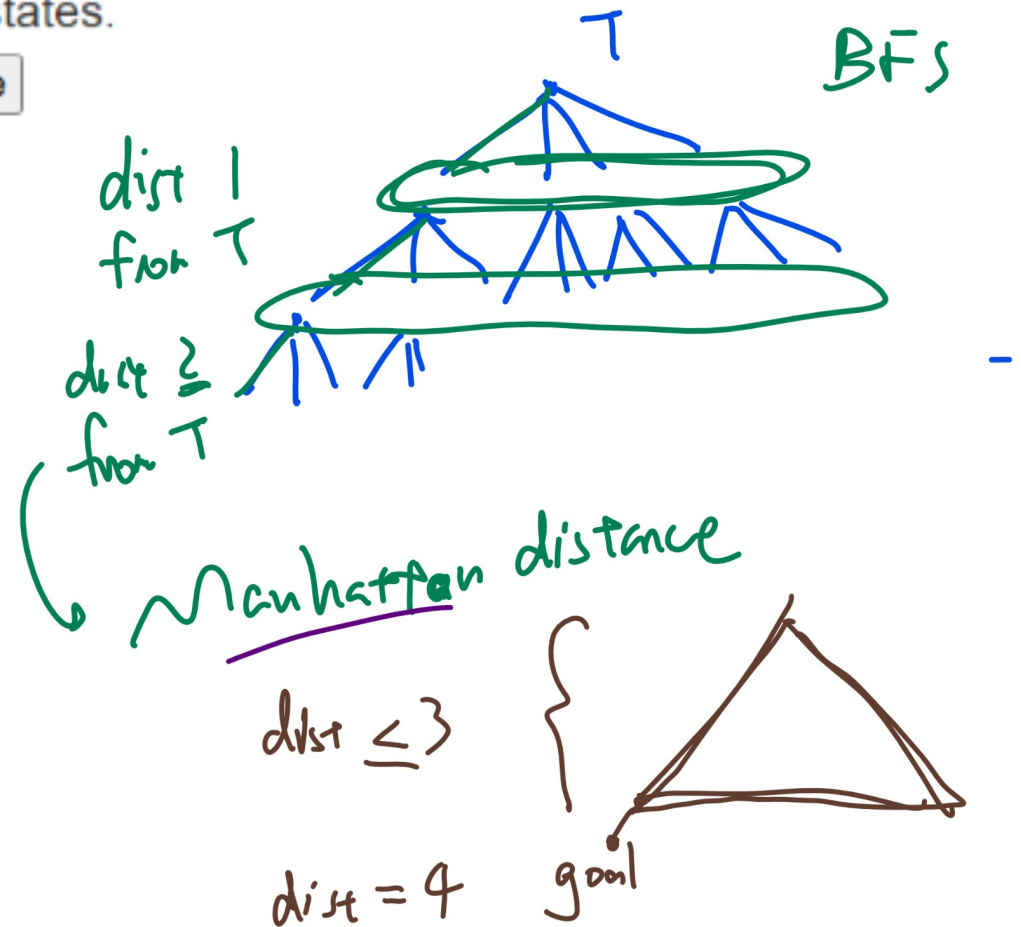
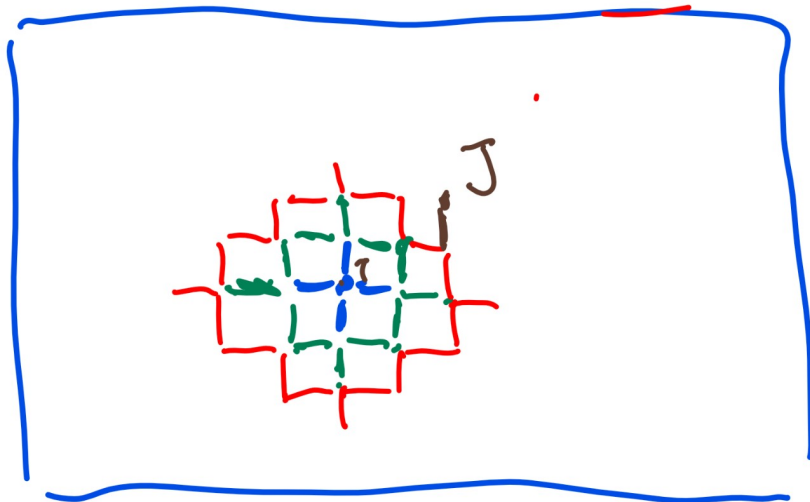
new features in 1D

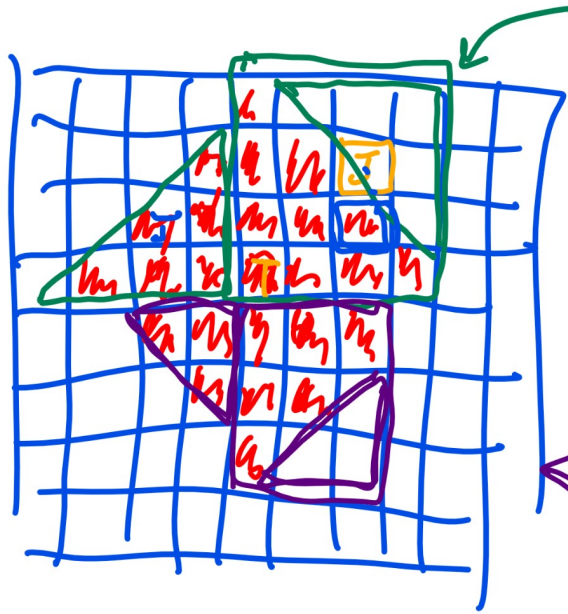


## Question 2

• [3 points] In a 200 by 140 grid, Tom is located at (98, 54) and Jerry is located at (112, 95). Tom uses BFS (Breadth First Search) to find Jerry and the successors of a state (one cell in the grid) are the four neighboring states on the grid (the cells above, below, to the left and to the right). What is the minimum number of states that need to be expanded to find (and expand) the goal state? The order in which the successors are added can be arbitrary. Include both the initial and the goal states.

• Answer:  .





$$d = 4$$

$$d^2 + (d-1)^2 + 1$$

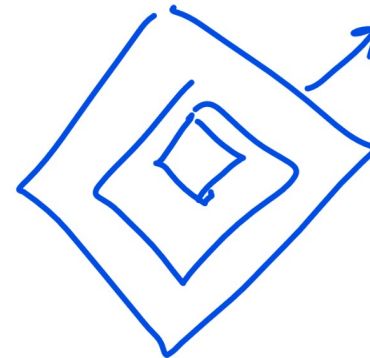
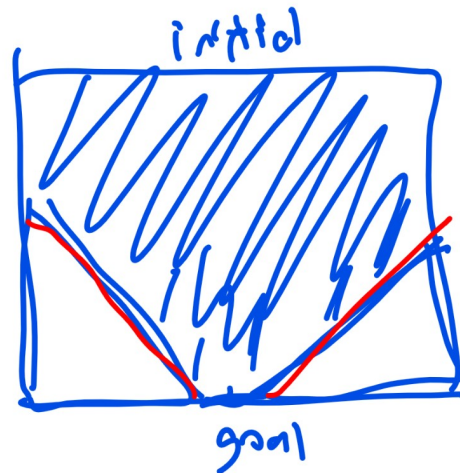
Answer =

J goal state

$$d = |112 - 98| + |95 - 54|$$

Manhattan dist between T and J.

P5



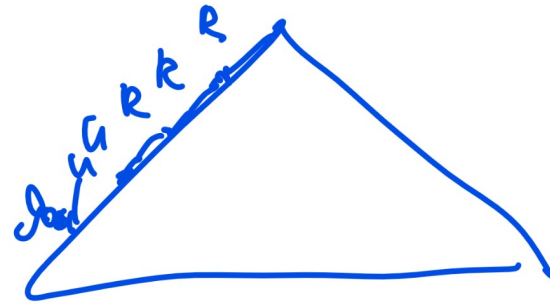
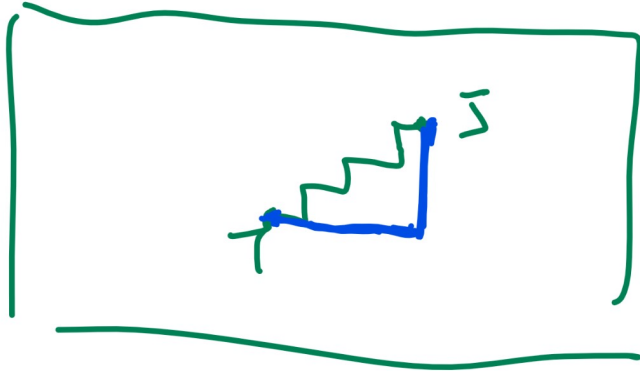
worst



goal



best case



DFS =  $d$   
 Manhattan dist.

expand goal state  
 to stop

BFS,  $A^*$  → stop after goal is expanded.

### Question 3

• [3 points] There are  $n = 101$  students in CS540, for simplicity, assume student 0 gets grade  $g = 0$ , student 1 gets grade  $g = 1, \dots$ , student  $n - 1$  gets grade  $g = n - 1$ . The payoff <sup>value</sup> for each student who drop the course is 0, the payoff for the students who stay is  $0.02g - 1.5$  if the student has the lowest grade among all students who decide to stay in the class, and the  $0.02g - 1$  otherwise. If each student only uses actions that are rationalizable (i.e. survive the iterated elimination of strictly dominated actions), how many students will stay in the course? If there are multiple correct answers, enter one of them.

• Answer:  Calculate

$$g \leq 75 \quad D$$
$$g \geq 75 \quad S$$

rational  $\rightarrow$  do not play action never best response.

do not play strictly dominated action.

no matter what other players are doing, this action is worse.



① even if I have lowest grade I would not drop

② even if I do not have lowest grade, I would drop.

①  $0.02g - 1.5 > 0 \Rightarrow \underline{g > 75} \Rightarrow$   
 rational action is Stay.

②  $0.02g - 1 < 0 \Rightarrow \underline{g < 50} \Rightarrow$   
 rational action is Drop.

$\underline{50 \leq g \leq 75} \Rightarrow$   $\left. \begin{array}{l} \text{rational action : } \left[ \begin{array}{l} \text{Stay} \leftarrow \\ \text{Drop} \leftarrow \end{array} \right] \\ \text{rationalizable : } \underline{\text{Drop}} \end{array} \right\}$

$\underline{g = 50}$ , assume other students are rational  $\leftarrow$   
 $\underline{g < 50}$  drop  $\Rightarrow g = 50$  is definitely best  
 $0.02 \cdot 50 - 1.5 < 0 \Rightarrow \text{Drop.}$

$\underline{g = 51}$ , assume other students are rational  $\leftarrow$   
 AND they assume other students are rational

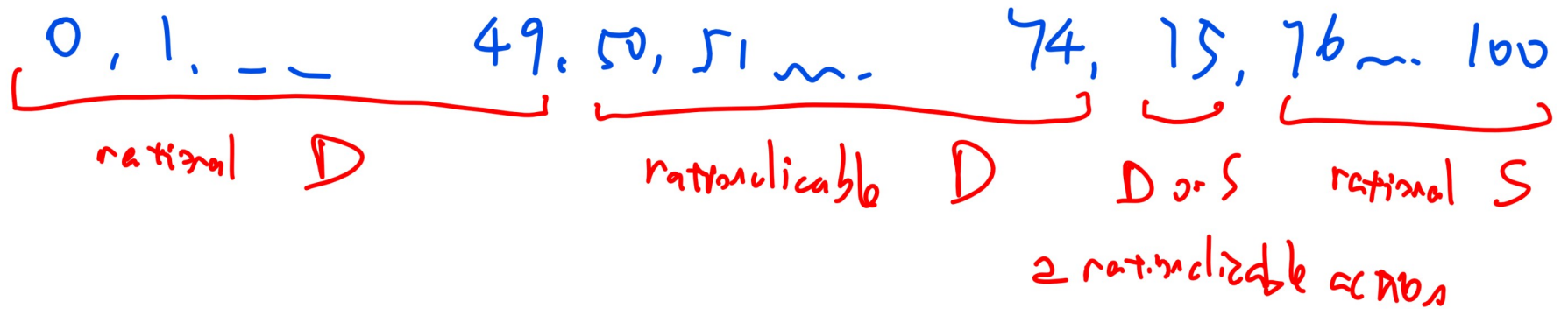
$$g < 50, \quad \underline{g = 50} \quad \text{drop} \Rightarrow \underline{g = 51} \text{ lowest}$$

$$0.02 \cdot 51 - \boxed{-1.5} < 0 \Rightarrow \text{Drop.}$$

⋮

$$g = 74 \quad 0.02 \cdot 74 - 1.5 < 0 \Rightarrow \text{Drop}$$

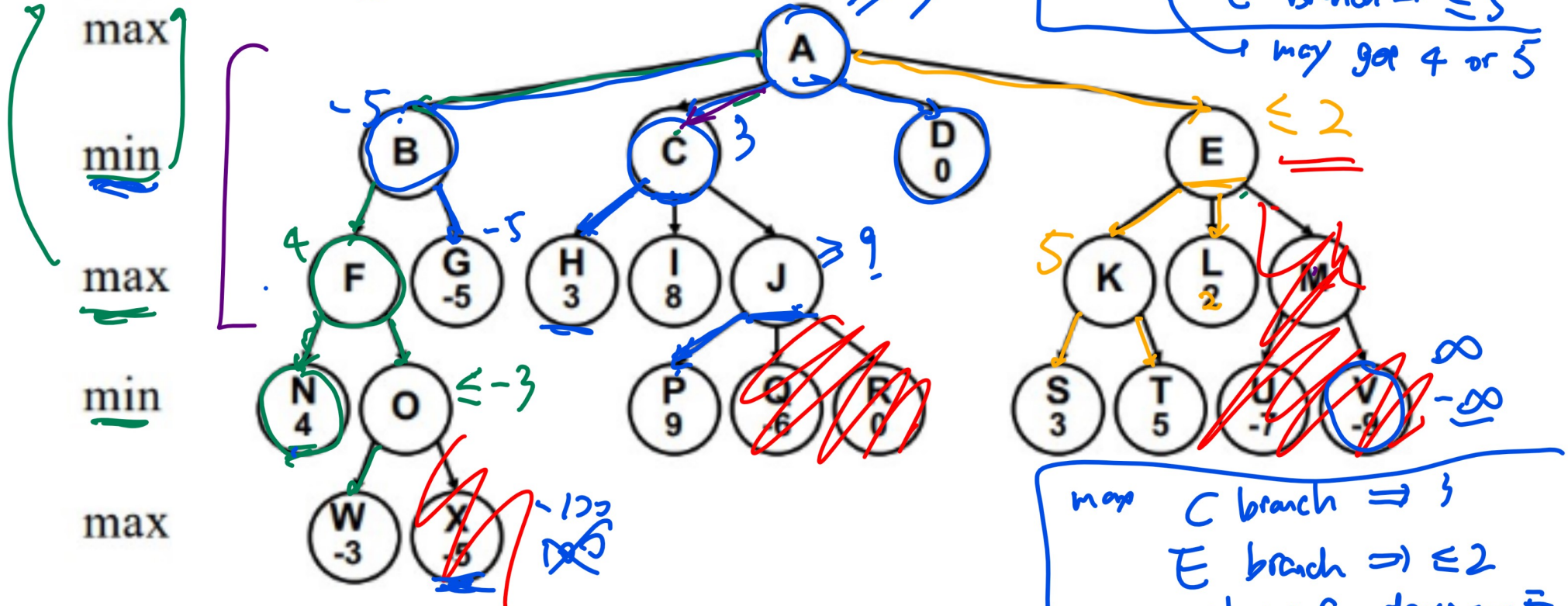
$$g = 75 \quad \boxed{0.02 \cdot 75 - 1.5 = 0} \Rightarrow \text{Drop Stay}$$



$$\text{ans} = \underline{25} \quad \text{OR} \quad \underline{26.} \quad \text{2 NE.}$$



$\alpha$ - $\beta$  pruning DFS



max C branch  $\Rightarrow 3$   
 E branch  $\Rightarrow \leq 5$   
 may get 4 or 5

max C branch  $\Rightarrow 3$   
 E branch  $\Rightarrow \leq 2$   
 choose C, don't care E.

$\alpha$ - $\beta$  pruning

max can get  $\geq 4$  from left

max can get  $\leq -3$  from right

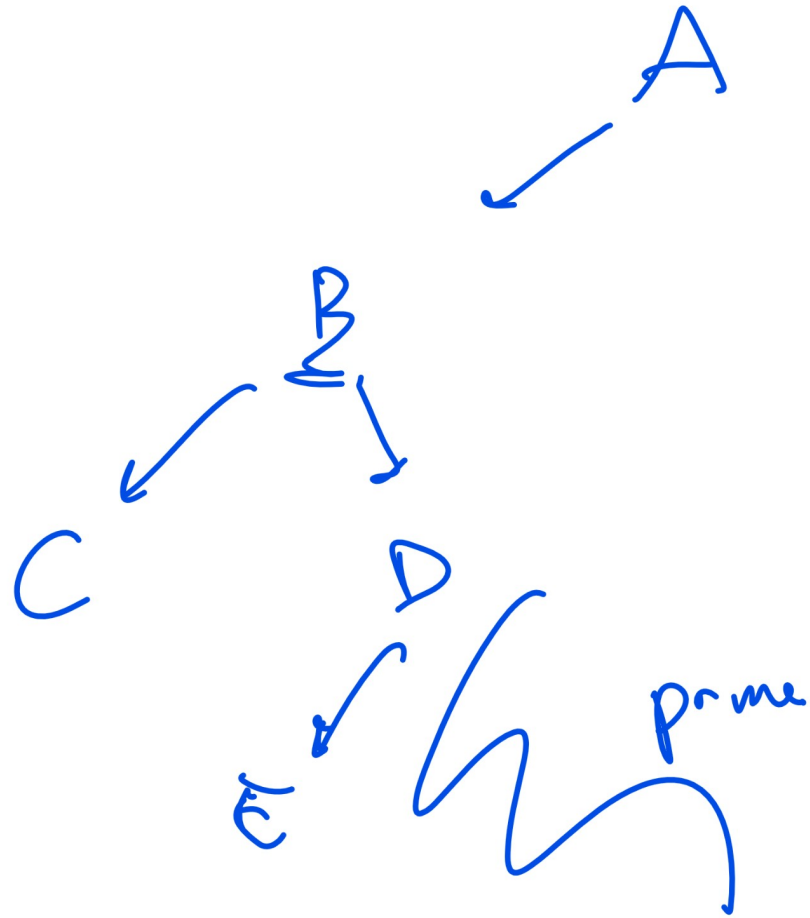
max does not care exact value  $\leq -3 \Rightarrow$  prune.

pruned total of 6

solution CS  $\Rightarrow$  sequence of move

Game  $\Rightarrow$  strategy in all situations

$\alpha - \beta$  prune  $\implies$  avoid continue search.



back at 7:10.

beam search not  
on exam.

# Question 10

M11

[4 points] Given the following game payoff table, suppose the the row player uses a mixed strategy playing U with probability  $p$ , and column player uses a pure strategy. What is the smallest and largest value of  $p$  in a mixed strategy Nash equilibrium?

Row \ Col	L $q$	R $1-q$
U $p$	9, 8	9, 0
D $1-p$	9, 0	0, 10

BR<sub>col</sub> =

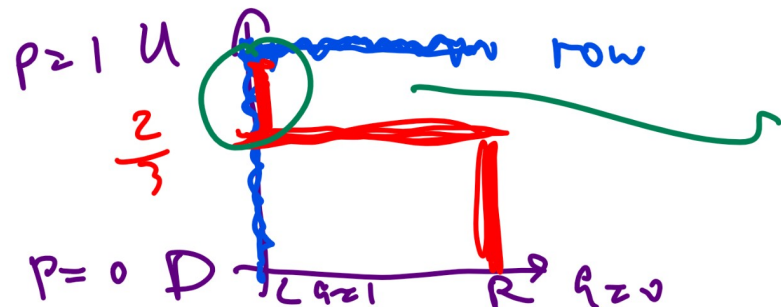
- L if  $L \geq R$
- mix if  $L = R$
- R if  $L < R$

$$5 \cdot p + 0 \cdot (1-p) \geq 0 \cdot p + 10(1-p)$$

$$p \geq \frac{2}{3}$$

$$p = \frac{2}{3}$$

$$p \leq \frac{2}{3}$$



mutual best response

$$BR_{\text{row}} \approx \begin{cases} U & \text{if } U \geq D \Rightarrow q \geq q \cdot q + 0(1-q) \\ \text{mix} & \text{if } U = D \Rightarrow q \leq 1 \\ D & \text{if } U \leq D \Rightarrow q = 1 \end{cases}$$

$q = 1$  always L

$$\left( \underbrace{q = 1}_{\text{always L}}, p \in \left[ \frac{2}{3}, 1 \right] \right) \Rightarrow \text{mixed NE}$$

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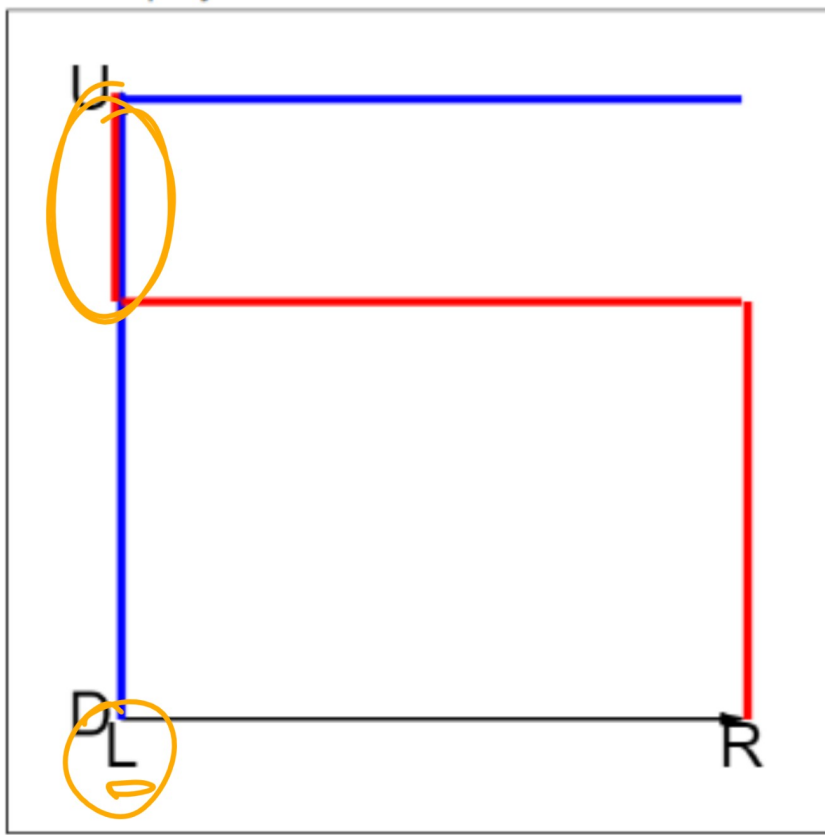
Row \ Col	L	R
U $p$	9, 5	9, 0
D $1-p$	9, 0	0, 10

$\xrightarrow{\text{mix}}$  if col use R  $\Rightarrow$  row will use U always

$\Rightarrow$  col use L :  $L \geq R$

$$5p + 0(1-p) \geq 0p + 10(1-p)$$

$$p \geq \frac{2}{3} \Rightarrow \left[ \frac{2}{3}, 1 \right]$$



col play L

row play  $(?, 1)$   
←

# Question 4

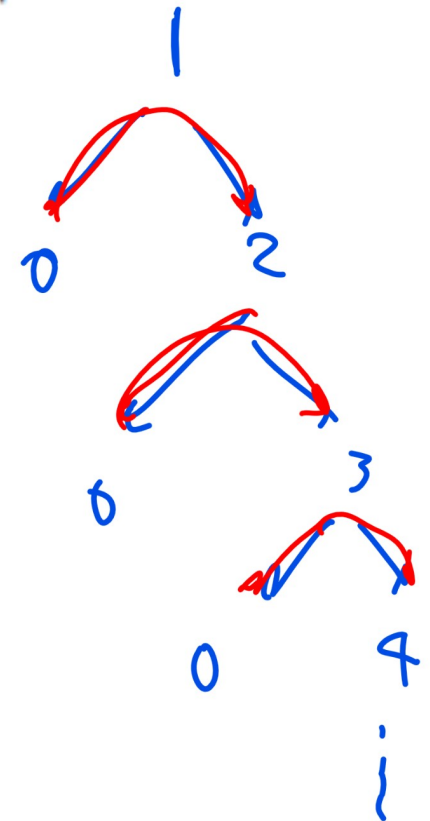
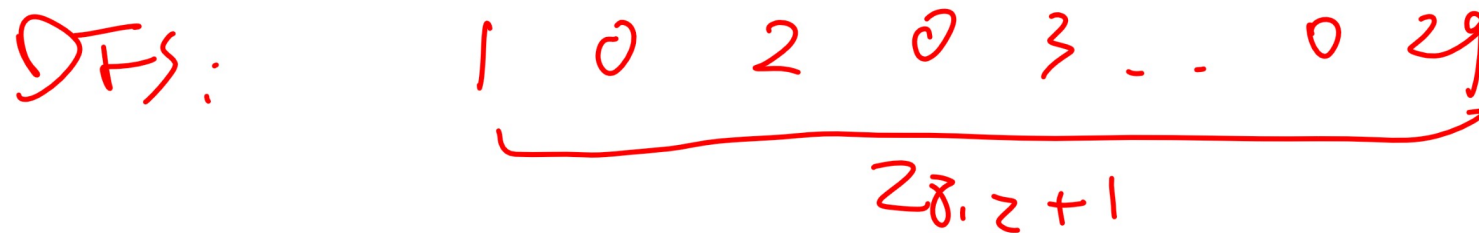
*m9*

• [2 points] Consider  $n + 1 = 29 + 1$  states. The initial state is 1, the goal state is  $n$ . State 0 is a dead-end state with no successors. For each non-0 state  $i$ , it has two successors:  $i + 1$  and 0. There is no cycle check nor CLOSED list (this means we may expand (or goal-check) the same nodes many times, because we do not keep track of which nodes are checked previously). How many goal-checks will be performed by Breadth First Search? Break ties by expanding the node with the smaller index first.

*WDS  
DFS.*



# states expanded =  $28 \cdot 2 + 1$   $\leftarrow$  BFS



BFS:

Large index first

1 2 0 3 0 4 0

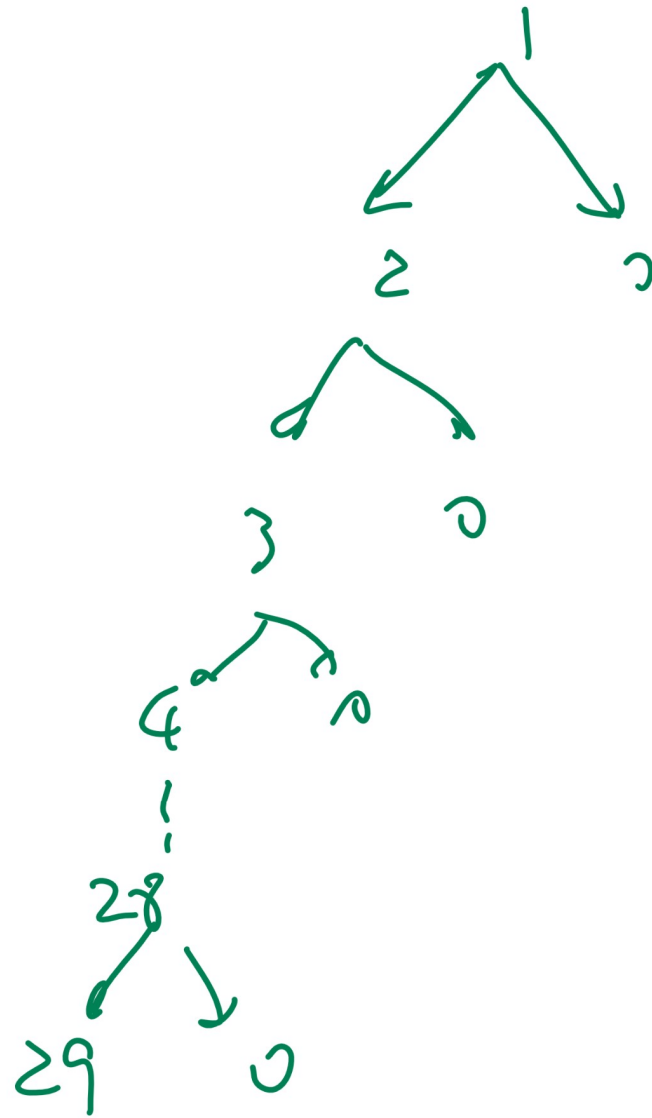
... 28 0 29

# states searched  
=  $28 \cdot 2$

DFS:

1, 2, 3, ..., 29

# = 29



IDS 1 + 3 + 5 + 7 + ...

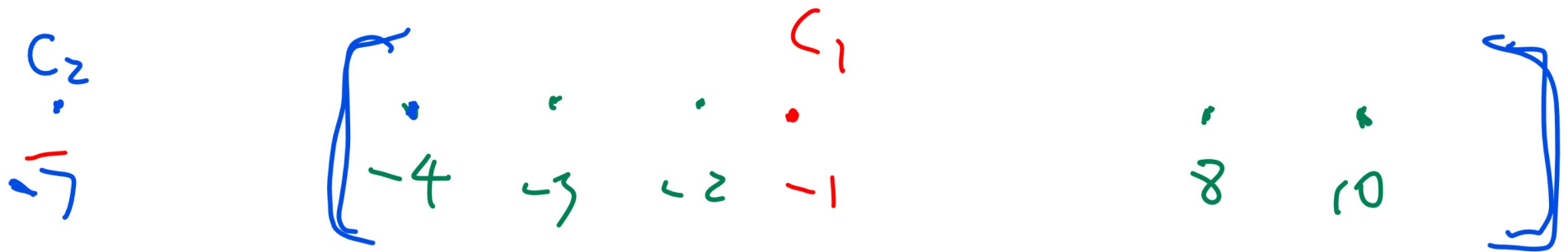


## Question 15

x3

• [4 points] Given the dataset  $[8 \quad -2 \quad 10 \quad -4 \quad -3]$ , the cluster centers are computed by k-means clustering algorithm with  $k = 2$ . The first cluster center is  $x$  and the second cluster center is  $-7$ . What is the maximum value of  $x$  such that the second cluster is empty (contains 0 instances). In case of a tie in distance, the point belongs to cluster 1.

• Answer:  .



$-4$  is "closer" to  $C_1$  compared to  $C_2$ .

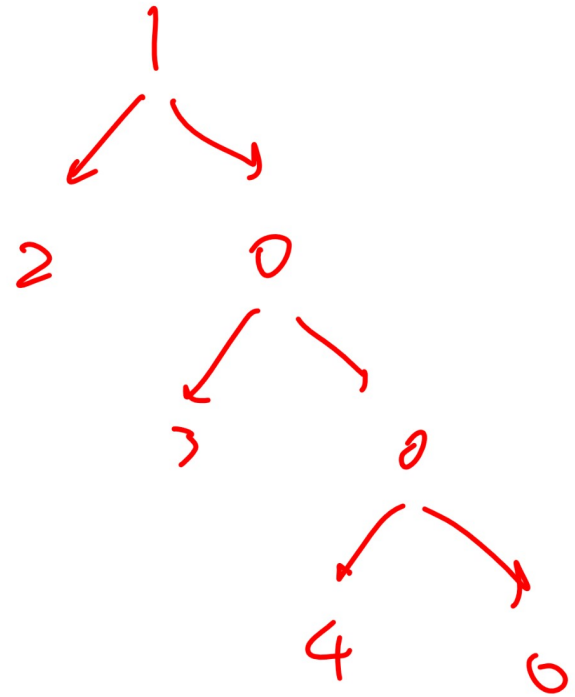
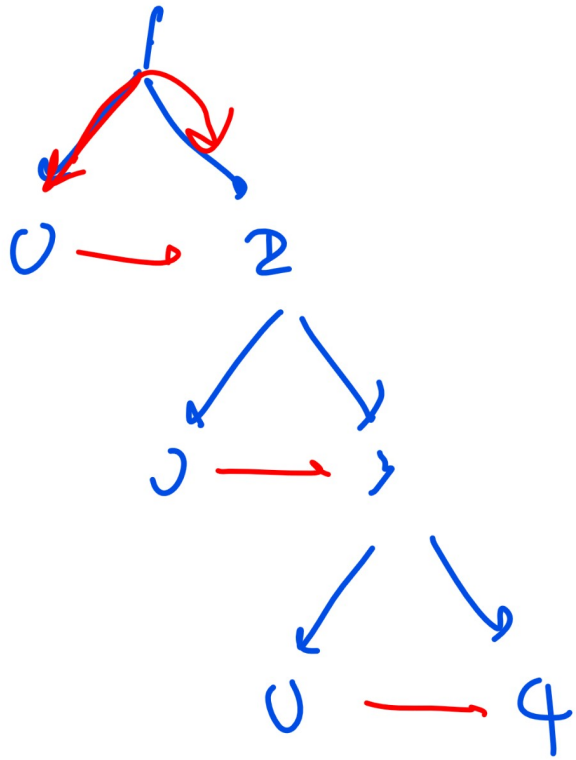
$\Rightarrow -4 = \frac{1}{2} (C_1 + \cancel{C_2})$  tie breaking  
solve  $C_1 = -1$   $-4$  belongs to  $C_1$

## Question 8

• [4 points] When using the Genetic Algorithm, suppose the states are  $[1, 0, 1, 0, 0, 0]$ ,  $[0, 0, 1, 0, 0, 1]$ ,  $[1, 0, 1, 1, 0, 0]$ ,  $[1, 1, 1, 1, 0, 0]$ . Let  $T = 6$ , the fitness function (not the cost) is  $\text{argmin}_{t \in \{1, \dots, T+1\}} x_t = 1$  with  $x_{T+1} = 1$  (i.e. the index of the first feature that is 1). What is the reproduction probability of the state with the highest reproduction probability?

• Answer: .

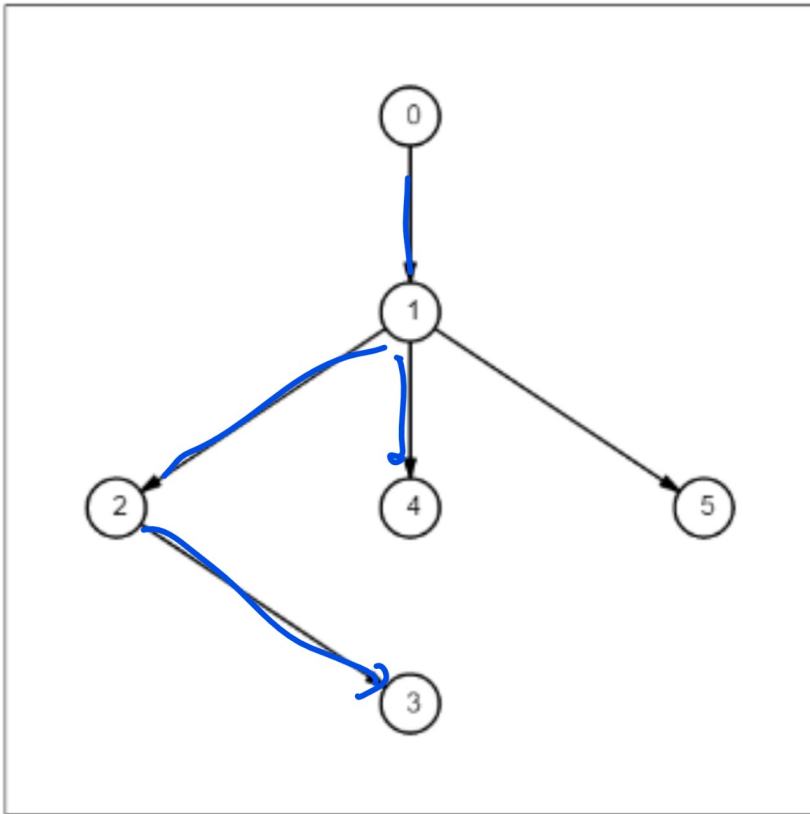
state	fitness	reproduction probability
1	1	1/6
2	3	1/2
3	1	1/6
4	1	1/6



## Question 8

• [3 points] Consider Iterative Deepening Search on a tree, where the nodes are denoted by numbers. Write down the sequence IDS visited in the order they are expanded (i.e. expansion path). 0 is the initial state and 5 is the goal state. Start with depth limit 0, include the root, and include repeated nodes.

• Note: use the convention used in the lectures, push the rightmost (in the diagram) successor into the stack first or enqueue the leftmost (in the diagram) successor into the queue first.



expand left ones first

level 0 : 0

level 1 : 0, 1

level 2 : 0, 1, 2, 4, 5

level 3 : 0, 1, 2, 3, 4, 5