



# CS 764: Topics in Database Management Systems

## Lecture 21: Cornus

Xiangyao Yu

11/16/2022

# Today's Paper: Cornus

## Cornus: Atomic Commit for a Cloud DBMS with Storage Disaggregation (Extended Version)

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### ABSTRACT

*Two-phase commit* (2PC) is widely used in distributed databases to ensure atomicity of distributed transactions. Conventional 2PC was originally designed for the shared-nothing architecture and has two limitations: *long latency* due to two eager log writes on the critical path, and *blocking* of progress when a coordinator fails.

Modern cloud-native databases are moving to a storage disaggregation architecture where storage is a shared highly-available service. Our key observation is that disaggregated storage enables protocol innovations that can address both the long-latency and blocking problems. We develop Cornus, an optimized 2PC protocol to achieve this goal. The only extra functionality Cornus requires is an atomic compare-and-swap capability in the storage layer, which many existing storage services already support. We present Cornus in detail with proofs and show how it addresses the two limitations. We also deploy it on real storage services including Azure Blob Storage and Redis. Empirical evaluations show that Cornus can achieve up to 1.9x latency reduction over conventional 2PC.

### 1 INTRODUCTION

Databases are migrating to the cloud because of desirable features such as elasticity, high availability, and cost competitiveness. Modern cloud-native databases feature a *storage-disaggregation* architecture where the storage is decoupled from computation as a standalone service as shown in Figure 1b. This architecture allows independent scaling and billing of computation and storage, which can improve resource utilization, reduce operational cost, and enable flexible cloud deployment with heterogeneous configurations. Many cloud-native database systems adopt such an architecture for both OLTP [22, 49, 62, 67] and OLAP [15–17, 24, 31, 60]. Nowadays, as storage services offer essential functions such as fault tolerance, scalability, and security at low-cost, systems start to layer their designs on the existing disaggregated storage services [23, 27].

This paper focuses on efficient deployment of the two-phase commit protocol on existing storage services. Two-phase commit (2PC) is the most widely used atomic commit protocol, which ensures that distributed transactions commit in either all or none of the involved data partitions. 2PC was originally designed for the *shared-nothing* architecture and suffers from two major problems. The first is *long latency*: 2PC requires two round-trip network messages and associated logging operations. Previous work has demonstrated that the majority of a transaction's execution time can be attributed to 2PC [20, 21, 33, 42, 50, 52, 64]. The second problem is *blocking* [25, 26, 53]. Blocking occurs if a coordinator crashes

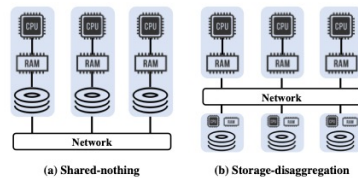


Figure 1: Shared-Nothing vs. Storage-Disaggregation.

before notifying participants of the final decision. These two problems greatly limit the performance of 2PC, especially in a storage disaggregation architecture

Various techniques have been proposed to address these two problems with 2PC. Some proposed optimizations target the shared-nothing architecture and do not solve both problems simultaneously. These protocols either reduce latency by making strong assumptions about the workload and/or system that are not always practical for disaggregated storage [19–21, 26, 45, 46, 55, 56], or they mitigate the blocking problem by adding an extra phase and prolong latency [25, 41, 53]. Another line of research addresses both problems through customizing the storage. Examples include Paxos Commit [39], TAPIR [65], MDCC [44], and parallel commit in CockroachDB [57]. Existing solutions, however, are not applicable to general storage services because they require customized storage designs that perform conflict detection between transactions [6, 44, 57, 65] and/or need specific replication protocols [39, 44, 65]. Therefore, they cannot be readily applied to most existing storage services.

In this paper, we aim to maximize the flexibility brought by disaggregation without requiring customized APIs for the storage service. Therefore, a database can adopt existing highly optimized storage services and thereby avoid the expense of developing a new one, and can also allow the storage to adopt new mechanisms (e.g., new replication protocols) independently. We aim to answer the following research question: *What is the minimal requirement from the storage layer to enable 2PC optimizations addressing high latency and blocking?* Our answer is that the only requirement is the ability to provide *log-once* functionality, which ensures that for each transaction, only one update of its state in the log is allowed. We show that log-once semantics can be achieved with a simple *compare-and-swap-like API*, which is supported by almost every storage service today, including Redis [10], Microsoft Azure Storage [28], Amazon Dynamo [32], and Google BigTable [29].

arXiv:2102.10185v4 [cs.DB] 13 Oct 2022

# Announcement

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No lecture on Wednesday next week

Optional 10-min meeting to discuss your project with instructor

Signup sheet (access using your UW account)

- [https://docs.google.com/spreadsheets/d/1HatkJkKUD8ZI0zVe\\_xZ9OxhfthrY6YAgil9NX8uS9g/edit?usp=sharing](https://docs.google.com/spreadsheets/d/1HatkJkKUD8ZI0zVe_xZ9OxhfthrY6YAgil9NX8uS9g/edit?usp=sharing)

Meetings over zoom

- <https://uwmadison.zoom.us/j/92584913804?pwd=NVDON0VjcWJLOTVwVk9UNzdRSURyZz09>

# Outline

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Cloud database

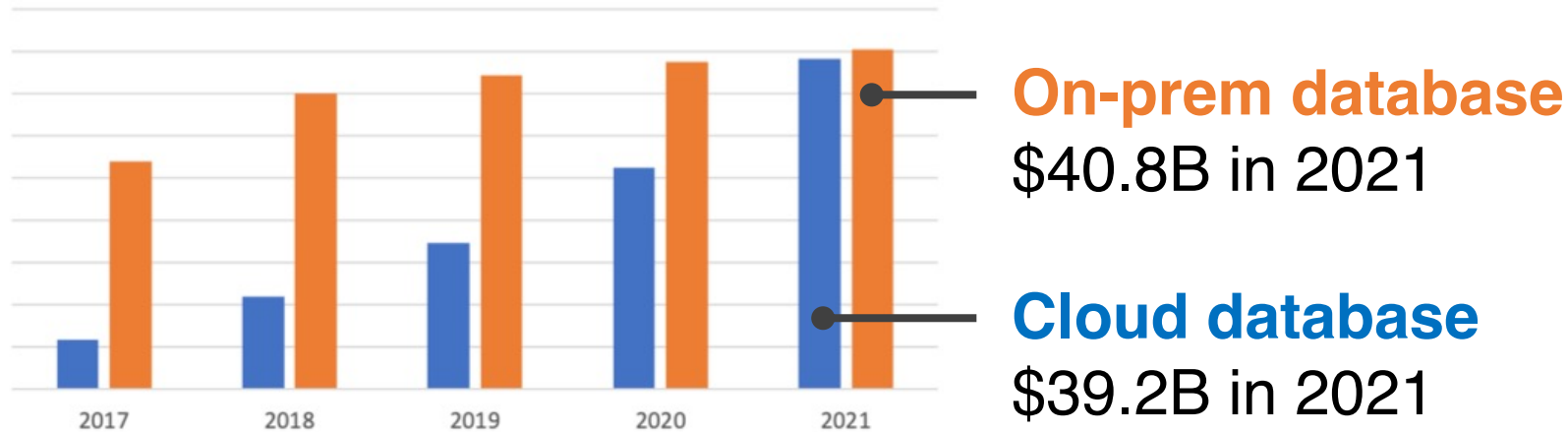
Storage disaggregation

Cornus protocol

# Databases Moving to the Cloud

According to Gartner Report [1]

**\$39.2 billion, 49%** of all DBMS revenue from cloud in 2021



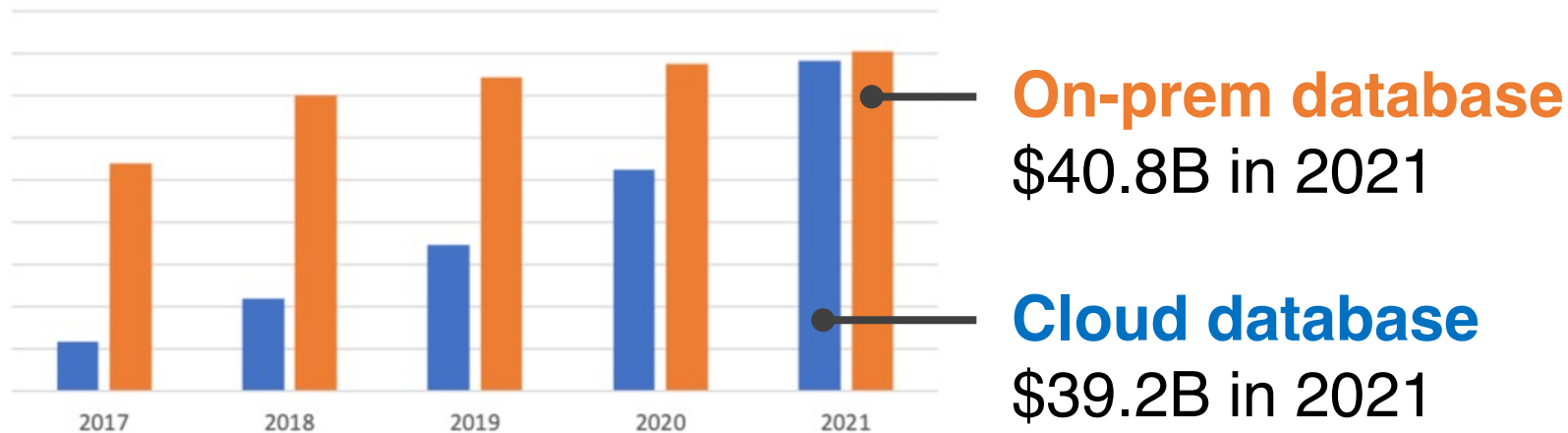
Cloud vs. On-premises Revenue

[1] DBMS Market Transformation 2021: The Big Picture, <https://blogs.gartner.com/merv-adrian/2022/04/16/dbms-market-transformation-2021-the-big-picture/>

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Cloud vs. On-premises Revenue



**Low Cost**

**Elasticity**

**Availability**

# Databases Moving to the Cloud

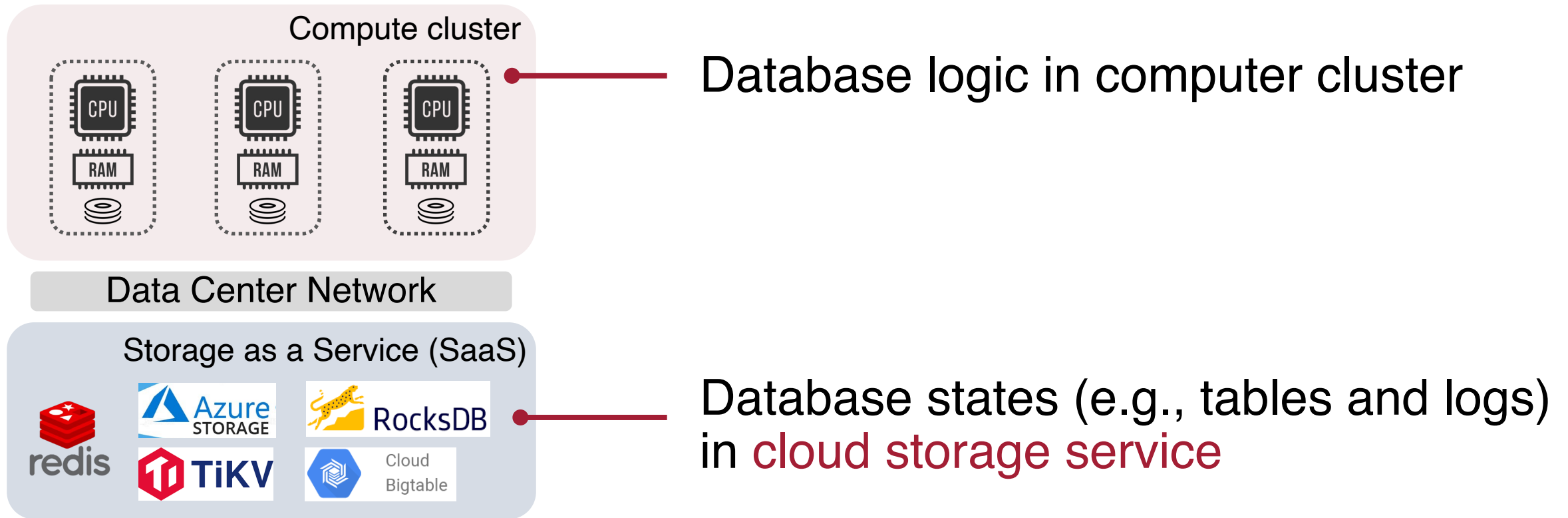
## Transactional DB



## Analytical DB



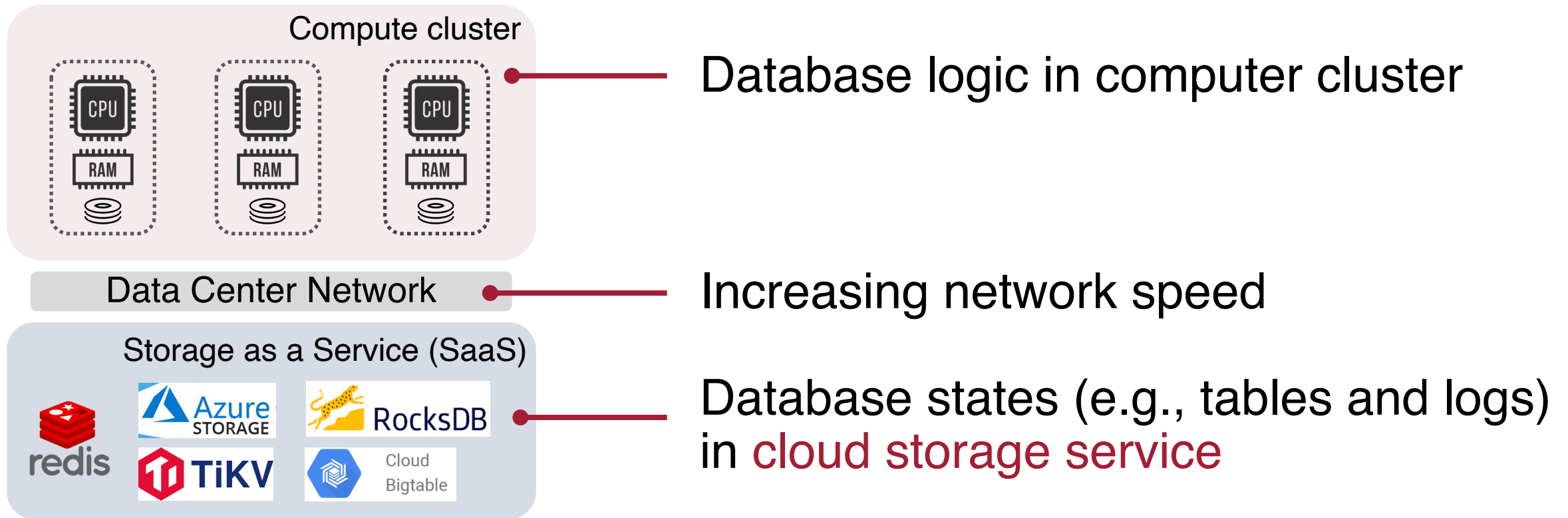
# Cloud DB: Storage-Disaggregation



**Manage computation and storage as separate services**

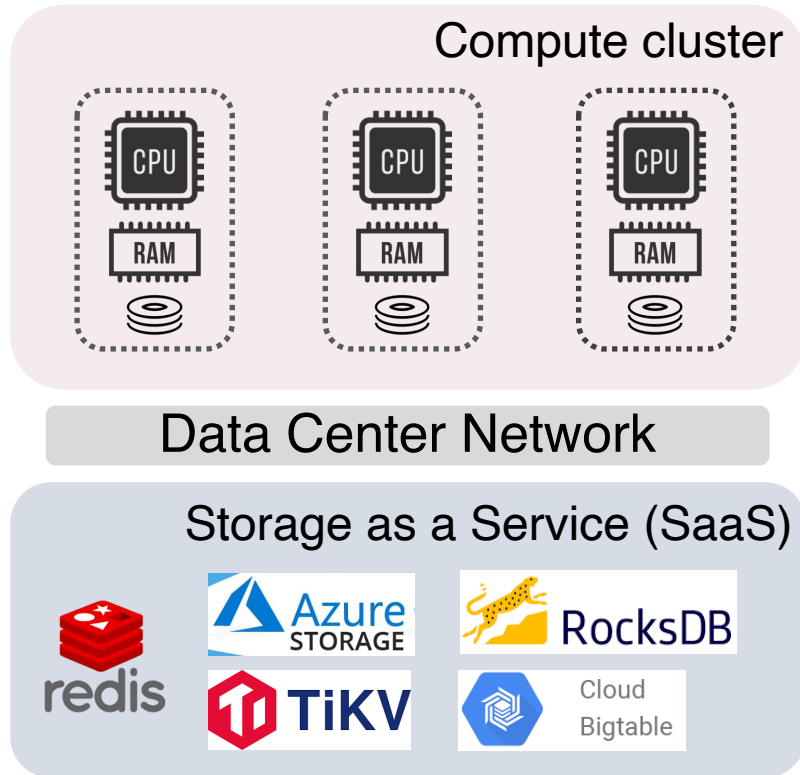


# Cloud DB: Storage-Disaggregation



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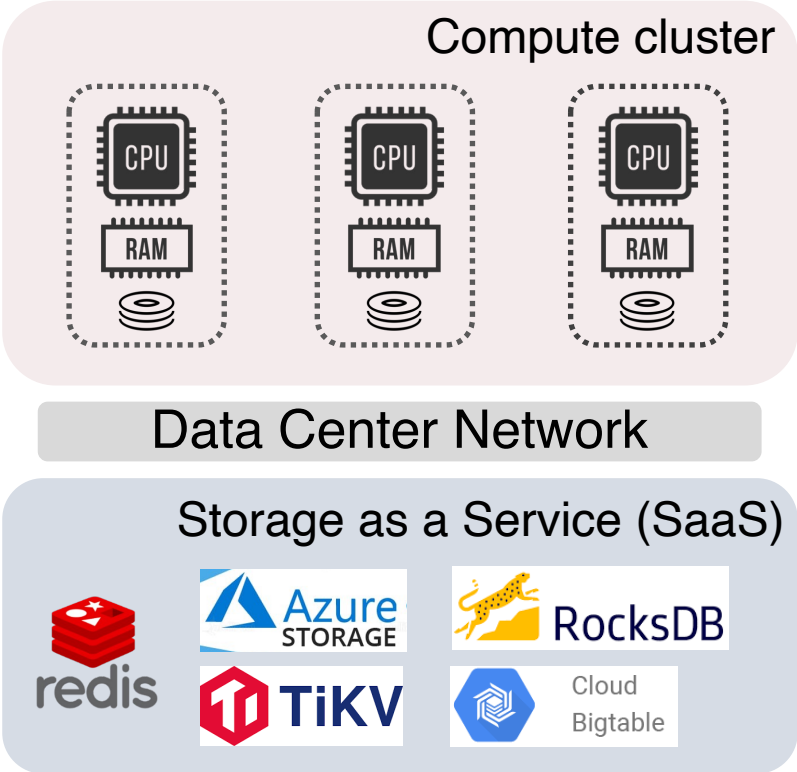
# Advantages of Storage-Disaggregation



## Advantage #1: Elasticity

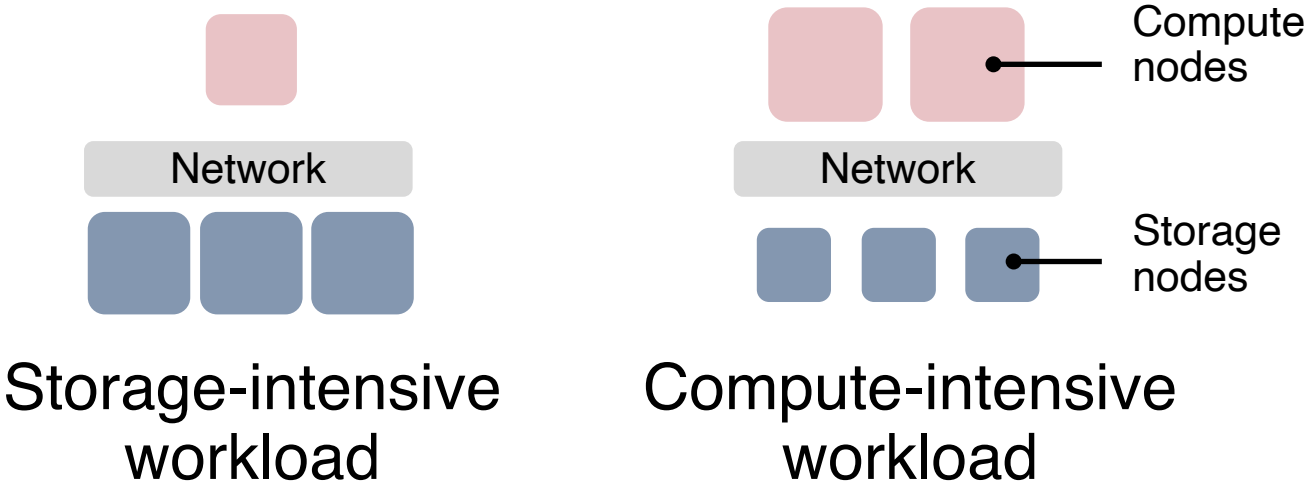
- Compute and storage resources can scale independently

# Advantages of Storage-Disaggregation

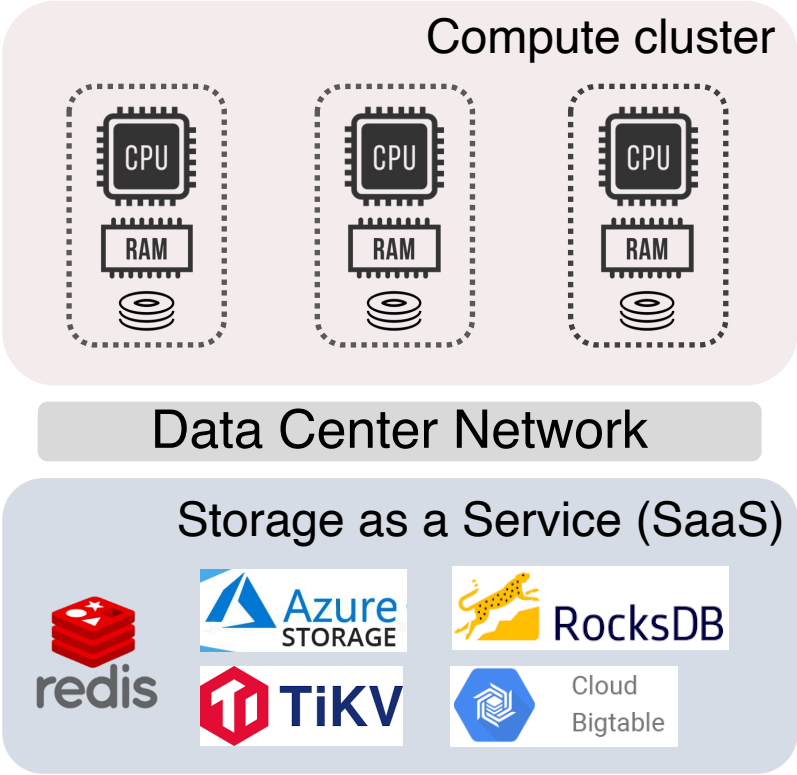


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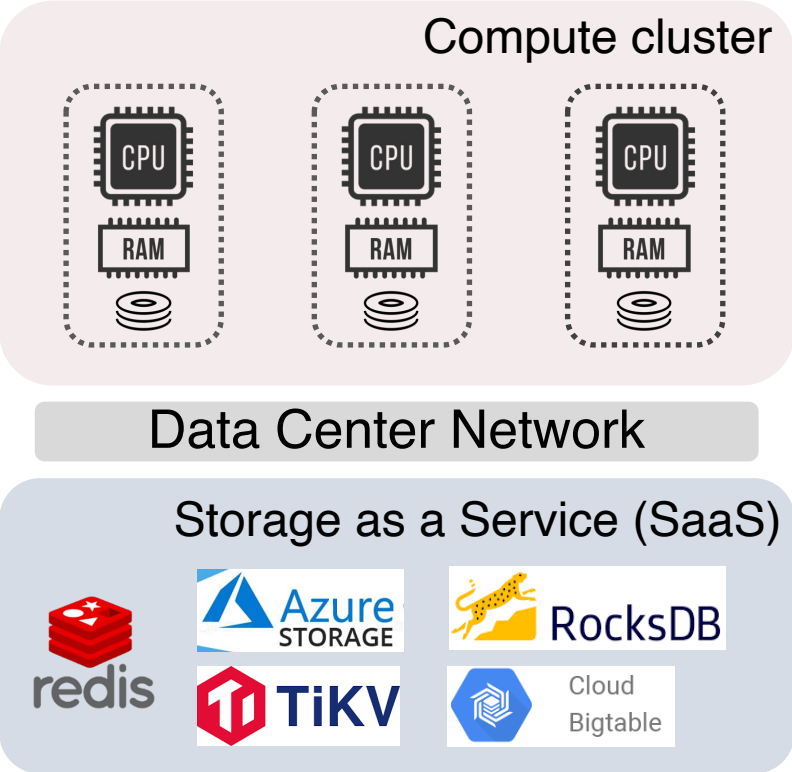


## Advantage #2: Low Cost

- Storage service can be much cheaper than compute servers

S3 storage price	<b>\$0.02</b> per GB per month
16 vCPU Virtual Machine	<b>\$0.5</b> per hour per VM

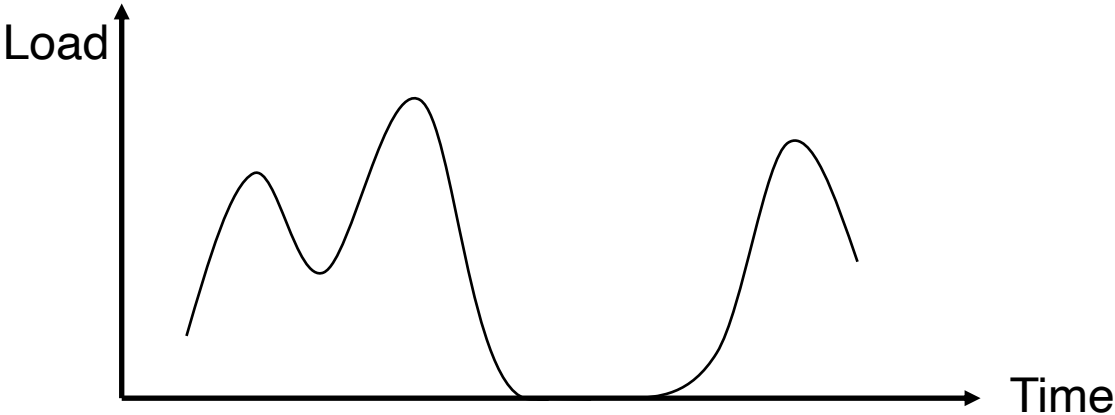
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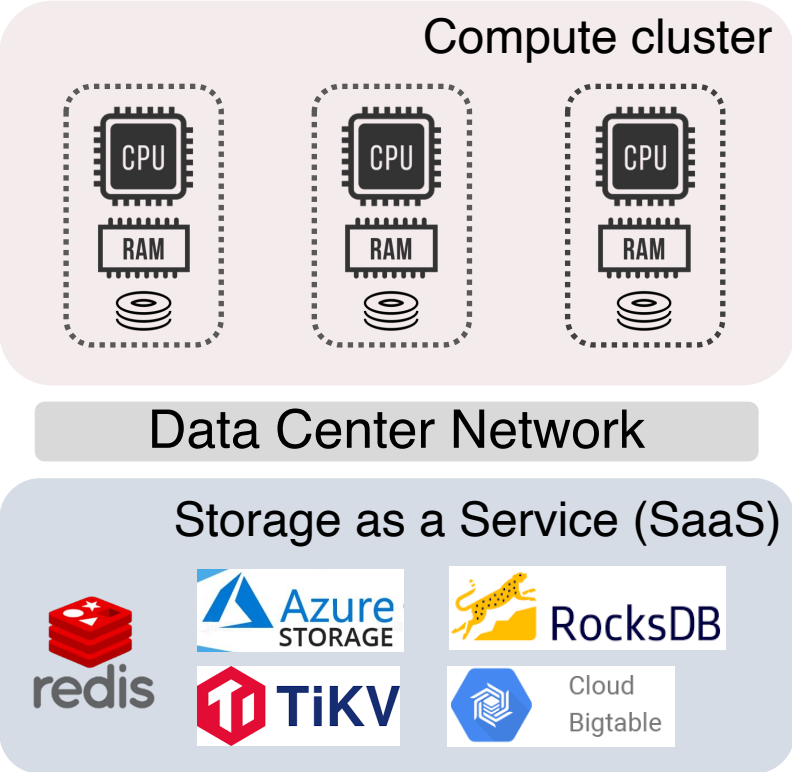
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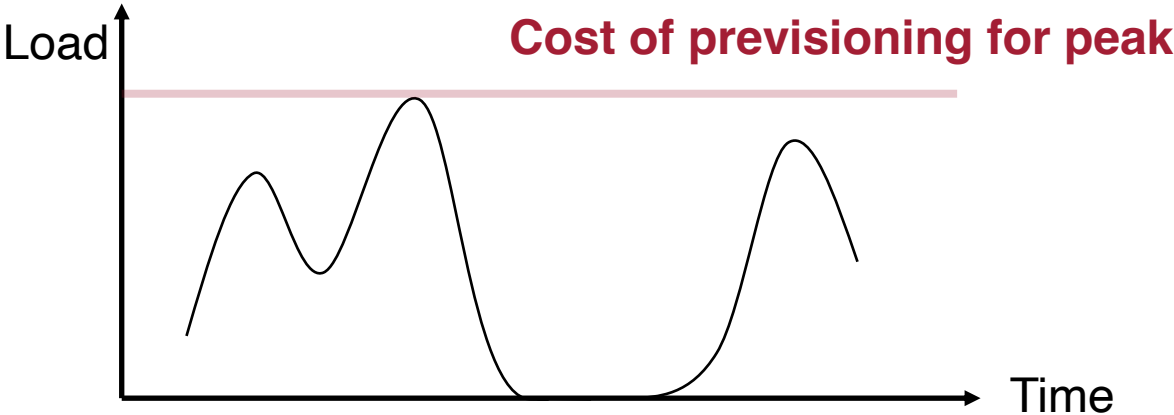
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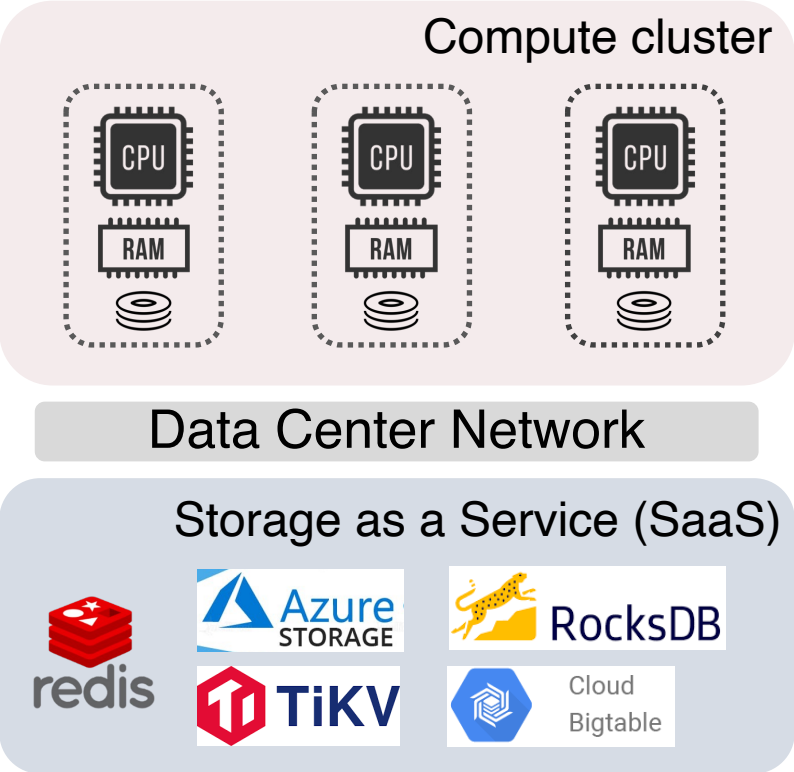
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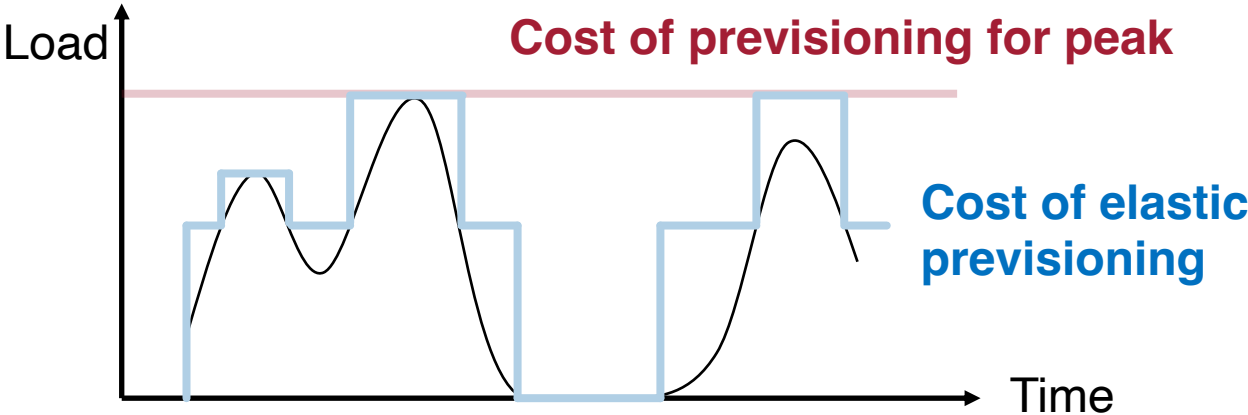
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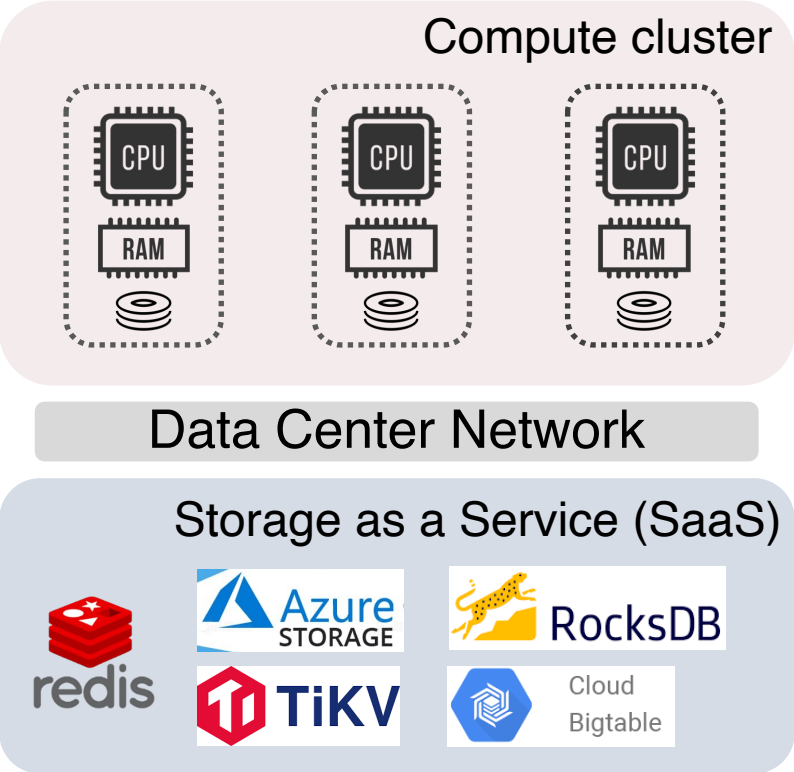
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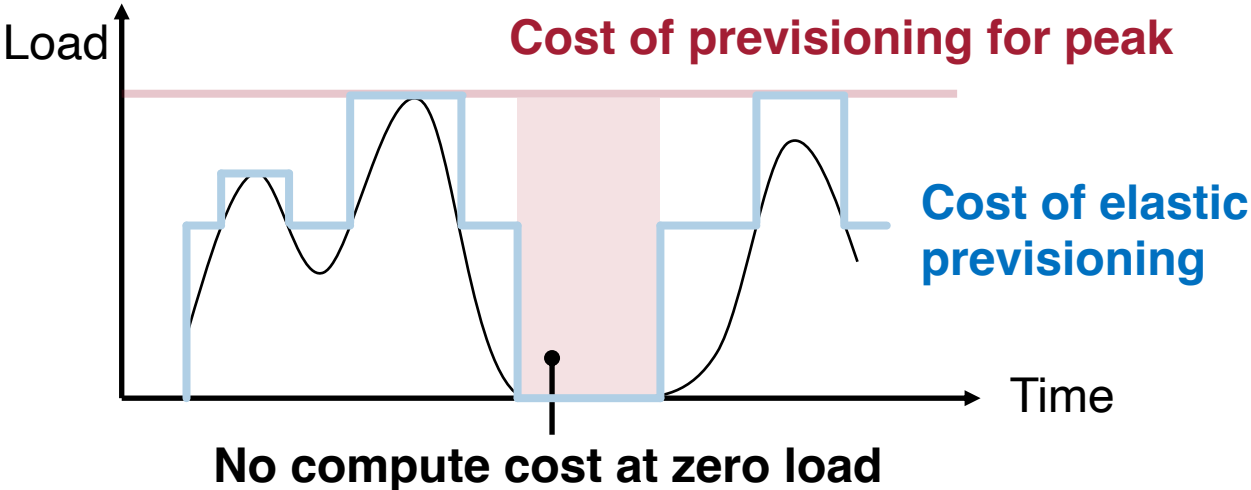
# Advantages of Storage-Disaggregation



## Advantage #2: Low Cost

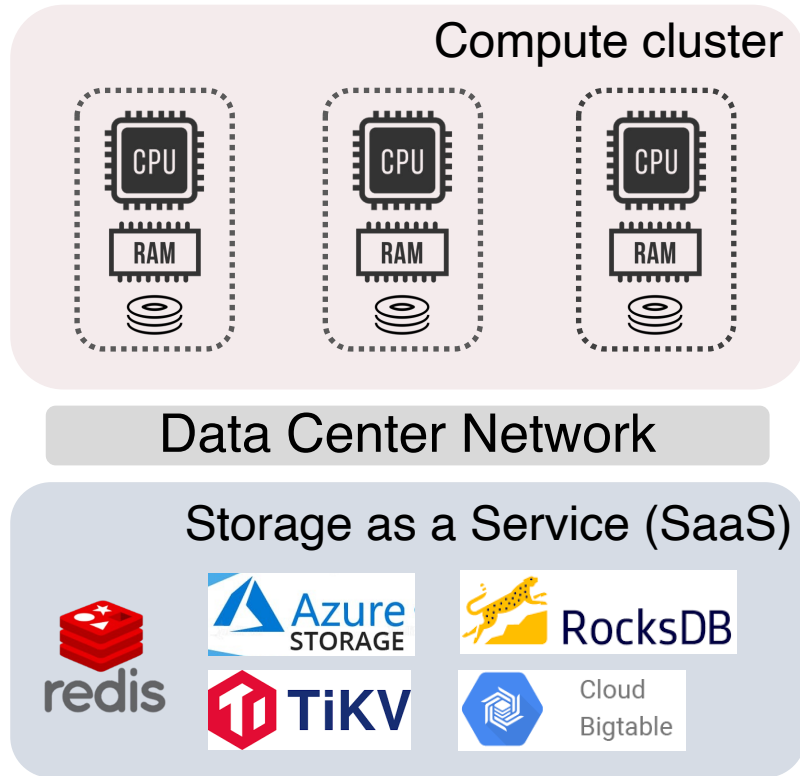
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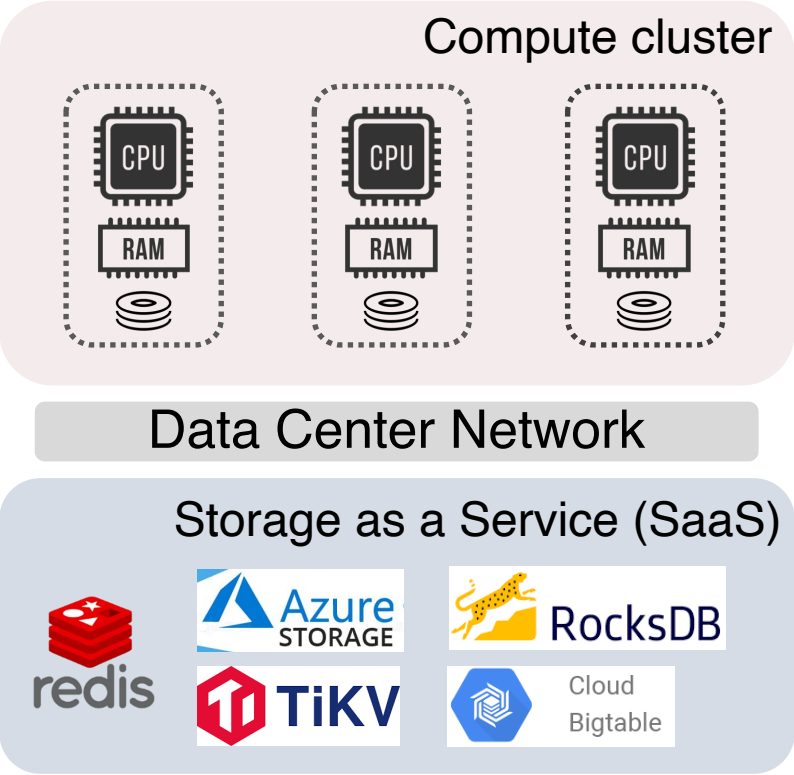
# Advantages of Storage-Disaggregation



## Advantage #3: Availability

- Storage service provides high availability through geo-replication
- Simplifies fault tolerance in DB

# Advantages of Storage-Disaggregation



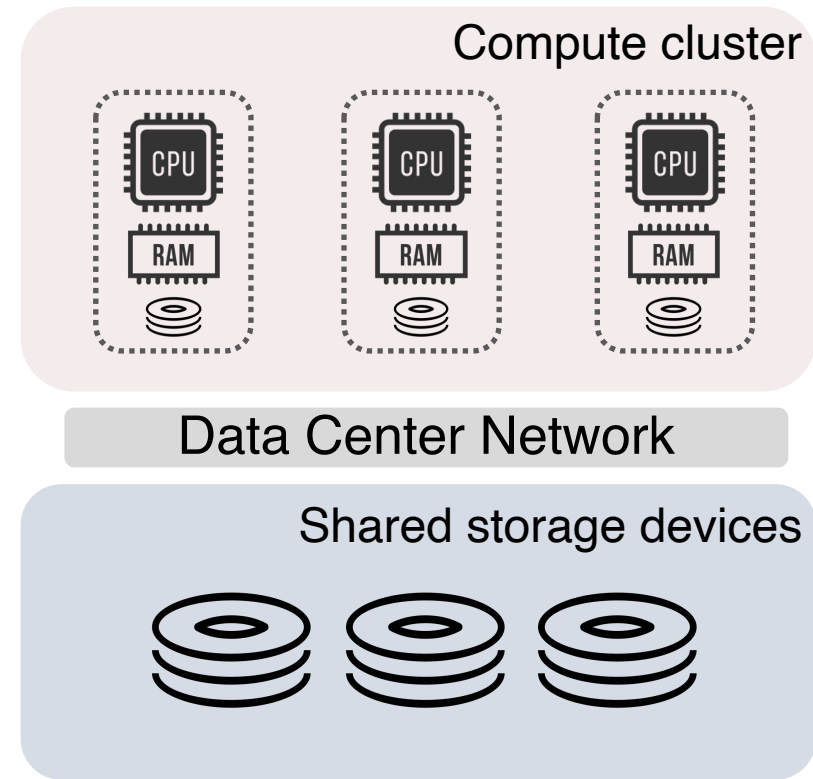
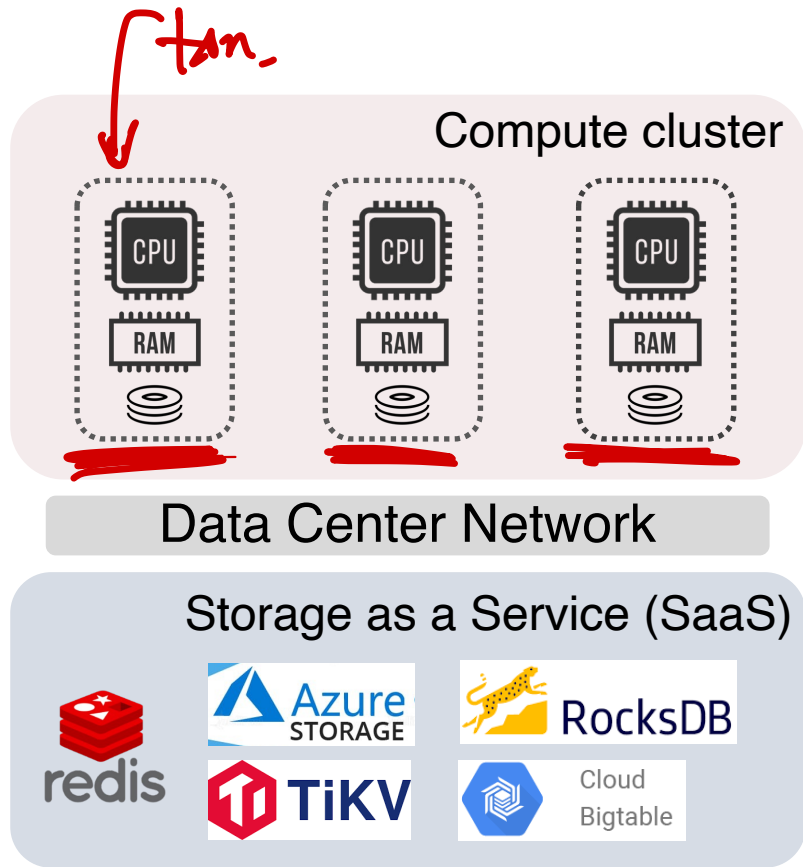
## Advantage #3: Availability

- Storage service provides high availability through geo-replication
- Simplifies fault tolerance in DB

Storage-disaggregation architecture **widely deployed** in cloud databases



# Storage-Disaggregation vs. Shared Disk

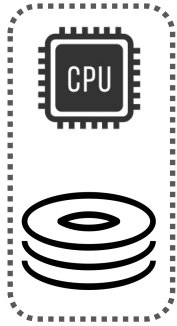


The storage service can **scale horizontally**, has **built-in high availability**, and has **richer APIs**

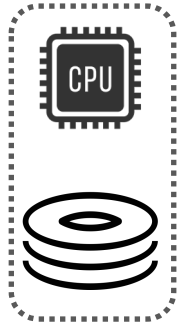
# Distributed Atomic Commitment

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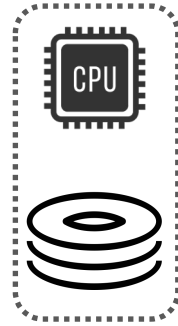
Data partitioned across machines



Partition 1



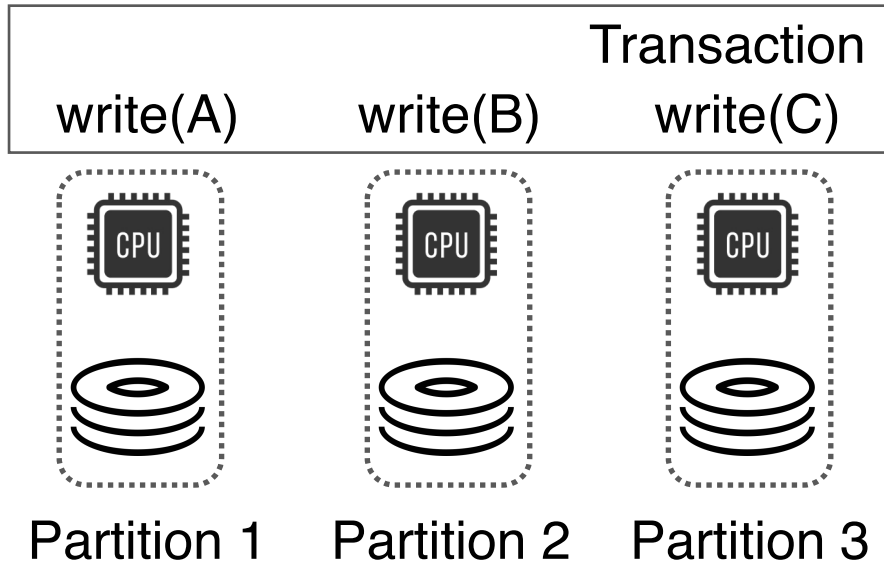
Partition 2



Partition 3

# Distributed Atomic Commitment

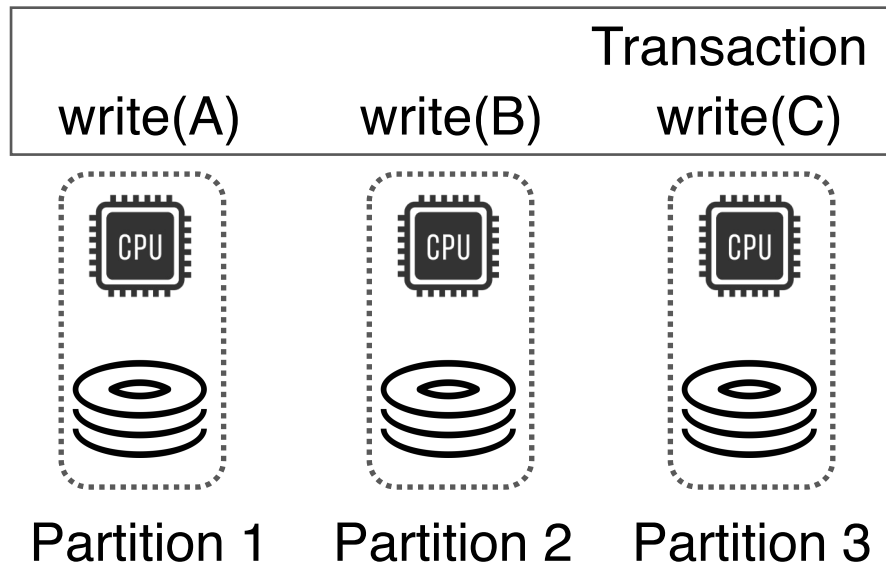
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Data partitioned across machines

A transaction updates data across multiple partitions

# Distributed Atomic Commitment

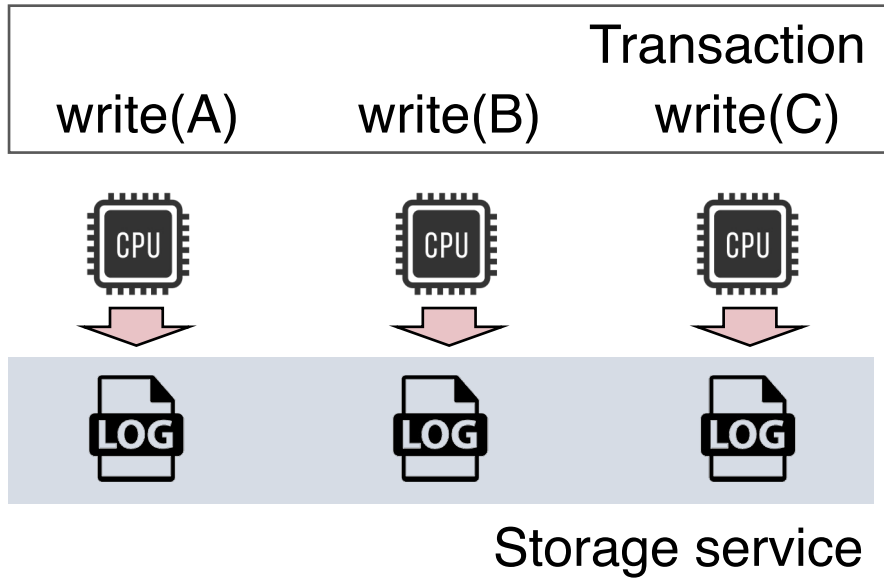


Data partitioned across machines

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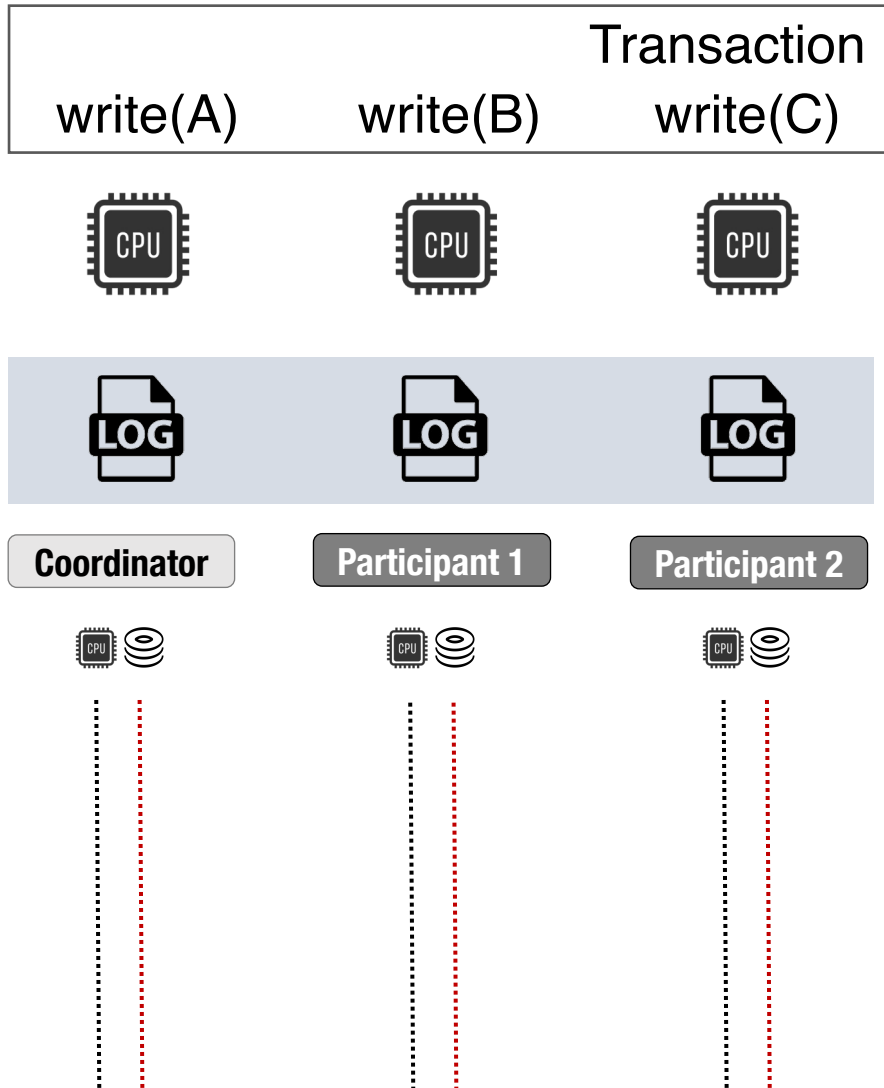
**Atomic commitment** requires the transaction to commit in **all or none** of the involved partitions

# Distributed Atomic Commitment



With storage disaggregation, log files locate in the storage service

# Two-Phase Commit (2PC)

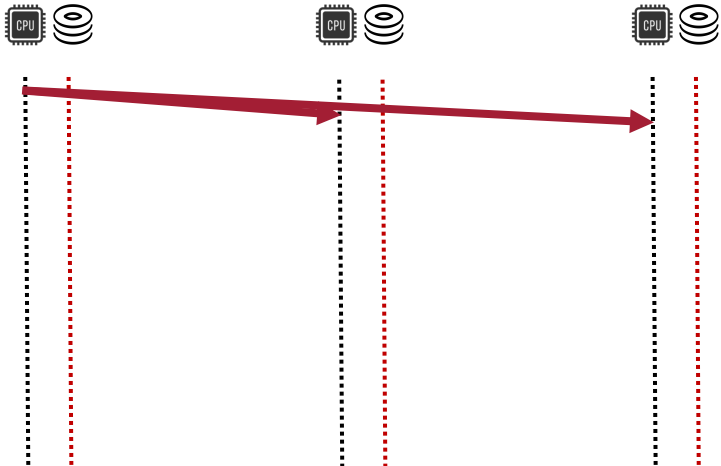
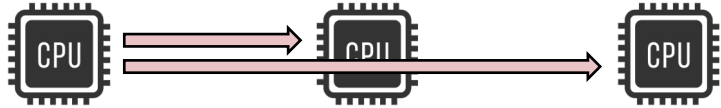


Coordinator initiates the 2PC protocol

The example assumes a committing transaction

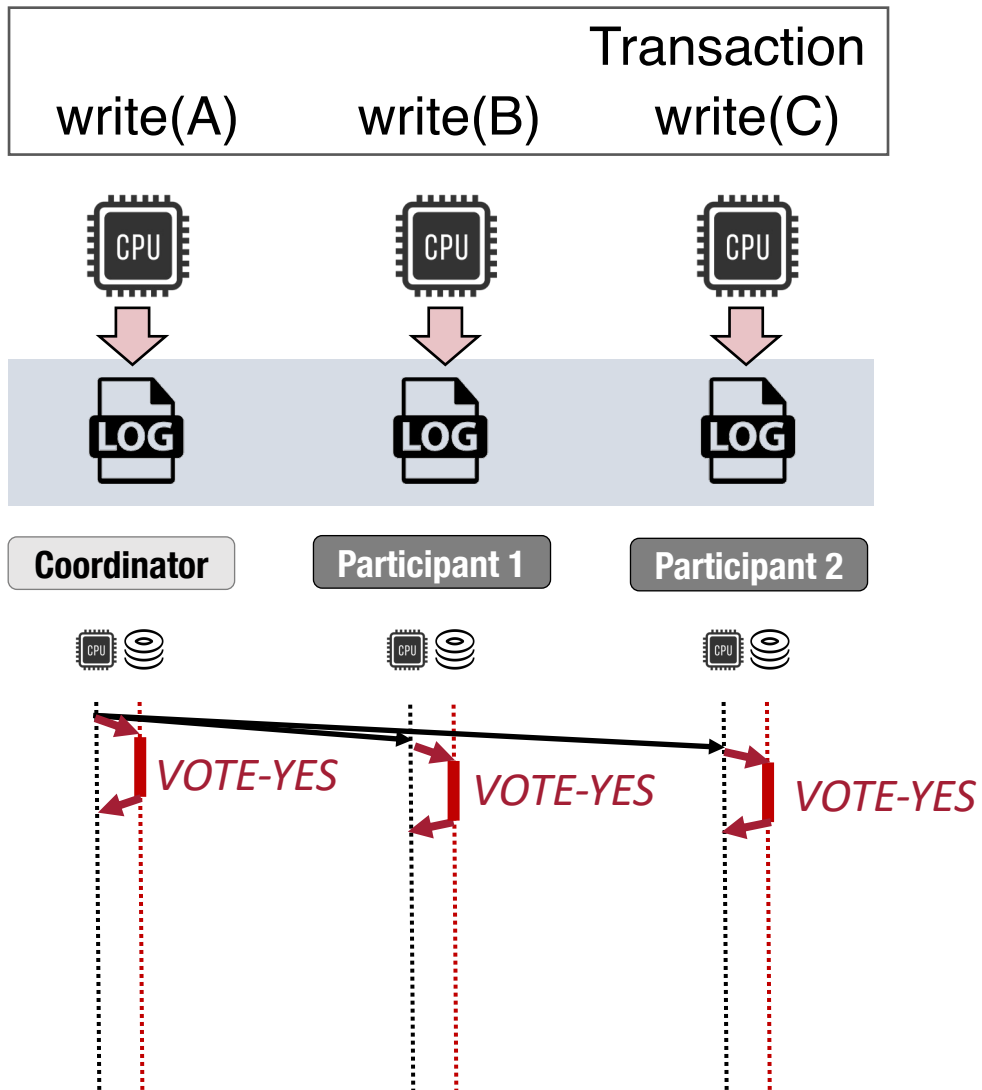


# Two-Phase Commit (2PC)



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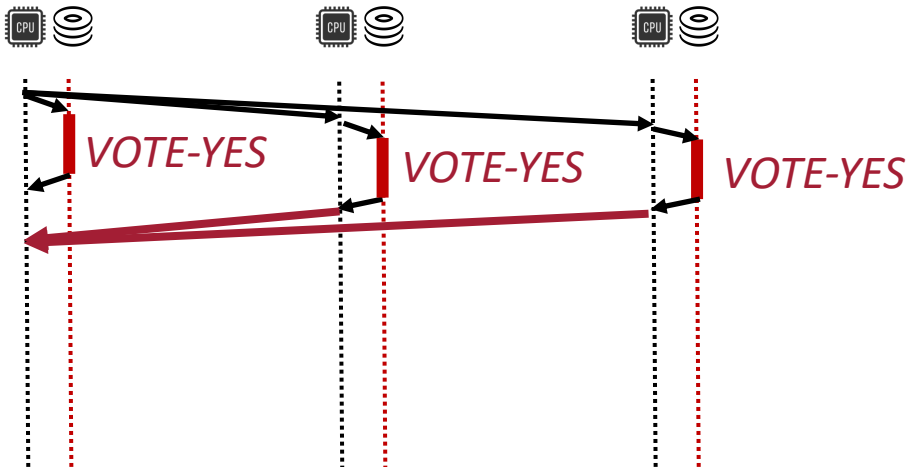
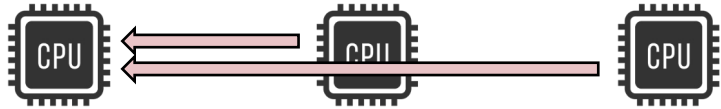
Each participant appends *VOTE-YES* to local log file

– Promise not to *unilaterally* abort

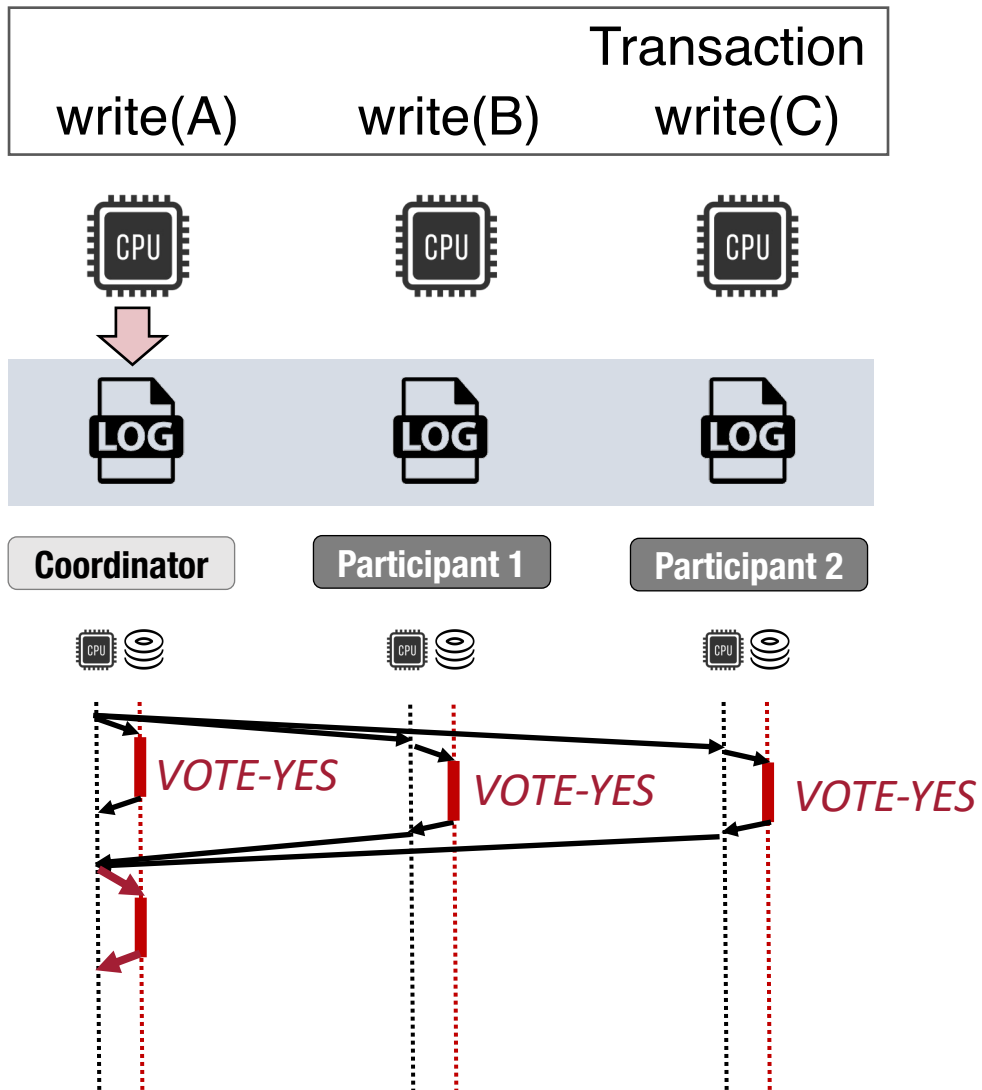
# Two-Phase Commit (2PC)



Participants reply votes to coordinator



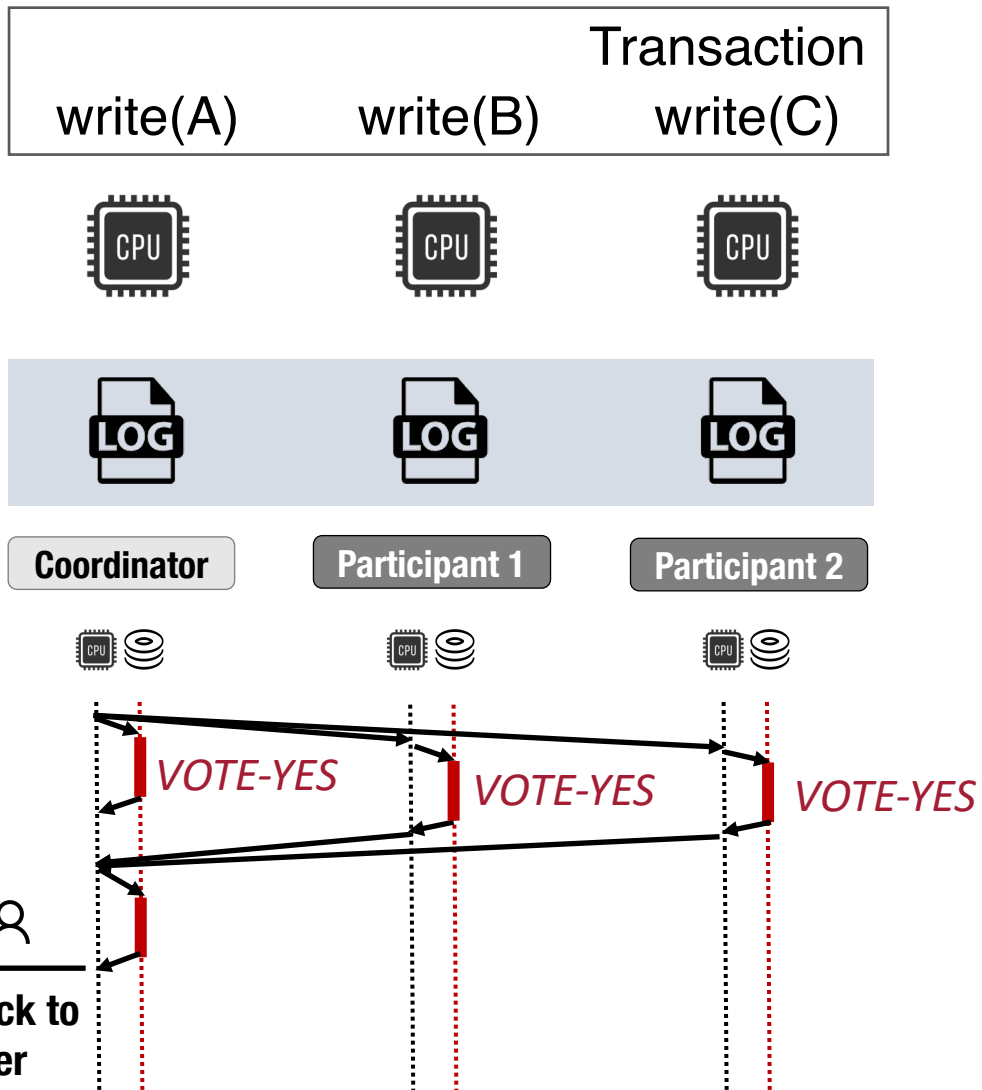
# Two-Phase Commit (2PC)



Coordinator logs the final decision (e.g., *COMMIT* or *ABORT*)

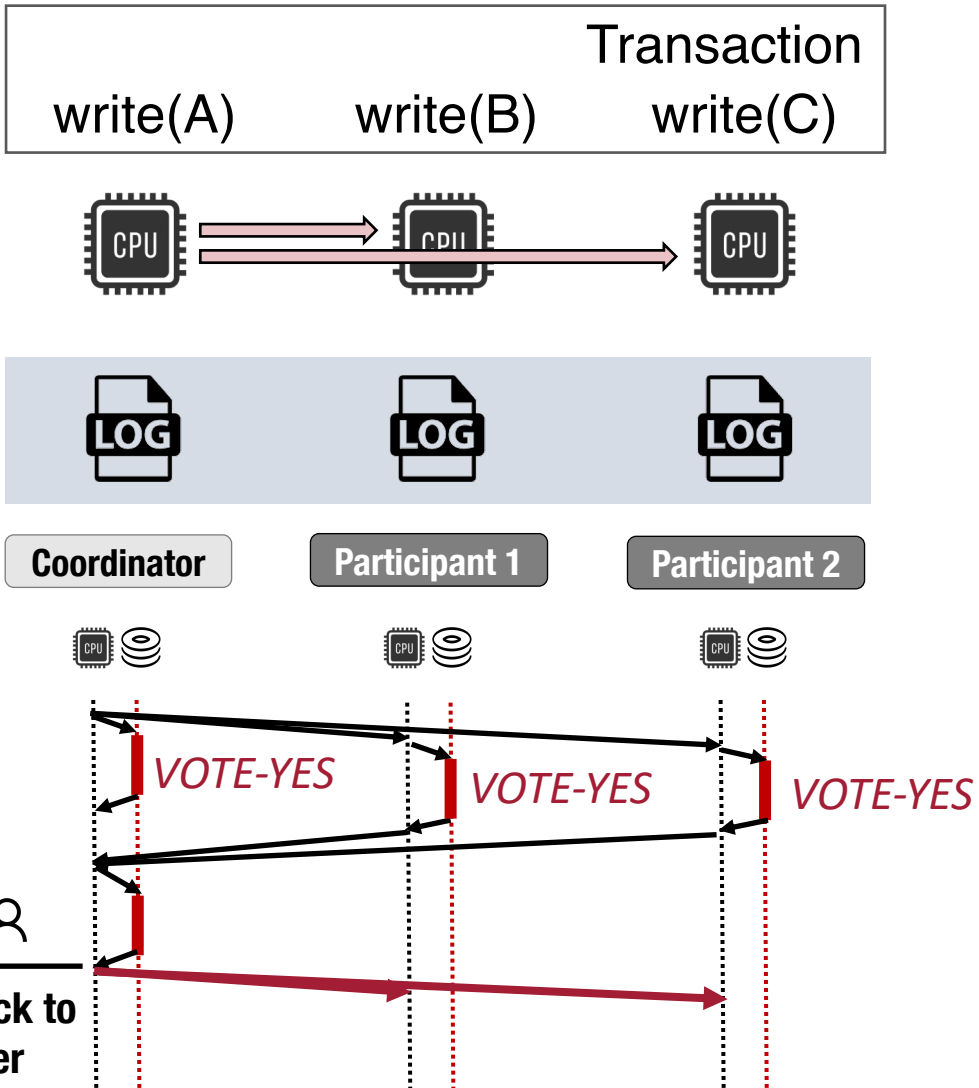
The decision log record is the **ground truth** of the transaction outcome

# Two-Phase Commit (2PC)



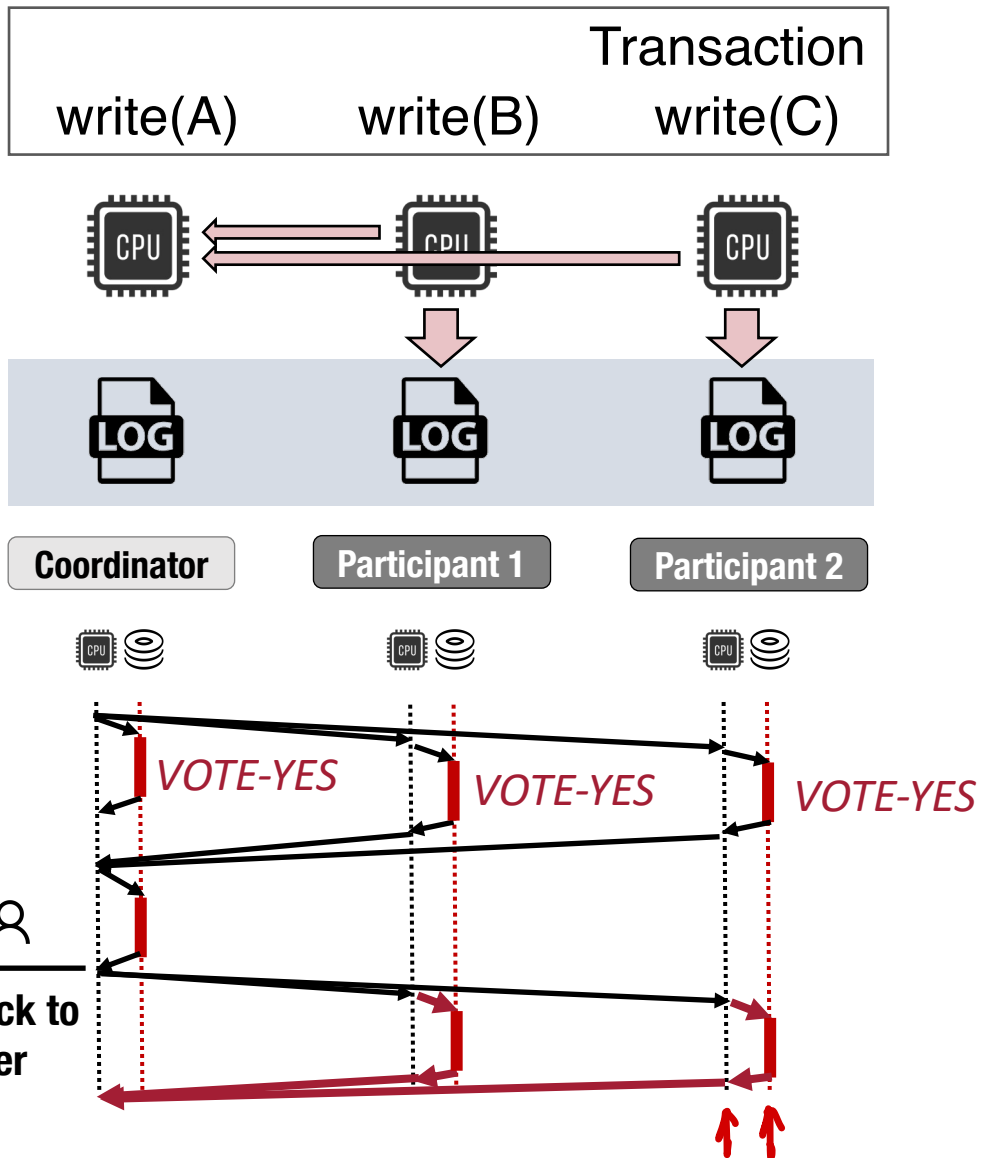
Reply to user after writing the decision log record

# Two-Phase Commit (2PC)



Coordinator sends the final decision to all participants

# Two-Phase Commit (2PC)

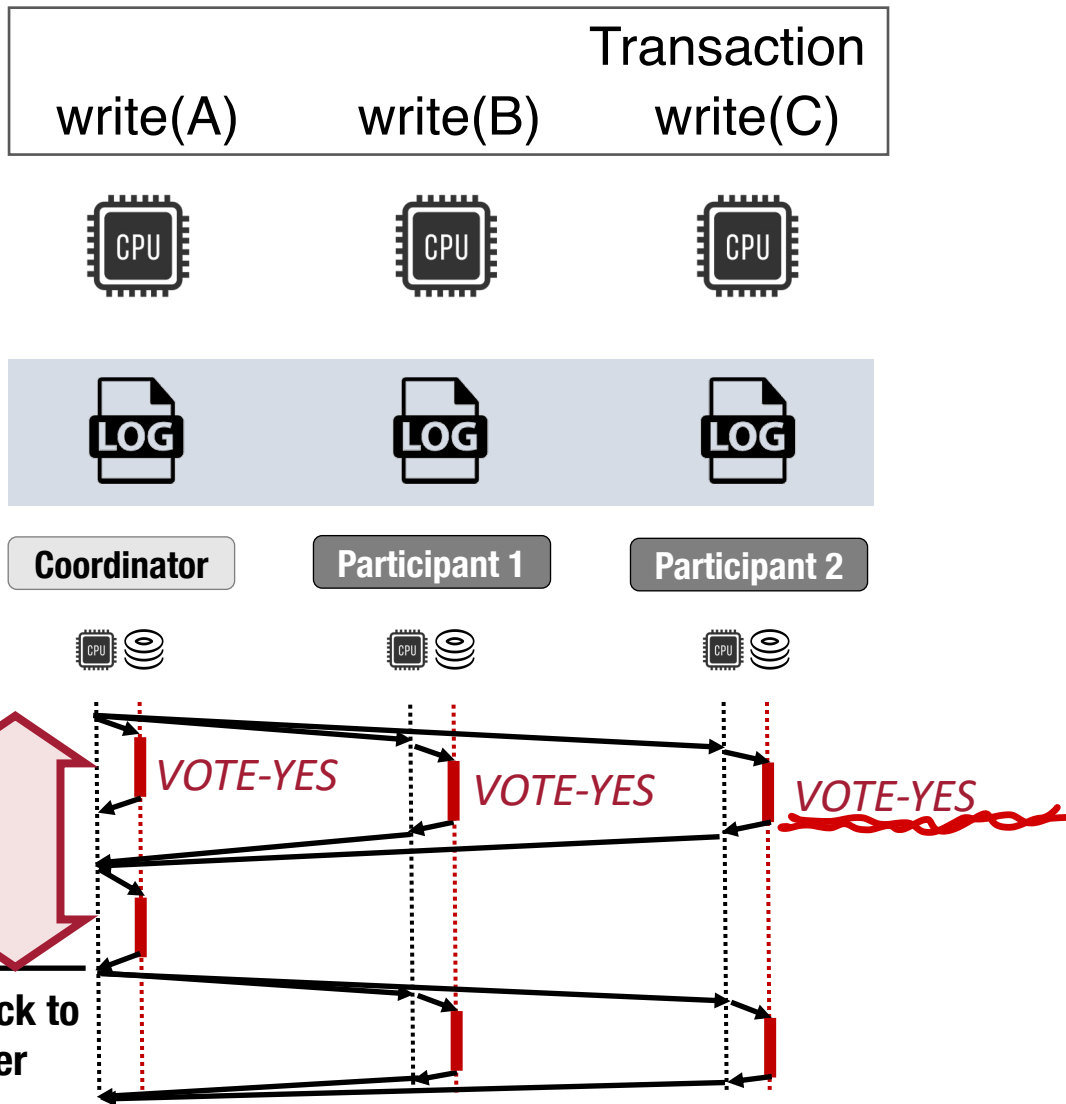


Coordinator sends the final decision to all participants

Participants log the decision

– For independent recovery upon failure

# Limitations of 2PC

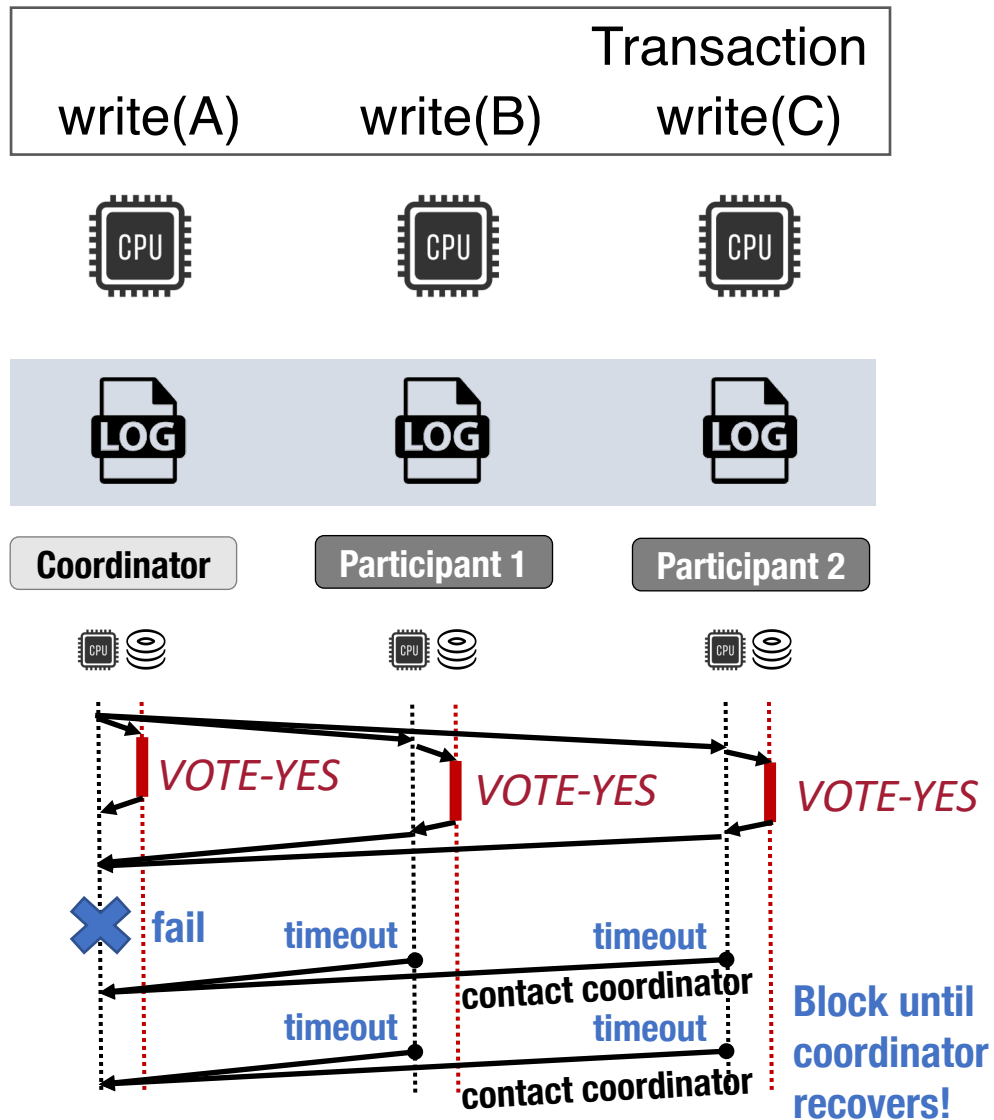


## Limitation #1: **Long latency**

- User experiences latency of **two logging operations**



# Limitations of 2PC



## Limitation #1: **Long latency**

- User experiences latency of **two logging operations**

## Limitation #2: **Blocking problem**

- Participants are blocked if the coordinator fails

# 2PC Limitations – Prior Solutions

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<b>Solutions</b>	<b>Example systems</b>	<b>Limitations in prior solutions</b>
Reduce latency	Coordinator log [1] Implicit yes vote [2] Early prepare [3]	<ul style="list-style-type: none"><li>• Extra system or workload assumptions</li><li>• Violate site autonomy</li></ul>

[1] James W Stamos and Flaviu Cristian. *Coordinator log transaction execution protocol*. Distributed and Parallel Databases 1993

[2] Y Al-Houmaily and P Chrysanthis. *Two-phase commit in gigabit-networked distributed databases*. PDCS, 1995

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Codesign 2PC with replication	Paxos commit [5] MDCC [6] Parallel commit [7] TAPIR [8]	<ul style="list-style-type: none"><li>• Extra design complexity</li><li>• Custom-designed consensus protocol</li></ul>

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[3] James W Stamos and Flaviu Cristian. *A low-cost atomic commit protocol*. Symposium on Reliable Distributed Systems, 1990

[4] Dale Skeen. *Nonblocking commit protocols*. SIGMOD 1981

[5] Jim Gray and Leslie Lamport. *Consensus on Transaction Commit*. ACM Trans. Database Syst, 2006

[6] Tim Kraska, et al. *MDCC: Multi-data center consistency*. European Conference on Computer Systems, 2013

[7] Rebecca Taft, et al. *Cockroachdb: The resilient geo-distributed SQL database*. SIGMOD 2020

[8] Irene Zhang, et al. *Building consistent transactions with inconsistent replication*. TOCS 2018

# 2PC Limitations – Prior Solutions

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**Research Question:** What is the **minimal requirement** from the storage service to enable 2PC optimizations addressing **high latency and blocking**?

# Cornus Overview

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An optimized two-phase commit protocol for a cloud database with storage disaggregation

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An optimized two-phase commit protocol for a cloud database with storage disaggregation

2PC Limitation 1: **Long latency**

⇒ Cornus **reduces 2 logging events to 1 logging event**

2PC Limitation #2: **Blocking problem**

⇒ Cornus is **non-blocking**

# Cornus Overview

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An optimized two-phase commit protocol for a cloud database with storage disaggregation

2PC Limitation 1: **Long latency**

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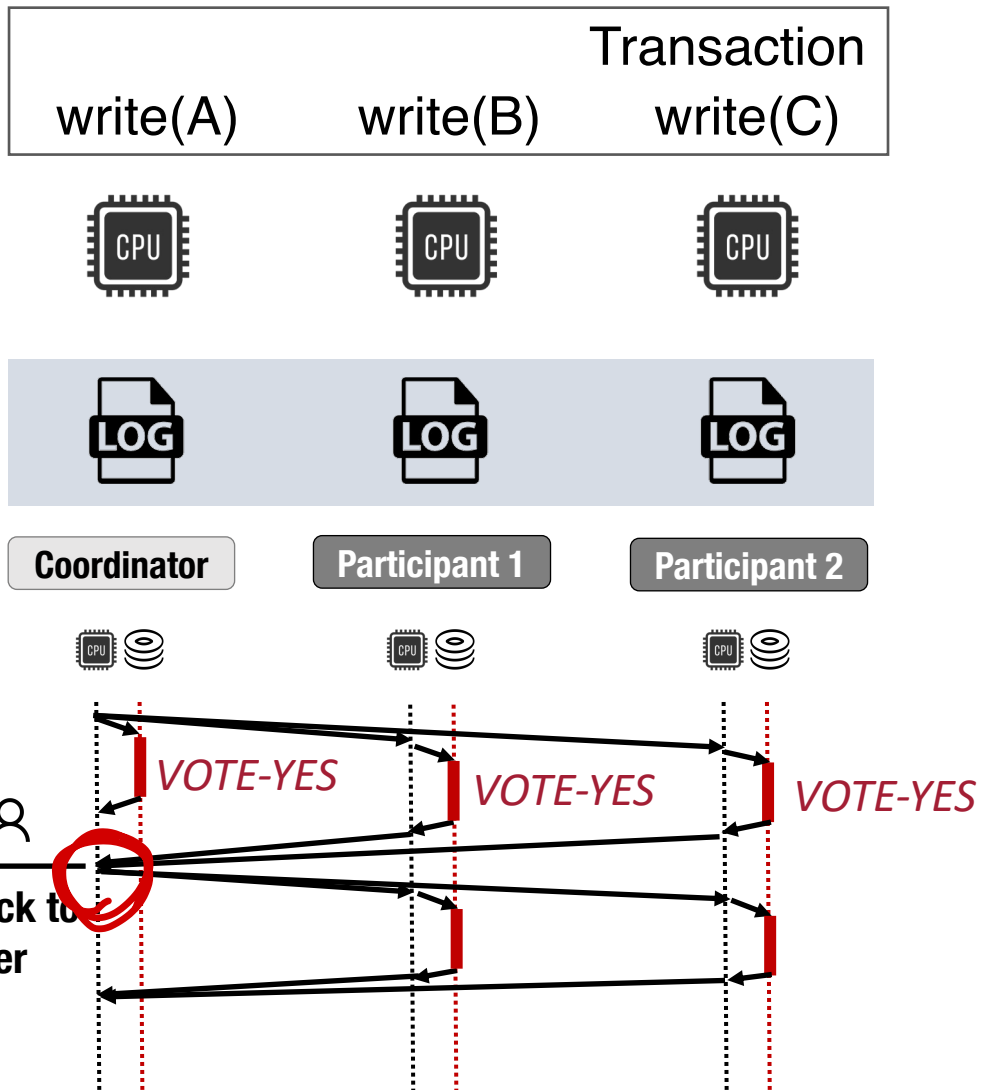
2PC Limitation #2: **Blocking problem**

⇒ Cornus is **non-blocking**

Only new storage-layer function is ***LogOnce()*** which can be implemented using **compare-and-swap**

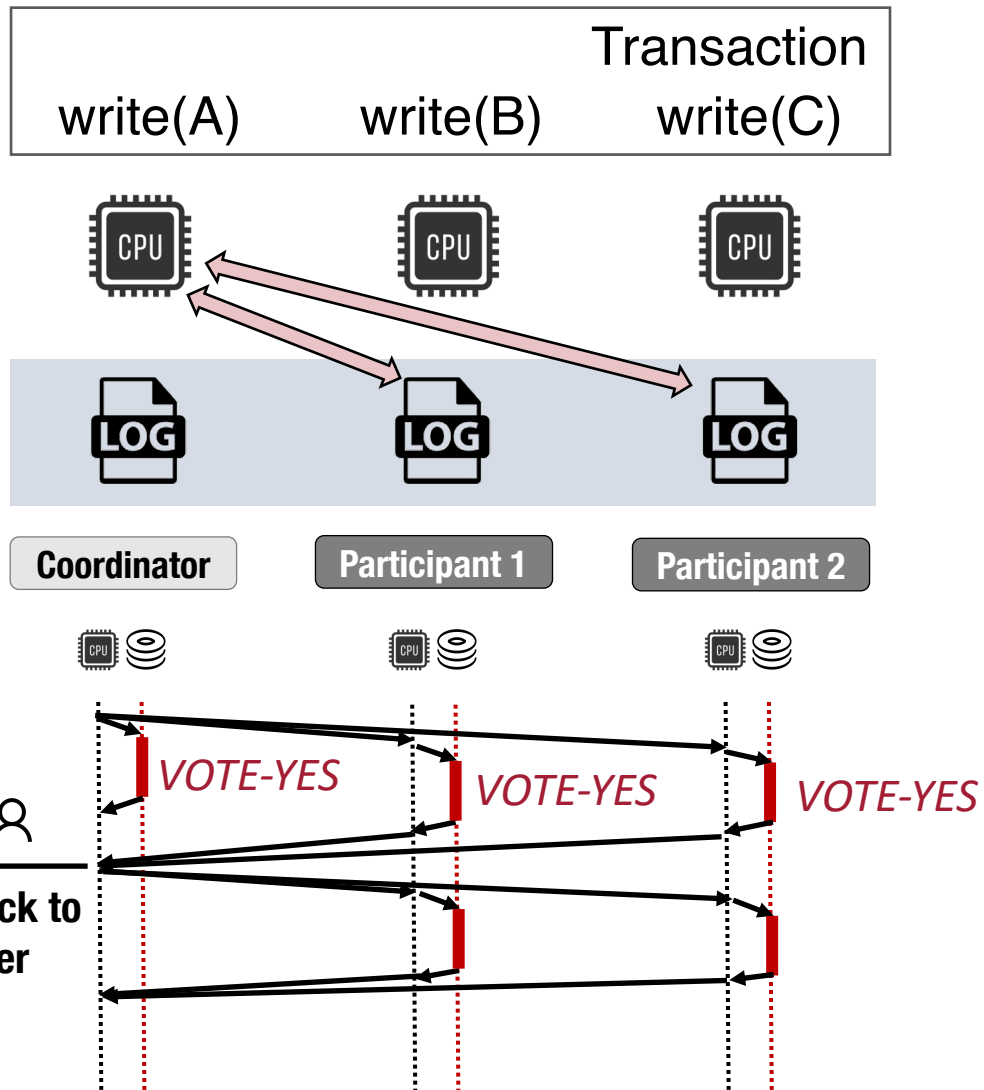


# Cornus Key Ideas



Key idea #1: **Remove decision logging**

# Cornus Key Ideas

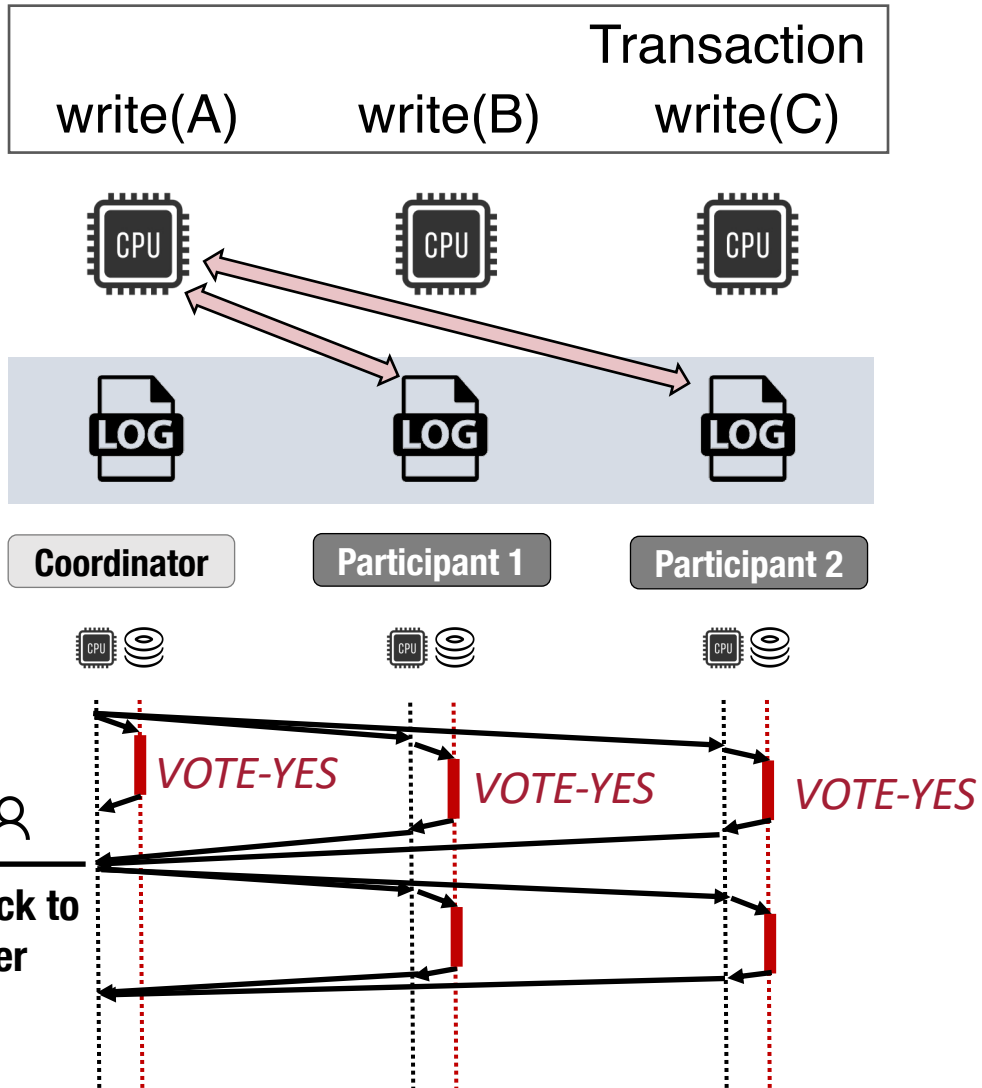


Key idea #1: **Remove decision logging**

**Ground truth:** collective votes in all participants logs

- Uncertain node can directly read all votes

# Cornus Key Ideas



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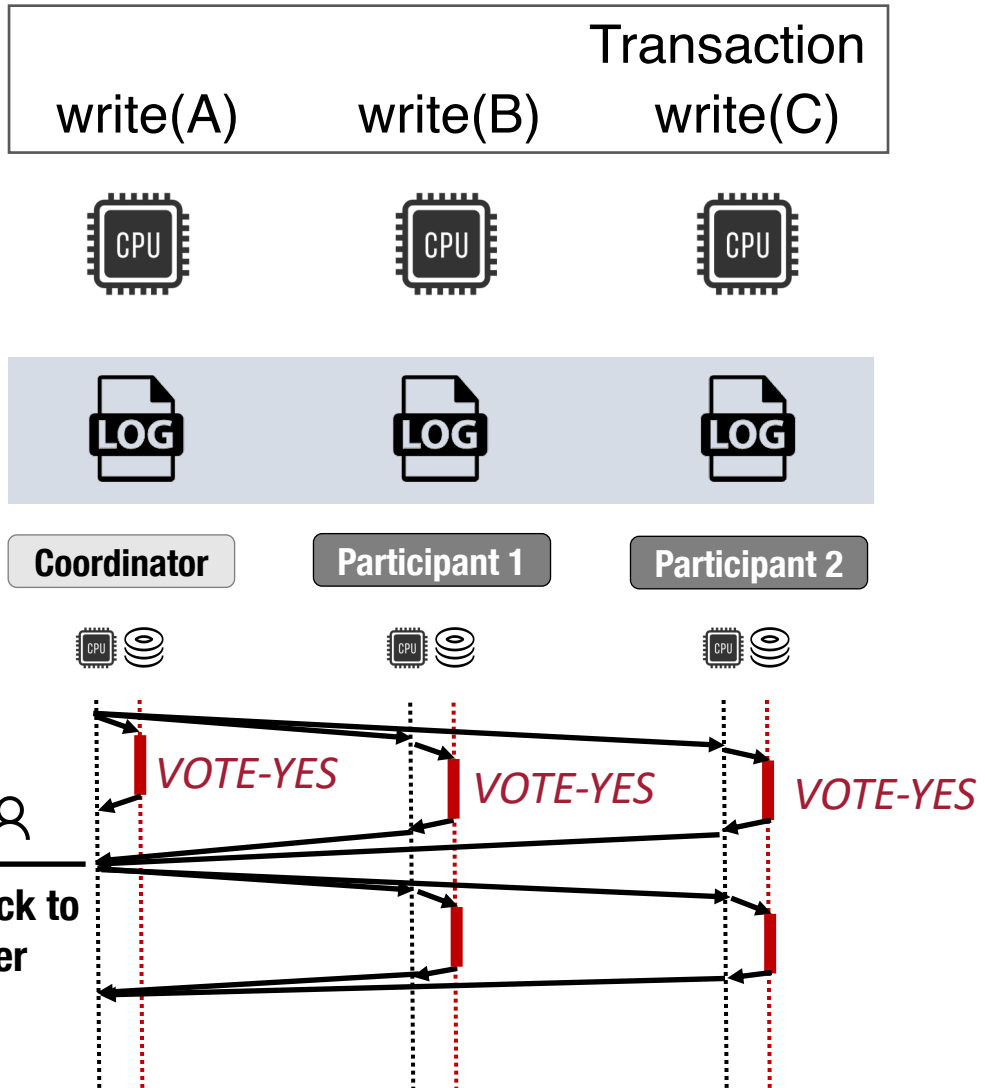
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Enabled by storage disaggregation through

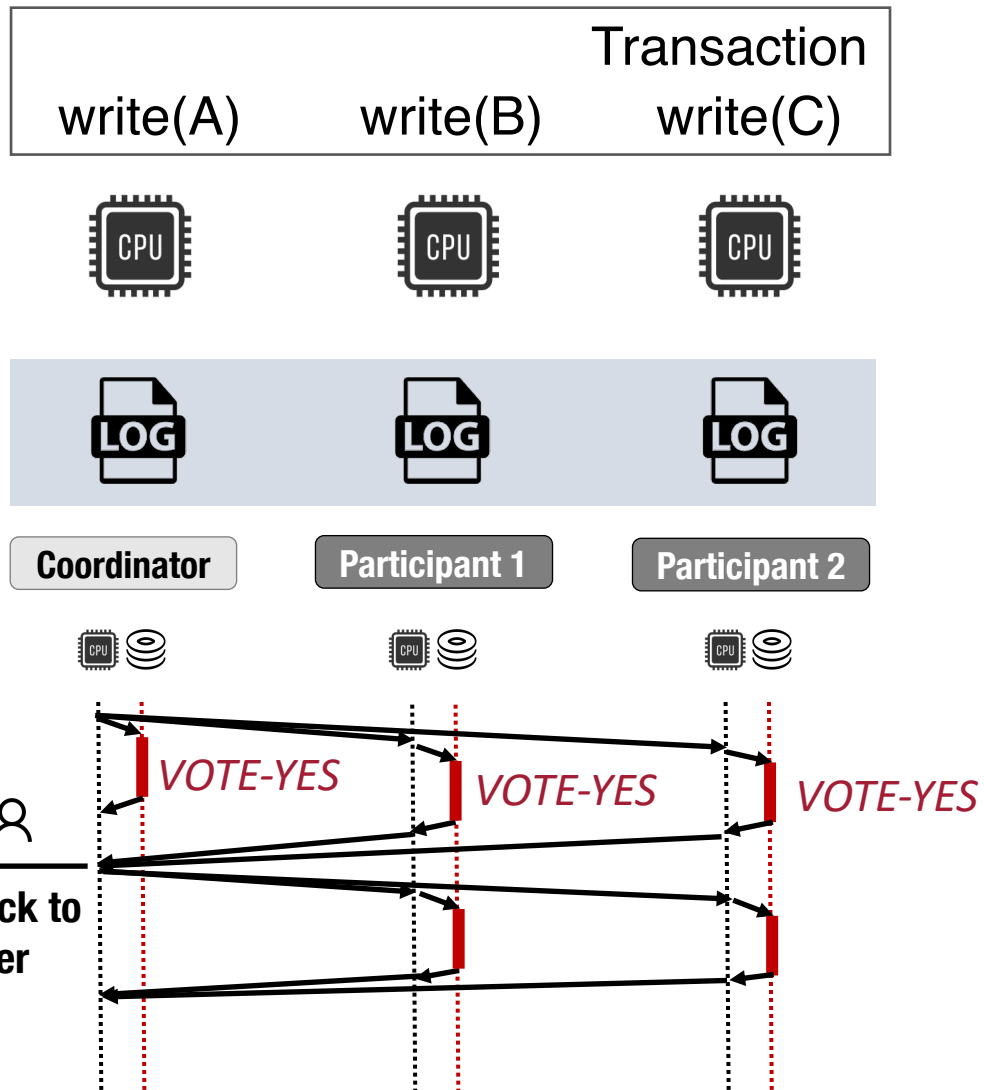
- **Highly available** storage service
- **Shared** across compute nodes

# Cornus Key Ideas



Key idea #2: **LogOnce() storage API**

# Cornus Key Ideas

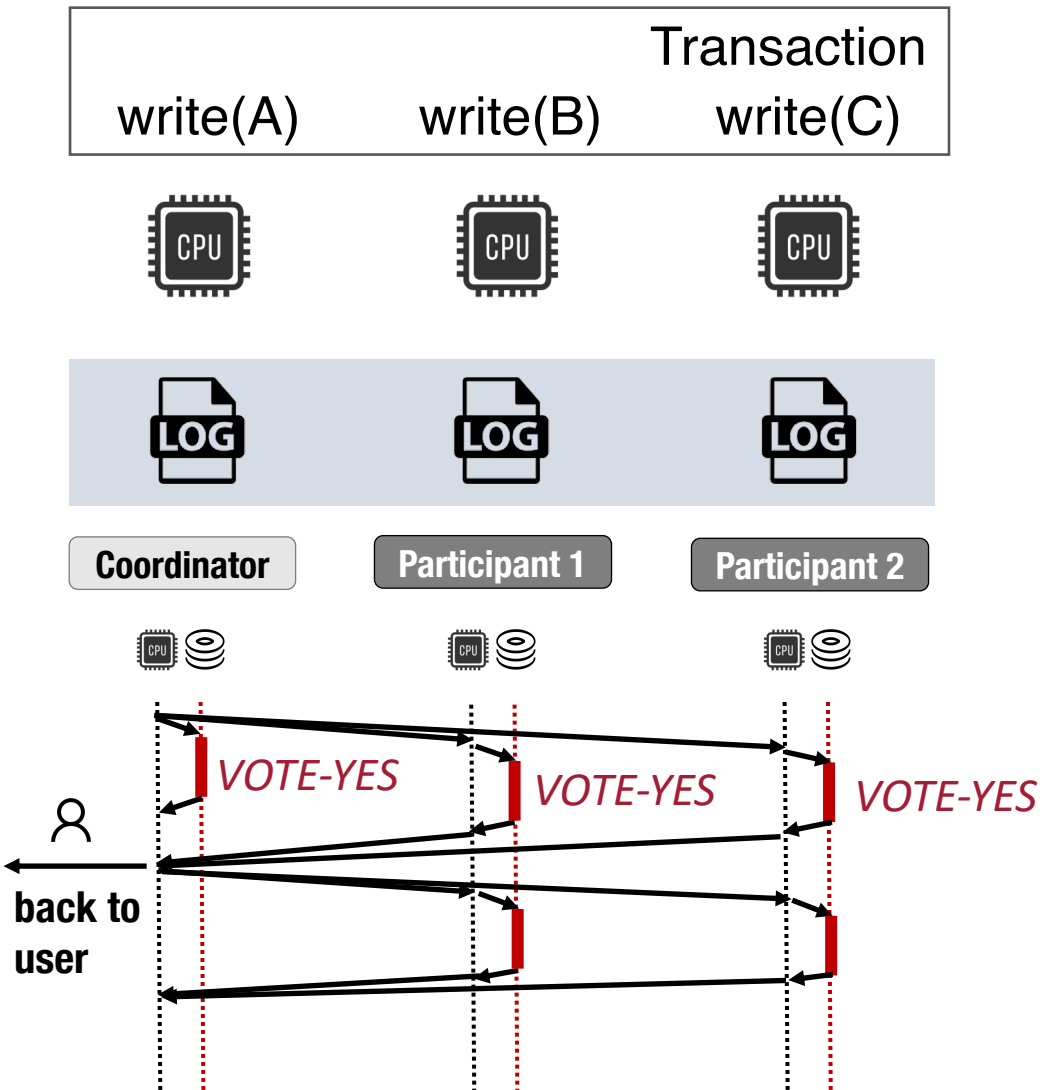


Key idea #2: **LogOnce() storage API**

**Avoid blocking** by directly updating log files of unresponsive nodes

- Only first LogOnce() request can succeed

# Cornus Key Ideas



Key idea #2: **LogOnce() storage API**

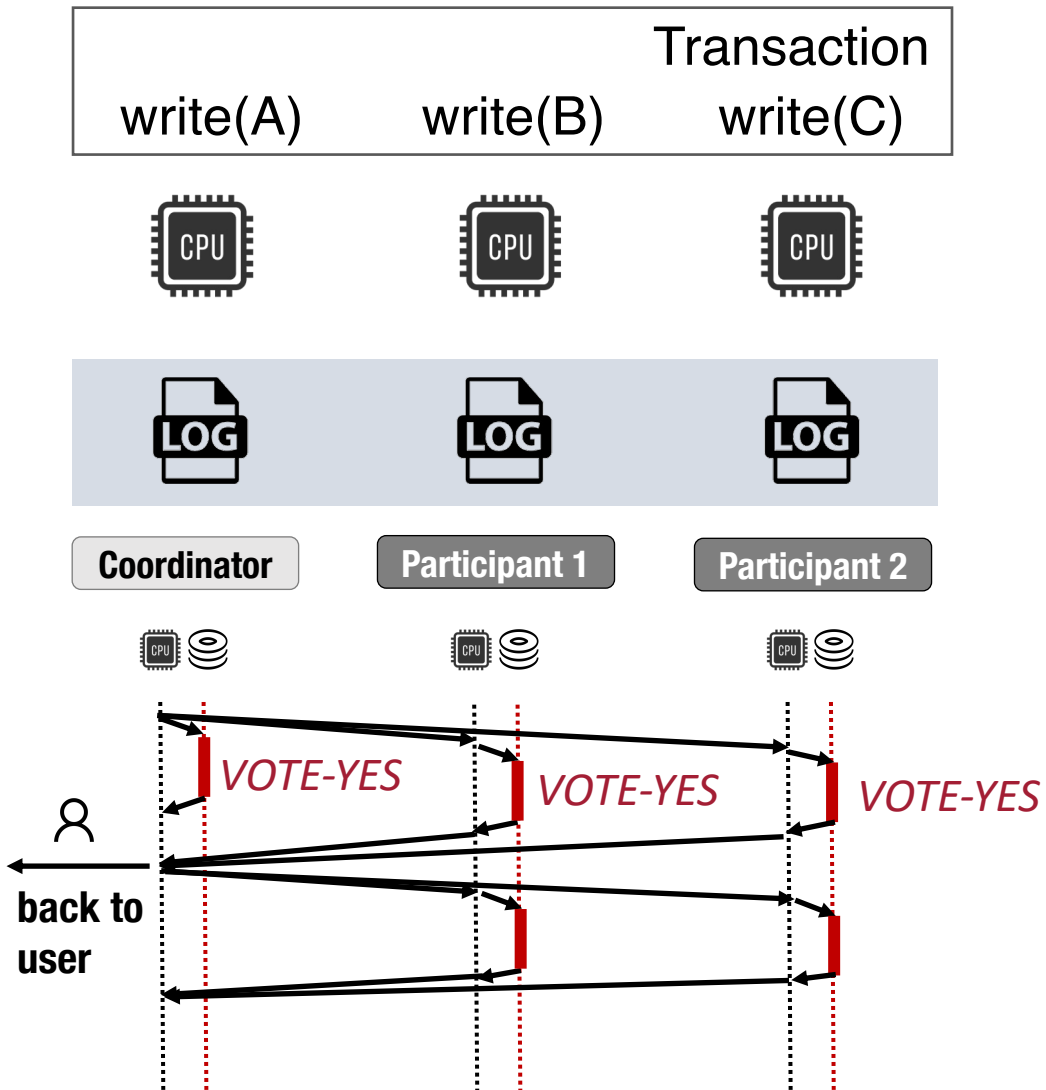
**Avoid blocking** by directly updating log files of unresponsive nodes

- Only first LogOnce() request can succeed

LogOnce() can be implemented using CAS-like APIs (e.g., Etags)



# Cornus Key Ideas



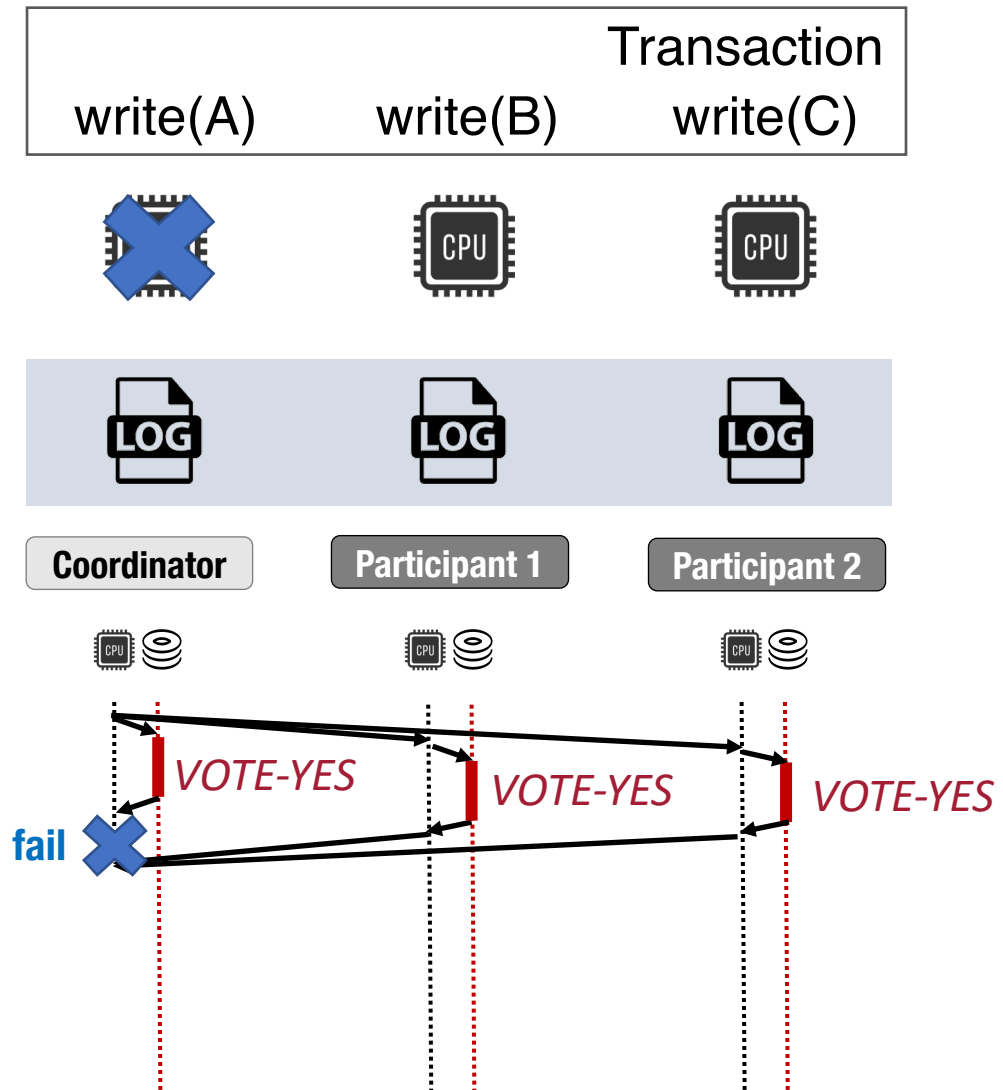
## Key idea #2: **LogOnce() storage API**

Enabled by storage disaggregation through

- Rich APIs of storage service



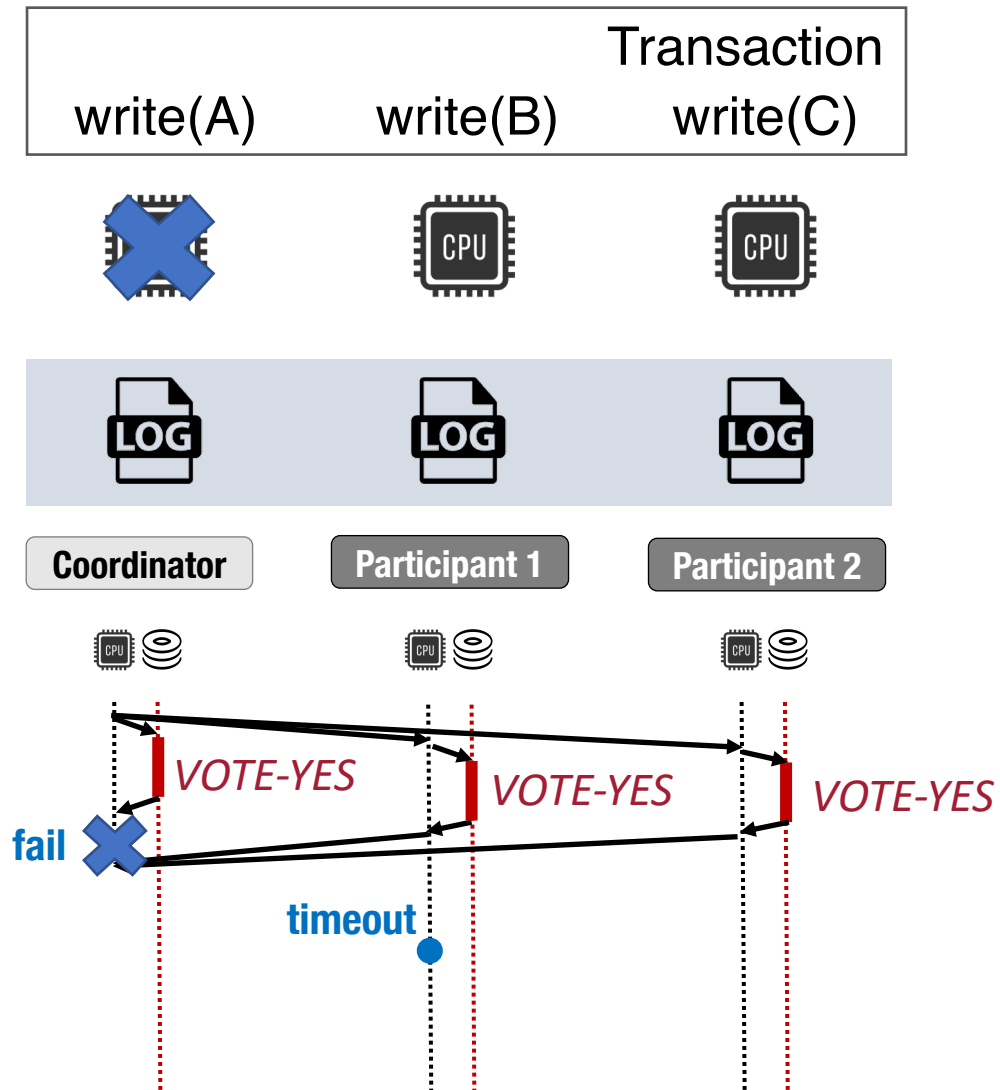
# Cornus Failure Example



Coordinator fails



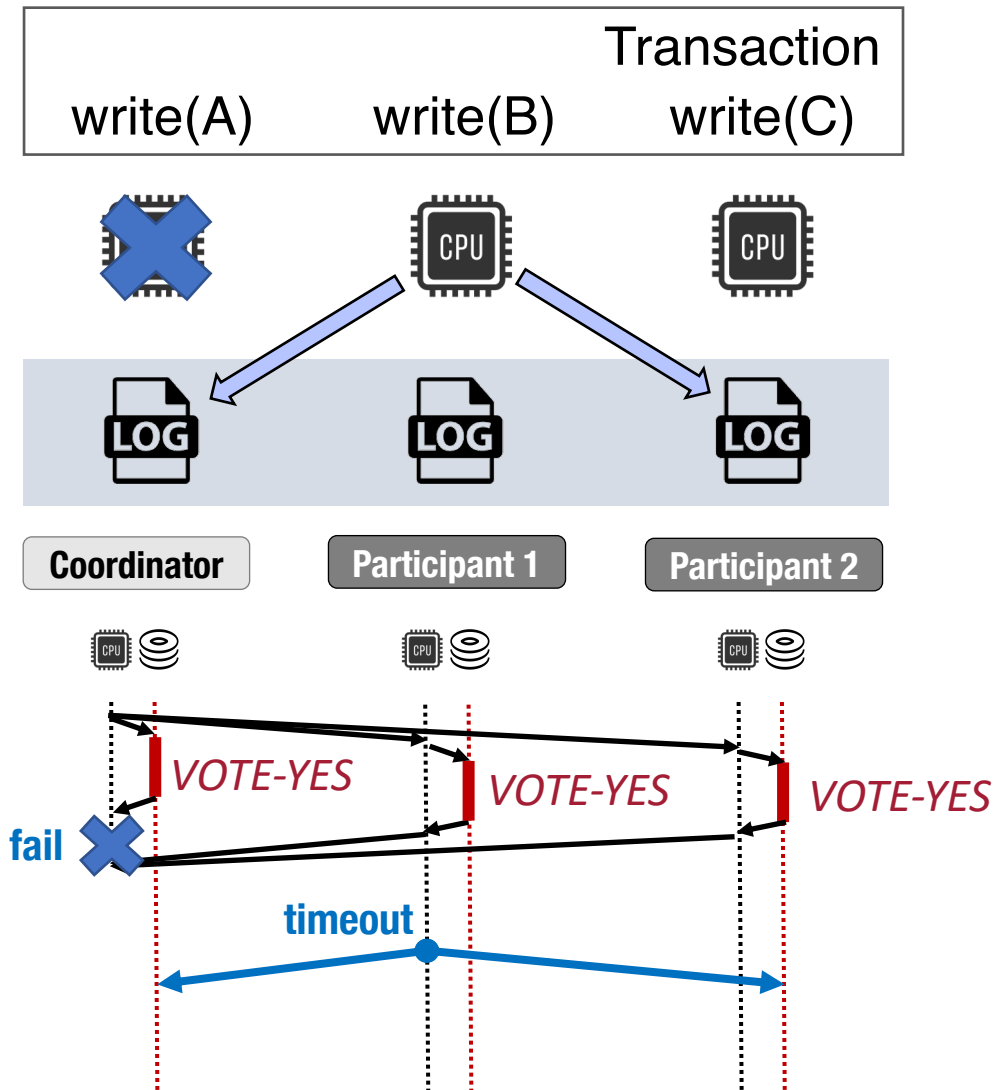
# Cornus Failure Example



Coordinator fails

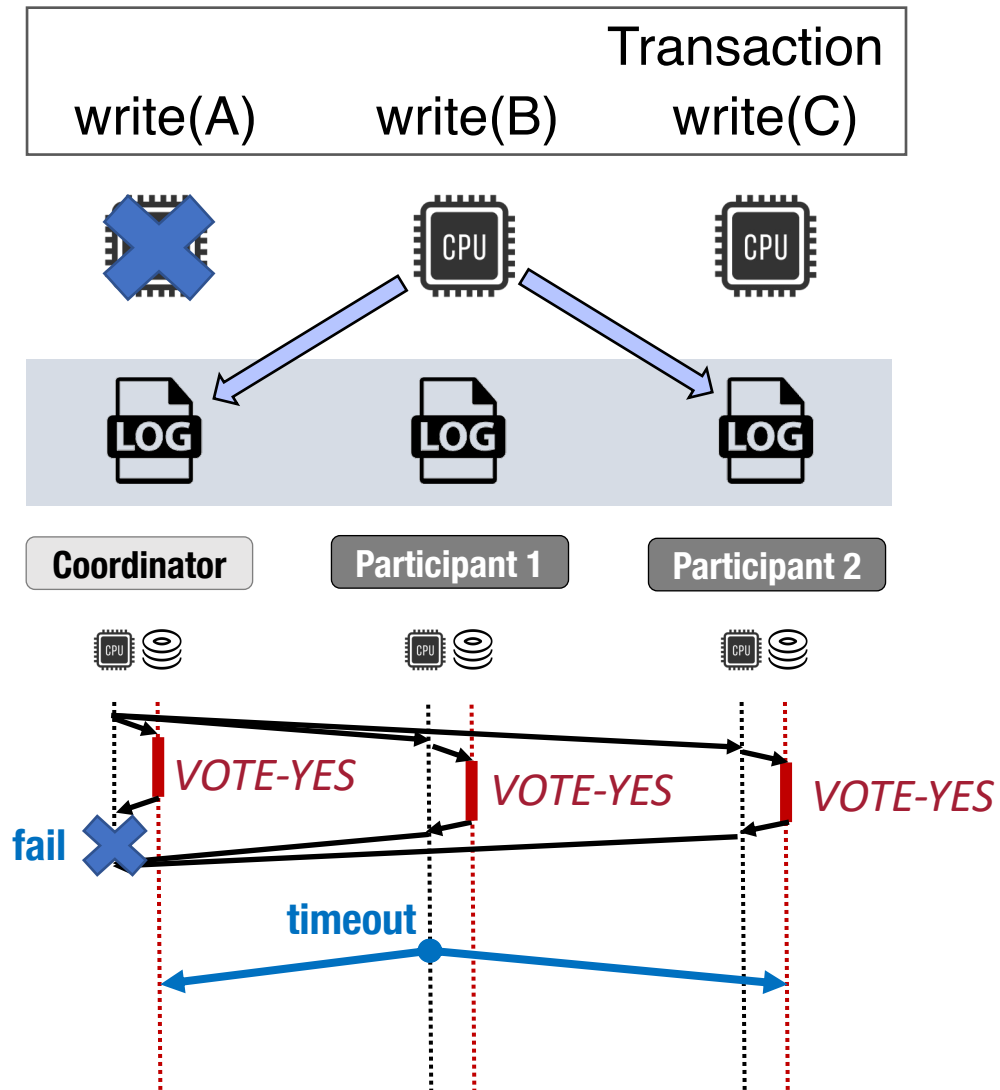
Timeout in participant 1 waiting for coordinator's message

# Cornus Failure Example



Use LogOnce() to write ABORT to other nodes' log files

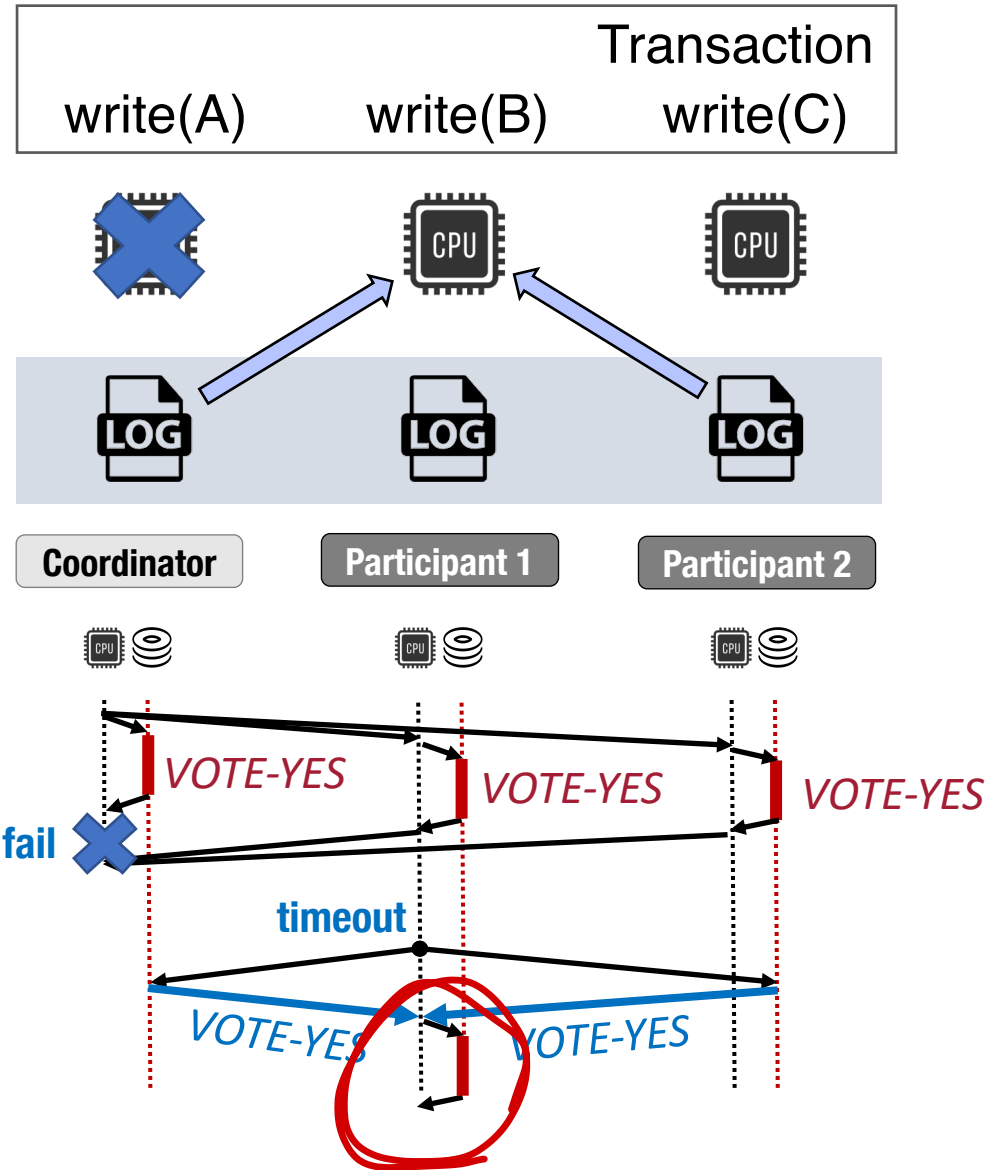
# Cornus Failure Example



Use LogOnce() to write ABORT to other nodes' log files

*VOTE-YES* already exists, LogOnce() does not modify log content

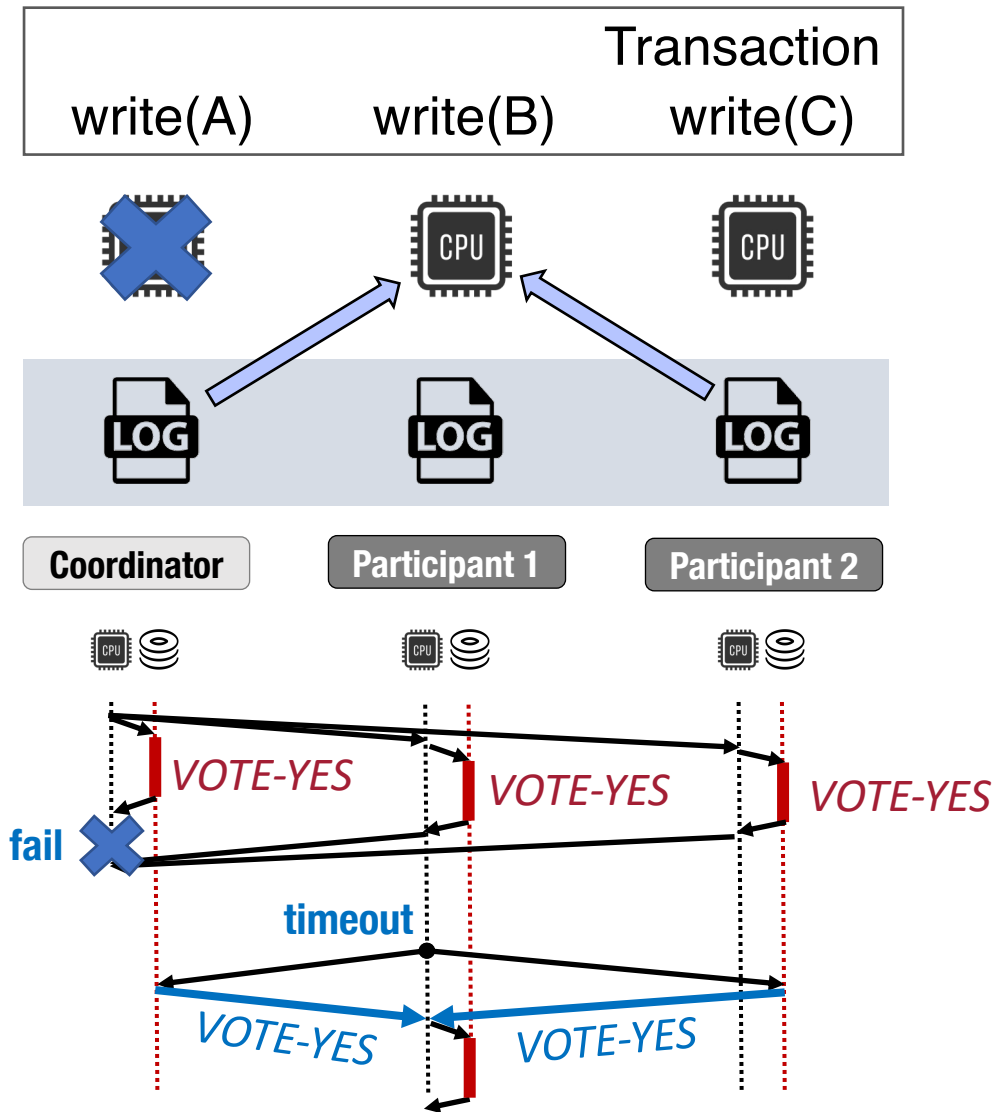
# Cornus Failure Example



Storage service returns *VOTE-YES* without updating the logs

Participant 1 logs the *COMMIT* decision

# Cornus Failure Example



Storage service returns *VOTE-YES* without updating the logs

Participant 1 logs the *COMMIT* decision

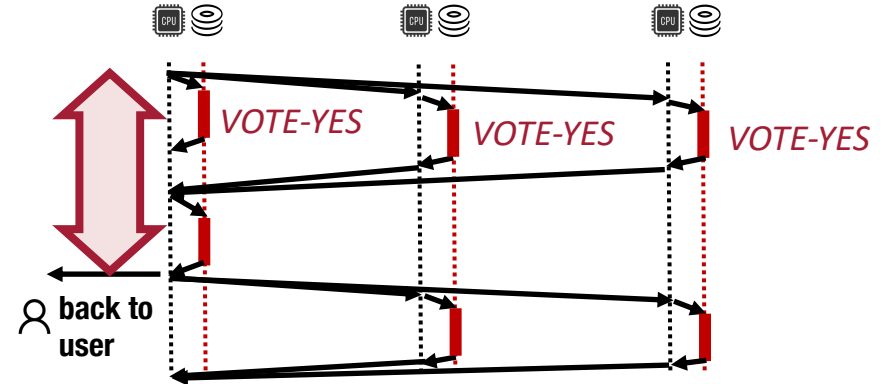
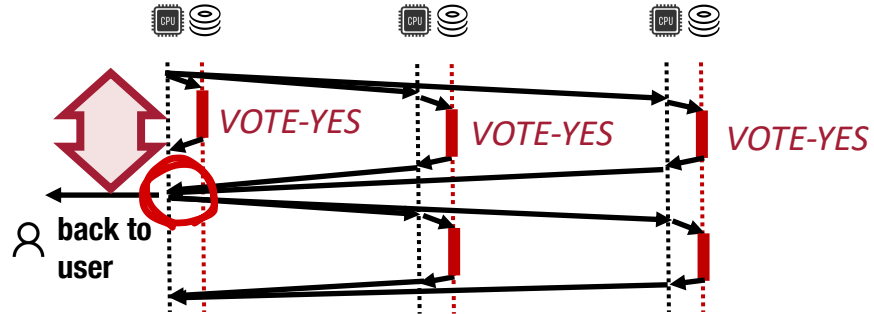
Same process can happen for other participants (e.g., Participant 2)

# Cornus vs. 2PC Summary

## Cornus

## Two-Phase Commit

Commit Case

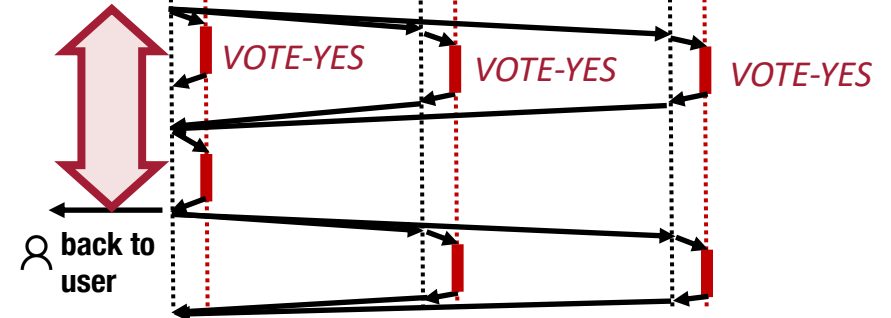
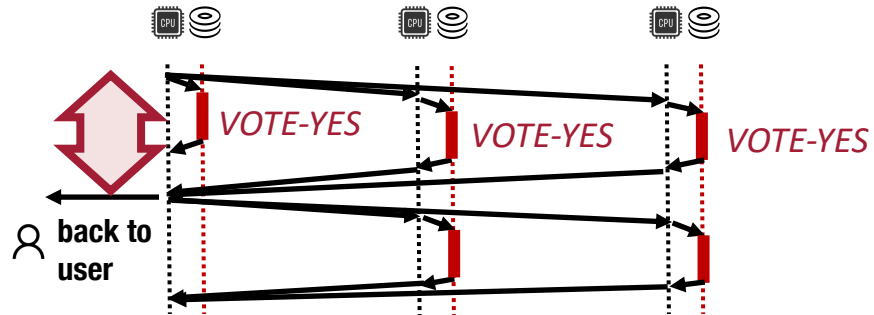


# Cornus vs. 2PC Summary

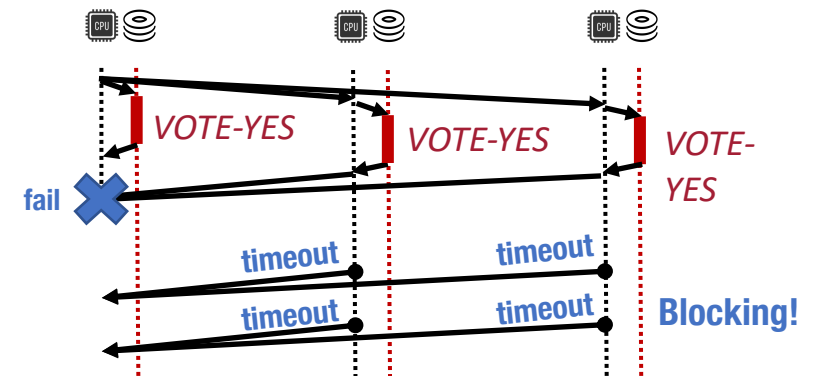
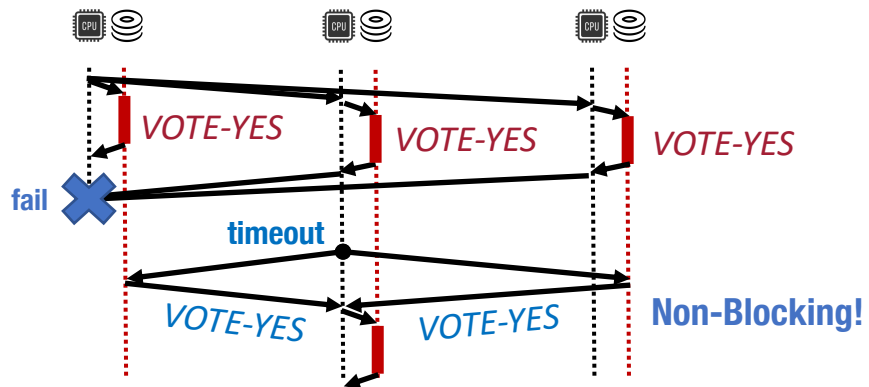
## Cornus

## Two-Phase Commit

Commit Case



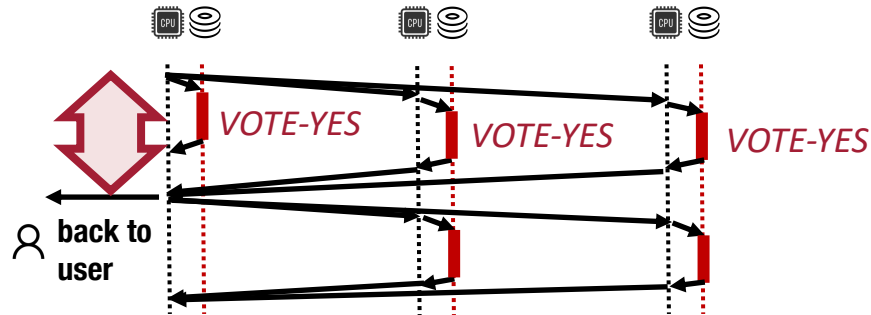
Failure Case



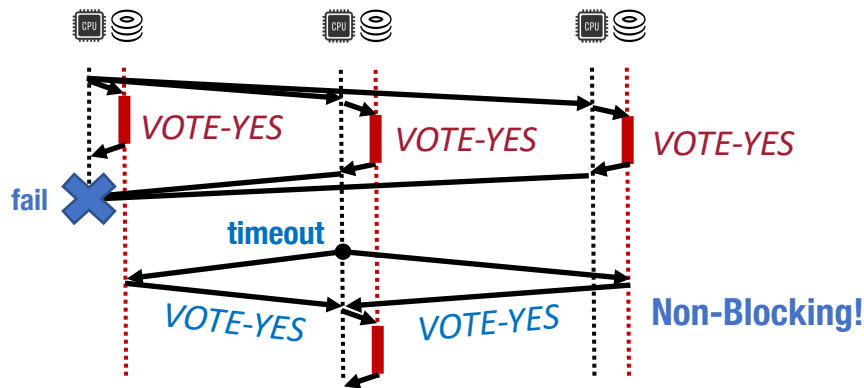
# Cornus vs. 2PC Summary

## Cornus

Commit Case



Failure Case



Key idea #1: **No decision logging**

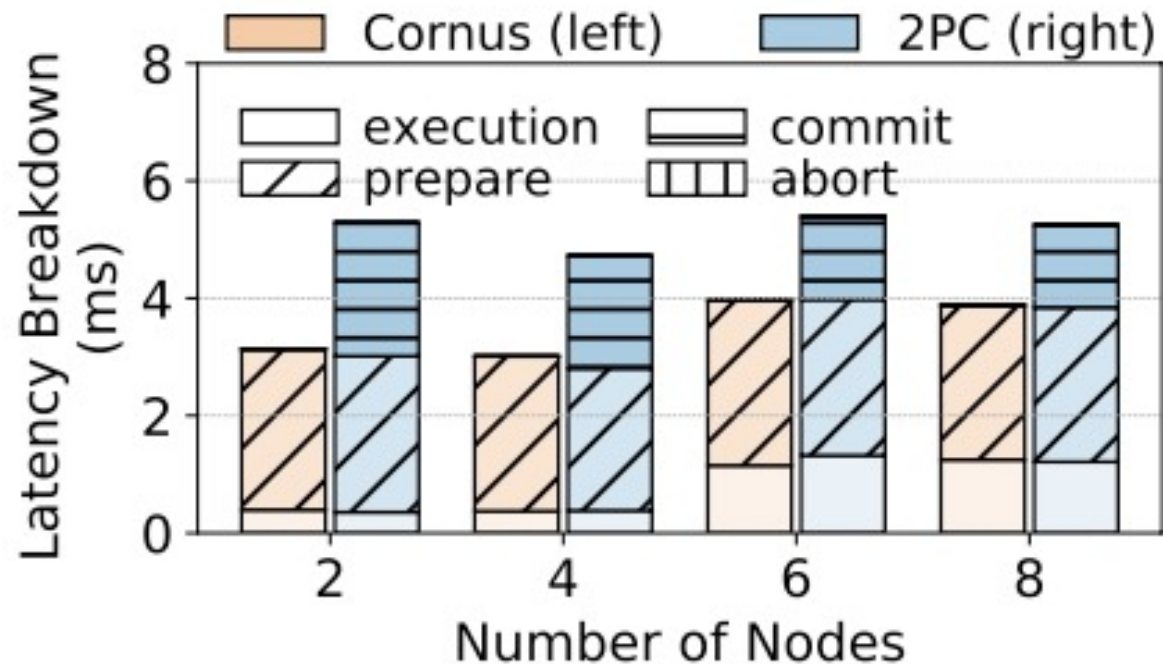
Key idea #2: **LogOnce() storage API**

Enabled by storage disaggregation through

- **Highly available** storage service
- **Shared** across compute nodes
- **Rich APIs** of storage service



# Performance Evaluation (on Redis)



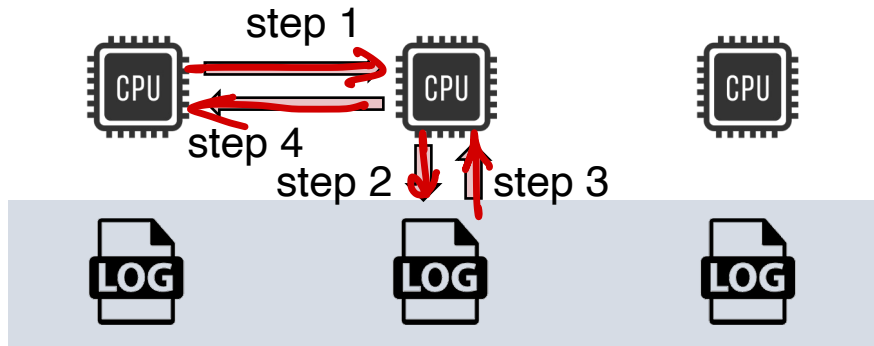
Cornus reduces latency by up to **1.9x** compared to 2PC

**Hardware:** 8 core (Intel Xeon 8272CL × 8), 64 GB DRAM

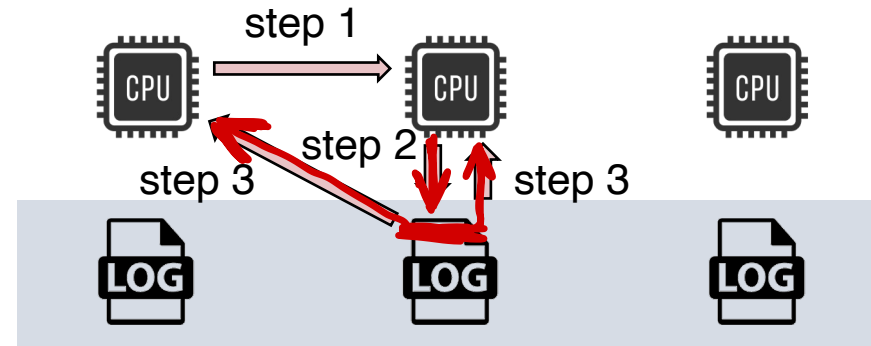
**Workload:** 10GB YCSB data set, 16 accesses per txn, reads/updates = 50/50, no skew

**Storage service:** Premium P4 Redis instance on Azure. One master node + one slave node.

# Further Optimizations



**Prepare in Cornus**

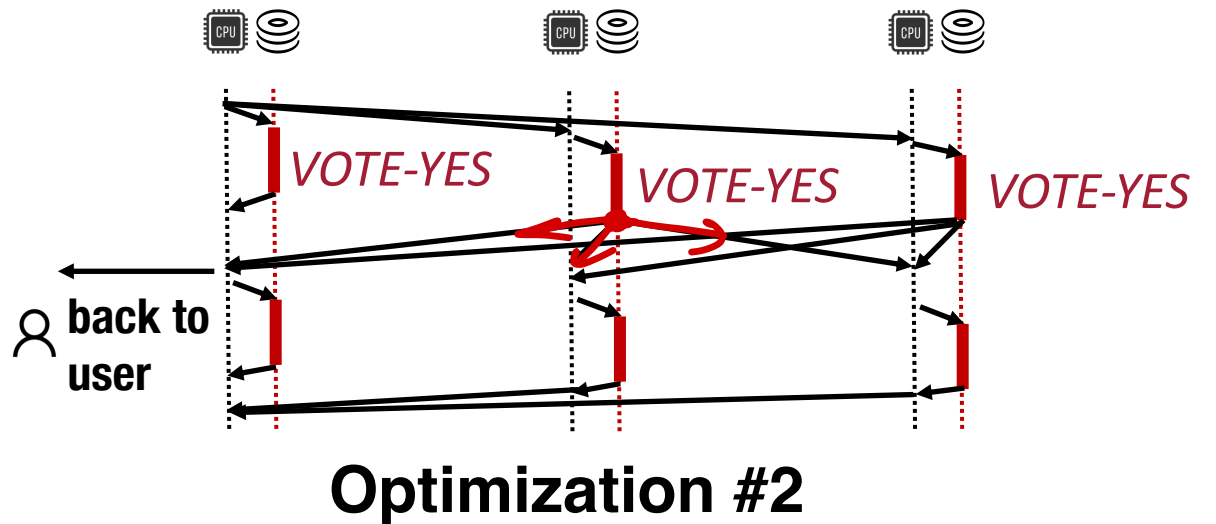
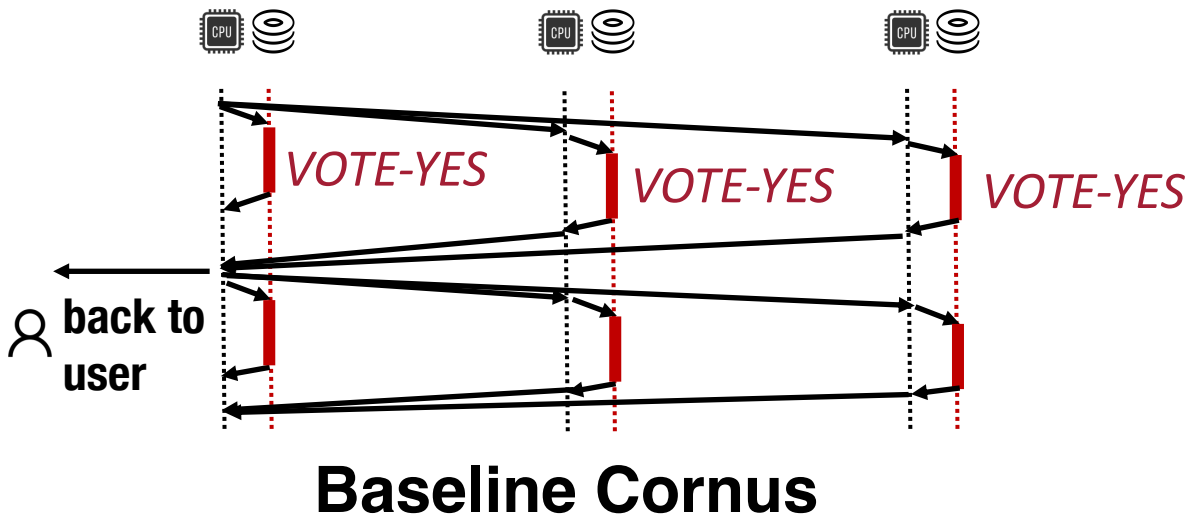


**Optimization #1**

**Optimization #1:** Storage service responds to both the requesting participant and coordinator

- Save one network hop
- Requires changes in storage API

# Further Optimizations



**Optimization #2:** Storage service responds to coordinator and all participants

- Save one more network hop
- Incur more network traffic
- Requires changes in storage API

# Further Optimizations

Protocol	# RTT	Extra Requirements
2PC	$3 + 2 = 5$	-
Cornus	$3 + 0 = 3$	Storage supports conditional write
Cornus (optimization)	$2.5 + 0 = 2.5$	Leader of Paxos can forward a message to coordinator
2PC (co-location)	$2 + 1 = 3$	Participant coordinates replication location
Cornus (co-location)	$2 + 0 = 2$	Participant coordinates replication location
Paxos Commit / MDCC-Classic	$1.5 + 0 = 1.5$	Participant coordinates replication; Acceptors forward messages to coordinator to learn from quorum

**Table 3: Time complexity for protocols integrating with Paxos or its variations**

Further optimizations require the codesign of 2PC and consensus

# Check out Our VLDB'22 Paper

## Cornus: Atomic Commit for a Cloud DBMS with Storage Disaggregation

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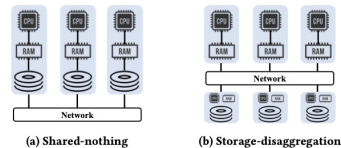


Figure 1: Shared-Nothing vs. Storage-Disaggregation.

standalone service as shown in Figure 1b. This architecture allows independent scaling and billing of computation and storage, which can improve resource utilization, reduce operational cost, and enable flexible cloud deployment with heterogeneous configurations. Many cloud-native database systems adopt such an architecture for both OLTP [21, 49, 62, 67] and OLAP [14–16, 23, 30, 60]. Nowadays, as storage services offer essential functions such as fault tolerance, scalability, and security at low-cost, systems start to layer their designs on the existing disaggregated storage services [22, 26].

This paper focuses on efficient deployment of the two-phase commit protocol on existing storage services. Two-phase commit (2PC) is the most widely used atomic commit protocol, which ensures that distributed transactions commit in either all or none of the involved data partitions. 2PC was originally designed for the *shared-nothing* architecture and suffers from two major problems. The first is *long latency*: 2PC requires two round-trip network messages and associated logging operations. Previous work has demonstrated that the majority of a transaction's execution time can be attributed to 2PC [19, 20, 32, 42, 50, 52, 64]. The second problem is *blocking* [24, 25, 53]. Blocking occurs if a coordinator crashes before notifying participants of the final decision. These two problems greatly limit the performance of 2PC, especially in a storage disaggregation architecture.

Various techniques have been proposed to address these two problems with 2PC. Some proposed optimizations target the shared-nothing architecture and do not solve both problems simultaneously. These protocols either reduce latency by making strong assumptions about the workload and/or system that are not always practical for disaggregated storage [18–20, 25, 45, 46, 55, 56], or they mitigate the blocking problem by adding an extra phase and

- Pseudo-code of Cornus
- Analysis of failure and recovery
- Proof of correctness
- Deployment over Redis and Azure blob store
- More performance evaluation

### ABSTRACT

*Two-phase commit* (2PC) is widely used in distributed databases to ensure atomicity of distributed transactions. Conventional 2PC was originally designed for the shared-nothing architecture and has two limitations: *long latency* due to two eager log writes on the critical path, and *blocking* of progress when a coordinator fails.

Modern cloud-native databases are moving to a storage disaggregation architecture where storage is a shared highly-available service. Our key observation is that disaggregated storage enables protocol innovations that can address both the long-latency and blocking problems. We develop Cornus, an optimized 2PC protocol to achieve this goal. The only extra functionality Cornus requires is an atomic compare-and-swap capability in the storage layer, which many existing storage services already support. We present Cornus in detail and show how it addresses the two limitations. We also deploy it on real storage services including Azure Blob Storage and Redis. Empirical evaluations show that Cornus can achieve up to 1.9× latency reduction over conventional 2PC.

### PVLDB Reference Format:

Zhihan Guo, Xinyu Zeng, Kan Wu, Wuh-Chwen Hwang, Ziwei Ren, Xiangyao Yu, Mahesh Balakrishnan, Philip A. Bernstein. Cornus: Atomic Commit for a Cloud DBMS with Storage Disaggregation. PVLDB, 16(2): 379–392, 2022.  
doi:10.14778/3565816.3565837

### PVLDB Artifact Availability:

The source code, data, and/or other artifacts have been made available at <https://github.com/CloudOLTP/Cornus>.

### 1 INTRODUCTION

Databases are migrating to the cloud because of desirable features such as elasticity, high availability, and cost competitiveness. Modern cloud-native databases feature a *storage-disaggregation* architecture where the storage is decoupled from computation as a

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Proceedings of the VLDB Endowment, Vol. 16, No. 2 ISSN 2150-8097.  
doi:10.14778/3565816.3565837

# Q/A – Cornus

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What implementation of 2PC used for comparison?

Cornus on a shared-nothing architecture?

Consensus algorithm like Paxos or Raft used for replication?

Completely decouple compute sharding from storage sharding?

In storage disaggregation, any strength to partition keys? Why not to run one transaction only in one node?

Consistency required from underlying storage service?

How does storage implement compare-and-swap?

# Next Lecture

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Yi Lu, et al., [Aria: A Fast and Practical Deterministic OLTP Database.](#)  
VLDB, 2020