



CockroachDB

# **CockroachDB: The Resilient Geo-Distributed SQL Database**

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Amruta Ranade, Ben Darnell, Bram Gruneir, Justin Jaffray,

Lucy Zhang, and Peter Mattis

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Cockroach Labs, Inc.



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# CockroachDB

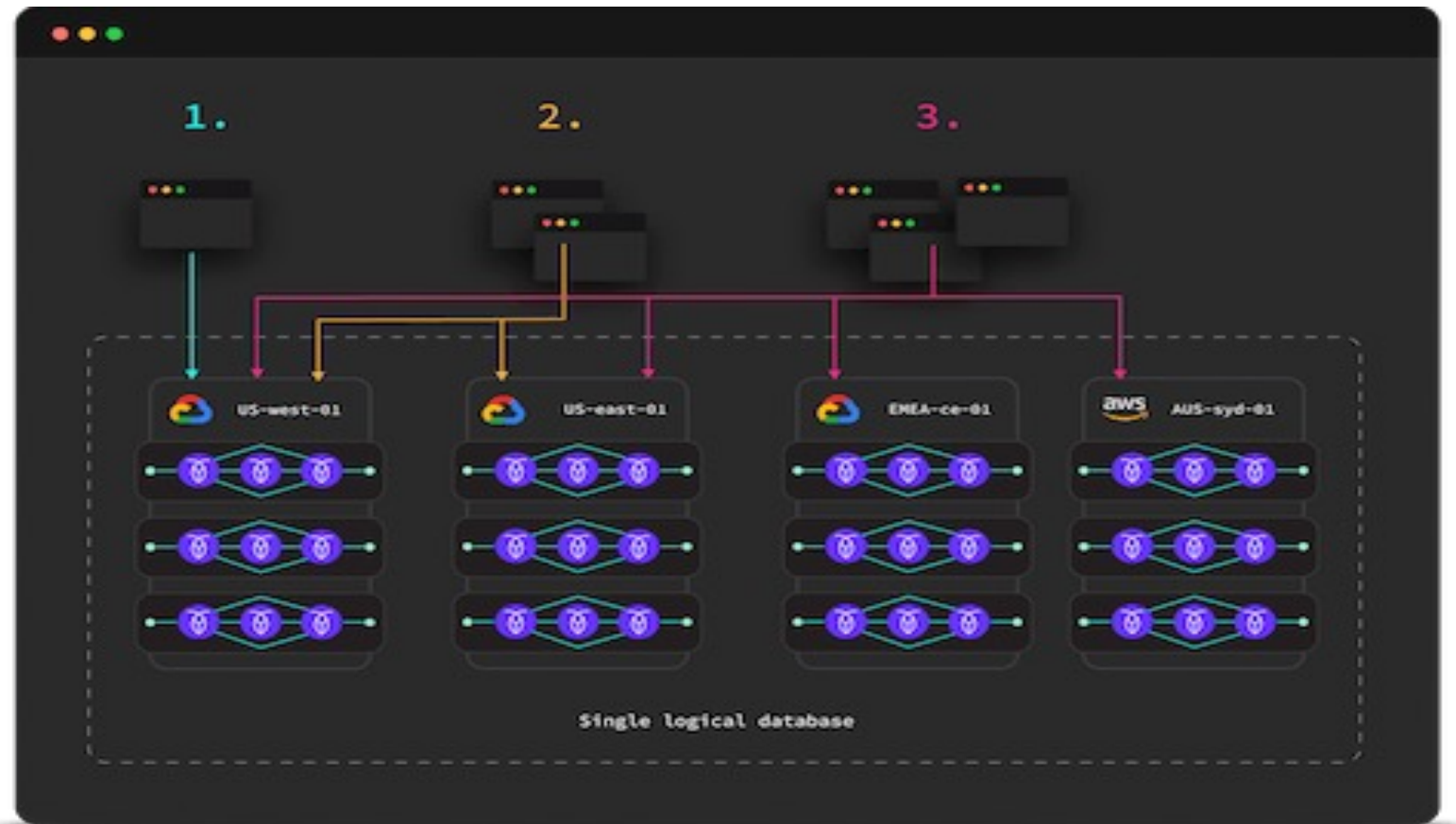
1. Architecture
2. Transactions
3. Clocks
4. Learnings



# CockroachDB -- Architecture



1. Geo-distributed
2. Multi-cloud
3. Monolithic KC-store
4. Transactional



# CockroachDB - Architecture



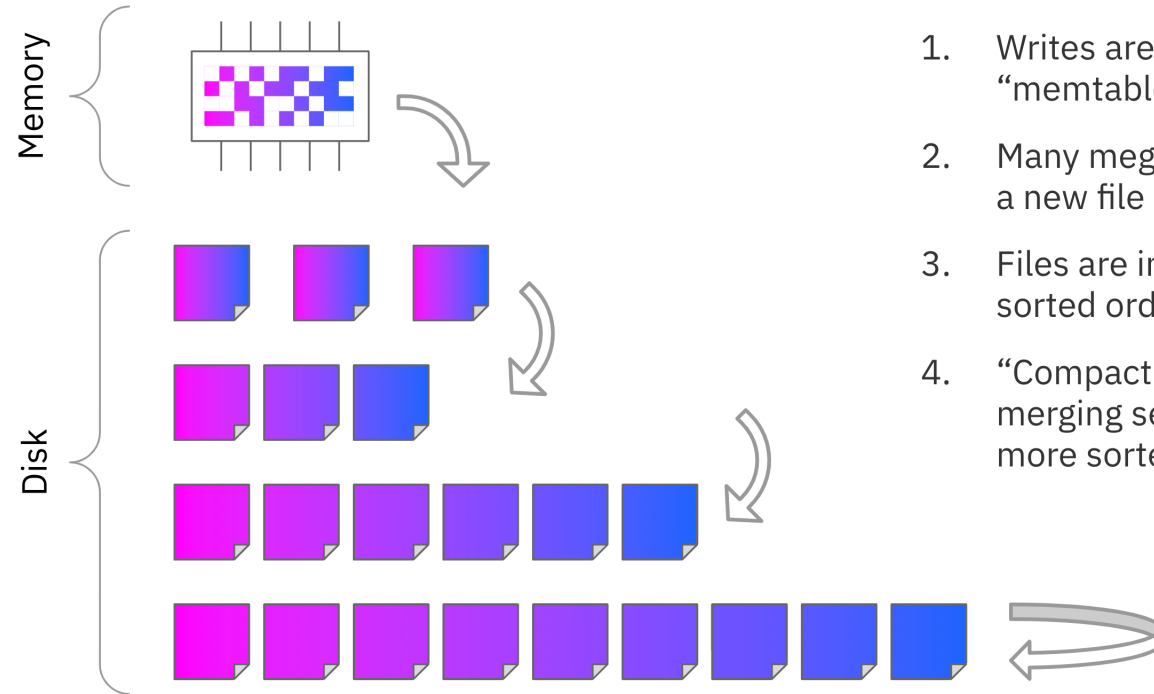
## Raft Protocol Summary

| Followers  | RequestVote RPC  |
|--|--|
| <ul style="list-style-type: none"><li>Respond to RPCs from candidates and leaders.</li><li>Convert to candidate if election timeout elapses without either:<ul style="list-style-type: none"><li>Receiving valid AppendEntries RPC, or</li><li>Granting vote to candidate</li></ul></li></ul>  | <p>Invoked by candidates to gather votes.</p> <p><b>Arguments:</b></p> <ul style="list-style-type: none"><li><b>candidateId</b>: candidate requesting vote</li><li><b>term</b>: candidate's term</li><li><b>lastLogIndex</b>: index of candidate's last log entry</li><li><b>lastLogTerm</b>: term of candidate's last log entry</li></ul> <p><b>Results:</b></p> <ul style="list-style-type: none"><li><b>term</b>: currentTerm, for candidate to update itself</li><li><b>voteGranted</b>: true means candidate received vote</li></ul> <p><b>Implementation:</b></p> <ol style="list-style-type: none"><li>If term &gt; currentTerm, currentTerm ← term (step down if leader or candidate)</li><li>If term == currentTerm, votedFor is null or candidateId, and candidate's log is at least as complete as local log, grant vote and reset election timeout</li></ol> |
| Candidates   | AppendEntries RPC  |
| <ul style="list-style-type: none"><li>Increment currentTerm, vote for self</li><li>Reset election timeout</li><li>Send RequestVote RPCs to all other servers, wait for either:<ul style="list-style-type: none"><li>Votes received from majority of servers: become leader</li><li>AppendEntries RPC received from new leader: step down</li></ul></li><li>Election timeout elapses without election resolution: increment term, start new election</li><li>Discover higher term: step down</li></ul>  | <p>Invoked by leader to replicate log entries and discover inconsistencies; also used as heartbeat .</p> <p><b>Arguments:</b></p> <ul style="list-style-type: none"><li><b>term</b>: leader's term</li><li><b>leaderId</b>: so follower can redirect clients</li><li><b>prevLogIndex</b>: index of log entry immediately preceding new ones</li><li><b>prevLogTerm</b>: term of prevLogIndex entry</li><li><b>entries[]</b>: log entries to store (empty for heartbeat)</li><li><b>commitIndex</b>: last entry known to be committed</li></ul>   |
| Leaders  |  |
| <ul style="list-style-type: none"><li>Initialize nextIndex for each to last log index + 1</li><li>Send initial empty AppendEntries RPCs (heartbeat) to each follower; repeat during idle periods to prevent election timeouts</li><li>Accept commands from clients, append new entries to local log</li><li>Whenever last log index <math>\geq</math> nextIndex for a follower, send AppendEntries RPC with log entries starting at nextIndex, update nextIndex if successful</li><li>If AppendEntries fails because of log inconsistency, decrement nextIndex and retry</li></ul> |  |

# CockroachDB - Architecture



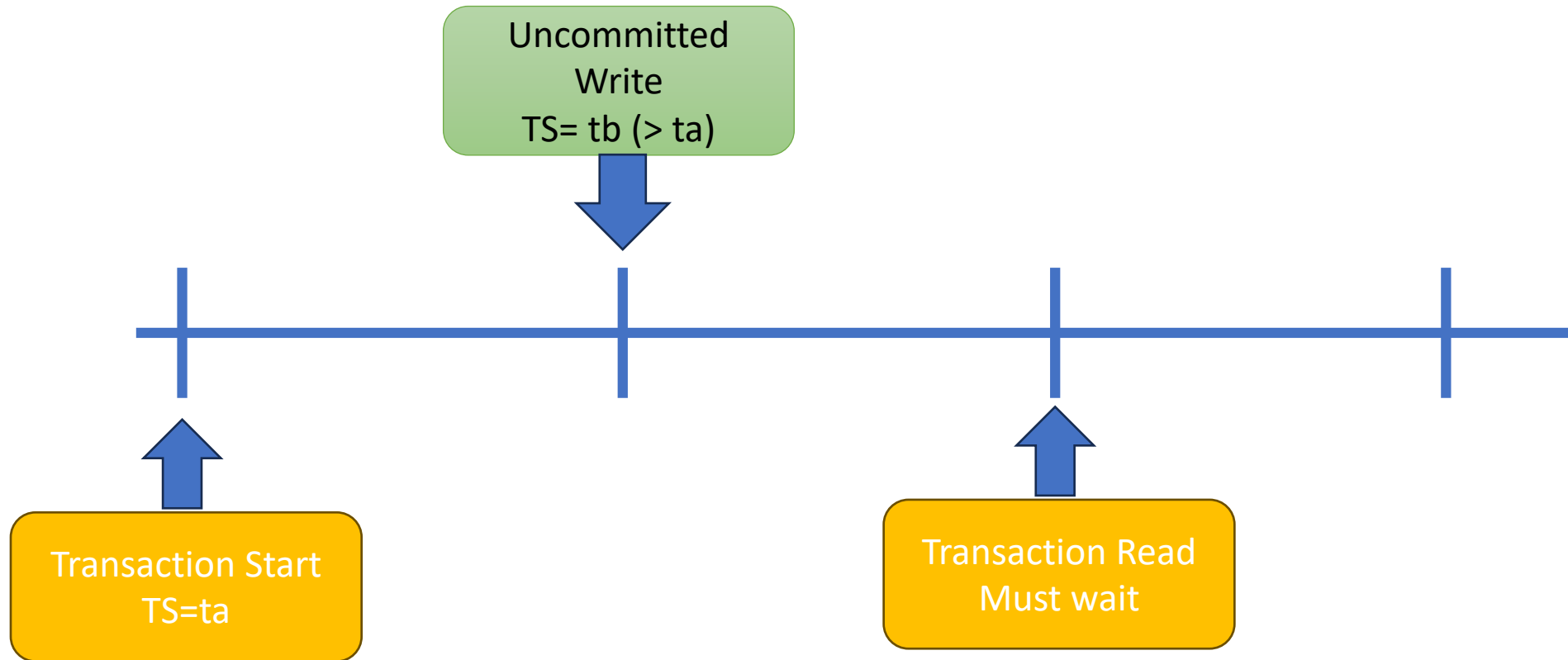
## RocksDB is a log-structured merge tree (LSM)



1. Writes are buffered in RAM in a “memtable”
2. Many megabytes of values are written to a new file at once
3. Files are immutable, and store keys in sorted order
4. “Compaction” creates new files by merging several old files, making things more sorted and removing duplicates

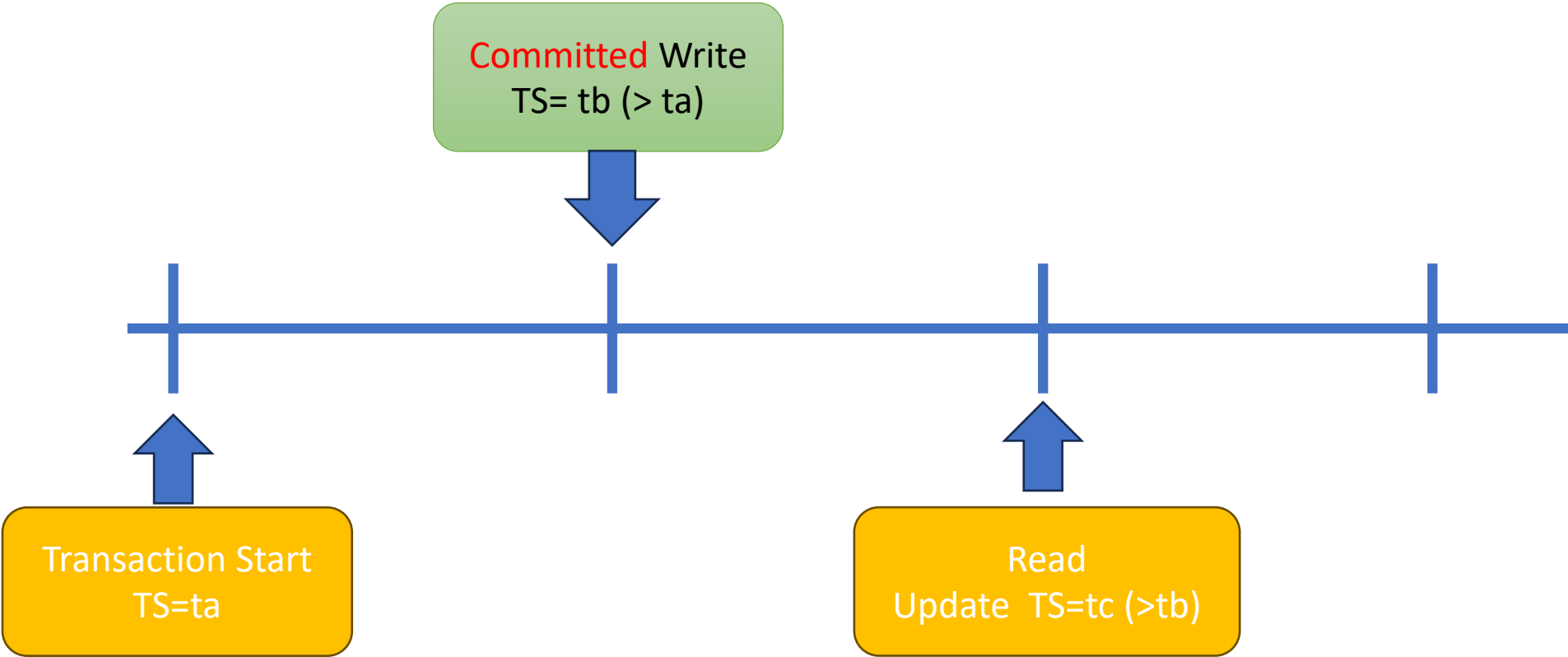
**Big writes**

# CockroachDB -- Transactions





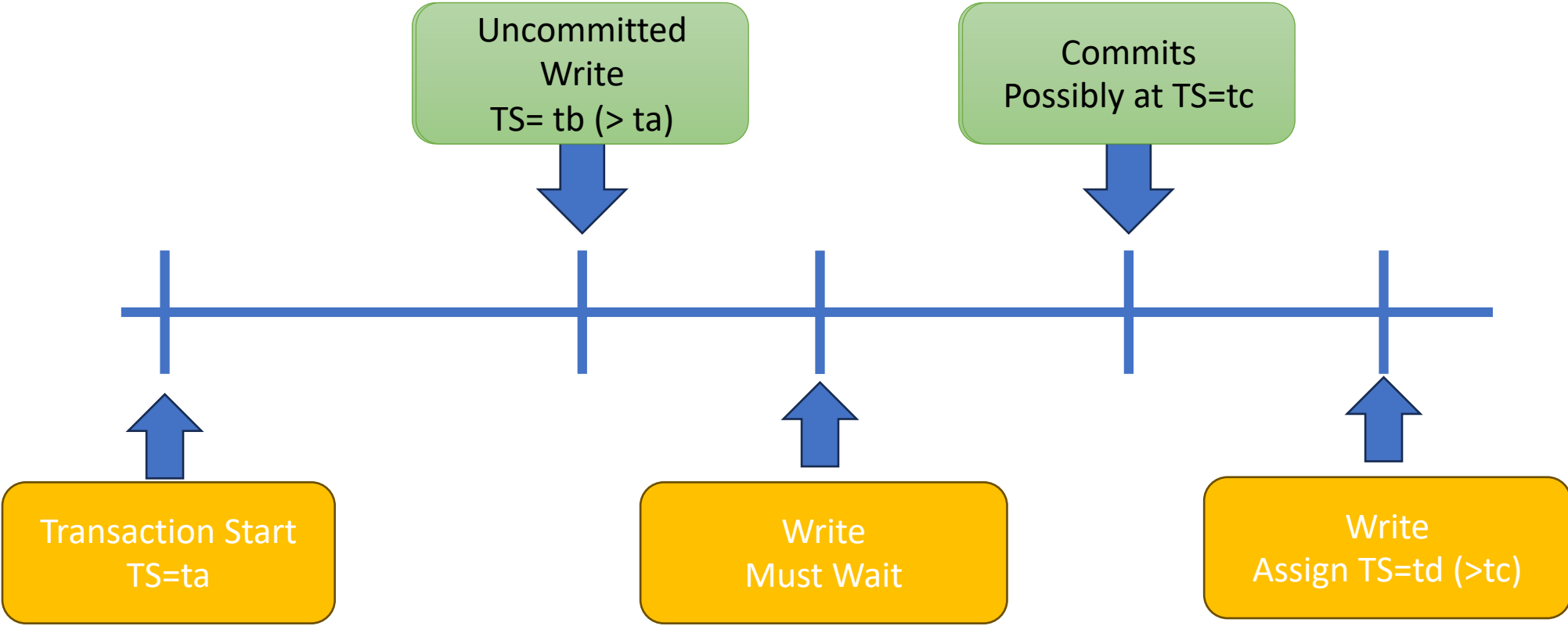
# CockroachDB -- Transactions



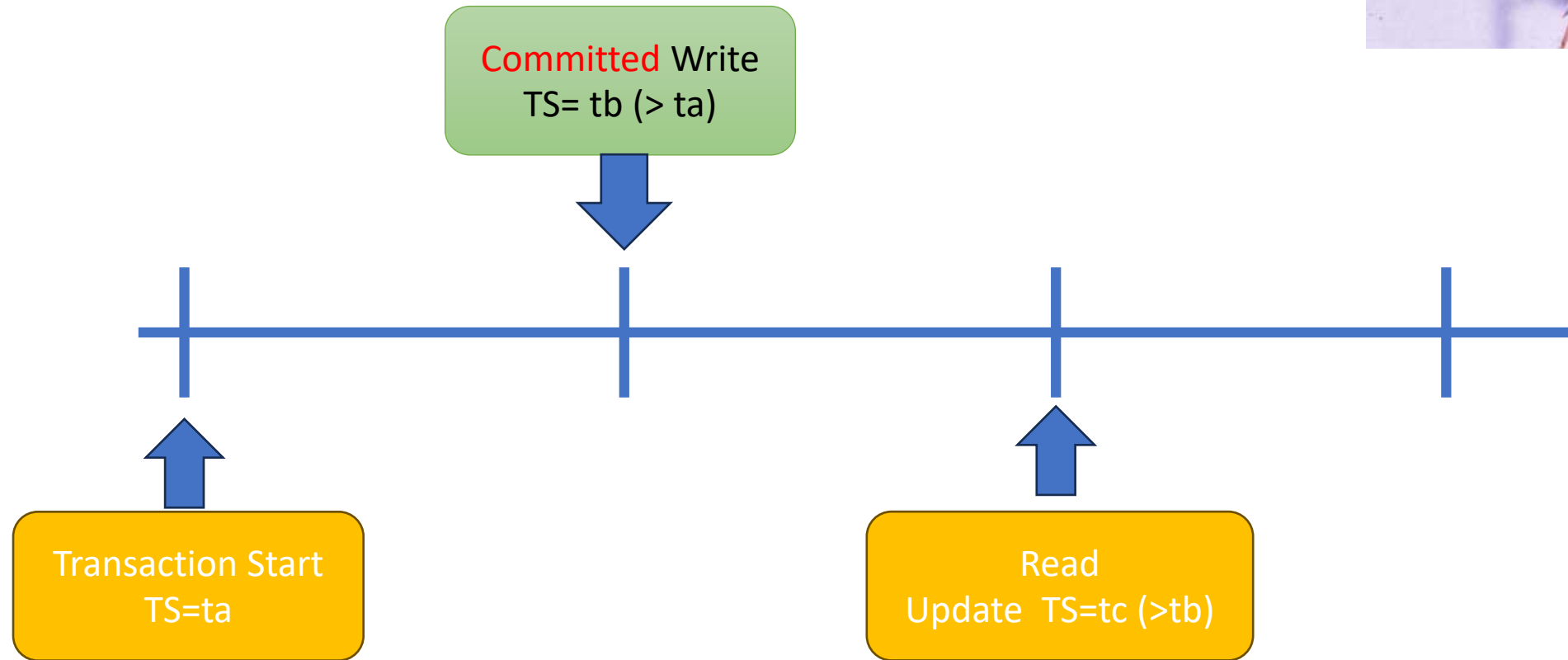




# CockroachDB -- Transactions



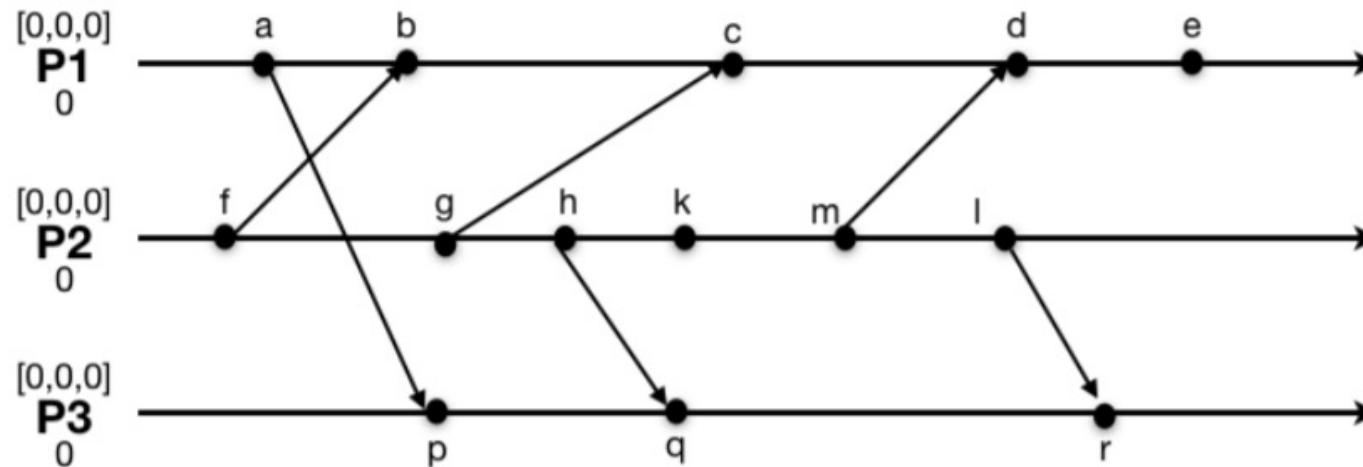
# CockroachDB -- Transactions



# CockroachDB -- Clocks



## 1 Logical clocks (10 points)

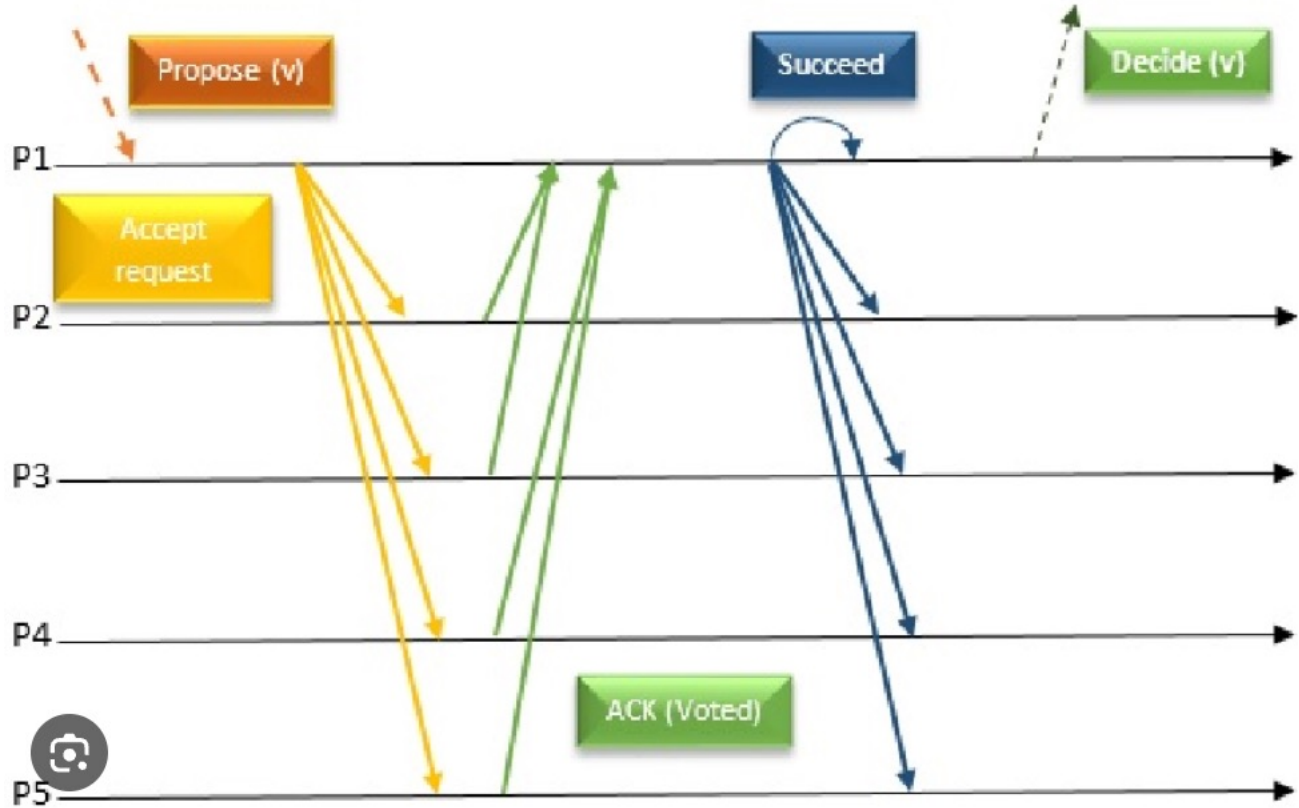


- Mark on the figure Lamport's logical clock timestamps of the events.
- Mark on the figure vector clock timestamps of the events.
- Write down all the consistent-cuts that pass through event **k**.



# CockroachDB -- Lessons

- 1. Raft is chatty
- 2. Pause groups
- 3. Consolidate heartbeats
- 4. Joint Consensus



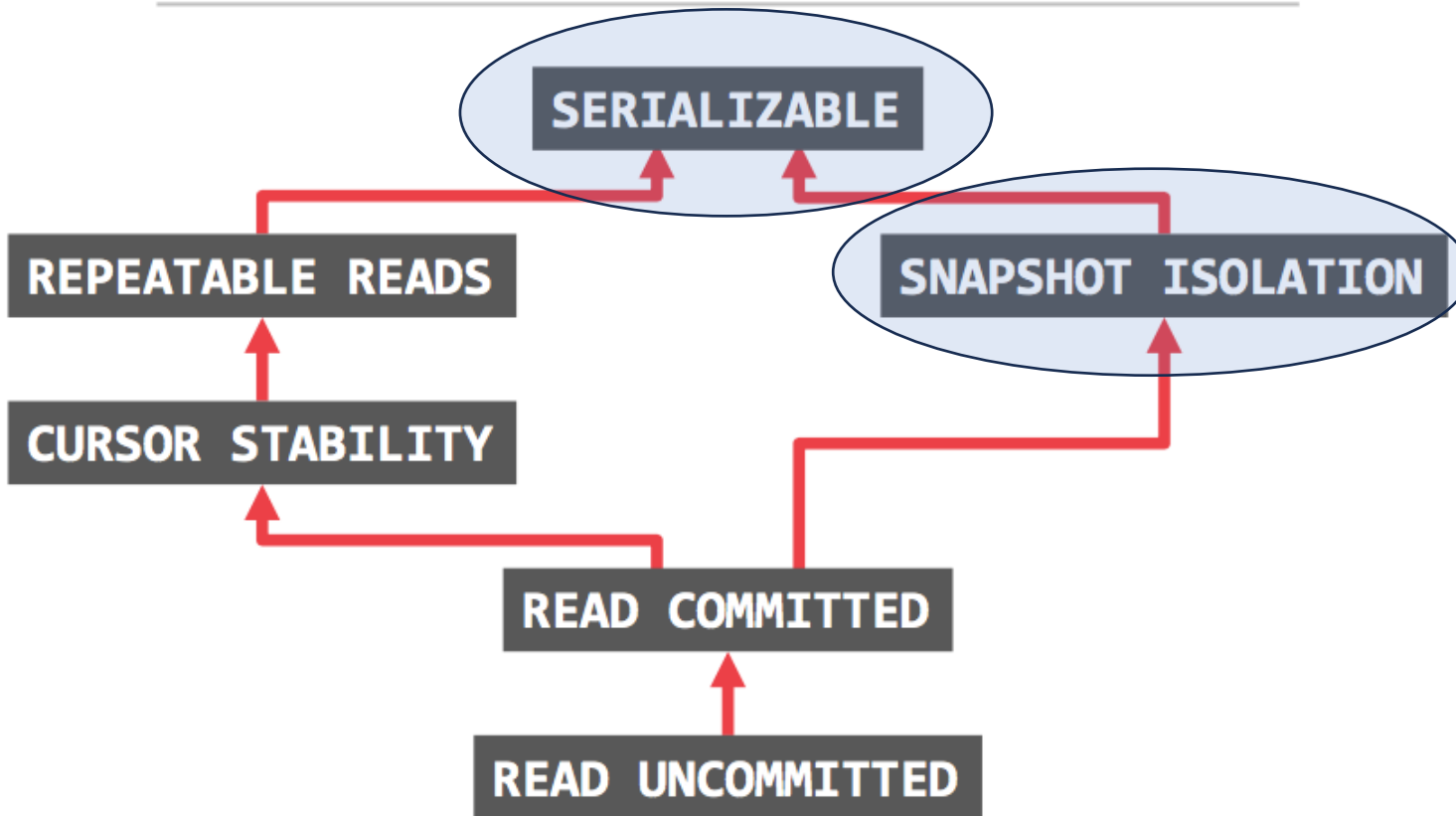
PDF] Raft Consensus Algorithm: an Effective Substitute for Paxos in High Throughput P2P-based Systems | Semantic...

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# CockroachDB -- Lessons



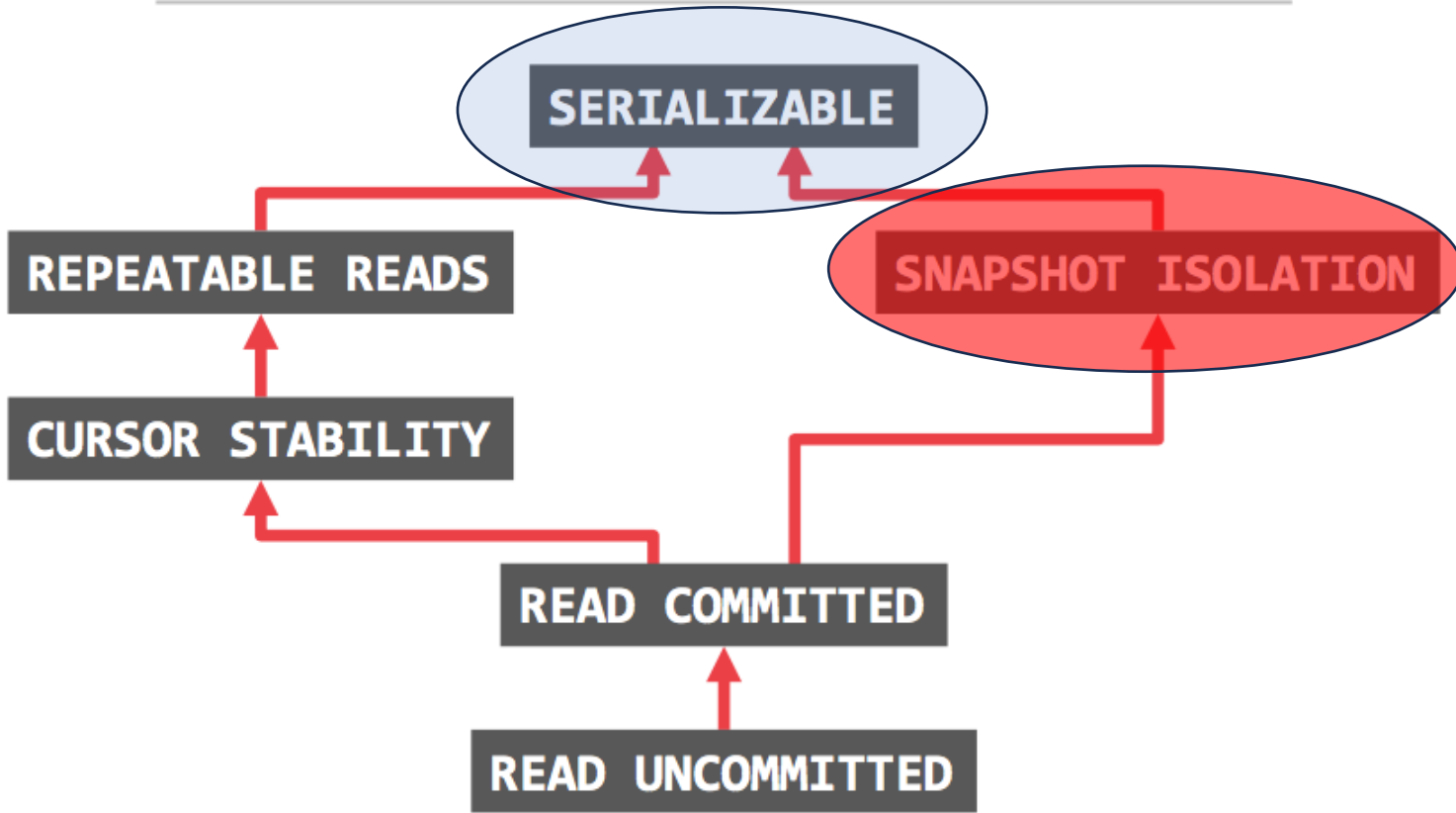
## ISOLATION LEVEL HIERARCHY



# CockroachDB -- Lessons



## ISOLATION LEVEL HIERARCHY



# CockroachDB -- Lessons

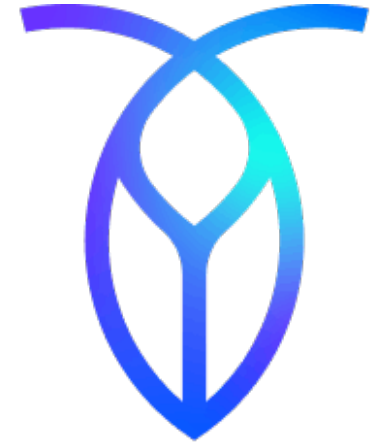


# CockroachDB -- Lessons





# CockroachDB -- Questions



CockroachDB

